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Ruthenium Polypyridyl Complex-containing

Bioconjugates

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Highlights

- Bioconjugation improves some of the features of the Ru(II) polypyridyl complexes.
- The properties of the complexes are not usually altered by the bioconjugation.
- Peptides are among the most common biomolecules used in bioconjugation.
- The bioconjugates were used for different purposes.

Abstract

In the last decades, the number of articles describing the synthesis, characterization and applications of ruthenium polypyridyl complexes has significantly increased. One of the reasons is their tuneable, outstanding luminescent properties. However, ruthenium polypyridyl complexes usually have no intrinsic selectivity towards cancer cells, making them impractical, for example, in certain biological applications. To overcome this drawback, these complexes have been conjugated to biomolecules. In this review, we describe the different ruthenium polypyridyl complex-containing bioconjugates reported so far and their applications as, for example, anticancer drug candidates, sensors or biocatalysts. However, we are not reviewing ruthenium-containing nucleotides and DNA conjugates since these topics were recently reported. The multiple ways of attaching the biomolecules (i.e., peptides, antibodies, carbohydrates, lipids and vitamins) to the ruthenium core are also summarized.

Graphical Abstract

Keywords: Bioconjugates, Bioinorganic Chemistry, Inorganic Chemical Biology, Medicinal Inorganic Chemistry, Ruthenium Polypyridyl Complexes

Abbreviations

3T3: mouse embryonic fibroblasts 5Bpy: 5'-amino-2,2'-bypyridine-5-carboxylic acid A549: human lung carcinoma cells A549R: cisplatin resistant human lung carcinoma cells Ab: antibody Abs: Absorption ABC: avidin-biotin complex ABDA: 9,10-anthracenediyl-bi(methylene)- dimalonic acid ABTS: 2,2'-azino-bis[ethyl-benzothiazoline-(6)-sulfonic acid] ADC: Antibody-drug conjugates AE9a: leukaemia cancer cells AML: acute myeloid leukaemia amol: attomole AQC: anthraquinone-2-carboxylic acid AQS: anthraquinone-2-sulfonic acid B16F10: murine melanoma cells BBB: blood brain barrier BBN: bombesin BDC: bis(demethoxy)curcumin biptpy: 4′-(4-biphenyl)- 2,2′:6′,2′′-terpyridine Biqbpy: 6,6′-bis[N-(isoquinolyl)-1-amino]-2,2′-bipyridine BM: primary bone marrow cells bpy: 2,2'-bipyridine BQ: 1,4-benzoquinone BR: biotin receptor CA: chloroanil, tetrachlorobenzoquinone CaSki: human caucasian cervical epidermoid carcinoma CCOx: cytochrome *c* oxidase CD: circular dichroism CD: cyclodextrine

CHO: Chinese Hamster Ovary cells

CLSM: confocal laser scanning microscopy

ConA: concavalin A

CPP: cell-penetrating peptide

CsA: cyclosporine A

CT-DNA: calf thymus DNA

CV: cyclic voltammetry

DABA: 2,4-diaminobutyric acid

DAPA: 2,6-Diaminopimelic acid

DAPI: 4',6-Diamidino-2-phenylindole nuclear stain

dcbpy: 2,2′-bipyridine-4,4′- dicarboxylic acid

dcbpy: 6,6'-dichloro-2,2'-bipyridine

DCBQ: 2,6-dichlorobenzoquinone

dmbpy: 4,4'-dimethyl-2,2'-bipyridine

DMF: dimethyl formamide

DMPC: dimyristoylphosphatidylcholine

DMPG: dimyristoylphosphatidylglycerol

dpp: 4,7-diphenyl-1,10-phenanthroline

dppg: dipalmitoylphosphatidylglycerol

dppn: Benzo[i]dipyrido-[3,2-a:2',3'-c]phenazine

dppz: dipyrido-[3,2-*a*:2',3'-*c*]-phenazine

DTT: dithiothreitol

EC₅₀: Effective concentration; concentration at which a 50 % survival rate on cell viability is observed.

Ect1/E6E7: ectocervical cells

EGFR: epidermal growth factor receptor

ER: endoplasmic reticulum

eT: electron transfer

ET: energy transfer

FA: folic acid

FLIM: fluorescence lifetime imaging

FP: fluorescence polarization

GLUT: glucose transporters

H1299: human lung carcinoma cells

H1437: human lung carcinoma cells

H2TPP: tetraphenylporphyrin

HaCaT: normal keratinocyte

hdpa: 2,2'-dipyridylamine

HeLa: human cervical epitheloid carcinoma

HepG2: human hepatocarcinoma cells

HLF-a: primary human lung fibroblasts

HSA: human serum albumin

HT-29: human colon cancer cells

Htme: 2-(methylthio)ethanol

IC₅₀: Inhibitory potency; concentration at which a 50 % of cells are death.

IC_{min}: minimum inhibition concentration

Ig: immunoglobulin

IgG: immunoglobulin G

ITC: isothermal titration calorimetry

L929: mouse fibroblast cells

LDC: lipid-drug conjugates

LF: lumiflavin

LLIM: Luminescent lifetime imaging microscopy

LS174T: colorectal cancer cells

mAb: monoclonal antibody

Mb: myoglobin

MBQ: 2-methylbenzoquinone

MCF-7: human breast adenocarcinoma

MCTSs: 3D multicellular tumour spheroids

MDA-MB-231: breast cancer cells

MDCK: Madin-Darby Canine Kidney cells

MeCN: acetonitrile

MeOH: methanol

MPP: mitochondrial-penetrating peptide MPT: mitochondrial permeability transition MtMP: mitochondrial membrane potential MTs: microtubules MV: Methylviologen NA: nicotinamide NB: nanobody NHS: *N*-hydoxysuccinimide NLS: nuclear localizing sequence NQ: naphtaquinone PACT: Photoactivated Chemotherapy PBS: phosphate saline buffer PDT: Photodynamic Therapy PEO: poly(ethylene oxide) phen: phenanthroline PI: phototoxicity index PLPG: photolabile protecting group PNA: peanut agglutinin PS: photosensitizer PS140-*b*-PAA48: polystyrene140-b-poly(acrylic acid)48 PSII: photosystem II ptrpy: 4′-phenyl-2,2';6',2"-terpyridine RCA: Ricinus comunnis agglutinin 120 RF: riboflavin RGD: Arginylglycylaspartic acid RNS: reactive nitrogen species ROS: reactive oxygen species SATA: *N*-succinimidyl S-acetylthioacetate SiHa: human squamous carcinoma of the cervix Sp2/0-Ag 14: myeloma cells SST: somatostatine

SSTRs: somatostatine receptors STD: saturation transfer difference STED: stimulated emission depletion TA: transient absorption TCNQ: tetracyanoquinodimethane TFA: trifluoroacetic acid TP: two-photon TPA: two-photon absorption TPP: tripheynlphosphonium tpy: $2,2$ ':6',2''-terpyridine TTC: Tetanus toxin c-fragment VDAC: voltage-dependent anion channel VEGF: vascular endothelial growth factor VEGFR2: vascular endothelial growth factor receptor 2 WGA: Wheat germ agglutinin YDS: yolk disialo oligosaccharide β-CD: β-cyclodextrine

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1. Introduction

In the last decades, Ru(II) polypyridyl complexes have gained great attention in different research areas due to their outstanding photophysical properties. The prototype of this family of complexes is $[Ru(bpy)3]^{2+}$, which shows strong absorption in the visible region of the spectra $(\lambda_{\text{max}} = 452 \text{ nm}, 13'000 \text{ M}^{-1} \text{ cm}^{-1}, \text{MLCT})$, strong phosphorescence emission $(\lambda_{\text{max}} = 620 \text{ nm},$ $\Phi_{PL} = 0.095$ in deoxygenated CH₃CN at r.t., ³MLCT) and long emission lifetime ($\tau = 930$ ns in deoxygenated CH3CN at r.t.). The electrochemical properties of this complex are also relevant with an interesting redox behaviour: reversible oxidation of the Ru(II)/Ru(III) pair at *ca.* 1 V and three reduction peaks (the first two reversible and the last one quasi-reversible), corresponding to each of the bpy ligands. In addition, the versatility of Ru(II) polypyridyl complexes as energy donors, electron acceptors and electron donors along with their great stability in solution, tunability and ease of synthesis[1] make them excellent candidates as catalysts,[2–4] solar dyes,[5–7] in light-emitting electrochemical cells[8–10] and light-emitting diodes,[11] as well as in many biological applications such as anticancer agents[11–15] or photosensitizers for photodynamic therapy (PDT).[16–20] Fig. 1A displays the percentage of publications related to the different applications that Ru(II) polypyridyl complexes have been involved in. Almost half of them are in biological related areas.

