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## Revision 2

# Estimating kaolinite crystallinity using near-infrared spectroscopy: implications for its geology on Earth and Mars

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**Keywords:** kaolinite, crystallinity, infrared spectroscopy, near infrared, x-ray diffraction, geology, Earth, Mars

## Abstract

Kaolinite is an Al-rich phyllosilicate commonly observed on Earth as a product of the chemical weathering of aluminosilicates. It has also been detected on the martian surface by orbital remote sensing observations. If the determination of the geological processes of formation of terrestrial kaolinite (i.e., hydrothermal activity - continental surface weathering - diagenesis) involves the coupling of field observation and multiple laboratory measurements, only geomorphology and associated minerals are generally available to determine their geological origin on Mars. Kaolinite crystallinity depends on many physicochemical parameters reflecting its conditions of crystallization. In order to determine if the near infrared (NIR) spectral signature of kaolinite enables estimation of its crystallinity and further, if this method can be used to identify the geological processes involved in kaolinite formation, we carried out an in-depth analysis of NIR spectra of reference terrestrial kaolinites that formed in various geological contexts. We calculated second and third derivatives for each spectrum to highlight subtle variations in the spectral

properties of kaolinite. This allowed the identification of 27 spectral contributions for the  $4500\text{ cm}^{-1}$  and  $7000\text{ cm}^{-1}$  Al-OH-related regions of absorption bands. The position shifts and shape variations of these spectral contributions were intimately linked to variations of crystallinity, which was qualitatively estimated using Hinckley and Liétard XRD (dis)order indices. The results obtained show that the NIR signature of kaolinite is influenced by the stacking disorder of layers that has some influence on the vibrations of the interfoliar and inner Al-OH groups. Our study also confirms that: (1) well-ordered kaolinites are not restricted to hydrothermal deposits; (2) kaolinites from a similar sedimentary or pedogenetic context often display contrasting degrees of crystalline order; (3) poorly ordered kaolinites are more likely to have a sedimentary or pedogenetic origin. Finally, this work highlights that obtaining spectra with sufficient spectral resolution could help to estimate the crystallinity of kaolinite, and in the best cases its geological origin, both on Earth and Mars, especially with in-situ NIR measurements.

## Introduction

Kaolinite is a 1:1 dioctahedral triclinic phyllosilicate  $[Al_2Si_2O_5(OH)_4]$ , (e.g., Bish, 1993 and references therein). Kaolinite is the most common polymorph of kaolin-group minerals. It has two monoclinic polymorphs: dickite and nacrite that mainly form in diagenetic and hydrothermal contexts (Swindale, 1975; Buatier et al., 1996; Beaufort et al., 1998). In addition, kaolinite has also a hydrated monoclinic polymorph called halloysite  $[Al_2Si_2O_5(OH)_4 \cdot 2H_2O]$  (Beaufort et al., 1998). In kaolinite, each layer is composed of two superposed sheets: one of silica tetrahedra and one of alumina octahedra; the sheets being linked to each other by hydrogen bonds. Hydroxyls (OH) in kaolinite can be classified into two categories: (i) the inner-surface hydroxyls located on the basal surface of the alumina octahedra sheet that bond it to the bridging oxygen atoms of the silica tetrahedra sheet of the adjacent layer: hereafter named “interfoliar-OH” for simplicity, and (ii) the inner hydroxyls bonded to the alumina octahedra sheet and located inside the hexagonal cavity formed by the silica tetrahedra: hereafter named “inner-OH” (e.g., Bish, 1993 and references therein) (Figure 1). On Earth, kaolinite is observed in various geological contexts (Balan et al., 2014; Dill, 2016). It can crystallize at the expense of primary minerals during the chemical weathering of silicates (mostly micas and feldspars) or precipitate from dissolved ions in fluids. It is observed in regoliths formed under climate and/or geomorphologic context that promotes a high-water regime at the origin of intense leaching, as under tropical climates. It can precipitate in sediments due to diagenetic reactions. Finally, it is also commonly observed in hydrothermal contexts. The geological processes promoting kaolinite crystallization are obvious in active systems where kaolinite is currently forming, but less clear in fossil systems. The geological origin (continental weathering, diagenesis of sediments, hydrothermal activity) of kaolinite can be tentatively inferred by combining several macro- to micro-scale observations: geomorphological context, associated mineralogy, trace elements analysis (Dill et al., 1997), oxygen and hydrogen

isotopy (e.g., Sheppard, 1977; Boulvais et al., 2000; Gaudin et al., 2015), in-depth study of its crystallinity (e.g., Hinckley, 1962; Liétard, 1977; Estéoule-Choux, 1981; Brindley et al., 1986; Delineau et al., 1994), among others.

Kaolin-like mineral phases, generically called “kaolinite”, have also been observed on the surface of Mars, from orbital detections only (Mustard et al., 2008; Carter et al., 2013; Ehlmann and Edwards, 2014) by near-infrared remote sensing from the hyperspectral imager Compact Reconnaissance Imaging Spectrometer for Mars, onboard Mars Reconnaissance Orbiter (CRISM/MRO) (Murchie et al., 2007). Determination of the geological origins of kaolinite has been mainly inferred from analysis of the geomorphological contexts and associated mineralogy from orbit. Apart from some localities where martian kaolinite has been detected in hydrothermal-driven contexts (Cross crater, Ehlmann et al., 2016; Kashira crater, Goudge et al., 2015b; Nili Fossae region, Ehlmann et al., 2009; Noctis Labyrinthus, Thollot et al., 2012), this mineral is broadly detected in outcrops of sedimentary deposits where Fe/Mg-smectites-rich units are covered by Al-phyllsilicates-rich and kaolinite-rich units (Mawrth Vallis, Bishop et al., 2008; Loizeau et al., 2012; McKeown et al., 2009; Nili Fossae, Gaudin et al., 2011; Valles Marineris, Le Deit et al., 2010, 2012; Loizeau et al., 2018; global detections, Carter et al., 2015). Such occurrences of extensive exposures of Al-clays covering Fe/Mg-clays were interpreted as pedogenic paleoprofiles; major evidences of a former wetter and warmer climate in the past aqueous history of Mars (e.g., Carter et al., 2015). However, similar vertical successions of Al-clays over Fe/Mg-clays have also been interpreted by other authors as the product of hydrothermal events (e.g., Ehlmann et al., 2009). However, such controversy could, in some case, be solved by the study of mineral parageneses. For instance, kaolinite associated with jarosite, alunite and opaline silica will be indicative of a hydrothermal-driven context, or at least of acid-saline origin on Earth (e.g., Swayze et al., 2014)

or Mars (e.g., Ehlmann et al., 2016). In addition, for geologic systems with episodic activity, several generations of kaolins can be superimposed over time. Thus, it is not uncommon to observe the development of weathering kaolins from previous kaolins that formed in a hydrothermal or even pedogenetic contexts (e.g., Balan et al., 2005b; Allard et al., 2020; Gaudin et al., 2020). Hence, the simple identification of kaolinite on a martian or a terrestrial outcrop is not enough to unambiguously interpret its geologic origin.

Kaolinite crystallinity has been suggested to reflect the physicochemical conditions where it forms, and thus its geological conditions of formation (Cases et al., 1982; Brindley et al., 1986; Balan et al., 2014; Dill, 2016). Several physicochemical parameters affect the structural order of kaolinite such as: the temperature of formation (Dill, 2016), pH of fluids (Fialips et al., 1999, 2000), chemical reaction kinetics and alteration process duration (Chittleborough and Walker, 1988; Miyawaki et al., 1992; Fialips et al., 1999; Ryu et al., 2010), dissolution-reprecipitation processes (Beaufort et al., 1998; Cuadros et al., 2014), crystal chemistry of the altered primary minerals (Hughes and Brown, 1979; Fialips et al., 1999), the presence of impurities (Mestdagh et al., 1980; Petit and Decarreau, 1990; Allard et al., 1994; Clozel et al., 1994; Balan et al., 1999, 2000) or the association with organic matter (Dill, 2016). In addition to providing information about the genesis process, the crystallinity of kaolinite particles is of great interest in the exploitation of this type of ore deposits. Industrial uses of exploited kaolins depend on their purity and their crystallinity because they affect the resulting physicochemical properties of the manufactured materials (Cases et al., 1982; Dubois et al., 1995; Murray, 1999; Ndlovu et al., 2015; Weck et al., 2015; Awad et al., 2017). For example, a rather high crystallinity is required for paper manufacture (Murray and Lyons, 1955; Baker and Uren, 1982; Bundy and Ishley, 1991).

Kaolinite crystalline order can be estimated using X-Ray Diffraction (XRD; e.g., Hinckley, 1962; Liétard, 1977) or Mid-Infrared spectroscopy (MIR; e.g., Farmer and Russel, 1967; Brindley et al., 1986). However, this estimation via these analytical methods can be influenced by several external parameters. For MIR spectroscopy, samples are often prepared as KBr pellets, a procedure that can force the particles orientations and therefore influence the assessment of disorder degree (Bell et al. 1991; La Iglesia and Aznar, 1996). XRD analyses need sample preparation, sample purification if desired, sometimes long-time acquisition, and the determination of the crystallinity. XRD indices are affected by the presence of minerals whose XRD peaks can be superimposed to the ones used for their calculation (e.g., kaolinite with quartz; Aparicio and Galán, 1999). Contrary to these methods, near-infrared (NIR) spectroscopy presents numerous advantages for estimating kaolinite crystallinity. NIR spectroscopy can be used in remote sensing data (e.g., orbital data acquired at the martian surface) or by field spectrometers if the spectral resolution, pixel purity or surface mixing are moderate.

Overall, the crystallinity of kaolinite provides information on syn-genetic and post-genetic physicochemical conditions. Thus, the aim of this study is to investigate the near-infrared signature of kaolinite in order to see if this can be used as a proxy for its crystallinity. Ultimately, the comprehension of such relationship could promote the use of NIR spectra of kaolinite as a proxy for the reconstruction of geological histories on both Earth and Mars (e.g., Swayze et al., 2014). The next section is a detailed background about the meaning of “crystallinity” for kaolinite and the information that can be obtained thereon using various analytical methods.

## Background

### The crystallinity of kaolinite

The term “crystallinity” refers to the regularity of the ordered atomic arrangement in a crystal. For kaolinite, it particularly refers to its structural order that is affected by different types of extended defects (e.g., stacking faults) and point defects (e.g., impurities, atomic interstitials, vacancies). Kaolinite is known to undergo structural defects such as stacking faults (Noble, 1971; Bookin et al., 1989; Plançon et al., 1989; Artioli et al., 1995), or replacement of a kaolinite layer by a dickite layer (Beaufort et al., 1998; Balan et al., 2011). Impurities can also be incorporated as substitutions during crystal growth (e.g., Fe(III); Mestdagh et al., 1980; Cases et al., 1982; Gaité et al., 1993, 1997; Delineau et al., 1994; Balan et al., 1999, 2000; Petit et al., 1999 or Cr(III); Gaité and Mosser, 1993). Natural radiations have also been shown to induce electronic point defects that are able to strongly modify the local degree of disorder in kaolinite (Gaité et al., 1997). It has been suggested that structural defects of kaolinite can be related to the condition of genesis of kaolinite or post-genesis phenomena (e.g., Brindley et al., 1986; see other references in the introduction section). For instance, acidic pH was related to the formation of well crystallized kaolinites in hydrothermal experiments (Fialips et al., 2000).

Crystallinity of kaolinite has been investigated through several methods: e.g., X-Ray Diffraction (XRD; Hinckley, 1962; Fleurence and Nicolas, 1964; Noble, 1971; Hughes and Brown, 1979; Brindley et al., 1986; Plançon et al., 1988, 1989; Plançon and Zacharie, 1990; Amigo et al., 1994; Aparicio and Galán, 1999; Aparicio et al., 2006), Infrared and Raman spectroscopy (Parker, 1969; Crowley and Vergo, 1988; Paroz and Frost, 1998; Shoal et al., 1999a, 1999b; Balan et al., 2014), Scanning Electron Microscopy (SEM; Fleurence and Nicolas, 1964; Estéoule-Choux, 1981), Electron Paramagnetic Resonance (EPR; Mestdagh et al., 1980; Clozel et al., 1994; Gaité et al.,



1997; Balan et al., 1999). Details are given below on XRD and Near-Infrared (NIR) spectroscopy, as these are the two methods used in this study. Generally, the estimation of crystallinity of kaolinite using the near-infrared spectrum is based on relative band depth or band depth ratios of the observed spectral features known as the “1.4- and 2.2  $\mu\text{m}$  doublets” detailed in the following section “Near-infrared signature of kaolinite” (Crowley and Vergo, 1988; Clark et al., 1990; Cudahy et al., 2008; Laukamp et al., 2013). However, these spectral criteria are (i) user-dependent notably for determining the spectral continuum and anchor points during band depth calculations, and (ii) sensitive to mineral mixing because many hydrated phases such as Al-smectites, hydrated silica or sulfates are responsible for absorptions in these spectral ranges (Hunt and Salisbury, 1970; Hunt et al., 1973; Hunt, 1977). Hence, we propose here to investigate NIR spectral contributions with a new method minimizing the effects of mineral mixing and that is independent of spectral continuum (see “Material and methods: Infrared spectroscopy” section).

### **X-Ray Diffraction Crystallinity Indices: the Hinkley and Liétard Indices**

Kaolinite crystallinity has been widely studied through X-Ray Diffraction patterns analysis and modelling, and several indices of crystallinity were proposed on this basis (Fleurence and Nicolas, 1964; Noble, 1971; Liétard, 1977; Hughes and Brown, 1979; Brindley et al., 1986; Plançon et al., 1988, 1989; Plançon and Zacharie, 1990; Amigo et al., 1994; Aparicio and Galán, 1999; Aparicio et al., 2006). In this study, we decide to use the two indices the most commonly used in the literature: the Hinkley Index (Hinkley, 1962) and the Liétard Index (Liétard, 1977). This combination can indeed provide complementary information on kaolinite disorder (see below).

