



**HAL**  
open science

## **Task Agnostic and Task Specific Self-Supervised Learning from Speech with LeBenchmark**

Solène Evain, Manh Ha Nguyen, Hang Le, Marcely Zanon Boito, Salima Mdhaffar, Sina Alisamir, Ziyi Tong, Natalia Tomashenko, Marco Dinarelli, Titouan Parcollet, et al.

### ► **To cite this version:**

Solène Evain, Manh Ha Nguyen, Hang Le, Marcely Zanon Boito, Salima Mdhaffar, et al.. Task Agnostic and Task Specific Self-Supervised Learning from Speech with LeBenchmark. Thirty-fifth Conference on Neural Information Processing Systems ( NeurIPS 2021), Dec 2021, on-line, United States. <hal-03407172>

**HAL Id: hal-03407172**

**<https://hal.science/hal-03407172v1>**

Submitted on 7 Feb 2022

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



HAL Authorization

---

# Task Agnostic and Task Specific Self-Supervised Learning from Speech with *LeBenchmark*

---

Solène Evain<sup>1,\*</sup>, Ha Nguyen<sup>1,2,\*</sup>, Hang Le<sup>1,\*</sup>, Marcelly Zanon Boito<sup>1,2,\*</sup>, Salima Mdhaffar<sup>2,\*</sup>, Sina Alisamir<sup>1,3,\*</sup>, Ziyi Tong<sup>1</sup>, Natalia Tomashenko<sup>2,\*</sup>, Marco Dinarelli<sup>1,\*</sup>, Titouan Parcollet<sup>2,\*</sup>, Alexandre Allauzen<sup>4</sup>, Yannick Estève<sup>2</sup>, Benjamin Lecouteux<sup>1</sup>, François Portet<sup>1</sup>, Solange Rossato<sup>1</sup>, Fabien Ringeval<sup>1</sup>, Didier Schwab<sup>1</sup>, and Laurent Besacier<sup>5</sup>

<sup>1</sup>Univ. Grenoble Alpes, CNRS, Inria, Grenoble INP, LIG, 38000 Grenoble, France

<sup>2</sup>LIA, Avignon Université, France

<sup>3</sup>Atos, Échirolles, France

<sup>4</sup>ESPCI, CNRS LAMSADE, PSL Research University, France

<sup>5</sup>Naver Labs Europe, France

\*Equal contributors

## Abstract

Self-Supervised Learning (SSL) has yielded remarkable improvements in many different domains including computer vision, natural language processing and speech processing by leveraging large amounts of unlabeled data. In the specific context of speech, however, and despite promising results, there exists a clear lack of standardization in the evaluation process for comprehensive comparisons of these models. This issue gets even worse with the investigation of SSL approaches for other languages than English. We present *LeBenchmark*, an open-source and reproducible framework for assessing SSL from French speech data. It includes documented, large-scale and heterogeneous corpora, seven pretrained SSL wav2vec 2.0 models shared with the community, and a clear evaluation protocol made of four downstream tasks along with their scoring scripts: automatic speech recognition, spoken language understanding, automatic speech translation and automatic emotion recognition. For the first time, SSL models are analyzed and compared on the latter domains both from a task-agnostic (*i.e.* frozen) and task-specific (*i.e.* fine-tuned w.r.t the downstream task) perspectives. We report state-of-the-art performance on most considered French tasks and provide a readable evaluation set-up for the development of future SSL models for speech processing.

## 1 Introduction

Self-Supervised Learning (SSL) based on huge amounts of unlabeled data has been explored successfully for image and natural language processing [1, 2, 3, 4]. Recently, researchers investigated SSL from speech as well and successfully improved performance on downstream tasks such as speech recognition [5, 6]. As SSL from speech is a rapidly evolving domain, new models are unfortunately evaluated on different datasets, most of which focus on the English language. In order to carefully assess the progress of speech SSL model-wise and application-wise, common benchmarks are needed. While NLP benchmarking is now widely discussed [7], multi-task benchmarks are less common in speech despite the fact that the field has a long tradition of evaluation (see for instance long-term NIST and DARPA shared tasks for ASR). We propose to contribute to this by providing a reproducible and multifaceted benchmark for evaluating speech SSL models. By *benchmark*, and following the definition of [8], we mean an ensemble of tasks that allow to discriminate learners (*i.e.* SSL models) based on their ability to perform well on those tasks. We propose an initial set of four main tasks (10 sub-tasks overall), measuring specific speech challenges in French language: Automatic Speech

35th Conference on Neural Information Processing Systems (NeurIPS 2021) Track on Datasets and Benchmarks.

Recognition (ASR), Spoken Language Understanding (SLU), Speech Translation (AST) and Emotion Recognition (AER). This enables to assess the impact of pre-trained speech models that differ along several dimensions: language used for pre-training (French, English, multilingual), amount of raw speech used for SSL pre-training (1k, 3k or 7k hours), model size (base, large). For reproducibility, we also provide pre-trained SSL models learned on a large and heterogeneous collection of speech utterances and believe this is a strong contribution to speech technologies in French. This work extends a preliminary proposal [9] with a bigger speech corpus for SSL, more SSL models evaluated and shared, as well as experiments comparing task agnostic models (*i.e.* SSL models trained with pre-training objective on general purpose data) and task specific models (*i.e.* SSL models obtained after task-adaptive pre-training [10] or after fine-tuning for an ASR task). Our website shares models, scripts and results for better transparency and reproducibility of research in speech SSL.<sup>1</sup>

## 2 Background

SSL has been recently proposed as an interesting alternative for data representation learning, as it requires no annotated data. Such learned representations have been very successful in vision [1, 2] and NLP [3, 11]. SSL from speech consists of resolving *pseudo-tasks*, which do not require human annotation, as a pre-training for the real tasks to solve. These *pseudo-tasks* target predicting the next samples, or solving ordering problems. For instance, Autoregressive Predictive Coding (APC) considers the sequential structure of speech and predicts information about a future frame [12, 13], whereas Contrastive Predictive Coding (CPC) distinguishes a future speech frame from distractor samples [5, 14], which is an easier learning objective compared to APC. Such representations have been shown to improve performance in several speech tasks [15], while being less sensitive to domain and/or language mismatch [6] and being transferable to other languages [16]. In 2020, a strong speech SSL baseline appeared: the Wav2Vec2.0 model [17] which relies on the CPC idea of [5, 14] but with *discrete* speech units that are used as latent representations and fed to a Transformer network to build contextualized representations. Several other bi-directional encoders were also proposed recently: Speech-XLNet [18], Mockingjay [19] and [20]. A few recent studies were also related to multilingual SSL models trained on very large multilingual corpora [21, 22].

While there are multiple evaluation benchmarks to assess pretrained models in NLP (see for instance [23] for English, [24] for French and [25] for Korean), we are aware of only two similar initiatives for speech SSL models' evaluation: our own preliminary work [9] and the Speech processing Universal PERFORMANCE Benchmark (SUPERB) [26] which however targets English language only and does not share pre-trained SSL models as we do.

## 3 Gathering a Large and Heterogeneous Speech Collection in French

Recently, large multilingual corpora that include French have been made available, such as MLS [27] (1,096 h), or voxpopuli [22] (+4,500 h). However, these are restricted to either read or well-prepared speech, failing to provide diversity in the speech samples, such as accented, spontaneous and/or affective speech. In this work, we gathered a large variety of speech corpora in French that cover different accents (MLS, African Accented Speech, CaFE), acted emotions (GEMEP, CaFE, Att-Hack), telephone dialogues (PORTMEDIA), read (MLS, African Accented French, MaSS) and spontaneous sentences (CFPP2000, ESLO2, MPF, TCOF, NCCFr), broadcast speech (EPAC) and professional speech (Voxpopuli). Compared to MLS and Voxpopuli, our dataset is more diverse, carefully sourced and contains detailed metadata (speech type, and speaker gender). Moreover, it has a more realistic representation of speech turns in real life, compared to MLS and VoxPopuli (see average utterance duration in Table 1). Statistics are reported in Table 1.

**Pre-processing for SSL training:** Recordings were segmented using time stamps from transcriptions. We retrieved, when available, speaker labels and gender information. Following [17], we removed utterances shorter than 1 s, and longer than 30 s. When possible, overlapping speech sentences were also removed. When necessary, audio segments were converted to mono PCM 16 bits, 16 kHz.

**Small dataset ( $\approx$  1k hours)** is only composed of the MLS corpus for comparison with Wav2Vec2.0 [17] which uses only read English speech. It is also gender balanced.

---

<sup>1</sup><http://lebenchmark.com>

Table 1: Statistics for the speech corpora used to train SSL models according to gender information (male / female / unknown). The small dataset is from MLS only. Every dataset is composed of the previous one + additional data; duration: hour(s):minute(s).

Corpus <sub>License</sub>	# Utterances	Duration	# Speakers	Mean Utt. Duration	Speech type
<b>Small dataset – 1K</b>					
MLS French <sub>CCBY4.0</sub> [27]	263,055	1,096:43	178	15 s	Read
	124,590 / 138,465 / -	520:13 / 576:29 / -	80 / 98 / -	15 s / 15 s / -	
<b>Medium dataset – 3K</b>					
African Accented	16,402	18:56	232	4 s	Read
French <sub>Apache2.0</sub> [28]	373 / 102 / 15,927	- / - / 18:56	48 / 36 / 148	- / - / -	Read
Att-Hack <sub>CCBYNCND</sub> [29]	36,339	27:02	20	2.7 s	Acted
	16,564 / 19,775 / -	12:07 / 14:54 / -	9 / 11 / -	2.6 s / 2.7 s / -	Emotional
CaFE <sub>CCNC</sub> [30]	936	1:09	12	4.4 s	Acted
	468 / 468 / -	0:32 / 0:36 / -	6 / 6 / -	4.2 s / 4.7 s / -	Emotional
CFPP2000 <sub>CCBYNC SA*</sub> [31]	9853	16:26	49	6 s	Spontaneous
	166 / 1,184 / 8,503	0:14 / 1:56 / 14:16	2 / 4 / 43	5 s / 5 s / 6 s	
ESLO2 <sub>NC</sub> [32]	62,918	34:12	190	1.9 s	Spontaneous
	30,440 / 32,147 / 331	17:06 / 16:57 / 0:09	68 / 120 / 2	2 s / 1.9 s / 1.7 s	
EPAC** <sub>NC</sub> [33]	623,250	1,626:02	Unk	9 s	Radio
	465,859 / 157,391 / -	1,240:10 / 385:52 / -	- / - / -	- / - / -	Broadcasts
GEMEP <sub>NC</sub> [34]	1,236	0:50	10	2.5 s	Acted
	616 / 620 / -	0:24 / 0:26 / -	5 / 5 / -	2.4 s / 2.5 s / -	Emotional
MPF [35], [36]	19,527	19:06	114	3.5 s	Spontaneous
	5,326 / 4,649 / 9,552	5:26 / 4:36 / 9:03	36 / 29 / 49	3.7 s / 3.6 s / 3.4 s	
PORTMEDIA <sub>NC</sub> (French) [37]	19,627	38:59	193	7.1 s	Acted telephone dialogue
	9,294 / 10,333 / -	19:08 / 19:50 / -	84 / 109 / -	7.4 s / 6.9 s / -	
TCOF (Adults) [38]	58,722	53:59	749	3.3 s	Spontaneous
	10,377 / 14,763 / 33,582	9:33 / 12:39 / 31:46	119 / 162 / 468	3.3 s / 3.1 s / 3.4 s	
<b>Medium dataset total</b>	<b>1,111,865</b>	<b>2,933:24</b>	-	-	-
	664,073 / 379,897 / 67,895	1,824:53 / 1,034:15 / 74:10	-	-	-
<b>Large dataset – 7K</b>					
MaSS [39]	8,219	19:40	Unk	8.6 s	Read
	8,219 / - / -	19:40 / - / -	- / - / -	8.6 s / - / -	
NCCFr <sub>NC</sub> [40]	29,421	26:35	46	3 s	Spontaneous
	14,570 / 13,922 / 929	12:44 / 12:59 / 00:50	24 / 21 / 1	3 s / 3 s / 3 s	
Voxpopuli <sub>CC0</sub> [22]	568,338	4,532:17	Unk	29 s	Professional speech
Unlabeled	- / - / -	- / - / 4,532:17	- / - / -	- / - / -	
Voxpopuli <sub>CC0</sub> [22] transcribed	76,281	211:57	327	10 s	Professional speech
	- / - / -	- / - / 211:57	- / - / -	- / - / -	
<b>Large dataset total***</b>	<b>1,814,242</b>	<b>7,739:22</b>	-	-	-
	682,322 / 388,217 / 99,084	1,853:02 / 1,041:07 / 4,845:07	-	-	-

\*Composed of audio files not included in the CEFC corpus v2.1, 02/2021; \*\*speakers are not uniquely identified.; \*\*\*Stats of CFPP2000, MPF and TCOF have changed a bit due to a change in data extraction; License: CC=Creative Commons; NC=non-commercial; BY=Attribution; SA= Share Alike; ND = No Derivative works; CC0 = No Rights Reserved

**Medium dataset ( $\approx$  3k hours)** includes 2,933 h of speech, from which 1,115 h is read speech, 1,626 h broadcast speech, 123 h spontaneous speech, 38 h acted telephone dialogues, and 29 h acted emotional speech. Regarding gender, we collected 1,824 h of speech from male speakers, 1,034 h from female speakers, and 74 h from unknown gender.

**Large dataset ( $\approx$  7.7k hours)** has 4 additional corpora: MaSS, NCCFr and Voxpopuli (unlabeled + transcribed). It includes 7,739 h of speech, from which 1,135 h is read speech, 1,626 h broadcast speech, 165 h spontaneous speech, 38 h acted telephone dialogues, 29 h acted emotional speech, and 4744 h professional speech. Except for NCCFr, no info about gender is given in the added datasets.

## 4 Training and Sharing SSL Models

*LeBenchmark* provides seven Wav2Vec2.0 models [17] pretrained on the gathered French data described in Section 3. Following [17], two different Wav2Vec2.0 architectures (*large* and *base*) are coupled with our *small* (1K), *medium* (3K) and *large* (7K) corpus to form our set of Wav2Vec2.0 models: W2V2-Fr-1K-*base*, W2V2-Fr-1K-*large*, W2V2-Fr-3K-*base*, W2V2-Fr-3K-*large*, W2V2-Fr-7K-*base*, W2V2-Fr-7K-*large*. We also provide a specific model (W2V2-Fr-2.7K-*base*) trained on a subset of our *medium* set only containing MLS and EPAC (2.7K hours of audio) to enable further investigation on the impact of spontaneous speech on SSL representations.

Hyperparameters and architectures for *base*<sup>2</sup> and *large*<sup>3</sup> are identical to the ones first introduced in [17]. W2V2-Fr-1K, W2V2-Fr-3K, W2V2-Fr-2.7K and W2V2-Fr-7K are trained respectively for 200K, 500K, 500K and 500K updates on 4, 32, 32 and 64 Nvidia Tesla V100 (32GB), with one

<sup>2</sup>[https://github.com/pytorch/fairseq/blob/main/examples/wav2vec/config/pretraining/wav2vec2\\_base\\_librispeech.yaml](https://github.com/pytorch/fairseq/blob/main/examples/wav2vec/config/pretraining/wav2vec2_base_librispeech.yaml)

<sup>3</sup>[https://github.com/pytorch/fairseq/blob/main/examples/wav2vec/config/pretraining/wav2vec2\\_large\\_librivox.yaml](https://github.com/pytorch/fairseq/blob/main/examples/wav2vec/config/pretraining/wav2vec2_large_librivox.yaml)

update corresponding to a call to the `.backward()` function in PyTorch. Detailed summary of the hyperparameters used to train our SSL models can be found in Table 2. In practice, training is stopped at a round number of updates once the loss observed on the development set of the MLS corpus reaches a stable point (learning curves are given in Appendix A.1).

Pre-trained Wav2Vec2.0 models are shared with the community via HuggingFace<sup>4</sup> for further integration with well-known toolkits such as SpeechBrain [41], Fairseq [42] or Kaldi [43].

Pre-existing Wav2Vec2.0 models obtained from Fairseq<sup>5</sup> are also considered in downstream experiments. First, XLSR-53-*large* is used as a comparison to multilingual models. Then, W2V2-*En-base* and W2V2-*En-large* (LS960) are used to assess English representations from LibriSpeech.<sup>6</sup>

Table 2: Hyperparameters of our pre-trained SSL models

Model	Training data	Transformer blocks	Model dimension	Inner dimension	Heads	Updates
W2V2-Fr-1K- <i>base</i>	1,096 h	12	768	3,072	8	200K
W2V2-Fr-1K- <i>large</i>	1,096 h	24	1024	4,096	16	200K
W2V2-Fr-2.7K- <i>base</i>	2,773 h	12	768	3,072	8	500K
W2V2-Fr-3K- <i>base</i>	2,933 h	12	768	3,072	8	500K
W2V2-Fr-3K- <i>large</i>	2,933 h	24	1024	4,096	16	500K
W2V2-Fr-7K- <i>base</i>	7,739 h	12	768	3,072	8	500K
W2V2-Fr-7K- <i>large</i>	7,739 h	24	1,024	4,096	16	500K

## 5 Benchmarking SSL Models

We benchmark SSL models on four different tasks (ASR, SLU, AST and AER) chosen with respect to following criteria: (a) diversity of problems: regression (AER), sequence labelling (SLU) and conditional natural language generation (ASR, AST), (b) diversity of information extracted: transcript (ASR), semantics (SLU), translation (AST) and paralinguistics (AER), and (c) diversity of annotated resources available for downstream task: large (ASR), medium (SLU, AST), small (AER). As our goal is to evaluate the impact of SSL for the best baselines for each task addressed, we have a different architecture for each task and it corresponds to the best baseline performance we could obtain using MFCC/FBANK features. As a different architecture/approach is used for each task, we evaluate the different SSL models as feature extractors for these tasks. These ‘SSL extractors’ are either ‘task agnostic’ or ‘task specific’ (SSL models fine-tuned on the task data) as further explained below.

### 5.1 Automatic Speech Recognition (ASR)

SSL for ASR is evaluated using both hybrid DNN-HMM and end-to-end approaches. In addition to the source code used to make these ASR experiments (training + decoding), *LeBenchmark* provides a normalization script for French output text derived from the one applied during the official French ESTER and ETAPE evaluation campaigns [44] and a unique script to compute the Word Error Rate (WER) from ASR output.

**Datasets** The ASR tasks target two different types of corpora: Common Voice [45] and ETAPE [44]. Common Voice is a very large crowd-sourced corpus (477 h) of read speech in French with transcripts – train: 428 h, dev: 24 h, and test: 25 h, while ETAPE is a smaller (36 h) but more challenging corpus composed of diverse French TV broadcast programs – train: 22 h, dev: 7 h, and test: 7 h.

**Hybrid DNN-HMM** The acoustic models (AM) are trained on 40-dimensional high-resolution (*hires*) MFCC features or SSL features using the Kaldi toolkit [43] with a state-of-the-art factorized time delay neural network (TDNN-F) architecture [46, 47] on the ETAPE training corpus [44] only. More details about the AM architecture are given in Appendix A.2.1. Two trigram LMs were used in

<sup>4</sup><https://huggingface.co/LeBenchmark>

<sup>5</sup><https://github.com/pytorch/fairseq/tree/master/examples/wav2vec>

<sup>6</sup>For the sake of conciseness, we remove the prefix W2V2- in all our results table.

Table 3: ASR results (WER,%) on the ETAPE corpus for hybrid DNN-HMM AM with TDNN-F topology. Gray numbers indicate 95% confidence intervals.<sup>8</sup>

Language Model	ETAPE		ESTER-1.2 + EPAC	
Features	Dev	Test	Dev	Test
hires MFCC	36.89±0.66	38.50±0.71	29.56±0.70	31.93±0.75
(a) Task-agnostic pre-training				
En- <i>large</i>	37.68±0.71	40.31±0.75	30.51±0.73	33.32±0.79
XLSR-53- <i>large</i>	34.28±0.69	36.03±0.72	27.01±0.68	29.64±0.77
Fr-1K- <i>base</i>	38.91±0.72	41.53±0.80	32.26±0.74	35.69±0.82
Fr-1K- <i>large</i>	38.77±0.71	40.69±0.67	32.29±0.73	34.91±0.79
Fr-2.7K- <i>base</i>	32.35±0.66	34.43±0.72	26.65±0.67	29.31±0.74
Fr-3K- <i>base</i>	31.98±0.66	33.61±0.73	25.83±0.66	27.82±0.74
Fr-3K- <i>large</i>	31.85±0.64	33.46±0.69	26.54±0.65	28.56±0.72
Fr-7K- <i>base</i>	31.96±0.67	33.36±0.72	26.03±0.67	27.09±0.76
Fr-7K- <i>large</i>	<b>28.75±0.62</b>	<b>30.30±0.68</b>	<b>23.62±0.63</b>	<b>25.64±0.70</b>
(c) Task-specific pre-training (fine-tuned for ASR on ETAPE)				
Fr-2.7K- <i>base</i>	32.34±0.64	34.46±0.73	26.44±0.66	29.11±0.75
Fr-3K- <i>base</i>	31.89±0.64	33.47±0.71	26.12±0.66	28.03±0.75
Fr-3K- <i>large</i>	<b>28.82±0.62</b>	<b>30.19±0.67</b>	23.67±0.62	<b>25.22±0.70</b>
Fr-7K- <i>base</i>	31.70±0.65	33.32±0.73	25.84±0.67	28.24±0.76
Fr-7K- <i>large</i>	28.84±0.61	30.29±0.66	<b>23.44±0.62</b>	25.36±0.70

evaluation: (1) trained on ESTER-1.2 and EPAC training data (with a 82k vocabulary) and (2) trained on ETAPE training data only (with a smaller 17.5k vocabulary).

**End-to-End** Our end-to-end (e2e) systems are implemented with SpeechBrain toolkit [41]. The baseline e2e system is fed by 80-dimension log Mel filterbank (MFB) features and based on an encoder/decoder architecture with attention. When used with a SSL pre-trained Wav2Vec2.0 model, the e2e system simply adds an additional hidden layer and an output layer on top of Wav2Vec2.0 architecture. Details are given in Appendix A.2.2.

**Results** The WER results on the ETAPE development and test data sets for the hybrid DNN-HMM models are given in Table 3. Among the models trained on SSL features (Table 3, (a)) 6 models provide improvement over the baseline AM trained on MFCC features: XLSR-53, Fr-2.7k-*base*, Fr-3k-*base*, Fr-3k-*large*, Fr-7k-*base*, and Fr-7k-*large*. The best SSL features are the ones from the Fr-7k models and they clearly outperform the multilingual XLSR-53-*large*. In the case of task-specific pre-training,<sup>7</sup> we were not able to significantly improve the best results compared to task-agnostic pre-training. This is probably due to the fact that the obtained representations are not very different in both cases. These results can be compared to the ones obtained by the best ASR system during the official ETAPE shared task: by using 511h of training data (external training data were allowed), their ASR system got a word error rate of 23.6% [48], while in the experiments presented in this paper, only 22h of ETAPE training data were used. In the next paragraph, we investigate e2e fine-tuning of the models using transcribed speech.