State-of-the-art

In 1936, Burstall reported the synthesis of the first Ru(II) polypyridyl complex, namely $[Ru(bpy)_3]Cl_2.[22]$. In the early 80s, the discovery of the "ruthenium blue dimer" $([(bpy)_2 Ru(H_2O)(\mu-O)(H_2O)Ru(bpy)_2]^{4+})$ in water splitting [23] triggered the expansion of the preparation of this kind of complexes. Since then, an important variety of bipyridine-type ligands has been designed and developed to tune the physico-chemical properties of this type of complexes.[24] In the last 20 years, the number of publications about ruthenium polypyridyl complexes has grown exponentially (Fig. 1B), highlighting their relevance.

Fig. 1. A) Percentage of publications about different applications of Ru polypyridyl complexes. Searched on April 22nd 2020. B) Evolution of the number of publications per year related to "Ru polypyridyl" search in Web of Science in the last 20 years. Searched on May 9th 2020.

Regarding their use as anticancer drugs, a ruthenium polypyridyl complex (TLD-1433), developed by McFarland and co-workers[21,25] is currently in Phase II clinical trials as a photodynamic therapy photosensitizer against bladder cancer.[26] This achievement is definitively an encouragement for scientists to working with ruthenium complexes.

Bioconjugation is a strategy to covalently combine two or more molecules, where at least one of the fragments is a biomolecule or a bioactive compound.[27] The discovery of naturally occurring metal complexes like the haem group in haemoglobin (Fe), vitamin B_{12} , cobalamin (Co)[28] and hydrogenases (Ni/Fe and Fe/Fe),[29] has motivated an increasing interest in the development of new synthetic metal-containing biomolecules/bioconjugates. Some reviews concerning ruthenium-containing bioconjugates have been reported by Gunnlaugsson and coworkers, who reviewed only bioconjugates with in vitro and in vivo applications, by Lo and coworkers, who gathered some examples among luminescent Ruthenium polypyridyl complexes) and by Chen and co-workers, who reported different transition metal complexes as PSs for theranostic applications.[30–32] However, these reviews are not only exclusively dedicated to bioconjugation. In this review, we intend to discuss the recent advances on the preparation and

use of ruthenium polypyridyl complex-containing bioconjugates. We have made sure to highlight the applications of these conjugates.

Among the biomolecules used in bioconjugation, DNA and nucleotides are on top of the list of biomolecules conjugates to ruthenium polypyridyl complexes (76 % of publications), as shown in Fig. 2. The second place is for complexes bearing proteins, peptides and amino acids (21 %), and the remaining 3 % (following decreasing order) is shared among lipids, sugars and carbohydrates, antibodies and vitamins. Thus, there is still place for the design, study and development of new bioconjugates, especially with uncommon biomolecules. We note that this review will not gather ruthenium-containing nucleotides and DNA conjugates[9,33–37] since this was recently reviewed by our group.[38] We also note that Chao and co-workers recently reported a review on how to design metal complexes to target specific organelles.[39] They previously published another review on the use of ruthenium complexes as anticancer drugs and their potential targets in cells.[14]

Fig. 2. Pie chart of number of publications for different Ru(II) polypyridyl bioconjugates. The label presents the search. Searched on June 9th 2020.

1.1 Peptides

There are many different synthetic pathways to covalently attach ruthenium complexes to peptides. This will depend on the target amino acid (AA). Lysine and cysteine are the most common AAs that have been used to attach novel functionalities to peptides. Lysine easily

reacts with *N*-hydroxysuccinimide (NHS), thiocyanate or maleimide through the free amino group from its lateral chain. Maleimide can easily react with cysteines by Michael addition. In addition, cysteines, which are nucleophilic, can react with alkyl bromide, iodoacetamide and epoxides. Amino groups can react with the carboxylic acid of aspartic acid and glutamic acid in the presence of crosslinking carboxydiimide reagents. In the case of metal complex with a leaving group (i.e., a labile molecule like a water molecule), histidine is one of the best options for direct linkage.[40] Fig. 3 gathers some examples of structures bearing different reactive moieties that are coupled to amino acids. Meier-Menches and Casini recently reported strategies to prepare metal-peptide bioconjugates.[41]

Fig. 3. Structures of Ru(II)-diimine functionalized complexes that can be covalently linked to proteins. Adapted from reference [40].© 2015 Elsevier B.V.

1.1.1 Amino acids

Fig. 4. Structures of the AA-labelled complexes **1-Ala**, **2-Tyr**, **3-Met**, **4-Phe** and **5-Trp**.

One of the easiest and simplest ways to functionalize Ru(II) polypyridyl complexes is their coupling with an amino acid. Some amino acids were attached to bipyridyl ligands through amid bonds. One of the first examples of these AA-labelled complexes was reported by Magnuson *et al.* to mimic Photosystem II (PSII) reactions.^[42] In the photosynthesis, once the water oxidation has occurred, the released electrons are delivered to a tyrosine (Tyrosine Z, TyrZ). To check this mechanism, photosensitizers (PS) **1-Ala** and **2-Tyr** (Fig. 4) bearing alanine and tyrosine, respectively, were synthesised. The two-step photoinduced electron transfer (eT) mechanism (only for **2-Tyr**) occurs as follows. Firstly, in the presence of electron acceptors (Methylviologen, MV^{2+} and $Co(NH_3)_{5}Cl^{2+}$) and light, the Ru(II) core is oxidized to Ru(III). In the second step, one electron is intramolecularly extracted from the covalently attached tyrosine and transferred back to the Ru(III), restoring the PS. This mechanism successfully met the actual water oxidation in PSII.

With the same purpose, Eswaran *et al.* described the photoinduced electron transfer reactions of Ru(II) derivatives with alanine (**1-Ala**), tyrosine (**2-Tyr**), methionine (**3-Met**) and phenylalanine (**4-Phe**) shown in Fig. 4.[43] In this case, however, different quinones were selected as quenchers. The emission intensities of the complexes were quenched by the quinones following a trend: higher quenching was observed for quinones with higher reduction potentials.

Recently, Ramu *et al.* prepared and tested compounds **2-Tyr** and **5-Trp** (with tyrosine and tryptophan, respectively, see Fig. 4) in cells as photodynamic therapy (PDT) agents.[44] Both amino acid derivatives displayed improved water-solubility when compared with the nonconjugated ruthenium complex. Different DNA binding studies (i.e., UV-vis, fluorescence and isothermal titration calorimetry, ITC) revealed that complexes **2-Tyr** and **5-Trp** are able to bind DNA through groove binding and that the process was entropically driven. The binding affinity constants calculated by the different techniques were $K_a = 1.18-3.2 \times 10^5$ M⁻¹ and $K_a = 1.57 5.3 \times 10^5$ M⁻¹ for **2-Tyr** and **5-Trp**, respectively. Gel electrophoresis exhibited photoinduced DNA cleavage through singlet oxygen generation $(^1O_2)$. Additionally, 1O_2 quantum yield values were 0.80 for **2-Tyr** and 0.72 for **5-Trp**, respectively in acetonitrile. Cytotoxicity was also tested in A549 cells (human lung carcinoma cells) and Hct116 cells (human colon cancer), by incubating cells with the complexes for 4 h in the dark before irradiation $(\lambda \sim 450-480 \text{ nm}, 10^{-10})$ J/cm²). Both of the compounds were found to be non-toxic in the dark (IC₅₀ > 300 μ M). After light irradiation, the IC50 values decreased to 25 and 28 µM, for **2-Tyr** and **5-Trp**, respectively, showing a great potential as PDT PSs. The toxicity was assessed in 3D spheroids, reporting a 2-fold increase in IC_{50} values from 2D to 3D cell models. The phototoxicity index (PI) in 2D and 3D cell cultures were > 10 and > 4 for 2-Tyr and 5-Trp, respectively. ¹O₂ was found again responsible for cell death since extra cell experiments using NaN₃ as ${}^{1}O_{2}$ quencher demonstrated a lowering of cell toxicity. Comet assay performed in A549 cells showed that DNA cleavage was occurring in cells with complex **5-Trp** causing more damage than complex **2-Tyr**. Cellular imaging revealed that the complexes were preferably internalised in the mitochondria and, to some extent, in the cell membrane. These results are in good agreement with the lipophilic nature of the compounds $(\log P = -1.31 \text{ and } -1.01, \text{ for } 2-\text{Tyr} \text{ and } 5-\text{Tp},$ respectively) since both lipophilicity and cellular uptake followed the same trend (**2-Tyr** > **5- Trp**). Further assays to check the antimetastatic effectiveness (mimic the ability of cells to spread to other tissues) of the complexes in MDA-MB231 cells (breast cancer cells) were performed. Both derivatives showed ability to inhibit cell migration $(4 h$ incubation + irradiation + 10 h incubation in the dark) in the wound healing assay. Moreover, complex **2-Tyr** prevented cell invasion more efficiently than **5-Trp** (17.5 % and 37.5 % reduction for **2-Tyr** and **5-Trp**, respectively, compared to 100 % for the control experiment). Finally, apoptosis was determined as the cell death pathway. All these results highlight the promising ability of these amino acid derivatives as PDT PSs.

