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Chain-Like Structure Formed in Iodine Monochloride Graphite Intercalation Compounds

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ABSTRACT The structure of iodine monochloride (ICl) intercalated into graphite (GIC) has been revisited, and a stage 1 stoichiometry of about 10 carbon atoms to 1 ICl molecule is determined. Intercalation proceeds readily at room temperature without additional energy input. A chain-like structure of alternating halogen species is proposed for the intercalation layer based on detailed Raman spectroscopic analysis and ab-initio calculations. Long range ordering is observed, reaching beyond one layer of carbon. Calculated density of states shows p-doping of the carbon layers upon intercalation, in agreement with Raman spectroscopic analysis. The intercalation is reversible upon heating and can be cycled several times. While Iodine chains have been observed in other nanocarbons, ICl is the first example of extended halogen chains intercalated in graphite. Indeed, intercalant phases of acceptor GICs have rarely been studied to date, and the current findings are potentially of interest in structural chemistry, material science, and solid-state batteries and other areas.

Introduction

Reversibly intercalating ions into host materials, especially graphite, is the essence of rockingchair type batteries. The most famous example and archetype is the Li-ion battery, commercialized in 1991, that still today represents the benchmark anode. ^{1,2} Graphite is a unique host lattice due to its redox amphoteric character, forming graphite intercalation compounds (GICs) with both donor and acceptor compounds.³⁻¹¹ These GICs exhibit fascinating properties and have been described as synthetic metals, due to their electrical behavior. Even superconductivity, albeit at low temperature, has been observed for some GICs. 12,13 One of the most interesting aspects of GICs is that mostly defined ordering, so-called staging, is observed, where the distance between two adjacent carbon layers is well defined. 14-16 Stage 1 is the simplest of all possible structures, and here each layer of carbon alternates with one layer of intercalant. In general, the intercalant is not randomly distributed but has the tendency to group together into domains. In case of lower intercalant concentration, this leads to multiple carbon layers without any intercalant, followed by a filled intercalant layer, and is conventionally called stage 2, stage 3 and so forth. This behavior is especially striking at very low intercalant concentrations and stable, crystallographically ordered stages of more than stage 8 have been described (8 layers of carbon followed by 1 layer of intercalant). The observation of stages has been explained by an interplay between long range cooperative interactions and electron transfer, either from or to the carbon layer. Both aspects favor the maximizing of the intercalant concentration in one domain. 17,18 Although, the freedom of motion of the intercalant is reduced, a high diffusibility of the intercalant is commonly observed inside the intercalant layer, 19,20 which explains the importance of GICs for modern batteries as mentioned above. ²¹ Moreover, graphite intercalation compounds (GICs) are the basis for various liquid based exfoliation processes, including graphite oxide, superacid based methods, and graphenide solutions. ^{22–30}

A detailed understanding of the intercalant layer in GICs is made harder by the tendency to form domains, the difficulty distinguishing between kinetic and thermodynamic products, and diffusibility within the intercalant layer.³¹ In consequence, for the vast majority of GICs, the distance between nearest carbon layers is known in detail, whereas the position and structure of the intercalant molecules is unknown. Notable exceptions are mostly on the donor side of GICs for which detailed structural investigations have been performed, notably KC₈, and lately SrC₆.³² On the acceptor side, the degree of complexity is much more pronounced, and knowledge of the structures formed in the intercalant layer is sparse. The best studied acceptor GIC is arguably

Br₂-GIC, where a maximum of stage 2 GICs can be reached and depending on the temperature, either a commensurable, incommensurable or liquid like structure in the intercalant layer is formed.^{33,34} In stark contrast to bromine, the other pure halogens, e.g. iodine do not readily intercalate.⁴ Thus, obtaining stage 1 compounds of halogen containing GICs, is not readily possible and synthetically challenging.

