

Networking agrobiodiversity management to foster biodiversity-based agriculture. A review

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▶ To cite this version:

Vanesse Labeyrie, Martine Antona, Jacques Baudry, Didier Bazile, Örjan Bodin, et al.. Networking agrobiodiversity management to foster biodiversity-based agriculture. A review. Agronomy for Sustainable Development, 2021, 41 (1), 10.1007/s13593-020-00662-z. hal-03410833

HAL Id: hal-03410833 https://hal.science/hal-03410833

Submitted on 2 Nov 2021

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24 Abstract

Biodiversity-based agriculture is the main form of agriculture practiced by smallholder farmers, who 25 produce half the world's food, especially in the Global South. This form of agriculture relies on planned 26 27 biodiversity intentionally managed by farmers and on the associated biodiversity that spontaneously 28 colonizes the agroecosystem. In recent decades, there have been increasing calls from researchers and 29 society to support biodiversity-based agriculture as an alternative paradigm to today's industrial 30 agriculture. Building adapted governance and management systems for enhancing farmers' access to 31 agrobiodiversity is a key challenge for the development of biodiversity-based agriculture. To achieve 32 this, a better understanding of how farmer's access agrobiodiversity is needed, and in particular, how 33 this access is affected by interactions between farmers and with institutions, i.e. social networks.

34 In this article, we first review the literature on the role of social networks in farmers' access to 35 agrobiodiversity, in the form of crop diversity and associated biodiversity, and the related knowledge to 36 manage this diversity. This review points at a major knowledge gap concerning how the composition 37 and structure of these networks affect farmers' access to agrobiodiversity. Then, we review literature on 38 social-ecological networks to identify how this framework developed for environmental management 39 could contribute in getting a better understanding of the role of social networks' structure and 40 composition in farmers' access to agrobiodiversity. Based on this review, we propose a social-ecological 41 network framework dedicated to crop diversity. Finally, we present potential applications of this 42 framework to develop new participatory approaches for agrobiodiversity management and governance, 43 adapted to biodiversity-based agriculture.

44

45 Keywords

46 Agrobiodiversity, agroecology, social networks, social-ecological interactions, participatory
 47 approaches, management/governance

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68 **1. Introduction**

Biodiversity-based farming is the main form of agriculture practiced by smallholder farmers, who 69 70 represent about 85 % of all farms in the world (Lowder et al. 2016) and produce an estimated 50% of 71 the food calories at the global scale (Ricciardi et al. 2018). A fast-growing literature provides evidence 72 for the role of biodiversity in supporting ecosystem services provision in agroecosystems and in 73 enhancing their resilience (Dainese et al. 2019; Renard and Tilman 2019, Roux et al. 2009). It pleads 74 also for supporting biodiversity-based agriculture as an alternative development paradigm (Frison 2016; 75 Tscharntke et al. 2012). This form of agriculture relies on the management of agrobiodiversity, broadly 76 defined as "the diversity of living organisms that contribute to food and agriculture" (Qualset et al. 77 1995). It includes three components: *i*) planned agrobiodiversity, i.e. organisms that are intentionally 78 implanted and tended in farmlands, *ii*) associated agrobiodiversity, i.e. organisms that spontaneously 79 colonize the agroecosystem, such as pollinators or pest predators, and *iii*) the diversity of associated 80 knowledge systems (Jackson et al. 2012). Supporting biodiversity-based agriculture is a core challenge, 81 as recent studies suggest that agroecosystems' homogenization increases their vulnerability to climatic, 82 biotic, or economic variability (e.g., Renard and Tilman 2019). Such a homogenization from the plot to 83 the landscape scale thus jeopardizes the resilience of agricultural and food systems in the face of global 84 changes.

85 Facilitating farmers' access to agrobiodiversity is key to support biodiversity-based agriculture 86 (Almekinders and Louwaars 2002). This cannot be achieved without developing collaborative and 87 pluralistic governance approaches (Leventon et al. 2017; Halewood, Noriega, Louafi 2013), grounded 88 in local socioeconomic dynamics, and without recognizing the diversity of values, rules and practices 89 related to the use and circulation of agrobiodiversity (Jackson et al. 2007). In the past, policies and 90 regulatory frameworks were rather designed to promote highly standardized cropping systems 91 (monocultures) and agricultural landscapes in order to access world competitive markets. The 92 implementation of these policies raised major concerns among civil society organizations and 93 researchers. Both argued that such regulatory frameworks lead to the disempowerment of small farmers 94 and to their impoverishment because they reduce the availability and increase restrictions on farms' 95 access to the biological and cognitive resources required for low-input agriculture.

96 Farmers play a key role in maintaining, generating and circulating agrobiodiversity, together with a wide 97 range of stakeholders, e.g., governmental and non-governmental organizations, the private sector, and 98 international and regional institutions (McGuire and Sperling 2016, Hauck et al. 2016). However, little 99 is known about how this diversity of stakeholders and the way they interact together determine the 100 availability of a large pool of agrobiodiversity at the landscape level, and affect the ability of individual 101 farmers to access this pool (Fig 1). This gap calls for new research on how the social relational networks 102 involving these different stakeholders favor or limit farms' access to agrobiodiversity.





104 Figure 1. (a) Heterogeneous agricultural landscape on the margins of tropical forests in the southern 105 Highlands, region of the Andringitra massif, Ambohimahamasina, Madagascar (© Stéphanie Carrière, 106 **2012).** The diversified agricultural landscapes shaped by smallholder farmers, such as those observed in this region 107 of Madagascar, are home to a rich associated biodiversity that includes insectivorous birds, bats, or pollinators; b) 108 Exchange of cuttings of a diversity of taro (Colocasia esculenta) landraces between women farmers in 109 Wakatr tribe, Ouvea, New Caledonia. (© Vanesse Labeyrie, 2010). Crop diversity is one component of 110 agrobiodiversity playing a key role in smallholder agriculture. Farmers' access to this diversity is strongly 111 conditioned by their social network. For instance, in New-Caledonia, one single farmer can grow dozens of taro 112 landraces she has obtained from a variety of sources, mostly from other farmers, but also from market or extension 113 services.

A substantial literature shows that the structure and composition of social networks play a crucial role in driving the management and governance outcomes for a wide range of natural resources (Barnes et al. 2016; Bodin and Crona 2009). Applying such social networks framework to the field of agrobiodiversity could help develop innovative governance systems for enhancing farmer's access to agrobiodiversity, based on the empowerment of local stakeholders and the collaboration across and within institutional levels (Folke et al. 2005).

- The study of social networks involved in agrobiodiversity management expanded over the last decade,
 with a main focus on crop diversity (Calvet-Mir and Salpeteur 2016; Coomes et al. 2015; Thomas et al.
- 122 2011; Pautasso et al. 2013), while a small number of studies were dedicated to associated diversity (e.g.,
- Hauck et al. 2016), and knowledge (e.g., Isaac et al. 2012). This literature encompasses scattered case
- 124 studies using different methods, which hampers the formulation of general conclusions. In turn, this lack
- 125 of knowledge hinders the development of adapted governance systems to support biodiversity-based
- agriculture. We argue that this could be enhanced in two ways.
- First, there is a need to formalize a conceptual framework for improving the general understanding onhow the structure and composition of the social networks linking the stakeholders involved in
- agrobiodiversity management affect the access of farms to this resource. We propose in this paper to fill
- 130 this gap by identifying how the social-ecological network framework developed in the field of

environmental management (Bodin 2017) could be applied to the three components of agrobiodiversity (e.g, crop biodiversity, associated diversity and knowledge). We then lay the foundations of a conceptual framework dedicated to crop biodiversity, as the previous social-ecological network framework built for common-pool natural resources could not be applied. Such a framework would especially be instrumental in guiding the implementation of large-scale cross-sectional surveys or simulation studies, required for building generic knowledge.

137 Second, there is a need to apply the results of this research to develop innovative agrobiodiversity management and governance mechanisms adapted to biodiversity-based agriculture through 138 139 participatory approaches. Integrating these results in participatory modelling would especially allow to 140 explore how different patterns of interactions between stakeholders affect farms' access to 141 agrobiodiversity. This could help in designing more effective ways of collective and institutional 142 organization for agrobiodiversity management and governance. For instance, this approach could contribute in designing modes of organizations for community-based agrobiodiversity management 143 144 initiatives, such as community seed banks (Vernoov, Shrestha, Sthapit 2015), experience-sharing 145 networks (Rosset et al. 2011) or landscape approaches (Vialatte et al. 2019).

146 This paper further details these two gaps, and contributes in addressing them. The first section reviews 147 the literature that explores the role of social networks in farmers' access to agrobiodiversity. The second 148 section proposes a network-centered framework for investigating this relationship, focusing on crop 149 diversity for which existing social-ecological network frameworks do not apply. Last, the third section 150 raises perspectives on applications of social network analysis to participatory modelling approaches 151 aiming at building innovative agrobiodiversity management and governance mechanisms. This paper 152 focuses on diversified agriculture, which is particularly prevalent in the Global South, but we believe 153 that its conclusions are also relevant for the transition of low-diversity agriculture.

154

2. Social networks and farms' access to agrobiodiversity

155 The majority of smallholder farmers in the global south practice agriculture based on the management 156 of agrobiodiversity at different scales, from the plot to the landscape, or even across landscapes (Altieri 157 et al. 2012). Growing a diversity of crop species and varieties is a widespread strategy in small-scale 158 agriculture, and farmers' varieties are genetically diverse (Jarvis et al. 2008). Furthermore, smallholder 159 farmers frequently diversify their land uses, combining different annual, perennial or agroforestry 160 cropping systems in the same landscape, and including natural and semi-natural areas such as 161 hedgerows, fallows, pastures and forests (Tscharntke et al. 2012). An abundant literature highlights the 162 importance of biodiversity at the population, community and landscape levels for the food security of 163 small farms (Thrupp 2000; Waha et al. 2018), for dietary diversity (Remans et al. 2014), and for the balance between income and food production (Anderman et al. 2014). Ecological studies further stress 164

165 the effect of biodiversity on the productivity of agroecosystems at different scales in the long term, and

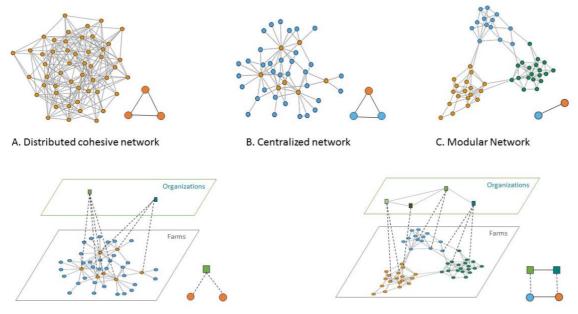
- 166 on its stability in the face of socio-economic and biological or climatic perturbations (Clough et al. 2011;
- 167 Lin 2011; Renard and Tilman 2019). Beyond productivity, biodiversity drives agroecosystem capacity
- to deliver a variety of ecosystem services (DeClerck et al. 2016; Hatt et al. 2018) and stabilizes the
- 169 provision of these services over time (Isbell et al. 2017; Mijatović et al. 2013). Diversification of crop
- 170 and of land uses in particular has been shown to increase associated diversity, including that of beneficial
- 171 organisms such as pollinators or pest predators, thereby enhancing biological regulation (Burel et al.
- 172 2013, Garbach et al. 2017).

173 Such a biological diversity exists thanks to a diversity of practices, and associated knowledge (Jackson 174 et al. 2012, Leclerc and Coppens d'Eeckenbrugge 2012). The diversity of knowledge systems related to 175 agrobiodiversity is thus pivotal in biodiversity-based agriculture (Altieri 2004). Indeed, beyond the 176 diversity of biological resources, the way they are protected, chosen, multiplied, cultivated, or bred 177 largely drives the capacity of agroecosystems to provide contributions to human populations in space 178 and over time. These knowledge systems cover a variety of domains including agronomic management 179 (e.g, soil adaptation; Bazile et al. 2008, or synergies and competition between species; Isaac et al. 2018), 180 the processing and use of products, but also symbolic or relational aspects (Jackson et al. 2012). A key 181 aspect of agrobiodiversity management is its holistic and integrative dimension, i.e. how farmers 182 carefully evaluate trade-offs among its different contributions, some positive, some negative (Ango et 183 al. 2014), and also incorporate the complexity of their norms and values. Incentives for agrobiodiversity 184 management rarely account for the diversity of local worldviews including social, spiritual and symbolic 185 dimensions, while they affect a variety of domains of agrobiodiversity management including the spatial 186 organization of crops in farmers' fields and in the landscape (Bonnemaison 1996), their selection beyond 187 a purely utilitarian motivation (Caillon and Lanouguère-Bruneau 2005) and their circulation answering 188 to social norms (Thomas and Caillon 2016).

189 Diversified agroecosystems are hence complex entities involving coupled ecological and social 190 interactions (Lescouret et al. 2015). They span different spatial scales, from the plot to the landscape 191 scale, as well as different time scales, and involve multiple institutional levels (Jackson et al. 2010). 192 These systems have emergent properties and unpredictable behavior, as short-term management actions 193 at the plot scale can have unexpected consequences for agroecosystem dynamics at larger spatial and 194 temporal scales, and vice versa. Collaboration and resource exchange are pivotal to sustain such systems 195 in which the stakeholders are strongly ecologically interdependent despite having divergent values and 196 objectives, and where knowledge is fragmented (Armitage et al. 2009). Fostering biodiversity-based 197 agriculture hence relies to a great extent on the capacity of stakeholders to exchange resources, learn 198 and collaborate across institutional levels, as well as across spatial and social units (Duru et al.2015a, 199 Jackson et al. 2007).

200 Farmers' access to material and immaterial resources, including agrobiodiversity, is largely influenced 201 by their network of social relationships with different stakeholders (e.g., Bandiera and Rasul 2006). 202 These networks are qualified as "informal" in the literature because they are largely self-organized and 203 emerge without the support of official institutions (Coomes et al. 2015). They involve a variety of 204 individual stakeholders, primarily farmers, as well as organizational stakeholders such as private actors, 205 NGOs and extension services, or other rural development actors (McGuire and Sperling 2016, Isaac 206 2012, Hauck et al. 2016). Knowledge concerning these networks considerably progressed thanks to the 207 research field commonly denoted as social network analysis (SNA; Wasserman and Faust 1994). This 208 field has undergone major development in the social sciences since the 1990s, and has been applied in 209 a diversity of fields such as environmental management (Bodin 2017) and rural development 210 (Rockenbauch and Sakdapolrak 2017) over the last decade. SNA is dedicated to analyze the structure 211 of interactions between stakeholders, represented in the form of networks (Fig 2). Theoretical 212 frameworks and empirical and simulation studies were especially developed to understand how social 213 networks structure relates to different kinds of outcomes, such as material or immaterial resources 214 circulation, or collaboration success.

215 An increasing number of studies argue that supporting networking between farmers as well as with a 216 diversity of other stakeholders would enhance farmers' access to a diversified pool of knowledge (Duru 217 et al. 2015b), to associated agrobiodiversity (Hauck et al. 2016; Salliou and Barnaud 2017) and to crop 218 diversity (Almekinders and Louwaars 2002). Furthermore, studies building on social network theory 219 indicate that social networks shape the circulation of knowledge and crop, and also indirectly that of 220 associated organisms, hence playing on farm's access to agrobiodiversity in two ways: First, by driving 221 the maintenance, generation or decrease of agrobiodiversity at the landscape level, hence contributing 222 in determining the pool of agrobiodiversity available for farmers at this level (e.g., Pautasso et al. 2013). 223 Second, by determining the capacity of individual farmers to access this global pool of agrobiodiversity 224 (e.g., Kawa et al. 2013). In the following section, we summarize the role of social networks in farms' 225 access to the three components of agrobiodiversity, i.e. knowledge, associated diversity and crop 226 diversity. For each component, we review the literature exploring how the structure and composition of 227 social networks relate to farms' access to agrobiodiversity.



D. Institutional centralized network

E. Institutional polycentric networks

Figure 2. Representation of different structural patterns of social networks. Nodes represent the social actors that can be of different kinds: individuals (e.g., farmers) or organizations. Ties represent their interactions, that can be of different kinds as well (e.g., collaboration, flows of material or immaterial resources).

232 Top: Simple networks (e.g., farmer-to farmer network): (A) Distributed cohesive network. The density of ties 233 is high in this kind of network, where closed triangles are common building blocks. Centralization is low, meaning 234 that there is little variation in the number of connections established by actors (degree) and network configuration 235 is quite different from a star. Modularity is low, meaning that the network is not structured in subgroups. (B) 236 Centralized network. In this kind of network, centralization is high (star-like network), meaning that a small 237 number of actors have a very high number of connections (orange nodes) while the majority of them have few 238 (blue nodes). Open triangles are common building blocks. The density and modularity are moderate to low. (C) 239 Modular network. This kind of network structured in subgroups (orange, blue and green) arises through the 240 preferential interaction of actors presenting the same characteristics (e.g., geographic location, ethnicity, gender). 241 The building block is hence the interaction between two actors presenting the same characteristics. Centralization 242 is low and modularity is high. 243 Bottom: Multilevel networks (e.g., network representing both interactions among farms, and between farms and 244 institutional actors). (D) Institutionally centralized network. The network of interactions between levels presents

a high centralization as a small number of institutional actors (square and green nodes) interact with farms (round
and blue or orange nodes). The density within each layer is low as actors have limited interactions with their peers.
Centralization is high in the farm layer as institutional network: several institutions (square nodes) having
interactions are connected to farms that act as brokers in their respective communities (orange, blue, green). The
centralization of the network of interactions between levels is low. The density of interactions within each layer is
higher than in (D), and the centralization is lower.

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253 **2.1. Knowledge circulation networks and social learning**

254 Social learning is a key mechanism for agrobiodiversity management because of the complex and 255 unpredictable nature of the ecological processes involved. Facilitating social learning requires 256 developing networks of stakeholders with different knowledge systems and worldviews to create a 257 cooperative decision-making environment in which trust, understanding, and mutual reliance develop 258 over time (Stubbs and Lemon 2001). Social learning allows individuals to develop an understanding 259 that is less individualistic and takes place in wider social units or communities of practice (Reed et al. 260 2010). In most rural societies that practice biodiversity-based agriculture, practical and theoretical 261 knowledge related to agrobiodiversity management is built in a dynamic way through learning-by-doing 262 and social learning originating from multiple sources (Altieri 2004).

263 Fostering social learning entails not only collaboration among farmers and other local stakeholder within 264 and between communities, but also communication with institutional stakeholders at different levels of governance (e.g. local, regional, national and international), and from different sectors (e.g. agriculture, 265 266 forest, the environment). Indeed, social learning relies on the involvement of stakeholders with a variety 267 of knowledge systems, experiences and perspectives concerning agrobiodiversity management in its 268 multiple dimensions, including functional, symbolic, or relational aspects. Social learning can be 269 supported by actions such as collective experimentation (Humphries et al. 2015), peer-to-peer exchanges 270 (Rosset et al. 2011), farm visits, and workshops involving farmers, and other stakeholders such as 271 extension agents and researchers, to build and share knowledge on biological resources, associated 272 agricultural techniques and practices, and landscape management.

273 The role of knowledge circulation networks structure in stakeholder access to knowledge diversity and 274 social learning has been widely studied in environmental management (Bodin 2017) and rural 275 development (Conley and Udry 2001) using SNA approaches. However, these frameworks have rarely 276 been applied to agrobiodiversity management. The few studies conducted on this topic indicate that 277 farmers connected to a wider range of stakeholders, especially to different rural development 278 organizations (Fig 2.E), tend to learn new practices and diversify their crops at both the plot (e.g. Isaac 279 2012) and landscape levels (Isaac and Matous 2017). This is in line with previous conceptual and 280 empirical works on social learning networks, which indicate that enhancing social learning requires a 281 balance between "bridging ties" connecting stakeholders or groups (Fig 2.C) with different knowledge 282 and worldviews, and "bounding ties" within groups of stakeholders sharing similar knowledge 283 (Davidson-Hunt 2006). Further application of existing frameworks (Berkes 2009) open promising perspectives to improve our understanding of how social network composition and structure impacts 284 285 farmers' access to a diversified pool of knowledge related to agrobiodiversity.

286 **2.2. Collaboration networks and access to associated biodiversity**

287 Associated agrobiodiversity includes a variety of organisms that play a key role in ecosystem services including pollination, pest regulation, and soil fertility (Dainese et al. 2019). The enhancement of 288 289 associated biodiversity in agricultural landscapes requires changing individual farming practices in the 290 common interest, especially by limiting the use of pesticides and fertilizers. It also requires coordinating 291 land use practices at the landscape scale (Lichtenberg et al. 2017), with some non-intuitive 292 considerations such as e.g. the decrease of weed richness in crop fields with increasing weed diversity 293 in neighboring flower strips (Cordeau et al. 2012). A considerable body of literature indicates that 294 enhancing landscape heterogeneity by maintaining natural or semi-natural vegetation in agricultural 295 landscapes and a diversity of cropping systems is instrumental in boosting associated biodiversity (Burel 296 et al. 2013). Increasing the heterogeneity of landscape mosaics requires acting on its composition, but 297 also on its organization, i.e. the spatial arrangement of patches (Fahrig et al. 2011).

298 Enhancing farms' access to associated agrobiodiversity is hence primarily a matter of collaboration to 299 manage agricultural landscapes for enhancing ecological connectivity. However, although collaborative 300 landscape management has great promise for enhancing ecosystem service delivery in agroecosystems, 301 it has rarely been implemented up to now (Landis 2017). This lack of collaboration between stakeholders 302 at territorial and landscape level often appears as a bottleneck in the development of agroecological 303 farming. One reason for the lack of collaborative landscape management initiatives with the objective 304 of enhancing associated agrobiodiversity is that it raises major collaboration problems as it involves a 305 diversity of stakeholders at different institutional levels with competing interests and divergent views. Furthermore, coordination involves delegation and devolution of power and authority, which is far from 306 307 easy to accomplish (Morrision et al. 2019). Collaborative landscape management for associated 308 biodiversity enhancement also raises social learning issues because of the complexity and large spatial 309 and temporal extent of the social-ecological processes involved (Salliou and Barnaud 2017).

310 The importance of social networks composition and structure in fostering collaboration for landscape 311 management has been widely studied in environmental management and governance (Guerrero et al. 312 2015), but rarely applied to associated agrobiodiversity management (Hauck et al. 2016). This literature 313 particularly emphasizes the importance of good alignment between social and ecological connectivity, 314 i.e. the existence of social ties between the stakeholders who manage ecologically-linked landscape units 315 (Bodin and Tengö 2012; Guerrero et al. 2013). Studies in this field further show that network 316 cohesiveness (Fig 2.A) is instrumental in building trust, which is a precondition for stakeholders to shift 317 their practices in the common interest and also to limit freeriding. Application of existing social-318 ecological network frameworks for landscape management open promising perspectives for enhancing 319 associated biodiversity at the landscape scale (Hauck et al. 2016), hence favoring farms' access to this 320 resource.

321 **2.3. Seed circulation networks and access to crop diversity**

322 Accessing a wide range of crop species and varieties is the main production lever for small farms with 323 limited access to inputs (Altieri et al. 2012). Farms' access to crop diversity governs their capacity to 324 implement sustainable forms of agriculture based on ecosystem services (Gevers et al. 2019), as well as 325 their resilience in the face of social and environmental perturbations (McGuire and Sperling 2013; 326 Mwongera et al. 2014). Farms access to crop diversity encompasses two dimensions (Sperling et al. 327 2008). First, it is conditioned by the amount of diversity available at the landscape level and its 328 distribution, i.e. the variation in the composition of crop portfolios between farms. Studies in different 329 regions of the world converge to show that the diversity of crop species and farmers' varieties at the 330 landscape level, also called gamma diversity in ecology, is systematically larger than the diversity 331 cultivated at the individual farm level, or alpha diversity (e.g. Jarvis et al. 2008). This is due to the high 332 heterogeneity or variation in the composition of crop portfolios between farms, i.e., beta diversity. 333 Second, farms' access to crop diversity is also conditioned by their capacity to get additional crops out 334 of this pool. Social networks are pivotal in both dimensions of farms' access to crop diversity as they drive the maintenance, generation and diffusion of crop diversity through seed multiplication, selection, 335 336 conservation, and circulation (Bellon 2004).

337 Self-organized crop seed circulation networks involving primarily farmers and other stakeholders such 338 as local seed sellers, but also private seed distributors, extension services, and NGOs play a key role in 339 this process (Coulibaly, Bazile, Sidibé 2014). Research on crop circulation networks has expanded over 340 the last decade (Pautasso et al. 2013, Calvet-Mir and Salpeteur 2016) building on SNA theoretical 341 background and methods. The use of SNA is far more developed for crop diversity study than for the 342 other components of agrobiodiversity, but the effect of the structure of crop circulation networks on 343 farms' access to crop diversity was rarely explored. The studies found in the literature focused on 344 different levels of crop diversity: i. the assemblages (or "portfolio") of crop species grown by farmers 345 (e.g. Abizaid et al. 2016), ii. the portfolio of farmers' varieties of a given species (e.g. Kawa et al. 2013), 346 or iii. the genetic composition of crop populations (e.g. Thomas et al. 2015). These studies used different methodological approaches and metrics for describing the structure of crop circulation networks and 347 348 crop diversity. They described how crops circulate at different temporal scales, ranging from seeds that 349 circulated in the previous growing season to all the circulation events that occur over a farmer's lifetime. 350 Last, they focused on different scales, i.e. the individual or the farm scale, and the landscape scale.

At the farm scale, a small number of case studies explored how farmers' connectivity patterns when exchanging seeds relate to their crop diversity. They dominantly used regression models to test how farmers' centrality in the seed circulation network relates to the diversity of crops they grow, measured using richness or other diversity indexes. Different centrality metrics were used to measure farmers' centrality, the most frequent one being in-degree centrality, i.e. the number of entering connections. These studies found different results concerning this relationship. Some studies, such as that of CalvetMir et al. (2012) in homegardens in the Spanish Pyrenes found a positive correlation between the number of crop species cultivated by farms and their number of entering connections. In other cases, such a relationship was not validated, for instance in the Amazon for crop species in homegardens (Abizaid et al. 2016) and for cassava farmers' varieties (Kawa et al. 2013), where the most central farmers were not the ones with the more diverse portfolios.

362 At the landscape scale, some studies provided insights on how the global composition and structure of 363 the seed circulation networks affects crop diversity level and patterns. Most empirical studies at this 364 scale discuss qualitatively this relationship, describing network and crop diversity patterns separately 365 and then discussing how they relate to each other. Even though these studies do not test quantitatively 366 this relationship, they bring valuable insights on how the network structure relates to the availability of 367 crop diversity at the landscape scale and pave the way for future research. For instance, in Kenya, 368 Labeyrie et al. (2016) showed that seed flows were more frequent between farmers belonging to the 369 same ethnolinguistic group (i.e. homophily), and suggested this may explain the partition of farmers' 370 sorghum varieties according to these groups. They further argue that such a structure of seed circulation 371 could be in favor of a higher level of crop diversity at the collective level than expected if ties were 372 established randomly, as limited exchanges between ethnolinguistic groups is expected to impede the 373 uniformization of their crop portfolios and to contribute in maintaining specific varieties in each group 374 (Fig 2.C). At the genetic level, Thomas et al. (2012) reveals that the structure of the seed circulation 375 network of a historical bread wheat variety that circulated among French farmers during the 20th century 376 matches with the genetic composition of the variety sampled throughout the network. The same author 377 also reported on the impact of a centralized seed network, organized around a "core farmer" (Fig 2.B), 378 on crop genetic diversity (Thomas et al. 2015). By tracing a mixture of bread wheat developed and 379 diffused by this farmer to dozens of others, it showed that the same amount of genetic diversity was 380 maintained in the network of farmers growing this mixture as in the original farm, although a significant 381 differentiation was detected among these versions. This study illustrates how collectives - even 382 organized according to a centralized network - can simultaneously conserve genetic diversity 383 collectively and adapt it to local conditions after a seed flow event.

384 Last, some simulation studies also bring insights on the linkages between the global structure of seed 385 circulation networks and crop diversity patterns. The study of Barbillon et al. (2015) especially shows 386 that network density, centralization, and modularity (Fig 2.A to C) are key factors driving the circulation 387 and the persistence of crops in a system when applying the same dynamic model of 388 extinction/colonization to networks with different structural properties. Barbillon et al. study indicates 389 that different network structures have different implications for agrobiodiversity conservation 390 depending on the frequency of crop extinction at the farm level. Their results indicate that centralized 391 networks are more efficient in maintaining crop when extinction is frequent, while modular or distributed cohesive networks are more efficient in other cases. These empirical and simulation studies
 open up promising perspectives for understanding how the overall structure and composition of the crop
 circulation networks drive the availability of a pool of crop diversity and its accessibility to farmers.

395 3. Linking network structure to farm's access to agrobiodiversity: A framework for 396 crop diversity

397 The literature review in the previous section showed that social networks play a key role in driving 398 farms' access to the three components of agrobiodiversity, i.e. knowledge, associated diversity and crop 399 diversity. However, how the composition and structure of social networks relate to agrobiodiversity 400 access remains poorly understood. A promising way to advance our understanding of this relationship 401 would be to integrate the valuable insights provided by the literature on social-ecological networks. This 402 research field emerged about fifteen years ago (Janssen et al. 2006), and developed conceptual 403 frameworks to explore the reliance of environmental management outcomes on the kind of stakeholders 404 involved, their relationships, and the way their interactions with one another and with the natural 405 resources are framed (Bodin 2017). Research on social-ecological networks brought major advances 406 on representing the complexity of interactions between human societies and their environment, and 407 deciphering the processes driving the sustainability of social-ecological systems (Bodin et al. 2016). By 408 jointly considering ecological and social interactions, some structural properties of SEN enhancing 409 management efficiency and strengthening the sustainability and resilience of social-ecological systems 410 in the face of perturbations have been identified (Barnes et al. 2017). The importance of stakeholders' 411 interactions patterns at the local level have been highlighted in particular, along with their 'vertical' 412 interactions with institutional stakeholders (Cohen et al. 2012; Sayles and Baggio 2017).

413 Existing social-ecological network frameworks can be adapted and applied to analyze the processes 414 driving farms' access to two out of the three agrobiodiversity components, i.e., collaboration for the 415 management of associated diversity and social learning. However, it is not the case for crop diversity, 416 which presents specificities and for which a dedicated framework is needed to guide future research and 417 allow comparing results. Indeed, existing frameworks mainly focus on common-pool or open access 418 resources, which present characteristics and management issues different from those of crop diversity. 419 They were designed to understand how the structure of social-ecological interaction patterns affects the 420 collaboration and social learning for limiting the depletion of natural resources, which is a different 421 objective than that of enhancing agrobiodiversity.

In the case of crop diversity, a first specificity is that the overall level of diversity available at the collective level does not only depend on the capacity of stakeholders to collaborate for a sustainable use of the resource through the modification of their individual practices, but also on their capacity to organize the exchange of crop between them. Hence, for crop diversity, collaboration does not solely 426 intervene in the regulation and coordination of individual practices, but also in the circulation of planting 427 material. Furthermore, unlike the resources usually studied in social-ecological network literature (e.g., 428 fish, water, natural vegetation), the availability of a diverse pool of crops at the collective level does not 429 only require enhancing the biological connectivity, i.e. seed flows, between farms. It rather depends on 430 a compromise: intense crop circulation between farms leads to the uniformization of cultivated plants, 431 while low connectivity can lead to the extinction or inbreeding of crop populations. Last, previous 432 social-ecological network frameworks did not address the issue of individual's resources access as they 433 mostly focus on the availability and dynamic of the resource at the community level, while this issue is 434 pivotal for crop diversity. In this section, we identify potential contributions from the social-ecological 435 network literature to the study of network characteristics affecting farms' access to crop diversity, and 436 we propose a dedicated framework.

437 **3.1. Insights from social-ecological networks research on crop diversity access**

438 A first insight from the literature on social-ecological networks for agrobiodiversity management is its 439 substantial conceptual background on the social network structures of importance for environmental 440 management. This literature especially emphasizes the importance of the diversity of stakeholders 441 involved in resource management and highlights the importance of connectivity patterns: i. between 442 farms belonging to different communities or groups, and ii. between farms and organizations such as 443 NGOs, extension services or other private actors (Fig. 2. D and E). Indeed, most social network studies 444 on crop diversity management focus on connectivity patterns within rural communities (e.g., Abizaid et 445 al. 2016; Calvet-Mir et al. 2012). They rarely examine the connectivity between communities (e.g., 446 Abizaid et al. 2018, Isaac et al. 2014) and with organizations or institutions (Isaac 2012), while these 447 "bridging" ties are considered to be key in environmental management (Ernstson et al. 2010; Rathwell 448 and Peterson 2012) and in rural development (Krishna 2003).

449 Second, another interesting insight from social-ecological network research is the possibility of 450 incorporating ecological ties in representations of the networks involved in crop circulation. Indeed, our 451 literature review showed that research on crop diversity management has mostly focused on social 452 connectivity between farms. Little attention has been paid to representing the ecological relationships 453 between farms' crop portfolios, mainly through gene flows, which we believe is an important gap in 454 research on crop circulation networks. Representing both farms and crop portfolios in the form of 455 interconnected "social-ecological" networks would allow the simultaneous analysis of social-, 456 ecological- and integrated social-ecological relationships. We believe that developing this social-457 ecological representation of crop diversity management would pave the way for a better understanding 458 of the structure-outcome relationship, as suggested by Bohan et al. (2013) more generally for 459 agroecosystems. In the following section, we thus propose a social-ecological framework for 460 representing how the social and social-ecological interaction patterns affect farm's access to crop

diversity. Our framework will help address the management "dilemma", i.e. help identify the socialecological network configurations that create the necessary conditions for a good balance between the
maintenance and generation of crop diversity at the landscape level, and its accessibility to farmers.

464 **3.2.** A social-ecological network framework for crop diversity management

465 Adhering to the social-ecological network representation (Fig 3), crop circulation networks consist of social nodes that represent either individual farmers or organizations, and ecological nodes that represent 466 467 the portfolios of farmers' varieties for each crop species cultivated in the farms. Farmers' varieties being 468 here defined as the basic unit identified and named by farmers at the infraspecific level for management (Berg 2009). Two kinds of social nodes can be distinguished: farms, characterized by their social, 469 470 economic, biophysical attributes, and organizations or institutional stakeholders who diffuse crop seeds. 471 Ties between social nodes represent different kinds of social relationships they can mobilize to access 472 or exchange seed, including economic relationships. Social ties are directed, reflecting that depending 473 on the nature of the relationship, seed flows can be unidirectional or reciprocated. Lastly, ecological ties 474 reveal non human-mediated gene flows between crop portfolios, which can result for instance from 475 pollen flows between neighboring fields managed by different farmers.

476 Based on the literature reviewed (section 2.3), we expect the structure of social-ecological networks to 477 play at two levels on farms' access to crop diversity, defined as their ability to get additional crops out 478 of the global pool available at the landscape level. First, the structure and composition of the global 479 network is expected to determine beta diversity, i.e. the heterogeneity in crop portfolio composition at 480 the landscape scale. This is further supported by theoretical models of community ecology, with 481 processes comparable to those of seed circulation, indicating that different network topologies between 482 ecological patches have different impacts on alpha and beta diversities (Economo and Keitt 2008). 483 Second, the position of each farm in the network is expected to determine its capacity to access this 484 additional pool of diversity available at the landscape level, a finding also reminiscent of similar 485 conclusions in community ecology (Economo and Keitt 2010). Hence, different structures of these 486 social-ecological networks would result in different outcomes for farms' access to crop diversity. Below, we build on the literature reviewed in the previous sections to identify network patterns (Fig 3, bottom) 487 488 expected to affect farms' access to crop diversity.

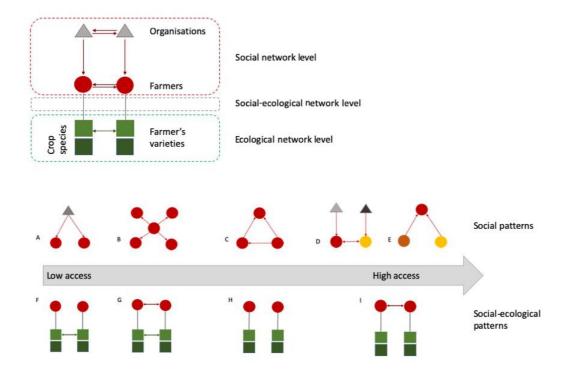




Figure 3. Social-ecological network representation of interactions involved in farm's access to crop diversity (Top), and local network patterns expected to affect farms' access to crop diversity (Bottom). Nodes represent: i. the portfolios of farmers' variety for the different crop species grown in farms (green squares, the different shades of green represent different species), ii. farmers (red circles), and iii. organizations (triangles) involved in crop diffusion. Edges represent the ecological (i.e. gene flows, green), social (red), and social-ecological (grey) interactions. For social ties, arrows indicate the direction of interactions, stemming from the "source" or "donor" of seed to the "target" or "recipient".

- 497 The way the social interactions are framed between farmers as well as with other stakeholders is expected to strongly determine the beta diversity, i.e. the variation in crop portfolio composition at the 498 499 landscape level, and its accessibility for farmers. Network centralization, i.e. the fact that a small number 500 of farmers (Fig. 3.B) or organizations (Fig. 3.C) are diffusing seeds to the rest of the farmers, is expected 501 to lead to the uniformization of crops between farms. Hence, the additional diversity of crops available 502 at the collective level is expected to be limited as compared to that grown individually by farms. 503 Furthermore, centralization may result in inequality of access to this resource by farmers (Ricciardi 504 2015), as some of them may not be connected to the core seed distributors. Centralized networks are 505 thus expected to decrease farms' access to crop diversity.
- 506 Conversely, the involvement of a variety of institutional stakeholders (Fig. 3.D) in crop management 507 networks, or that of farms presenting different characteristics (Fig. 3.E), e.g., socio-cultural, economic, 508 agroecological, is hypothesized to enhance the heterogeneity in crop diversity distribution at the 509 landscape level. Indeed, this diversity of stakeholders is a source of diversity for crops, grown in

510 different conditions and according to different criteria. Environmental research has shown that cross-511 scale brokers connecting, for example, local, regional, national management levels are keys to 512 innovation and to the introduction of new resources (Cohen et al. 2012; Hamilton et al. 2020), but their 513 role has rarely been investigated in crop diversity management (Isaac 2012). Furthermore, social-514 ecological network literature also highlights the role of brokers who connect different communities, i.e. 515 groups of stakeholders closely connected together while loosely connected to other groups, in the 516 introduction and diffusion of new resources (Barnes et al. 2016; Bodin and Norberg 2005; Granovetter 517 1973). Such processes have been rarely explored in crop diversity studies, but some studies already 518 suggest such brokers play a key role in the introduction of new crops and practices, hence enhancing the 519 diversity available at the collective level (e.g., Valente 1996, Isaac et al. 2014).

520 Last, social-ecological network literature provides multiple illustrations of the key role of cohesion in 521 social networks (Fig 3. C - also often called closure) in the diffusion of resources within communities 522 (Ernstson et al. 2008; Olsson et al. 2004). Cohesion is expected to enhance farmers' access to crop 523 diversity, but it can lead to the uniformization of crop species and varieties between farms over time. In 524 highly cohesive networks, the additional diversity of crops available at the collective level, i.e., the 525 average beta diversity between a single farm and the rest of the network, may hence be limited as 526 compared to that grown in one farm (i.e. the average alpha diversity). Hence, finding the right balance 527 between cohesion within communities, and connectivity with other communities and institutional 528 stakeholders, is expected to be crucial for enhancing access to crop diversity for farmers.

529 Social-ecological interaction patterns are also expected to drive farms' access to crop diversity. In the 530 case where farms grow ecologically connected crop portfolios (Fig 3. F-G), the beta diversity is expected 531 to be low because gene flows lead to the uniformization of crops between them. Beta diversity will be 532 even lower when farmers are socially connected (G) and have higher chances to exchange seed. Last, 533 when farms grow crop portfolios ecologically isolated from one another (Fig 3.H-I), the beta diversity 534 at the landscape level is expected to be high, because differences between farms' crop portfolios will be 535 maintained, and even enhanced through local adaptation over time. However, if farms are not socially 536 connected, this beta diversity at the landscape level cannot be exploited and accessed by farmers because 537 of the absence of social connectivity between them (Fig 3.H). On the contrary, if farms are socially connected, then this pool will be accessible for farmers (Fig 3.I). 538

Beyond these social-ecological connectivity patterns, crop reproductive biology, crop environment and cultivation practices also impact beta diversity. Crops present differences in their reproductive biology, some being rather allogamous (i.e. dominantly outbreeding), others being rather autogamous (i.e. dominantly self-breeding), or clonally propagated. These differences have strong impacts on the intensity of gene flows and crossing between crop varieties, which can accelerate the uniformization of crops at the infra specific level and thus decrease beta diversity. Then, local adaptive processes, especially adaptation to local soils, climate, biotic environment and cultivation practices can enhance
the differentiation of crops between farms, and increase beta diversity. Such a local adaptation process
can even lead to the differentiation of crops cultivated in different conditions despite seed flows between
farms (Pressoir and Bertaud, 2004; Thomas et al. 2015).

549

4. Conclusion and Perspectives

550 In this article, we have detailed the key role played by social networks involving multiple stakeholders 551 in farms' access to agrobiodiversity, including knowledge, associated diversity of beneficial organisms 552 and crop diversity. We reviewed studies investigating the relationship between the structure of social 553 networks affecting agrobiodiversity circulation and their outcomes, i.e. the different dimensions of 554 agrobiodiversity access. This review reveals a major gap of knowledge concerning this relationship, as 555 it was never explored beyond local case studies and rare simulation studies. In response to this lack of 556 operational knowledge of general scope, this article identifies the contribution that social-ecological 557 network frameworks could bring, and lays the foundations of a social-ecological network framework 558 dedicated to crop diversity access as previous frameworks developed for environmental management 559 are not appropriate to deal with its specificities. This framework is expected to contribute in guiding the 560 implementation of future research aiming at building generic knowledge, based on large-scale cross-561 sectional surveys or simulation studies.

562

Obtaining more insights into how social networks impact farms' access to crop diversity has major 563 564 implications for agricultural policies and development programs that usually opt for centralizing the dissemination of biological resources and associated knowledge and with little consideration for existing 565 566 social organizations (Bocci and Chable 2009; Gevers et al. 2019). This governance option is at risk as 567 centralized management limits farmers' capacity to rapidly respond to social and ecological 568 transformations and to cope with uncertainty (Armitage et al. 2009). New management and governance 569 options should thus be developed to support biodiversity-based agriculture that would rely on 570 collaboration between the different stakeholders involved, grounded on local farmer networks (Coolsaet 571 2015). However, in order to have more impact on public policies, farmers also need to be more 572 connected with stakeholders across institutional levels.

An increasing number of papers call for a shift from the currently prevailing top-down vision of agricultural support through the diffusion of innovations from research and development to the coconstruction of sustainability trajectories by including local knowledge, practices and collective organizations (Berthet et al. 2016; Duru et al. 2015b; Klerkx et al. 2010). Operational approaches supporting the collaborative management of agrobiodiversity remain rare, with some examples related to participatory plant breeding (Chable et al. 2014; Cecarelli and Grando 2020), or to collective Iandscape management for associated biodiversity enhancement (e.g., Steingröver et al. 2010). In most cases, these processes are poorly supported at the institutional level, whether for crop diversity (Berthet et al. 2020) or associated biodiversity (Leventon et al. 2017). Furthermore, these initiatives face multiple obstacles to collaboration, among which power imbalance is a major issue (Porcuna-Ferrer et al. 2020). This calls for the development of participatory approaches that enhance the ability of stakeholder groups to set common objectives and collectively explore a diversity of solutions.

585 The development of such participatory approaches encompasses three key dimensions. The first is 586 enhancing social learning to enable stakeholders to become aware of their interdependence concerning 587 the different components of agrobiodiversity, and to understand the complex interactions that drive the 588 availability of agrobiodiversity at the collective level and its accessibility to farmers (Bazile 2006). The 589 complexity of interactions hampers their ability to grasp the collective dimension of agrobiodiversity 590 management, which, in turn, limits their willingness to collaborate. Enhancing social learning requires 591 dedicated methods and interactive tools to enable dialogue between the knowledge systems of the 592 different stakeholders: scientific, technicians and farmers. The second dimension is developing 593 dedicated tools to explore scenarios and to discuss the consequences of different network structures on 594 the availability and accessibility of agrobiodiversity to farmers (Chable et al. 2020; Abrami et al 2008). 595 The third dimension is building trust among stakeholders to encourage the collective definition of 596 desirable outcomes and to nurture their willingness to get involved in a genuine collaborative 597 management of agrobiodiversity (Demeulenaere et al. 2018; Padmanabhan 2008).

598 Designing and using simulation models with stakeholders can support such transformative change 599 processes by providing a boundary object or negotiating artifact that allows participants to deliberate 600 through a multi-interpretable, consistent, transparent, and verifiable representation of reality (van 601 Bruggen et al., 2019). In their review of methods for studying seed exchange networks in relation to 602 agrobiodiversity, Pautasso and colleagues (2013) considered both participatory approaches and 603 simulation models as standalone methods located at the opposite corners of a two-dimensional space 604 defined by a qualitative/quantitative axis and an empirical/theoretical axis. In fact, the advantages of 605 combining the two types of methods were already demonstrated several years previously (Voinov and 606 Bousquet 2010). In socio-ecological science, agent-based simulation models (ABMs) have become the 607 preferred tool as they can represent stakeholders of a given socio-ecosystem as decision-making entities 608 interacting in a multi-level spatially-explicit virtual environment (Lippe et al. 2019). Used in a 609 participatory way, as promoted by companion modelling, among other approaches (Barreteau et al 610 2014), the stakeholders represented as agents in the model react when viewing the simulation. To 611 stimulate interactions among them, participants can be invited to act out their own role in stylized 612 situations created by the organizers in role-playing sessions (Bousquet et al. 2002).

613 In Thailand, such participatory simulation workshops with stakeholders involved in the seed system in 614 Ubon Ratchathani Province were organized to collectively explore how the structure and functioning of 615 the provincial seed system affect the dynamics of local rice agrobiodiversity, with the aim of promoting 616 a more balanced partnership between the stakeholders of the system (Abrami et al. 2008). In Mali, a 617 similar approach was used to analyze the functioning of the farmer seed system, and enhanced collective 618 learning for crop diversity management (Bazile and Abrami 2008). The use of social-ecological network 619 analysis and modelling in participatory approaches have a strong potential for exploring with 620 stakeholders how the way their interactions are framed affect their access to agrobiodiversity. Such 621 participatory modelling approaches hence open promising perspectives for developing agrobiodiversity 622 management and governance options that are better adapted to biodiversity-based agriculture.

623 **5.** Acknowledgements

The authors gratefully acknowledge researchers in the MIRES network, funded by INRAE, and the GDR ReSoDiv, funded by the CNRS, for rich discussions that significantly contributed to this paper. Special thanks to Pierre Barbillon and Saint-Clair Chabert-Liddell for their help with the figures, and to Camille Noûs for her meaningful insights. We also thank two anonymous reviewers for their constructive suggestions that significantly improved this paper.

629 **6. Declarations**

630 Availability of data and materials: Not applicable.

- 631 Competing interests: The authors have no conflicts of interest to declare that are relevant to the content632 of this article.
- Funding: The coordination of this work was aided by training facilities provided by CIRAD whichassociated senior and junior researchers.
- 635 Authors' contribution: Conceptualization, V.L, J.B, M.A, C.L.P; Literature search, V.L, J.M, C.L.P;
- 636 Writing –Original Draft, V.L, C.L.P; Writing –Review & Editing, M.A. D.B, Ö.B, S.C, C.L, S.L, J.M,
- 637 F.M, M.T; Funding Acquisition, V.L.
- 638 **Ethical approval:** Not applicable (review).

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