Fig. 5. Structures of the AA-labelled complexes **6-DAPA**, **7-DABA**, **8-Orn** and **9-Lys**.

Geisser *et al.* studied the effect of the alkyl chain length of different amino acid derivatives (**6- DAPA**, **7-DABA**, **8-Orn** and **9-Lys**) on the luminescent properties of the complexes in function of the pH (Fig. 5).[45] They used DAPA (2,6-Diaminopimelic acid), DABA (2,4 diaminobutyric acid), ornithine and lysine as the amino acids, attached through an amid bond to a bipyridine ligand. Thus, the number of carbons increase from 1 in **6-DAPA**, to 4 in **9-Lys**. Each complex has three pK_a , corresponding to the amide, carboxylic acid and amine groups. At very low and high pH, no difference was found in the UV-vis absorption. This fact suggests the absence of direct electronic interactions between the amino acid and the ruthenium core. However, regarding the emission at pH 1, it got completely quenched, due to the protonation of the amide bond. At intermediate pH values, between 2 and 8, the emission intensity and the lifetimes increased with the number of methylene groups. These findings revealed that there is no interaction between the amino acids and the ruthenium chromophore in the ground state, but there is in the excited state. This difference of states (i.e., ground and excited state) is smaller for **6-DAPA** and **7-DABA**. Therefore, the amid linkage could be a key factor to control the photophysical properties of this kind of complexes.

Fig. 6. Structures of ʟ-proline conjugates **Λ-10**, **Δ-10**, **11a**, **11b** and **12**.

Unlike other amino acids, *L*-proline has been coupled to Ru(II) complexes as a chiral auxiliary for the synthesis and isolation of enantiomerically pure complexes. Although some other chiral molecules were used (2-diphenylphosphino-2′-hydroxy-1,1′-binaphthyl (HO- MOP), 2 sulfinylphenols (SO), salicyloxazolines (Salox) or N-acetyl-tert-butanesulfinamide (ASA)), Meggers and co-workers were pioneers in using *L*-proline as a cheap, simple and efficient strategy to prepare enantiomerically pure complexes.[46] The reaction of racemic $[Ru(bpy)2Cl_2]$ with *L*-proline in ethylene glycol in the presence of K_2CO_3 at 190 °C, yielded both **Λ-10** and **Δ-10** (Fig. 6) diastereomers in ≥ 20:1 ratio. Although both diastereomers are probably formed in the reaction, at high temperatures, only the Λ derivative is stable, whereas the Δ isomer might release the proline ligand. This turns the Λ isomer into the thermodynamically stable diastereomer. To check the scope of this reaction, the minor isomer (**Δ-10**) was heated in ethylene glycol at 190 ºC under argon. After 10 min, conversion to the

major isomer Λ was observed ($>$ 20:1). In addition, the replacement of *L*-proline by a bipyridine (bpy) or phenanthroline (phen) in acetonitrile in acid conditions (trifluoroacetic acid, TFA) at 110 ºC resulted in the formation of the Λ isomer (99:1 and 98:2 for bpy and phen, respectively). Nevertheless, it did not work that well with other Ru complexes. The exposition of the Λ isomer in different solvents (i.e., MeCN, DMF, MeOH) to visible light at room temperature resulted in the racemization of the complex in about 2 days. This rate increased by diminishing time to 10 h, when the evolution was performed at 50 ºC. In dark conditions, however, no racemization was observed. We note that this interesting methodology of asymmetric synthesis is not exclusive for ruthenium complexes and was found to be also successful, for example, with Ir(III) biscyclometalated complexes.[47] In addition, the conversion from Δ to Λ enantiomers in aqueous solution of Ru(II) polypyridyl complexes coupled to amino acids were firstly observed by Vagg and Williams in 1981. In this case, the tested complexes used ʟ-serine and L -tryptophan N,O-coordinated to the ruthenium core, instead of L -proline, to yield $\lceil Ru(bpy)_{2}(L-P)$ serine)]ClO₄, $[Ru(phen)_2(L\text{-}serine)]ClO_4$, $[Ru(bpy)_2(L\text{-}tryptophan)]ClO_4$ and $[Ru(phen)_2(L\text{-}terptophan)]ClO_4$ tryptophan)]ClO4, respectively.[48,49]

A distinct application as a protecting ligand was found for *L*-proline. Bonnet and co-workers. reported sterically hindered complexes **10**, **11a**, **11b** and **12** (Fig. 6), by systematically adding methyl groups on the bpy ligand(s) [from zero (**10**) to two (**11a** and **11b**) and to four (**12**)].[50] All complexes were obtained as racemic mixtures, with the Λ as the major isomer, except for the most hindered **12**, which was obtained as the single Λ-isomer. The photoreactivity of the complexes was studied under different conditions and solvents. Thus, **10** showed loss of hydrogen atoms in the proline ligand after irradiation with 493 nm light under air in PBS as seen by UV-vis and MS. The same experiment performed under argon did not display any change, except for the racemization of the complex. With these features, the authors proposed a mechanism of photooxidation and photoisomerization. The same experiments showed no photooxidation for **12**. Photoconversion from **11a** (kinetic product) to **11b** (thermal product) was detected. Photooxidation, but in a slower rate, was also observed. The explanation dwells in the acidity of the NH of the proline and in the number of methyl substituents on the bpy ligands. An increment of the number of methyls causes an increase of the electron density on the ruthenium, as the methyl groups are electron donors, which decreases the acidity of the proline amine. When these experiments were performed in MeCN, non-selective photosubstitution of either the proline or the dmbpy (4,4'-dimethyl-2,2'-bipyridine) by solvent molecules was observed. Thus, this study highlights the value of the solvent in the photoreactivity of ruthenium polypyridyl complexes, especially when studying biological systems and some microenvironments that are more hydrophobic than H2O.

Fig. 7. Structure of the tetradentate water-soluble complex **13**.

The same authors also prepared the first light-activated *trans* ruthenium-based anticancer prodrug candidates.[51] The water-soluble complex **13** is based on a tetrapyridyl ligand (6,6′ bis[N-(isoquinolyl)-1-amino]-2,2′-bipyridine, biqbpy), leaving two *trans* positions for the coordination of monodentate ligands, like N-acetyl-L-methionine (Fig. 7). A parent compound was also prepared with the same tetradentate ligand and a chloride and a DMSO molecule in the *trans* positions. The stability studies of **13** in aqueous solution in the dark displayed the loss of the proton of the carboxylic acid. However, upon green light irradiation ($\lambda = 520$ nm), the replacement of one of the methionines by a water molecule occurred. The cytotoxicity was investigated in three cell lines A549 (human lung carcinoma cells), A431 (human epidermoid carcinoma cells) and MRC-5 (noncancerous human foetal lung fibroblasts). The EC50 values showed low toxicity in the dark, but an increase of the phototoxicity upon 60 min irradiation with green light (520 nm, 21 mW cm⁻², 75 J cm⁻²). For A549 cells, for instance, the EC₅₀ decreased from 20 μ M to 3.6 μ M after 6 h of irradiation, and from 11 μ M to 5 μ M after 24 h. Furthermore, **13** was less toxic in the dark than its chloride-DMSO derivative. Apoptosis was found as the unique mechanism of cell death after irradiation by flow cytometry analysis. The cellular uptake (ICP-MS) after 6 h showed a lower uptake of **13** with regard to the parent compound. **13** was mainly accumulated in the membrane fraction (i.e., mitochondria, endosomes, lysosomes, etc). Since the singlet oxygen quantum yield was low (2.3 %), and the DNA binding studies revealed just small interactions between the ruthenium complex and DNA ${}^{1}O_{2}$ -based DNA cleavage was not observed – the cell death mechanism is not triggered by a, but through PACT (photoactivated chemotherapy), and DNA represents only one of the possible targets.