The Hinkley Index (HI) consists of the ratio between: (i) the sum of the A and B parameters, respectively corresponding to the intensity of the (1-10) and (110,11-1-1) X-ray diffraction peaks, measured from a background traced between the (020)/(1-10) inter-peak base and the (110,11-

1)/(111) base-peak, and (ii) the At parameter corresponding to intensity of the (1-10) diffraction peak from the general XRD background (Figure 2). According to Plançon et al. (1988), the HI is sensitive to the proportion of the well-ordered vs. high-defects kaolinite in a whole sample. The higher the HI, the more abundant the well-ordered kaolinite domains. Overall, HI values can range from <0.5 for poorly ordered kaolinite to 1.5 for well-ordered kaolinite (Aparicio and Galán, 1999).

The Liétard Index (here abbreviated as "LI" and not "R2" as is usual in the literature, in order to avoid any confusion with the correlation coefficient  $R^2$ ) is calculated as the ratio between: (i) the half of the sum of the K2 and K1 parameters, corresponding respectively to the intensity of the (20-2,1-31) and (131) diffraction peaks, minus the intensity of the inter-peak between these two reflections corresponding to the parameter K, over the general XRD background, and (ii) the third of the sum of the K, K2 (20-2,1-31) and K1 parameters (Figure 2). The LI is defined to determine the presence of random defects in the (ab) plane, which can be interpreted as stacking faults, stacking disorder or long-range structural imperfection (Liétard, 1977; Cases et al., 1982; Gaité et al., 1993, 1997). According to Aparicio and Galán (1999), LI ranges from <0.7 for high-defects kaolinite to 1.2 for low-defects kaolinite.

### **Near-infrared signature of kaolinite**

Near-infrared spectrum of kaolinite is characterized by absorptions in the regions around  $7000\text{ cm}^{-1}$  ( $1.4\ \mu\text{m}$ ) and  $4500\text{ cm}^{-1}$  ( $2.2\ \mu\text{m}$ ) related to  $\text{Al}_2\text{-OH}$  vibrations, forming the so-called "1.4- and 2.2  $\mu\text{m}$  doublets" (Figure 3) (unless specified, all the details about NIR spectral signature of kaolinite are taken from Madejová et al., 2011 and Kloprogge, 2019). The  $7000\text{ cm}^{-1}$  ( $1.4\ \mu\text{m}$ ) absorption is characterized by a strong absorption near  $7065\text{ cm}^{-1}$  ( $1.415\ \mu\text{m}$ ) that corresponds to the first overtone ( $2\nu_{\text{OH}}$ ) of the  $\text{Al}_2\text{-OH}$  stretching fundamental mode of inner hydroxyl groups ( $\nu_{\text{Al}_2\text{-OH}^*} = 3620\text{ cm}^{-1}$ ). The high-frequency side of this absorption is composed of several weaker

absorptions from 7250 to 7100  $\text{cm}^{-1}$  (1.380 to 1.408  $\mu\text{m}$ ) that are attributed to the  $2\nu_{\text{Al}_2\text{-OH}}$  overtones of interfoliar  $\text{Al}_2\text{-OH}$  groups ( $\nu_{\text{Al}_2\text{-OH}} = 3652\text{-}3669\text{-}3694 \text{ cm}^{-1}$ ) (Farmer, 1974; Balan et al., 2001). As recently stated by Balan et al. (2021), the overtone bands observed between 7400 and 7300  $\text{cm}^{-1}$  (1.351 to 1.370  $\mu\text{m}$ ) are related to the double excitation of OH oscillators. The 4500  $\text{cm}^{-1}$  (2.2  $\mu\text{m}$ ) complex absorption is characterized by a strong absorption near 4525  $\text{cm}^{-1}$  (2.209  $\mu\text{m}$ ) that corresponds to the combination of  $\text{Al}_2\text{-OH}$  stretching ( $\nu_{\text{Al}_2\text{-OH}^*} = 3620 \text{ cm}^{-1}$ ) and bending ( $\delta_{\text{Al}_2\text{-OH}^*} = 915 \text{ cm}^{-1}$ ) vibrations of the inner hydroxyl groups (Farmer, 1974; Balan et al., 2001). The weaker absorptions from 4700 to 4550  $\text{cm}^{-1}$  (2.127 to 2.200  $\mu\text{m}$ ) correspond to the combination of  $\text{Al}_2\text{-OH}$  stretching ( $\nu_{\text{Al}_2\text{OH}} = 3652\text{-}3669\text{-}3694 \text{ cm}^{-1}$ ) and bending ( $\delta_{\text{Al}_2\text{-OH}} = 935 \text{ cm}^{-1}$ ) vibrations of the interfoliar OH groups (Farmer, 1974; Balan et al., 2001). Following the method of spectral bands assignment of Baron and Petit (2016), we propose that the high-frequency absorptions located between 4850 and 4700  $\text{cm}^{-1}$  (2.062 to 2.128  $\mu\text{m}$ ) can be attributed to the combination of  $\text{Al}_2\text{-OH}$  stretching ( $\nu_{\text{Al}_2\text{OH}} = 3652\text{-}3669\text{-}3694 \text{ cm}^{-1}$ ) and Si-O stretching ( $\nu_{\text{Si-O}} = 1009\text{-}1031\text{-}1094\text{-}1115 \text{ cm}^{-1}$ ; see Madejová et al., 2011).

$\text{Fe}^{3+}$  can substitute for Al in the octahedral sheets of kaolinite. It can be incorporated during growth of kaolinite in aqueous solutions (Mestdagh et al., 1980; Petit and Decarreau, 1990). Based on chemical analyzes, Delineau et al. (1994) have shown that up to ~3 wt% of iron as  $\text{Fe}_2\text{O}_3$  can be incorporated in natural kaolinite, while hydrothermal synthesis of Fe(III)-rich kaolinite has led to the formation of kaolinite crystals with up to ~7 wt% of iron (Petit and Decarreau, 1990).  $\text{Fe}^{3+}/\text{Al}$  octahedral substitution in kaolinite generates sharp absorptions at low frequency both for the 4500  $\text{cm}^{-1}$  and the 7000  $\text{cm}^{-1}$  features (Delineau et al., 1994; Petit et al., 1999). A combination of  $\text{AlFe}^{3+}\text{-OH}$  stretching ( $\nu_{\text{AlFe}^{3+}\text{-OH}} = 3598 \text{ cm}^{-1}$ ) and bending ( $\delta_{\text{AlFe}^{3+}\text{-OH}} = 875 \text{ cm}^{-1}$ ) is responsible of the absorption near 4465  $\text{cm}^{-1}$  (2.240  $\mu\text{m}$ ) (Petit and Decarreau, 1990; Petit et al., 1999). The absorption

near  $7015\text{ cm}^{-1}$  ( $1.426\text{ }\mu\text{m}$ ) is attributed to the  $2\nu\text{AlFe}^{3+}\text{-OH}$  overtone (Petit et al., 1999). Also, kaolin-group minerals can exhibit multiple absorptions in the  $5000\text{-}5600\text{ cm}^{-1}$  range ( $1.780\text{-}2.000\text{ }\mu\text{m}$ ) related to  $(\nu + \delta)\text{H}_2\text{O}$  combination modes due to adsorbed water molecules at their edges (Madejová et al., 2011), but this near-infrared range will not be investigated in the present paper.

## Materials and methods

### Samples

Twenty-four samples of natural kaolinite were selected from various geological contexts including hydrothermal deposits, sedimentary/diagenetic contexts, and continental weathering/tropical regoliths (Table 1). All samples were gently grounded into powders using an agate mortar and pestle for X-Ray Diffraction measurements and NIR Fourier-Transform Infrared Reflectance spectroscopy. XRD and FTIR measurements both confirmed that all samples consist of kaolinite, with quartz and illite in minor quantities (< 5 wt.%) to avoid any influence on infrared absorption features (Table 1).

### X-Ray Diffraction

X-Ray Diffraction measurements have been conducted at the Institut de Minéralogie, de Physique des Matériaux et de Cosmochimie (IMPMC, UMR-CNRS 7590, Sorbonne Université, Paris, France). XRD analysis were performed on powders of the bulk samples with a Panalytical Pro MPD diffractometer with a X'Celerator detector, Co-K $\alpha$  radiations in a  $\theta$ - $2\theta$  goniometer geometry. Analyses were performed for a  $2\theta$  range of 3 to 90°, with steps of 0.017° and an acquisition time of 250 ms per step. The diffractograms (XRD data are available as Supplementary Materials; SM01) were used to assess the mineralogical nature of the samples and to determine the degree of crystallinity ordering of kaolinite using the Hinckley Index (HI) (Hinckley, 1962) and the Liétard Index (LI) (Liétard, 1977). HI and LI were calculated from our XRD data according to the method described above in the background section (Figure 2; Table 1).

## Infrared spectroscopy

Reflectance near-infrared acquisitions have been conducted at the Institut de Chimie des Milieux et des Matériaux de Poitiers (IC2MP, UMR-CNRS 7282, Université de Poitiers, France). NIR spectra were acquired using a Thermo-Nicolet FTIR 6700 spectrometer equipped with a Thermo Scientific Near-IR Integrating Sphere with an internal InGaAs detector, an internal gold reference material and a tungsten-halogen white light source. All the setup of the integrating sphere is atmospherically controlled by a constant flow of dry air. Kaolinite powders were deposited onto the sapphire window of the integrating sphere at ambient temperature and humidity. Each spectrum is an accumulation of 100 scans with a spectral resolution of  $2\text{ cm}^{-1}$  in the  $4000$  to  $10000\text{ cm}^{-1}$  spectral range ( $1.0$  to  $2.5\text{ }\mu\text{m}$ ). Near-Infrared raw spectra are available in Supplementary Materials (SM02).

We focused on the  $4500\text{ cm}^{-1}$  ( $2.2\text{ }\mu\text{m}$ ) and  $7000\text{ cm}^{-1}$  ( $1.4\text{ }\mu\text{m}$ ) absorption regions because they are indicative of metal-OH vibrations and very discriminant for the identification of kaolins (i.e., kaolinite possesses an easily recognizable spectral signature thanks to these absorption bands). As these two absorptions regions are composed of numerous overlapping components that are hardly distinguishable, we investigated their different spectral contributions through second and third derivative analyses (Coyne et al., 1990; Scheinost et al., 1998; Baron and Petit, 2016; Belkić and Belkić, 2018; Mathian et al., 2018). The two  $4500$  and  $7000\text{ cm}^{-1}$  absorption regions were isolated from the initial spectra by truncation using two anchor points: at  $4400$  and  $4890\text{ cm}^{-1}$  ( $2.045$  and  $2.273\text{ }\mu\text{m}$ ) and at  $6860$  and  $7450\text{ cm}^{-1}$  ( $1.342$  and  $1.458\text{ }\mu\text{m}$ ), respectively. These points were also used to define the local baseline. All the following processing steps were done using the OriginPro™ software. The two absorption bands were interpolated using the exact cubic spline method. This method was used to numerically add points between observation points in order to

gain precision when searching for position and shape of the spectral absorption bands without changing the initial real spectral resolution. As a consequence, the spectrum around  $4500\text{ cm}^{-1}$  increased from 256 to 5120 points and for the one around  $7000\text{ cm}^{-1}$  from 308 to 6140 points; thus, it leads to one data point every  $0.1\text{ cm}^{-1}$ . In order to minimize particle size and light scattering effects on the spectra, we decided to develop spectral criteria from second and third derivative analyses, that have also the advantage of being independent from the spectral continuum (e.g., Aucott et al., 1988; Gombás et al., 2003). Second and third derivative analyses were carried out on interpolated spectra using the Savitzky-Golay filter (Savitzky and Golay, 1964; Steinier et al., 1972) with a set of 260 points and a polynomial order of 3. In the second derivative spectra, the main spectral contributions (abbreviated SC) are highlighted by upwards peaks (or local maxima) (Figure 4). The position and intensity values of these peaks were then extracted and compared to the LI and HI XRD indices related to the crystalline order of kaolinite (see “X-Ray Diffraction” section). In the third derivative spectra, the position difference between adjacent maxima and minima is related to the inflection points of the second derivative peaks and was used to account for the width of the SC (Figure 4). Because peaks sharpen with increasing order of derivatives, this value is smaller than the Full Width at Half Maximum (FWHM) of the original peak (Belkić and Belkić, 2018). Although this value does not correspond to the original FWHM, it can be used to provide a rapid access to an indication of the SC bandwidth and its variation from a sample to another. We propose to call it Sharpened Peak Width (SPW). Ranges of spectral contributions centers, intensity values of the second derivative maxima and SPW are presented in Table 2. Another version of this Table 2 with nanometers values and 2<sup>nd</sup> derivative intensity variation in decimal format is available as Supplementary Material SM03 and detailed for each sample in Supplementary Materials (SM04, SM05, SM06).

## Results

### X-Ray Diffraction indices

XRD patterns and related indices calculations show that our samples exhibit a wide range of crystallinity from disordered to highly ordered kaolinite (Table 1). HI values range from 0.14 (P1-300 sample) to 1.60 (DCV sample) and LI values from 0.52 (P2-150 sample) to 1.37 (DCV sample). The HI and LI are rather well correlated ( $R^2 = 0.903$ ; Figure 5) but the correlation is far from perfect. It shows that although these two different indices give consistent results reflecting global kaolinite crystallinity, they also highlight the presence of several types of defects, as mentioned above (point defects, stacking faults, etc.).