Table 4 presents the results achieved with e2e ASR systems on French Common Voice 6.1 and on ETAPE. Before the use of Wav2vec2.0 models for ASR, the baseline MFB-based system (first line) was the state-of-the-art e2e model on CommonVoice/French. Other lines of table present different Wav2vec2.0 models fine-tuned on labeled ASR data from CommonVoice or ETAPE. Wav2vec2.0 *base* and *large* models provided by *LeBenchmark* outperform clearly En-*large* and XLSR-53-*large* models. The best model is Fr-3K-*large*, pretrained on a smaller training dataset than Fr-7K-*large*, and it provides the best results on all the experiments. We analyze gender performance in Appendix A.3 and show that female WER is systematically lower than male WER for all systems. Even for our Fr-3K SSL models trained with 38% of female speech only, female WER are particularly low.

## 5.2 Spoken Language Understanding (SLU)

**Dataset.** Spoken Language Understanding (SLU) aims at extracting a semantic representation from a speech signal in human-computer interaction applications [50, 51, 52, 53, 54]. Given the difficulty of

<sup>7</sup>Since two types of task-specific pre-training will be provided for SLU and AST, for ASR we only experimented with fine-tuning SSL models for ASR on ETAPE and then using them as feature extractors.

<sup>8</sup>Error margins corresponding to 95% confidence intervals were computed using bootstrap re-sampling as proposed in [49].

Table 4: End-to-end ASR results (WER%) on Common Voice and ETAPE corpora, with pre-trained wav2vec2.0 models further fine tuned on labeled ASR data.

Corpus	CommonVoice		ETAPE	
Features	Dev	Test	Dev	Test
MFB	17.67±0.37	20.59±0.41	54.03±1.33	54.36±1.32
En-large	12.05±0.23	14.17±0.52	42.14±0.72	44.82±0.74
XLSR-53-large	16.41±0.27	19.40±0.29	58.55±0.65	61.03±0.70
Fr-2.7K-base	11.04±0.27	13.09±0.24	26.23±0.78	29.08±0.80
Fr-3K-base	11.25±0.23	13.22±0.24	26.14±0.70	28.86±0.79
Fr-3K-large	<b>8.34±0.18</b>	<b>9.75±0.20</b>	<b>23.51±0.68</b>	<b>26.14±0.77</b>
Fr-7K-base	10.84±0.21	12.88±0.24	25.13±0.68	28.16±0.79
Fr-7K-large	8.55±0.18	9.94±0.21	24.14±0.70	27.25±0.78

Table 5: End-to-end SLU decoding results (Concept Error Rate %) on the MEDIA corpus.

Features	Dev	Test
(from [9]) spectrogram	33.63±1.28	34.76±0.83
spectrogram	<b>29.07±1.31</b>	<b>31.10±0.83</b>
<b>(a) Task agnostic pre-training</b>		
En-base	22.38±1.24	20.84±0.68
En-large	23.31±1.31	25.26±0.77
Fr-1K-base	22.89±1.26	23.27±0.76
Fr-1K-large	20.10±1.10	20.66±0.72
Fr-2.7K-base	18.63±1.13	18.42±0.65
Fr-3K-base	19.44±1.11	18.56±0.67
Fr-3K-large	<b>15.96±1.02</b>	<b>15.95±0.62</b>
Fr-7K-base	20.70±1.07	18.86±0.68
Fr-7K-large	17.25±1.02	16.35±0.66
XLSR-53-large	18.45±1.15	18.78±0.66
<b>(b) Task specific pre-training (self-supervised on MEDIA)</b>		
Fr-3K-large	15.93±1.01	<b>14.94±0.60</b>
Fr-7K-large	<b>15.42±1.03</b>	15.17±0.60
XLSR-53-large	16.77±1.09	15.56±0.61
<b>(c) Task specific pre-training (fine-tuned for ASR on MEDIA)</b>		
Fr-3K-large	<b>14.49±1.06</b>	13.97±0.59
Fr-7K-large	14.58±1.01	<b>13.78±0.58</b>
XLSR-53-large	16.05±1.05	15.46±0.60

creating an open-domain SLU application, many works focus on specific domains. We focus on the hotel information and reservation domain provided within the French corpus MEDIA [55, 56]. This corpus is made of 1 250 human-machine dialogues acquired with a *Wizard-of-Oz* approach, where 250 users followed 5 different reservation scenarios. Spoken data were manually transcribed and annotated with domain concepts, following a rich ontology. The official corpus split is made up of 12,908 utterances (41.5 h) for training, 1,259 utterances (3.5 h) for development and 3,005 utterances (11.3 h) for test. We note that, while all turns have been manually transcribed and can be used to train ASR models, only user turns have been annotated with concepts and can be used to train SLU models. This results in only 41.5 hours of speech training data for ASR models, and only 16.8 hours for SLU models.

**Experiments.** All our models are based on LSTM [57] seq2seq with attention [58]. Model details and training strategy are described in Appendix A.2.3. We use a total of 3 bidirectional LSTM layers of size 256 stacked in a pyramidal fashion in our encoder and the LSTM decoder has 2 layers of size 256. In addition to using spectrogram features and features from task agnostic SSL models, we also use features from task specific models (SLU on MEDIA). Two types of task-specific pre-training are performed: *self-supervised* which consists in resuming the SSL model training using the MEDIA training data and minimizing the *Wav2Vec 2.0* loss (*(b) self-supervised on MEDIA* in the table, also called task-adaptive pre-training in [10]); and *ASR supervised* (*(c) fine-tuned for ASR on MEDIA* in the table) which consists in fine-tuning the full SSL model for a supervised downstream task with a CTC loss minimization objective [59]. In this work we chose to fine-tune models with respect to the ASR task on MEDIA (not the SLU one) to see how it compares to self-supervised fine-tuning. We leave fine-tuning with respect to SLU for future work.

**Results** for SLU obtained with different speech representations are shown in Table 5. They are given in terms of Concept Error Rate (CER), computed the same way as Word Error Rate (WER) but on concept sequences. CER are accompanied by standard deviations (in gray), computed with the bootstrap method of [49]. We provide ASR results in supplementary material (table 10). We first note that our *spectrogram* baseline obtains a substantial improvement over the one in [9]. Such gain is due to the slightly different settings and model architecture described in the Appendix. Using SSL model features as input resulted in an impressive drop in CER, even when using English SSL models (CER from 31.10 to 20.84 on the test set with the *base* model). At best, among task-agnostic pre-trained models, we achieve a CER of 15.95 on the test data with Fr-3K-*large* features. Surprisingly, using features from the model trained with 7k hours of speech (Fr-7K-*large*), results are worse on both dev and test. In contrast, the 7k-model led to the best results in terms of ASR evaluation (see Table 10 in the Appendix). We performed task-specific pre-training only with the most effective SSL models: French 3k and 7k models and multi-lingual XLSR-53-*large*. The best overall pre-trained model is the 7k-model fine-tuned for ASR on MEDIA, though results are close to those obtained with features from the 3k-model (13.97 vs. 13.78). Indeed, significance tests in table 11 in the Appendix confirm that these two models are equivalent and they are significantly better than all the others. This shows that pre-trained SSL speech models can be specialized using task specific pre-training with either self-supervised learning on raw speech (block (b) in the table), or fine-tuning on raw speech and associated transcripts (block (c) in the table), the latter being slightly better than the former.

### 5.3 Automatic Speech-to-text Translation (AST)

Automatic speech-to-text translation (AST) consists in translating a speech utterance in a source language to a text in a target language. In this work, we are interested in translating directly from French speech to text in another language.

**Dataset** We selected subsets having French as the source in the multilingual TEDx dataset [60]. Our benchmark covers translation directions from French to three target languages: English (en), Spanish (es), and Portuguese (pt), with following training sizes 50 h (en), 38 h (es), and 25 h (pt).

**Experiments** Our baselines are models using 80-dimensional MFB features. For learned representations derived from SSL models, we focused on the feature extraction approach where features are extracted from either task-agnostic or task-specific pre-training. Task-agnostic pre-training refers to the direct use of SSL models as feature extractors whereas task-specific method consists in one additional phase where the SSL models are further trained on the in-domain task data, with (supervised fine-tuned) or without (self-supervised fine-tuned) labels. We performed supervised fine-tuning with speech transcriptions as labels and leave supervised fine-tuning with AST data for future work. In the task-specific scenario, we only considered three SSL models: two best French SSL models (Fr-3K-*large* and Fr-7K-*large*) and one best non-French SSL model (XLSR-53-*large*). Since the French speech is overlapped between the language pairs, we selected the pair having the most speech data (fr-en) to perform task-specific pre-training and used the obtained models to extract features for the remaining pairs (fr-es and fr-pt). For a fair comparison, we did not use additional data augmentation technique nor ASR encoder pre-training in the experiments. We refer to Appendix A.2.4 for details on the model architecture and implementation.

**Results** Table 6 displays the results of AST experiments. One can observe that SSL features, whether task-agnostic or task-specific and whether being pre-trained on English, French, or multilingual data, outperform the baselines using MFB features by a large margin (except for the task-agnostic multilingual model XLSR-53 on the two pairs fr-es and fr-pt, which are in very low-resource settings). Among the three groups using SSL features (task-agnostic pre-training, task-specific self-supervised, and task-specific fine-tuned for ASR), the ASR fine-tuning approach (c) yields the best results. We observe considerable improvements from task-specific self-supervised (b) to task-specific fine-tuned (c) (+6.19, +8.50, +8.53 on average for en, es, and pt, respectively) while the benefits of using self-supervised fine-tuning compared to task-agnostic pre-training are only marginal or even slightly negative. The substantial gains when using supervised fine-tuning approach (even with a somehow indirect signal which is transcripts for the AST downstream task) shows that giving more signals of the task-specific data to the SSL models is helpful. In particular, in the case of task-specific self-supervised fine-tuning (b), we further trained the SSL models for more steps on the raw task-specific data whereas in ASR fine-tuned scenario (c), we used raw data plus the transcripts to guide the SSL models. Focusing on task-agnostic block (a), we see that French SSL models

Table 6: BLEU on valid and test sets of multilingual TEDx (mTEDx). The highest value in each group (task-agnostic pre-training, task-specific self-supervised, and supervised fine-tuning) is underlined while the best value in each column is highlighted in **bold**. Gray numbers denote the standard deviation computed using bootstrap re-sampling [61].

Features	Valid			Test		
	en	es	pt	en	es	pt
MFB	1.15 $\pm$ 0.17	0.67 $\pm$ 0.15	0.61 $\pm$ 0.13	1.10 $\pm$ 0.14	0.87 $\pm$ 0.12	0.32 $\pm$ 0.03
<b>(a) Task agnostic pre-training</b>						
En- <i>base</i>	5.54 $\pm$ 0.27	1.30 $\pm$ 0.17	0.54 $\pm$ 0.11	5.20 $\pm$ 0.28	1.47 $\pm$ 0.15	0.38 $\pm$ 0.05
En- <i>large</i>	4.11 $\pm$ 0.25	1.67 $\pm$ 0.20	0.32 $\pm$ 0.03	3.56 $\pm$ 0.22	2.29 $\pm$ 0.18	0.43 $\pm$ 0.05
Fr-1K- <i>base</i>	9.18 $\pm$ 0.36	5.09 $\pm$ 0.27	0.39 $\pm$ 0.05	8.98 $\pm$ 0.36	5.64 $\pm$ 0.30	0.49 $\pm$ 0.08
Fr-1K- <i>large</i>	15.31 $\pm$ 0.46	13.74 $\pm$ 0.43	8.29 $\pm$ 0.34	14.46 $\pm$ 0.46	14.77 $\pm$ 0.46	9.37 $\pm$ 0.38
Fr-2.7K- <i>base</i>	15.09 $\pm$ 0.49	13.27 $\pm$ 0.43	4.72 $\pm$ 0.27	14.69 $\pm$ 0.48	14.04 $\pm$ 0.43	5.51 $\pm$ 0.28
Fr-3K- <i>base</i>	15.05 $\pm$ 0.49	13.19 $\pm$ 0.44	4.44 $\pm$ 0.29	14.80 $\pm$ 0.47	14.27 $\pm$ 0.44	4.72 $\pm$ 0.25
Fr-3K- <i>large</i>	17.94 $\pm$ 0.51	16.40 $\pm$ 0.49	8.64 $\pm$ 0.34	18.00 $\pm$ 0.51	18.12 $\pm$ 0.48	9.55 $\pm$ 0.36
Fr-7K- <i>base</i>	15.13 $\pm$ 0.45	12.78 $\pm$ 0.40	2.65 $\pm$ 0.20	14.50 $\pm$ 0.45	13.61 $\pm$ 0.44	2.66 $\pm$ 0.23
Fr-7K- <i>large</i>	<u>19.23</u> $\pm$ 0.54	<u>17.59</u> $\pm$ 0.49	<u>9.68</u> $\pm$ 0.37	<u>19.04</u> $\pm$ 0.53	<u>18.24</u> $\pm$ 0.49	<u>10.98</u> $\pm$ 0.41
XLSR-53- <i>large</i>	7.81 $\pm$ 0.33	0.49 $\pm$ 0.13	0.43 $\pm$ 0.07	6.75 $\pm$ 0.29	0.52 $\pm$ 0.08	0.36 $\pm$ 0.05
<b>(b) Task specific pre-training (self-supervised on mTEDx)</b>						
Fr-3K- <i>large</i>	18.54 $\pm$ 0.53	16.40 $\pm$ 0.48	8.81 $\pm$ 0.36	18.38 $\pm$ 0.52	17.84 $\pm$ 0.48	10.57 $\pm$ 0.41
Fr-7K- <i>large</i>	<u>19.65</u> $\pm$ 0.55	<u>17.53</u> $\pm$ 0.47	<u>9.35</u> $\pm$ 0.36	<u>19.36</u> $\pm$ 0.54	<u>18.95</u> $\pm$ 0.53	<u>10.94</u> $\pm$ 0.38
XLSR-53- <i>large</i>	6.83 $\pm$ 0.33	0.54 $\pm$ 0.14	0.34 $\pm$ 0.03	6.75 $\pm$ 0.32	0.34 $\pm$ 0.03	0.29 $\pm$ 0.03
<b>(c) Task specific pre-training (fine-tuned for ASR on mTEDx)</b>						
Fr-3K- <i>large</i>	21.09 $\pm$ 0.53	19.28 $\pm$ 0.53	14.40 $\pm$ 0.47	21.34 $\pm$ 0.58	21.18 $\pm$ 0.52	16.66 $\pm$ 0.49
Fr-7K- <i>large</i>	<b>21.41</b> $\pm$ 0.51	20.32 $\pm$ 0.49	<b>15.14</b> $\pm$ 0.48	<b>21.69</b> $\pm$ 0.58	<b>21.57</b> $\pm$ 0.52	<b>17.43</b> $\pm$ 0.52
XLSR-53- <i>large</i>	21.09 $\pm$ 0.54	<b>20.38</b> $\pm$ 0.56	14.56 $\pm$ 0.45	20.68 $\pm$ 0.53	21.14 $\pm$ 0.55	17.21 $\pm$ 0.54

clearly outperform those pre-trained on English and multilingual data. Multilingual XLSR-53 model surpasses the English models on fr-en, yet all of them fail to generate meaningful translations on fr-es and fr-pt where little training data is available. Comparing across different French SSL model sizes (base vs. large), the large architecture yields considerable improvement (nearly 3 to 6 BLEU points) over its base counterpart. When looking into the French SSL models with different amounts of pre-training data (1K, 2.7K, 3K, and 7K), we observe large gains for the base architecture from using 1K to using 2.7K or more pre-training data. There is, however, no significant difference between base models using 2.7K, 3K, and 7K data. Using 7K data even hurts the performance on the pair fr-pt. On the other hand, for the large network, using more data consistently improves the performance on all language pairs. Finally, moving on to task-specific models, Fr-7K-*large* is the best-performing model (or being on par with the best one) in each group. Noticeably, there is a huge improvement when using the ASR fine-tuning approach (c) for the multilingual XLSR-53 model. The method considerably boosts the performance of the multilingual model (compared to using it directly or further pre-training it on the task data) and makes it even on par with the best French SSL models.

## 5.4 Automatic Emotion Recognition (AER)

Automatic Emotion Recognition (AER) research mostly relies on detecting either different emotion categories such as happiness or sadness, or different emotion dimensions such as arousal and valence. Here, we use sequence-to-sequence models on continuous dimensions of emotion.

**Datasets** We use RECOLA [62] and AlloSat [63] datasets as in [9]. RECOLA is a well-known corpus for benchmarking emotion recognition systems, which contains recordings of spontaneous interactions between French-speaking subjects in lab environments. AlloSat is a more recent dataset that contains real-life call center conversations in French. Both datasets are time-continuously annotated by several annotators. The different annotations are averaged to define an emotional dimension *gold-standard*: arousal (from passive to active) and valence (from negative to positive) for RECOLA with a sampling rate of 25 Hz, and a dimensional axis ranging from frustration to satisfaction for AlloSat with a sampling rate of 4 Hz.

**Experiments** In addition to using SSL features, we extracted 40-dimensional MFB features normalized to have zero mean and unit standard deviation over the training set. We used simple regression

Table 7: Concordance Correlation Coefficient of emotion predictions on the RECOLA and AlloSat test sets.

Features	Corpus - Task								
	RECOLA - Arousal			RECOLA - Valence			AlloSat - Satisfaction		
	Model								
	LinTh	GRU-32	GRU-64	LinTh	GRU-32	GRU-64	LinTh	GRU-32	GRU-64
MFB	.139	.655	.649	.107	.373	.421	.121	.611	.612
En-large	.465	.517	.542	.154	.220	.221	.102	.490	.480
XLSR-53-large	.237	.661	.669	.005	.322	.200	.242	.578	.582
Fr-1K-base	.505	.654	.661	<b>.243</b>	.331	.301	.403	.641	.558
Fr-1K-large	.507	.709	.708	.196	<b>.555</b>	.234	.175	.601	.597
Fr-2.7K-base	<b>.521</b>	<b>.720</b>	<b>.741</b>	.208	.498	<b>.530</b>	<b>.437</b>	.646	.687
Fr-3K-base	.474	.700	.686	.183	.388	.228	.356	<b>.732</b>	<b>.740</b>
Fr-3K-large	.378	.267	.349	.130	.202	.033	.009	.468	.473
Fr-7K-base	.502	.700	.702	.214	.406	.358	.394	.653	.653
Fr-7K-large	.310	.203	.078	.020	.214	.068	.007	.510	.474

models similar to the ones presented in [9]. The LinTh model only consists of a linear layer followed by a tangent hyperbolic function and the GRU models are 1-layer GRU with the hidden layer  $D = [32, 64]$ , followed by the LinTh layer. Evaluation metric is Concordance Correlation Coefficient [64] between model predictions and human annotations, as in [65, 66].

**Results** are presented in Table 7. One noticeable result is that, while MFB features cannot reach acceptable performance with the simple LinTanh model, SSL features achieve much better results. As the models get more complex (GRU-32 and GRU-64), the advantage of using SSL features compared to MFB features is less clear. This shows the effectiveness of providing higher level representations (SSL) for AER only when a less complex model (LinTanh) is used. One interesting finding is the ability of the Fr-2.7k-base feature to reach close to best results for most cases even though this SSL model has only been trained on non-emotional speech (Fr-2.7K-base is trained on a subset of our *medium* set where spontaneous and emotional speech were removed and only read speech was left). Also, since these models are not always better than MFB features when using a more complex model, might show that even though SSL models are able to reach higher level information than MFB, they struggle to extract information related to emotion. We should however highlight the fact that pre-training of 3k models involved less than 1% emotional data (cf. Table 13). Moreover, Fr-1k models, which also only use read speech (but using less data), perform mostly better than Fr-3k and Fr-7k models, which were trained on data containing spontaneous and emotional speech. This shows that by using more data to train SSL models, if mostly non-emotional, we cannot expect better results for the task of emotion recognition. We also observe large variations of performance from one SSL model to another, probably because AER is a very low resource task in this setting. It is thus difficult to conclude on the effectiveness of our SSL models trained on French data compared to the ones trained on multi-lingual or English data. Finally, task-specific pre-training attempts (not reported here) were also made on RECOLA with Fr-3k models but in both self-supervised and ASR based fine-tuning scenarios models did not converge. Further investigations are needed in order to better understand this behavior.

## 6 Discussion

**On societal and environmental impacts.** As an increasing number of NLP papers discussed the potential biases and harms of pre-trained language models and call for more careful design of datasets [67], we set up our large speech corpus with the objective of limiting those in the shared SSL models. First, our speech dataset is carefully documented with relevant metadata (see Table 1) so that it is feasible to analyze the diversity of existing speech sources in terms of social contexts represented (gender, accent, style). As far as gender balance is concerned, we did not manage to have an exact parity in SSL data (our 1k and 3k models have 52% and 38% of female speakers respectively; bigger 7k model do not have enough gender metadata to allow a correct evaluation of gender balance) but we believe the corpus is diverse enough as it was observed that ASR systems, for instance, are overall robust to a certain degree of gender imbalance in the training data [68] (and our gender analysis for ASR confirms this). Also it is worth mentioning that one corpus in our dataset (TCOF) may contain

offensive speech but we believe this is not a problem as we only distribute the SSL models (not the signal). License information is also displayed for *all* sub-corpora (see Table 1). As environmental impact has been highlighted for NLP recently [69], we used for training SSL models the CNRS Jean Zay supercomputer<sup>9</sup> which is a low carbon data center situated in a low carbon area (France). In particular, and following the carbon footprint methodology given in [70], we estimate that 270kg of CO<sub>2</sub> was emitted to train our largest 7K model. In comparison, *GPT-3* may emit 10 Tons of CO<sub>2</sub> while being trained in France (*i.e.* lower carbon rate than the USA) [71]. Sharing our seven models mitigates this impact by alleviating multiple training from the community.

**LeBenchmark** We have set up a website<sup>10</sup> for *LeBenchmark* with the aim to: (a) link to the pre-trained models and scripts to reproduce experiments presented in this paper, (b) keep track, through a *Leaderboard*, of future papers and results that would use our evaluation framework, and (c) support contributions for other languages in order to grow *LeBenchmark* dynamically.

**Takeaways** After training our own SSL models for French, we evaluated them on 4 speech tasks (ASR, SLU, AST, and AER). For all of them SSL models were beneficial with respect to conventional filterbank of MFCC features. Tasks such as SLU improved drastically with SSL. We also observed that low and medium resource tasks (SLU and AST) and simpler neural architectures (AER with LinTh) benefited more from task-agnostic SSL features than high resource tasks (ASR). We verified the impact of the language used for pre-training: French SSL models are better than multilingual or English SSL models for ASR, SLU and AST in French. SSL architecture size also matters as *large* models obtained the best performance compared to *base* ones for ASR, SLU and AST. Regarding amount of SSL pre-training data, setting aside AER for which we observe a lot of variability, training on 3k hours is beneficial compared to 1k but jumping further to 7k is less conclusive (*i.e.* improves ASR and AST only, not SLU). As task-agnostic SSL pre-training already provides strong results, we demonstrated that performance can be further improved using task specific pre-training: adding a few iterations of self-supervised pre-training on task specific data allows to improve SLU and AST performance. If transcribed speech is available, it is even better to fine-tune SSL models for ASR on data of interest and then use the obtained model as feature extractor for a downstream task. This worked well for SLU and AST and is, to our knowledge, the first time such a task-specific pre-training is efficiently applied to non-ASR speech systems. Finally, while some SSL models were beneficial to AER, this task needs more exhaustive and reliable evaluations to assess the real impact of SSL.

**Limitations and future work** We currently cover only French language but hope that contributions for other languages would follow in order to grow *LeBenchmark* dynamically. A more fine-grained analysis of the SSL models’ performance (beyond single average metric per sub-task) would be also important to fully understand the pros and cons of each SSL model. Finally, as our collection comes with reliable metadata, it should trigger future analysis works on speech SSL such as training gender/style specific models and analyzing speech SSL biases.

## 7 Acknowledgements

This work benefited from the ‘Grand Challenge Jean Zay’ program and was also partially supported by MIAI@Grenoble-Alpes (ANR-19-P3IA-0003). This paper was also partially funded by the European Commission through the SELMA project under grant number 957017.

## References

- [1] Philip Bachman, R Devon Hjelm, and William Buchwalter. Learning representations by maximizing mutual information across views. In *NeurIPS*, 2019.
- [2] Ting Chen, Simon Kornblith, Mohammad Norouzi, and Geoffrey Hinton. A simple framework for contrastive learning of visual representations. In *PMLR*, 2020.
- [3] Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. BERT: pre-training of deep bidirectional transformers for language understanding. *CoRR*, abs/1810.04805, 2018.

---

<sup>9</sup><http://www.idris.fr/eng/jean-zay/> - GENCI-IDRIS Grant 2020-A0091012047 and

<sup>10</sup><http://lebenchmark.com>

- [4] Colin Raffel, Noam Shazeer, Adam Roberts, Katherine Lee, Sharan Narang, Michael Matena, Yanqi Zhou, Wei Li, and Peter J Liu. Exploring the limits of transfer learning with a unified text-to-text transformer. *arXiv preprint arXiv:1910.10683*, 2019.
- [5] Alexei Baevski, Michael Auli, and Abdelrahman Mohamed. Effectiveness of self-supervised pre-training for speech recognition. *CoRR*, abs/1911.03912, 2019.
- [6] Kazuya Kawakami, Luyu Wang, Chris Dyer, Phil Blunsom, and Aaron van den Oord. Learning robust and multilingual speech representations. In *EMNLP*, 2020.
- [7] Sebastian Ruder. Challenges and Opportunities in NLP Benchmarking. <http://ruder.io/nlp-benchmarking>, 2021.
- [8] David Schlangen. Targeting the benchmark: On methodology in current natural language processing research. In *Proceedings of the 59th Annual Meeting of the Association for Computational Linguistics and the 11th International Joint Conference on Natural Language Processing (Volume 2: Short Papers)*, pages 670–674, Online, August 2021. Association for Computational Linguistics.
- [9] Solène Evain, Ha Nguyen, Hang Le, Marcely Zanon Boito, Salima Mdhaffar, Sina Alisamir, Ziyi Tong, Natalia Tomashenko, Marco Dinarelli, Titouan Parcollet, Alexandre Allauzen, Yannick Estève, Benjamin Lecouteux, François Portet, Solange Rossato, Fabien Ringeval, Didier Schwab, and Laurent Besacier. LeBenchmark: A Reproducible Framework for Assessing Self-Supervised Representation Learning from Speech. In *Proc. Interspeech 2021*, pages 1439–1443, 2021.
- [10] Suchin Gururangan, Ana Marasović, Swabha Swayamdipta, Kyle Lo, Iz Beltagy, Doug Downey, and Noah A. Smith. Don’t stop pretraining: Adapt language models to domains and tasks. In *Proceedings of the 58th Annual Meeting of the Association for Computational Linguistics*, pages 8342–8360, Online, July 2020. Association for Computational Linguistics.
- [11] Matthew E. Peters, Mark Neumann, Mohit Iyyer, Matt Gardner, Christopher Clark, Kenton Lee, and Luke Zettlemoyer. Deep contextualized word representations. *NAACL-HLT*, 2018.
- [12] Yu-An Chung, Wei-Ning Hsu, Hao Tang, and James R. Glass. An unsupervised autoregressive model for speech representation learning. *CoRR*, abs/1904.03240, 2019.
- [13] Yu-An Chung and James Glass. Improved speech representations with multi-target autoregressive predictive coding, 2020.
- [14] Steffen Schneider, Alexei Baevski, Ronan Collobert, and Michael Auli. wav2vec: Unsupervised Pre-Training for Speech Recognition. In *Proc. Interspeech 2019*, pages 3465–3469, 2019.
- [15] Yu-An Chung and James Glass. Generative pre-training for speech with autoregressive predictive coding. In *ICASSP*, 2020.
- [16] Morgane Riviere, Armand Joulin, Pierre-Emmanuel Mazaré, and Emmanuel Dupoux. Unsupervised pretraining transfers well across languages. In *ICASSP 2020-2020 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP)*, pages 7414–7418. IEEE, 2020.
- [17] Alexei Baevski, Yuhao Zhou, Abdelrahman Mohamed, and Michael Auli. wav2vec 2.0: A framework for self-supervised learning of speech representations. In Hugo Larochelle, Marc’Aurelio Ranzato, Raia Hadsell, Maria-Florina Balcan, and Hsuan-Tien Lin, editors, *Advances in Neural Information Processing Systems 33: Annual Conference on Neural Information Processing Systems 2020, NeurIPS 2020, December 6-12, 2020, virtual*, 2020.
- [18] Xingchen Song, Guangsen Wang, Zhiyong Wu, Yiheng Huang, Dan Su, Dong Yu, and Helen Meng. Speech-xlnet: Unsupervised acoustic model pretraining for self-attention networks. 2019.
- [19] Andy T. Liu, Shu-wen Yang, Po-Han Chi, Po-chun Hsu, and Hung-yi Lee. Mockingjay: Unsupervised speech representation learning with deep bidirectional transformer encoders. *ICASSP 2020 - 2020 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP)*, May 2020.

- [20] Weiran Wang, Qingming Tang, and Karen Livescu. Unsupervised pre-training of bidirectional speech encoders via masked reconstruction, 2020.
- [21] Alexis Conneau, Alexei Baevski, Ronan Collobert, Abdelrahman Mohamed, and Michael Auli. Unsupervised cross-lingual representation learning for speech recognition. *arXiv:2006.13979*, 2020.
- [22] Changhan Wang, Morgane Rivière, Ann Lee, Anne Wu, Chaitanya Talnikar, Daniel Haziza, Mary Williamson, Juan Pino, and Emmanuel Dupoux. Voxpopuli: A large-scale multilingual speech corpus for representation learning, semi-supervised learning and interpretation. *arXiv:2101.00390*, 2021.
- [23] Alex Wang, Amanpreet Singh, Julian Michael, Felix Hill, Omer Levy, and Samuel Bowman. GLUE: A multi-task benchmark and analysis platform for natural language understanding. In *Proceedings of the 2018 EMNLP Workshop BlackboxNLP: Analyzing and Interpreting Neural Networks for NLP*, pages 353–355, Brussels, Belgium, November 2018. Association for Computational Linguistics.
- [24] Hang Le, Loïc Vial, Jibril Frej, Vincent Segonne, Maximin Coavoux, Benjamin Lecouteux, Alexandre Allauzen, Benoît Crabbé, Laurent Besacier, and Didier Schwab. Flaubert: Unsupervised language model pre-training for french. *CoRR*, abs/1912.05372, 2019.
- [25] Sungjoon Park, Jihyung Moon, Sungdong Kim, Won-Ik Cho, Jiyeon Han, Jangwon Park, Chisung Song, Junseong Kim, Yongsook Song, Tae Hwan Oh, Joohong Lee, Juhyun Oh, Sungwon Lyu, Younghoon Jeong, Inkwon Lee, Sangwoo Seo, Dongjun Lee, Hyunwoo J. Kim, Myeonghwa Lee, Seongbo Jang, Seungwon Do, Sunkyoung Kim, Kyungtae Lim, Jongwon Lee, Kyumin Park, Jamin Shin, Seonghyun Kim, Eunjeong Lucy Park, Alice Oh, Jung-Woo Ha, and Kyunghyun Cho. KLUE: korean language understanding evaluation. *CoRR*, abs/2105.09680, 2021.
- [26] Shu-Wen Yang, Po-Han Chi, Yung-Sung Chuang, Cheng-I Jeff Lai, Kushal Lakhota, Yist Y. Lin, Andy T. Liu, Jiatong Shi, Xuankai Chang, Guan-Ting Lin, Tzu-Hsien Huang, Wei-Cheng Tseng, Ko-tik Lee, Da-Rong Liu, Zili Huang, Shuyan Dong, Shang-Wen Li, Shinji Watanabe, Abdelrahman Mohamed, and Hung-yi Lee. SUPERB: speech processing universal performance benchmark. *CoRR*, abs/2105.01051, 2021.
- [27] Vineel Pratap, Qiantong Xu, Anuroop Sriram, Gabriel Synnaeve, and Ronan Collobert. Mls: A large-scale multilingual dataset for speech research. In *INTERSPEECH*, Shanghai, China, 2020.
- [28] African accented french, slr57, 2003. Type: dataset, <https://www.openslr.org/57/>.
- [29] Clément Le Moine and Nicolas Obin. Att-HACK: An Expressive Speech Database with Social Attitudes. In *Speech Prosody*, 2020.
- [30] Philippe Gournay, Olivier Lahaie, and Roch Lefebvre. A Canadian French emotional speech dataset. In *MMSys*, 2018.
- [31] S. Branca-Rosoff, S. Fleury, F. Lefevre, and M. Pires. Discours sur la ville. Présentation du Corpus de Français parlé Parisien des années 2000 (CFPP2000), 2012. <http://cfpp2000.univ-paris3.fr/CFPP2000.pdf>.
- [32] Iris Eshkol-Taravella, Olivier Baude, Denis Maurel, Linda Hriba, Céline Dugua, and Isabelle Tellier. Un grand corpus oral "disponible" : le corpus d'Orléans 1968-2012. *Ressources Linguistiques Libres - Traitement Automatique des Langues*, 53(2):17–46, 2011.
- [33] Yannick Estève, Thierry Bazillon, Jean-Yves Antoine, Frédéric Béchet, and Jérôme Farinas. The EPAC Corpus: Manual and Automatic Annotations of Conversational Speech in French Broadcast News. In *Proceedings of the Seventh International Conference on Language Resources and Evaluation (LREC'10)*, Valletta, Malta, May 2010. European Language Resources Association (ELRA).
- [34] Tanja Bänziger, Marcello Mortillaro, and Klaus Scherer. Introducing the Geneva Multimodal Expression Corpus for Experimental Research on Emotion Perception. *Emotion (Washington, D.C.)*, 12(5):1161–79, 2012.

- [35] Gadet Françoise. Les parlers jeunes dans l’île-de-France multiculturelle. *Paris and Gap, Ophrys*, 2017.
- [36] Mpf, 2019. <https://hdl.handle.net/11403/mpf/v3>, ORTOLANG (Open Resources and TOols for LANGuage) –[www.ortolang.fr](http://www.ortolang.fr).
- [37] Fabrice Lefèvre, Djamel Mostefa, Laurent Besacier, Yannick Estève, Matthieu Quignard, Nathalie Camelin, Benoit Favre, Bassam Jabaian, and Lina Rojas-Barahona. Robustesse et portabilités multilingue et multi-domaines des systèmes de compréhension de la parole : le projet PortMedia. In *Actes de la conférence conjointe JEP-TALN-RECITAL 2012*, volume 1:JEP, pages 779–786, Grenoble, France, June 2012.
- [38] ATILF. TCOF : Traitement de corpus oraux en français, 2020. <https://hdl.handle.net/11403/tcof/v2.1>, ORTOLANG (Open Resources and TOols for LANGuage) –[www.ortolang.fr](http://www.ortolang.fr).
- [39] Marcelly Zanon Boito, William Havard, Mahault Garnerin, Éric Le Ferrand, and Laurent Besacier. MaSS: A large and clean multilingual corpus of sentence-aligned spoken utterances extracted from the Bible. In *Proceedings of the 12th Language Resources and Evaluation Conference*, Marseille, France, May 2020. European Language Resources Association.
- [40] Francisco Torreira, Martine Adda-Decker, and Mirjam Ernestus. The Nijmegen Corpus of Casual French. *Speech Communication*, 52(3):201, January 2010. Publisher: Elsevier : North-Holland.
- [41] Mirco Ravanelli, Titouan Parcollet, Aku Rouhe, Peter Plantinga, Elena Rastorgueva, Loren Lugosch, Nauman Dawalatabad, Chou Ju-Chieh, Abdel Heba, Francois Grondin, William Aris, Chien-Feng Liao, Samuele Cornell, Sung-Lin Yeh, Hwidong Na, Yan Gao, Szu-Wei Fu, Cem Subakan, Renato De Mori, and Yoshua Bengio. Speechbrain. <https://github.com/speechbrain/speechbrain>, 2021.
- [42] Myle Ott, Sergey Edunov, Alexei Baevski, Angela Fan, Sam Gross, Nathan Ng, David Grangier, and Michael Auli. fairseq: A fast, extensible toolkit for sequence modeling. In *Proc. of NAACL-HLT: Demonstrations*, 2019.
- [43] Daniel Povey, Arnab Ghoshal, Gilles Boulianne, Lukas Burget, Ondrej Glembek, Nagendra Goel, Mirko Hannemann, Petr Motlicek, Yanmin Qian, Petr Schwarz, et al. The kaldi speech recognition toolkit. In *IEEE 2011 workshop on automatic speech recognition and understanding*, 2011.
- [44] Guillaume Gravier, Gilles Adda, Niklas Paulson, Matthieu Carré, Aude Giraudel, and Olivier Galibert. The etape corpus for the evaluation of speech-based tv content processing in the french language. In *LREC*, 2012.
- [45] Rosana Ardila, Megan Branson, Kelly Davis, Michael Henretty, Michael Kohler, Josh Meyer, Reuben Morais, Lindsay Saunders, Francis M Tyers, and Gregor Weber. Common voice: A massively-multilingual speech corpus. In *LREC*, 2020.
- [46] Daniel Povey, Gaofeng Cheng, Yiming Wang, Ke Li, Hainan Xu, Mahsa Yarmohammadi, et al. Semi-orthogonal low-rank matrix factorization for deep neural networks. In *Interspeech*, pages 3743–3747, 2018.
- [47] Vijayaditya Peddinti, Daniel Povey, and Sanjeev Khudanpur. A time delay neural network architecture for efficient modeling of long temporal contexts. In *Interspeech*, pages 3214–3218, 2015.
- [48] Fethi Bougares, Paul Deléglise, Yannick Estève, and Mickael Rouvier. Lium asr system for etape french evaluation campaign: experiments on system combination using open-source recognizers. In *International Conference on Text, Speech and Dialogue*, pages 319–326. Springer, 2013.
- [49] Maximilian Bisani and Hermann Ney. Bootstrap estimates for confidence intervals in ASR performance evaluation. In *2004 IEEE International Conference on Acoustics, Speech, and Signal Processing*, volume 1, pages I–409. IEEE, 2004.

- [50] Renato De Mori. *Spoken Dialogues with Computers*. Academic Press, Inc., Orlando, FL, USA, 1997.
- [51] M. Dinarelli, A. Moschitti, and G. Riccardi. Concept segmentation and labeling for conversational speech. In *Proceedings of Interspeech*, 2009.
- [52] Marco Dinarelli, Evgeny Stepanov, Sebastian Varges, and Giuseppe Riccardi. The luna spoken dialog system: Beyond utterance classification. In *International Conference on Acoustic, Speech and Signal Processing*, Dallas, Texas, U.S.A., 2010.
- [53] Marco Dinarelli. *Spoken Language Understanding: from Spoken Utterances to Semantic Structures*. PhD thesis, International Doctoral School in Information and Communication Technology, Dipartimento di Ingegneria e Scienza dell' Informazione, via Sommarive 14, 38100 Povo di Trento (TN), Italy, 3 2010.
- [54] Thierry Desot, François Portet, and Michel Vacher. SLU for voice command in smart home: comparison of pipeline and end-to-end approaches. In *IEEE Automatic Speech Recognition and Understanding Workshop*, Singapore, 2019.
- [55] Hélène Bonneau-Maynard, Christelle Ayache, Frédéric Bechet, Alexandre Denis, Anne Kuhn, Fabrice Lefèvre, Djamel Mostefa, Matthieu Quignard, Sophie Rosset, Christophe Servan, et al. Results of the french evalda-media evaluation campaign for literal understanding. In *The fifth international conference on Language Resources and Evaluation (LREC 2006)*, 2006.
- [56] S. Quarteroni, G. Riccardi, and M. Dinarelli. What's in an ontology for spoken language understanding. In *Interspeech*, Brighton, U.K., 2009.
- [57] S. Hochreiter and J. Schmidhuber. Long short-term memory. *Neural Comput.*, 9(8), November 1997.
- [58] Dzmitry Bahdanau, Kyunghyun Cho, and Yoshua Bengio. Neural Machine Translation by Jointly Learning to Align and Translate. In *Proc. of ICLR*, 2015.
- [59] A. Graves, S. Fernández, F. Gomez, and J. Schmidhuber. Connectionist temporal classification: Labelling unsegmented sequence data with recurrent neural networks. In *Proceedings of ICML*, pages 369–376. ACM, 2006.
- [60] Elizabeth Salesky, Matthew Wiesner, Jacob Bremerman, Roldano Cattoni, Matteo Negri, Marco Turchi, Douglas W Oard, and Matt Post. The multilingual tedx corpus for speech recognition and translation. *arXiv preprint arXiv:2102.01757*, 2021.
- [61] Philipp Koehn. Statistical significance tests for machine translation evaluation. In *EMNLP*, pages 388–395. ACL, 2004.
- [62] Fabien Ringeval, Andreas Sonderegger, Juergen Sauer, and Denis Lalanne. Introducing the recola multimodal corpus of remote collaborative and affective interactions. In *2013 10th IEEE international conference and workshops on automatic face and gesture recognition (FG)*, pages 1–8. IEEE, 2013.
- [63] Manon Macary, Marie Tahon, Yannick Estève, and Anthony Rousseau. Allosat: A new call center french corpus for satisfaction and frustration analysis. In *Proceedings of the 12th Language Resources and Evaluation Conference*, pages 1590–1597, 2020.
- [64] I Lawrence and Kuei Lin. A concordance correlation coefficient to evaluate reproducibility. *Biometrics*, pages 255–268, 1989.
- [65] Felix Weninger et al. Discriminatively trained Recurrent Neural Networks for continuous dimensional emotion recognition from audio. In *IJCAI*, 2016.
- [66] George Trigeorgis, Fabien Ringeval, Raymond Brueckner, Erik Marchi, Mihalis A Nicolaou, Björn Schuller, and Stefanos Zafeiriou. Adieu features? end-to-end speech emotion recognition using a deep convolutional recurrent network. In *2016 IEEE international conference on acoustics, speech and signal processing (ICASSP)*, pages 5200–5204. IEEE, 2016.

- [67] Anna Rogers. Changing the world by changing the data. In *Proceedings of the 59th Annual Meeting of the Association for Computational Linguistics and the 11th International Joint Conference on Natural Language Processing (Volume 1: Long Papers)*, Online, August 2021. Association for Computational Linguistics.
- [68] Mahault Garnerin, Solange Rossato, and Laurent Besacier. Investigating the impact of gender representation in ASR training data: a case study on librispeech. In *Proceedings of the 3rd Workshop on Gender Bias in Natural Language Processing*, pages 86–92, Online, August 2021. Association for Computational Linguistics.
- [69] Emma Strubell, Ananya Ganesh, and Andrew McCallum. Energy and policy considerations for deep learning in NLP. In *Proceedings of the 57th Annual Meeting of the Association for Computational Linguistics*, pages 3645–3650, Florence, Italy, July 2019. Association for Computational Linguistics.
- [70] Titouan Parcollet and Mirco Ravanelli. The Energy and Carbon Footprint of Training End-to-End Speech Recognizers. working paper or preprint, 2021.
- [71] Lasse F Wolff Anthony, Benjamin Kanding, and Raghavendra Selvan. Carbontracker: Tracking and predicting the carbon footprint of training deep learning models. *arXiv preprint arXiv:2007.03051*, 2020.
- [72] Daniel Povey, Vijayaditya Peddinti, Daniel Galvez, Pegah Ghahremani, Vimal Manohar, Xingyu Na, Yiming Wang, and Sanjeev Khudanpur. Purely sequence-trained neural networks for asr based on lattice-free mmi. In *Interspeech*, pages 2751–2755, 2016.
- [73] Tom Ko, Vijayaditya Peddinti, Daniel Povey, and Sanjeev Khudanpur. Audio augmentation for speech recognition. In *Sixteenth Annual Conference of the International Speech Communication Association*, 2015.
- [74] Rico Sennrich, Barry Haddow, and Alexandra Birch. Neural machine translation of rare words with subword units. In *ACL*, 2016.
- [75] Marco Dinarelli, Vedran Vukotic, and Christian Raymond. Label-dependency coding in Simple Recurrent Networks for Spoken Language Understanding. In *Interspeech*, Stockholm, Sweden, August 2017.
- [76] L. Lugosch, M. Ravanelli, P. Ignoto, V. S. Tomar, and Y. Bengio. Speech model pre-training for end-to-end spoken language understanding. *CoRR*, abs/1904.03670, 2019.
- [77] Marco Dinarelli, Nikita Kapoor, Bassam Jabaian, and Laurent Besacier. A data efficient end-to-end spoken language understanding architecture. In *ICASSP*, Spain, 2020.
- [78] William Chan, Navdeep Jaitly, Quoc Le, and Oriol Vinyals. Listen, attend and spell: A neural network for large vocabulary conversational speech recognition. In *2016 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP)*, pages 4960–4964. IEEE, 2016.
- [79] Sahar Ghannay, Antoine Caubrière, Yannick Estève, Nathalie Camelin, Edwin Simonnet, Antoine Laurent, and Emmanuel Morin. End-to-end named entity and semantic concept extraction from speech. In *2018 IEEE Spoken Language Technology Workshop (SLT)*, pages 692–699. IEEE, 2018.
- [80] Antoine Caubrière, Natalia Tomashenko, Antoine Laurent, Emmanuel Morin, Nathalie Camelin, and Yannick Estève. Curriculum-based transfer learning for an effective end-to-end spoken language understanding and domain portability. *CoRR*, 2019.
- [81] D. P. Kingma and J. Ba. Adam: A method for stochastic optimization. In *International Conference for Learning Representations*, 2015. Published as a conference paper at the 3rd International Conference for Learning Representations, San Diego, 2015.
- [82] Ronald Kemker, Angelina Abitino, Marc McClure, and Christopher Kanan. Measuring catastrophic forgetting in neural networks. *CoRR*, abs/1708.02072, 2017.

- [83] Ashish Vaswani et al. Attention is all you need. In *NIPS*, 2017.
- [84] Ha Nguyen, Fethi Bougares, Natalia Tomashenko, Yannick Estève, and Laurent Besacier. Investigating self-supervised pre-training for end-to-end speech translation. In *Interspeech 2020*, 2020.
- [85] Changhan Wang et al. fairseq S2T: Fast speech-to-text modeling with fairseq. *arXiv preprint arXiv:2010.05171*, 2020.
- [86] Taku Kudo and John Richardson. Sentencepiece: A simple and language independent subword tokenizer and detokenizer for neural text processing. *arXiv preprint arXiv:1808.06226*, 2018.
- [87] Hirofumi Inaguma, Shun Kiyono, Kevin Duh, Shigeki Karita, Nelson Enrique Yalta Soplín, Tomoki Hayashi, and Shinji Watanabe. ESPnet-ST: All-in-one speech translation toolkit. *arXiv preprint arXiv:2004.10234*, 2020.
- [88] Diederik P Kingma and Jimmy Ba. Adam: A method for stochastic optimization. *arXiv preprint arXiv:1412.6980*, 2014.
- [89] Matt Post. A call for clarity in reporting bleu scores. *arXiv preprint arXiv:1804.08771*, 2018.
- [90] Alexander Yeh. More accurate tests for the statistical significance of result differences. In *COLING 2000 Volume 2: The 18th International Conference on Computational Linguistics*, 2000.
- [91] E.W. Noreen. *Computer-Intensive Methods for Testing Hypotheses: An Introduction*. Wiley, 1989.
- [92] Changhan Wang, Morgane Rivière, Ann Lee, Anne Wu, Chaitanya Talnikar, Daniel Haziza, Mary Williamson, Juan Pino, and Emmanuel Dupoux. VoxPopuli: A Large-Scale Multilingual Speech Corpus for Representation Learning, Semi-Supervised Learning and Interpretation. In *Preprint*, 2021. arXiv: 2101.00390.

## Appendix and Supplementary Material

### A Appendix

#### A.1 Wav2Vec2.0 training behavior

In this Appendix, we report the losses on the development set of the MLS corpus obtained for our different models. Models are stopped at 500.000 steps due to the lack of improvement observed when trained for longer.

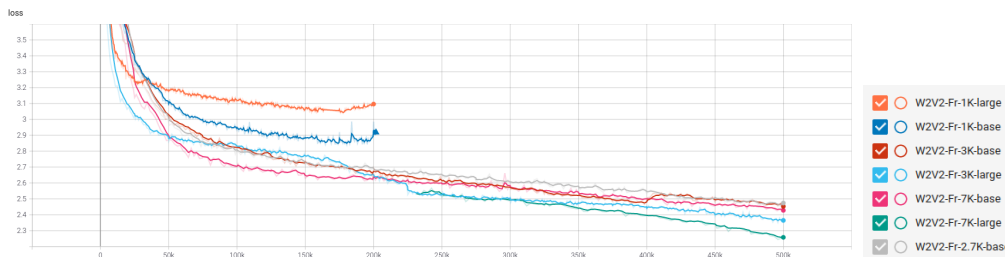


Figure 1: Evolution of the loss on the development set during the pre-training of the SSL models.

#### A.2 Architecture and Training details

##### A.2.1 ASR: Hybrid DNN-HMM

###### Model

The acoustic models (AM) have been trained on 40-dimensional high-resolution (*hires*) MFCC features or SSL feature using the Kaldi toolkit with a state-of-the-art factorized time delay neural network (TDNN-F) architecture [46, 47] on the ETAPE training corpus [44] only. For experiments in this paper, the dimensions of SSL features extracted by '*small*' and '*large*' models are 768 and 1024 respectively. The models have 12 TDNN-F layers (1,024-dimensional, with projection dimension of 128) and a 3,432-dimensional output layer. 100-dimensional speaker i-vectors were appended to the input features for all the models.

**Training Strategy.** The acoustic model was trained using lattice-free maximum mutual information (LF-MMI) [72] and cross-entropy criteria. Speed and volume perturbation have been applied for data augmentation [73]. We used a similar topology to train all systems with different types of input features.

##### A.2.2 ASR: End-to-End models

**Model** The end-to-end system fed by 80-dimension log Mel filterbank (MFB) features is based on an encoder/decoder architecture with attention: the encoder is a Convolutional Recurrent Deep Neural Network (CRDNN: VGG + RNN + DNN), and the decoder is a joint CTC/Attention LSTM neural network. For this ASR system, the neural network output corresponds to 500 byte pair encoding (BPE) units [74] computed on the manual transcriptions of the respective training datasets. This model is used to present baseline results from a system that does not use pretrained models.

When used with a pretrained Wav2Vec2.0 model, the end-to-end model is made of this Wav2Vec2.0 model with an additional hidden layer and an output layer on the top. The hidden layer has the same dimension as the Wav2vec2.0 model output (*i.e* 768 for *base* models, 1024 for *large* ones.) The output layer dimension depends on the number of characters in the training data (78 for CommonVoice, 60 for ETAPE).

**Training Strategy** No additional language model is used in these experiments, neither data augmentation. To train (with supervision, by exploiting the manual transcriptions) the Wav2Vec2.0-based end-to-end models, two disjoint Adam optimizers are applied: one to handle the Wav2Vec2.0 pretrained weights and another one to update the randomly initialized weights of the hidden and output layers on the top of the model.

### A.2.3 SLU

**Model** The end-to-end SLU model used in this work is similar to the one proposed in previous works [75, 76, 77, 9]. In particular we use a similar speech encoder employing a pyramidal hierarchy of RNN layers like [78, 9]. The decoder has been also improved integrating two attention mechanisms: one as usual for attending the encoder’s hidden states; the other for attending all previous decoder prediction’s embeddings, instead of the previous prediction only like in the original LSTM-based encoder-decoder models [58]. Our model is implemented using the *Fairseq* library [42].

**Training Strategy** We use a similar incremental training strategy as [77]. In particular we train first only the encoder of our model for decoding tokens as an ASR model. In order to do so we add a linear layer on top of the encoder which maps the hidden states into the output dictionary size. We use the same dictionary for all symbols in our system. We can thus use the token-level model to initialize parameters of an equivalent model which performs SLU by decoding all together tokens, concepts and their boundaries. For instance, given a sequence of  $N$  tokens  $w_{j+1}, \dots, w_{j+N}$  instantiating a concept  $C_i$  in a sentence  $S = w_1, \dots, w_M$ , we use special boundary markers *boc* and *eoc* (for start and end of concept) for each concept, modifying the original sequence into  $S = w_1, \dots, \text{soc}, w_{j+1}, \dots, w_{j+N}, C_i, \text{eoc}, \dots, w_M$ . This output format has already been used in previous work [79, 80], and it is needed for extracting concept values (or attribute values) together with concepts (or attribute names), as described in [51, 53]. In this work we focus on concept extraction only, we leave the concept value extraction phase for future work. The SLU model trained for predicting the output described above has the same decoder as the token-level model used for initializing its parameters, that is just a linear layer. This first SLU model is used for initializing the parameters of our final SLU model, which has the same encoder, but uses the LSTM decoder described in the previous paragraph. Our training strategy can thus be summarized in the following 3 steps, where the model trained at step  $i$  is initialized with parameters of the model trained at step  $i - 1$ : (1) Encoder+Linear decoder (ASR), (2) Encoder+Linear decoder (SLU), (3) Encoder+LSTM decoder (SLU).

**Implementation details** All models are learned with an Adam optimizer [81], initial learning rate  $5e^{-5}$  which is shrunk by a factor of 0.98 at each training epoch, and batches of size 10 for the first 2 training steps (linear decoder), 5 for the last step (LSTM decoder). Models learn to minimize the CTC loss [59], and we keep the models showing the best error rate on the development data. When learning the final SLU models with a LSTM decoder, we start training with a small warm-up learning rate which is increased linearly up to the initial learning rate during the first 2 epochs. We use this strategy, together with regularization, to avoid *catastrophic forgetting* [82], as these model’s encoders are initialized with a model already trained to perform SLU, as mentioned in the previous paragraph. At the decoding phase we average the scores of the 5 best checkpoints on development data.

### A.2.4 AST

**Model** We used a small Transformer [83] architecture having 6 layers of encoder, 3 layers of decoder, and hidden dimension  $D = 256$  in all experiments. Following previous work [84, 9], we inserted a block of Linear-ReLU before convolutional layers in the speech encoder for parameter efficiency and model performance reasons.

**Implementation details** Our experiments are performed using the FAIRSEQ S2T toolkit [85]. For text pre-processing, we normalize the punctuation and build 1K unigram vocabularies using Sentencepiece [86] without pre-tokenization. Following common practice [85, 87], utterances having more than 3000 frames are removed for GPU efficiency. All AST models are trained for 500 epochs using the Adam optimizer [88] in which the learning rate is linearly increased for the first 10K warm-up steps then decreased proportionally to the inverse square root of the step counter. The learning rate for all experiments is set to  $2 \times 10^{-3}$ . We averaged the last 10 checkpoints and used beam search with a beam size of 5 for decoding. The reported results are detokenized case-sensitive BLEU computed using sacreBLEU [89]. As far as task specific pre-training is concerned, for self-supervised fine-tuning (b) we continued training the SSL models on the task data from the last optimizer state for an additional 20K steps. For ASR supervised fine-tuning (c), we used the same hyper-parameters setup as proposed in the original wav2vec 2.0 paper for fine-tuning large models on 100 h of labeled data. We then used the best checkpoints (fine-tuned on the pair fr-en) to extract features, which are the inputs for the downstream AST models.

Table 8: WER results by gender on the ETAPE test dataset for end-to-end ASR.

Features	WER Male	WER Female	Relative WER difference between Male and Female speakers, %
MFB	60.2	53.6	11.6
XLSR-53- <i>large</i>	60.1	57.4	4.6
En- <i>large</i>	44.3	39.3	12.0
Fr-3K- <i>large</i>	27.5	21.4	24.9

Table 9: WER results by gender on the ETAPE test dataset for hybrid ASR.

Features	WER Male	WER Female	Relative WER difference between Male and Female speakers, %
hires MFCC	32.0	20.9	21.0
XLSR-53- <i>large</i>	33.3	22.6	19.1
En- <i>large</i>	30.2	19.8	20.8
Fr-3K- <i>large</i> (task-agnostic pretraining)	29.7	17.7	25.3
Fr-3K- <i>large</i> (task-specific pretraining)	26.4	15.7	25.4

### A.2.5 AER

**Implementation details** Training was achieved by Adam optimizer with 250 as the maximum number of epochs; it was stopped after 15 epochs if no improvement over the development set was observed. The loss (and evaluation metric) used here is Concordance Correlation Coefficient [64] between model predictions and human annotations, as in [65, 66]. Sampling frequencies of different features, which was 100 Hz for MFB and 50 Hz for the Wav2Vec models, are different from the sampling frequencies of the annotations. Thus, during the training, we re-sampled the annotations to match the sampling frequency of the features and for testing, we re-sampled the output of the model to match the target annotation. Reported numbers on the paper are averaged results over three different random seeds.

### A.3 Additional Results for ASR

Tables 8 and 9 present the WER by gender reached by different ASR systems on the ETAPE test dataset for end-to-end and hybrid ASR respectively. While in this dataset the WER is lower for female speakers than for male speakers for each ASR system, the relative difference between the results obtained on female voice and the ones obtained on male voices is higher with our Fr-3K-*large* SSL model.

### A.4 Additional Results for SLU

Table 10 reports ASR results on the MEDIA corpus. These ASR models have been used to initialize parameters of basic SLU models with a linear decoder.

Table 11 reports significance test results with the bootstrap method of [49]. As named in the reference paper, the values reported in the table are the *Probability of improvement* (Poi) of a system B over a system A, they can be interpreted as  $1 - p$ -value. In the table system B are “Fr-3K-*large* SV” and “Fr-7K-*large* SV”, the two best SLU systems in terms of Concept Error Rate (CER). Between the two best systems, “Fr-7K-*large* SV” seems to be slightly better with a *Poi* of 0.78 over “Fr-3K-*large* SV”. But a stronger computation intensive significance test [90, 91] shows that “Fr-7K-*large* SV” and “Fr-3K-*large* SV” are in fact equivalent ( $p$ -value of 0.6 in both directions). The same test gave a  $p$ -value  $< 0.01$  in all the other cases, conforming that “Fr-7K-*large* SV” and “Fr-3K-*large* SV” are indeed the two best models.

Table 10: End-to-end ASR results on the MEDIA corpus (Word Error Rate %).

Features	Dev	Test
(from [9]) spectrogram	35.37	35.98
spectrogram	<b>32.22</b>	<b>33.95</b>
<b>(a) Task agnostic pre-training</b>		
<i>En-base</i>	19.49	20.36
<i>En-large</i>	22.88	25.59
<i>Fr-1k-base</i>	21.74	23.90
<i>Fr-1k-large</i>	18.01	19.29
<i>Fr-2.7k-base</i>	14.23	15.40
<i>Fr-3k-base</i>	14.58	15.37
<i>Fr-3k-large</i>	11.05	11.87
<i>Fr-7k-base</i>	14.18	15.22
<i>Fr-7k-large</i>	<b>10.62</b>	<b>11.55</b>
<i>XLSR-53-large</i>	15.17	16.69
<b>(b) Task specific pre-training (self-supervised on MEDIA)</b>		
<i>Fr-3k-large</i>	<b>10.34</b>	11.59
<i>Fr-7k-large</i>	10.65	<b>11.25</b>
<i>XLSR-53-large</i>	11.71	12.58
<b>(c) Task specific pre-training (fine-tuned for ASR on MEDIA)</b>		
<i>Fr-3k-large</i>	9.21	10.29
<i>Fr-7k-large</i>	<b>9.08</b>	<b>9.95</b>
<i>XLSR-53-large</i>	10.63	11.45

Table 11: Significance tests with the bootstrap method [49] between the two best SLU models, in terms of CER, with respect to all the other models. *SS* and *SV* in the table mean *Self-supervised* (block (b)) and *Supervised* (block (c)) pre-training approaches, respectively.

<b>Significance tests (Probability of improvement [49])</b>		
	Fr-3K-large SV	Fr-7K-large SV
<b>Tested Model</b>		
<i>Fr-2.7K-base</i>	1.0	1.0
<i>Fr-3K-base</i>	1.0	1.0
<i>Fr-3K-large</i>	1.0	1.0
<i>Fr-3K-large SS</i>	1.0	1.0
<i>Fr-3K-large SV</i>	-	0.78
<i>Fr-7K-base</i>	1.0	1.0
<i>Fr-7K-large</i>	1.0	1.0
<i>Fr-7K-large SS</i>	1.0	1.0
<i>Fr-7K-large SV</i>	0.21	-
<i>XLSR53</i>	1.0	1.0
<i>XLSR53 SS</i>	1.0	1.0
<i>XLSR53 SV</i>	1.0	1.0

## B Details of the corpora used in the paper

Table 12: Corpora/sub-corpora details (at download time). Click on the Corpus name to access its web page.

\*t=tokens, w=words, h=hours, min=minutes, sent=sentences, d=dialogues

Corpus (sub-corpus) name	Identifier (ISLRN, DOI...)	Size*	Modality	Dataset use	License
African Ac-cented French	SLR57	22 h	speech, written	SSL	Apache 2.0
Allosat		37 h	speech, written	AER	CC
Att-HACK	SLR88	>300 sent	speech, written	SSL	CC BY-NC-ND
CaFE	10.5281/zenodo.1478765	1 h	speech, written	SSL	CC-BY-NC-SA 4.0
CFPP2000 (CEFC complement)		20 h	speech, written	SSL	CC BY-NC-SA 3.0
CommonVoice fr_604h_2020-06-22		604 h	speech, written	ASR	CC 0
EPAC	483-703-007-740-8	1677 h	speech, written	SSL	ELRA NC
ESLO (ESLO2)		>400 h	speech, written	SSL	CC BY-NC-SA 4.0
ETAPE	425-777-374-455-4	30 h	speech, written	ASR	ELRA NC
GEMEP		0.9 h	speech	SSL	academic only, NC
MaSS		≈ 20 h	speech, written	SSL	MIT License
MEDIA	699-856-029-354-6	1,258 d	speech, written	SLU	ELRA NC
MLS (French)		1,096 h	speech, written	SSL	CC BY 4.0
MPF		78 h	speech, written	SSL	CC BY-NC-SA 4.0
mTEDx (fr-*)	SLR100	25h - 50h	speech, written	AST	CC BY-NC-ND 4.0
NCCFr		35 h	Multimedia, written	SSL	academic only, NC
Portmedia (PM_DOM)	135-793-959-390-8	40.5 h	speech, written	SSL	ELRA NC
RECOLA		9.5 h	Multimedia, written	AER	End User License Agreement
TCOF		146 h	speech, written	SSL	CC BY-NC-SA
Voxpopuli unlabeled		≈ 4.5k h	speech	SSL	CC0
Voxpopuli transcribed		≈ 215 h	speech, written	SSL	CC0

## C Description of the corpora used in the paper

Table 13: Corpora description.

Corpus name	Description	Used subcorpus (if existing)
African Accented French[28]	Recordings of African Accented French speech.	
Allosat [63]	The corpus is composed of real-life call center conversations in French and is continuously annotated in frustration and satisfaction.	
Att-HACK [29]	This data is acted expressive speech in French, 100 phrases with multiple versions (3 to 5) in four social attitudes : friendly, distant, dominant and seductive.	
CaFE [30]	The Canadian French Emotional (CaFE) speech dataset contains six different sentences, pronounced by six male and six female actors, in six basic emotions plus one neutral emotion. The six basic emotions are acted in two different intensities.	
CFPP2000 [31]	Interviews in Paris and its suburb. Files not included in the CEFC corpus v2.1, 02/2021.	All CFPP2000 files not in CEFC corpus v2.1, 02/2021
CommonVoice [45]	It is a massively-multilingual collection of read sentences.	French: fr_604h_2020-06-22
EPAC [44]	Conversational speech in French broadcast news. Sub-part from the ESTER Evaluation Campaign (ELRA-E0021).	
ESLO [32]	Contains two subcorpora: ESLO1 + ESLO2 (telephone dialogues, public meetings, etc).	ESLO2
ETAPE [33]	Consists of French radio and TV data, selected to include mostly non planned speech and a reasonable proportion of multiple speaker data.	
GEMEP [34]	Audio and video recordings featuring 10 actors portraying 18 effective states, with different verbal contents and different modes of expression.	
MaSS [39]	The Multilingual corpus of Sentence-aligned Spoken utterances has eight languages, and it is made of audio books from the new testament of the Bible.	French
MEDIA [55]	A corpus simulating a vocal tourist information server by a Wizard of Oz system.	
MLS [27]	A large multilingual corpus derived from LibriVox audio books.	French
MPF [35]	Open corpus, created to study the evolution of French language, the growing of a vernacular language, and the effects of the contacts with immigration languages on French.	
mTEDx [60]	The corpus is a collection of audio recordings from TEDx talks in 8 source languages.	fr-en, fr-es, and fr-pt
NCCFr [40]	Corpus composed of filmed casual speech conversations between friends.	
Portmedia [37]	Human-machine interaction, using the Wizard of Oz technique. Two sub-corpora: PM_LANG: dialogues about tourism in Italian. PM_DOM: dialogues about festival ticket booking in French.	PM_DOM
RECOLA [62]	Audio, visual, and physiological recordings of online dyadic interactions between French speaking participants, who were solving a task in collaboration.	
TCOF [38]	"Children" sub-corpus : interactions between adults and children (up to 7 years old). "Adults" sub-corpus : interactions between adults.	Adults
Voxpopuli [92]	This data was collected from 2009-2020 European Parliament event recordings.	French unlabeled + French transcribed

## D The Machine Learning Reproducibility Checklist (Ver 1.2, Mar.27 2019)

Table 14: The Machine Learning Reproducibility Checklist, version 1.2  
*\*Possible answers: Yes, No, Not applicable.*

Status*	To do	Comment
	<b>For all models and algorithms presented, check if you include:</b>	
Not applicable	A clear description of the mathematical setting, algorithm, and/or model.	
Not applicable	An analysis of the complexity (time, space, sample size) of any algorithm.	
Yes	A link to a downloadable source code, with specification of all dependencies, including external libraries.	<a href="https://github.com/LeBenchmark/NeurIPS2021">https://github.com/LeBenchmark/NeurIPS2021</a>
	<b>For any theoretical claim, check if you include:</b>	
Not applicable	A statement of the result.	
Not applicable	A clear explanation of any assumptions.	
Not applicable	A complete proof of the claim.	
	<b>For all figures and tables that present empirical results, check if you include:</b>	
Not applicable	A complete description of the data collection process, including sample size.	The paper does not report a dataset collection.
Yes	A link to a downloadable version of the dataset or simulation environment.	The link to all datasets used in the paper is provided Table 12.
Yes	An explanation of any data that were excluded, description of any pre-processing step.	The pre-processing steps are summarized in section 3.
Yes	An explanation of how samples were allocated for training / validation / testing.	For all Task, we use the standard partitioning as provided in the datasets. Further information can be found in appendix A.2.
Yes	The range of hyper-parameters considered, method to select the best hyper-parameter configuration, and specification of all hyper-parameters used to generate results.	In appendix A.2, the reader can find which hyper-parameters were considered and how their value has been chosen. The main reference is the provided github code.
Yes	The exact number of evaluations runs.	
Yes	A description of how experiments were run.	
Yes	A clear definition of the specific measure or statistics used to report results.	Standard, sufficiently well-known, evaluation metrics (WER, CER, BLEU, and CCC) were used.
Yes	Clearly defined error bars.	Given that several different tasks were used with different measure, we used different methods to assess the robustness of the results (confidence interval, significance test). These are described in their respective task subsection.
Yes	A description of results with central tendency (e.g. mean) and variation (e.g. stddev).	Most result tables provide the global score as well as its variation either in the form of stddev or CI.
Yes	A description of the computing infrastructure used.	The supercomputer used is mentioned with an hyperlink providing all the necessary details.