1.1.2 Peptides

Peptides are simple models of proteins, and are commonly used as organelle-targeting agents (i.e., cell-penetrating peptides (CPPs), nuclear localization sequences (NLSs) or mitochondrial penetrating peptide (MPP)).[52] Furthermore, some cancer cells overexpress some peptide receptors contrary to healthy cells. Therefore, the conjugation of such peptides can help to target tumour cells.[53] Among the peptide conjugations used, the classic functionalizations involves the amides linkages on bipyridines, phenanthrolines, imidazo-phenanthrolines and benzimidazoles.

Fig. 8. Structures of the peptide conjugates **14**, **15**, **16**, **17a** and **17b**.

Fig. 9. Structures of **18a** and **18b**.

Among the different imidazo-phenanthroline derivatives reported so far, Keyes and co-workers prepared a series of Ru(II) peptide conjugates, mainly in view of cell imaging agents directed to different cellular targets. Complexes **14** and **15,** shown in Fig. 8, were prepared as first examples of water-soluble arginine labelled cell-penetrating probes.[54,55] These complexes

were conjugated to 5 or 8 arginine (Arg, R) residues, respectively. The photophysical properties in PBS of both complexes exhibited no differences, since the arginine chain is far away from the ruthenium core. Two methods were tested for imaging the complexes in the same conditions: fluorescence lifetime imaging and resonance Raman mapping. The luminescence lifetime was oxygen sensitive and pH independent, whereas Raman spectroscopy was pHdependent but not oxygen-dependent. Thus, the authors probed the effectiveness of both methods to independently map two parameters. The cellular uptake was investigated in Sp2/0- Ag 14 myeloma cells and human blood platelets by confocal laser scanning microscopy (CLSM). Complex **15** (Ru-R8) was found to be passively transported through myeloma cells in about 12 min. In the first 2-3 min, the dye accumulates in the membrane and passively diffuses inside the cell. After 10 or 15 min, the process is finished and no more changes are observed. In platelets, compound **15** showed intense luminescence in the cytoplasm, but complex **14** was not emissive, highlighting the relevance of the chain length. Myeloma cells treated with **15** and incubated for 48 h showed slight toxicity, with 30 % of cell death. In addition, unlike other organic chromophores, complex **15** showed photostability, a key future in cell imaging. As a result, two imaging methods (i.e., resonance Raman and FLIM) can be successfully used to image cells with the ruthenium probes, mainly with the R₈ derivative.

A similar complex, **16** (Fig. 8), in which the bipyridyl ligands were replaced by dppz (dipyrido- [3,2-*a*:2',3'-*c*]-phenazine), was prepared as a cell membrane probe.[56] The dppz ligands make the complex behave as a "molecular light-switch". Moreover, the arginine coupling confers enhanced luminescence properties to **16** (in MeCN/DMSO 9:1), better than those of the corresponding complex without the arginine moiety. However, when increasing amounts of water were added to an acetonitrile solution, the emission got completely quenched at 18% v/v water/acetonitrile. When the complex was suspended in lipid vesicles of dipalmitoylphosphatidylglycerol (dppg) in PBS at pH 7.4, a strongly switch on of the emission was observed. CLSM under the same conditions (in myeloma cells) as previously reported showed strong emission from the outer and internal membranes of organelles, specifically from endosomal vesicles, which are usually related to endocytosis as the mechanism of internalization. Resonance Raman mapping was recorded following some characteristic Raman bands associated to the complex inside the cell. This technique revealed that **16** crossed the membrane and distributed throughout the cell. This complex acts as a light-switch to stain membranes.

The peptide conjugates **17a** and **17b** (Fig. 8) were prepared as $\alpha_{\text{IIb}}\beta_3$ integrin binders. Integrins, which are over-expressed in some kind of tumour cells, have specificity for an RGD (Arg-Gly-Asp) motif binding site.[57] Thus, these complexes have been labelled with this tripeptide. The binding affinity of the complexes towards BSA (bovine serum albumin, a nonspecific protein without RGD recognition site) showed only small increase of the emission intensity – meaning weak interaction –, whereas for $\alpha_{\text{IIb}}\beta_3$ integrin the increment was around an order of magnitude – suggesting strong interaction. The dissociation constants were in the micromolar range, with a single binding site for **17a** (K_d = $0.09 \pm 0.01 \mu$ M) and a two-step binding for **17b** (first K_d = $0.25 \pm 0.29 \,\mu$ M and second K_d = 4.37 \pm 0.82 μ M), being stronger for the former. The interaction of the Ru conjugates with the integrin was found to be through the RGD binding site, since a displacement experiment with Eptifibatide (an integrin inhibitor that specifically binds the RGD motif site with a K_d in the nanomolar range) was performed. The presence of the inhibitor inhibited the binding of the complexes to the integrin. The binding affinity was also checked in the presence of Mn^{2+} and DTT (dithiohtreitol), which are able to alter the active-like conformations. The affinity of **17a** towards the integrin treated with Mn^{2+} or DTT was the same as the non-treated one, whereas for **17b**, the binding affinity was much higher. Cellular uptake and localization (confocal imaging) of the conjugates in Chinese Hamster Ovary cells wild type (CHO-WT, not expressing $\alpha_{IIb}\beta_3$) and transfected CHO- $\alpha_{IIb}\beta_3$ (expressing $\alpha_{IIb}\beta_3$) revealed weak emission for the CHO-WT cells but strong staining for CHO- $\alpha_{IIb}\beta_3$ after 4 h incubation. This emission was localized at the cell surface, suggesting binding with the integrins located in the

cell membrane. The same experiment with the addition of SZ22, an α_{IIb} specific antibody, displayed stronger emission from the cell surface, confirming the co-localization in these cell membrane integrins. All these findings suggest the better ability of **17a** to deeper penetrate in the integrin pocket, rather than **17b**, due to the smaller size and higher hydrophilicity of the former.

Complexes **18a** and **18b** (Fig. 9), however, were prepared as targeted delivery dyes to direct them to the nucleus through the attachment of NLS (nuclear localization sequence) peptides.[58] The NLS peptide is derived from the transcription factor NF-κB, a transmembrane molecular carrier. In this case, the cellular uptake by CLSM after 16 h incubation in CHO cells showed fast penetration of both conjugates in the cell and also in the nucleus in less than 5 min (co-stained with DAPI, a nuclear dye). Furthermore, **18b** was also distributed in the cytoplasm and the nucleolus. Both complexes exhibited nearly complete cytotoxicity at 144 µM in the same cell line after 16 h. Nonetheless, the parent complexes (without the peptide) did not show any toxicity and therefore, the toxicity of the Ru conjugates is not due to interaction with the nuclear material. Thus, the attachment of this peptide allowed to successfully target the nucleus.

Fig. 10. Structures of the peptide conjugates **19**, **20**, **21**, **22** and **23**.

Keyes and co-workers also prepared the phenanthroline-peptide conjugates. Complexes **19**, **20** and **21** (Fig. 10) were prepared as the octaarginine conjugate, endoplasmic reticulum (ER) targeting peptide and NLS derivatives, respectively, to direct the dyes to the ER and nucleus.[59] Compound **21** behaves as a molecular light-switch due to the dppz ligand. The cellular uptake (CLSM) and co-localization studies were assessed in HeLa cells (human cervical epitheloid carcinoma). For **20**, the ER-directed complex, the uptake was fast. After 2 h, the complex was distributed exclusively in the cytoplasm, and after 4 h, localized in the ER. The polyarginine derivative **19** was also taken up quickly, but located in the cytoplasm, without

specificity over time. The NLS conjugate **21** exhibited emission from the membrane after less than 6 h and, after 24 h the complex had crossed the nuclear membrane and bound to DNA. The images are shown in Fig. 11. STED (stimulated emission depletion) imaging was also performed. This technique allowed to distinguish the tubules in the ER using **20** and the different cell phases through the chromosomes in the cell with **21**. The photostability of the ERtargeting complex was found to be comparable to AlexaFluor 532, a well-known ER dye. This complex was not toxic at 100 µM after 24 h incubation, whereas for **19**, no cell viability was detected at the same conditions. The NLS derivative showed partial toxicity, with 55 % of cell viability at 200 μ M. However, at the working concentration (50-70 μ M), viability increased to 73 %, enough so as not to induce excessive cell death. Thus, these complexes are promising candidates as cell imaging dyes for ER and nucleus.