We investigated the link between kaolinite crystallinity and its geological context (see Figure 5). Kaolinite samples from soils have HI values ranging from 0.14 to 1.51 and LI values ranging from 0.52 to 1.31. Sedimentary kaolinites have HI values ranging from 0.28 to 1.45 and LI values ranging from 0.54 to 1.13. Hydrothermal kaolinites have high HI and LI values ranging from 1.27 to 1.60 and 1.13 to 1.37 respectively. Consequently, all geological environments can provide highly ordered kaolinite samples: maximum HI and LI are 1.60 and 1.37 respectively for hydrothermal samples, 1.45 and 1.13 for sedimentary contexts, 1.51 and 1.31 for pedogenic samples. By contrast, hydrothermal context is the only geological environment that does not exhibit low-ordered kaolinite; minima HI and LI are 1.27 and 1.13 respectively, when pedogenic and sedimentary contexts exhibit wide range of kaolinite crystallinities. Hence, only pedogenic and sedimentary contexts provide low-ordered kaolinite.



## Near-infrared observations and parameters

NIR spectra of kaolinite show that both the 4500  $\text{cm}^{-1}$  and 7000  $\text{cm}^{-1}$  regions display complex absorption bands typical of kaolinite. In the Figures 6A,B, spectra are colorized and ordered according to LI values, from the least ordered samples (blue spectra at the bottom) to the most ordered one (red spectra at the top). Visually, many absorptions become sharper and more intense with increasing crystallinity. This is clearly visible for absorptions linked to inner-OH vibrations at 4525 and 7065  $\text{cm}^{-1}$ , and for absorptions at  $\sim$ 4560, 4630, 4645, 4730, 4790, 4825, 7115, 7170, 7240, 7278 and 7335  $\text{cm}^{-1}$  (pointed with black arrows on Figure 6A,B). Some are so weak on low crystallinity spectra that they are barely observable. Some of our samples exhibit very weak absorptions at 4465 and 7015  $\text{cm}^{-1}$  (2.240 and 1.426  $\mu\text{m}$ ) indicative of  $\text{Fe}^{3+}/\text{Al}$  substitutions (Figures 6A,B). These absorptions are present in both disordered and ordered kaolinite samples and their intensities and widths do not appear to be related to the crystalline order.

Second derivative curves are plotted in Figures 7A,B. Both for the 4500 and 7000  $\text{cm}^{-1}$  absorption regions, second derivative curves show multiple spectral contributions. Identified spectral contributions were numerated from 1 to 27 following increasing wavenumbers. The 4500  $\text{cm}^{-1}$  region displays 15 main spectral contributions (SC1 to SC15), and the 7000  $\text{cm}^{-1}$  one displays 12 main SCs (SC16 to SC27). Visually, the majority of these spectral contributions remain at the nearly same position from one spectrum to another. However, a detailed investigation reveals that their position slightly varies. The position of each spectral contribution was extracted by calculating local maximum. Range and variation values (= span) are given in Table 2 (another version of this Table 2 with nanometers values and 2<sup>nd</sup> derivative intensity variation in decimal format is available as Supplementary Material SM03). Among the 27 SCs, some show positions that shift over up to 14  $\text{cm}^{-1}$  from one sample to another. SC3, SC14, SC24, and SC27 are the four spectral contributions

displaying the larger shifts: 10.5, 11.8, 11.6, and 13.9  $\text{cm}^{-1}$ , respectively. The others spectral contributions exhibit smaller shifts down to almost 1  $\text{cm}^{-1}$  (SC4, SC12, SC25).  $\text{Fe}^{3+}\text{Al-OH}$  related spectral contributions exhibit shifts over 11.8  $\text{cm}^{-1}$  (SC14) and 5.5  $\text{cm}^{-1}$  (SC26). In contrast, inner-OH spectral contributions are almost fixed and shift over only 1.6  $\text{cm}^{-1}$  (SC12) and 2.1  $\text{cm}^{-1}$  (SC25). Most SCs on the second derivative curves (Figure 7) also display important intensity variations (Table 2). This is most striking for SC12, SC14, SC23, SC24 and SC25.

In order to investigate bandwidth evolution, we estimated the Sharpened Peak Width (SPW; see Methods section) on the third derivative curves. SPW values and their variations are given in Table 2. SPW values range from 7.0 to 24.1  $\text{cm}^{-1}$ . Some SCs values of SPW strongly vary from a sample to another, when others almost do not vary. The strongest SPW variation is observed for SC24: 24.1  $\text{cm}^{-1}$  (18.0 to 42.1  $\text{cm}^{-1}$ ). The smallest variation is observed for SC4: 1.4  $\text{cm}^{-1}$  (12.1 to 13.5  $\text{cm}^{-1}$ ). Inner-OH related absorptions at 4525  $\text{cm}^{-1}$  (SC12) and 7065  $\text{cm}^{-1}$  (SC25) vary weakly: over 1.8  $\text{cm}^{-1}$  (SC12) and 2.1  $\text{cm}^{-1}$  (SC25) respectively. The  $\text{AlFe}^{3+}\text{-OH}$  related spectral contributions at 4460  $\text{cm}^{-1}$  (SC14) and 7015  $\text{cm}^{-1}$  (SC26) display contrasting SPW variations. SC14 varies weakly, over 3.8  $\text{cm}^{-1}$ , when SC26 varies strongly, over 20.7  $\text{cm}^{-1}$ .

In addition, to better understand the spectral evolution of each contribution, we compared the different spectral criteria (position center shifts, second derivative maxima intensities and SPW) between them. The different correlations between spectral parameters, expressed as correlation coefficients  $R^2$  based on linear or exponential fits, are presented in the Table 3. Best correlations between second derivative maxima intensities and shifts of the SC position are obtained for eight spectral contributions (interfoliar-OH or OH + lattice vibrations: SC2, SC7, SC8, SC11, SC20, SC23, SC24; inner-OH: SC26) with mostly negative correlations (6 out of 8). This means that, in most of cases, when the spectral contribution center shifts toward high wavenumber (i.e., high

frequency) its intensity decreases (e.g., 4560  $\text{cm}^{-1}$  SC11 in Figure 8A; 7170  $\text{cm}^{-1}$  SC23 in Figure 8B). Concerning correlations between SPW and SC positions, only three spectral contributions display a fairly good correlation: SC3 ( $R^2 = 0.52$ ), SC17 ( $R^2 = 0.43$ ) and SC23 ( $R^2 = 0.73$ ). In this case, correlation direction does not supply additional information as there are two positive correlations and one negative (e.g., 4765  $\text{cm}^{-1}$  SC3 in Figure 8C; 7170  $\text{cm}^{-1}$  SC23 in Figure 8D). Only three SCs present significant correlation between their second derivative maxima intensities and SPW values. Among these, the inner-OH related vibrations (SC12 and SC25) show high  $R^2$  values: 0.80 and 0.76 respectively, with both negative correlations: the more intense the spectral contribution, the sharper it is (e.g., 4525  $\text{cm}^{-1}$  SC12 in Figure 8E; 7065  $\text{cm}^{-1}$  SC25 in Figure 8F). The SC18 has a  $R^2$  equal to 0.42 with a negative correlation too.

### **X-Ray Diffraction indices vs. Near-Infrared parameters**

Dark arrows in the Figures 7A,B point to remarkable features: for some SCs, spectra are arranged into a "rainbow fan", owing to the color code used for Liétard index. This is most striking for SC8, SC11, SC20, SC23, and SC24. This confirms that, as already seen on Figure 6, peak intensity varies according to LI, and hence with crystallinity for some SCs. Some other SCs show important intensity variations, but with less obvious or no relationship with LI. In order to check for a possible quantitative link between kaolinite near-infrared spectrum and crystallinity based on HI and LI indices, we compared the calculated parameters (intensity, position and SPW) of all spectral contributions with the values of the XRD indices (HI and LI). We present below only the best correlation cases where NIR parameters and XRD indices are linked. We also present the specific cases of SC12 and SC25 related to inner-OH, as well as SC14 and SC26 related to  $\text{Fe}^{3+}\text{Al-OH}$  vibrations. In parallel, we decided to discard spectral contributions that were absent in too many samples: SC6, SC9, SC10, SC13, SC16, and SC19.

### Variations in SC position

In the 4500  $\text{cm}^{-1}$  absorption region, the positions of SC3, SC7, SC8 and SC11 correlate with the Hinkley Index with  $R^2$  equal to 0.64, 0.88, 0.76, and 0.85, respectively, and with the Liétard Index with  $R^2$  equal to 0.79, 0.87, 0.78, and 0.86. Among them, three spectral contributions, the SC7, SC8 and SC11 show feature position shifts with low range of variations: 5.1, 4.0 and 2.4  $\text{cm}^{-1}$  each. Conversely, the SC3 has a wider range of variations, over 10.5  $\text{cm}^{-1}$  (Figures 9A,B). SC7, which displays a variation range of 5.1  $\text{cm}^{-1}$ , also shows high correlation with XRD indices. However, this contribution is not found in all samples, instead of SC8 that is also characterized by both a high  $R^2$  and a similar variation range of 4.0  $\text{cm}^{-1}$  (Figures 9C,D). Inner-OH related spectral contribution SC12 displays a small range about 1.6  $\text{cm}^{-1}$  and a correlation close to zero with HI and LI. The SC14, accounting for octahedral  $\text{Fe}^{3+}\text{Al-OH}$  vibrations, varies over 11.8  $\text{cm}^{-1}$  and has rather low correlation coefficients:  $R^2 = 0.40$  with HI and  $R^2 = 0.44$  with LI.

In the 7000  $\text{cm}^{-1}$  absorption region, the position of the SC20 has  $R^2$  equal to 0.74 and 0.83 with HI and LI, respectively, the SC23 has  $R^2$  equal to 0.64 and 0.68, and the SC24 has  $R^2$  equal to 0.86 and 0.87. This time, the spectral contribution with the highest  $R^2$  among the three (SC24) also displays the largest shift range: 11.6  $\text{cm}^{-1}$  (Figures 9E,F). Inner-OH related spectral contribution SC25 displays rather low  $R^2 = 0.32$  both for the Hinkley and Liétard Index. However, the SC25 varies over a narrow range, only 2.1  $\text{cm}^{-1}$ . The octahedral  $\text{Fe}^{3+}\text{Al-OH}$  vibrations SC26 have a lower shifts over a rather large range (5.5  $\text{cm}^{-1}$ ) but very low  $R^2$  (0.26 for both HI and LI).

For both the 4500- and 7000  $\text{cm}^{-1}$  absorption regions, the spectral contributions displaying the best correlations in terms of position shifts in parallel with XRD indices' variations show negative correlation with these; only SC7 and SC24 display positive correlations with HI and LI variations. This means that the higher the Hinkley and Liétard indices, the more ordered the kaolinite, the

more the spectral contributions' center positions shift toward low wavenumbers (i.e., low frequencies) or that these shifts reflect the appearance of new spectral contributions and growth (Swayze et al., 2014; see Figure 9).

### **Variations in SC intensity**

Second derivative intensities compared with the XRD indices give numerous correlations with high  $R^2$ . For the  $4500\text{ cm}^{-1}$  absorption region, six spectral contributions have  $R^2$  higher than 0.50. Among them, SC11 displays the highest  $R^2 = 0.89$  and  $0.95$  with the Hinkley and Liétard indices, respectively (Figures 10A,B). The other spectral contributions are: SC1 ( $R^2 = 0.84$  with HI and  $0.79$  with LI; excluding DCV sample due to its strong crystallinity this sample is taken out from the overall trend defined by other samples that have more common crystallinities), SC2 ( $R^2 = 0.72$  and  $0.68$ ; excluding DCV sample), SC5 ( $R^2 = 0.54$  and  $0.60$ ), SC7 ( $R^2 = 0.78$  and  $0.76$ ; excluding DCV sample) and SC8 ( $R^2 = 0.86$  and  $0.88$ ; excluding DCV sample). The SC14 ( $\text{Fe}^{3+}\text{Al-OH}$  vibrations) has  $R^2 = 0.46$  and  $0.47$  with Hinkley and Liétard Indices. The SC12 (inner-OH) has  $R^2 = 0.38$  both for Hinkley and Liétard Indices, thus bad correlations.

Eight spectral contributions with  $R^2 > 0.5$  were found within the  $7000\text{ cm}^{-1}$  absorption region. Among them, SC23 shows the highest  $R^2 = 0.92$  and  $0.93$  (excluding DCV sample) with the Hinkley Index and the Liétard Index, respectively (Figures 10C,D). The other spectral contributions are: SC17 ( $R^2 = 0.78$  with HI and  $0.80$  with LI; excluding DCV sample), SC18 ( $R^2 = 0.74$  and  $0.75$ ), SC19 ( $R^2 = 0.84$  and  $0.86$ ; excluding DCV sample), SC20 ( $R^2 = 0.81$  and  $0.85$ ), SC21 ( $R^2 = 0.77$  and  $0.86$ ), and SC24 ( $R^2 = 0.84$  and  $0.86$ ; excluding DCV sample). Inner-OH related vibrations (SC25) have coefficients of correlation higher than in the  $4500\text{ cm}^{-1}$  with values of  $0.49$  with HI and  $0.40$  with LI (Figures 10E,F). By contrast,  $\text{Fe}^{3+}\text{Al-OH}$  related vibrations (SC26)

have lower  $R^2$  than in the  $4500\text{ cm}^{-1}$  absorption case with values of 0.19 and 0.22 with the Hinkley and Liétard Index.

For all spectral contributions that show satisfying correlation coefficients, second derivative maxima intensities variations are positively correlated with the XRD indices: the higher the indices (i.e., the more ordered the kaolinite is), the higher the second derivative intensities (Figures 10), thus the spectral contributions are intense. This explains the fact that the more ordered kaolinite, the more complex its spectrum is with numerous sharp absorption features in the Figures 6 and 7.