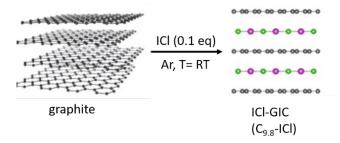
Polar heteroatomic halogen GICs such as iodine monochloride (ICl), although barely studied, have been known since the 1950s, and offer access to GICs at room temperature overcoming the above-mentioned difficulties.^{35–43} The stoichiometry of a stage-1 ICl GIC intercalation compound, i.e. consisting of alternating single layers of carbon and intercalant, has been suggested to be close to 10:1 in analogy with other acceptor intercalation compounds (e.g. FeCl₃).^{44,45} Early structural analysis by Abe *et al.*⁴⁵ reported an interlayer spacing of 7.14 Å. Moret et al.⁴¹ performed detailed crystallographic analysis and suggested that the stage 1 GIC exhibits an incommensurate structure with a large unit cell, proposing up to 9 ICl molecules in the unit cell. 46 Later extended X-Ray Absorption spectroscopy and Near Edge X-Ray absorption fine structure analysis (EXAFS) observed characteristic distances assigned to I-Cl and halogencarbon bonds. 42 More recently, Jadaun et al. 38 used Density Functional Theory (DFT) to study a structure similar to the one reported by Tiedtke et al.⁴⁷ However, despite this research effort, the structure of ICl-GICs is not entirely understood. Notably, the ordering and interaction of the ICl molecules inside the intercalant layer has not been described in detail. Furthermore, a detailed analysis of the electronic behavior has yet to be performed. In our manuscript, we discuss the synthesis of a stage 1 compound with a stoichiometry of 9.8 carbon atoms to 1 molecule of ICl (ICl-C_{9.8} GIC) and its analysis by statistical Raman analysis, XRD analysis, and small angle Xray scattering experiments. The experimental results are corroborated by detailed ab-initio calculations, based on the analysis of various structural configurations and stoichiometries (ratio between ICl-C_{8.0} to ICl-C_{25.0}). We find that ICl forms close-packed continuous chains within the intercalant layer. These chains have a "zig-zag" staggered sequence, where chlorine atoms flank iodine atoms in a quasi-linear arrangement. The calculated low frequency Raman stretch mode (185 cm⁻¹) matches nicely with the observed experimental peak (190.8 \pm 6.5 cm⁻¹, $\Lambda_{\rm exc}$ =532 nm), with calculated charge transfer from the carbon sheets to the intercalant. The intercalation process is highly reversible, as shown by temperature dependent SAXS measurements.

Method:

In a heat-dried and argon-purged round-bottomed Schlenk flask (50 mL), 162.3 mg (1.0 mmol) of liquid iodine monochloride (ICl) was placed. Afterwards, 120 mg (10.0 mmol) graphite was added under argon and the flask is immediately sealed by a glass cap. Right after the addition of the graphite, all of the liquid ICl is spontaneously soaked up by the graphite. Afterwards, the asproduced graphite intercalation compound (GIC) is left to equilibrate for 48h under constant pressure. Afterwards, the as-produced GIC was carefully transferred to another heat-dried and argon purged round-bottomed Schlenk flask (50 mL) and the stoichiometry was determined by weight uptake. The sample was placed under argon in a 80mm, ⊘1.5 mm Lindemann capillary and sealed by a flame torch. This capillary was used for all physical characterization. More detailed physicochemical characterisation as well as computational detail can be found in ESI.

Result and discussion

Graphite intercalation compounds (GICs) have been prepared using iodine monochloride (ICl) as intercalant. The resulting ratio has been determined by weight uptake, giving a stoichiometry of 9.8 carbon atoms to 1 molecule of ICl (Scheme 1). The reaction is directly performed by reacting liquid ICl with graphite. The complete volume of liquid ICl is absorbed by graphite within several minutes, but at least 48 hours are needed for equilibration at room temperature. It is noteworthy that the intercalation is spontaneous and no stirring, heating or sonication is required.



Scheme 1 Reaction scheme of the intercalation of ICl in graphite with a stoichiometry of 9.8 carbon atoms to 1 molecule of ICl (ICl-C_{9.8} GIC) at room temperature under argon atmosphere.

The sample was then sealed in Lindemann capillaries which subsequently have been used for all analysis. XRD analysis (see Figure 1) gives strong peaks at 25.64° and 52.66° which are assigned to (002) and (004) planes with an interlayer spacing $I_c = 6.95 \text{ Å}$.