Fig. 11 Confocal luminescence images of (a–d) **20**, (e–h) **19** and (i–l) **21** in live HeLa cells where the ruthenium channel and overlay channel are shown for each complex. Cells were incubated in the absence of light with 70 mM **20** and **19** for 4 h, and 40 mM with **21** for 24 h. The distribution of each complex is shown in a group of cells (column 1 and 2) and then focused on a single HeLa cell (column 3 and 4). **20** and **19** were excited using a 488

nm white light laser and the emission was collected between 590 and 700 nm. **21** was excited using a 470 nm white light laser and the emission was collected between 565 and 700 nm. Figure extracted from reference [59], published by The Royal Society of Chemistry, 2016.

A recent work from the same authors compared and analysed complexes **21** and **22** (Fig. 10) as NLS and MPP.[60] They were found to intercalate in ctDNA (calf thymus DNA) through the dppz ligand, due to increments in emission intensity and changes in the circular dichroism (CD) spectrum after addition of DNA. Higher binding constants (K_a = 3.6×10^7 M⁻¹ and K_a = $2.8 \times$ 10^7 M⁻¹ for 21 and 22, respectively) than those for the parent complexes $\text{[Ru(bpy)2(dppz)]}^{2+}$ were determined, suggesting an additional electrostatic interaction from the peptide chain. The uptake of the complexes in HeLa cells by CLSM showed fast internalization of **22** (10 µM in the dark). After 1 h, the conjugate reached the cytoplasm and, after 2 h, the mitochondria. As shown before, compound **21** was distributed in the cytoplasm after 2 h, and reached the nucleus after 24 h (40 µM). Both of them showed a temperature-dependent uptake (no permeation was detected at 4 ºC), showing an endocytosis mechanism of internalization. Co-localization studies confirmed nuclear and mitochondria localization for **21** and **22**, respectively, with the latter likely to be in mitochondrial substructures (mitochondrial DNA, mtDNA). Luminescent lifetime imaging microscopy (LLIM) was used to confirm the binding of **22** to mtDNA and **21** to nuclear DNA. Cell viability assays unveiled some toxicity of **21** at 50 µM (after 24 h, 50 % cell viability), but acceptable viability at 10 μ M (after 24 h, 80 % cell viability). After 15 min irradiation (470 nm, $0.8 \mu W$), cell death was observed. These findings demonstrate the ability of **22** to act as a theranostic probe.

The dinuclear complex **23** (Fig. 10), bearing the same MPP sequence as **22**, exhibited quick cellular uptake in HeLa and CHO cells when incubated at 37 ºC.[61] Initially, the complex was detected in the cytoplasm, which was diffusing towards mitochondria, so that the internalization was complete after 2 h. Nonetheless, when incubated at 4 ºC, the complex was unable to cross the cell membrane. As shown in Fig. 12, incubation of complex **23** with MitoTracker Deep Red

showed strong co-localization. Through colour distribution chart, the intracellular $O₂$ concentration was determined to be $\approx 183 \mu M$, which is a common value for normally metabolizing cells. Incubation of the complex with Antimycin A, a classical mitochondrial uncoupler, showed quenching of emission luminescence probably caused by ROS (reactive oxygen species). This dinuclear complex displayed low cell viability (15 %) at 200 µM after 24 h in HeLa cells (IC₅₀ = 47 \pm 1.1 µM). A time-dependent experiment at 75 µM showed 40 % viability after 5 h. However, after 2 h, cells were viable. Phototoxicity was induced when the cells (after 2 h incubation with 75 µM of the complex) were irradiated (488 nm, 20 min, 0.64 μ W/cm⁻³). Again, the complex was found to be a good mitochondria imaging agent, thanks to the right selection of the directed peptides.

Fig. 12 (A,B) Confocal luminescence Imaging of **23** in HeLa cells. (C,D) Confocal luminescence Images of **19** in HeLa cells. (E) Co-localization studies were carried out using 500 nM of the mitochondrial marker MitoTracker Deep Red. Cells were treated with **23** for 2 h and MitoTracker Deep Red for 30 min. **23** is shown in green,

Mitotracker in red, and co-stained regions are shown in yellow on the left. Adapted with permission from [61]. Copyright 2014 American Chemical Society.

Fig. 13. Structure of the Ru-cRGD conjugate **24**.

Recently, Chen and co-workers reported a Ru-cRGD (cyclic RGD) conjugate **24** (Fig. 13) with theranostic activity against cervical carcinoma. [62] The ruthenium conjugate was found to preferentially accumulate in malignant cells (CaSki: human caucasian cervical epidermoid carcinoma, SiHa: human squamous carcinoma of the cervix and HeLa: human cervical epitheloid carcinoma) over non-cancerous cells (Ect1/E6E7: normal ectocervical cells), even when CaSki were co-cultured with Ect1/E6E7. CaSki Multicellular tumour spheroids (MCTSs) were used as 3D model. After 3 h incubation, the conjugate **24** displayed strong two-photon luminescence and was able to suppress the spheroid growth by 60 %. The metabolic *in vivo* experiments in mice (intravenous injection for 36 h) showed preferential internalization of **24** in tumour tissues rather than in other organs. The *in vivo* antitumour activity in mice inoculated with CaSki cells displayed inhibition rates of 58 % and 74 % at intravenous injection doses of 2 µmol/kg and 4 µmol/kg, respectively. In addition, no death or change in the body weight of the mice were observed and no side effects were detected. Staining tissues with **24** also showed preferential accumulation in tumour tissues, with a Pearson's correlation coefficient of 0.85. Thus, this Ru-bioconjugate is a good candidate as a theranostic probe.

Fig. 14. Structures of complexes **25a-28c** (bpy = 2,2'-bipyridine, phen = phenanthroline and hdpa = dipiyridylamine).

Gasser and co-workers reported a series of Ru(II) polypyridyl complex-peptide conjugates **25**- **28** (Fig. 14), bearing two bipyridine (bpy), phenanthroline (phen) or dipyridylamine (hdpa) ligands, to modulate the lipophilicity of the complexes.[63] The peptides were selected to improve the cellular uptake of the Ru(II) complexes. There were a NLS to target the nucleus and bombesin (BBN) to target a membrane receptor overexpressed in certain cancer cells. Moreover, a photolabile protecting group (PLPG or photo-cage), an *o-*nitrobenzyl moiety, was chosen as a spacer and to control drug activation by light to give the *Ru-PLPG-peptide* bioconjugate. Complexes without the photo-cage (**25** and **27**) were prepared as controls. Upon