### **Variations in SC width**

Sharpened Peak Width values show few good correlations with the Hinkley and Liétard Indices. Here, the spectral contributions that have SPW correlating better with the XRD indices are the SC12 and SC25, accounting for inner-OH vibrations. Both have similar  $R^2$ : 0.66 and 0.73 with HI and LI for the SC12 (Figures 11A,B), and of 0.70 and 0.73 for SC25 (Figures 11C,D), calculated excluding P2-150 and P1-300 samples. The other spectral contribution with reasonably high  $R^2$  are the SC4, SC5, SC8, SC18 and SC22. In the  $4500\text{ cm}^{-1}$  absorption region, SC4 shows  $R^2 = 0.54$  and 0.62 with the Hinkley and Liétard Index (Figures 11E,F), SC5 of about 0.55 and 0.56, and the SC8 of about 0.41 and 0.44. In the  $7000\text{ cm}^{-1}$  absorption region, SC18 shows  $R^2 = 0.58$  and 0.51 with HI and LI. The spectral contributions associated to octahedral  $\text{Fe}^{3+}\text{Al-OH}$  vibrations have very low  $R^2$ : 0.15 and 0.30 for SC14 in the  $4500\text{ cm}^{-1}$  absorption region, and below 0.01 for both HR and LI for SC26 in the  $7000\text{ cm}^{-1}$  region.

All these spectral contributions show negative correlation between SPW and XRD indices (except SC5): the higher the indices (i.e., the more ordered the kaolinite), the sharper the spectral contributions. This is to be put in parallel with the second derivative intensities variations that

present positive correlations: the more ordered the kaolinite is, the more numerous and sharper the spectral contributions are (see Figures 6 and 7).

### ***Spectral parameters correlations and crystalline order variations***

The combination of the best correlated spectral parameters in a diagram with the points colored according to the XRD indices show a colorimetric evolution linked to crystallinity variations in most of cases (Figures 8); except for the spectral contributions SC17 (SPW versus position) and SC26 (intensity versus position). This observation is logical and explained by the fact that the spectral parameters of some SCs vary according to the kaolinite crystalline order as seen by the XRD indices. Such diagrams help to explain the “rainbow patterns” observed in the Figure 6 obtained after second derivative processing for the spectral contributions SC8, SC11, SC20, SC23, and SC24. Among them, the spectral contribution at  $7170\text{ cm}^{-1}$  (SC23) related to interfoliar-OH vibration and forming the reflectance minimum of the high-frequency of the  $7000\text{ cm}^{-1}$  doublet, is the one that presents the highest correlation in its own parameters and in connection with crystallinity variations. Indeed, SC23 position center shifts have  $R^2$  equal to 0.64 and 0.68 with HI and LI,  $R^2 = 0.93$  and  $0.92$  for the second derivative intensities, and  $R^2 = 0.24$  and  $0.29$  for the SPW. Therefore, it is not surprising that the combination between these parameters displays good correlations ( $R^2 = 0.71$  for intensity versus position center,  $0.73$  for SPW and position center,  $0.31$  for intensity versus SPW) and related to variations of the Hinckley and Liétard indices (Table 3; Figure 8B,D).

## **Discussion**

### **Estimating kaolinite crystallinity using NIR spectroscopy**

#### **Spectral significance of parameters variations**

Lattice vibrations observed by Raman and thermal infrared spectroscopy are well known to be good proxies for order-disorder effects in minerals (Farmer et al., 1974). The expected evolution of a Raman peak or an IR absorption along with an increase in crystallinity is a decrease of the bandwidth and an increase of amplitude, accompanied by a position shift. Thus, when a material is amorphous or disordered (e.g., like glassy material), the lattice vibrations have enlarged bands with low amplitude; a peak is then a bump. Observed in the mid-infrared range, hydroxyl vibrations reflect their close atomic environment in the unit cell of a material. Therefore, the evolution of their spectral properties is similar to those of lattice vibrations and can be interpreted in the same manner to understand crystal ordering. Near-infrared absorptions are overtones of fundamental OH vibrations and/or combinations of fundamentals with lattice vibrations; they can therefore be interpreted in the same way.

Concerning kaolinite, studies of its mid-infrared signature have shown that the absorption becomes more and more intense with an increase in the Hinckley Index value (Kloprogge, 2019; and references therein). Likewise, modeling of the kaolinite fundamental OH-vibrations shows simulated spectra, derived from a perfect structure of thin platy particle (Balan et al., 2005a), that differ from the vibrations of natural samples in terms of bandwidth, intensity and position. In the present study, as the XRD indices increase, the observed decrease in the SPW values (negative correlation) and increasing intensity (positive correlation) tends to reach the characteristic values of these modeled spectra. It therefore confirms that when the kaolinite is more ordered, it becomes



more and more close in shape to thin platy particles. Shifts in terms of SCs positions are mainly directed toward low wavenumbers (i.e., low frequencies) as the crystallinity of kaolinite increases. However, no interpretation can be made on this observation of shifts. Indeed, in their study, Balan et al. (2005a) show shifts between simulated MIR spectrum and MIR acquisition on natural samples that can be toward lower and higher wavenumbers, proving that the direction of migration of center position is not correlated to the kaolinite crystalline degree.

For each spectral criterion, we estimated the relevance in estimating the crystallinity of kaolinite by calculating correlation coefficients with linear functions. In the case of intensity and SPW variations, it was necessary to remove one or two samples: the well-ordered DCV kaolinite sample for intensities, and the disordered P2-150 and P1-300 samples for SPWs. As observed in Figures 10C,D and Figures 11A,B,C,D, all the samples align well along linear regression curves except these three samples depending on the considered case. These observations can be explained by threshold effects, which would be induced by the fact that X-Ray Diffraction, and therefore the indices calculated on XRD data, could be less sensitive to larger range ordering than vibrational spectroscopy. Thus, indices developed on near-infrared spectroscopy data would be more accurate to estimate the degree of crystalline order of kaolinite particles than XRD indices do, especially for samples presenting high or low degrees of crystalline order such as those encountered in this study.

### **Interfoliar hydroxyls groups and stacking order**

All results converge to the fact that interfoliar Al<sub>2</sub>-OH vibrations are well correlated to the Hinckley and Liétard XRD indices. The results show that most of their numerous spectral contributions vary in position, intensity and width in correlation with the Liétard Index. The Liétard Index estimates the presence of random defects in the  $\vec{c}$  direction, which can be interpreted as stacking faults, stacking disorder or more generally long-range structural imperfection (Liétard, 1977; Cases et al.,

1982; Gaite et al., 1993, 1997). Defects in the  $\vec{c}$  axis (i.e., stacking faults) induce perturbations of the interfoliar Al<sub>2</sub>-OH groups. Variations in the position and intensity of the spectral contribution related to interfoliar hydroxyl groups indicate variations in terms of stacking sequence, and hence, they could be used to estimate the degree of long range crystalline order of kaolinite along the  $\vec{c}$  axis. The results also show that these parameters are also well correlated with the Hinckley Index. The Hinckley Index is sensitive to the proportion of the well-ordered vs. high-defects kaolinite domains in a whole sample. Hence, the Hinckley Index is somehow sensitive in a lesser extent than the LI, to the proportion of kaolinite with or without stacking faults in a whole sample.

Second derivative intensities are efficient in evaluating the kaolinite stacking degree as many spectral contributions are very well correlated with the HI and LI. The characteristics of maxima in the second derivative curves (position and intensity) inform about the presence of inflection point in the reflectance NIR spectra, their intensity and width (Mathian et al., 2018). Accordingly, second derivative maxima positions and their associated shifts can be used as an indication of the kaolinite stacking order. However, less spectral contributions showing position shifts are evidenced compared to the analysis of second derivative intensities (7 against 12). Moreover, out of the seven identified SCs, only two show shift ranges that are sufficient to be used as proxy of the kaolinite crystallinity: SC3 at 4765 cm<sup>-1</sup> (this absorption could be attributed to the combination of OH-stretching and Si-O stretching) and SC24 at 7115 cm<sup>-1</sup>. Unfortunately, the 4765 cm<sup>-1</sup> absorption band is very weak even in well-crystallized pure kaolinite sample (see Figure 6A) and thus cannot be used for a reliable index of crystallinity. By contrast, the results indicate that the SC24 at 7115 cm<sup>-1</sup> (1.405 μm) is intense in all of our spectra and its large shift range (11.6 cm<sup>-1</sup>) make it suitable for a quick estimation of kaolinite stacking order (see Figure 6B, Figures 9E,F).

### **Inner-hydroxyls groups and sharpened peak width**

Third derivative minima and maxima do permit to calculate the sharpened peak width (SPW) that is considered herein as a proxy for the full width at half-maximum (FWHM). The results show that SPW variations are better linked to crystallinity degrees when considering the inner-OH vibrations at 4525 and 7065  $\text{cm}^{-1}$  (2.210 and 1.415  $\mu\text{m}$  respectively). Unlike the other spectral contributions (interfoliar  $\text{Al}_2\text{-OH}$  vibrations discussed just above), the inner- $\text{Al}_2\text{-OH}$  vibrations do exhibit correlations between crystallinity and near-infrared signal only with SPW, and not on the second derivative intensities and positions. Their SPW decreases with increasing crystallinity: the higher the degree of crystalline order, the narrower the inner-OH vibration band. This therefore shows that the inner-OH are also affected by crystallinity variations, and in particular the stacking order degree. These changes in local symmetries will thus be able to modify the shape of the hexagonal cavity where the inner-OH vibrates. This structural modification should change the vibration properties of inner-OH. However, spectral variations of the inner- $\text{Al}_2\text{-OH}$  absorptions are minor compared to variations in interfoliar- $\text{Al}_2\text{-OH}$ . For example, the variations in the position of inner-OH are of the order of  $2 \text{ cm}^{-1}$ , whereas they may be several tens of  $\text{cm}^{-1}$  for the interfoliar-OH. The same is true for SPW, except that SPW of interfoliar-OH are much less correlated with crystallinity indices than those of inner-OH. This can be explained by the fact that the changes in the volume of the hexagonal cavity are of a lower extent compared to the changes undergone by the OH in the interlayer space as the hexagonal space will only suffers of slight distortions. We can therefore assume that the vibration positions of the inner-OH should also vary according to the crystalline order, but that instrumental constraints (e.g., spectral resolution) do not permit us to detect and quantify them precisely, in contrast to the large variations of interfoliar-OH vibrations that are larger than the spectral resolution.

### **The case of Fe<sup>3+</sup> in kaolinite**

Fe<sup>3+</sup> substitution in kaolinite has been proposed to increase the proportion of stacking defects, and several authors considered that structural Fe<sup>3+</sup> in kaolinite could be used as a relevant proxy to estimate its crystallinity (Mestdagh et al., 1980; Brindley et al., 1986). In particular, the structural iron detected by EPR has been used as a sensitive probe of the degree of local crystalline order and shown to correlate to the Lietard index (Gaite et al., 1997). Besides, the concentration of structural iron was confirmed not to influence the degree of crystalline order in kaolinites from a lateritic profile with similar Fe contents but strongly contrasting crystallinities (Balan et al., 2000). In the NIR spectra of Fe<sup>3+</sup>-rich kaolinite samples, the absorption bands at 4460 cm<sup>-1</sup> and 7015 cm<sup>-1</sup> (SC14 and SC26; 2.242 μm and 1.426 μm) indicate the presence of Fe<sup>3+</sup> substitutions (Figure 3, Figures 6A,B, Figures 7A,B) (Delineau et al., 1994; Petit et al., 1999). Our results suggest that the shifts in position and intensity values of these octahedral AlFe<sup>3+</sup>-OH-related spectral contributions do not allow one to correctly estimate the crystallinity of our samples as indicated by the relatively low R<sup>2</sup> with the HI and LI indices, below 0.50. It can be explained by the fact that the two spectral contributions are related to inner Al-Fe<sup>3+</sup>-OH vibrations, so to hydroxyls groups that are inside the hexagonal cavity as Al<sub>2</sub>-OH groups and oriented parallel to the layers (Delineau et al., 1994; Petit et al., 1999). It is, in a way, very similar to the inner Al<sub>2</sub>-OH vibration bands (at 4525 and 7025 cm<sup>-1</sup>) that do not largely shift nor have strong intensity variations in relation with crystallinity. Thus, the inner hydroxyls groups, either Al<sub>2</sub>-OH or AlFe<sup>3+</sup>-OH, always vibrate in a similar manner and are not very affected by changes of the layers stacking (e.g., Balan et al., 2010). This is why no relation between crystallinity and inner AlFe<sup>3+</sup>-OH spectral contributions appears. However, a possible link could exist between the crystallinity XRD indices and interfoliar-AlFe<sup>3+</sup>-OH vibrations. Indeed, these vibrations would be related to the hydroxyls groups that are bridging two

adjacent layers: they would react as the interfoliar- $\text{Al}_2\text{-OH}$  groups to changes in stacking order. Unfortunately, it seems that it would be difficult to verify this hypothesis because the interfoliar- $\text{AlFe}^{3+}\text{-OH}$  vibrations would be located at wavenumbers close to the interfoliar- $\text{Al}_2\text{-OH}$  vibrations. Given the low concentrations of  $\text{Fe}^{3+}$  in kaolinite (Delineau et al., 1994, Balan et al., 2000), the  $\text{AlFe}^{3+}\text{-OH}$  vibrations should be very low in intensity compared to the  $\text{Al}_2\text{-OH}$  ones, and therefore, drowned out among them. Our observations are somewhat in agreement with the results of Petit and Decarreau (1990) and Balan et al. (2000) suggesting that the presence and proportion of Fe impurities in kaolinite cannot be correlated with percentage of defects in this mineral. Recently, Cuadros et al. (2019) proposed that the substituted  $\text{Fe}^{3+}$  impurities in kaolinite should induce shifts in the OH combination band near  $4525\text{ cm}^{-1}$  ( $2.210\text{ }\mu\text{m}$ ). But, our data on a large set of natural kaolinites from different geological origins show that the  $4525\text{ cm}^{-1}$  SC does not display such a shift, irrespective of the iron content. Thus, even if iron content can disturb the stacking sequence of the layers, it does not imply a systematic change in the vibration frequency of the inner  $\text{Al}_2\text{-OH}$ . Significant change in position of the  $4525\text{ cm}^{-1}$  SC of kaolinite may therefore be related to the presence in small proportion of other clay minerals (e.g., smectites, illites, micas...).