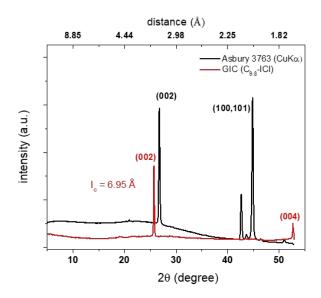


Figure 1: XRD patterns ($CuK\alpha$) of graphite intercalation compound containing ICl, stoichiometry of 9.8 carbon atoms to 1 molecule of ICl (red trace), in comparison to the starting graphite (black trace).

This observed interlayer spacing is slightly lower than 7.14 Å reported by Abe et al.⁴⁵ Interestingly, their data shows a (001) peak, at least an order-of-magnitude less intense than the (002) peak, not visible in our data. Temperature dependent x-ray scattering experiments confirm that only the (002) peak can be seen, which vanishes at 50 °C, and re-appears again upon cooling (Fig S1, supporting information). Furthermore, several peaks can be observed between 4.5 Å and 5.0 Å, which similarly vanish upon heating and re-appear on cooling (Fig S1).

In donor GICs (e.g. SrC_6), an $A\alpha A\beta$ stacking sequence (A= carbon layers, α,β = intercalant layers) has been observed,³² and the (001) peak is absent due to extinction rules. The α,β of the intercalant layer highlights the long-range ordering present in GICs, where the ordering in one intercalant layer induces structural ordering in adjacent ones. For ICl-C_{9.8} GIC a similar structure with a $A\alpha A\beta$ stacking order is proposed. Structural elucidation is challenging based solely on experimental data, thus density functional theory (DFT) calculations were performed using the AIMPRO package,^{48–50} an approach previously successfully applied to other halogenated nanocarbons.^{51,52} We address the choice of exchange-correlation functional and dispersion

description further in supporting information, including benchmarking methods to experimentally measurable quantities (Table S1, S2, see also ESI). Different ICl structures were examined in both graphite and graphene systems, all of which show exothermic binding compared to isolated ICl. On graphene the most stable structure corresponds to a configuration of ICl perpendicular to the graphene plane, with iodine above a carbon atom (Fig. S2), similar to previous findings for Br₂.⁵³ In contrast, when confined between two or more carbon layers, ICl adopts a configuration parallel to the graphene plane (Fig. S3).

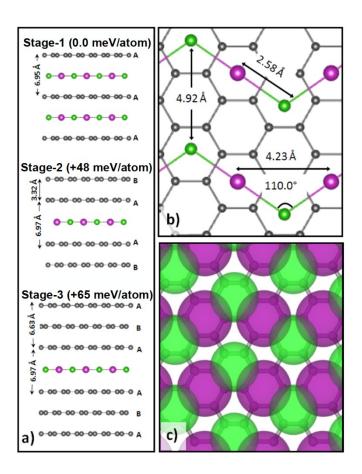


Figure 2: a) Optimized structures for Stage-n ICl-graphite, n=1,3. Carbon, Iodine and Chlorine atoms are in grey, purple and green, respectively. The relative binding energy per atom (eV/atom) is given compared to Stage-1, b) top view of the Stage-1 intercalant layer, exhibiting a chain like configuration, with characteristic distances in Å, c) drawn with van der Waals radii of the halogen atoms.

A concentration dependant study was carried out for ICl-graphite from stage-1 to stage-3 (Figure 2), considering a range of molecular and extended configurations. The most stable

intercalant structure is an alternating Cl/I zig-zag chain-like configuration constructed from linear Cl-I-Cl units (Fig. 2b). This configuration is also favored in solid state ICl, 54,55 and is due to interaction of σ-holes⁵⁶ between neighbouring interhalogen molecules. All other configurations tested were considerably less stable (Fig S3). The angle in the chain was found to be 109.96°, about 20° higher than in solid ICl. 54,55 The Iodine atom sits above the centre of a graphite hexagon, similar to Br₂-GIC, ^{34,40} but the long I-Cl bond forces the chlorine atoms to sit slightly off the hexagon centers. Inter-chain spacing is dictated by a superposition of the underlying graphene lattice symmetry with the maximum packing density allowed by the van der Waals radii of the halogen atoms (Fig. 2c). Bond lengths are remarkably similar for different stages. The I-Cl bond is 2.58 Å (Fig. 2b), considerably larger than in solid ICl (2.44 Å)^{54,55} and close to early experimental EXAFS data by Krone et al.(2.54 Å).⁴² Other key distances include the Cl-Cl interchain distance of 4.92 Å and the Iodine-iodine intrachain distance of 4.23 Å (Fig. 2b). The calculated graphite interlayer distance for Stage-1 of 6.95 Å matches exactly our experimental XRD value (see Figure 1). In agreement with the experiment, the calculations show an $A\alpha A\beta$ stacking sequence to be more stable than $A\alpha A\alpha$ (Fig S4). This allows chains on one layer to sit above "vacant" hexagons of the layer below, resulting in more uniform charge distribution in the carbon layers (see below). The calculated interlayer distances of 10.29 Å for Stage-2, and 13.60 $\hbox{\AA}$ for Stage-3, match well the experimental values reported by Abe et al. 45 In these cases the grouped carbon layers preferentially adopt AB and ABA stacking respectively. Relative binding energies per atom (taking graphite and the ICl isolated molecule as reference states, Fig. 2(a)) show that Stage-1 is energetically favored over Stages-2 and 3. Corresponding absolute binding energies are -110 (-21), -61 (-12), and -44 (-9) meV/atom for Stages-1, 2, and 3, respectively, taking molecular ICl (isolated zig-zag ICl chain) as the reference state.

Statistical Raman analysis (λ_{exc} =532, 638, 785 nm),⁵⁷ based on the fitting of 1681 individual spectra with Lorenzian functions (Figure 3) has been performed. Typical Raman spectra are depicted in Figure 3a, for the three excitation wavelengths used. The large background signal between 100-600cm⁻¹ at 785 nm excitation is arguably due to fluorescence. Several low frequency Raman peaks are observed, which vary both in peak position and intensity (see Fig. S5,S6, Tab. S4-6). Only one common low frequency peak has been observed at 190 cm⁻¹ at 532 nm and 785 nm excitation wavelengths. The peak is not dispersive, and has an asymmetric lower frequency profile suggesting a close doublet. This correlates well with the chain model.

Frequency calculations show a Raman active stretch mode at 180 cm⁻¹ (symmetry A') (Fig 3a) which shows weak interchain coupling, splitting into modes at 179 and 185 cm⁻¹ for the symmetric and antisymmetric coupling of neighbouring chains.

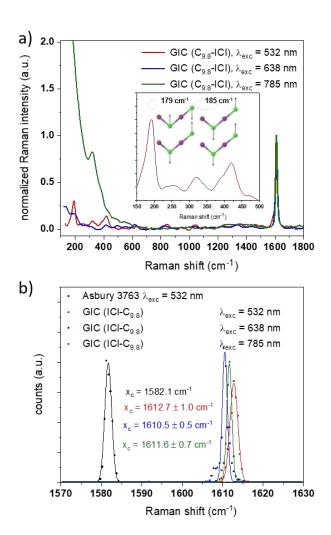


Figure 3: Statistical Raman spectroscopic analysis (λ_{exc} =532, 638, 785 nm) on the stage 1 GIC based on the analysis of 1681 spectra obtained over 10000 μ m². a) typical Raman spectra, inset: zoom in low frequency modes. b) histogram of the peak position of the E_{2G2} mode of the GIC in comparison to the E_{2G} peak position of the starting graphite, binning scale 0.25 cm⁻¹.