light irradiation (350 nm) of the bioconjugates **25**-**28** in PBS solution, a full photo-release of the metal complexes was achieved in 6 to 10 min (light dose: $1.55 - 2.58$ J cm⁻²). The efficiency in photo-uncaging is similar for both the NLS and BBN series: **serie-c** > **serie-a** > **serie-b**. This means that there is no relevant effect of the peptide on the photo-release. The correlation of uncaging efficiency with the extinction coefficient showed that different energy is needed for full release, being higher for **serie-b** than for **serie-c**. The cytotoxicity of the complexes was investigated in HeLa and MRC-5 cells after 4 h incubation, in the dark or after 10 min irradiation at 350 nm. The cellular uptake was assessed after 2 h incubation in HeLa cells. The NLS conjugates **26a** and **26b** showed cellular internalization and localization in the nucleus, whereas localization for the control derivatives **25a** and **25b** were detected in the cytoplasm. Both **25c** and **26c** were not emissive and therefore were not detected. **26a** was not toxic in the dark up to 100 µM, whereas **26b** and **26c** displayed some toxicity more pronounced in HeLa than in MRC-5 cells. The irradiation of the complexes did not show any improvement of the activity. All the NLS controls (**25a**, **25b** and **25c**) were non-toxic on both cell lines in the dark and after irradiation. Regarding the BBN conjugates, **28a** showed nuclear localization, while **28b** was spread in the cytoplasm. **28c** was detected in cells and located weakly in the cytoplasm and strongly in the membrane. **28a** exhibited moderate toxicity both with and without light irradiation (IC₅₀ \approx 50 μ M). **28b** and **28c** showed phototoxicity; IC₅₀ of **28b** in the dark was 93.8 µM, and diminished by half upon irradiation, obtaining a PI = 2.2. **28c** was non-toxic in the dark (IC₅₀ > 100 µM), but slightly toxic after irradiation (IC₅₀ = 60 µM). Thus, the PI > 1.7. None of these complexes showed toxicity on the MRC-5 cells. Controls **27a**, **27b** and **27c** showed weak emission and only **27b** was found to localize in the cytoplasm and none of them were found to be toxic. These studies highlight the relevance of the PLPG for the photorelease of the peptides and to achieve the desire toxicity of the conjugates. In a previous study, the same group reported some bombesin, NLS and MLS (mitochondrial localization signal) conjugates

with mild or no cytotoxicity in HeLa cells and main cellular localization in the nucleus for all of them.[64]

Fig. 15. Structure of the Ru-somatostatin bioconjugate **29**.

Weil and co-workers prepared the first ruthenium somatostatine (SST) conjugate **29** (Fig. 15).[65] SST was selected since some somatostatine receptors (SSTRs) are overexpressed in some tumour cells. This photostable conjugate under visible light ($\lambda = 470$ nm, $P = 50 \pm 3$ mW, 50 ± 3 mW cm⁻²) was also an efficient ¹O₂ generator (> 70 %). Complex **29** was tested in A549 cancer cells, which overexpress SSTRs. After 4h incubation, **29** successfully accumulated inside cells, with a higher uptake compared to the control experiment (the Ru(II) derivative without the SST moiety). The phototoxic activity of **29** in A549 cells revealed non-toxicity up to 300 μ M in the dark and IC₅₀ = 13.2 μ M after light irradiation (λ = 470 nm, 5 min, 6.9 \pm 0.9 J cm⁻²), resulting in a PI > 23. Very recently, the same compound was tested in acute myeloid leukaemia (AML) with promising results.[66] The uptake of bioconjugate **29** was finished in 4 h in OA3 cells. Clonogenic assays after incubation with **29** in different leukaemic cell lines revealed reduction of colony growth of 99 %, 98 % and 92 % for OCI-AML3, HL60 and THP1, respectively, after 6 min light exposure and only 25 % in healthy cord blood cells (CB). This fact emphasizes the selectivity of **29** for AML cells over normal cells. Co-localization experiments in OCI-AML3 spotted **29** mainly in the lysosomes (Pearson's coefficient 0.94), with some detection also in membranes (0.70) and mitochondria (0.60). However, it was poorly taken up by the nucleous (0.35). In addition, ROS production experiments showed 7.4-fold

increase in ROS levels in leukaemic cells rather than CB cells. These new findings demonstrate the effective conjugation of SST to a ruthenium-based PDT PS.

Fig. 16. Structures of the peptide conjugates **30-34**.

Fig. 17. Structures of the peptide conjugates **35-39**.

So far, octaarginine conjugates enters the cell but their distribution is in the cytoplasm, without targeting specific organelles. Puckett and Barton found a way to direct these complexes to the nucleus by attaching a fluorescein moiety to the octaarginine.[67] Complexes 30 (Ru-Doctaarginine), 31 (Ru-D-octaarginine-fluorescein) and 32 (Ru-fluorescein) were synthesised (Fig. 16) and imaged in HeLa cells after 30 min incubation. Complex **30** (5 µM) was found to be distributed, as expected, as intense blebs in the cytoplasm, with exclusion from the nucleus. An endocytosis mechanism of internalization was unveiled. Conjugate **31** entered the nucleus under the same incubation conditions. It displayed diffuse emission in both the cytoplasm and

the nucleus and strong luminescence in the nucleoli and blebs in the cytoplasm. Compound **32**, however, did not enter the cells after 30 min, and was poorly internalized even after longer incubation times and a higher concentration (20 μ M for 41 h). At 10 μ M, 30 showed exclusively blebs in the cytoplasm and, at 15-20 µM, there were mixed stains. For **31**, nucleolar and blebs in the cytoplasm were of same intensity and weaker emission was found in the cytoplasm and nucleus. These findings suggest that above $5 \mu M$, the mechanism is non-endocytotic, whereas at lower concentrations, it is endocytotic. The role of the fluorescein moiety is to increase the lipophilicity of the conjugates, enhancing their ability to cross the cell membrane.

When the peptide was shortened in derivatives **33** and **34** (Fig. 16), the uptake of the conjugates in HeLa cells after 2 or 24 h incubation was changed. **33** (5-20 µM) showed non-nuclear staining and only emission in the cytoplasm.[68] At higher concentrations (30-40 µM), the distribution was heterogeneous and, at 100 μ M, 74 % of cells displayed nuclear localization. Unlike **30**, conjugate **33** presented less effective cellular internalization due to the short oligoarginine chain (4 units instead of 8) and needed higher concentrations to accumulate in the nucleus. As for 34, no stain was found in the cells and, at 30 μ M, only some emissive blebs were detected in the cytoplasm. The reason could be the length of the peptide and the carboxylic acid terminal group in the fluorescein conjugate, increasing the charge of the compound, whereas, in the non-fluorescein derivative, there is an amide terminal group. A tetraarginine derivative **35** (Fig. 17) was also prepared, with similar uptake as for **33**. Since oligoarginines show better cellular uptake than oligolysines, and this might be consequence of the global charge, some complexes were prepared with other peptide sequences, varying the number of arginines. Conjugates **36**, with two arginines (less total charge) and **37**, bearing lysines (Fig. 17), showed luminescence from the cytoplasm at 40 µM after 2 h. At 100 µM and 4 h, the internalization improved, although still weakly, with higher number of cells with nuclear localization. Emission intensity in cells was higher for **37** than for **36**, as a consequence again of the charge. Longer peptide chains (NLS) were attached, forming complexes **38** and **39**,
respectively (Fig. 17). The overall charge of these complexes was reduced, if compared with the octaarginine derivative. In both cases, the uptake at $10 \mu M$ after 2 h was weak, showing exclusively faint blebs without nuclear localization. As a result, none of these strategies achieved an improvement of the uptake over the octaarginine derivatives.

Fig. 18. Structures of the bimetallic conjugates $40a-42b$, where $R = H$ or ph (phenyl).

Examples of bimetallic complexes with terpyridine bearing different peptide chains (**40a-42b**) were reported by Ypsilantis *et al.* (Fig. 18)*.*[69] They assayed the interactions of complexes **40a** and 40b with an oligonucleotide d(5'-CGCGAATTCGCG-3')₂. ¹H-NMR studies (298 K, [complex]:nucleotide 1:1) revealed fast kinetics binding of **40b** in the middle part of the sequence, suggesting an orientation of the ptrpy (4) -phenyl-2,2';6',2"-terpyridine) ligand towards the minor groove. However, **40a** did not show any specific binding, as the peaks slightly shifted. Cytotoxicity of **40a** and **40b** was investigated in human lung carcinoma cell lines H1299 and H1437. None of the complexes were found to be cytotoxic in any of the cell lines after 24 h (IC₅₀ $>$ 750 μ M), despite the interaction with the oligonucleotide, suggesting a different target than DNA.

1.1.3 Peptoids

Peptoids were firstly explored in the early 90s. They consist on *N*-alkylated glycine oligomers that can form and mimic secondary structures such as $α$ -helix or $β$ -turns. Furthermore, they are better cellular transporters than the classic peptides due to their increased hydrophobicity and cell permeability. Therefore, they are promising drug candidates.[70]

Fig. 19. Structures of the ruthenium-containing peptoid conjugates **43-45**.