### **Link between kaolinite crystallinity and geological origin**

XRD indices calculations show that kaolinite from the three general geological contexts that we considered in this study exhibit a wide range of HI and LI values, and thus, a wide range of crystallinity. In our sampling set, sedimentary and pedogenic kaolinites range from low-ordered to high ordered degrees. Hence at first sight, a given crystallinity order cannot be ascribed to a specific geological origin for sedimentary and pedogenic samples. By contrast, our sampling set shows that the two considered hydrothermal samples (DCV and GB1) are well-ordered kaolinites. Thus, it might be tempting to infer that hydrothermal kaolinites can only be well ordered, and therefore that

disordered kaolinites are necessarily of pedogenic or sedimentary origins. Fleurence and Nicolas (1964), by studying French kaolinites, stated that all hydrothermal french kaolinites are well ordered, and that kaolinites from soils are generally poorly-crystallized. Estéoule-Choux (1981) described morphological textures of hydrothermal, sedimentary and weathering kaolinites. Overall, she observed that hydrothermal kaolinites are mainly well-crystallized, contrary to kaolinites formed by meteoric alteration that are mainly low-ordered. By using Raman and photoacoustic IR spectroscopy, Shoval et al. (1999b) suggested that hydrothermal kaolinites are of higher crystallinity than sedimentary and/or pedogenic kaolinites. Our study shows that (1) well-ordered kaolinites are not restricted to hydrothermal deposits, as some are commonly found in pedogenic or sedimentary deposits; (2) kaolinites from a similar sedimentary or pedogenetic context can have contrasting degrees of crystalline order, depending on their local geochemical context, timing and period of crystallization; (3) a poorly ordered kaolinite is more likely to have a sedimentary or pedogenetic origin than a hydrothermal origin. This last conclusion needs nevertheless to be verified on a larger set of kaolinites of hydrothermal origin.

This confirms that well-ordered kaolinites are not restricted to hydrothermal deposits, as some are commonly found in pedogenic or sedimentary deposits. Those observations are common in tropical regoliths, either using petrographical observations, like the presence of defined kaolinite booklets, or using spectroscopic methods (Beauvais and Bertaux, 2002; Mathian et al., 2019). Structural ordering of kaolinite is often linked to the physicochemical characteristics of their microenvironment of formation (Tardy, 1993), as well as to kinetics of crystallization, and in some case also to the type of mineral that is pseudomorphosed (Beauvais and Bertaux, 2002). Kaolinites with low disorder have also been recognized using the same criteria in Earth sedimentary basins (Beaufort et al., 1998).

## **Application to Mars spectral data**

Determining the geological origin of clay minerals is also critical for the understanding of the conditions that prevailed at the surface of Mars. Yet, the application of the present methodology to determine the kaolinite crystallinity is still difficult for orbital data on Mars due to several factors including: (i) the insufficient spectral resolution of current imaging spectrometers, (ii) the low signal-to-noise ratio of CRISM spectra in the case of the absorption band located at  $7000\text{ cm}^{-1}$  ( $1.40\text{ }\mu\text{m}$ ), and (iii) the possible interference with different, additional hydrated phases favored by low spatial resolution. However, the use of our NIR criteria may be a useful method in the near future, as the next Mars missions will send rovers on Mars (ESA's ExoMars 2022 Rosalind Franklin rover, and NASA's Mars2020 Perseverance rover) equipped with the spectrometers MicrOmega and SuperCam (Pilorget and Bibring, 2013; Fouchet et al., 2015; Bibring et al., 2017; Vago et al., 2017; Wiens et al., 2017). Detection of kaolinite at the two landing sites by CRISM observations has been done recently (Carter et al., 2016; Parente et al., 2019; Tarnas et al., 2019). New observations of these outcrops with the in-situ instruments will help to determine their precise mineralogy, the crystallinity of the kaolinite, its genesis, and possibly a hint of the primary minerals that were present before kaolinization.

## Conclusions and implications

By using second and third derivative curves of the  $4500\text{ cm}^{-1}$  ( $2.2\ \mu\text{m}$ ) and  $7000\text{ cm}^{-1}$  ( $1.4\ \mu\text{m}$ ) absorption regions of kaolinite Near-Infrared spectra, we demonstrate that the signature of kaolinite can bring information about its crystallinity when referred to the Hinkley and Liétard XRD Indices.

The resulting NIR indices could be related to shifts in position of some of the spectral contributions as well as the second derivative intensity of local maxima. These two parameters are shown to be mostly correlated to the Liétard Index variations for the interfoliar  $\text{Al}_2\text{-OH}$  vibrations. For instance, good matches with the Liétard XRD index are obtained for the  $7115\text{ cm}^{-1}$  ( $1.405\ \mu\text{m}$ ) shifts and for intensity variations of the  $4560\text{ cm}^{-1}$  ( $2.193\ \mu\text{m}$ ) contribution, with correlations of 87% and ~95%, respectively. This observation allows us to assert that it is possible to trace the modification of the interfoliar OH groups configurations by variation of the kaolinite stacking degree, and hence by its crystallinity. The present data, and the use of a third spectral criteria called Sharpened Peak Width (SPW), also reveal that the modifications of the spectral properties of the inner-hydroxyls groups are much weaker than those of interfoliar OH groups because their crystal chemistry environment is more constrained and only slightly affected by stacking defects. It was also possible to discuss the fact that the structural iron content in kaolinite, originating from octahedral substitutions with Al atoms, cannot be clearly used as a proxy for the crystallinity of kaolinite on the basis of NIR data.

The results on spectral criteria versus geological origin show that the crystallinity of kaolinite can be used as a proxy to determine its geological origin only in a very limited extent. Within the selected set of samples, we show that well-ordered kaolinites can be found in all geological contexts (soils, sediments, hydrothermal alterations). For a given type of environment, either sedimentary or pedogenetic, kaolinites can present various degrees of crystalline order according to their local



conditions of formation. In addition, a poorly ordered kaolinite is more likely to have a sedimentary or pedogenetic origin, which will have to be confirmed on a larger set of hydrothermal kaolinites. Accordingly, NIR measurements on the Mars surface with appropriate spectral resolution and quality should allow one in the next future to determine the degree of disorder of kaolinite and in the best case to constrain its condition of formation in term of geological environment, i.e., hydrothermal vs. low temperature alteration.

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## **Estimating kaolinite crystallinity using near-infrared spectroscopy: implications for its geology on Earth and Mars**

### **Figures**

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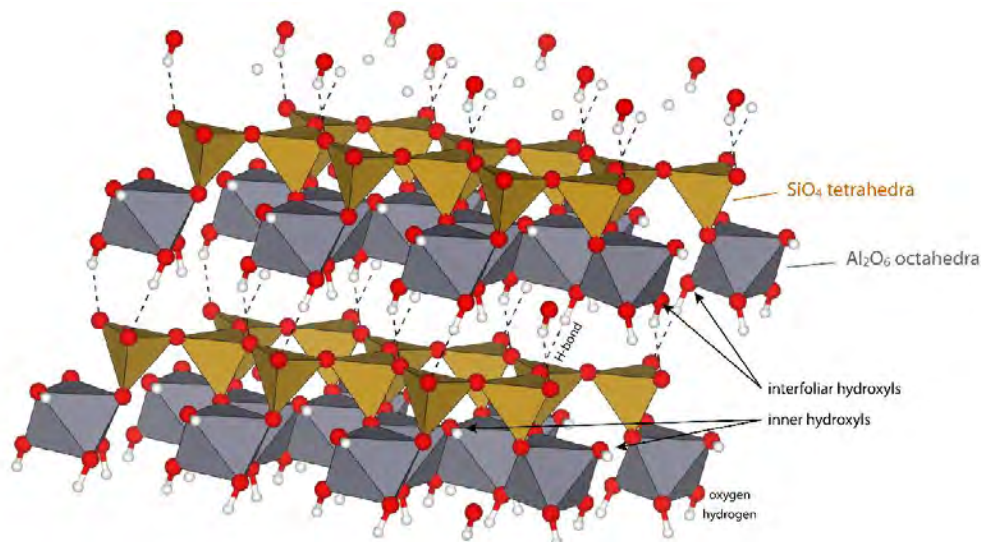
<sup>1</sup> Laboratoire de Planétologie et Géodynamique, UMR CNRS 6112, Université de Nantes, Université d'Angers, Nantes, France

<sup>2</sup> Institut de Minéralogie, de Physique des Matériaux et de Cosmochimie, UMR CNRS 7590, Sorbonne Université, Paris, France

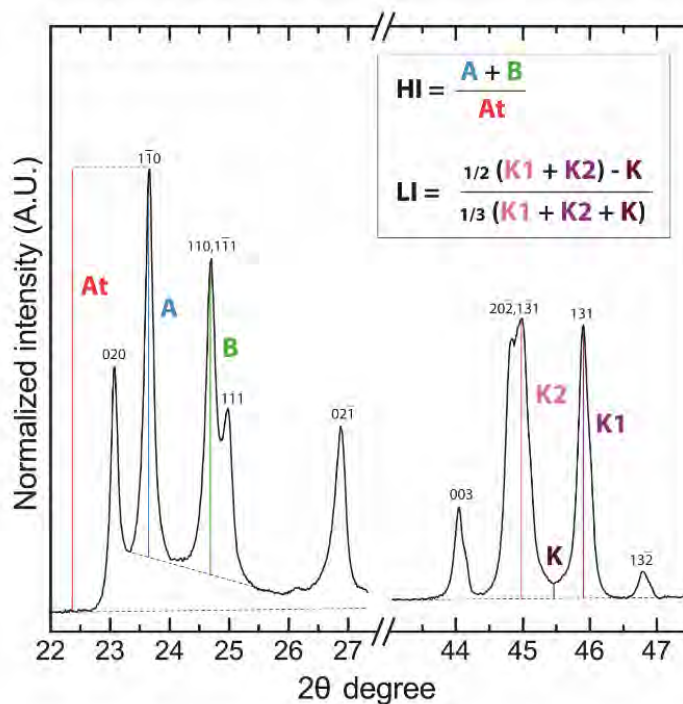
<sup>3</sup> Institut de Chimie des Milieux et Matériaux de Poitiers, UMR CNRS 7285, Université de Poitiers, Poitiers, France

**Keywords:** kaolinite, crystallinity, infrared spectroscopy, near infrared, x-ray diffraction, geology, Earth, Mars

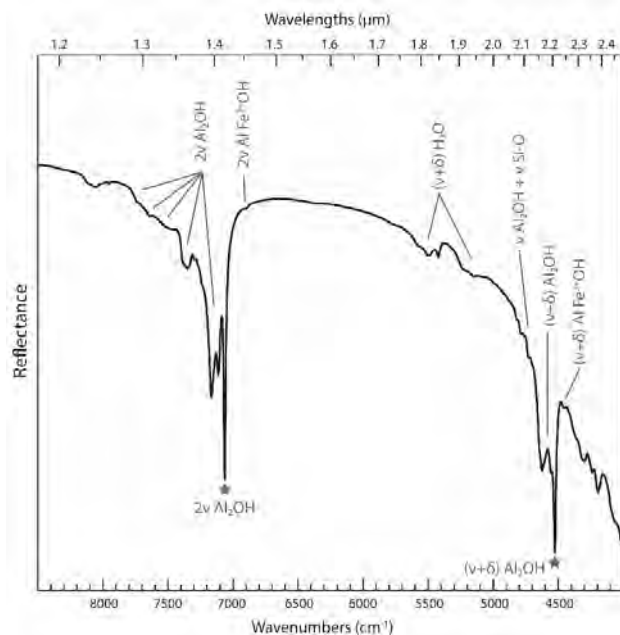
## Figures



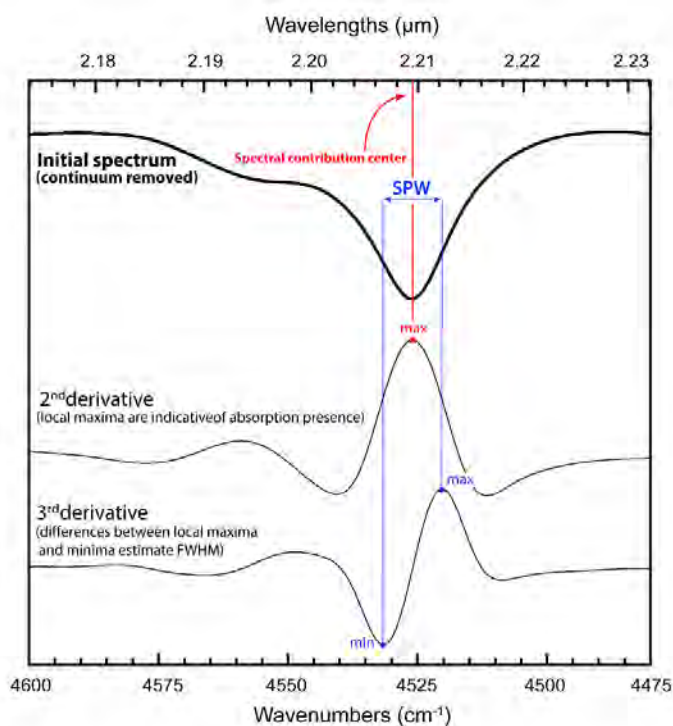
**Figure 1** – Schematic ideal structure of kaolinite. Modified after the model of Bish (1993) that takes the well-ordered kaolinite from Warsaw Geodes, Keokuk Region, Iowa, USA, as reference. Structural model was obtained using VESTA software (Momma and Izumi, 2011). Iron atoms can substitute for aluminum atoms in the octahedral sheets (not represented here).



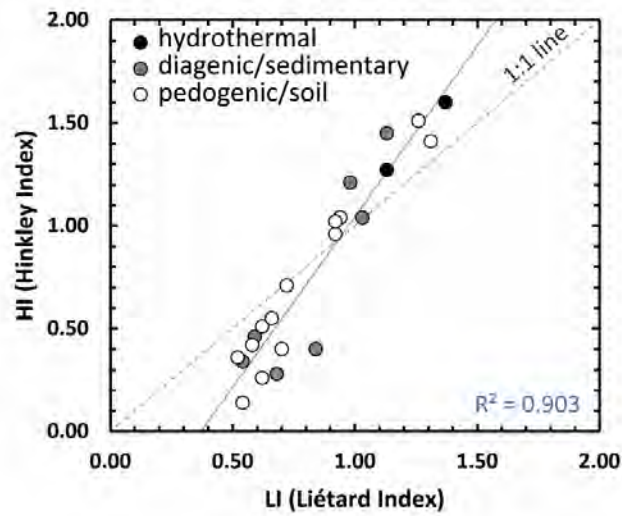
**Figure 2** - Determination of the Hinkley Index (HI) and Liétard Index (LI) using X-ray diffraction data. Chosen example is a diffractogram of the highly-ordered DCV kaolinite sample from Decazeville (France).



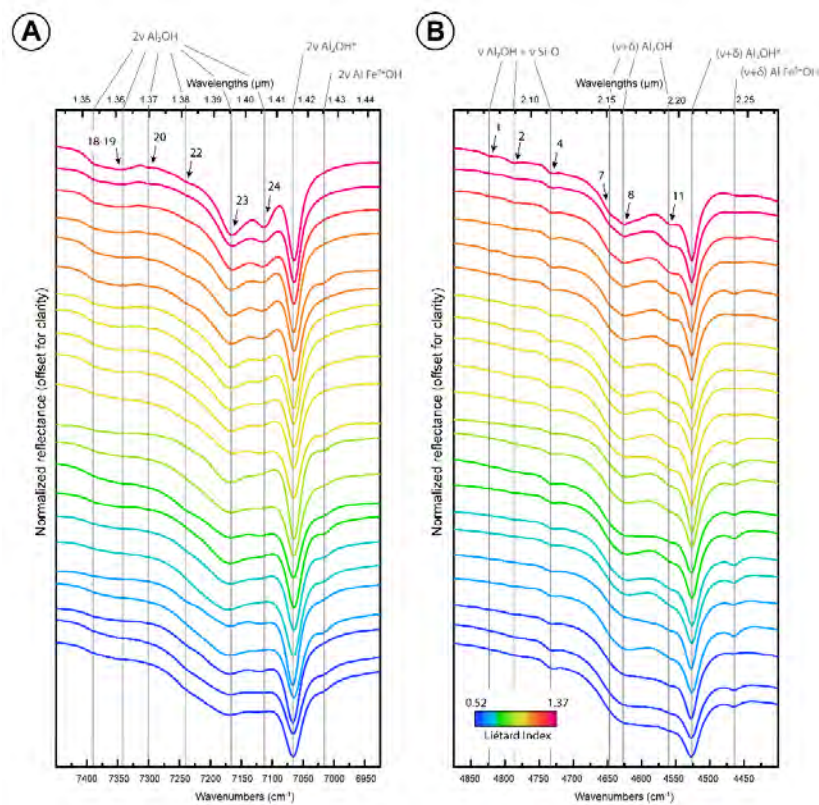
**Figure 3** - Near-infrared reflectance spectrum of the well-ordered kaolinite sample DCV from Decazeville, France. Vibrations modes:  $\nu$  = stretching;  $\delta$  = bending. The grey stars indicate absorptions associated to the inner-OH vibrations.



**Figure 4** - Illustration of the calculations of the spectral contribution center and its SPW in a kaolinite spectrum from the second and third derivatives respectively. The chosen example is a zoom of the inner-OH vibration at  $4525\text{ cm}^{-1}$  of the spectrum of the well-ordered kaolinite sample DCV from Decazeville, France.

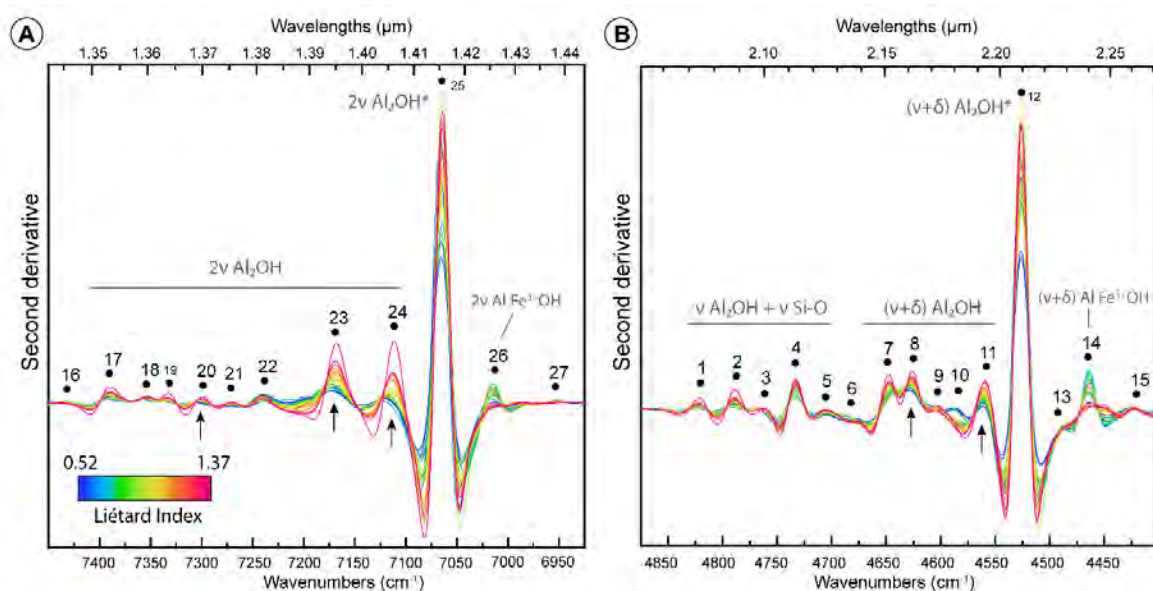


**Figure 5** - Diagram illustrating the relationship between the Hinkley and Liétard Indices.



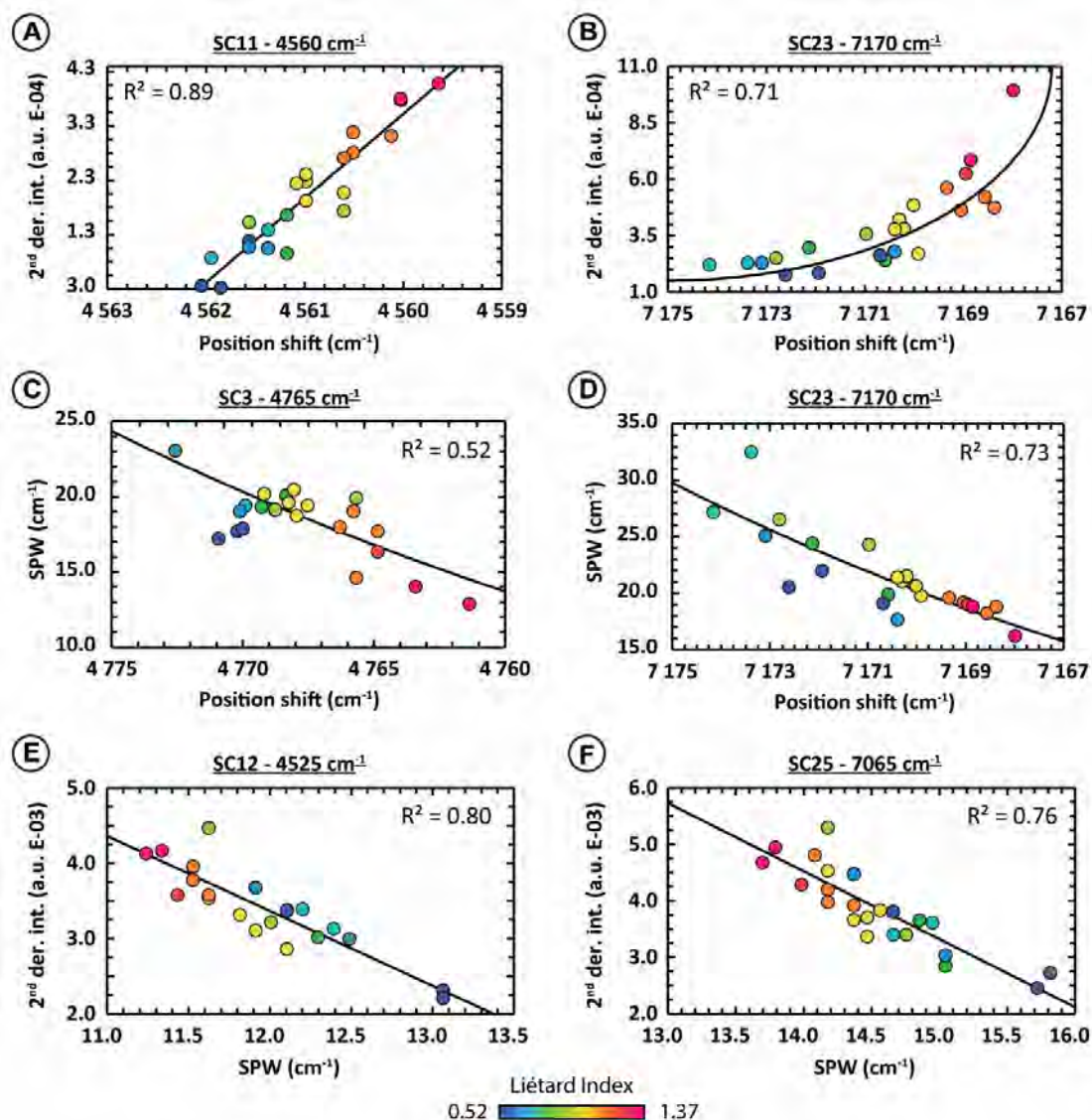
**Figure 6** - Near-infrared reflectance spectra of the two absorption bands at 7000 cm<sup>-1</sup> (A) and 4500 cm<sup>-1</sup> (B) of terrestrial kaolinites. Spectra are colorized according to the Liétard Index LI values that increase with the crystalline order (key at bottom of spectral plot). Vibrations modes: ν = stretching; δ = bending. The asterisk indicates absorptions associated to the inner-hydroxyl vibrations. The black arrows point to absorptions that get more complex and/or intense as the crystallinity increases (numbers on top of black arrows indicate the spectral contributions SC identified with second derivatives; see Figure 7 and Table 2).



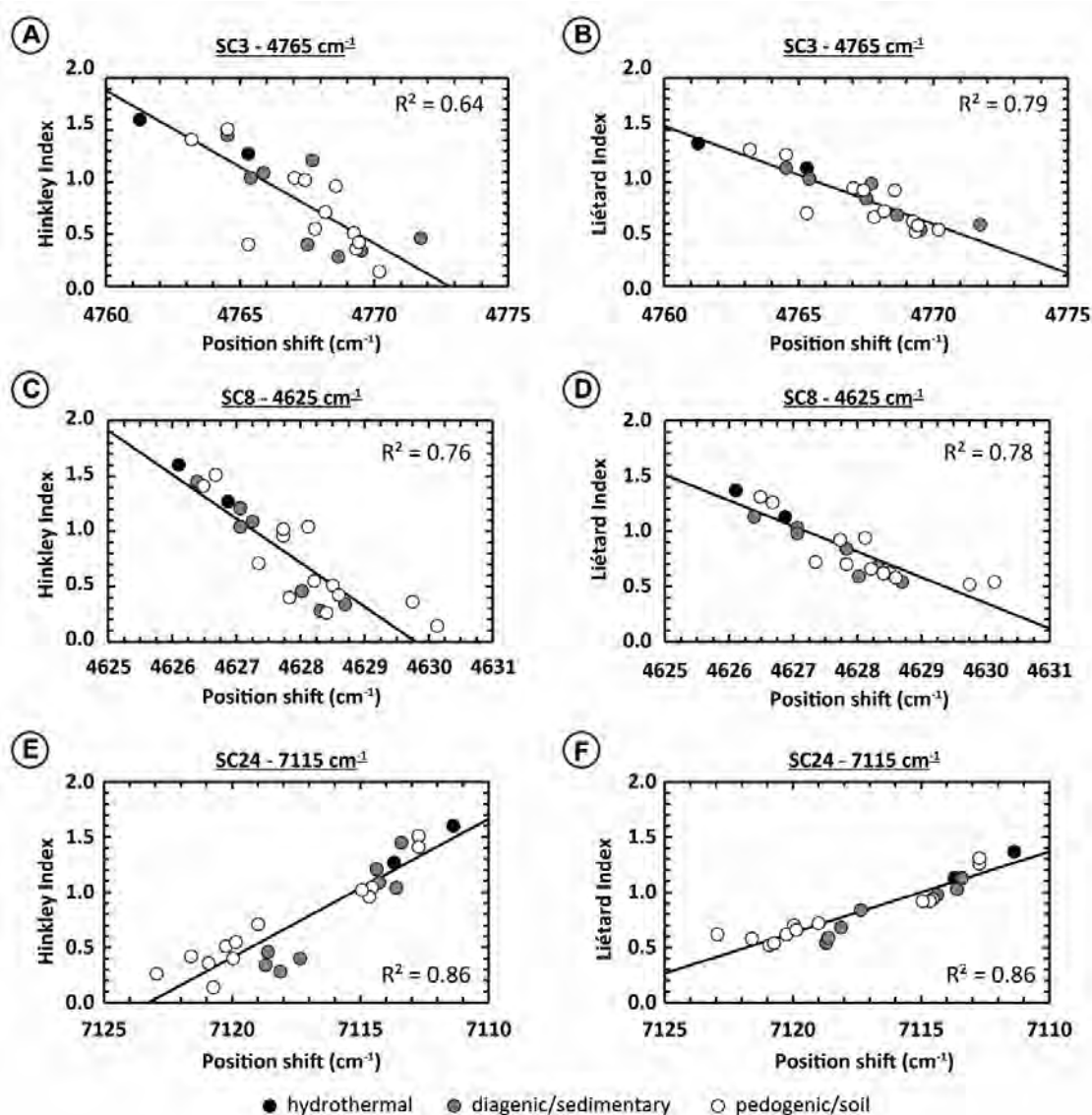


**Figure 7** - Second derivative spectra of the two absorption bands at  $7000\text{ cm}^{-1}$  (A) and  $4500\text{ cm}^{-1}$  (B) of terrestrial kaolinites. Spectra are colorized according to the Liétard Index LI values that increase with the crystalline order (key at bottom of spectral plot). Vibrations modes:  $\nu$  = stretching;  $\delta$  = bending. The asterisk indicates absorptions associated to the inner-hydroxyl vibrations. Black dots indicate spectral contributions SC that were found through second derivative analysis. Black arrows point to remarkable “rainbow fan” distribution features caused by second derivative intensity regularly increasing with Liétard Index. Experimental spectral resolution is about  $2.0\text{ cm}^{-1}$ , numerically increased resampled to  $0.1\text{ cm}^{-1}$  using the cubic spline interpolation method.