The E_{2G2} peak position in the GIC is determined from the individual fits and a histogram is constructed after frequency counting (Figure 3b). The obtained peak positions are $1612.7\pm1.0~\text{cm}^{-1}$ (λ_{exc} = 532 nm), $1610.5\pm0.5~\text{cm}^{-1}$ (λ_{exc} = 638 nm), and $1611.6\pm0.7~\text{cm}^{-1}$ (λ_{exc} = 785 nm). The energy related to the E_{2G2} peak is remarkably precise and the variation of the

peak position over $10000 \, \mu m^2$ is smaller ($\sim 1.0 \, cm^{-1}$) than in the starting graphite ($2.4 \, cm^{-1}$), highlighting the homogeneity of the sample. This peak sharpening can be understood through the isolation of individual carbon layers by the ICl, removing interlayer stacking and associated local stacking variations (including dislocations and stacking fault). The peak position is not dispersive in relation to the excitation energy (Fig S7). This peak is significantly upshifted from the raw graphite value of $1582.1\pm2.4 \, cm^{-1}$ ($\lambda_{exc}=532 \, nm$), indicative of strong *p*-doping. The observation of a shift in Raman spectroscopy is typically explained by a change of the equilibrium lattice parameter and modification of the phonon dispersions. ^{58,59} In electrochemically top-gated graphene transistors, a peak position of 1610 cm⁻¹ is related to a Fermi level shift of about 0.65 eV.

The residue compound of the GIC was generated by evaporation of ICl from graphite inside a fume hood for 2 weeks. A statistical Raman analysis and the mean spectra for this compound are depicted in Fig S8. The spectroscopic features typically observed for graphite samples are present, and virtually identically to those of the starting material. The peak at 190 cm⁻¹ indicates that traces of ICl remain, in analogy to other residue compounds e.g. Br₂-GIC and AlCl₃-GIC. Careful analysis of the peak positions (Fig S9) shows that the sample is successfully deintercalated, and peak position variations are identical to the starting material. The I(D)/I(G) ratio is commonly used to discuss defect density. For the residue compound it is centered at 0.088 (with a longer tail end, see Fig S10), a typical value for almost defect-free natural graphite. Those measurements corroborate the SAXS measurements, that show the intercalation of ICl into graphite is reversible and does not functionalize the carbon lattice.

Charge transfer has been observed for other intercalation compounds, both experimentally and theoretically. The *p*-doping effect caused by ICl intercalation, clearly visible in the Raman experiments, has been evaluated further in the DFT calculated electronic structure. Figure 4 shows the calculated band structures and projected density of states for AB-stacked graphite, and ICl intercalated Stages 1 to 3. In all cases the Fermi level is pinned to the bottom of the empty ICl states. The carbon zero density of states point, which for AB-stacked Graphite sits at the Fermi level, becomes increasingly upshifted, depopulating graphite bonding states and transferring charge to the ICl. This upshift is constant for Stages 2 and 3 at 0.31eV, increasing to 0.47eV for Stage-1. This is consistent with the layer contact; graphene sheets only contact ICl on

a single side in Stages-2 and 3, whereas they have ICl on both sides in Stage-1. This upshift is a clear signature of carbon *p*-doping and in agreement with the Raman spectroscopic experiments. The same calculated upshift (0.47eV) is also found in the case of ICl chains on the surface of graphene (Table S3). Further information can be seen in the Stage-3 PDOS, where the carbon PDOS has been broken down into two, the layers neighbouring ICl in black, and the central layer in brown. The central layer PDOS is much closer to that of the pristine AB-Graphite, indicating that coupling is local.

The same variations are reflected in the calculated Raman mode which varies as 1602/1606 (1586, 1604), 1604 (1604) and 1607 (1612) cm⁻¹ for Stage 3, 2 and 1 respectively (experimental values in brackets for comparison, Figure S11). In stage 3 compounds, a split into two peaks (~1586 cm⁻¹, and 1604 cm⁻¹) can be experimentally observed, with an intensity ratio of about 1:2. This reflects the different types of graphene layer present in a Stage-3 GIC. The lower frequency peak is more like conventional graphite and originates from the graphene layer in the middle that is not in contact with the ICl and relatively undoped, while the higher frequency peaks are those in contact with ICl. This splitting can indeed be seen in our calculations where the central layer frequency 1602 cm⁻¹ is lower than that of the layers touching ICl, and is close to our calculated value of 1599 cm⁻¹ for pristine AB-graphite in the same cell. In the stage-2 all carbon layers are in contact with ICl, with an average lower concentration than in stage 1. That the internal layers in intercalation compounds are almost graphite like is also observed for donor compounds, ⁶² in solid state C¹³-NMR measurements of Br₂-GIC intercalation compound, ⁶⁰ and is in line with the discussion of Fischer about the electronic properties of GICs in the framework of one-electron theory. 61 Turning now to the carbon-related bands in the band structures. While AB-graphite shows conventional parabolic bands at the Fermi level, Stage-1 shows linear crossing with a Dirac point as that of graphene (albeit with a Fermi level shift), while Stage-2 and Stage-3 show symmetry offset crossing points (Stage-2) and overlaid Dirac cones and parabolic bands (Stage-3) respectively. This confirms that an ICl layer fully decouples interaction between its two neighbouring carbon layers.

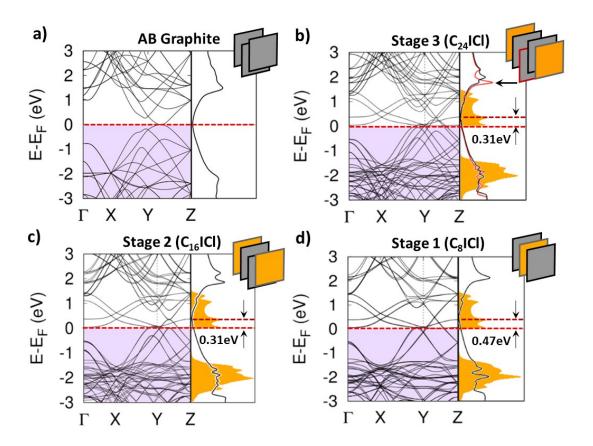


Figure 4 Electronic band structure and projected density of states (PDOS) of a) AB-stacked graphite, b) Stage 3 ICl-GIC (C24ICl), c) Stage 2 ICl-GIC (C16ICl) and d) Stage 1, ICl-GIC (C8ICl). Occupation is illustrated by the blue area and the Fermi level is set to 0 eV. Density of states to the right of each band structure is projected onto halogen atoms (orange area), neighbouring carbon layers (black curve), and intermediate carbon layer in brown for Stage-3. p-doping effect is visible in the downshift of the Fermi level of the graphite states. Vertical offset of the grey carbon layers in the schematics indicate AB and ABC-type stacking.

Conclusion

Iodine monochloride graphite stage 1 intercalation compound has been synthesized and its electronic and vibrational properties have been studied in detail by physicochemical characterization as well as ab-initio methods. A GIC forms spontaneously at room temperature upon simple exposure of graphite to iodine monochloride. In this stage 1 compound, the observation of solely the (002), (004) patterns is compatible with a unit cell containing at least 2 layers of intercalant and 2 layers of carbon. Calculations indicate that the structure of the intercalant layer consists of close packed chains of iodine monochloride, iodine sitting above hexagon sites with chlorine occupying the exterior sites of the zigzag slightly displaced from

hexagon centres. The double layer symmetry is due to offset of alternating ICl layers

perpendicular to the chain direction, giving an AαAβ stacking sequence. The calculated

frequency of those chains is in good agreement with experimental Raman data. Both, the

observation of long-range order and the formation of chains, show a significant ordering present

for this GIC. The intercalation/deintercalation process can be cycled several times,

demonstrating the reversibility of the phase transfer from a quasi- crystalline solid-state structure

towards a non-correlated phase. P-doing upon intercalation is observed for all investigated

stages. This detailed understanding of an intercalant phase of an acceptor GICs is highly

interesting for the field of structural chemistry as well as material science and might provide

anchor points for further studies for solid-state batteries and energy applications amongst others.

ASSOCIATED CONTENT

Supporting Information (ESI) Detailed Raman spectroscopy, SAXS, as well as computational

details is available as PDF free of charge.

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Author Contributions

FH conceived the project. FH, EP performed the experimental synthesis. AI, XC, CPE,

performed the theoretical work. All authors contributed to the interpretation of the data and

writing of the manuscript.

Conflicts of interest

The authors declare no competing interests

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