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A major ice component in Pluto's haze

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14 Pluto, Titan, and Triton, are all low-temperature environments with a $N_2/CH_4/CO$ atmospheric composition on which solar radiation drives an intense organic 15 16 photochemistry. Titan is rich in atmospheric hazes and Cassini-Huygens observations showed their formation initiates with the production of large 17 molecules through ion-neutral reactions. New Horizons revealed that optical hazes 18 19 are also ubiquitous in Pluto's atmosphere and it is thought that similar haze 20 formation pathways are active in this atmosphere as well. However, we show here that Pluto's hazes may contain a major organic ice component (dominated by C₄H₂ 21 22 ice) from the direct condensation of the primary photochemical products in this 23 atmosphere. This contribution may imply that haze has a less important role in 24 controlling Pluto's atmospheric thermal balance compared to Titan. Moreover, we 25 expect that the haze composition of Triton is dominated by C₂H₄ ice.

26

27 Pluto's atmosphere is the equivalent of Titan's upper atmosphere above 400 km 28 altitude, with comparable CH_4 , CO, and N_2 density profiles and pressure scale heights¹. 29 Photochemistry models for these environments demonstrate that the anticipated 30 chemical products are similar^{2,3}, therefore Pluto's hazes are thought to be of a similar 31 nature based on molecular growth⁴ (see Methods for haze nomenclature). The fraction 32 of the mass flux generated from the photolysis of Titan's main atmospheric composition that ends in haze particles is $\sim 30\%^{5,6}$. Such a yield for Pluto's haze would suggest a mass 33 flux of $\sim 6x10^{-15}$ gcm⁻²s⁻¹ (all reported mass fluxes are referred to Pluto's surface). 34 35 However, the opacity of particles characterizing such a mass flux falls short of the 36 available observations and a twice-higher haze formation efficiency is required to 37 generate enough material to reproduce the UV extinction observations below 200 km⁷. 38 As Pluto's upper atmosphere is much colder than Titan's (\sim 70K compared to \sim 150K for 39 Titan, see Fig. 1), an increased haze yield for Pluto is surprising. On the other hand, the 40 photochemical gases produced on Pluto may condense at lower pressures than on Titan (Fig. 1). Therefore organic ices could be responsible for, or at least contribute to, the 41 42 formation of the observed hazes in Pluto's atmosphere.

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44 We explore the extent of this contribution using coupled models of atmospheric photochemistry and microphysics, and following the evolution of the organic ice haze 45 46 particles from their formation in the upper atmosphere to their sedimentation on Pluto's surface. The models are adapted from previous studies of photochemistry and 47 48 microphysics in Titan's atmosphere, taking into account high-resolution energy

deposition, complex chemical networks, atmospheric mixing and molecular diffusion,particle sedimentation, and Brownian coagulation (see Methods).

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52 We find that the first photochemical ice that condenses in Pluto's upper atmosphere is 53 HCN. However, although nucleation and subsequent condensation are energetically possible, the kinetics of these processes are slow and translate into an insignificant loss 54 55 for gaseous HCN above \sim 500 km (Fig. 2). This result agrees with the *ALMA* observations 56 of the I=4-3 line that reveal a high degree of HCN super-saturation in Pluto's upper 57 atmosphere, near 700 km altitude⁸. We consider homogeneous nucleation, as well as 58 nucleation in the presence of ions, with the latter being significantly more efficient than 59 the former (see Methods). Our calculations show that at 70 K, for $S=10^8$ (the degree of HCN super-saturation⁸ near 700 km) the homogeneous nucleation rate is $\sim 10^{-8}$ cm⁻³s⁻¹, 60 that is too small for any significant condensation. For an ion density of $\sim 10^3$ cm⁻³, which 61 is the peak density our calculations produce we calculate a corresponding ion nucleation 62 63 rate that is more than three orders of magnitude larger than the homogeneous 64 nucleation rate at the same conditions. Moreover, for smaller S values the ion nucleation 65 rate drops significantly slower than the neutral nucleation rate (Extended Data Fig. 1). Heterogeneous nucleation^{9,10}, is also a possibility if nucleation sites are present in the 66 upper atmosphere. However, our simulated HCN profile provides a sufficiently good fit 67 to the observed HCN line-core without it, indicating that such heterogeneous loss is 68 69 relatively unimportant in Pluto's upper atmosphere.

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71 As the HCN-ice particles settle, other gases condense and coat them. Thus, gas 72 abundances decrease and the condensate particle radius increases (Extended Data Fig. 73 2). We find that a significant mass flux of the photochemical products is directly transferred to the condensed phase (7.5x10⁻¹⁵ g cm⁻² s⁻¹), forming organic-ice hazes (**Fig.** 74 3a). Major contributions to particle growth come from C₃H₄, C₄H₂, C₆H₆ with mass-75 76 fractions varying with altitude, reflecting their individual photochemical abundances 77 and saturation limits (Fig. 3b). In contrast, the same species in Titan's atmosphere 78 participate in the formation of more complex gaseous compounds⁴, whose further 79 chemical growth leads to the formation of Titan-type hazes¹¹. We infer that this 80 difference in behavior is caused by the temperature difference between Titan and Pluto. 81 Note that condensates are also forming in Titan's atmosphere, but only in the lower 82 stratosphere/troposphere¹².

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84 As the atmospheric density increases with decreasing altitude and particle settling 85 velocities drop, the organic-ice hazes accumulate in the lower atmosphere. We find that 86 below ~ 400 km collisions among particles become important, leading to a transition from spherical growth, due to gas deposition, to aggregate growth, due to collisions 87 88 among the formed particles (Fig. 4). At the transition region, our simulated average 89 particle radius is ~ 9 nm, similar to the 10 nm radius derived from New Horizons 90 observations^{1,13} for the primary particles composing the haze aggregates near the 91 surface.

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Pluto's most abundant gaseous photochemical products¹⁴, C₂H₆, C₂H₄, and C₂H₂, condense only a few km above the surface¹⁵, and therefore should not partake in the particle formation at higher altitudes. However, the observed profiles reveal a local minimum in their abundances near 200 km altitude that is not reproduced by the homogeneous chemistry simulations, and instead was attributed to heterogeneous

98 processes on the haze particles^{3,16}. We evaluate the contribution of this interaction to 99 the particle growth through an adsorption/desorption description for the C_2 -100 hydrocarbons (see Methods and Fig. 5). Our simulations suggest that heterogeneous 101 processes mainly affect the C_2H_2 and C_2H_6 profiles, while they have smaller impact on 102 the C₂H₄ profile. Desorption studies¹⁷ of these organic ices do demonstrate that C₂H₄ 103 desorbs at lower temperature than C_2H_2 and C_2H_6 consistent with the qualitative picture 104 we find here from fitting the *New Horizons* observations. At its maximum contribution 105 between 100 and 200 km (Fig. 3), this heterogeneous coating contributes another 3.3×10^{-15} g cm⁻² s⁻¹ to the particle mass flux. Therefore, the *New Horizons* observations 106 107 reveal that -although not condensing- the C₂-hydrocarbons do partake in the growth of the haze particles in Pluto's atmosphere. Such heterogeneous processes are also 108 109 anticipated for Titan's haze^{11,18}, but so far evidence of their role is equivocal (see 110 Methods).

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112 Near Pluto's temperature peak (~110 K) between 30 and 50 km, condensates are expected to sublimate and release their gases back to the atmosphere to an abundance 113 114 limited by their individual saturation limits. Were this true, however, the HCN 115 abundance would over-estimate the observed ALMA HCN I=4-3 satellite emissions⁸. probing altitudes near 100 km (blue lines, Fig. 2). Instead, we find that the 116 heterogeneous coating is sufficiently thick to inhibit particle sublimation. Such an effect 117 118 has also been observed on the Earth's secondary organic aerosol¹⁹. Considering that the 119 effect of the coating depends on its thickness (see Methods) we derive an HCN 120 abundance in the lower atmosphere that fits well the observed satellite emissions (red 121 lines, Fig. 2). If the heterogeneous coating completely inhibited the sublimation of the 122 condensed ices, we would see an even lower HCN abundance, which however does not 123 provide a different emission spectrum (green lines, Fig. 2). Therefore, ALMA 124 observations cannot set further constraints on the magnitude of the heterogeneous 125 coating. However, further indications for the role of the heterogeneous component are 126 provided by the detection of CH_3C_2H from the analysis of Pluto's UV reflectance 127 spectrum²⁰. Under the nominal case of partial sublimation, we calculate a CH_3C_2H 128 column density of 2.2×10^{15} cm⁻², while for no sublimation we get 1.5×10^{14} cm⁻². The 129 former value is closer to the observed value of $\sim 5 \times 10^{15}$ cm⁻². We thus conclude that our 130 simple description of the heterogeneous coating provides a representative 131 characterization of its bulk properties.

132

133 The above processes control the variation of the average particle size and 134 corresponding number density with altitude in Pluto's atmosphere (Extended Data Fig. 135 2). Note that the particle mass density is not constant with altitude, but changes 136 reflecting the various organic ice contributions (see Extended Data Fig. 3 and 137 Methods). Below 400 km, organic ices provide the dominant contribution to the particle 138 bulk mass while the heterogeneous component has a maximum contribution of $\sim 30\%$ 139 (Fig. 3). The optical properties of this component are unknown, and we assume here 140 they are similar to those of the corresponding organic ices. At least for visible 141 wavelengths where the photon penetration depths are large this assumption will have a negligible effect. The most abundant ice component is C_4H_2 , thus we use it as a proxy for 142 the evaluation of the haze optical properties. The refractive index of condensed C_4H_2 is 143 measured in the visible²¹ and near IR²². In the UV, due to the lack of measurements, we 144 145 derived an estimate from the gas phase component and the Kramers-Kronig relationship 146 (see Methods and Extended Data Figs. 4 -7).

147 148 Our simulated haze distribution is consistent with the *New Horizons* haze observations at UV^8 , visible¹³ and IR^{23} wavelengths (**Fig. 6**). We note that the UV opacity attributed to 149 hazes from the occultation measurements⁸ may contain contributions from unidentified 150 151 gas components, and our calculations suggest that such contributions are significant above ~ 150 km. In addition, our simulated profiles reproduce the order of magnitude of 152 153 the forward scattering and the UV extinction observations, but diverge from the 154 observations in the back scattering near the surface, and at high altitudes (>100 km). These differences indicate the potential role of atmospheric circulation at high 155 156 altitudes²⁴, the presence of horizontal haze variations¹³, the varying shape and optical 157 properties of particles near the surface, as well as the limitations in the retrieval of I/F at 158 low phase angle observations (see Methods). Notwithstanding these shortcomings, our 159 results demonstrate that organic ices provide enough mass to reproduce observations 160 and contribute significantly to Pluto's haze. We also present results for the case of Titantype haze formation with a mass flux of 6x10⁻¹⁵ gcm⁻²s⁻¹ assuming particles are 161 162 produced in the upper atmosphere with a 10 nm radius and aggregate as they settle 163 (blue lines in Fig. 6). Clearly this scenario falls short of the observations, demonstrating 164 the need for a higher (~twice) haze production yield.

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166 Nevertheless, contributions of Titan-type haze cannot be eliminated. Indeed, some of Pluto's surface features (e.g. Cthulhu region) demonstrate a spectral reddening^{23,25}, 167 168 similar to the red slope of Titan's aerosol analogs^{26,27}. At Titan¹¹, 10% of the mass flux 169 generated by ionizing EUV radiation in the ionosphere produces the mass flux of haze 170 embryos observed by Cassini²⁸ (\sim 3x10⁻¹⁵ gcm⁻²s⁻¹ at 900 km). These embryos grow 171 further through chemical processes before they reach the observed haze mass flux in the lower atmosphere $(3x10^{-14} \text{ gcm}^{-2}\text{s}^{-1})^5$, but the details of this chemical growth are 172 unknown. In Pluto's ionosphere, we find that Titan-type hazes could be incepted with a 173 174 mass flux of $7x10^{-16}$ gcm⁻²s⁻¹ based on the incoming EUV radiation. However, it is unclear 175 how fast these embryos can chemically grow in Pluto's much colder atmosphere and in 176 the narrow altitude range between the ionization peak (\sim 700 km) and the onset of 177 condensation (below 600 km). Thus, although a Titan-type haze core could exist in 178 Pluto's haze particles, its possible significance requires further investigation.

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180 UV and cosmic ray radiation can further modify the optical properties of organic ices towards a more absorbing signature^{29,30}, which will make them consistent with Pluto's 181 182 surface properties. We find that photons with λ >150 nm can penetrate Pluto's lower 183 atmosphere and reach the surface providing an energy flux of $\sim 6x10^{-2}$ W/m² (see 184 Methods). Due to their high energy, cosmic rays are not significantly attenuated in the 185 atmosphere, and deposit their energy on the surface. Our simulated haze particles have 186 an atmospheric residence time (below 200 km) of about \sim 200 Pluto days. Given 187 measured rates of ice modification by UV^{29} we estimate that particles during their 188 settling will be affected within a depth of only ~4 nm. Moreover, the ice processing by UV radiation competes with the variable coating of the particles by the heterogeneous 189 190 chemistry, which is of similar depth. Therefore, further evolution of the settling organic 191 ice particles is more likely to occur on Pluto's surface than in the atmosphere, making 192 their signature consistent with the observed surface reddening.

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194 If Pluto's haze composition is dominated by organic ices, its impact on the atmospheric 195 thermal structure will be different than that based on the currently assumed Titan-type 196 composition³¹. Organic ices have weak absorptivity at visible and near-IR wavelengths³² 197 that will reduce the haze heating effect, while their thermal IR opacity is limited to 198 narrow absorption bands (compared to the continuous absorption of Titan-analogs) 199 that will limit their cooling effect. Clearly, an updated thermal structure evaluation is 200 required, but this task is complicated by the lack of optical properties for the organic ice 201 mixture. A preliminary evaluation of the cooling rate based only on the narrow 202 absorption bands of C_4H_2 ice near 40 µm suggests a value of 10^{-10} Wm⁻³ at 200 km that is 203 ~ 20 times lower than the previous estimate based on Titan's analogs³¹. Clearly, a 204 different equilibrium will be established and it remains to be evaluated if it will be able 205 to explain Pluto's cold upper atmosphere. Another suggested coolant is water vapor resulting from the ablation of meteoroid material³³. Including a source of H_2O in our 206 calculations we find that although above ~ 400 km condensation is negligible, at lower 207 208 altitudes the mixing ratio rapidly decreases reaching to 10^{-12} near 200 km. This 209 abundance is 10,000 times smaller than the abundance required to explain the observed 210 temperature profile¹⁰. As other molecular candidates, (e.g. nitriles), have smaller abundances than the main species (CH_4 , HCN, C_2H_2 , CO)³³ considered for the 211 212 atmospheric cooling, it is potentially more promising for future studies to seek a 213 solution not for an increased cooling contribution but rather for a reduced gas heating, 214 i.e. a smaller atmospheric heating efficiency than the currently assumed.

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216 Compared to Pluto, in Triton's colder atmosphere condensation could be even more 217 important for the formed hazes³⁴. Applying our model to Triton, we find particle 218 formation also starts from the nucleation of HCN (Fig. 7). However, as Triton's methane 219 is photochemically depleted at high altitudes, production of heavier hydrocarbons is 220 prominent only at altitudes below 200 km, where also the low temperature allows for 221 their condensation (Fig. 7a). Thus, particle growth is efficient in the lower atmosphere 222 with C₂H₄ providing the major contribution to the particle mass (Fig. 7b). Collisions 223 among the formed particles become efficient below 50 km in Triton's atmosphere, and 224 particles form aggregates with a primary particle radius of ~ 20 nm (Fig. 7c). 225 Heterogeneous reactions for the C₂-species are less important in Triton's atmosphere 226 because in the altitude region where they can contribute, these species also condense 227 out; thus, we did not include them in our simulations. The resulting particle size 228 distribution has an average bulk radius of $0.25 \ \mu m$ near the surface with a 229 corresponding particle density of 4 cm⁻³ (see Extended Data Fig. 2), while the total mass 230 flux reaches $\sim 6 \times 10^{-15}$ gcm⁻²s⁻¹. Our simulated particle distribution for Triton's organic 231 ice haze is consistent with the Voyager 2 haze observations^{35,36,37} at multiple 232 wavelengths/phase angles (Fig. 8), thus providing further support to our description of 233 condensate haze formation for both Pluto and Triton.

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235 Our work reveals two end-member processes of haze formation, i.e. molecular growth 236 (Titan) and condensation (Triton). Pluto at the time of New Horizons observations is in 237 between these two limits. Future observations with JWST focusing at IR wavelengths 238 could potentially identify the spectral signatures of the main organic ices in Pluto's haze 239 and help constrain the relative contribution of the Titan-type component. The v_4 (3293 cm⁻¹) and v₈ (664 cm⁻¹) absorption bands of solid C₄H₂ would be good starting points³⁸, 240 241 although co-condensates could demonstrate shifts relative to the anticipated monocondensate spectra³⁹. However, given that Pluto's eccentric orbit will modify its 242 243 atmospheric temperature, this variation could have an impact on the haze load, as well 244 as on the nature of the hazes formed across the orbit. Orbiting further away from the

245 Sun will decrease the atmospheric temperature. The main atmospheric composition is likely to change with decreasing temperature, with the CH_4/CO ratio decreasing with 246 247 increasing heliocentric distance in which case haze formation pathways based on CO may become more important although with a smaller yield⁴⁰⁻⁴⁴. On the other hand the 248 249 reduced insolation will decrease the abundance of photochemical products. Saturation 250 vapor pressures will decrease, but the kinetics of condensation will be even slower; this 251 may reduce condensation rates, as we find here for HCN in Pluto's upper atmosphere, 252 implying that supersaturation ratios may change with distance. A self-consistent 253 evaluation of the thermal structure and composition is necessary for evaluating how the 254 haze abundance/composition varies along Pluto's orbit.

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Materials requests & Correspondence should be addressed to the corresponding 366 author.

- **Competing interests** The authors declare no competing interests.

392 Main Figure Legends

393

394 Fig. 1 Thermal structures of Pluto and Titan The two black curves for Pluto present 395 the temperature profiles derived from New Horizons¹⁴ (dashed line) and ALMA⁸ (solid 396 line) observations, while the black line for Titan is the in situ profile measured by 397 Huygens⁴⁵. Altitudes for each profile are presented by the bars with associated numbers 398 in km. Colored lines present the saturation temperatures for different photochemical 399 products with abundances calculated with our photochemical model. The interception 400 point of each curve with the temperature profile (approaching from lower 401 temperatures) indicates the approximate altitude where the condensation of each gas 402 may start. In Pluto for example, C_2H_6 condensation is possible only near the surface, 403 while C_6H_6 condensation starts in the upper atmosphere. In Titan all photochemical 404 products condense in the lower ~ 100 km.

405

406 Fig. 2 HCN in Pluto's atmosphere Simulated HCN mixing ratio (red line) in Pluto's 407 atmosphere relative to the anticipated saturation limit (black dashed line). The large 408 degree of super-saturation above \sim 250 km is due to condensation inefficiency owing to 409 slow kinetics. This is consistent with the ALMA observations (central peak in inset plot, 410 black for observed and red for the simulated emission; the gray area presents the 411 uncertainty in the observations)⁸. Near \sim 500 km, HCN loss to condensation becomes 412 important thus the gaseous abundance decreases. In the lower atmosphere, a saturated 413 HCN profile is inconsistent with ALMA observations (blue line). According to our model 414 (red line), HCN remains sub-saturated near the temperature maximum (at an altitude of 415 50-70 km), as the coating of the particles from heterogeneous nucleation inhibits 416 sublimation. A complete suppression of sublimation (green line) also fits the data well.

417

418 Fig. 3 Organic ice haze in Pluto's atmosphere Simulated haze mass flux in Pluto's 419 atmosphere (panel a, black line) showing contributions of HCN nucleation (dash triple 420 dotted), condensation of gases (dotted), and heterogeneous chemistry (dashed). The red 421 line shows Titan's haze mass flux⁵ and the purple line our simulated mass flux of 422 Triton's haze. Major contributions from the condensation of individual gases in Pluto's 423 atmosphere, in terms of their percent contribution to the total particle mass flux, are 424 shown on panel b with different colors and linestyles, while the short dashed black line 425 shows the contribution of the heterogeneous component. The dash-dotted black line 426 presents the cumulative contribution of all organic ices.

427

428 Fig. 4 Transition from spheres to aggregates in Pluto's haze Panel a presents the 429 ratio of mass growth by coagulation to total mass growth by coagulation and 430 condensation (the latter including heterogeneous chemistry) for different size particles 431 at different altitudes in Pluto's atmosphere. Particles collide below 400 km and form 432 aggregates. Based on these rates we derive the corresponding particle fractal dimension, 433 D_{f}^{5} , shown in panel b. The dashed line presents the D_{f} profile used in the simulations. 434 The inset shows that particles near the surface become more rounded due to the sharp 435 temperature drop that allows condensation of the C₂-hydrocarbons.

436

Fig. 5 C₂-hydrocarbons in Pluto's atmosphere Comparison of observed¹⁴ (symbols
 with error bars) and simulated (solid lines) line of sight densities of the C₂ hydrocarbons in Pluto's atmosphere. The simulated profiles include both homogeneous
 and heterogeneous chemical process, with the latter considering both adsorption loss

and desorption gain of the gases on the surface of the haze particles. The inset
demonstrates how the simulated ethane profile would change if no heterogeneous loss
was included (dash dotted line) or if desorption was not considered (dashed line). The
observations demonstrate that both processes are active in Pluto's atmosphere.

Fig. 6 Pluto's haze Comparison of simulated (black) and observed (red) haze properties for Pluto's atmosphere. Panels a-e present the scattered light observations (red lines) at different phase angles towards Pluto's North Pole at visible wavelengths¹³. Red crosses in panel d present the azimuthally averages I/F at this phase angle, demonstrating the strong horizontal haze variations in Pluto's atmosphere. Panels f and g present the wavelength-averaged near-IR scattered light profile at high phase angle and the corresponding wavelength dependence of the average I/F in the lower 40 km of the atmosphere, respectively²³. Panel h presents the UV line of sight opacity¹⁴. The dash-dotted line presents the cumulative opacity from organic ice haze particles (solid) and gas molecules (dashed). The blue lines present the case of Titan type haze formation with a mass flux of $6x10^{-15}$ gcm⁻²s⁻¹ and primary particle radius of 10 nm. The gray shaded area presents the uncertainty in the observed opacity.

Fig. 7 Organic ice haze in Triton's atmosphere Haze mass flux (panel a) and
contributions of individual gas components (panel b) for Triton's haze composition.
Panels c and d present the transition from spherical to aggregate growth in Triton's
atmosphere. Here collisions dominate the particle growth below 50 km.

Fig. 8 Triton's haze Simulated I/F altitude profiles of Triton's atmosphere based on our simulations of organic ice haze (lines) compared to the observed profiles (symbols) from Voyager 2 images^{35,37}. Each panel presents observation at different spectral filters (Blue, Green, Orange, Violet at high phase angles, and Clear for low phase angle). Symbols with associated numbers correspond to the observed phase angles. For the high phase angle observations solid, dashed, and dash-dotted lines correspond to the model results for 140°, 150°, and 160°. For the Clear filter observations the large circles and solid line present the observed and simulated I/F profiles at 60° phase angle, respectively. Similarly, the small circles and dotted line present the observed³⁶ and simulated UV tangential opacity, respectively.

490 Methods

491

492 **Nomenclature** Through out the text the term haze is used to describe the presence of 493 an opacity source by small particles and does not presuppose anything about their 494 chemical composition. To make a distinction about the chemical composition of the haze 495 particles we add the characterizations of "organic ice" haze or "Titan-type" haze, which 496 describe hazes made through condensation of organic material or through molecular 497 growth processes, respectively. The term tholins has a wide range of applications but 498 here is used as a representative of the optical properties of Titan's hazes derived from 499 laboratory experiments²⁷. In this study we simulate exclusively the formation of organic 500 ices, but we discuss the implications of Titan-type contributions.

501

502 Models The photochemical model is based on previous studies of Titan's photochemistry⁴⁶, updated with high-resolution energy deposition calculations⁶ and the 503 latest chemical reaction rates^{2,47,48}. The model solves the continuity equation taking into 504 505 account atmospheric mixing and molecular diffusion, the chemical production and loss 506 processes, and loss/gain due to condensation/evaporation, for each species considered. We consider species of H/C/N/O composition that can be produced from the $N_2/CH_4/CO$ 507 508 main atmospheric composition. The microphysical aspect of the simulation is based on 509 previous models for haze and cloud formation in Titan's atmosphere^{5,49}. These consider 510 the Brownian (random collisions) growth of particles, as well as, their growth through 511 the deposition of gases on their surface. The model solves for the distribution of particle 512 sizes in a geometrically expanding grid ranging from 0.15 nm to 10 μ m. The impact of 513 atmospheric mixing and particle sedimentation (according to size and shape) on the 514 particle distribution is included. At the top of the model (exobase) we assume escape at 515 the Jeans escape rate for gases and no escape for haze particles, and at the bottom all 516 simulated components (gases and hazes) are lost to the surface at the rate they arrive.

517 Pluto's CH₄ mixing ratio at the surface is fixed at 0.4% and we assume a constant with 518 altitude eddy mixing profile of Kzz=10³ cm²s⁻¹. We derived the Kzz value by matching our 519 simulated CH_4 and N_2 profiles in Pluto's upper atmosphere with the profiles derived 520 from the New Horizons occultation measurements, using the temperature profile 521 derived from that analysis¹⁴. We note, that the characteristic time scales for 522 photochemistry/condensation or sedimentation of the main photochemical products or 523 haze particles, respectively, are significantly smaller than the corresponding 524 atmospheric mixing timescales. For example, C_2H_{6} , C_2H_4 and C_2H_2 have 525 photochemical/condensation time scales at all altitudes that are smaller by factors 526 greater than 10² from the corresponding eddy mixing time scales. For the haze particles 527 the sedimentation time scales are smaller from the eddy mixing time scales by factors of 528 5 at 10 km, 60 at 50 km, 130 at 100 km, and increasing to larger values at higher 529 altitudes. Thus, apart from altitudes near the surface, uncertainties by even a factor of 530 10 in the K_{ZZ} will not significantly affect our conclusions.

Pluto's thermal structure exhibits a sharp temperature gradient close to the surface that requires the use of a fine grid in our diffusion calculations (Fig. 1). Thus, we use a pressure grid of 1,000 levels between the surface and Pluto's exobase that results in altitude layers of sub-km size close to the surface gradually increasing to ~ 10 km at the highest altitudes of our simulation space. This altitude binning is a factor of ~ 10 smaller than the corresponding atmospheric scale height at each altitude.

For the energy deposition from solar photons we use high-resolution N_2 cross sections at wavelengths shorter than 100 nm, while we also consider the role of

539 photoelectrons⁶ in the dissociation/ionization of N_2 and CH_4 . We use the observed solar 540 flux on July 14th, 2015 (New Horizons Pluto flyby) from the TIMED-SEE database (http://lasp.colorado.edu/home/see/). At Pluto's orbit the solar insolation is small 541 enough for the contribution of resonantly scattered Ly- α photons from the 542 543 interplanetary hydrogen population (IPH) to be comparable to the direct solar 544 contribution (4.7×10^8 photons cm⁻² s⁻¹ at 32.91 au). Detailed modeling of this process suggests⁵⁰ that the IPH Ly- α background at Pluto's location at the time of the New 545 *Horizons* flyby corresponds to a sky-averaged photon flux of 145 R. Thus, we consider a 546 total Ly- α flux of ~1x10⁹ photons cm⁻² s⁻¹. We find photons dominate the N₂ photolysis 547 548 near 750 km, while energetic electrons below 600 km. CH₄ photolysis peaks near 500 549 km and is dominated by Ly- α . Pluto's ionosphere is centered near 700 km with a peak 550 electron density of $\sim 10^3$ cm⁻³ consistent with observations⁵¹. The resulting abundance 551 profiles of the major photochemical products demonstrate that they can condense at 552 high altitudes in Pluto's atmosphere (Fig. 1).

For our investigation of Triton's haze we used input from the Voyager observations regarding the thermal structure⁵² and energy input to the atmosphere from Neptune's magnetosphere^{52,53}. Pluto's and Triton's atmospheres are not dense enough to attenuate galactic cosmic rays (GCR), thus we do not consider this energy source for the atmospheric chemistry. However, GCR could affect the surface optical properties (see main text and section below).

559

560 Nucleation/Condensation/Sublimation For the investigation of the HCN profile we 561 consider neutral and ion homogeneous nucleation rates. The theory of these processes is 562 well documented and used for various solar system studies^{54,55,56}. For the evaluation of 563 these rates we use information for the saturation vapor pressure, surface tension, mass density, and dielectric constant of condensed HCN^{55,57,58,59,60,61}. Vapor pressures 564 565 measurements at the low temperature conditions found in Pluto's and Triton's 566 atmospheres are rare and difficult to achieve experimentally. Thus, extrapolation from 567 higher temperature measurements is a common approach for such studies^{2,46,55,62,63}.

New Horizons observations¹⁴ up to 350 km altitude indicate that haze UV extinction drops with a constant scale height of 77 km, i.e. the haze particle size and/or density decreases with increasing altitude. Therefore, if haze particles are readily formed near Pluto's ionosphere, where they could affect the HCN condensation, their size must be small (sub-nm, based on the size of aerosols formed in Titan's ionosphere¹¹), and their impact to nucleation comparable to that of the homogeneous mechanisms.

Nucleation provides the seeds on which condensation can proceed, but is only a minor loss for the gaseous abundance of HCN. As the seeds gradually increase in size through condensation, the condensation loss of gaseous HCN (as well as of other condensing species) becomes progressively more important and eventually affects the resulting gaseous abundance below 500 km. The Kelvin effect of the saturation vapor pressure over curved surfaces is taken into account for each particle radius.

580 The smallest condensate particles populate the first bins of the bulk radius grid of our 581 simulations, depending on the size they are formed through the nucleation of HCN. 582 Subsequently, they grow to larger radii as HCN and other gases condense on their 583 surface. We consider contributions from C₂H₂, C₂H₄, C₂H₆, C₃H₄, C₃H₈, C₄H₂, C₄H₈, C₄H₁₀, 584 C_6H_2 , C_6H_6 , HCN, HNC, CH_3CN , HC_3N , C_2H_3CN , C_2H_5CN , C_2N_2 , C_4N_2 , and H_2CO . The relative 585 contributions from these species control the average particle mass density at each 586 altitude, which we evaluate by calculating the mass flux of each component and the corresponding mass density of its condensate phase^{21,55}. We had to estimate the 587

588 densities for some of the organic ices from their liquid equivalents (species in italics 589 above) as measurements are lacking at the required low temperatures. As demonstrated 590 in Supplementary Table 1, typically the solid density of organic ices is higher than the 591 corresponding liquid value with differences ranging from $\sim 4\%$ up to $\sim 40\%$ among the 592 species for which both evaluations are available. For the heterogeneous component we 593 do not know its corresponding mass density and we assume a nominal value of 1 gcm⁻³. 594 The simulations suggest that the particle mass density varies between 0.967 gcm^{-3} (the 595 mass density of HCN ice) in the upper atmosphere to an average value of ~ 0.85 gcm⁻³ in 596 the lower atmosphere below 300 km (Extended Data Fig. 3). Assuming⁶⁵ a mass density 597 of 0.5 gcm^{-3} for the heterogeneous component, the average particle mass density in the 598 lower atmosphere drops to ~ 0.75 gcm⁻³.

The relative contributions of condensation and coagulation to the total mass growth of particles (Fig. 4 for Pluto and Fig. 7 for Triton) define the transition from spherical to aggregate growth that we describe through the aggregate fractal dimension, D_{f} , which varies between 3 for spheres and 2 for aggregates¹⁸.

For particle sublimation we consider the impact of the heterogeneous chemistry coating. Assuming a mass density for this component of 1 gcm⁻³ and a typical molecular cross section of 10^{-15} cm², the mean free path of evaporating molecules through the coating would be $\lambda_{coat} \sim 0.5$ nm. Thus, we consider that evaporation is inhibited by a factor exp($-\delta r/\lambda_{coat}$), where δr is the difference in the particle size (evaluated as the fractal radius⁵) due to the coating.

609

610 Heterogeneous Processes The heterogeneous loss of gases due to adsorption on 611 particles is evaluated as $L_{\text{Hetero}} = \sum_{p} [n_p \times S_p \times V_{T,gas} \times n_{gas} \times f_{\text{Stick}}(T)]$, where, the summation is over the particle size distribution, n_p and S_p are the density and surface area of size-p 612 particles, V_{T,gas} and n_{gas} are the thermal velocity and density of the hitting molecules, and 613 614 f_{Stick}(T) is temperature-dependent sticking efficiency of each heterogeneously lost 615 molecule with the form $f_{\text{Stick}}(T) = A^*(1-\tanh(0.2^*(T-Tst)))/2$ with A and Tst gas-616 dependent parameters. This expression, based on measured sticking efficiencies of small 617 molecules on water ice⁶⁴, describes a sigmoidal increase of sticking efficiency below 618 temperature Tst, with a maximum sticking efficiency reaching to value A. Heterogeneous 619 gas production due to desorption is evaluated as $P_{\text{Hetero}} = \Sigma_p \left[n_p \times S_p \times V_{\text{T,atm}} \times n_{\text{atm}} \times e_{\text{gas}} \times V_{\text{T,atm}} \right]$ 620 $f_{\text{Disorpt}}(T)$]. In this expression e_{gas} is the contribution of each heterogeneously lost 621 molecule to the total mass of each particle (as in Fig. 2) and $f_{\text{Disorpt}} = B(1-f_{\text{Stick}}/A)$. With 622 this temperature dependence desorption is active only when sticking is inefficient due 623 to the increased atmospheric temperature, i.e. temperature controls the interaction 624 between gases and particles. To match the observed C₂-hydrocarbon profiles (Fig. 5) 625 with this scheme we use A values of $5x10^{-6}$, $1x10^{-5}$, $5x10^{-6}$, with Tst = 82K, 90K, 82K and 626 B = 1×10^{-12} , 1×10^{-12} , 3×10^{-12} for C₂H₆, C₂H₄ and C₂H₂, respectively. It is also interesting to 627 note that experiments on water ice suggest that CO, CH₄, N₂ i.e. Pluto's main atmospheric 628 compounds, can stick on the ice surface at temperature similar to Pluto's⁶⁴. However, as 629 the nature of the substrate has a major role for the interaction with the impinging 630 molecules, we did not consider this effect in our study. It would be useful to explore this 631 option first through laboratory experiments on organic ices.

632 Various studies have suggested that there may be evidence for heterogeneous 633 reactions in Titan's atmosphere but the results seem to be contradictory with the 634 inclusion of heterogeneous processes improving the fits to some photochemical 635 products and worsening them for others². Unfortunately, the evaluation of 636 heterogeneous reactions in Titan's atmosphere is based on short-lived photochemical 637 products with most of them being significantly down the pathway of molecular growth 638 from the initial N_2/CH_4 mixture, where reaction pathways and rates are not as well 639 known as for the first steps. On the contrary, for Pluto's atmosphere the evidence of 640 heterogeneous processes is based on ethane and acetylene, which are long-lived 641 photochemical products with a relatively well understood photochemistry.

642

643 **Other processes** The differences between observed and simulated haze properties indicate that additional processes could affect the particle properties. General 644 circulation models²⁴ demonstrate that for the calculated primary particle radius 645 646 circulation will result in longer residence times than those calculated with our 1D 647 simulations. This effect would increase the altitude slope in the I/F and UV extinction 648 profiles towards the observed. Moreover, observations demonstrate a strong increase in 649 haze scattering towards Pluto's North pole¹³. From high phase angle observations, the 650 local increase near the pole can be up to a factor of three from the azimuthally average 651 I/F (see Fig. 6). We also need to keep in mind that the UV opacity attributed to hazes in 652 the New Horizons occultation analysis could include contributions from gaseous 653 components not identifiable in the spectra. Near the surface, observations suggest that the particle's shape becomes more spherical relative to the aggregate shape at 50 km. 654 655 Our calculations suggest that near the surface condensation of the C_2 -hydrocarbons 656 provides enough mass to the particles to affect their shape (see inset in Fig. 4). However, 657 we did not include this effect in our current calculations. We note that changing the 658 particle shape towards a sphere will increase the sedimentation velocity, thus rapidly 659 decrease the local particle density. Also we did not consider the possible trapping of 660 other gases (e.g. N_2 , CH_4 , CO) in the condensates that would result in a smaller mass 661 density, while we had to make an assumption for the mass density of the heterogeneous 662 component (1 g cm⁻³). Changes in the particle mass density will directly affect the 663 sedimentation velocity of the haze particles and affect their vertical profiles. Sticking of 664 N_2 , CH_4 , and CO at the very low temperatures near the surface is also a possibility, given 665 the efficiency of such processes on water ice⁶⁴. This could further affect the particle 666 shape and refractive index, therefore the local scattered light properties. All the above 667 need to be evaluated in future investigations once a better understanding of the 668 heterogeneous interaction mechanisms is achieved. Finally, note that for the low phase 669 angle observations $(20^{\circ}, 67^{\circ})$ the derived scattered light profiles are based on the 670 removal of a surface scattering contribution, the latter being inferred from the surface of 671 Charon¹³. The uncertainties from this approach are not well constrained.

672

673 Particle treatment by UV and cosmic rays Due to the low atmospheric density and the 674 loss of photochemical products from condensation, as well as, the low opacity of the 675 haze, the atmosphere beyond ~ 150 nm is practically transparent and solar insolation reaches the surface. Our calculations provide an energy flux of $\sim 6x10^{-6}$ Wcm⁻² in the 676 wavelength range 200-350 nm. Laboratory experiments²⁹ studying the irradiation of 677 678 C₄N₂ ice at similar wavelengths show that 13% of ice thickness (200 nm) is polymerized 679 when irradiated by UV light for 84,000 s and a flux of 0.05 W cm⁻². Using this estimate 680 for Pluto's case and considering the particle residence time in the lower 200 km ($\sim 10^8$ s) 681 we find that particles should be affected to a depth of 3.7 nm. However the production of 682 polymer material acts as a screen that absorbs the incoming high-energy photons and 683 eventually limits the growth of the polymer. Further input from laboratory studies is 684 required to investigate this effect on the organic ices identified here for the composition 685 of Pluto's haze. Cosmic rays provide a higher energy input (MeV-GeV) but with lower

flux. Each energetic particle can produce a cascade of high-energy photons/electrons
that amplifies the effect of each original impact. Cosmic rays have a higher penetration
depth compared to UV photons and can excite simultaneously multiple molecules in the
ice that are intermediates towards polymerization⁶⁵.

690

691 **Refractive indices** For C_4H_2 and C_2H_4 ices, which we find to be the major mass 692 contributors for Pluto's and Triton's hazes respectively, information for their (n,k) is 693 limited to IR wavelengths where their absorption bands are detected^{22,67}. Moreover, the 694 corresponding n values at visible wavelengths (n_{VIS}) are known⁶⁷, or can be estimated 695 from the liquid phase²¹. It is well established that organic ices have extremely low absorptivity at visible wavelengths³², thus the lack of the exact knowledge of the k_{VIS} 696 697 values, will not affect our evaluation of the scattering properties of the particles at 698 visible wavelengths. However, for comparison with the UV observations we do need an 699 estimate for their $(n,k)_{UV}$. We generate such estimates based on the available refractive 700 indices at IR wavelengths and the Kramers-Kronig closure relationships⁶⁸. This is a well-701 established technique used in laboratory studies to derive the refractive index at IR 702 based on absorptivity measurements and the known refractive index at visible, 703 $n_{VIS}^{22,67,69}$. Here we use this technique in a reverse approach, as the value of n_{VIS} is 704 dominated by the integrated contribution from shorter wavelengths. For the λ -705 dependence of k_{UV} we can use the photoabsorption cross section (σ_{UV}) of the 706 corresponding gas phase to derive an estimate⁷⁰ for $k_{UV} = \rho_s \sigma_{UV} \lambda N_A / 4\pi M$, where M is the molecular mass of the molecule and ρ_s the solid's mass density, and N_A Avogadro's 707 number. This approach has been used before for estimating the absorptivity of CH₄ ice⁷¹. 708 709 The accuracy of this approximation depends on the molecule considered. Studies of UV 710 absorptivities of molecules at gas and solid phases demonstrate that the phase 711 transition results in blue shifts of major electronic bands and the suppression of 712 vibrational futures⁷². Moreover, such effects appear to be enhanced in polar relative to 713 non-polar molecules.

714 As a demonstration of our approach we present the cases of CH₄ and H₂O ices for 715 which UV measurements are available^{73,74,75}. For CH₄ ice (Extended Data Figure 4), the 716 gas-phase estimate is consistent with the measured k_{UV} for which the laboratory 717 measurements show that the blue shift is small⁷³ (~3.8 nm at the peak of the lowest 718 absorption band, corresponding to energy shift of $\sim 2,000$ cm⁻¹). Moreover our estimate 719 is consistent with a previous estimate based on the same approach⁷¹. For the H_2O ice 720 (Extended Data Figure 5), the gas phase estimate does not provide an as good match to 721 the n_{VIS} as for the CH₄ case. For this polar molecule laboratory studies⁷⁶ show that the 722 blue shift is significantly larger (~23 nm). To evaluate this effect on our calculation we 723 fitted the k_{IV} from the gas phase with a set of gaussians which we subsequently blue 724 shifted by a constant energy. Applying a shift of $\sim 10,000$ cm⁻¹ brings the k_{UV} closer to the 725 observed spectrum while also impoving the derived n_{VIS} value from 1.270 to 1.294 (note 726 that n_{VIS} values are measured to an accuracy of $1\%^{76}$, thus this difference could be 727 significant).

With this approach we derived the estimates for the k_{UV} of C_4H_2 and C_2H_4 (Extended Data Figures 6 & 7). As these are also non-polar molecules we evaluated how the refractive index would change assuming relatively small blueshifts (1,000-5,000 cm⁻¹ based on the above discussion). For the wavelength range sensitive to the haze retrieval by New Horizons (175-188 nm) we estimate that the average C_4H_2 ice k_{UV} may vary between $6x10^{-3}$ and $1.5x10^{-2}$. The corresponding k_{UV} range for C_2H_4 ice for Voyager observations (150-160 nm) is 0.65-0.72. We emphasize here that these are bulk estimates used to derive an order of magnitude evaluation for the possible range of UV

- extinction for our simulated haze distributions. Actual measurements are required for a
- better evaluation, and we hope this work will motivate such laboratory studies.

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- 740 <u>Methods References</u>
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B36 Data availability The data that support the plots within this paper and other findings of
this study are available from the corresponding author upon reasonable request.

838 U

839 Code availability The codes used in this study are described in detail in previous
840 relevant publications (see references). They are not publicly available owing to their
841 undocumented intricacies.

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