Among the bipyridine-peptoid conjugates, Lee *et al.* prepared the mono- and bi-metallic complexes **43**, **44** and **45** (Fig. 19) as CALI (chromophore-assisted light inactivation) reagents.[71] Peptoid GU40C, a highly selective antagonist of vascular endothelial growth factor (VEGF)-induced activation of the VEGF receptor 2 (VEGFR2) was used in these conjugates. Thus, the activity of **43** was investigated in endothelial cells exposed to VEGF and the activation of VEGFR2 was monitored. Without irradiation, the conjugate was not able to inhibit VEGFR2. Nevertheless, after irradiation $(> 380$ nm, 10 min), the inhibition was successful. The IC₅₀ of 43 was 49 μ M in the dark and 59 nM upon irradiation (> 800 -fold increase). Complex **43** was also found to inhibit the formation of vessel-like tube structures in endothelial cells, also when irradiated $(IC_{50} = 50 \text{ nM})$. **43** was also incubated in H441 cells, which express epidermal growth factor receptor (EGFR) and VEGFR2. Cell viability remained unaffected and VEGFR2 was reduced whereas EGFR was not. This highlights the specificity towards VEGFR2. Regarding **44**, the complex contains the peptoid GU40C4, where two GU40C units are joined by a linker. **44** was found to bind the VEGFR2 extracellular domain. Inhibition assays showed 50% inhibition in the dark at 500 nM, similar to that of GU40C4. Upon irradiation, however, this IC_{50} decreased to 590 pM, a 1,700-fold increase in potency due to the Ru(II)-peptoid singlet oxygen generation. Compound **45** was prepared as the peptoid RIP1 (which targets an ATPase) conjugate. This derivative was irradiated in HeLa cells and inhibition of peptidolysis was observed. The potency increased after 10 min and 20 min irradiation, from IC₅₀ = 300 nM to 85 nM, respectively, suggesting a dependence of singlet oxygen generation. A dose-dependent assay showed peptidolysis after 30 min irradiation, but no effect was detected in the dark. Comparing both **43** and **45**, the binding of the latter was weaker, probably owing to the indole side chain and the adenine molecule.

1.1.4 Proteins

Many articles have reported the binding ability of Ru(II) polypyridyl complexes with proteins (e.g., determination of their binding or dissociation constants). Some of these compounds have been used as probes to determine the hydrodynamics of proteins.[72,73] However, not many articles have reported on the synthesis and isolation of the conjugates. In the 90s, Winkler and Gray studied and gathered the ET mechanisms in aminoruthenium complexes covalently attached to the histidine moieties of some proteins.[74]

1.1.4.1 Protein conjugates

Fig. 20. A) Structure of complex **46**. B) Schematic of IgG-binding assays of Ru(II)-protein G conjugates. Normal sheep IgG was immobilized on the 96 well plate, and then Ru(II)-protein G conjugates bound to the Fc region of IgG. C. Schematic of Ru(II)-protein G conjugates for detecting histidine-tagged protein. The purified histidinetagged protein BasR was first immobilized on the 96 well plate and then recognized by anti- His antibody. Finally, the Ru(II)-protein G conjugates bound to the Fc region of anti-His antibody. Reproduced from reference [75], © 2012 Lin *et al.*

Chen *et al.* described the synthesis and conjugation of a Ru(II) complex to protein G as a probe for fluorescence immunoassays.[75] Protein G is an immunoglobulin-binding protein expressed in *Streptococcus* bacterial cell walls, which has affinity with lots of IgG (immunoglobulin G) antibodies in mammalian cells. Complex 46 (Fig. 20A) was prepared from [Ru(bpy)₂(phen-Br)^{2^{+}}, using SATA (*N*-succinimidyl S-acetylthioacetate) as a cross-linker. The absorption and emission properties of the resulting complex did not change with regard to the parent compound without the protein. The IgG binding assay was performed to evaluate the binding ability of **46** to IgG. Firstly, IgG was immobilized on a plate. The Ru-protein G conjugate was then added for the detection of the IgG Fc fragment (Fig. 20B). The emission intensity increased with regard to the negative control ($\left[\text{Ru(bpy)}_2\right]\text{(phen-Br)}^2$), demonstrating the binding ability of 46. As for the fluorescence immunoassay, the histidine-tagged recombinant protein (the most common recombinant protein) was immobilized. Afterwards, the antibody (anti-6X His tag) was added to bind the histidine-tagged protein. Finally, the Ru-containing conjugate was added to bind to the antibody as a universal signal reporter (Fig. 20C). Again, the luminescence intensity showed an 8-fold increment when compared with the negative control, showing its successful ability to be used as a probe for immunoassays.

Fig. 21. Structures of the protein conjugates **47-SC**, **47-LC** and **48**. (SC = short chain, LC = long chain).

Thordarson and co-workers studied the photoreduction of a series of Ru(II) terpyridine cytochrome *c* (cyt *c*) bioconjugates. The complexes were conjugated to cyt *c* through a Michael addition involving a maleimide with two different spacers: one with a long, flexible alkyl chain to yield **47-LC** and another one with a short, rigid aromatic chain to give **47-SC** (Fig. 21).[76] The maleimide function was selected to selectively attach the single free cysteine (Cys102) of the protein. These spacers did not lead to significant modifications of the photophysical characterization of the complexes. In order to evaluate the catalytic and biological activity of the conjugates, the oxidation of 2,2'-azino-bis[ethyl-benzothiazoline-(6)-sulfonic acid (ABTS) and cytochrome *c* oxidase (CCOx) was assessed for **47-LC** and **47-SC**, respectively. This assay demonstrated that none of the bioconjugates modified the structure or activity of the protein. The energy/electron transfer rates, measured by time-resolved emission experiments, were 2.78 \times 10⁵ s⁻¹ and 5.95 \times 10⁵ s⁻¹ for 47-LC and 47-SC, respectively. UV-vis and TA (transient absorption) measurements allowed to determine the mechanism as an electron transfer pathway. When comparing the UV-vis spectra of both derivatives, before and after irradiation (480 nm), the changes in the spectra showed the reduction of the haem group from Fe^{3+} to Fe^{2+} (initially, cyt *c* is oxidized). These changes also suggested photoinduced electron transfer from the ruthenium moiety as the donor, to *cyt c* as the acceptor. In addition, TA emission intensity of **47-SC** in the reduced form (Fe^{2+}) was higher than that of the initially oxidized form (Fe^{3+}) . Although the photoreduction mechanism is unknown, the authors pointed out glycerol as the ultimate electron source.

The same authors prepared a similar conjugate **48** (Fig. 21) to understand the photoinduced electron transfer (eT) mechanism.[77] In this case, yeast iso-1 cytochrome *c*, an electron carrier protein that is light-activatable at room temperature, was used. These studies were performed in mixtures of the parent complex (i.e., the Ru(II) complex with the maleimide moiety, but no protein) and iso-1 cyt *c* in PBS solutions or encapsulated in a PS140-*b*-PAA48 (polystyrene140 b-poly(acrylic acid)48) membrane. Low concentrations were used to prevent intramolecular

electron transfer. In the encapsulated complex, the haem reduction was completed in 50 min. The quantum efficiency (electrons/absorbed photons) in the encapsulated solution was found to be twice that of **48** (1.1 \times 10⁻³ %). Thus, the encapsulation increased the eT rate. The effect of the covalent binding ability was determined in the same conditions. When comparing the reduction curve of the bioconjugate with that of the parent compound and cyt *c*, a dramatic decreased was observed for the latter. This highlights that the link to the protein is crucial to ensure the activation. As in the previous complexes **47**, the ruthenium moiety behaves as the donor and *cyt c* as the acceptor. Nevertheless, it was noticed that encapsulation increased the photoreduction process. Moreover, the addition of EDTA as a sacrificial electron donor was essential to provide the complex with extra electrons for the eT mechanism, since the photoreduction of **48** in PBS was negligible.

Fig. 22. Structure of Ru-tubulin conjugate **49** and schematic illustration of the formation of photo-enhanced MTs assembled from Ru-conjugated tubulin. Reproduced from Ref. [78] with permission from The Royal Society of Chemistry.

