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Innovative drug delivery nanosystems improve the anti-tumor activity in vitro and in vivo of anti-estrogens in human breast cancer and multiple myeloma[☆]

Sébastien Maillard^a, Thibault Ameller^a, Juliette Gauduchon^b, Angélique Gougelet^a, Fabrice Gouilleux^c, Philippe Legrand^a, Véronique Marsaud^a, Elias Fattal^a, Brigitte Sola^b, Jack-Michel Renoir^{a,*}

^a UMR CNRS 8612, Pharmacologie Cellulaire et Moléculaire des anticancéreux, 5 rue Jean-Baptiste Clément, 92296 Châtenay-Malabry Cedex, France ^b UPRES-EA 2128, Université de Caen, Basse Normandie, Caen, France ^c INSERM EMI0351, Université Jules Verne, Amiens, France

Abstract

Anticancer drug efficiency is governed by its bioavailability. In order to increase this parameter, we synthesized several injectable and biodegradable systems based on incorporation of anti-estrogens (AEs) in nanoparticles (NPs) and liposomes were synthesized. Both nanospheres (NS) and nanocapsules (NCs, polymers with an oily core in which AEs were solubilized) incorporated high amounts of 4hydroxy-tamoxifen (4-HT) or RU 58668 (RU). Physico-chemical and biological parameters of these delivery systems, and coupling of polyethylene-glycol chains on the NP surface revealed to enhance the anti-tumoral activity of trapped AEs in a breast cancer MCF-7 cell xenograft model and to induce apoptosis. These features correlated with an augmentation of $p21^{Waf-1/Cip1}$ and of $p27^{Kip1}$ and a concomitant decrease of cyclin D1 and E in tumor extracts. Liposomes containing various ratios of lipids enhanced the apoptotic activity of RU in several multiple myeloma (MM) cell lines tested by flow cytometry. MM cell lines expressed both estrogen receptor α and β subtypes except Karpas 620. Karpas 620 cells which did not respond to AEs became responsive following ER cDNA transfection. A new MM xenograft model was generated after s.c. injection of RPMI 8226 cells in nude mice. RU-loaded liposomes, administered i.v. in this model, at a dose of 12 mg RU/kg/week, induced the arrest of tumor growth contrary to free RU or to empty liposomes. Thus, the drug delivery of anti-estrogens enhances their ability to arrest the growth of tumors which express estrogen receptors and are of particular interest for estrogen-dependent breast cancer treatment. In addition it represents a new potent therapeutic approach for multiple myeloma.

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Keywords: Drug delivery; Nanoparticles; Liposomes; Anti-estrogens; Cancer cell xenografts

Abbreviations: E₂, estradiol; ER, estradiol receptor; ERE, estrogen responsive element; MM, multiple myeloma; RU, RU 58668 (11β-[4-[5-[(4,4,5,5,5-pentafluoropentyl)sulfonyl]pentyloxy]phenyl]-estra-1,3,5(10)-triene-3,17β-diol; 4-HT, 4-hydroxy-tamoxifen; SERM, selective estrogen receptor modulator; SERD, selective estrogen receptor down-regulator; LUC, luciferase reporter gene; DMEM, Dulbecco's modified Eagle's medium; FCS, fetal calf serum; NC, nanocapsules; NP, nanoparticles; NS, nanospheres; PAGE, polyacrylamide gel electrophoresis; PEG, polyethylene-glycol; PLA, poly(D,L lactic acid); SDS, sodium dodecyl sulphate; MTS, 3-(4,5-dimethylthylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium, inner salt; TCE, total cellular extract

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^{*} Corresponding author. Tel.: +33 1 46 83 58 31; fax: +33 1 46 83 58 32.

E-mail address: michel.renoir@cep.u-psud.fr (J.-M. Renoir).

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1. Introduction

Among estrogen-dependent cancers, breast cancer is certainly the most widespread and the best studied. Worldwide, close to 1 million women per year are diagnosed with breast cancer [1]. For a therapy use, a large number of antiestrogenic molecules have been developed [2] in order to abolish the mitogenic activity of estradiol (E_2) . E_2 exerts its effects in the mammary gland through binding to the estrogen receptors (ER α and ER β) belonging to the transcription factor superfamily of nuclear receptors. E2 also affects the growth and/or differentiation of other tissues, such as bone, liver, brain and the cardiovascular system [2,3]. The discovery of ERB [4] has considerably enhanced our understanding of the mechanism of action of E2 and the pharmacology of antiestrogenic molecules (for a review see [5]). As an example, Tamoxifen (Novaldex[®]), which is the endocrine therapy of choice for the treatment of ER-positive breast cancer, and its active metabolite 4-hydroxy-Tamoxifen (4-HT), display both antagonist/agonist activities which are determined by the nature of the promoter to which ER binds, the nature of the ER $(\alpha \text{ or } \beta)$ predominantly present in the tissue and the cellular content in term of co-activators/co-inhibitors ratio [6]. In fact, Tamoxifen acts as an antagonist in the breast, but behaves as a full agonist in the uterus where it can induce endometrial carcinomas [2]. Thus, Tamoxifen and all the triphenyl ethylene compounds of the same family of molecules, are considered as tissue-selective estrogen receptor modulator (SERM). Unfortunately, Tamoxifen resistance often occurs, and in that case, a blockade of E₂ synthesis by aromatase inhibitors is sometimes of benefit. In other cases, no response to aromatase inhibitors happens. Thus, despite encouraging improvements in breast cancer treatment, prognosis of metastatic disease is dramatic, stressing the need of new drugs and new administration strategies.

Besides gynecological tumors such as some affecting the ovaries and the uterus, other various tumor pathologies (liver, skin, colon, pancreas, etc.) as well as various nontumor pathologies (benign mastopathies, endometriosis, etc.) have been shown to clinically respond with benefit to antiestrogens [7] but the molecular mechanism(s) of this response is (are) not understood. Multiple myeloma (MM) is still an incurable hemopathy characterized by the clonal accumulation of plasma cells within the bone marrow. For this pathology also, new therapeutic strategies must be envisaged [8]. In previous works, the presence of both ER subtypes in MM cells had been suspected [9–11] and we showed recently that 4-HT induces a G1-arrest of cell cycle as well as apoptosis in several MM cells [12,13].

Considering that an orally administration of an antiestrogen (AE) will be widely distributed to the whole body and reach unwanted tissues, we have thought to device injectable and biodegradable, non-toxic formulations loaded with different AEs. In previous studies, we focused on the incorporation of the so-called "pure anti-estrogen" RU 58668 (RU) [14,15] into nanoparticles (NPs) [15,16]. RU, like the other AE ICI 182,780 (or Faslodex[®] or Fulvestran[®]), both belong to the class of SERDs (selective estrogen receptor downregulators). Indeed, they induce a fast delocalization and a rapid 26S-proteasome-mediated degradation of ERa in breast cancer cell nucleus [17-19]. NPs were made of a copolymer consisting of a biodegradable poly(D,L-lactic acid) (PLA) covalently bound to a hydrophilic block of polyethylene-glycol (PEG), in order to improve NP stability in blood. This is a prerequisite for obtaining a prolonged circulating time in the blood stream and to increase the probability of NPs to extravasate in tumor tissues. In fact, solid tumors are richly vascularised and their vasculature endothelium is discontinuous [20]. We then choose to use an intravenous administration route of NPs as effective drug carriers able to cross this discontinuous endothelium. When injected in the blood stream, conventional NPs are rapidly opsonized and finally removed from the circulation by the macrophages of the reticuloendothelial system. Covalent coupling of hydrophilic chains at the surface of NPs is a mean to drastically reduce or at least delay, the opsonisation process [20,21]. Two types of NPs were prepared: nanospheres (NS) composed of a polymer matrix in which the anti-estrogen is entrapped, and nanocapsules (NCs) which are vesicular systems in which the active compound is confined to an oily cavity surrounded by a polymer membrane. Nanoparticles loaded with RU or 4-HT were tested in vitro on human cancer cells and in vivo on xenografts bearing human breast cancer cells. Simultaneously, RU was also incorporated in liposomes in order to compare the biological efficiency of both colloidal delivery systems. Finally, RU-loaded liposomes were evaluated on a multiple myeloma (MM) RPMI 8226 xenograft model. In his new MM xenograft model we found that RU-liposomes induce a decrease of the tumor growth.

2. Materials and methods

2.1. Preparation of PEG-coated nanoparticles and PEG-liposomes

The poly(D,L-lactic acid) polymer ($M_w = 53$ kDa) was purchased from Phusis (France). The PEG–PLA NPs were prepared as previously described [16] based on interfacial preformed polymer deposition following solvent displacement. Briefly, 20 mg preformed polymer were solubilized in 1 ml acetone containing various concentrations (2×10^{-5} M to 10^{-3} M) of RU (Roussel-Uclaf, Romainville, France), then rapidly dispersed into 2 ml of purified water (MilliQ, Millipore[®]) following evaporation under nitrogen current. In the case of NCs, only 5 mg preformed polymer per ml acetone were used but a lipophilic tensioactive agent (Lipoïd S75, Lipoïd GmbH, Ludwigshafen, Germany) and oil (Miglyol 810, Hüls, Germany) were added to the organic phase.

For liposome preparation we used egg phosphatidylcholine (EPC), a gift from Lipoïd GmbH, 1,2-distearoyl-snglycero-3-phosphoethanol-amine-*N*-methoxy-[poly-(ethylenglycol)-2000] (DPSE-PEG²⁰⁰⁰) and phosphatidylgycerol (Avanti Polar Lipids Inc., Birmingham, USA). Cholesterol (5-cholesten-3β-ol) (CHOL) was purchased from Sigma (St. Louis, MO, USA). Liposomes containing 50 mM lipids were prepared by lipidic film hydratation [22] at the following ratios: EPC/DSPE-PEG²⁰⁰⁰ (94:6) or EPC/CHOL/DSPE-PEG²⁰⁰⁰ (64:30:6). RU was loaded into liposomes by mixing with lipids solution. Lipidic film was resuspended in Hepes buffer (Hepes 10 mM/NaCl 145 mM, pH 7.0) buffer. The multivesicular preparations were then extruded (extruder Whitley, Lipex, Vancouver, Canada) at room temperature sequentially through 0.2 and 0.1 μm polycarbonate membranes. Then, unincorporated RU was eliminated by ultracentrifugation at 300,000 × g for 24 h at 4 °C (L8-70, Rotor 50.4 Ti, Beckman, USA).

2.2. *Physicochemical characterization of colloidal systems*

NPs and liposome sizes were measured by Quasi-Elastic Light Scattering (QELS) with a Nanosizer (Coultronics N4Plus, Margency, France). The RU amount incorporated into NPs was measured by a HPLC method at 230 nm (columm: Hypersil Kromasil C1 (Thermoquest Corp., UK), phase: acetonitrile/phosphate buffer 0.05 M, pH 7.0 (53/47) as described previously [16,23]. Zeta potential was determined with a Zetasizer 4 Malvern (Malvern Inst., UK). Liposomes samples were diluted in NaCl 1 mM solution. RU incorporated into purified liposomes was determined by UV spectrophotometry at 232 nm after lipid solubilization in butan-1-ol. Lipid concentration was determined by the enzymatic kit assay PAP 150 (BioMérieux, Lyon, France).

2.3. Cell culture and transfection

MCF-7, and MELN [24] cells were cultured in Dulbecco's modified Eagle's medium (DMEM Biological Industries Inc., Kibbutz Beit Haemek, Israel) supplemented with L-glutamine (2 mM), penicillin (50 IU/ml), streptomycin (50 IU/ml), 10% fetal calf serum (FCS) and maintained at 37 °C and 5% CO₂ in humidified atmosphere. MELN cells are MCF-7 cells stably expressing the luciferase (LUC) reporter gene under the control of an estrogen responsive element (ERE) linked to the minimal β-globulin promoter (ERE- β -globulin-LUC). In the culture conditions described, 0.1 nM 17β -estradiol (E₂) was found to induce the maximum LUC transcription in MELN cells. In order to evaluate the release of RU from the formulations, NPs were added for 18 h in the culture medium or into cell culture inserts, size cut-off: 10 nm (Nalge Nunc Inc., USA) in the presence or not of 0.1 nM E₂. Multiple myeloma cell lines LP-1, OPM-2, NCI-H929, RPMI 8226, U266 and Karpas 620 have been described previously [25]. Cells were maintained in RPMI 1640 medium supplemented with 10% FCS, 100 IU/ml penicillin, 100 µg/ml streptomycin, 2 mM L-glutamine. Before AE treatment, cells

were grown for 3 days in phenol-red free medium supplemented with charcoal-stripped FCS. Transient transfection of Karpas 620 MM cells were performed by electroporation (250 V, 950 μ F, GenePulser II, Bio-Rad, Marnes la Coquette, France). Cells were washed then seeded at 10⁷ cells in 400 μ l of phenol red/serum-free medium for 2 h at 37 °C, submitted to electrical field then cultured in complete medium containing charcoal-treated FCS for 48 h. Cells were treated with various concentrations of AEs or vehicle for 24 h and MTS assays were performed (see below).

2.4. Cell viability determination (MTS assay)

Cell viability was assayed using CellTiter 96 Aqueous One Solution[®] (Promega, Charbonnières, France) following the manufacturer instructions. 10^4 cells were seeded into 96-well plates, incubated with vehicle or various concentrations of AE for various times. Each culture condition was analyzed in triplicate. The values of absorbance at 492 nm were corrected by subtracting the average absorbance from the control wells containing cell-free medium. Hundred percentage of cell viability was affected to non-treated cells.

2.5. Cell cycle analysis and apoptosis determination

For each culture condition, 5×10^5 cells were washed twice in cold phosphate-buffered saline (PBS), pelleted and suspended in ice-cold EtOH (70% in PBS). Fixed cells were then centrifuged and suspended in PBS containing 100 µg/ml RNase A (Roche Molecular Biochemicals, Meylan, France) and 20 µg/ml propidium iodide (PI, Sigma–Aldrich) for 30 min at 37 °C. Cells were analyzed with a FACS Calibur (Becton-Dickinson, Le Pont de Claix, France) equipped with an argon laser tuned at 488 nm and data were obtained with the CellQuest 1.2.2 and the ModFit LT 1.01 softwares (Becton-Dickinson).

2.6. Western blotting

Total cell extracts were prepared by addition of lysis buffer (Hepes 50 mM pH 7.5/NaCl 150 mM/glycerol 10%/Triton X100 1%/MgCl₂ 1.5 mM/EDTA 1 mM/proteases inhibitors (Complete reagent, Roche Diagnostics, Indianapolis, IN) for 30 min at 2–4 °C followed by 10 min boiling in Laemmli sample buffer. Protein concentration was determined by Bio-Rad Assay modified as in [17] when SDS was present. Proteins were loaded on SDS-PAGE, separated and then electrically transferred onto Immobilon-P membranes (Millipore). Membranes were blocked with 10% dry non-fat milk and probed with the indicated antibodies overnight at 4 °C. The used antibodies were: a mouse monoclonal anti-ERα antibody (D12) (sc-8005, Santa-Cruz Biotechnology, Santa-Cruz, CA, USA used at 1 µg/ml) or a mouse anti-ER β (UCG40) ER- β purified rabbit antibody (gift from G. Greene, used at 1 µg/ml); polyclonal anti-cyclin D1 (Sigma, clone DCS-6, used at 1/200^e dilution), anti-p27^{Kip-1} (SantaCruz, used at 1/200^e dilution), anti-cdk2 (Santa Cruz, used at 1/200^e dilution), anti-cdk4 (Santa Cruz, used at 1/200^e dilution), anti-Bax (Euromedex, used at 1/1000^e dilution), anti-cyclin E (Santa Cruz, used at 1/100^e dilution) and the anti-β-actin antibody (sc-1616 Santa-Cruz, used at 1/100^e dilution antibodies). Membranes were immunoblotted with the corresponding biotinylated (Vectastain ABC kit, Vector Laboratories Inc, Burlingame, CA for ERa) or horseradish peroxidase (for ER β and other antigens) as second antibodies, then exposed after incubation with the enhanced chemiluminescence Western blotting detection reagent (ECL, Amersham Biosciences) to autoradiographic films (X-OMAT-AR, Eastman Kodak Company, Rochester, NY, USA). Signals were normalized by densitometry with reference to β -actin utilizing the Bio-Profil V99 BIO 1D software from Vilber Lourmat Fisher BioScientific, France).

2.7. Xenografts experiments

Sub-confluent MCF-7 cells were resuspended in the culture medium at the density of $5-10 \times 10^7$ cells/ml. Female nude mice (7–8 week-old; Janvier, France) were injected with 200 µl of this suspension percutaneously as described previously [14,15]. Mice injected with MCF-7 cells were then treated weekly with E₂ solution in EtOH by skin deposition (100 µg/mouse). The tumors developed during 5–7 weeks reaching 300–500 mm³ (calculated as 1/2 width × length²), and then were transplanted (biopsies of 1–2 mm³) under the skin in the nipple vicinity of new mice. Tumors were allowed to grow until 5–8 mm diameter (6–8 weeks). All the experiments were carried out with tumor fragments obtained from single tumors for a single group of treated mice.

Table 1

Characteristics of colloidal nanosystems for the drug delivery of anti-oestrogens

The test of RU-loaded NPs was performed by i.v. injecting weekly (or biweekly, depending of the experiment) mice bearing E_2 -dependent MCF-7 cell tumors with various RU amounts in the presence or not of E_2 (0.5 mg/kg).

Sub-confluent RPMI 8226 cells were resuspended in the culture medium at the density of $5-10 \times 10^7$ cells/ml. Female nude mice (7–8 week-old; Janvier, France) were injected with 200 µl of this suspension percutaneously as described previously. Tumors developed during 6–8 weeks until 5–8 mm diameter. For mice bearing RPMI 8226 tumors, a single weekly injection of RU-containing liposomes was performed. The tumor volume was measured once a week and at the end of the experiment, the animals were killed and the tumors were removed and weighed. The tumor evolution was calculated as the ratio between the final and the initial tumor weight (week 0). During the experiments, mice had free access to food and water and all the experiments were performed in accordance with the principles of the Declaration of Helsinki and in respect of the French legislation on animal welfare.

2.8. Statistical analysis

Student *t*-test was used for the determination of differences between two experiments. Repeated measures ANOVA test was used for comparisons of tumor proliferation curves.

3. Results

3.1. Synthesis of AE-containing colloidal formulations

In Table 1, we present some of the physico-chemical parameters of both nanospheres (NS) and nanocapsules (NCs)

	RU	4-HT	Mean diameter	Zeta potential	Encapsulation	Encapsulation
			(nm)	(mV)	efficiency	percentage (%)
Nanoparticles						
PLA NS	_	_	200 ± 50	-28 ± 3	nd ^a	nd
PLA NS	+	_	170 ± 30	-3.1 ± 0.7	32.8 μg AE/mg polymer	≥ 98
PLA NS	_	+	172 ± 33	4.1 ± 0.6	19 µg AE/mg polymer	98
PEG–PLA NS	_	_	133 ± 48	-26.1 ± 0.9	nd	nd
PEG–PLA NS	+	_	160 ± 30	-24.7 ± 0.6	32.8 μg AE/mg polymer	96
PEG–PLA NS	_	+	137 ± 47	1.2 ± 0.7	18.1 μg AE/mg polymer	97.6
PLA NC	_	_	245 ± 90	-50 ± 1.1	nd	nd
PLA NC	+	_	233 ± 75	-5.2 ± 4	5.3 μg AE/mg polymer	<u>≥</u> 99
PEG–PLA NC	_	_	233 ± 67	-44.4 ± 1.3	nd	nd
PEG–PLA NC	+	-	245 ± 87	-42.2 ± 2.3	5.3 µg AE/mg polymer	98
Liposomes						
EPC/DSPE-PEG ²⁰⁰⁰ (94:6)	_	_	110 ± 33	-23.5 ± 5.8	nd	nd
EPC/DSPE-PEG ²⁰⁰⁰ (94:6)	+	_	111 ± 31	-24.0 ± 5.8	3.609 mM AE × 100/mM lipids	≥ 90
EPC/CHOL/DSPE-2000 (94:30:6)	_	_	106 ± 29.7	-25.6 ± 5.9	nd	nd
EPC/CHOL/DSPE-PEG ²⁰⁰⁰ (64:30:6)	+	_	94.7 ± 32.2	-26.5 ± 5.8	$3.205 \text{ mM AE} \times 100/\text{mM}$ lipids	≥ 90

The mean diameter and zeta potential (mean values of at least three measures made on three different preparations \pm S.E.M.) of the different formulations containing (+) or not (-) an AE are presented together with the encapsulation efficiency (expressed as the amount of AE encapsulated in µg/mg polymer for NPs and as mM AE/mM lipid for liposomes, respectively) and the percentage of encapsulation measured as compared to the amount of AE added to the polymers

^a Not determined.

made of PEG-PLA loaded with RU or 4-HT and those of liposomes containing RU. The size of NPs, whatever the AE incorporated or not, did not vary significantly, contrary to what was observed with other formulations realized in our laboratory, showing a size decrease of pegylated poly(D,L-lactide-co-glycolide) (PEG-PLGA) and of poly(Ecoprolactone) (PEG-PCL) once the hydrophobic RU was incorporated, reflecting compaction of the copolymers as already discussed [16,23]. Moreover the size of liposomes was significantly smaller than that of NPs and was around 110 nm. In the case of 4-HT, the positive zeta potential of NS obtained may indicate that some of the AE was adsorbed at the polymer surface due to the intrinsic 4-HT charge. On the contrary, RUloaded NPs did not differ in their charge from empty NPs. Since RU has no intrinsic charge, we cannot rule out the presence of some RU at the surface of NPs. The maximum concentration of RU and of 4-HT incorporated in PEG-PLA nanospheres and PEG–PLA nanocapsules reached 5×10^{-4} and 2×10^{-5} M, respectively.

All liposomes batches were prepared with an initial concentration of RU of 1.25 mM whether cholesterol was added or not in the formulation. RU concentration in liposomes reached 1×10^{-3} M, thus at least two times more than the highest amount of RU incorporated in NS. For all formulations, the encapsulation yield was at least 90%. Only the encapsulation rate varied according to the lipidic composition and the uptake efficiency varied with lipids. When cholesterol was added, the uptake efficiency slightly decreased. The mean diameter of all formulations did not exceed 250 nm, a size compatible with a capacity to cross through the discontinuous endothelium at the tumor level [20].

3.2. Nanosystems retard the AE release

In order to compare the activity of AEs whether encapsulated or not, we used MELN cells for measuring their anti-estrogenic potential. Fig. 1 reveals that the dosedependent RU-mediated inhibition curve of E2-induced luciferase (LUC) gene transcription in MELN cells was shifted to the right when RU PEG-PLA nanospheres were added in the culture medium. In this experiment, cells were separated from the formulation by the use of inserts in which the NS were introduced. Inserts impeded nanospheres but not free RU to cross the membrane. In MELN cells the maximum LUC activity was obtained at 0.1 nM E₂. In the presence of free RU, whether added in the inserts or in the culture medium (the same results were obtained), 50% inhibition of LUC activity was obtained at 10 nM, while the same inhibition extent occurred at a ten fold higher RU concentration when RU was trapped into PEG-PLA NS. This indicates that (a) RU diffuses freely through the insert membrane inhibiting equally LUC expression whether added in the insert or in the culture medium and (b) RU is released slowly from the NS following the degradation of the polymer by the enzymes contained in the serum. Similar experiments performed with liposomes described in Table 1 allowed to conclude that cholesterol inFig. 1. RU trapped into PEG–PLA nanospheres prolongs its anti-oestrogenic activity. MELN cells (50% confluence) were grown in phenol red-free DMEM for 3 days then exposed for 18 h to increasing amounts of free RU introduced into inserts (1) or to RU PEG–PLA nanospheres (same RU concentrations) introduced into inserts (2), in the presence of 0.1 nM E₂ (concentration giving the maximum LUC activity, upper horizontal line). The results (mean of triplicates) are expressed in LUC activity relative to the basal activity level obtained in the absence of E₂ (lower discontinuous horizontal line).

corporation in the lipid composition led to slow down RU release from this formulation (not shown).

3.3. Incorporation of RU in nanosystems enhances the growth inhibition and apoptosis breast cancer cells

In breast cancer cells, the SERM 4-HT and the SERD RU block cell cycle progression at the G1/S transition phase of cell cycle [26-28]. We compared the response of MCF-7 cells treated with RU or 4-HT, both free or encapsulated into PEG-PLA NS, with that of unloaded NS and NCs (Fig. 2). In addition, RU-loaded NCs were added in the assay. An increase of the amounts of cells in G0/G1 was observed (from 70% for untreated cells to 80% at 48 h and 82% at 72 h for RUtreated cells, Fig. 2A). This is consistent with the blockade of MCF-7 cells in quiescence by pure AE [26]. Similar effects were observed with trapped RU in both NS and NCs. By contrast, free 4-HT at the same concentration than RU had no effect on the number of cells in G0/G1 phase at 48 h, but the percentage of cells in G0/G1 increased when 4-HT was entrapped into NS. At 72 h, both free and trapped 4-HT displayed an enhanced effect (Fig. 2A) in agreement with a blockade in G1 and a potentiation of the anti-proliferative activity of entrapped 4-HT. At both 48 and 72 h, encapsulated 4-HT decreased the S cell fraction. Concomitantly, while RU $(1 \,\mu M)$ decreased the S phase fraction from 20% to 11% at both 48 and 72 h, RU encapsulated into NS had a similar effect at 48 h (a decrease from 20% to 12%) but a stronger one at 72 h (a decrease to 8%). RU encapsulated into NCs had a weaker effect (13% at both times) (Fig. 2B). This may be due to a slower RU release from NCs than from NS in vitro.



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Fig. 2. Cytofluorometric profiles of MCF-7 cells treated by free and encapsulated 4-HT and RU. MCF-7 cells were treated or not (control, \blacksquare) for 48 or 72 h with free RU (\blacksquare , 1 µM), RU-loaded PEG–PLA NS (\blacksquare , RU 1 µM, 20 µg/ml copolymer), RU-loaded PEG–PLA NC (\square , RU 1 µM, 125 µg copolymer/ml), free 4-HT (\square , 4-HT 1 µM), 4-HT-loaded PEG–PLA NS (\blacksquare , 4-HT 1 µM, 20 µg copolymer/ml). Cell cycle analysis was performed after PI staining of EtOH-permeabilized cells. The percentage of cells within the G0/G1 phase (A), within the S phase (B) and within the sub-G1 fraction (C) are indicated. In panel D, the percentage of cells within the different phases of the cell cycle were analyzed at the same time and after addition in the culture medium of PEG–PLA NS at 125 µg/copolymer/ml and of PEG–PLA NC at 20 µg copolymer/ml. Results are the mean values (±S.D.) of triplicates. *p < 0.05 and **p < 0.01 relative to untreated cells.

At the concentration used, RU, whether encapsulated or not, increased time-dependently the population of cells in sub-G1 (Fig. 2D). This effect is potentiated by incorporation of RU into PEG-PLA NS and much more by encapsulation into NCs. In the same conditions 4-HT, whether free or trapped into PEG-PLA NS, had a weaker potency to induce MCF-7 apoptosis than RU at 48h but a similar activity at 72h (Fig. 2D), indicating a slower time-dependent release of 4-HT than of RU from NS. The higher number of cells in sub-G1 was observed when cells were exposed to RU trapped into NCs (Fig. 2D). However, as shown in Fig. 2D, free NCs induced by themselves a weak increase of the number of cells in sub-G1 which could explain the enhanced apoptotic activity of RU encapsulated into NCs. However, the increase of the number of cells in sub-G1 when exposed to RU- and 4-HT-loaded nanoparticles were significantly higher than that of cells exposed to unloaded NC and NS (not shown).

3.4. RU- and 4-HT-loaded nanoparticles enhance the anti-tumor activity of AEs in MCF-7 xenografts

Nanospheres of 4-HT and of RU loaded at the same drug concentration were biweekly injected in nude mice bearing MCF-7 cell tumors (see Section 2). As shown in Fig. 3, the increase of the tumor volume of mice having received free 4-HT at 4.3 mg/kg/week was quite similar to that of the controls. Free RU at the same dose inhibited slightly tumor growth



Fig. 3. Antitumoral activity of 4-HT and RU-loaded into PEG–PLA NS in MCF-7 xenografts. Four groups of nude mice (n=8) bearing randomized MCF-7 tumors were biweekly i.v. injected with either free 4-HT (4.3 mg/kg/week) in 5% glucose (\bigcirc), 4-HT-loaded PEG–PLA nanospheres (4.3 mg 4-HT/kg/week) (\blacklozenge), free RU in 5% glucose (\bigcirc ; same dose than 4-HT) and RU-loaded PEG–PLA nanospheres (\Box ; same dose than encapsulated 4-HT), all in the presence of 500 µg E₂/kg/week. The animals of the control group received E₂ alone (\blacktriangle) at the same dose via the same administration route. Another group (\bigstar ; similar curve) received a biweekly i.v. injection of NCs loaded with RU at 0.25 mg/kg/week. Twice a week the volume of the tumors was measured. Arbitrary, the volume of the tumors at the beginning of the experiments was fixed to 100%. Results are the mean of calculated tumor volumes \pm S.E.M. *p < 0.05 relative to RU loaded PEG–PLA NS. RU induces a decrease of the tumor volume while 4-HT reduces their progression rate.

rate. However, mice which received an identical dose of 4-HT encapsulated in PEG–PLA NS showed a significant inhibition of the tumor growth rate, although less marked than that occurring in xenografts treated with RU-loaded PEG–PLA nanospheres. In this later case, the mean size of the tumors at 5 weeks was significantly lower than that of tumors treated by 4-HT NS and than that of initial untreated tumors, suggesting that formulated RU is able to induce a reduction of the tumor size and not simply to arrest their growth. This is in agreement with previous data obtained with non-formulated free RU injected percutaneously at 250 mg/kg/week [29]. Here, a decrease of the tumor size after 5 weeks of treatment with RU-loaded NS was achieved for 4.3 mg RU/kg/week. Importantly, NCs loaded with RU at 2×10^{-5} M (thus given at

1 mg/kg/week) were not capable of inhibiting the E₂-induced tumor growth rate through this administration route (Fig. 3), probably due to insufficient AE amount administered.

3.5. Cell cycle regulatory proteins in tumors exposed to nanoparticles containing AE

Three to five tumors of similar size from a group of animals equally treated were collected and TCEs were prepared and pooled prior to immunoblotting. The level of each protein was evaluated relatively to β -actin by densitometric analysis. While cyclin D1 was slightly decreased by free RU and more strongly by RU-loaded NS, it was not affected by free or encapsulated 4-HT (Fig. 4A and B). None of the treatments had



Fig. 4. Expression of cell cycle regulatory proteins in tumors from xenografts treated or not with PEG–PLA NS loaded with either RU or 4-HT. At the end of the experiment described in Fig. 3 tumors were excised homogenized, proteins were extracted as described in Section 2, then 100 µg proteins were separated on SDS–PAGE and blotted with the different antibodies (A). Due to the small tumor volume, only 50 µg of protein extracts from animal treated with free RU and NS-PEG–PLA RU were analyzed. After blotting with corresponding antibodies, the amount of protein was quantified by scanning densitometry using the Vilber Lourmat software and the relative cyclin D1 and cdk4 expression (B), cyclin E and cdk2 expression (C), p21^{Waf1/Cip1} and p27^{Kip1} (D) expression were expressed by comparison with the level of actin. In (E), the Bax content is shown similarly.

an impact on cdk4 level. AE treatments decreased the level of cyclin E with various magnitudes but have no effects on cdk2. The decrease of cyclin E was more pronounced when AEs were encapsulated (Fig. 4A and C). This is consistent with a blockade of cell cycle progression in cells from tumors exposed to both free or encapsulated 4-HT and RU. More importantly, free as well as encapsulated 4-HT enhanced the p21^{Waf1/Cip1} levels, while a strong elevation of p27^{Kip1} was noticed upon treatments with both free and encapsulated RU (Fig. 4A and D). This suggests that both AEs are capable of inhibiting cell progression in tumors since these inhibitors can counteract the enzymatic activities of cyclin-cdks complexes. In addition, both presentations enhance the activity of the free drug. Clearly, the signaling pathways used by RU and 4-HT leading to a decrease of the tumor growth are different [27] and are more activated when AEs are encapsulated. One target of the two encapsulated AEs could be the pro-apoptotic Bax which was enhanced by both treatments in tumor extracts, although strongly by RU than by 4-HT (Fig. 4A and E). Increase of Bax level is well known to conduct numerous cells to apoptosis since this pro-apoptotic agent is essential for mitochondrial-induced cell death [30]. Altogether, these results support the notion that incorporation of RU and 4-HT into nanoparticles increases their anti-tumor activity.

3.6. Influence of AEs on MM cell proliferation

MM cells expressed both ER α and ER β isotypes except Karpas 620 which was ER negative (Fig. 5). The effect of AE on MM cells was examined following treatment of the different cell lines with increasing concentrations of either RU or 4-HT. In preliminary experiments using the MTS test (not shown) we found that both AEs did not affect similarly cell proliferation and viability. This was confirmed by further flow cytometry analysis. Results presented in Table 2 indicate that the 4-HT and RU-induced inhibition of MM cell



Fig. 5. ER-mediated anti-proliferative response in MM cells. (A) and (B) Western blot analysis of ER- α and ER- β in MM cells. Total cell extracts (50 µg protein, A or 100 µg protein, B) form the different MM cells were blotted against D12 (A) and E82 (B) antibodies as described in Section 2, in order to visualized ER- α and ER- β , respectively. β -actin was assayed as protein loading constant. In control 25 µg protein from MCF-7 cell TCEs (A) and 10 µg of MDA-MB 231 cells stably transfected with ER- β [36] (B) were loaded.

proliferation result from a blockade at G1 except for Karpas 620 cells. Interestingly, both a blockade of cell cycle and an increase of apoptosis were observed with 4-HT, but the two events occurred at different times and at different concentrations according to the cell type. Therefore it could be assumed that they represent independent phenomena. A G1-arrest was observed with RU, in LP-1, OPM-2 and NCI-H929 but not in U266, Karpas 620 and RPMI 8226 cells. Furthermore, in RPMI 8226 cells, RU induced apoptosis (Table 2). In the case of RU, the two phenomena, blockade of the cell cycle and apoptosis increase were never observed concomitantly. However, once incorporated in liposomes, RU induced apop-

Table 2

Cell cycle inhibitory effects and apoptosis capacity of free and entrapped AEs in MM cell lines

AE cell lines	4-HT	RU 58668	Liposomes RU 58668		
LP-1; basal level G0/G1 (38.5%)	G1-arrest (62.5% at 5 µM,	G1-arrest (60.4% at	Apoptosis (17.6% at		
	48 h) + apoptosis (10% at 10 μM, 48 h)	$5\mu\mathrm{M},48\mathrm{h})$	1 µM, 48 h)		
OPM-2; basal level G0/G1 (50.8%)	Unsensitive	G1-arrest (60.9% at	Apoptosis (21.6% at		
		10 µM, 72 h)	1 μM, 48 h)		
NCI-H929; basal level G0/G1 (47.3%)	G1-arrest (56%) + apoptosis (17% at	G1-arrest (65.3% at	Apoptosis (22.5% at		
	5 µM, 48 h)	5 μM, 48 h)	1 μM, 48 h)		
RPMI 8226; basal level G0/G1 (33.3%)	G1-arrest (74%) + apoptosis (13% at	Apoptosis (26.5% at	np ^a		
	5 µM, 48 h)	5 μM, 48 h)			
U266; basal level G0/G1 (61.5%)	G1-arrest (74% at 5 µM,	Unsensitive	Apoptosis (211.9% at		
	48 h) + apoptosis (13% at 10 μM, 48 h)		1 µM, 48 h)		
Karpas 620; basal level G0/G1 (41%)	Unsensitive	Unsensitive	nd ^b		

Data represent the phases of the cell cycle at which the different MM cell lines were arrested as well as the capacity of the drugs to induce apoptosis in these cells. In parenthesis are indicated the percentages of cells in the phase of the cell cycle considered as well as the concentrations and the times at which each treatment was active. Comparison with the percentage of cells in G0/G1 (basal level) can be made. For each untreated cell line the percentage of cells in apoptosis was 1% at maximum.

^a Not performed.

^b Not determined.



Fig. 6. Tumoral evolution in RPMI 8226-xenografted mice. Mice bearing subcutaneous RPMI 8226 MM tumors, received a weekly injection of either RU-loaded liposomes (12 mg/kg/week of RU) (\bullet), or free RU (\blacktriangle) (12 mg/kg/week), or unloaded liposomes at the same lipid concentration than that containing RU (\bigcirc) or no injection (\times). Each group contains 12–14 mice. Results are the mean value (\pm S.E.M.). Repeated measures ANOVA test showed no significant difference between the control and the free RU group and a significant difference (p < 0.05) between the control group and RU-loaded liposome group.

tosis in MM cells at a concentration at which free RU did not induce cell death. This suggests that both 4-HT and RU affect MM cell growth through different signalling pathways and that incorporation of RU in liposomes enhances the AE activity.

3.7. Anti-tumor activity of RU-loaded liposomes

Preliminary attempts for obtaining tumors in nude mice after s.c. injection of MM cells lead to get solid tumors at the injection site with only RPMI 8226 cells. We further verified that the tumors obtained presented the same phenotype that RPMI 8226 parental cells. In good correlation with the initial immunology of RPMI 8226 cells, tumoral cells were CD38+, CD138+, CD56-, and sm κ -/ λ -. The capacity of RU encapsulated in stealth liposomes to inhibit the growth of RPMItumors was evaluated in this xenograft model. Mice were injected weekly with stealth liposomes (12 mg RU/kg/week) or with free RU at the same concentration in 5% glucose plus 10% EtOH. The volumes of tumors were evaluated each week. Eight weeks after the initial injection, tumor volumes of the control group were 20 times higher than that of the initial tumors (Fig. 6). Similarly, the group of animals treated with free RU and the group treated with empty liposomes showed similar enlargement of primary tumors. By contrast, the sizes of tumors in mice treated by RU contained in stealth liposomes increased not more than four times (Fig. 6).

4. Discussion

AEs are well known to block the effects of estrogens in both normal and cancerous mammal cells and tamoxifen is used since more than 25 years with success to treat human breast cancer cells in post-menopausal women with ERpositive tumors. New steroidal AEs such as Faslodex[®] and RU 58668 can suppress the tamoxifen resistance which occurs in some cases; this type of AE has been classified as "pure anti-estrogens" since they are believed to act always as transcriptional antagonists of genes induced by E_2 at EREcontaining sites in their promoters.

Nevertheless, these AEs need to be reclassified in a group of steroid estradiol receptor down-regulator (SERD) because they are definitely inducers of a rapid proteasomal-mediated destruction of ER α [17–19], inhibiting strongly E₂-induced transcription. In addition, SERDs like RU and Faslodex[®] act as agonists when they bind to $ER\beta$ and increase transcription at AP1 sites [31], rendering inappropriate the general term "pure anti-estrogen". In breast cancers, ER α is the major isotype, and RU can be consider as a potent strong anticancer compound for this pathology since it was shown as a strong E_2 antagonist in ER α positive breast cancer cells [27,28]. Unfortunately, RU has not been developed so far but fundamental research works have established its strong potency to inhibit breast cancer cell progression in xenografts models [14,15]. Recently, we developed a non-toxic, biodegradable form to deliver i.v. RU in vivo [15,16] and we show here that such a formulation is convenient also for 4-HT [32]. Surprisingly, the PEG-PLA NS loaded with RU and 4-HT are also very efficient to inhibit MCF-7 tumor progression in xenografts following their s.c. injection [32]. In such a model, the mechanisms of action of both AEs, although they used different pathways which are still not completely elucidated, are ER-mediated.

In MM cells, we [12,13] and others [9–11] have detected the presence of ERs in MM cells. However, the expression level of each ER subtype in these cells is at least 20 times weaker than in MCF-7 cells (as measured by semiquantitative Western blot experiments). Other analyses have shown that the growth of none of the MM cells used in our study are E2-independent (J. Gauduchon and B. Sola, data not shown) but 4-HT and RU used affect, although at different extent and at various amounts according to the cell type, cell proliferation or viability. Table 2 indicates that AEs block cell cycle progression and induce apoptosis, but only in MM cells expressing functional ERs. The most striking observation is probably the enhancement of the anti-tumor activity of RUloaded stealth liposomes in the RPMI 8226 xenograft model although unloaded liposomes at same lipid amount did not modify MM cells response. This is explained by prolonged half-live circulation in vivo, as already observed by others [33,34] inducing an accumulation of the formulation and then of RU at the tumor level. In another work [13] we found that 4-HT-induced cell cycle arrest in MM cells is accompanied by an up-regulation of p27Kip1 and a mitochondrial-dependent apoptosis process.

The main question arising from these observations relates to the pathway by which AEs exert their effects in MM cells via ERs. Since these effects occur at high AE concentration $(\geq 1 \,\mu M)$ and since E₂ has no effect at the same concentration, the classical genomic mechanism [5] of ERs acting as transcription factors is hard to be suspected. Whether a nongenomic activity of AEs in MM cells exists remains to be established. This is not the case for MCF-7 cell xenografts where the up-regulation of both cdk inhibitors p21^{Waf1/Cip1} and p27Kip1 as well as that of Bax could argue for an induced apoptotic activity of RU (confirmed by recent TUNEL experiments, not shown) while the simple augmentation of p21^{Waf1/Cip1} in the case of 4-HT trapped in PEG-PLA NS could corroborate with an arrest of the cell cycle progression. Nevertheless, the results obtained with the different drug delivery systems we have developed strongly, indicate that they constitute a potent new therapeutic strategy for anti-estrogen administration not only for estrogen-dependent pathologies such as breast cancer but also for other diseases in which estrogen receptors (and/or other signaling molecules) are targeted by anti-estrogens. The exact molecular mechanism of the enhancement of anti-estrogen activity following their incorporation into stealth colloidal formulations is still under current investigation. Such a strategy using more toxic drugs like platinum salts (or taxotere) showed recently to be of high clinical interest [35].

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