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DISTRIBUTED ASYNCHRONOUS GAMES WITH CAUSAL MEMORY ARE UNDECIDABLE

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ABSTRACT. We show the undecidability of the controller synthesis problem when both the plant and the controllers are asynchronous automata and the controllers have causal memory.

INTRODUCTION

The decidability of the distributed version of the Ramadge and Wonham control problem [12], where both the plant and the controllers are modeled as asynchronous automata [13, 1] and the controllers have causal memory has been an open problem for some time [3, 9, 7].

In this setting a controllable plant is distributed on several finite-state processes which interact asynchronously using shared actions. On every process, the local controller can choose to block some of the actions, called *controllable* actions, but it cannot block the *uncontrollable* actions from the environment. The choices of the local controllers are based on two sources of information. First the controller monitors the sequence of states and actions of the local process. This information is called the *local view* of the controller. Second when a shared action is played by several processes then all the controllers of these processes can exchange as much information as they want. In particular together they can compute their mutual view of the global execution: their *causal past*.

A controller is correct if it guarantees that every possible execution of the plant satisfies some specification. The controller synthesis problem is a decision problem which, given a plant as input, asks whether the system admits a correct controller. In case such a controller exists, the algorithm should compute one as well.

The difficulty of controller synthesis depends on several factors, including the size and architecture (pipeline, ring, ...) of the system, the information available to the controllers, and the specification.

Assuming that processes can exchange information upon synchronization and use their causal past to take decisions is one of the key aspects to get decidable synthesis problems [3]. In early work on distributed controller synthesis, for example in the setting of [10], the only source of information available to the controllers is their local view. In this setting, distributed synthesis is not decidable in general, except for very particular architectures

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like the pipeline architecture. The paper [2] proposes information forks as a uniform notion explaining the (un)decidability results in distributed synthesis. The idea of using causal past as a second source of information appeared in [3].

We adopt a modern terminology and call the plant a *distributed game* and the controllers are *distributed strategies* in this game. A distributed strategy is a function that maps the causal past of processes to a subset of controllable actions. In the present paper we focus on the *termination condition*, which is satisfied when each process is guaranteed to terminate its computation in finite time, in a final state. A distributed strategy is winning if it guarantees the termination condition, whatever uncontrollable actions are chosen by the environment. We are interested in the following algorithmic problem:

DISTRIBUTED SYNTHESIS PROBLEM: given a distributed game decide whether there exists a winning strategy.

There are four classes of plants for which the DISTRIBUTED SYNTHESIS PROBLEM has been shown decidable: when the dependency graph of actions is series-parallel [3]; when the processes are connectedly communicating [6]; when the dependency graph of processes is a tree [4, 8]; and for the class of decomposable games, which encompasses these three former decidability results [5].

Our contribution. This paper shows that the DISTRIBUTED SYNTHESIS PROBLEM for plants with six processes is undecidable. The proof in in two steps: reduce the POST CORRESPONDENCE PROBLEM to an intermediary decision problem called the BIPARTITE COLORING PROBLEM (Theorem 2.2) and then reduce the latter problem to the DISTRIBUTED SYNTHESIS PROBLEM (Theorem 3.1). The combinatorial details incoming from the initial PCP instance are abstracted away in the proof of Theorem 2.2 while the proof of Theorem 3.1 sheds light on the use of concurrency and causal memory to propagate local constraints. Remark that a direct reduction without the intermediary decision problem is also possible, but to our opinion it is less convincing.

Organisation of the paper. Section 1 defines the DISTRIBUTED SYNTHESIS PROBLEM. Section 2 defines the BIPARTITE COLORING PROBLEM and shows that the POST CORRE-SPONDENCE PROBLEM effectively reduces to the BIPARTITE COLORING PROBLEM. Section 3 effectively reduces the BIPARTITE COLORING PROBLEM to the DISTRIBUTED SYNTHESIS PROBLEM.

1. DISTRIBUTED ASYNCHRONOUS GAMES WITH CAUSAL MEMORY

The theory of Mazurkiewicz traces is very rich, for a thorough presentation see [1]. Here we only fix notations and recall the notions of traces, views, prime traces and parallel traces.

We fix an alphabet A and a symmetric and reflexive dependency relation $D \subseteq A \times A$ and the corresponding independency relation $\mathbb{I} \subseteq A \times A$ defined as $\forall a, b \in A, (a \mathbb{I} b) \iff$ $(a, b) \notin D$. A *Mazurkiewicz trace* or, more simply, a *trace*, is an equivalence class for the smallest equivalence relation \equiv on A^* which commutes independent letters i.e. for every letters a, b and every words w_1, w_2 ,

$$a \mathbb{I} b \implies w_1 a b w_2 \equiv w_1 b a w_2$$

The words in the equivalence class are the *linearizations* of the trace. The trace whose only linearization is the empty word is denoted ϵ . All linearizations of a trace u have the same

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Figure 1: The set processes is $\{1...7\}$. A letter is identified with its domain. Here the domains are either singletons, represented by a single dot, or pairs of contigous processes, represented by two dots connected with a vertical segment. The trace $\{2\}\{3\}\{4,5\}\{2,3\}\{4\}\{1,2\}\{3,4\} = \{4,5\}\{4\}\{2\}\{3\}\{2,3\}\{3,4\}\{1,2\}$ is represented on the left-handside. It has two maximal letters $\{1,2\}$ and $\{3,4\}$ thus is not prime. Center left: process 4 sees only its causal view $\partial_4(u)$ (in yellow). Center right: uvw = uwv since dom $(v) \cap dom(w) = \emptyset$. Both uv and $\partial_6(uw)$ (in yellow) are prime prefixes of uvw and they are parallel. Right: uv and $\partial_c(uvwc)$ (in yellow) are parallel.

set of letters and length, denoted respectively Alph(u) and |u|. Given $B \subseteq A$, the set of traces such that $Alph(u) \subseteq B$ is denoted r_{\equiv}^* in particular the set of all traces is A_{\equiv}^* .

The concatenation on words naturally extends to traces. Given two traces $u, v \in A_{\equiv}^*$, the trace uv is the equivalence class of any word in uv. The prefix relation \sqsubseteq is defined by $(u \sqsubseteq v \iff \exists w \in A_{\equiv}^*, uw = v)$. and the suffix relation is defined similarly.

Maxima, prime traces and parallel traces. A letter $a \in A$ is a maximum of a trace u if it is the last letter of one of the linearizations of u. A trace $u \in \ell_{\equiv}^*$ is prime if it has a unique maximum, denoted last(u) and called the last letter of u. Two prime traces u and v are said to be parallel if

- neither u is a prefix of v nor v is a prefix of u; and
- there is a trace w such that both u and v are prefixes of w. These notions are illustrated on Fig. 1.

1.1. Asynchronous automata. Asynchronous automata are to traces what finite automata are to finite words, as witnessed by Zielonka's theorem [13]. An asynchronous automaton is a collection of automata on finite words, whose transition tables do synchronize on certain actions.

Definition 1. An asynchronous automaton on alphabet A with processes \mathbb{P} is a tuple $\mathcal{A} = ((A_p)_{p \in \mathbb{P}}, (Q_p)_{p \in \mathbb{P}}, (i_p)_{p \in \mathbb{P}}, (F_p)_{p \in \mathbb{P}}, \Delta)$ where:

- every process $p \in \mathbb{P}$ has a set of actions A_p , a set of states Q_p and $i_p \in Q_p$ is the initial state of p and $F_p \subseteq Q_p$ its set of final states.
- $A = \bigcup_{p \in \mathbb{P}} A_p$. For every letter $a \in A$, the domain of a is dom $(a) = \{p \in \mathbb{P} \mid a \in A_p\}$.

• Δ is a set of transitions of the form $(a, (q_p, q'_p)_{p \in \text{dom}(a)})$ where $a \in A$ and $q_p, q'_p \in Q_p$. Transitions are deterministic: for every $a \in A$, if $\delta = (a, (q_p, q'_p)_{p \in \text{dom}(a)}) \in \Delta$ and $\delta' = (a, (q_p, q''_p)_{p \in \text{dom}(a)}) \in \Delta$ then $\delta = \delta'$ (hence $\forall p \in \text{dom}(a), q'_p = q''_p$).

Such an automaton works asynchronously: each time a letter a is processed, the states of the processes in dom(a) are updated according to the corresponding transition, while the states of other processes do not change. This induces a natural commutation relation $\mathbb I$ on A: two letters commute iff they have no process in common i.e.

$$(a \mathbb{I} b) \iff (\operatorname{dom}(a) \cap \operatorname{dom}(b) = \emptyset)$$

The set of *plays* of the automaton \mathcal{A} is a set of traces denoted $plays(\mathcal{A})$ and defined inductively, along with a mapping state : $plays(\mathcal{A}) \to \prod_{p \in \mathbb{P}} Q_p$.

- ϵ is a play and state $(\epsilon) = (i_p)_{p \in \mathbb{P}}$,

For every play u, state(u) is called the *global state* of u. The inductive definition of state(u) is correct because it is invariant by commutation of independent letters of u.

Counting actions of a process. For every trace u we can count how many times a process p has played an action in u, which we denote $|u|_p$. Formally, $|u|_p$ is first defined for words, as the length of the projection of u on A_p , which is invariant by commuting letters. The domain of a trace is defined as

$$\operatorname{dom}(u) = \{ p \in \mathbb{P} \mid |u|_p \neq 0 \} \quad .$$

1.2. Strategies with causal memory. Given an automaton \mathcal{A} , we want the processes to choose actions which guarantee that every play eventually terminates in a final state.

To take into account the fact that some actions are controllable by processes while some other actions are not, we assume that A is partitioned in

$$A = A_c \sqcup A_e$$

where A_c is the set of controllable actions and A_e the set of (uncontrollable) environment actions. Intuitively, processes cannot prevent their environment to play actions in A_e , while they can decide whether to block or allow any action in A_c .

We adopt a modern terminology and call the automaton \mathcal{A} together with the partition $A = A_c \sqcup A_e$ a distributed game, or even more simply a game.

In a distributed game the processes play distributed strategies, which are individual plans of action for each process. The choice of actions by a process p is dynamic: at every step, p chooses a new set of controllable actions, depending on its information about the way the play is going on. This information is limited since processes cannot communicate together unless they synchronize on a common action. In that case however they exchange as much information about the play as they want. Finally, the information missing to a process is the set of actions which happened in parallel of its own actions. The information which remains is called the p-view of the play, it is illustrated on Fig. 1 and defined formally as follows.

Definition 2 (Views). For every set of processes $\mathbb{Q} \subseteq \mathbb{P}$ and trace u, the \mathbb{Q} -view of u, denoted $\partial_{\mathbb{Q}}(u)$, is the unique trace such that u factorizes as $u = \partial_{\mathbb{Q}}(u) \cdot v$ and v is the longest suffix of u such that $\mathbb{Q} \cap \operatorname{dom}(v) = \emptyset$. In case \mathbb{Q} is a singleton $\{p\}$ the view is denoted $\partial_p(u)$ and is either empty or prime. For every letter $a \in A$ we denote $\partial_a(u) = \partial_{\operatorname{dom}(a)}(u)$.

Some useful properties of the Q-view are:

$$\partial_{\mathbb{Q}}(uv) = \partial_{\mathbb{Q}'}(u) \,\partial_{\mathbb{Q}}(v) \text{ where } \mathbb{Q}' = \mathbb{Q} \cup \operatorname{dom}(\partial_{\mathbb{Q}}(v)) \tag{1.1}$$

$$(\mathbb{Q} \subseteq \mathbb{Q}') \implies (\partial_{\mathbb{Q}}(u) \sqsubseteq \partial_{\mathbb{Q}'}(u)) . \tag{1.2}$$

We can now define what is a distributed strategy.

Definition 3 (Distributed strategies, consistent and maximal plays). Let $G = (\mathcal{A}, A_c, A_e)$ be a distributed game. A strategy for process p in G is a mapping which associates with every play u a set of actions $\sigma_p(u)$ such that:

- all environment actions are allowed: $A_e \subseteq \sigma_p(u)$,
- the decision depends only on the view of the process: $\sigma_p(u) = \sigma_p(\partial_p(u))$.

A distributed strategy is a tuple $\sigma = (\sigma_p)_{p \in \mathbb{P}}$ where each σ_p is a strategy of process p. A play $u = a_1 \cdots a_{|u|} \in \text{plays}(\mathcal{A})$ is consistent with σ , or equivalently is a σ -play if:

$$\forall i \in 1 \dots |u|, \forall p \in \operatorname{dom}(a_i), a_i \in \sigma_p(a_1 \cdots a_{i-1})$$

A σ -play is maximal if it is not the strict prefix of another σ -play.

Note that a strategy is forced to allow every environment action to be executed at every moment. This may seem to be a huge strategic advantage for the environment. However depending on the current state, not every action can be effectively used in a transition because the transition function is not assumed to be total. So in general not every environment actions can actually occur in a play. In particular it may happen that a process enters a final state with no outgoing transition, where no uncontrollable action can happen.

Winning games. Our goal is to synthesize strategies which ensure that the game terminates and all processes are in a final state.

Definition 4 (Winning strategy). A strategy σ is winning if the set of σ -plays is finite and in every maximal σ -play u, every process is in a final state i.e. $\forall p \in \mathbb{P}$, state_p $(u) \in F_p$.

The following decision problem is the central motivation for this work:

DISTRIBUTED SYNTHESIS PROBLEM: given a distributed game decide whether there exists a winning strategy.

Whether this problem is decidable has been an open problem for some time [3, 9, 7]. In this paper we show that this problem is undecidable.

2. The bipartite coloring problem

The proof of the undecidability of the DISTRIBUTED SYNTHESIS PROBLEM makes use of an intermediary decision problem: Fix a set of colours C. In the sequel, we use the notation [n] for the integer interval

$$[n] = \{0, \dots, (n-1)\}$$
.

A finite bipartite C-coloring is a function $f : [n] \times [m] \to C$ where n and m are positive integers. The initial color is f(0,0) and the final color is f(n-1, m-1). We define three subsets of C^2 called the patterns induced by f:

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- the squares of f are all pairs $\{(f(x,y), f(x+1,y+1)) \mid (x,y) \in [n-1] \times [m-1]\}$.
- the upper-triangles of f are all pairs $\{(f(x,y), f(x+1,y)) \mid (x,y) \in [n-1] \times [m]\}$.
- the lower-triangles of f are all pairs $\{(f(x,y), f(x,y+1)) \mid (x,y) \in [n] \times [m-1]\}$.

The BIPARTITE COLORING PROBLEM asks whether there exists a finite bipartite Ccoloring satisfying some constraints on the initial and final colors and on the induced
patterns. A coloring constraint is given by two subsets C_i, C_f of C called respectively the
initial and final colors, and three subsets S, UT, LT of C^2 , called the forbidden patterns. A
finite bipartite C-coloring satisfies the constraint if its minimal color is in C_i , its maximal
color is in C_f and no induced pattern is forbidden: no square belongs to S, no upper-triangle
belongs to UT and no lower-triangle belongs to LT.

Definition 2.1 (BIPARTITE COLORING PROBLEM). Given a finite set of colours C and a coloring constraint (C_i, C_f, S, UT, LT) , decide whether there exists a finite bipartite C-coloring satisfying the constraint.

As an exercise, fix $C = \{=, -\}$ and consider the coloring constraint given by $C_i = \{=\}$, $C_f = \{=, -\}$, $UT = LT = \emptyset$ and $S = \{(=, -), (-, =)\}$. The square constraint enforces propagation of the = symbol in both directions. Since the minimal position can only be marked by =, a coloring $f : [n] \times [m] \to C$ satisfies this constraint iff the edges (x, y) colored by = are exactly those whose both coordinates are equal, i.e. x = y. If we set $C_f = \{=\}$, this creates an extra constraint n = m.

Another example is $C = \{0, +\}$ and the coloring constraint given by $C_i = \{0\}, C_f = \{0, +\}, UT = \{(+, 0), (0, 0)\} LT = \{(0, +)\}$ and $S = \emptyset$. The upper-triangle constraint UT prevents the symbol 0 from appearing on any edge (x, y) such that x > 0. By induction, the lower-triangle constraint LT enforces the symbol 0 to appear on any edge (0, y). Finally, a coloring $f : [n] \times [m] \to C$ satisfies this constraint iff the edges (x, y) colored by 0 are exactly those whose first coordinate is x = 0. Following this idea, one can easily extend this example on the product alphabet $\{0, +\}^2$ and enforce a coloring to independently detect 0 coordinates in both components x and y.

The BIPARTITE COLORING PROBLEM can encode problems far more complicated than these toy examples:

Theorem 2.2. There is an effective reduction from the POST CORRESPONDENCE PROBLEM to the BIPARTITE COLORING PROBLEM.

The proof of this theorem goes in two steps: first characterize a solution to POST CORRESPONDENCE PROBLEM with local rewriting rules and second express these local rewriting rules as coloring constraints. Remark that the reduction generates coloring constraints which allow only solutions $f : [n] \times [m] \to C$ such that n = m (if any). On purpose we do not impose this constraint in the definition of the BIPARTITE COLORING PROBLEM.

Proof of Theorem 2.2. An instance of POST CORRESPONDENCE PROBLEM is a finite collection $(u_i, v_i)_{i \in I}$ of tiles on an alphabet Σ . Each tile (u_i, v_i) is a pair of words on the alphabet Σ , u_i is the top word and v_i is the bottom word. The problem is to determine whether there exists a finite sequence of indices in I such that the concatenation of the tiles produce the same two words on the top and the bottom. Duplicates are allowed: the same index i can appear several tiles in the sequence. In case such a sequence exists, the instance $(u_i, v_i)_{i \in I}$ is said to have a solution. Checking whether an instance has a solution is known to be an undecidable problem [11]. The following result characterizes the existence of a solution to an instance of POST CORRESPONDENCE PROBLEM.

Lemma 1 (Local characterization of a PCP solution). Let $(u_i, v_i)_{i \in I}$ be an instance of POST CORRESPONDENCE PROBLEM on the alphabet Σ . Let i_0, i_1, \ldots, imk and j_0, j_1, \ldots, j_ℓ be two finite sequences of indices and $u = u_{i_0} \ldots u_{i_k}$ and $v = v_{i_0} \ldots v_{j_\ell}$ the corresponding tiles concatenations. Denote X the set of pairs of integers (x_u, x_v) such that $0 \le x_u < |u|$ and $0 \le x_v < |v|$.

The equality u = v holds if and only if there exists a subset SameLength of X with the following properties.

- (1) SameLength contains (|u| 1, |v| 1).
- (2) Let $x = (x_u, x_v) \in \text{SameLength such that } x_u > 0 \text{ and } x_v > 0$. Then $(x_u 1, x_v 1) \in \text{SameLength}$.
- (3) (0,0) belongs to SameLength and this is the only element $x = (x_u, x_v) \in$ SameLength with either coordinate equal to 0.
- (4) For every $x = (x_u, x_v) \in \text{SameLength}$ the letter of index x_u in u is the same than the letter of index x_v in v.

The equality $i_0, i_1, \ldots, i_k = j_0, j_1, \ldots, j_\ell$ holds if and only if there exists a subset SameTile of X with the following properties:

- 5. SameTile contains (|u| 1, |v| 1).
- 6. Let $x = (x_u, x_v) \in \text{SameTile}$ such that $x_u > 0$ and $x_v > 0$. Say that x starts a new tile in u if x_u is the first index of a tile in the factorisation $u = u_{i_0} \dots u_{i_k}$ i.e. if there exists $0 \le m \le k$ such that $x_u = |u_{i_0} \dots u_{i_m}|$. Say that x starts a new tile in v if the symmetrical condition holds for x_v and $v = v_{i_0} \dots v_{j_\ell}$. If x starts a new tile in both u and v then $(x_u 1, x_v 1) \in \text{SameTile}$. If x does not start a new tile in u then $(x_u, x_v 1) \in \text{SameTile}$.
- 7. (0,0) belongs to SameTile. For every $(x_u, x_v) \in$ SameTile, $(x_u < |u_{i_0}| \iff x_v < |v_{i_0}|)$.
- 8. Let $x = (x_u, x_v) \in \text{SameTile}$ and denote $i_u(x) \in I$ the index of the tile appearing at index x_u in the factorisation $u = u_{i_0} \dots u_{i_k}$. Define $i_v(x) \in I$ symmetrically with respect to the factorisation $v = v_{i_0} \dots v_{j_\ell}$. Then $i_u(x)$ and $i_v(x)$ are equal.

Proof. The proof is elementary.

We start with the characterisation of u = v. Assume u = v. Then the set SameLength = $\{(x, x) \mid 0 \le x \le |u| = |v|\}$ has properties 1. to 4. In the opposite direction, assume there exists SameLength $\subseteq X$ with properties 1. to 4. A simple induction shows that for every $0 < i \le \min(|u|, |v|)$, SameLength contains (|u| - i, |v| - i): the case i = 1 follows from property 1. and the induction step from property 2. We show |u| = |v|. Assume w.l.o.g. that $|u| = \min(|u|, |v|)$. Then $(0, |v| - |u|) \in$ SameLength thus by property 3. |u| = |v|. Then u = v follows by property 4.

We continue with the characterisation of $i_0, i_1, \ldots, i_k = j_0, j_1, \ldots, j_\ell$. Let A be the set of pairs $(x, y) \in X$ such that the number of tiles appearing after position x in u is the same that the number of tiles appearing after position y in v. Formally,

$$A = \{ (x, y) \in X \mid \exists m \in 0, \dots, \min(k, \ell) \\ |u_{i_0} \cdots u_{i_{k-m-1}}| \le x < |u_{i_0} \cdots u_{i_{k-m}}| \text{ and } |v_{j_0} \cdots v_{j_{\ell-m-1}}| \le y < |v_{j_0} \cdots v_{j_{\ell-m}}| \} .$$

Assume $i_0, i_1, \ldots, i_k = j_0, j_1, \ldots, j_\ell$ (hence in particular $k = \ell$). Then the set SameTile = A satisfies all properties 5. to 8.

Conversely, let SameTile $\subseteq X$ satisfying all properties 5. to 8. We show by backward induction on the lexicographic ordering on X that SameTile contains all elements in A. Following its definition parametrized by $m \in 0 \dots \min(k, \ell)$, A is partitioned in $A_{\min(k,\ell)}, \dots, A_0$, with all elements in A_m strictly smaller in the lexicographic ordering that all elements in A_{m-1} , for $m \in 1 \dots \min(k, \ell)$. The maximal element in A_0 is (|u|-1, |v|-1), which belongs to SameTile according to property 5. We now explain how to use property 6. in order to perform the induction step. Let $x = (x_u, x_v) \in A_m$ with either coordinate different from 0. On one hand, if x is minimal in A_m then x starts a new tile in both u and v, in which case $(x_u - 1, x_v - 1)$ is the maximal element in A_{m+1} and belongs to SameTile according to property 6. On the other hand, if x is not minimal in A_m , then either $(x_u - 1, x_v)$ or $(x_u, x_v - 1)$ or both of them do belong to A_m . W.l.o.g assume $(x_u - 1, x_v)$ belongs to A_m . Then x does not start a new tile in u thus according to property 6., $(x_u - 1, x_v)$ belongs to SameTile. Now we show $k = \ell$. Assume w.l.o.g. $k = \min(k, \ell)$. Since SameTile contains all A then in particular SameTile contains $(0, |v_{j_0} \cdots v_{j_{\ell-k}}| - 1)$ (take m = k in the definition of A). According to property 7, $|v_{j_0} \cdots v_{j_{\ell-k}}| \leq |v_{j_0}|$ thus $k = \ell$. Using property 8, we conclude that $i_0, i_1, \ldots, i_k = j_0, j_1, \ldots, j_\ell$.

We now define an instance of BIPARTITE COLORING PROBLEM encoding the constraints in Lemma 1. We start with defining the set of colors. Set Q_{\top} be the finite subset of $\Sigma \times I \times \mathbb{N} \times \{0,1\}^2$ containing all (a, i, m, b_0, b_1) where $m < |u_i|$ and a is the *m*-th letter of u_i . Given $q = (a, i, m, b_0, b_1)$, a is called the letter (of q), i the tile index, m the tile position, b_0 the initial letter flag and b_1 the initial tile flag. The tile position is maximal in q if it is equal to $|u_i| - 1$. Define Q_{\perp} similarly with respect to the bottom parts of the tiles $(v_i)_{i \in I}$.

Let C be the set of colors

$$Q_{ op} imes Q_{\perp} imes \{ \texttt{SameLength}, - \} imes \{ \texttt{SameTile}, - \}$$

We define a constraint coloring problem reflecting conditions 1 to 8 of the previous lemma. We use * as a wildcard character which can be replaced by any symbol.

First, we want a bipartite C-coloring $f : [n] \times [m] \to C$ to induce two colorings of [n] and [m] by Q_{\top} and Q_{\perp} respectively. For that we forbid any upper triangle (q, *, *, *)(q', *, *, *) with $q \neq q'$ and every lower triangle (*, r, *, *)(*, r', *, *) with $r \neq r'$. A simple induction shows that if $f : [n] \times [m] \to C$ satisfies the constraints then there exists $f_{\top} : [n] \to Q_{\top}$ and $f_{\perp} : [m] \to Q_{\perp}$ such that for every $(x, y) \in [n] \times [m]$, the first component of f(x, y) is $f_{\top}(x)$ and the second component is $f_{\perp}(y)$.

Second, we want $f_{\top} : [n] \to C$ to induce a concatenation of upper tiles of the PCP instance. Define $u \in \Sigma^*$ to be the word of length n whose x-th letter is the letter of $f_{\top}(x)$. We want to ensure there exists i_0, i_1, \ldots, i_k such that $u = u_{i_0} \cdots u_{i_k}$. For that we require that in every initial color (q, *, *, *), the tile position in q is 0. Moreover, we put constraints on all upper-triangles (q, *, *, *), (q', *, *, *): either the tile position is maximal in q and has value 0 in q', or the tile index does not change between q and q' while the tile position is incremented of one unit. Finally, we require that in every final color (q, *, *, *), the tile position in q is maximal. With a symmetric constraint, we ensure that f_{\perp} induces a word $v \in \Sigma^*$ such that there exists j_0, j_1, \ldots, j_ℓ with $v = v_{j_0} \cdots v_{j_\ell}$.

Third, we put constraints on the initial letter flags and initial tile flags. We want the initial letter flag of $f_{\top}(x)$ to indicate whether or not x = 0. For that we require that all initial colors have the initial letter flag set to 1 and we forbid any upper triangle (q, *, *, *)(q', *, *, *) where q' has the initial letter flag set to 1. We want the initial letter flag of $f_{\top}(x)$ to indicate whether or not $x < |u_{i_0}|$. For that we require that all initial colors have the initial tile flag

set to 1 and we require that in any upper triangle (q, *, *, *)(q', *, *, *), if the tile position in q' is 0 (hence is maximal in q) then its initial tile flag is 0 as well and otherwise if the tile position is > 0 in q' (hence is ≥ 0 in q) then q and q' share the same initial tile flag. A symmetric construction ensures that the initial letter flag of $f_{\perp}(y)$ indicates whether or not y = 0 and the initial tile flag of $f_{\perp}(y)$ indicates whether or not $y < |v_{i_0}|$.

This way we have a natural correspondence between finite bipartite coloring satisfying the above constraints and pairs of factorisations $u = u_{i_0} \cdots u_{i_k}$ and $v = v_{j_0} \cdots v_{j_{\ell}}$ like in Lemma 1. Using this correspondence, we can rephrase the 8 conditions in Lemma 1 as 8 constraints on the finite bipartite coloring, as follows.

- (1) Every final colour has type (*, *, SameLength, *).
- (2) Forbid all squares (*, *, -, *), (*, *, SameLength, *).
- (3) Every initial colour has type $(q_{\top}, q_{\perp}, \texttt{SameLength}, *)$ with both initial letter flags in q and q' set to 1.
- (4) Remove from the alphabet C any color $(q_{\top}, q_{\perp}, \texttt{SameLength}, *)$ such that the letters in q_{\top} and q_{\perp} are not the same.
- (5) Every final colour has type (*, *, *, SameTile).
- (6) Forbid all squares (q_⊥, q_⊥, *, −),(*, *, *, SameTile) such that both tile positions in q_⊥ and q_⊥ are maximal. Forbid all upper-triangles (q_⊥, *, *, −),(*, *, *, SameTile) such that the tile position in q_⊥ is not maximal. Forbid all lower-triangles (*, q_⊥, *, −),(*, *, *, SameTile) such that the tile position in q_⊥ is not maximal.
- (7) Every initial colour has type $(q_{\top}, q_{\perp}, *, \texttt{SameTile})$ with both initial tile flags in q and q' set to 1. Remove from the alphabet C any color $(q_{\top}, q_{\perp}, *, \texttt{SameTile})$ such that the initial tile flags in q and q' are different.
- (8) Remove from the alphabet C any color $(q_{\top}, q_{\perp}, *, \texttt{SameTile})$ such that the tile indices in q_{\top} and q_{\perp} are not the same.

Applying conditions, 4, 7 and 8, we get a set of colours $C \subset C'$ on which the constraint coloring problem is defined. The initial condition C_i is defined by conditions 3 and 7: this is the set of colours in C' of type $(q_{\top}, q_{\perp}, \texttt{SameLength}, \texttt{SameTile})$ with both initial letter flags and initial tile flags set to 1 in both q_{\top} and q_{\perp} . The final condition C_i is defined by conditions 1 and 5: any colourin C' of type (*, *, SameLength, SameTile) is final. The set of forbidden patterns is obtained by taking the conjunction of conditions 2 and 6.

According to Lemma 1, a finite bipartite coloring satisfies those constraints if and only if the PCP instance has a solution. This terminates the proof of Theorem 2.2, thus the BIPARTITE COLORING PROBLEM is undecidable.

3. UNDECIDABILITY OF THE DISTRIBUTED SYNTHESIS PROBLEM WITH 6 PROCESSES

The main result of this section is:

Theorem 3.1. There is an effective reduction from the BIPARTITE COLORING PROBLEM to the DISTRIBUTED SYNTHESIS PROBLEM with six processes.

We show how to effectively transform an instance (C_i, C_f, S, UT, LT) of the BIPARTITE COLORING PROBLEM on a set of colours C into a distributed game G such that:

Lemma 3.2. There is a winning strategy in G if and only if there is a finite bipartite coloring satisfying the constraints (C_i, C_f, S, UT, LT) .

In the rest of the section we describe the construction of the game G and then prove Lemma 3.2.

3.1. Turning a coloring problem into a game. The game G has six processes divided into two pools: the top pool T_0, T_1, T_2 and the bottom pool B_0, B_1, B_2 . The transitions of these processes are quite similar, thus we often use the notation X_{ℓ} to designate any of the six processes, a generic notation where $X \in \{T, L\}$ and $\ell \in \{0, 1, 2\}$. For every process X_{ℓ} we denote next (X_{ℓ}) the process $X_{(\ell+1) \mod 3}$ and we denote prev (X_{ℓ}) the process $X_{(\ell-1) \mod 3}$. For example, next $(T_0) = T_1$ and prev $(B_0) = B_2$.

Uninterrupted plays. are those plays where only the following actions are used:

- There are controllable actions called *increments*, which synchronize two processes of the same pool. In each pool $X \in \{T, B\}$ there are three increment actions $I_{X,0}$, $I_{X,1}$ and $I_{X,2}$. Every increment $I_{X,\ell}$ is controllable and is shared between processes X_{ℓ} and next (X_{ℓ}) .
- Every process X_{ℓ} has also a controllable local *ending* action $END_{X,\ell}$.
- There is a controllable action *winning* action WIN synchronizing all six processes.

The transitions are defined so that an uninterrupted play looks like the one on Figure 2. Since all these actions are controllable, the number of rounds performed before playing actions END is determined by the players and is not influenced by the environment. Intuitively, when the number of rounds of the top and bottom pools are respectively n and m, the players claim they have a solution $f:[n] \times [m] \to C$ to the bipartite coloring problem.



Figure 2: A maximal uninterrupted play where the top pool plays 3 rounds and the bottom pool plays 6 rounds. Rounds are separated by dashes. All actions are controllable.

In each pool $X \in \{T, B\}$, increments have to be played in a fixed order:

 $I_{X,0}, I_{X,1}, I_{X,2}, I_{X,0}, I_{X,1}, \ldots$

Each subsequence $I_{X,0}$, $I_{X,1}$, $I_{X,2}$ is called a *round*. This pattern is enforced by maintaining in the state space of every process X_{ℓ} a flag indicating whether or not the process is allowed to synchronize with next(X_{ℓ}). The flag is initially set to 1 for X_0 and to 0 for X_1 and X_2 , and is toggled every time the process performs an increment.

The only way for processes to win is a transition on the global action WIN, in which case they immediately and definitively enter a final state, and no further transition can occur after that. A transition on WIN can be triggered once all six processes have performed a local transition on their END action.

The transition on the local action $\text{END}_{X,\ell}$ for process X_{ℓ} is available if and only if the process has played at least one increment action and has finished his last round, i.e. its last increment action was with $\text{prev}(X_{\ell})$. This constraint can easily be encoded with a flag in the state space of the process.

This way a maximal uninterrupted play is the parallel product of two words $(I_{T,0}I_{T,1}I_{T,2})^n$ and

 $(I_{B,0}I_{B,1}I_{B,2})^m$ for some positive integers n, m, followed by the six local ending actions and finally the action WIN. This is represented on Fig. 2.

Interrupting plays by colour checks. The environment has the ability to interrupt a play by triggering some uncontrollable *check actions*. This is represented on Figure 3. Intuitively, when the environment interrupts the play after x rounds of the top pool and y rounds of the bottom pool, the players are asked to pick the colour $f(x, y) \in C$ of the edge (x, y) of the solution to the coloring problem. There are rules which enforce the players to consistently define that way a finite bipartite coloring $f : [n] \times [m] \to C$, where n and m are respectively the number of rounds of the top and bottom pools before playing END. If the players cheat or if f does not satisfy the constraints, the players may lose the game.



Figure 3: An uncontrollable check of processes T_1 and B_1 after 3 rounds of the top pool and 4 rounds of the bottom pool. Intuitively, the environment is asking to processes T_1 and B_1 "what is the value of f(3, 4), where $f : [n] \times [m] \to C$ is a solution to the constrained coloring problem ?". The check is followed by a controllable answer of the same two processes, parametrized by a colour $c \in C$. This way the processes claim that f(3, 4) = c. The answer is followed by either the WIN or the LOSE action, which terminates the game

For every index $\ell \in \{0, 1, 2\}$, there is an uncontrollable *check* action $CHECK_{\ell}$ which synchronizes the two processes T_{ℓ} and B_{ℓ} . Transitions on action $CHECK_{\ell}$ is possible if and only if the last action of both processes is either a increment or the action END. In particular, both processes should have played at least one increment. After the check, the only possible transitions rely on controllable *answer* actions $ANSWER_{\ell,c}$ synchronizing T_{ℓ} and B_{ℓ} and indexed by colours $c \in C$. The answer is stored in the state space of the processes.

After that, there are only two possible outcomes: either the WIN or the LOSE action synchronizes all processes, and then no further transitions is available. There is no extra

condition needed to trigger the global transition on WIN: as soon as at least one pair of processes has performed an answer the transition on WIN is available.

Five ways to lose. Considering the non-deterministic environment as adversarial, it prefers transitions on LOSE rather than those on WIN. Losing can occur in five different ways, corresponding to the five constraints in the definition of the BIPARTITE COLORING PROBLEM.

The conditions for losing rely on the definition of the *round index* of a play u for a process X_{ℓ} , defined as (h-1)/2 where h is the number of increments played by X_{ℓ} in u. the round index is denoted $R_{X_{\ell}}(u)$. A transition on LOSE is possible in case one of the following conditions holds:

- a. if both processes T_{ℓ} and B_{ℓ} are checked during round 0 and their answer is a colour c which is not initial (i.e. $c \notin C_i$). The condition "being in round 0" can be stored in the state space of the processes, and used to allow or not this transition; or
- b. if both processes T_{ℓ} and B_{ℓ} have played END and their answer is a colour c which is not final (i.e. $c \notin C_f$).

There are three other ways to lose, which require that two checks occur in parallel, for two different pairs of processes indexed by ℓ and ℓ' respectively. We choose ℓ and ℓ' so that $0 \leq \ell' < \ell \leq 2$. This leads to two parallel answers corresponding respectively to colours cand c'.



Figure 4: One of five ways to lose: two checks occur in parallel during the same round and the two pairs of processes give (in parallel as well) two different answers $c \neq d$. This allows the environment to trigger the LOSE action. Intuitively, the players have cheated since they gave two different answers to the question "what is the value of f(3, 4)"?

The conditions for LOSE are based on a comparison of c and c' as well as the respective rounds of the processes. For each pool $X \in \{T, B\}$ and every play u there are two possibilities. Either both processes X_{ℓ} and $X_{\ell'}$ are in the same round (meaning they have the same round index in u) or process X'_{ℓ} is one round ahead of X_{ℓ} (meaning that $R_{X'_{\ell}}(u) = 1 + R_{X_{\ell}}(u)$). No other case may occur because every uninterrupted play in pool X is a prefix of a word in $(I_{X,0}I_{X,1}I_{X,2})^*$ END. For making the distinction between both cases, it is enough to keep track of the number of increments of each process modulo 4, from which one can compute the parity of the round index of each process, and compare the respective parities for the two processes. As a consequence, the transition table can distinguish between both cases. A transition on LOSE is possible in case one of the following conditions holds:

- c. in both pools both processes are in the same round but the answers are different (i.e. $c \neq c'$); or
- d. in both pools process X'_{ℓ} is one round ahead of X_{ℓ} and the pair of answers (c, c') is a forbidden square (i.e. $(c, c') \in S$); or
- e. in the top pool process T'_{ℓ} is one round ahead of T_{ℓ} while in the bottom pool both processes are in the same round and the pair of answers (c, c') is a forbidden upper-triangle (i.e. $(c, c') \in UT$); or
- f. in the top pool both processes are in the same round while in the bottom pool process B'_{ℓ} is one round ahead of B_{ℓ} and the pair of answers (c, c') is a forbidden lower-triangle (i.e. $(c, c') \in LT$).

Condition c is illustrated on Figure 4 while condition is illustrated on Figure 5.



Figure 5: Another way to lose: two checks occur in parallel. CHECK₂ occurs in round 2 of pool T and round 3 of pool B thus T_2, B_2 are assumed to answer with d = f(2, 3). In parallel, CHECK₀ occurs in round 3 of pool T and round 4 of pool B. Hence T_0, B_0 are assumed to answer with d = f(3, 4). We assume here that the pairs of answers (c, d) is a forbidden square, which allows the environment to trigger the LOSE action.

3.2. **Proof of Lemma 3.2.** Lemma 3.2 is an equivalence. We start with the converse implication. Assume that there is a finite bipartite coloring $f : [n] \times [m] \to C$ satisfying the constraints (C_i, C_f, S, UT, LT) . A winning strategy for processes of the top (resp. bottom) pool, as long as they are not interrupted by a check, consists in playing n rounds (resp. m rounds) of increments, followed by the END action. If the environment triggers a check on T_ℓ and B_ℓ after some play u, the processes answer f(x, y), where x (resp. y) is the round index of T_ℓ (resp. of B_ℓ) in u. This information is available to both processes since they share the same causal past right after the check. Since f satisfies the constraint, the environment cannot trigger a transition on LOSE. Condition a) cannot occur because all the answers when both processes are in round (n, m) are equal to $f(n, m) \in C_f$. Condition c) cannot occur because the answers only depends on the rounds, independently of the identity of the processes. Condition d, e and f) cannot occur because no pattern induced by f is forbidden.

We now prove the direct implication of Lemma 3.2. Assume that processes have a winning strategy σ . The first step is to define a finite bipartite *C*-coloring *f*. Let *n* (resp. *m*) be round index of T_1 (resp. of B_1) in the maximal uninterrupted play consistent with σ (which exists since σ is winning). For every $(x, y) \in [n] \times [m]$ denote

$$u_{T,x} = (\mathbf{I}_{T,0}\mathbf{I}_{T,1}\mathbf{I}_{T,2})^x \mathbf{I}_{T,0}\mathbf{I}_{T,1}$$
$$u_{B,y} = (\mathbf{I}_{B,0}\mathbf{I}_{B,1}\mathbf{I}_{B,2})^y \mathbf{I}_{B,0}\mathbf{I}_{B,1}$$
$$u_{x,y} \text{ the parallel product of } u_{T,x} \text{ and}$$

and let f(x, y) be the answer of T_1, B_1 after a check on the play $u_{x,y}$ i.e.

f(x,y) = c such that $ANSWER_{1,c} \in \sigma(u_{x,y}CHECK_1)$.

 $u_{B,y}$

This is well defined: since σ is winning it creates no deadlock thus at least one answer is played by T_1, B_1 after $u_{x,y}$ CHECK₁.

We show that f satisfies the five constraints (C_i, C_f, S, UT, LT) .

Constraint C_i on initial colors. Since σ is winning, no transition on action LOSE is available after the check $\sigma(u_{0,0}CHECK_1)$. Thus according to condition a) above, f(0,0) is an initial color.

Constraint C_f on final colors. We consider the checks

$$\begin{split} p_1 &= u_{n,m} \mathrm{CHECK}_1 \\ p_2 &= u_{n,m} \mathrm{I}_{T,2} \mathrm{I}_{B,2} \mathrm{CHECK}_2 \\ p_3 &= u_{n,m} \mathrm{END}_{T,1} \mathrm{END}_{B,1} \mathrm{CHECK}_1 \ , \end{split}$$

and the corresponding answers f(n,m), c_2 and c_3 . Remark that p_1 and p_2 can occur in parallel, because processes T_1 , B_1 do not play in $I_{T,2}I_{B,2}$ CHECK₂. For similar reasons, also p_2 and p_3 can occur in parallel. Moreover, in all these three plays, process T_1 and T_2 are in the same round, and processes B_1 and B_2 are also in the same round (remember that END actions are not accounted for when computing the round index). Since σ is winning, condition c) will neither be satisfied by the pair of parallel checks p_1, p_2 nor by the pair p_2, p_3 , thus $f(n,m) = c_2 = c_3$. Finally, after the check p_3 , both processes T_1, B_1 are in the state End and they answer f(n,m). According to condition b) above, f(n,m) is a final color.

Constraint S on forbidden squares. Let $x, y \in [n-1] \times [m-1]$. We consider four possible checks

$$\begin{split} p_1 &= u_{x,y} \texttt{CHECK}_1 \\ p_2 &= u_{x,y} \texttt{I}_{T,2} \texttt{I}_{B,2} \texttt{CHECK}_2 \\ p_0' &= u_{x,y} \texttt{I}_{T,2} \texttt{I}_{B,2} \texttt{I}_{T,0} \texttt{I}_{B,0} \texttt{CHECK}_0 \\ p_1' &= u_{x+1,y+1} \texttt{CHECK}_1 \ . \end{split}$$

By definition of f, the answers to p_1 and p'_1 are respectively f(x, y) and f(x + 1, y + 1). Denote c the answer to p_2 and d the answer to p'_0 . The four checks are illustrated on Figure 6.

The two checks p_1 and p_2 can occur in parallel during the same round, thus according to condition c), f(x, y) = c. The two checks p_2 and p'_0 can occur in parallel and the check p'_0 is one round ahead of the check p_2 , with respect to both pools. Since σ is winning, condition d) cannot happen hence (c, d) is not a forbidden square. The two checks p'_0 and p'_1 may



Figure 6: The four checks used to enforce the constraint on forbidden squares, and their answers. These four checks cannot happen altogether in the same play, but any pair of two successive checks can happen in parallel, in the same play.

occur in parallel during the same round, thus according to condition c), d = f(x + 1, y + 1). Finally (f(x, y), f(x + 1, y + 1)) is not a forbidden square.

Constraint UT on forbidden upper-triangles. Let $x, y \in [n-1] \times [m]$. We consider the plays

$$\begin{split} p_1 &= u_{x,y} \texttt{CHECK}_1 \\ p_2 &= u_{x,y} \texttt{I}_{T,2} \texttt{I}_{B,2} \texttt{CHECK}_2 \\ p_0' &= u_{x,y} \texttt{I}_{T,2} \texttt{I}_{B,2} \texttt{I}_{T,0} \texttt{CHECK}_0 \\ p_1' &= u_{x+1,y} \texttt{CHECK}_1 \ . \end{split}$$

By definition of f, the answers to p_1 and p'_1 are respectively f(x, y) and f(x, y + 1). Denote c the answer to p_2 and d the answer to p'_0 . The four checks are illustrated on Figure 7.



Figure 7: The four checks used to enforce the constraint on forbidden upper-triangles, and their answers. These four checks cannot happen altogether in the same play, but any pair of two successive checks can happen in parallel, in the same play.

The two checks p_1 and p_2 can occur in parallel during the same round thus according to c), f(x, y) = c. The two checks p_2 and p'_0 can occur in parallel, with process T_0 one round ahead of T_1 and processes B_0 and B_1 in the same round thus according to e), (c, d) is not a forbidden upper-triangle. The two checks p'_0 and p'_1 can occur in parallel during the same round thus according to c), d = f(x + 1, y). Finally, (f(x, y), f(x + 1, y)) is not a forbidden upper-triangle.

Constraint LT on forbidden lower-triangles. This case is symmetric with the UT case above.

Finally, f is a finite bipartite coloring satisfying all six constraints. This terminates the proof of the direct implication of Lemma 3.2.

CONCLUSION

We have proved that the DISTRIBUTED SYNTHESIS PROBLEM is undecidable for six processes. This problem is known to be decidable for four processes [5]. The case of five processes remains an open question.

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