Larger proteins have been also used. α/β -tubulin is the responsible of microtubules (MTs) formation, a kind of cytoskeletal filaments in cells. Osada *et al.* prepared a Ru-tubulin conjugate **49** to self-assemble into MTs (Fig. 22). [78] To check the capability of self-assembly of the conjugate, a solution of **49** along with free tubulin was heated from 3 to 25 ºC and the changes were tracked by UV-vis spectroscopy. Free tubulin did not show any change, implying that any

MT was formed. However, the absorption increased when **49** was added. The self-assembly finished in just 10 min, when the Ru-tubulin/tubulin ratio was over 0.5. When the temperature was decreased from 25 to 3 °C, a depolymerization reaction was observed, indicating a reversible process. The self-assembly only occurred when a minimum ratio of 0.2 was achieved. The kinetics was analysed at 0.22 ratio and temperatures from 15 to 37 ºC. The rate of assembly increased with the rise of the temperature, whereas the increment was low for the free tubulin. The rate increased by 30 times at 37 °C and the activation energy of MTs formation was 15 times higher than for the non-conjugated tubulin. Photoirradiation of **49** also increased the formation of MTs at 25 and 30 ºC. Thus, this conjugate can behave as a photothermal energy sensitizer. This effect was also observed by cross-polarized light at 29 ºC. The assembly of **49** was enhanced by photo-irradiation, and the process was observed to start from the wall to the central axis of the tubule. Furthermore, this conjugate was capable of inducing parallel orientation of the MTs. The length of MT was found to be $20-50 \mu m$, as measured by fluorescence microscopy. Thus, the conjugate **49** was able to enhance the self-assembly by using both thermal energy and photoenergy, despite the positive charges and the steric hindrance of the metal complex. This curious thermal behaviour is the consequence of the release of non-radiative energy (i.e. heat) from the excited state of the Ru(II).

Fig. 23. Scheme of the transporter **50** based on HSA and schematic illustration of a part of the HSA polypeptide sequence exemplary with the PEO, TPP groups attached to, e.g., lysine and Ru conjugated to tyrosine residues. Adapted from reference [79]. Copyright © 2017 American Chemical Society.

Weil *et al.* used HSA as a backbone to attach different Ru polypyridyl complexes with the aim to specifically target organelles in view of PDT applications.[79] HSA was modified with 20 PEO (poly(ethylene oxide)) units to make it water soluble, 34 TPP (triphenylphosphonium) units to target mitochondria and 10 Ru(II) polypyridyl complexes to act as PDT PSs (Fig. 23). The resulting conjugate **50** was found to be stable and water-soluble. In addition, the photostability of the conjugate was higher than the one of the free Ru(II) complex, even after long irradiation times (65 h). The indirect method (9,10-anthracenediyl-bi(methylene) dimalonic acid (ABDA)) for singlet oxygen production (470 nm, 20 mW/cm², 5 min) revealed efficient generation of ${}^{1}O_{2}$ for 50, whereas the modified HSA without a Ru(II) complex remained inactive. The emission quantum yield and the lifetime slightly improved when the solution was changed from water (Φ = 6.1 %, τ = 625 ns) to a simulated body fluid (Φ = 6.7 %,

 τ = 735 ns). The cellular uptake of 50 was imaged in HeLa cells (500 nM, 4h) and colocalization with different stains (i.e., membrane, nucleus, mitochondria and lysosomes) revealed internalization mostly in the mitochondria. The cytotoxicity in HeLa cells after 240 min incubation in the dark (IC₅₀ = 9 μ M) and upon light irradiation (470 nm, 20 mW/cm²) (34.9 nM) was measured. An impressive PI of 250 was determined. The phototoxicity was also assessed in other cell lines: CHO (Chinese Hamster Ovary cells), MCF-7 (human breast adenocarcinoma) and A549 (human lung carcinoma cells). All of them showed low IC_{50} values after light irradiation; $IC_{50} = 135.2$ nM for CHO, $IC_{50} = 114.3$ nM for MCF-7 and $IC_{50} = 119.1$ nM for A549. Clonogenics in acute myeloid leukaemia (AML) OCI-AML3 cells treated with **50** after 2 and 5 min irradiation showed reduction of colony growth (44 % and 84.4 %, respectively) with regard to the non-irradiated cells. Also, remaining colonies were smaller in size. This assay was also performed in healthy BM cells (primary bone marrow) and murine AML cells (AE9a, leukaemia cells). After 2 and 5 min irradiation, the decrease was 37 % and 88 %, respectively, in AE9a cells, whereas the reduction was 10 % and 28 % in healthy BM cells, revealing specificity towards leukaemia cells. Two-photon absorption (TPA) experiments showed the great ability of the conjugate to act as a TP probe, with a TP cross-section 5-times higher than the one of the free Ru(II) complex.

1.1.4.2 Artificial Metalloproteins

Some proteins include a cofactor, which can be a metal ion, a cluster or a small organic molecule. When the proteins are bound to a metal ion, they are called metalloproteins. A great example of naturally occurring metalloproteins is myoglobin (Mb).[80] The similarities between iron and ruthenium instigated the researchers to replace them in the haem group. In 1979, Paulson *et al.* successfully achieved the preparation of RuMb. The studies revealed the coordination of carbon monoxide, as in Mb. Nonetheless, unlike the original Mb, dioxygen irreversibly oxidized RuMb to RuMb⁺.[81]

Fig. 24. Molecular design of the artificial metalloprotein with a ruthenium(II) tris(bipyridyl) complex as the core. Adapted from reference [82]. Copyright © 2006 WILEY‐VCH Verlag GmbH & Co. KGaA, Weinheim.

In 2006, Ishida *et al.* reported the synthesis and complete characterization of the first artificial metalloprotein bearing a Ru(II) ion as the metallic core.[82] This artificial metalloprotein, **51** (Fig. 22), was designed with the insertion of unnatural amino acid 5'-amino-2,2'-bypyridine-5 carboxylic acid (5Bpy) fragments in the peptide chain, so that the 5Bpy units can fold to accommodate a Ru^{2+} atom and lead to the formation of a $Ru(bpy)$ ²⁺-type complex, as shown in Fig. 22. Thus, two -L-Pro-Gly- were introduced between two 5Bpys. L-Ala and L-Phe were placed at the N and C terminal of 5Bpy and the sequence was completed with glycines. The authors precisely characterized the metalloprotein and found similar spectroscopic properties as for Ru(bpy) 3^{2+} -type complexes. Furthermore, circular dichroism (CD) allowed them to determine the optical isomers for the different fractions obtained in the synthesis.

Fig. 25. Structures of the dimeric metallopeptides **ΛΛ-52** and **ΔΔ-52**, **ΛΛ-53** and **ΔΔ-53** and **54**.

Two metallopeptides, also incorporating bpy in their peptide sequence, were later reported by Gamba *et al.*[83] These dinuclear complexes were prepared as optically-pure isomers and bear similar peptide sequences: Ac-βAla-bpy-βAla-bpy-NH2 for **ΛΛ-52** and **ΔΔ-52**, and Ac-βAlabpy-Gly-βAla-bpy-NH2 for **ΛΛ-53** and **ΔΔ-53** (Fig. 25). DNA binding was evaluated using ethidium bromide displacement studies. Emission-quenching experiments revealed interaction of the four complexes with DNA. However, higher affinity (i.e., low dissociation constants) was observed for **ΛΛ-52** and **ΛΛ-53** than for the **ΔΔ-**enantiomers. Moreover, the affinity was higher for A/T-rich oligonucleotides than for G/C-rich sequences. Curiously, the trends of dissociation constants were different for the **52** and **53** series. A negative effect was detected for **ΛΛ-53** compared with **ΛΛ-52** (Kd[AAATTT] ≈ 8.2 and 5.8 µM, respectively), whereas the opposite effect was observed for **ΔΔ-53** and **ΔΔ-52** (Kd[AAATTT] ≈ 31 and 14 µM, respectively). CD and STD (saturation transfer difference) NMR experiments confirmed the

previous results. Since these conjugates were not internalized in cells, a derivative with octaarginine **54** was synthesised as the diasteromeric mixture since the synthesis of the optically pure complexes was unsuccessful. Compound **54** displayed the same features than the other complexes and the preference for A/T-rich oligonucleotides was slightly increased. AFM studies of **ΔΔ-52** and **54** with DNA showed alteration of the DNA plasmid, with higher affinity for **54**. Cellular uptake studies with **54** (25 µM for 30 min) in Vero cells (kidney epithelial cells) confirmed internalization and showed blebs in the cytoplasm and diffuse emission from the nuclei. The cytotoxic activity was evaluated in different cells lines for **ΔΔ-52** and **54**. In A2780cis (ovarian carcinoma cells), **54** was more toxic than $\Delta\Delta$ -52 (IC₅₀ \approx 7 μ M *vs* 286 μ M). