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**Title page**

**Multicontrast MRI-based radiomics for the prediction of pathological complete response to neoadjuvant chemotherapy in patients with early triple negative breast cancer**

**Authors:**

Angeline NEMETH, PhD,<sup>1</sup> Pierre CHAUDET, MD,<sup>2</sup> Benjamin LEPORQ, PhD,<sup>1</sup> Pierre-Etienne HEUDEL, MD,<sup>3</sup> Fanny BARABAS,<sup>2</sup> Olivier TREDAN, MD-PhD,<sup>3</sup> Isabelle TREILLEUX, MD-PhD,<sup>4</sup> Agnès COULON, MD,<sup>2</sup> Frank PILLEUL, MD-PhD,<sup>1,2</sup> and Olivier BEUF, PhD,<sup>1</sup>

**Author Affiliations:**

<sup>1</sup>Univ Lyon, INSA-Lyon, Université Claude Bernard Lyon 1, UJM-Saint Etienne, CNRS, Inserm, CREATIS UMR 5220, U1206, F69621, Lyon, France

<sup>2</sup>Department of Radiology, Centre Léon Bérard, Lyon, France

<sup>3</sup>Department of Medical Oncology, Centre Léon Bérard, Lyon, France

<sup>4</sup>Department of Pathology, Centre Leon Bérard, Lyon, France

**Corresponding author:**

Benjamin Leporq, +33 4 69 85 62 58

Benjamin.leporq@creatis.insa-lyon.fr

Centre Léon Bérard, 28 Prom. Léa et Napoléon Bullukian, 69008 Lyon, France

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28 **Abstract**

29 Introduction:

30 To assess pre-therapeutic MRI-based radiomic analysis to predict the pathological complete response  
31 to neoadjuvant chemotherapy (NAC) in women with early triple negative breast cancer (TN).

32 Materials and methods:

33 This monocentric retrospective study included 75 TN female patients with MRI (T1-weighted, T2-  
34 weighted, diffusion-weighted and dynamic contrast enhancement images) performed before NAC. For  
35 each patient, the tumor(s) and the parenchyma were independently segmented and analyzed with  
36 radiomic analysis to extract shape, size, and texture features. Several sets of features were realized  
37 based on the 4 different sequence images. Performances of 4 classifiers (random forest, multilayer  
38 perceptron, support vector machine (SVM) with linear or quadratic kernel) were compared based on  
39 pathological complete response (defined on the excised tissues), on 100 draws with 75% as training set  
40 and 25% as test.

41 Results:

42 The combination of features extracted from different MR images improved the classifier performance  
43 (more precisely, the features from T1W, T2W and DWI). The SVM with quadratic kernel showed the  
44 best performance with a mean AUC of 0.83, a sensitivity of 0.85 and a specificity of 0.75 in the test  
45 set.

46 Conclusion:

47 MRI-based radiomics may be relevant to predict NAC response in TN cancer. Our results promote the  
48 use of multi-contrast MRI sources for radiomics, providing enrich source of information to enhance  
49 model generalization.

50

51 **Keywords**

52 Breast Cancer; Radiomics; Multi-contrast MRI; Triple Negative Breast Cancer.

53

54 **Abbreviations**

- 55
- AUC: Area Under the Curve ROC
- 56
- DCE: dynamic contrast enhancement

- 57 • HER: Human Epidermal Growth Factor
- 58 • VOI: volume of interest
- 59 • MLP: multilayer perceptron
- 60 • MRI: magnetic resonance imaging
- 61 • NAC: neo-adjuvant chemotherapy
- 62 • pCR: pathological complete response
- 63 • ROC: receiver operating characteristic
- 64 • SVM: support vector machine
- 65 • TN: triple negative
- 66 • TNM: tumor, node, metastases
- 67 • T1W: T1-weighted imaging
- 68 • T2W: T2-weighted imaging
- 69 • DWI: diffusion weighted imaging
- 70 • GLCM: Gray-level co-occurrence matrix
- 71 • GLSZM: Gray-level size zone matrix
- 72 • NGTDM: Neighborhood gray tone difference matrix
- 73 • SURE: Speed-Up Robust Features
- 74 • SUB3: subtraction between the image 3 min post-injection and the image pre-injection

75 **TEXT**

76 **INTRODUCTION**

77 Breast cancer is the most frequently diagnosed cancer in women with 2,090,000 new cases and  
78 627,000 deaths worldwide in 2018 [1]. Among the different types of breast cancer, triple negative  
79 (TN) cancer is characterized by estrogen and progesterone receptor level lower than 10%, and an  
80 absence of over-expression of HER-2 (Human Epidermal Growth Factor Receptor-2). TN cancers  
81 account for 10 to 24% of all breast cancers, and 57 to 88% of cancers with *BRCA1* mutation in women  
82 [2]. TN tumors are generally larger, diagnosed at the highest grade, and associated with worse  
83 prognosis [3]. At early stage, patients with TN cancer receive systemic treatments generally limited to  
84 cytotoxic chemotherapy, no targeted therapies are currently proposed. Neoadjuvant chemotherapy  
85 (NAC) treatment are used: i) to reduce the initial tumor volume in order to allow a conservative  
86 surgical treatment; ii) to better eradicate the micrometastatic disease; iii) to assess tumor chemo-  
87 sensibility to determine the most appropriate adjuvant treatments [4]. The pathological complete  
88 response (pCR) to NAC is an important prognostic factor for the disease-free survival and overall  
89 survival in breast cancer [5, 6]. The efficacy of NAC varies according to the tumor's genetic profile  
90 and pCR levels from 10 to 50% [7]. Therefore, there is a clinical need to identify patients who will not  
91 respond to NAC to eventually direct them to alternative therapeutic strategies.

92 According to the latest EUSOBI recommendations [8], pre- and post-chemotherapy MRI examination  
93 should be performed in women with early breast cancer receiving NAC. Since 2012 [9], a new  
94 discipline called "radiomics" has drawn increasing attention in cancer research in disease detection,  
95 diagnosis, and prediction of treatment response, and several studies investigated prediction of pCR to  
96 breast cancer chemotherapy [10–14]. Radiomics is based on the hypothesis that genetics, molecular,  
97 cellular and tissular modifications can be observed on images [15,16]. Technically, radiomics consist  
98 in extracting a high number of quantitative parameters from radiologic images in order to determine  
99 their relationships with the underlying pathophysiology [17,18]. Moreover, through functional and  
100 anatomical information that MRI provided with on whole tissues, MRI-based radiomics allows to  
101 access to quantitative information refining the entire tumor and its micro-environment, and to probe  
102 tumor heterogeneity [19]. Some radiomic studies focused not only on the tumor, but also on the  
103 surrounding mammary parenchyma. The tumor microenvironment is known to partly contribute to the

104 progression of breast cancer [20]. The radiomic analysis of the pre-tumoral environment was shown to  
105 be as important as the tumor analysis itself [14].

106 In this study, we explored the performance of radiomics in tumor and ipsilateral parenchymal  
107 mammary MRI to predict the pCR to NAC in TN breast cancer female patients.

108

## 109 **METHOD**

### 110 **Study design**

111 Patients enrolled in this retrospective study underwent a pre-therapeutic MRI protocol between  
112 January 2008 and Jun 2017 in the French comprehensive cancer center “Centre Léon Bérard”. All  
113 patients had an early triple negative breast cancer (i.e. without metastasis) and were treated with  
114 neoadjuvant chemotherapy (NAC) before a surgical treatment. All women received a sequential NAC  
115 therapy based on anthracyclines-cyclophosphamide, then taxanes. Data were excluded for: i) poor  
116 quality of MRI imaging (moving artifacts, missing of some images); ii) NAC treatment initiated prior  
117 to MRI. This retrospective study was approved by our institutional review board and the requirement  
118 to obtained informed consent was waived.

119

### 120 **Pre-therapeutic MRI protocol**

121 All breast MRI examinations were performed at Leon Berard center with patient in prone position  
122 using a 1.5T Achieva system (Philips Healthcare, Best, Netherlands) and with the use of a dedicated  
123 seven-channel or sixteen-channel breast surface coil (SENSE-Breast-7 or SENSE-Breast16M). The  
124 pre-therapeutic MRI protocol was sequentially composed of a T1-weighted imaging, a T2-weighted  
125 imaging, a diffusion weighted imaging and a dynamic contrast enhanced imaging (Figure 1). MRI  
126 protocol parameters are summarized in Table 1. Dynamic contrast enhancement (DCE) MR imaging  
127 was acquired after intravenous injection of 0.1 mmol/kg gadolinium-based contrast agent. Images  
128 noted “SUB3” were obtained subtracting images acquired 3 min after the injection and those acquired  
129 before injection using DCE-MRI.

130

### 131 **Segmentation of volume of interest**

132 The 3D volume of interest (VOI) was delineated manually using itk-SNAP software

133 (www.itksnap.org) on few slices of SUB3 images; the inter-slice interpolation option was used to  
134 complete the mask between slices to have a 3D volume. More precisely, for each patient, tumor and  
135 parenchyma were initially segmented by a radiologist intern PC, after correcting by a senior  
136 radiologist (more than 10 years of experience in breast imaging AC). In the case of multiple lesions,  
137 each of them was considered independently with separate 3D binary mask. The delineation of the  
138 parenchyma included the fibroglandular tissue and the adipose tissue and excluded the skin and the  
139 tumor. The segmentations of tumor and parenchyma resulting from the consensus of the two  
140 radiologists PC and AC were then used for the extraction of size, shape, intensity distribution, and  
141 other texture features. Areas impacted by the presence of a clip were excluded from the VOI for the  
142 computation of intensity distribution and texture features (but not for shape and size features). An  
143 affine transformation was used to reposition VOIs segmented on SUB3 images on the other images  
144 (T1W, T2W and DWI) using matrix dimension and patient position information. A third expert FB  
145 was asked to delineate a subset of twenty-four tumors in order to analyze the variability of  
146 segmentations with the difficulty to have any access to the assessment of the other two radiologists  
147 and without access to the patient file.

148

#### 149 **Features extraction**

150 We tested multiple configurations. We first use only SUB3 images with features extracted from lesion  
151 and parenchyma, and progressively include features from T1-weighted images, T2-weighted images,  
152 and DW images in the feature set. Extraction of feature set was performed with MATLAB 2019a (The  
153 Mathworks, Natick, MA, USA) using an in-house software. 3D-image intensities were filtered using  
154 Collewet method [21]. The initial feature set of a ROI was composed of 342 features: 96 shape and  
155 size characteristics, 14 intensity distribution characteristics, and 232 textural characteristics. As shown  
156 in the Figure 2, size and shape features were directly extracted from the binary masks and were based  
157 on morphological skeletonization and distance transforms, affine moment invariants [22], Hu moment  
158 [23], Zernike moment [24, 25], and conventional metrics. Intensity distribution features were extracted  
159 from masked MR images from the histogram built with 256 bins (14 features: average, standard  
160 deviation, full width at half maximum, variance, minimum, maximum, range, interquartile range,  
161 kurtosis, skewness, entropy energy, root mean square, mean deviation, median deviation). Before the

162 extraction of texture features, voxels were isotropically resampled using an affine transformation and a  
163 nearest-neighbor interpolation and then discretized in a smaller number of gray levels. This operation  
164 was done using an equal probability algorithm to define decision thresholds in the volume such as the  
165 number of voxels for a given reconstructed level is the same in the quantized volume for all gray  
166 levels. Images were discretized in 8, 16, 24, 32, 48 and 64 grey levels and for each level four matrix  
167 were built: GLCM (Gray-level co-occurrence matrix) (n=21), GLRLM (Gray-level run length matrix)  
168 (n=13), GLSZM (Gray-level size zone matrix) (n=13) and NGTDM (Neighborhood gray tone  
169 difference matrix) (n=5) from which characteristics were extracted. Frequency domain-based texture  
170 features were extracted from the Gabor filters responses and from features extracted from image  
171 spectrum after 2D discrete Fourier transform. GLCM and GLRLM will be computed for 4 directions  
172 (0°, 45°, 90° and 135°) with an offset of 1 pixel. For GLSZM and NGTDM, a 26-pixel connectivity  
173 will be used. For Gabor filtering, 5 scales, 6 orientations, and a minimal wavelength of 3 were used.  
174 Other texture feature based on images primitive were also extracted using different detectors and  
175 descriptors (such as Speed-Up Robust Features (SURF) detector, Local Oriented Statistics Information  
176 Booster (LOSIB) descriptor, Harris detector); lacunarity computation or quad tree decomposition.  
177 These features were already described in previous studies [10,11,26]. For multiparametric case, only  
178 textural and intensity features (set of 492 features) were computed on the other imaging (T1W, T2W  
179 and DWI) and added to the initial feature set as illustrated in Figure 2.

180

## 181 **Data mining**

182 The test set included 25% of the total number of tumors, randomly selected from the whole data set,  
183 with a balance between pCR and non-pCR. One hundred different configurations of the training and  
184 test sets were used. Z-score normalization was applied on each features of the feature set. Then, a  
185 dimension reduction was applied using ReliefF method [27] to select the twenty most relevant features  
186 on the whole data set. From the reduced feature set, supervised machine learning was used to build the  
187 prediction model. Four classifiers were evaluated: a multilayer perceptron (MLP) trained with a  
188 stochastic gradient algorithm using an adaptive learning rate and a regularization of the synaptic  
189 weights ( $\eta = 0.1$ , 5 mini-batches, 30 hidden nodes, and 60 epochs); a support vector machine (SVM)  
190 with a linear kernel; a SVM with a quadratic kernel (trained with box-constraint  $c = 1$ ) and a random

191 forest (3 splits and 50 learning cycles). The difference between the AUC for the training set and for  
192 the test set was used to evaluate the overfitting of the classification method. We evaluated the  
193 performance of the classification models thanks to the area under the curve (AUC) of the receiver  
194 operating characteristic (ROC). The difference in AUC between the training and the testing sets was  
195 used as an indicator for the predictive model to generalize the estimation.

196

### 197 **Statistical Analysis**

198 A Sørensen–Dice index was computed to compared the delineation given by various radiologists. An  
199 intraclass correlation coefficient (ICC) for each radiomic features was computed using package psych  
200 in R software. As we compared the radiomic features from three segmentations (initial P.C, corrected  
201 by A.C. and independent F.B.), we used the “ICC2” definition (this measure is one of absolute  
202 agreement in the ratings and could be generalize to other observers).

203 A linear mixed regression model with random intercept was used to evaluate the effect of the multi-  
204 contrast feature sets, the effect of the choice of classifier, and the effect of additive information from  
205 the parenchyma in the feature set on the AUC values (details in supplementary information). T-test  
206 was performed for specific pairwise comparison. Analyses were made using R software, v. 3.6.1  
207 (Vienna, Austria).

208

### 209 **Pathological examination and response to treatment**

210 The breast cancer was diagnosed on biopsy. All anatomopathological diagnostics were realized by  
211 expert pathologists specialized in breast cancer from our institution. The expression of estrogen  
212 receptor, progesterone receptors, and HER-2 status was determined on histopathological pre-  
213 therapeutic biopsy samples. Hormone receptor negative status was defined if less than 10% of cells  
214 revealed staining for estrogen and progesterone receptors. The expression of HER-2 was considered  
215 negative if lower than 1+ in immunohistochemistry. Tumors with a score at 2+ required additional in  
216 situ hybridization to determine the amplification or non-amplification of HER-2. After a 6-month  
217 NAC treatment, all patients underwent breast surgical intervention (lumpectomy or mastectomy). The  
218 complete pathological response was defined by the absence of invasive residual tumor in the resected  
219 tissues (carcinoma in situ could be found) and the absence of axillary nodal metastasis. The TNM

220 stage was ypT0 ypNO or ypTis ypNO, according to 2012 seventh editions of the AJCC Cancer  
221 Staging Manual 2012[28].

222

## 223 **RESULTS**

### 224 **Clinical characteristics**

225 Among 79 patients eligible for study enrolment, four patients were excluded: one for MRI-protocol  
226 performed one week after the treatment initiation, and three because the SUB3 images were missing or  
227 not complete after the data transfer from the archive server. Among the 75 patients enrolled, 11  
228 patients presented two lesions, and 3 patients presented three lesions. Two patients presented bilateral  
229 tumors. Lesions were analyzed independently. 14 out of these 92 tumors had a clip. Three lesions were  
230 excluded for inadequate size to perform radiomic processing. Tumor characteristics are summarized in  
231 Table 2.

232 8 out of the 75 patients underwent MRI protocol using the SENSE-Breast-7 coil, and the remaining  
233 patients the SENSE-Breast16M. No significant difference in signal-to-noise ratio was observed  
234 between images acquisitions with the SENSE-Breast-7 and the SENSE-Breast16M coils (t-test, p-  
235 value = 0.33). For some patient, images from the pre-therapeutic MRI images were missing; details are  
236 summarized in Table 3 and reported the proportion of pCR and non-pCR.

237

### 238 **Comparison of multi-contrast imaging features**

239 Out of the 89 tumors analyzed, 70 used the whole MRI protocol (T1W, T2W, DWI, and DCE).  
240 Multiple combinations of feature set were tested to evaluate the necessity of multiple contrasts  
241 imaging (Figure 3). Moreover, different classifiers were used and their performances were compared.  
242 Based on the AUC in the training set, the SVM with a quadratic kernel showed the best results (mean  
243 AUC of 0.99, Figure 3J). Random Forest and Multilayer perceptron also provided very good results  
244 with a mean AUC of 0.93 and 0.90, respectively, for the training set. The “SVM order 1” classifier  
245 showed the smallest difference in AUC between the training and test sets reflecting the smallest  
246 overfitting (Figure 3 C, F, I, L), or largest bias.

247 The random forest, “SVM order 2”, and the MLP classifiers suggest advantages in adding information  
248 from different images issued from different MRI sequences. The most interesting configuration of

249 feature set appeared to be the combination of DWI, T1W, and T2W features: firstly, the AUC in the  
250 validation set was larger than in other configurations even considering the configuration with only  
251 T1W features (+ 0.034,  $p < 0.001$ , linear mixed model with Dunnett post-hoc test, details in  
252 supplementary information); secondly, the overfitting expressed by the difference in AUCs was in the  
253 same range than that of the “SVM order 1” (+0.008,  $p = 0.564$ , t-test).

254 The same parameters were used without any characteristics of parenchyma while keeping selected the  
255 20 most relevant parameters. A significant decreased in AUC for the test set was observed for “SVM  
256 order 2” (- 0.047,  $p < 0.001$ , linear mixed model with Dunnett post-hoc test, details in supplementary  
257 information). These results showed that the characteristics of both parenchyma and tumors seemed to  
258 allow a better prediction of pCR. Unfortunately, the AUC results depended on the repartition of the  
259 data in the training set and in test set, and the ranges of AUCs in the test set were large.

260 To go further, it would be interesting to accurately identify the non-pCR patients to avoid exposure to  
261 ineffective systemic treatment. In that case, the specificity of the predictive model was the most  
262 important. We observed that sensitivity was higher than specificity in our series (Figure 4).

263

#### 264 **Effect of features selected**

265 The “SVM order 2” combined with DWI, T1, and T2 features seemed to better predict pCR.  
266 Therefore, we analyzed the effect of the number of features selected before applying classification.  
267 The ReliefF method allowed to promptly classify the most independent features (Table 4). The  
268 textural features appear more relevant than shape and 1er order textural features (26 textural features  
269 against 4 shape feature). The Figure 5 shows: i) an increase in AUC, specificity and sensitivity values  
270 from 1 to 9 parameters; ii) a plateau from 9 to 24 parameters; iii) a slight decrease after 24 parameters.  
271 The nine most relevant features appeared as sufficient. In relevant shape feature, the one from  
272 parenchyma was very interesting because it reflects the proportion of the sane parenchyma against the  
273 tumor (the tumor area was removed from the parenchyma delineation in the binary mask). For the  
274 T1W without fat suppression, lobules, ducts and bloods vessels appear in lower values than fatty  
275 tissue. The features Short-Run Low Gray-level is the distribution of the short homogeneous runs with  
276 low grey-levels and appeared to be a relevant textural feature from parenchyma on T1W imaging.

277

278

## 279 **Variability of intra-observer delineation and radiomic feature implications**

280 The variability analyses were done on a subset of 24 lesions. The segmentation approved by the  
281 radiologist AC was considered the reference. A mean DICE coefficient of  $0.95 \pm 0.07$  (range of 0.74 -  
282 1.00) reflecting the concordance between the two radiologists (PC and AC). The mean DICE  
283 coefficient was  $0.84 \pm 0.10$  (range of 0.56 - 0.99) comparing the delineation approved by AC and FB.  
284 The worst result (DICE = 0.56) came from a stack of images where the contrast enhancement was very  
285 low and therefore difficult to segment without information on the pathology. The majority of DICE  
286 coefficient was over 0.80. To measure the impact of delineation variation on radiomic features, an ICC  
287 was computed for each feature. On the most relevant features highlighted by the ReliefF method in our  
288 study, we observed that textural features have a good ICC (mean 0.88 range 0.65-0.98) but the shape  
289 features have a worst ICC2 (mean 0.44 range 0.31-0.56). The textural features represented 75% of the  
290 nine most relevant features.

291

## 292 **DISCUSSION**

293 This study shows that multi-contrast MRI-based radiomics allows to predict the neo-adjuvant  
294 chemotherapy response in patients with early triple negative breast cancer with good performances.

295 This study also showed that the choice of classifier strongly influences model performances. While  
296 SVM with a linear kernel generalized well, probably due to lower variance and higher bias  
297 (highlighted by lower AUC in the training set), other non-linear classifiers such as neural network,  
298 quadratic-kernel SVM, and Random Forest, increase performances by reducing bias effect (high  
299 performances in the training set), and increase variance and therefore overfitting, as indicated by  
300 increased AUC differences between dataset. However, the increase in variety of processable data  
301 combining different sources of radiomics (multi-contrast MRI) reduced variance effect as evidenced  
302 by reduced AUC differences between dataset when radiomics aggregated from different contrasts. Our  
303 results showed that the best model (AUROC at 0.83) was obtained with SVM with a quadratic kernel  
304 trained from aggregated radiomics extracted from T1, T2, and diffusion weighted images.

305 From a clinical point of view, radiomic signature could help to better predict pathological complete

306 response, and enhanced stratification of patients with an excellent prognosis, and patients at high risk  
307 of chemoresistance. In the latter population, systemic treatment combined to the standard NAC  
308 (anthracycline/taxane) may be added, such as platinum-based NAC [29], a PARP inhibitor [30], or  
309 even an immune-checkpoint-inhibitor. Indeed, the KEYNOTE-522 trial [31] investigating the addition  
310 of pembrolizumab to neo-adjuvant standard chemotherapy showed an increase of 64.8% of  
311 pathological complete response, compared with 51.2% in the placebo control group. Therefore, it  
312 seems important to appropriately select patients who may benefit the most from appropriate systemic  
313 treatment, highlighting the medical need for these non-invasive stratification methods.

314 The most informative sequences identified were the association of T1/T2 morphological sequences  
315 and diffusion sequence. However, these results also depend on the DCE-MRI sequence used for the  
316 segmentation of the lesion. Indeed, we observed some pixels in the fat surrounding the tumors in the  
317 mask registered on T1W images; which would not have been integrated if the segmentation had been  
318 directly performed on T1W images. The parenchyma features appeared to be relevant in this study.  
319 The shape feature computed on the parenchyma binary mask (the difference between the parenchyma  
320 and the tumor delineation) appeared to be more relevant than the shape feature computed on tumor  
321 binary mask. More generally, the most relevant features were high order of textural features. These  
322 textural features would reflect the textural complexity from a macroscopic point of view but the tumor  
323 heterogeneity in a microscopy point view. Moreover, the textural features appeared to be more robust  
324 to inter-observer variability (different delineation) with high intraclass correlation coefficient.

325 Our results are consistent with previous studies showing that AUC ranged from 0.67 to 0.87 [10–  
326 12,14], allow to predict the response to breast cancer chemotherapy. Among these studies, Braman and  
327 collaborators showed that the triple negative subgroups had the best results with a 0.93 AUC, and Liu  
328 and colleagues reported AUC at 0.86 with fewer TN patients. Our series showed that 64% of the  
329 patients achieved a pathological complete response; this rate is higher than that reported in previous  
330 studies with 31% of patients complete pathological responses in [32].

331  
332 Our study has some limitations. First, the size of our population was limited to 75 patients and the  
333 multiparametric radiomic analyses were only achieved in 70 lesions. However, to the best of our  
334 knowledge, this TN cohort was the largest cohort dedicated to radiomic studies. The lesion

335 segmentation was done manually, which may introduce a degree of subjectivity. In addition, this study  
336 was performed in a single institution study; and extrapolating results to population of another center is  
337 limited. Lastly, MRI protocols are not standardized between centers in the field of breast cancer  
338 analyses inducing variability in the radiomic feature sets. Several studies have shown the sensitivity of  
339 radiomic parameters to machine's change or reconstruction parameters [33–35].

340 Those results have to be confirmed in an external validation cohort with multicentric and prospective  
341 data, and more patients.

342

343 To conclude, our results confirm that MRI-based radiomics may be relevant to predict neoadjuvant  
344 chemotherapy response in early triple negative breast cancer. In addition, our results highlight the  
345 interest of using multi-contrast MRI as sources of radiomics to improve model generalization thanks to  
346 increased information variety.

347

#### 348 **Authors' Contribution**

349 Nemeth: Study conception and design, Analysis and interpretation of data, Drafting of  
350 manuscript, Critical revision

351 Chaudet: Study conception and design, Acquisition of data, Analysis and interpretation of  
352 data, Drafting of manuscript

353 Leporq: Study conception and design, Analysis and interpretation of data, Critical revision

354 Heudel: Study conception and design, Acquisition of data

355 Barabas: Acquisition of data, Analysis and interpretation of data

356 Tredan: Study conception and design

357 Treilleux: Study conception and design

358 Coulon: Study conception and design, Acquisition of data, Analysis and interpretation of data,  
359 Drafting of manuscript

360 Pilleul: Analysis and interpretation of data, Critical revision

361 Beuf: Analysis and interpretation of data, Critical revision

#### 362 **Declarations**

363 Ethics approval

364 Ethical approval was waived by the local Ethics Committee of our institution in view of the  
365 retrospective nature of the study and all the procedures being performed were part of the  
366 routine care.

367

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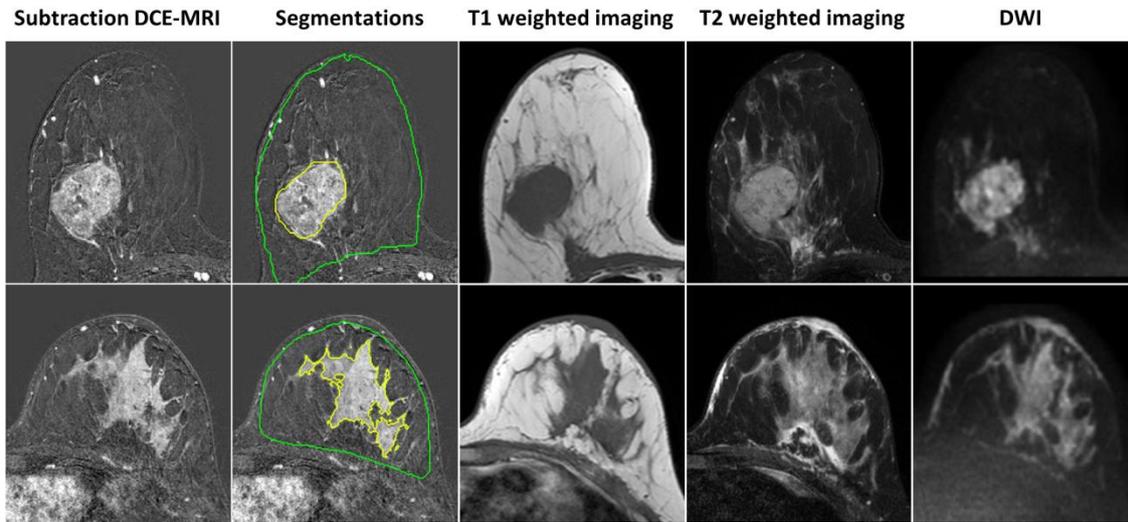
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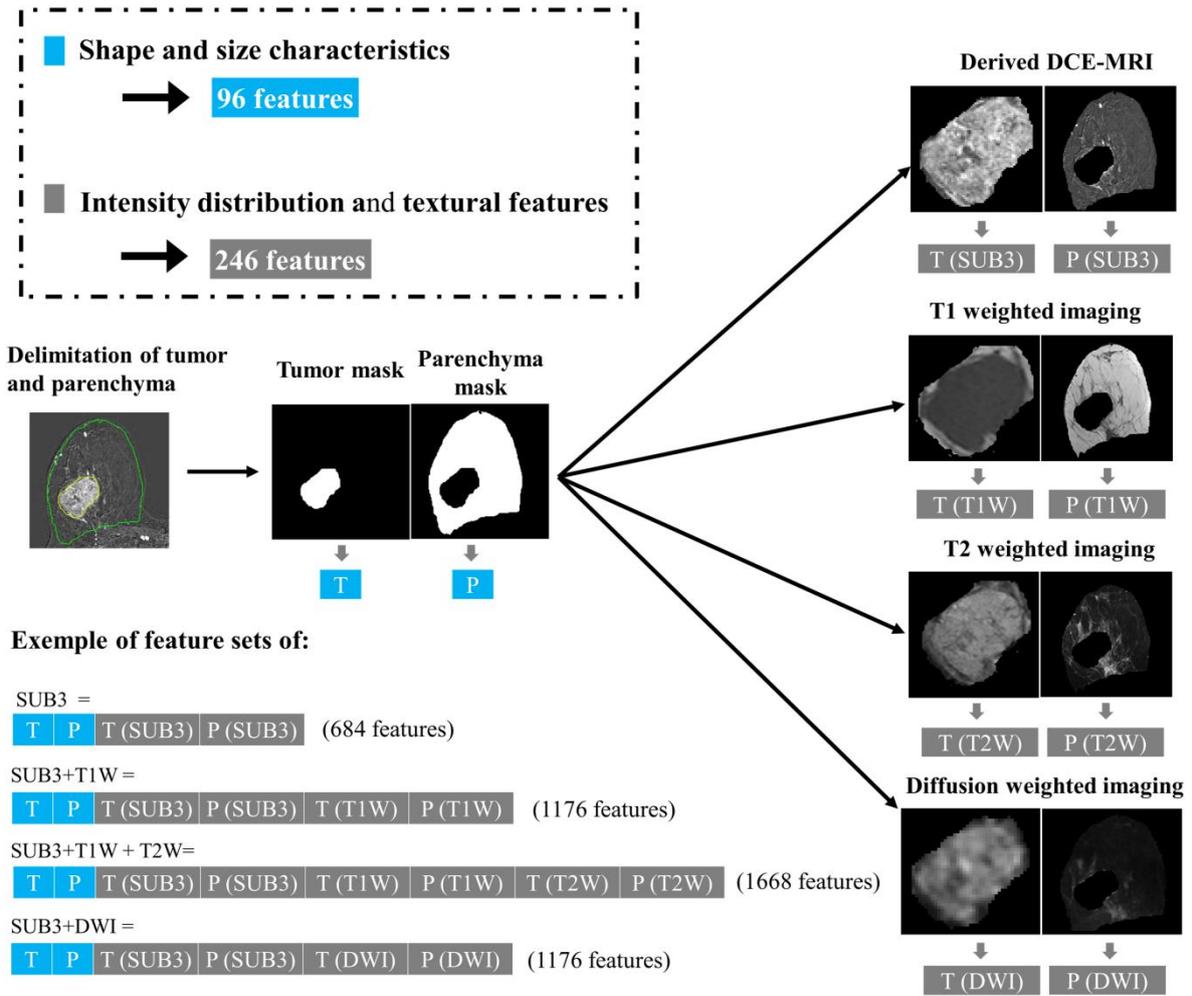
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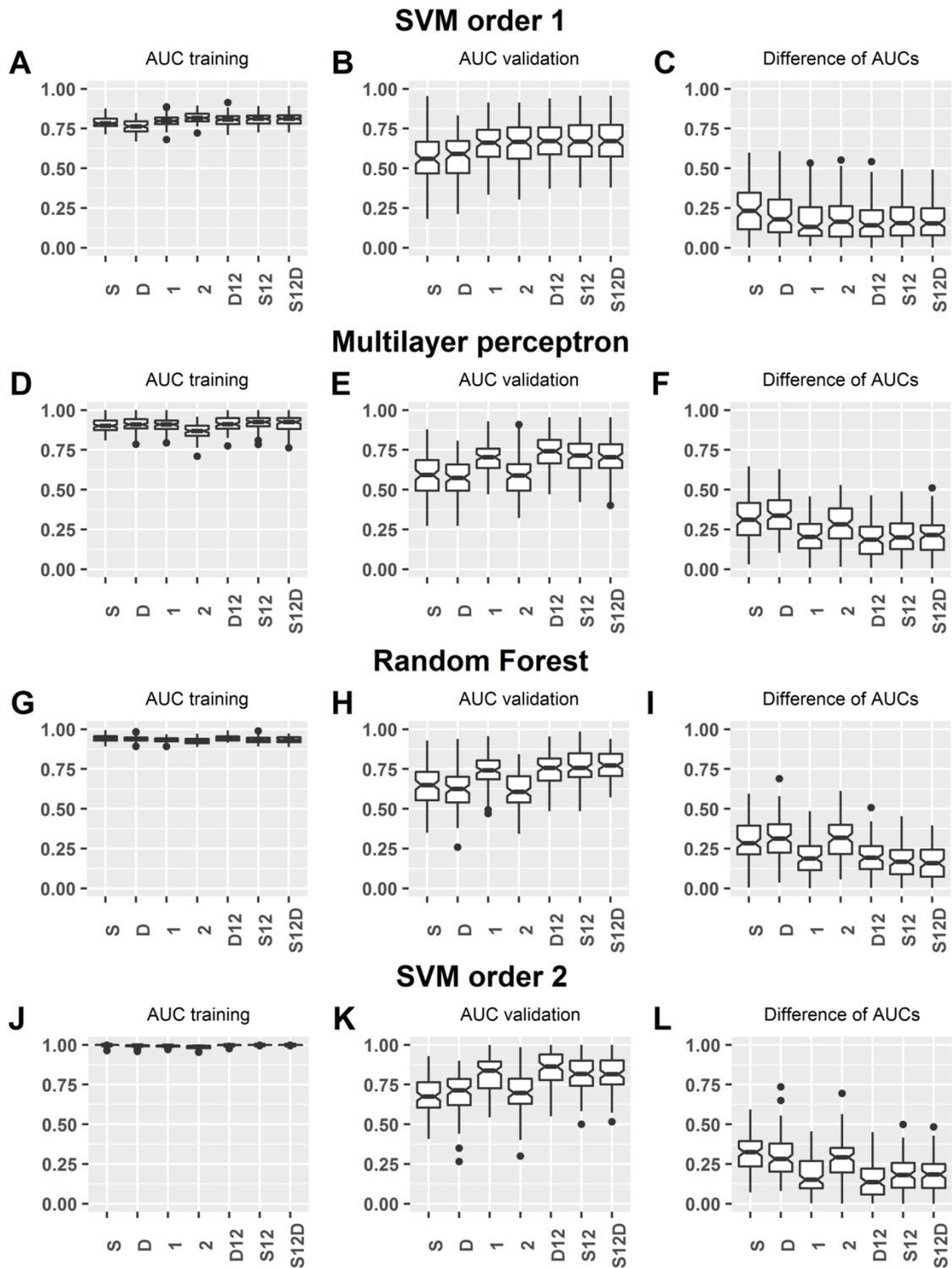
479

480 **Figure 1. Two triple-negative breast cancers imaged with pre-therapeutic MRI protocol.** First  
 481 line: a mass tumor is shown; second line: a non-mass tumor is illustrated. Segmentation of tumor (in  
 482 yellow) and segmentation of parenchyma (in green excluding tumor area) were made on derived DCE-  
 483 MRI. For non-mass tumor, the option “snake” of ITK-SNAP was used to perform the segmentation.

484

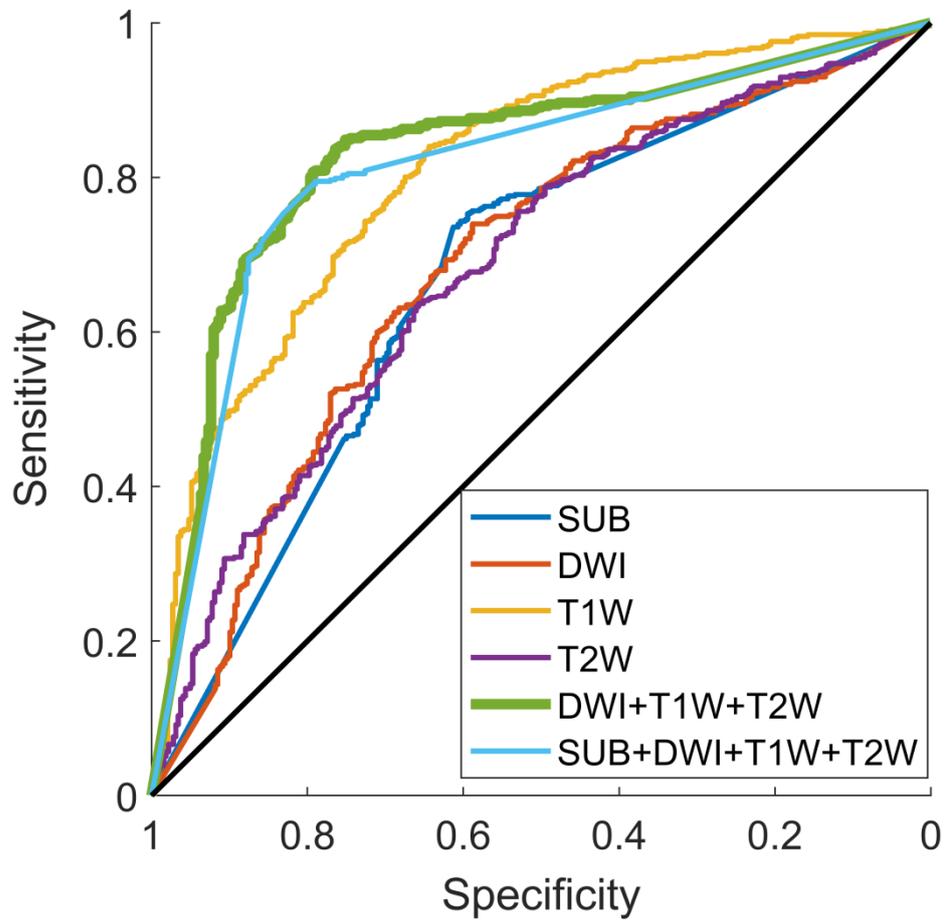


487 **Figure 2. Pipeline of radiomic feature extraction and combination of feature sets.**



488

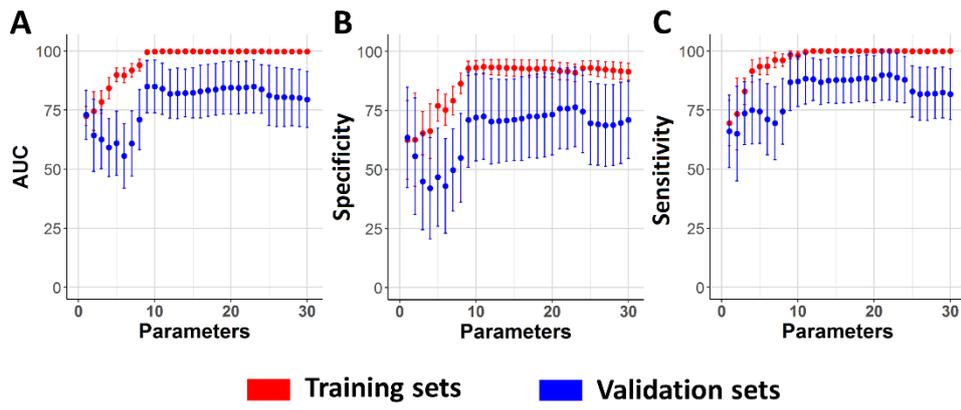
489 **Figure 3. Boxplots of different configurations of radiomic feature set.** AUC: area under the curve  
 490 ROC for the training set and for the test set. For the case “S” only features from the SUB3 were  
 491 included in the feature set; “D” corresponds to the diffusion weighted imaging, “1” corresponds to the  
 492 T1-weighted imaging and “2” corresponds to the T2-weighted imaging. The results of all possible  
 493 configurations are presented as supplementary information.



494

495 **Figure 4: ROC curves for the SVM classifier with quadratic kernel.** The most interesting  
 496 configuration of feature set appeared to be the combination of DWI, T1W and T2W features with  
 497 AUC =0.83, sensitivity =0.85 and specificity =0.75.

498



500

501 **Figure 5: Variation of model performances in training and test sets according to the number of**  
502 **feature selected with the ReliefF method.**

503

504 **Table 1: Summary of sequence parameters of T1W (T1-weighted imaging), T2W (T2-weighted**  
 505 **imaging), DWI (diffusion weighted imaging) and DCE (dynamic contrast enhancement).**

	<b>T1W</b>	<b>T2W</b>	<b>DWI</b>	<b>DCE</b>
Pulse-sequence	TSE	SPAIR (TSE)	SE	TRIVE (TFE)
Flip angle	90°	90°	90°	12°
TE	7 ms	70 ms	75 ms	2.73 ms
TR	600 ms	2.8 s	3.5 s	5.5 ms
Slice thickness	3 or 3.5 mm	3 or 3.5 mm	2 mm	2 mm
Pixel resolution	0.45 × 0.45 mm <sup>2</sup>	0.55 × 0.55 mm <sup>2</sup>	1.17 × 1.17 mm <sup>2</sup>	0.75 × 0.75 mm <sup>2</sup>
Fat suppression	no	yes	yes	yes
b (s/mm <sup>2</sup> )	/	/	700	/
Contrast agent	no	no	no	yes

506

507

<b>Patients</b>	<b>75</b>
<b>Characteristics</b>	(n=89)
<i>BRCA1</i> Mutation	11
Mean age in years (range)	48 (26 – 75)
Tumor size in mm (range)	38 (20 – 92)
- T1 (< 2cm)	3
- T2 (2-5cm)	55
- T3 (> 5cm and < 5cm)	9
- T4 (Any size tumor with direct extension to chest wall or skin)	22
<b>Masses</b>	<b>83</b>
- <b>Shape:</b>	
○ Oval	4
○ Round	6
○ Irregular	73
- <b>Margin:</b>	
○ Circumscribed	7
○ Not circumscribed irregular	58
○ Not circumscribed spiculated	18
<b>Internal enhancement characteristics:</b>	
○ Homogeneous	3
○ Heterogeneous	53
○ Rim enhancement	27
- <b>Kinetic curve assessment:</b>	
○ Persistent : type 1	1
○ Plateau : type 2	33
○ Washout : type 3	39
○ Not reported	16
- <b>T2 signal intensity:</b>	
○ Hypointense/isointense	25
○ Hyperintense	64
<b>Non Mass enhancement</b>	<b>6</b>
- <b>Distribution:</b>	
○ Focal	0
○ Linear	0
○ Segmental	2
○ Regional	2
○ Multiple regions	0
○ Diffuse	2
- <b>Internal enhancement patterns :</b>	
○ Homogeneous	1
○ Heterogeneous	3
○ Clumped	2
○ Clustered ring	0
<b>Adenopathy</b>	
- Axillary	53
- Internal mammary	20
<b>Pathologic complete response</b>	
- Yes	57
- No	32
<b>Histologic types</b>	
- Infiltrating ductal carcinoma	78
- Infiltrating ductal carcinoma + ductal carcinoma in situ	6
- Others (metaplastic carcinoma, myoepithelial carcinoma, medullary carcinoma)	5

---

**Type of surgery**

- **Lumpectomy**
- **Mastectomy**

33%

67%

510

511

512 **Table 3. Number of lesions imaged with DCE-MRI, T1W, T2W, and DWI sequences.**

	<b>SUB3 (N=89)</b>	<b>T1W (N=86)</b>	<b>T2W (N=76)</b>	<b>DWI (N=72)</b>
pCR	57	56	47	45
non-pCR	32	30	29	27

513  
514

**Table 4: The thirty most relevant feature classified by the ReliefF method**

Order (ReliefF)	Type of tissue	Type of imaging	Type of features	Function	Parameter names
1	Tumor	T2W	Textural	SURF	std_blobs_strength
2	Parenchyma	Binary mask	Shape	Affine moment	Affine_moment_invariant_6
3	Tumor	T2W	Textural	Quadtree	sum_blocks
4	Parenchyma	T1W	Textural	GLRLM	Short_Run_Low_Gray_Level_Emphasis
5	Tumor	T2W	Textural	Quadtree	mean_c
6	Tumor	T1W	Textural	SURF	std_blobs_strength
7	Tumor	Binary mask	Shape	Zernike moment	Zernike_moment_Phi8
8	Tumor	Binary mask	Shape	Hu moment	Hu_moment_1
9	Tumor	T1W	Textural	Gabor	Gabor_square_energy_s1
10	Tumor	T2W	Textural	Quadtree	mean_r
11	Tumor	T1W	Textural	Grad	G2_y_sum
12	Tumor	DWI	Textural	SURF	mean_blobs_strength
13	Tumor	T1W	Textural	Gabor	Gabor_square_energy_s2
14	Tumor	T1W	Textural	Grad	G1_y_sum
15	Tumor	T1W	Textural	Grad	L4_sum
16	Tumor	T1W	Textural	Grad	L2_sum
17	Tumor	T1W	Textural	Grad	L1_y_sum
18	Tumor	T1W	Textural	Grad	G1_x_sum
19	Tumor	T1W	Textural	Grad	G2_x_sum
20	Tumor	T1W	Textural	Grad	L3_sum
21	Parenchyma	T1W	Textural	GLCM	Information_measure_of_correlation_2
22	Tumor	T1W	Textural	Grad	L1_x_sum
23	Tumor	T1W	Textural	Gabor	Gabor_square_energy_s5
24	Tumor	Binary mask	Shape	Skelet features	std_2
25	Parenchyma	DIFF	Textural	GLCM	Correlation
26	Tumor	DIFF	Textural	FFT features	rank_F_orient1
27	Tumor	DIFF	Textural	FFT features	rank_F_orient2
28	Tumor	DIFF	Textural	FFT features	rank_F_orient3
29	Tumor	T1W	Textural	Gabor	Gabor_square_energy_s3
30	Tumor	T2W	Textural	Quadtree	length_quadtree



## 519 **Supplementary information**

### 520 **Statistical analyses**

521 The aim of this supplementary information is to provide complete statistical analyses about  
522 the impact of different configuration of feature set on classifier performance. Indeed, in the  
523 paper, only the most relevant results were illustrated.

#### 524 **Multiple effects were analyzed:**

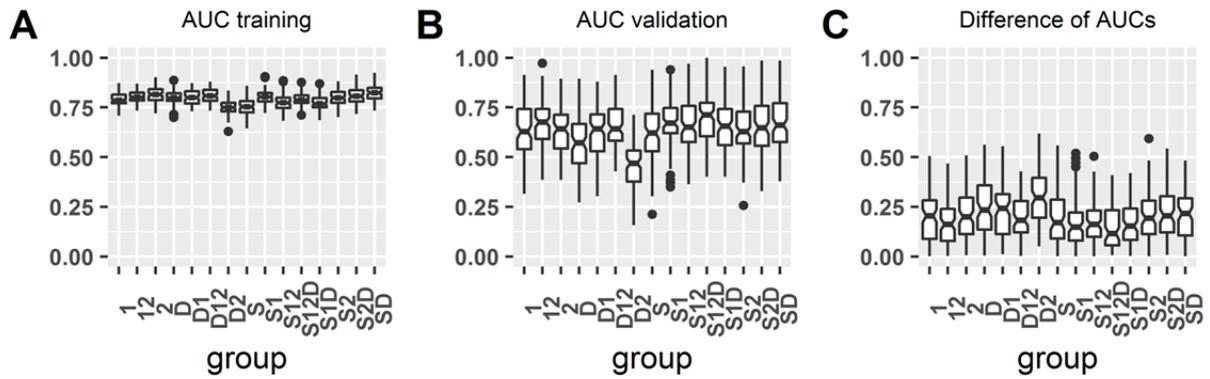
- 525 1. Multiple configurations of feature sets from multi-contrast imaging (ex: combining  
526 features from the DWI and T1W).
- 527 2. Effect of adding information from parenchyma (combining features from the  
528 parenchyma and from the tumor).
- 529 3. Effect of the classifier chose.

530

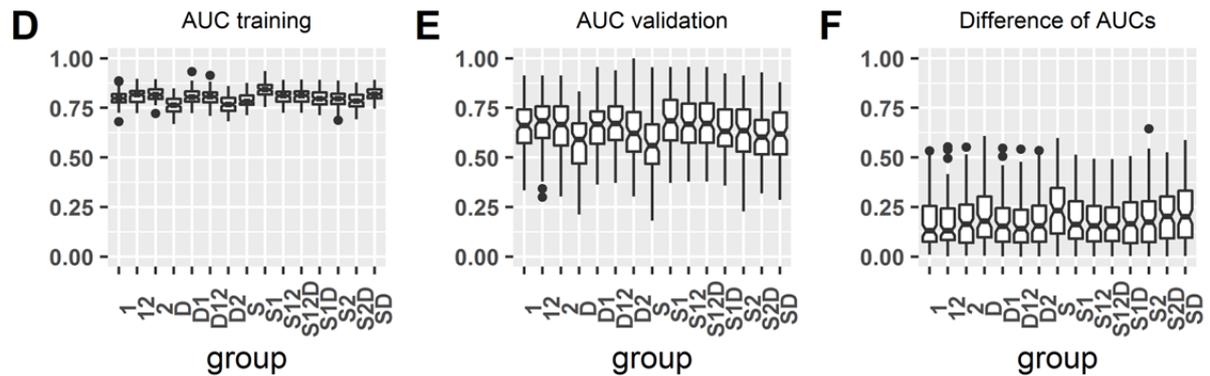
531 For each of the 100 random draws of training/validation set (performing with “cvpartition”  
532 Matlab function), fifteen configurations of feature set were tested. For each feature set, 4  
533 classifiers were applied to provide a predictive model and the AUC was used to evaluate the  
534 performance of each classifier. To compare the fifteen configurations of feature, a linear  
535 mixed-effect model (LMM) was performed on AUC of validation set (or AUC of training set)  
536 for each classifier using “lmer” R function. For the design of the LMM, we considered  
537 repeated measurements on training/test set (100 different cases) with three fixed factors:  
538 Configurations (15 possibilities), Type of initial features set (tumor only or tumor +  
539 parenchyma) and Classifier (4 possibilities: SVM order 1, Random Forest, Multilayer  
540 perceptron and SVM order 2); and a random effect. The normality of each distribution was  
541 validated by a quantile-quantile plot. Figures S1, S2, S3 and S4 shows boxplot of the fifteen  
542 possible configurations with data “tumor only” for A,B and C graphs and with data “tumor +  
543 parenchyma” for D, E and F. The fixed-effect results of LMM were summarized in table S5.  
544 The LMM demonstrated a significant effect of adding information from the parenchyma with  
545 greater values of AUC\_training and AUC\_validation (mean difference of respectively 0.020  
546 and 0.047 after the correction of “configurations” effect and “classifier” effect). Most of  
547 configurations using multi-contrast imaging have higher AUC values than using only one  
548 contrast; expect for the configuration “DWI + T2W”. The classifier with the highest AUC  
549 values in both training and validation sets was the SVM with quadratic kernel.

550

### SVM order 1 (tumor only)



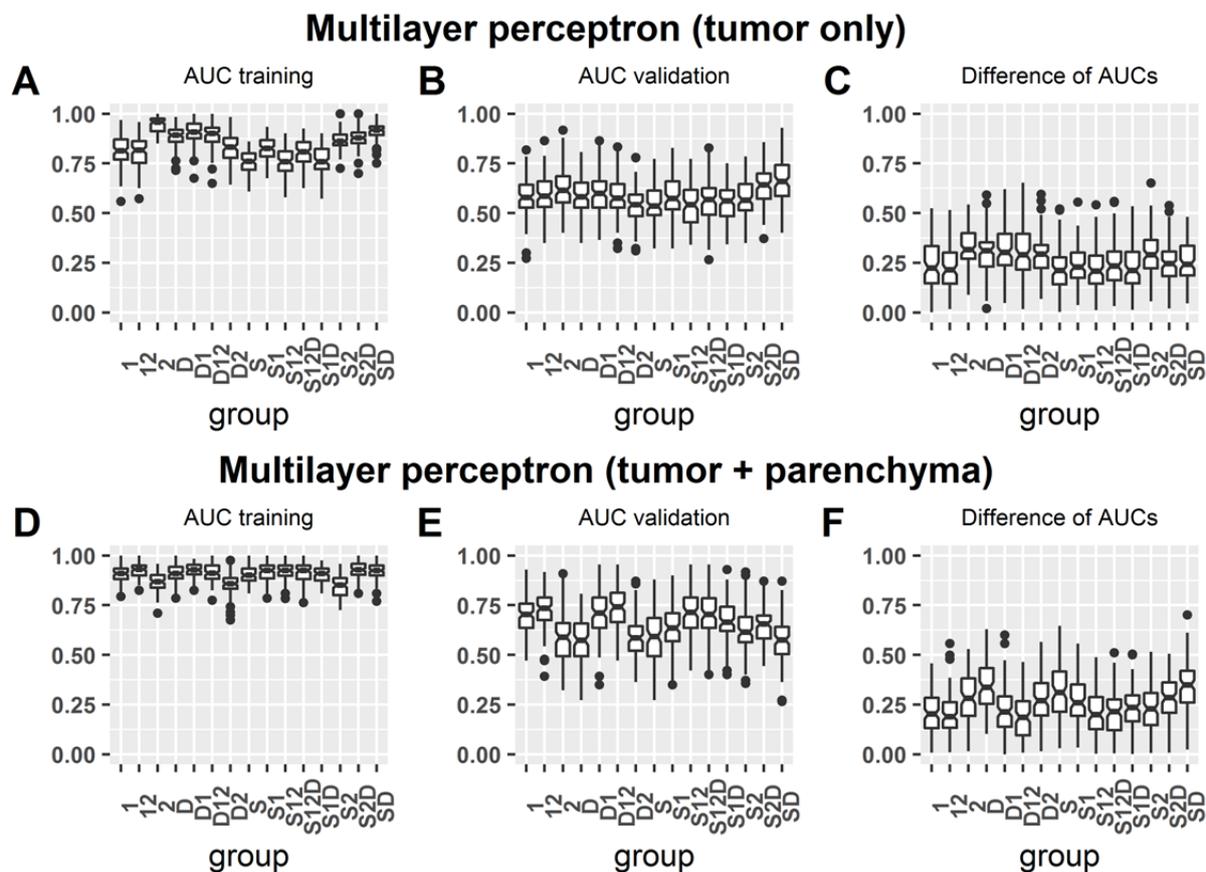
### SVM order 1 (tumor + parenchyma)



551

552 **Figure S1 (Support vector machine with linear kernel):** Boxplot of AUC distribution in  
553 **the training/validation set and the difference of the AUC of the two sets.** Feature set used  
554 **for the classification was designed as follow:** “1” designs T1-weighted imaging, “2” for  
555 **T2-weighted imaging, “D” for diffusion weighted imaging and “S” for the subtraction of**  
556 **DCE-MRI. The initial feature set was composed of tumor features for A, B, C. The**  
557 **initial feature set was composed of tumor and parenchyma features for D, E, and F.**

558

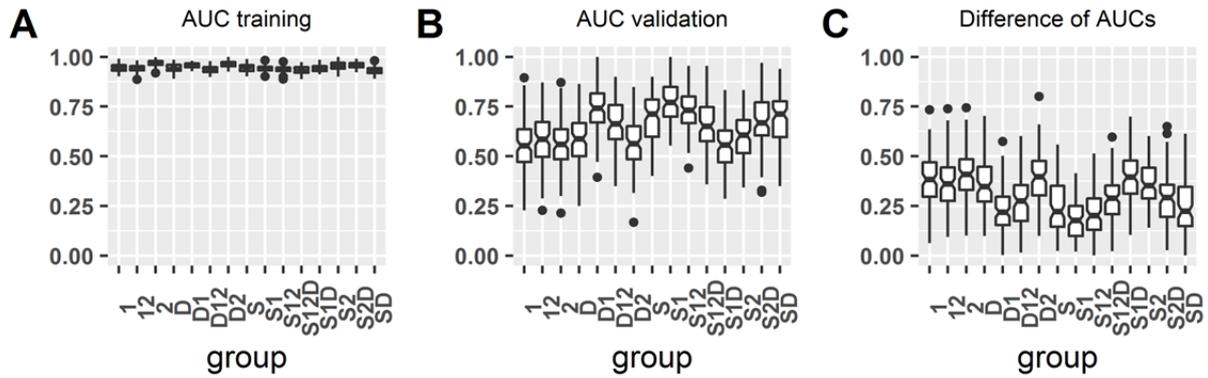


559

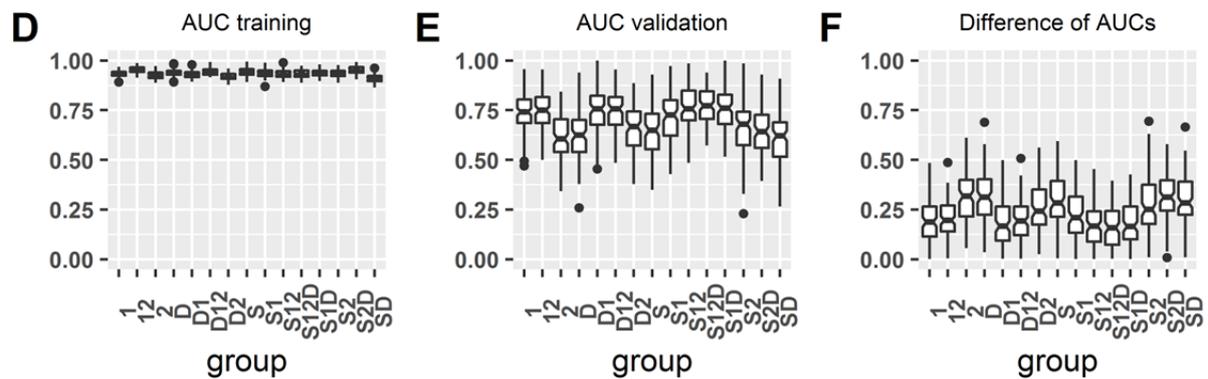
560 **Figure S2 (Multilayer perceptron classifier):** Boxplot of AUC distribution in the  
 561 **training/validation set and the difference of the AUC of the two sets.** Feature set used for  
 562 **the classification was designed as follow: “1” designs T1-weighted imaging, “2” for T2-**  
 563 **weighted imaging, “D” for diffusion weighted imaging and “S” for the subtraction of**  
 564 **DCE-MRI. The initial feature set was composed of tumor features for A, B, C. The**  
 565 **initial feature set was composed of tumor and parenchyma features for D, E, and F.**

566

### Random Forest (tumor only)



### Random Forest (tumor + parenchyma)

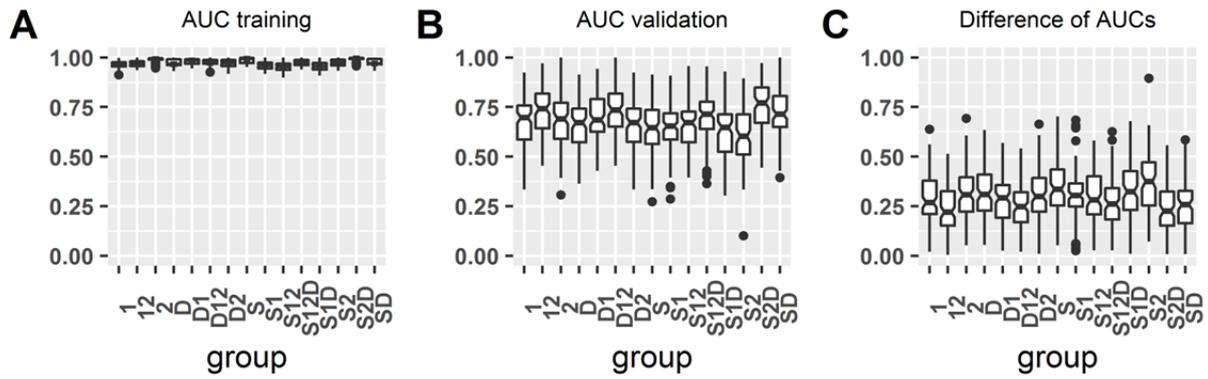


567

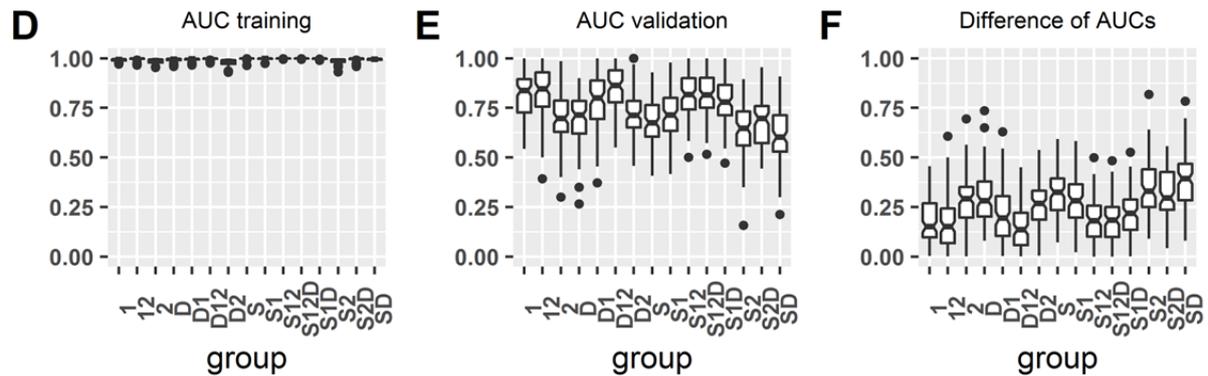
568 **Figure S3 (Random Forest classifier):** Boxplot of AUC distribution in the  
569 **training/validation set and the difference of the AUC of the two sets.** Feature set used for  
570 **the classification was designed as follow:** "1" designs T1-weighted imaging, "2" for T2-  
571 **weighted imaging, "D" for diffusion weighted imaging and "S" for the subtraction of**  
572 **DCE-MRI. The initial feature set was composed of tumor features for A, B, C. The**  
573 **initial feature set was composed of tumor and parenchyma features for D, E, and F.**

574

### SVM order 2 (tumor only)



### SVM order 2 (tumor + parenchyma)



575

576 **Figure S4 (Support vector machine with quadratic kernel): Boxplot of AUC distribution**  
577 **in the training/validation set and the difference of the AUC of the two sets. Feature set**  
578 **used for the classification was designed as follow: “1” designs T1-weighted imaging, “2”**  
579 **for T2-weighted imaging, “D” for diffusion weighted imaging and “S” for the**  
580 **subtraction of DCE-MRI. The initial feature set was composed of tumor features for A,**  
581 **B, C. The initial feature set was composed of tumor and parenchyma features for D, E,**  
582 **and F.**

583

584

585 **Table S5: Mean difference from reference of fixed effect of linear mixed model with**586 **random intercept applied on AUC\_training results and AUC\_validation results. Dunnett**587 **post-hoc analyses were made to evaluate the significance of difference. \*\*\* p<0.001**

	Mean difference from reference	
	AUC Training	AUC Validation
<b>Initial feature set</b>		
Tumor only (reference)	--	--
Tumor + parenchyma	0.020 ***	0.047 ***
<b>Configurations</b>		
Sub3 (reference)	--	--
T1W	0.008 ***	0.049 ***
T1W + T2W	0.016 ***	0.073 ***
T2W	0.030 ***	0.011 (p = 0.502)
DWI	0.016 ***	-0.013 (p = 0.252)
DWI + T1W	0.028 ***	0.075 ***
DWI + T1W + T2W	0.024 ***	0.083 ***
DWI + T2W	-0.006 (p = 0.088)	-0.021 (p = 0.005)
Sub3 +T1W	0.018 ***	0.060 ***
Sub3 +T1W + T2W	0.001 (p = 1.000)	0.072 ***
Sub3 +T1W + T2W + DWI	0.010 ***	0.077 ***
Sub3 +T1W + DWI	-0.001 (p = 1.000)	0.036 ***
Sub3 +T2W	0.010 ***	0.003 (p = 1.000)
Sub3 +T2W + DWI	0.028 ***	0.040 ***
Sub3 + DWI	0.026 ***	0.022 (p = 0.002)
<b>Classifier</b>		
SVM order 1 (reference)	--	--
MLP	0.075 ***	-0.019 ***
Random Forest	0.143 ***	0.031 ***
SVM order 2	0.186 ***	0.073 ***

588

589

590