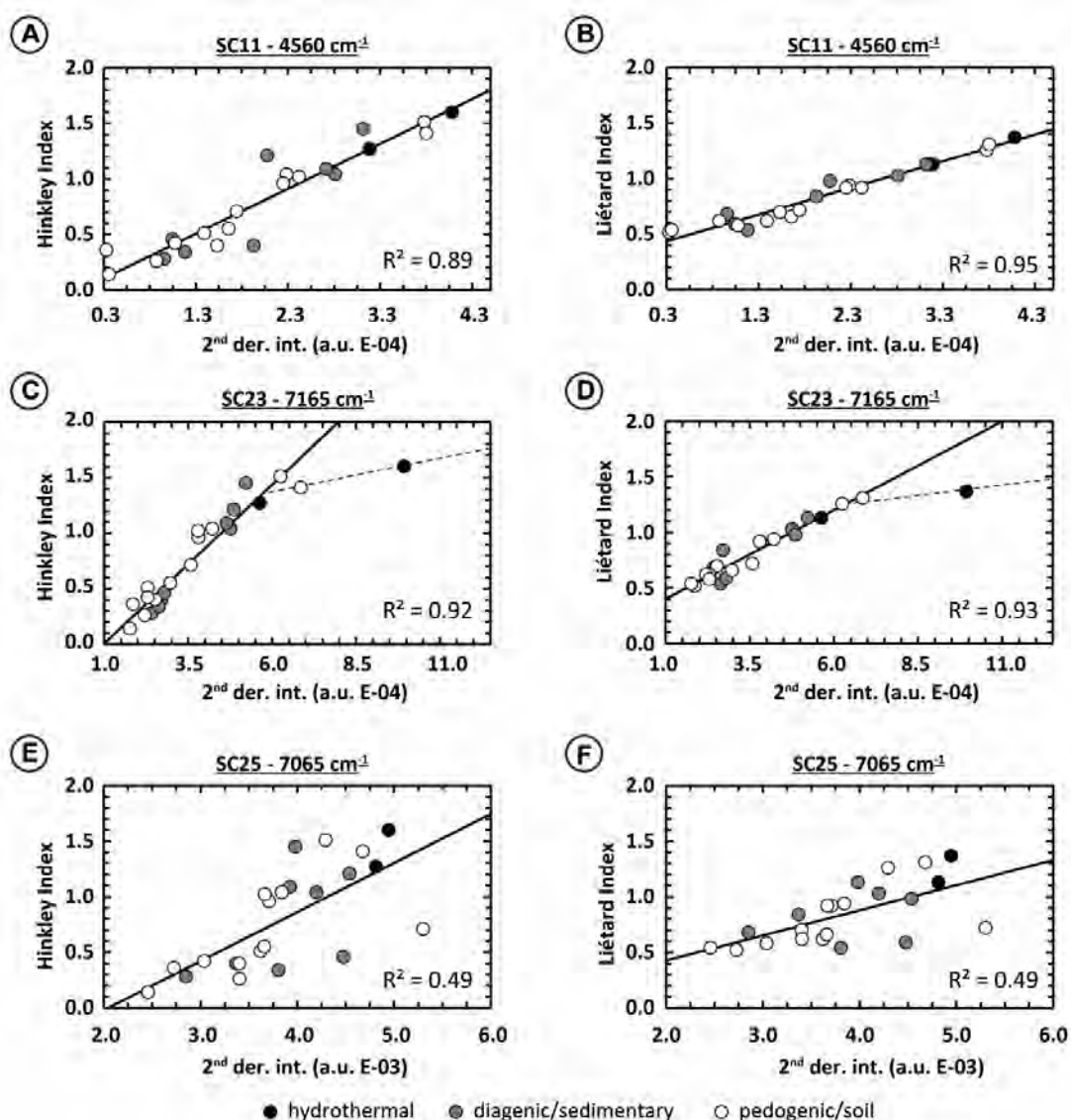




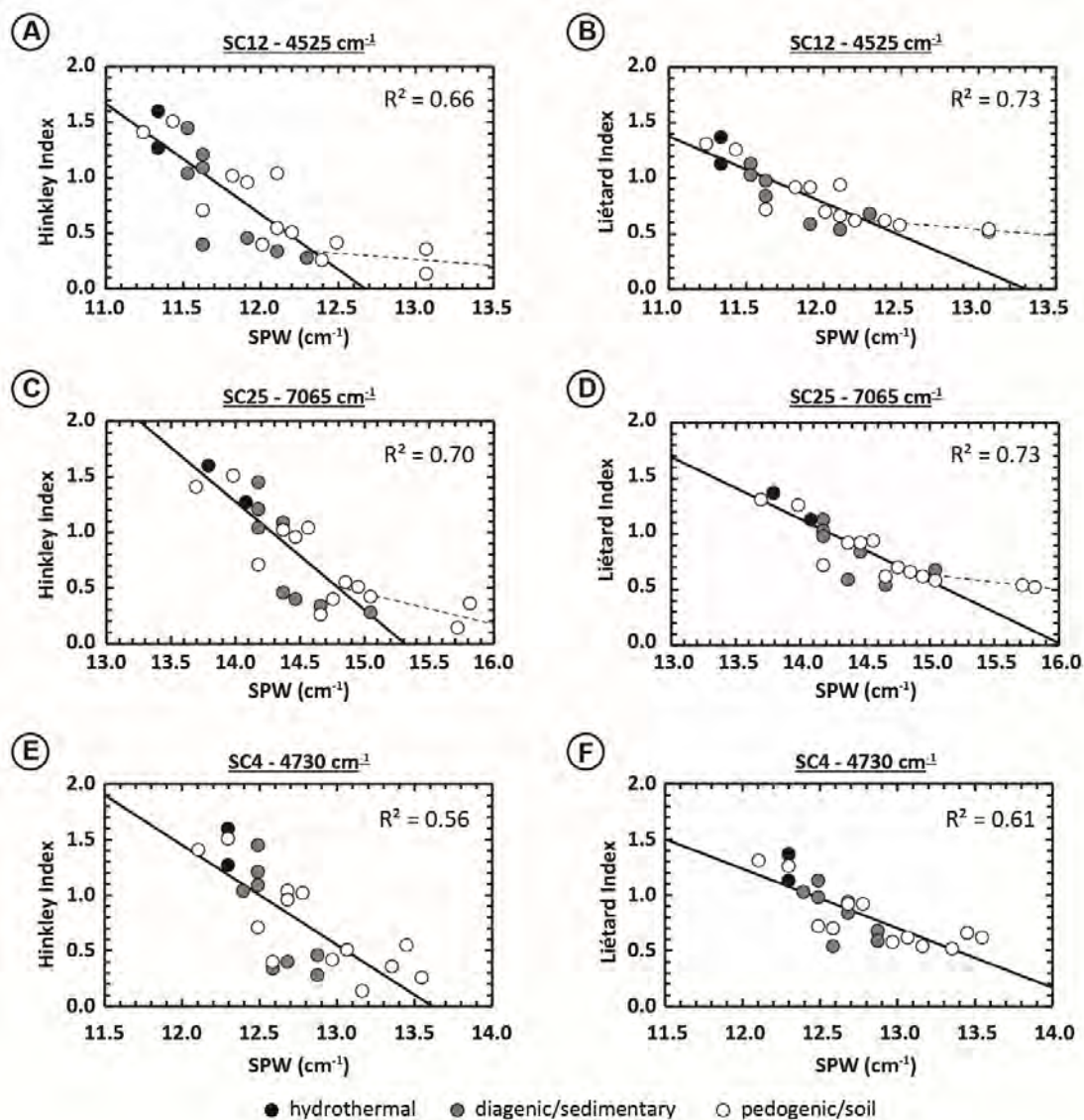
**Figure 8** - Relationship between the different spectral parameters. **(A)** 2<sup>nd</sup> derivative local maxima intensities as function of the shifts in position of the 4560 cm<sup>-1</sup> SC11. **(B)** 2<sup>nd</sup> derivative local maxima intensities as function of the shifts in position of the 7170 cm<sup>-1</sup> SC23. **(C)** SPW values as function of the shifts in position of the 4765 cm<sup>-1</sup> SC3. **(D)** SPW values as function of the shifts in position of the 7170 cm<sup>-1</sup> SC23. **(E)** 2<sup>nd</sup> derivative local maxima intensities as function of the SPW values of the 4525 cm<sup>-1</sup> SC12. **(F)** 2<sup>nd</sup> derivative local maxima intensities as function of the SPW values of the 7065 cm<sup>-1</sup> SC25. Data points are colorized according to the Liétard Index LI values that increase with the crystalline order (key at bottom of spectral plot). Experimental spectral resolution is about 2.0 cm<sup>-1</sup>, numerically resampled to 0.1 cm<sup>-1</sup> using the cubic spline interpolation method.



**Figure 9** - Relationship between the shifts in position of different SCs and XRD indices. (A) Shifts in position of the 4765 cm<sup>-1</sup> SC3 as function of the Hinkley Index. (B) Shifts in position of the 4765 cm<sup>-1</sup> SC3 as function of the Liétard Index. (C) Shifts in position of the 4625 cm<sup>-1</sup> SC8 as function of the Hinkley Index. (D) Shifts in position of the 4625 cm<sup>-1</sup> SC8 as function of the Liétard Index. (E) Shifts in position of the 7115 cm<sup>-1</sup> SC24 as function of the Hinkley Index. (F) Shifts in position of the 7115 cm<sup>-1</sup> SC24 as function of the Liétard Index. Experimental spectral resolution is about 2 cm<sup>-1</sup>, numerically resampled to 0.1 cm<sup>-1</sup> using the cubic spline interpolation method.



**Figure 10** - Relationship between the intensity of the second derivative maxima of different SCs and XRD indices. (A) Intensity variation of the 4525  $\text{cm}^{-1}$  SC12 as function of the Hinkley Index. (B) Intensity variation of the 4525  $\text{cm}^{-1}$  SC12 as function of the Liétard Index. (C) Intensity variation of the 7165  $\text{cm}^{-1}$  SC23 as function of the Hinkley Index. (D) Intensity variation of the 7165  $\text{cm}^{-1}$  SC23 as function of the Liétard Index. (E) Intensity variation of the 7065  $\text{cm}^{-1}$  SC25 as function of the Hinkley Index. (F) Intensity variation of the 7065  $\text{cm}^{-1}$  SC25 as function of the Liétard Index. Experimental spectral resolution is about 2  $\text{cm}^{-1}$ , numerically resampled to 0.1  $\text{cm}^{-1}$  using the cubic spline interpolation method. Note that correlation coefficients for SC23 are calculated excluding the DCV sample (C and D figure parts). Dashed lines running through the DCV highly-ordered sample were drawn to take into account the threshold effect explained in the subsection “Spectral significance of parameters variations” in the “Discussion” section.



**Figure 11** - Relationship between the SPW of different SCs and XRD indices. (A) SPW variation of the 4525 cm<sup>-1</sup> SC12 as function of the Hinkley Index. (B) SPW variation of the 4525 cm<sup>-1</sup> SC12 as function of the Liétard Index. (C) SPW variation of the 7065 cm<sup>-1</sup> SC25 as function of the Hinkley Index. (D) SPW variation of the 7065 cm<sup>-1</sup> SC25 as function of the Liétard Index. (E) SPW variation of the 4730 cm<sup>-1</sup> SC4 as function of the Hinkley Index. (F) SPW variation of the 4730 cm<sup>-1</sup> SC4 as function of the Liétard Index. Experimental spectral resolution is about 2 cm<sup>-1</sup>, numerically resampled to 0.1 cm<sup>-1</sup> using the cubic spline interpolation method. Note that correlation coefficients for SC12 and SC25 are calculated excluding the P2-150 and P1-300 samples (A,B,C and D figure parts). Dashed lines running through the P2-150 and P1-300 poorly-ordered samples were drawn to take into account the threshold effect explained in the subsection “Spectral significance of parameters variations” in the “Discussion” section.

## **Estimating kaolinite crystallinity using near-infrared spectroscopy: implications for its geology on Earth and Mars**

### **Tables**

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**Keywords:** kaolinite, crystallinity, infrared spectroscopy, near infrared, x-ray diffraction, geology, Earth, Mars

## Tables

Geological origin	Sample ID	Locality	HI <sup>1</sup>	LI <sup>2</sup>	References
Hydrothermal	DCV	Decazeville (FR)	1.60	1.37	Gaite et al. (1993); Balan et al. (1999)
	GB1 <sup>III</sup>	St Austell (UK)	1.27	1.13	Sheppard (1977); Cases et al. (1982); Psyrillos et al. (1998)
Sedimentary	A1	Manaus (BRZ)	1.45	1.13	Lucas et al. (1996); Fritsch et al. (2002, 2005, 2011); (Balan et al., 2005, 2007)
	KGa-1a	Georgia (USA)	1.04 <sup>3</sup>	1.03 <sup>3</sup>	Patterson and Murray (1984); Pruett and Webb (1993); Moll (2001)
	KGa-1b	Georgia (USA)	1.09 <sup>4</sup>	n.c	Patterson and Murray (1984); Pruett and Webb (1993); Moll (2001)
	KGa-2	Georgia (USA)	0.28	0.68	Patterson and Murray (1984); Pruett and Webb (1993); Moll (2001)
	BAR <sup>III</sup>	Charentes (FR)	0.40	0.84	Allard et al. (1994); Clozel et al. (1994)
	PDP3	Charentes (FR)	1.21	0.98	Cases et al. (1982); Dubreuilh et al. (1984); Delineau et al. (1994)
	CHA2	Charentes (FR)	0.34	0.54	Cases et al. (1982); Dubreuilh et al. (1984); Delineau et al. (1994)
	Lussagnet <sup>Qtz</sup>	Landes (FR)	0.46	0.59	Cases et al. (1982); Dubreuilh et al. (1984); Delineau et al. (1994)
Pedogenesis	CO2-370	Curicuriari (BRZ)	1.04	0.94	Fritsch et al. (2011); Mathian et al. (2020)
	CO2-410	Curicuriari (BRZ)	0.96	0.92	Mathian et al. (2020)
	CO2-450	Curicuriari (BRZ)	1.02	0.92	Mathian et al. (2020)
	P2-150	Içà Formation (BRZ)	0.36	0.52	Allard et al. (2020)
	P1-300	Içà Formation (BRZ)	0.14	0.54	Allard et al. (2020)
	MA-BCa 200	Karnataka (IND)	0.40	0.70	Mathian et al. (2019)
	MA-270	Karnataka (IND)	0.51	0.62	Mathian et al. (2019)
	MA-BCb 470	Karnataka (IND)	0.55	0.66	Mathian et al. (2019)
	MA-BCc 540	Karnataka (IND)	0.26	0.62	Mathian et al. (2019)
	MA-C1-960	Karnataka (IND)	0.42	0.58	Mathian et al. (2019)
	MA-C3C2-960	Karnataka (IND)	0.71	0.72	Mathian et al. (2019)
	Pénestin <sup>III, Qtz</sup>	Pénestin (FR)	1.51	1.26	Boulvais et al. (2000); Gaudin et al. (2015)
	St-Michel <sup>III, Qtz</sup>	St-Michel-Chef-Chef (FR)	1.41	1.31	Boulvais et al. (2000)

**Table 1** - Details of the kaolinite samples used in this study.

<sup>1</sup> Hinkley Index (Hinckley, 1962)

<sup>2</sup> Liétard Index (Liétard, 1977)

<sup>3</sup> HI and LI values taken from Gaite et al. (1997)

<sup>4</sup> HI value taken from Pruett and Webb (1993)

Other observed mineral phases: <sup>III</sup>: illite – <sup>Qtz</sup>: quartz.

“n.c” for “not calculated.

Country abbreviations: BRZ=Brazil, FR=France, IND=India, UK=United Kingdom, USA=United States of America,.



	SC n°	Contribution center position (cm <sup>-1</sup> )			2 <sup>nd</sup> derivative intensity of center position (a.u.)			Sharpened Peak Width (SPW, cm <sup>-1</sup> )		
		Range	Span	R <sup>2</sup> w. HI / LI (Corr. Dir.)	Range	Span	R <sup>2</sup> w. HI / LI (Corr. Dir.)	Range	Span	R <sup>2</sup> w. HI / LI (Corr. Dir.)
4500 cm <sup>-1</sup> absorption	1	4821.21 - 4827.07	5.86	0.03 / 0.06	8.8E-6 → 1.6E-4	1.5E-4	<i>0.84 / 0.79 (+)</i>	9.51 - 22.48	12.97	0.04 / 0.10
	2	4788.65 - 4792.97	4.32	0.28 / 0.39	2.9E-5 → 2.8E-4	2.5E-4	<i>0.72 / 0.68 (+)</i>	9.80 - 13.74	3.94	0.02 / 0.00
	3	4761.27 - 4771.74	10.47	0.64 / 0.79 (-)	-8.1E-6 → 2.2E-5	3.0E-5	0.02 / 0.01	12.87 - 23.06	10.18	0.33 / 0.41
	4	4732.64 - 4733.89	1.25	0.09 / 0.11	1.7E-4 → 4.3E-4	2.6E-4	0.25 / 0.25	12.10 - 13.55	1.44	0.56 / 0.61 (-)
	5	4703.24 - 4708.72	5.48	0.04 / 0.01	-8.6E-5 → -6.8E-6	7.9E-5	0.54 / 0.60 (+)	11.14 - 24.88	13.74	0.55 / 0.56 (+)
	6 <sup>a</sup>	4675.67 - 4678.65	2.98	0.00 / 0.00	-2.0E-4 → -1.4E-4	5.9E-5	0.49 / 0.48	7.01 - 11.14	4.13	0.18 / 0.25
	7	4642.72 - 4647.81	5.09	0.88 / 0.87 (+)	1.9E-4 → 5.0E-4	3.1E-4	<i>0.78 / 0.76 (+)</i>	12.30 - 14.22	1.92	0.08 / 0.13
	8	4626.10 - 4630.14	4.03	0.76 / 0.78 (-)	2.7E-4 → 5.5E-4	2.8E-4	<i>0.86 / 0.88 (+)</i>	10.95 - 13.55	2.59	0.44 / 0.45
	9 <sup>a</sup>	4603.81 - 4606.69	2.88	0.24 / 0.39	-2.3E-5 → 4.9E-5	7.1E-5	0.06 / 0.03	7.01 - 24.69	17.68	0.39 / 0.45
	10 <sup>a</sup>	4586.33 - 4589.88	3.55	0.80 / 0.09	-1.6E-5 → 1.5E-5	3.1E-5	0.61 / 0.01	10.86 - 13.16	2.31	0.04 / 0.24
	11	4559.14 - 4561.54	2.40	0.85 / 0.86 (-)	3.3E-5 → 4.1E-4	3.8E-4	0.89 / 0.95 (+)	15.56 - 20.27	4.71	0.19 / 0.24
	12 <sup>b</sup>	4525.42 - 4527.06	1.63	0.01 / 0.01	2.2E-3 → 4.5E-3	2.3E-3	0.38 / 0.38	11.24 - 13.07	1.83	<i>0.66 / 0.73 (-)</i>
	13 <sup>a</sup>	4482.19 - 4485.94	3.75	0.12 / 0.07	-3.1E-4 → -2.3E-4	8.0E-5	0.04 / 0.08	19.89 - 26.99	7.11	0.57 / 0.67
	14 <sup>c</sup>	4452.60 - 4464.42	11.82	0.40 / 0.44	1.6E-5 → 5.6E-4	5.5E-4	0.46 / 0.47	10.66 - 14.41	3.75	0.01 / 0.03
	15	4418.21 - 4425.32	7.11	0.01 / 0.01	-1.4E-5 → 2.8E-5	4.2E-5	0.09 / 0.09	8.55 - 16.91	8.36	0.07 / 0.02
7000 cm <sup>-1</sup> absorption	16 <sup>a</sup>	7431.65 - 7439.18	7.52	0.45 / 0.38	-2.8E-5 → 9.9E-6	3.8E-5	0.28 / 0.28	10.70 - 24.01	13.31	0.35 / 0.40
	17	7387.68 - 7393.17	5.50	0.01 / 0.00	4.0E-5 → 2.5E-4	2.1E-4	<i>0.78 / 0.80 (+)</i>	12.63 - 24.50	11.86	0.09 / 0.10
	18	7352.67 - 7359.03	6.37	0.22 / 0.17	2.6E-5 → 9.2E-5	6.7E-5	0.74 / 0.75 (+)	11.28 - 17.55	6.27	0.58 / 0.49 (-)
	19 <sup>a</sup>	7332.61 - 7336.85	4.24	0.18 / 0.22	6.1E-6 → 1.3E-4	1.3E-4	<i>0.84 / 0.86 (+)</i>	11.57 - 13.89	2.31	0.03 / 0.01
	20	7299.05 - 7305.80	6.75	0.74 / 0.83 (-)	-1.4E-5 → 7.7E-5	9.1E-5	0.81 / 0.85 (+)	14.47 - 18.42	3.95	0.08 / 0.02
	21	7270.12 - 7276.00	5.88	0.23 / 0.31	-8.7E-5 → -3.9E-6	8.3E-5	0.77 / 0.86 (+)	8.29 - 19.87	11.57	0.15 / 0.12
	22	7237.04 - 7241.18	4.15	0.23 / 0.26	4.3E-7 → 1.2E-4	1.2E-4	0.01 / 0.01	13.69 - 23.63	9.93	0.38 / 0.34
	23	7167.99 - 7174.16	6.17	0.64 / 0.68 (-)	1.8E-4 → 9.9E-4	8.2E-4	<i>0.92 / 0.93 (+)</i>	16.20 - 32.50	16.30	0.24 / 0.29
	24	7111.38 - 7122.95	11.57	0.86 / 0.87 (+)	1.1E-5 → 1.0E-3	1.0E-3	<i>0.84 / 0.86 (+)</i>	18.03 - 42.14	24.11	0.11 / 0.17
	25 <sup>b</sup>	7064.02 - 7066.14	2.12	0.32 / 0.31	2.5E-3 → 5.3E-3	2.8E-3	0.49 / 0.40	13.69 - 15.82	2.12	<i>0.70 / 0.73 (-)</i>
	26 <sup>c</sup>	7011.17 - 7016.67	5.50	0.26 / 0.26	-7.1E-5 → 3.0E-4	3.7E-4	0.19 / 0.22	14.08 - 34.82	20.73	0.01 / 0.00
	27	6944.73 - 6958.61	13.89	0.15 / 0.25	-1.8E-5 → 2.8E-5	4.7E-5	0.08 / 0.13	8.29 - 31.44	23.15	0.11 / 0.12

**Table 2** - Spectral characteristics of the spectral contributions SCs measured through the second and third derivative analysis. For each calculated spectral parameter, correlation value (R<sup>2</sup>) and direction (negative/positive) are given. Another version of this Table 2 (with nanometers values and 2<sup>nd</sup> derivative intensity variation in decimal format) is available as Supplementary Material SM03.

<sup>a</sup> not observed for all samples.

<sup>b</sup> inner hydroxyls Al<sub>2</sub>OH vibrations.

<sup>c</sup> inner hydroxyls AlFe<sup>3+</sup>OH vibrations.

“Corr. Dir.” (Correlation direction): (-) = negative correlation, (+) positive correlation, no indication when there is no strong correlation.

In italic: correlations calculated by removing the following samples: well-ordered DCV kaolinite sample for intensities, disordered P2-150 & P1-300 kaolinite samples for SPW.

Range = Range in feature position/2<sup>nd</sup> derivative intensity/SPW; Span = Feature position/2<sup>nd</sup> derivative intensity/SPW variation

	SC n°	Intensity vs. Position center (Corr. Dir.)	SPW vs. Position center (Corr. Dir.)	Intensity vs. SPW (Corr. Dir.)
4500 cm <sup>-1</sup> absorption	1	0.03	0.01	<i>0.23</i>
	2	<i>0.46 (-)</i>	<i>0.37</i>	<i>0.02</i>
	3	0.00	<i>0.52 (+)</i>	0.00
	4	0.03	0.00	0.08
	5	0.04	0.06	0.19
	6 <sup>a</sup>	0.00	0.28	0.01
	7	<i>0.71 (+)</i>	0.07	0.02
	8	<i>0.83 (-)</i>	<i>0.39</i>	<i>0.30</i>
	9 <sup>a</sup>	0.15	0.06	0.24
	10 <sup>a</sup>	0.40	0.00	0.17
	11	0.89 (-)	0.23	0.27
	12 <sup>b</sup>	0.00	0.00	0.80 (-)
	13 <sup>a</sup>	0.13	0.34	0.33
	14 <sup>c</sup>	<i>0.32</i>	<i>0.02</i>	<i>0.17</i>
	15	0.15	0.11	0.12
7000 cm <sup>-1</sup> absorption	16 <sup>a</sup>	0.41	0.06	0.16
	17	0.00	<i>0.43 (-)</i>	0.13
	18	<i>0.36</i>	0.05	<i>0.42 (-)</i>
	19 <sup>a</sup>	0.45	0.10	0.06
	20	0.80 (-)	0.01	0.03
	21	0.12	0.01	0.32
	22	0.13	0.00	0.35
	23	<i>0.71 (-)</i>	<i>0.73 (+)</i>	<i>0.31</i>
	24	<i>0.89 (-)</i>	0.15	<i>0.10</i>
	25 <sup>b</sup>	0.09	0.18	0.76 (-)
	26 <sup>c</sup>	0.55 (+)	0.04	0.30
	27	0.07	0.02	<i>0.23</i>

**Table 3** – Correlation coefficients (R<sup>2</sup>) of variations between spectral parameters.

<sup>a</sup> not observed for all samples.

<sup>b</sup> inner hydroxyls Al<sub>2</sub>OH vibrations.

<sup>c</sup> inner hydroxyls AlFe<sup>3+</sup>OH vibrations.

“Corr. Dir.” (Correlation direction): (-) = negative correlation, (+) positive correlation, no indication when there is no strong correlation.

In italic: calculated on an exponential fit.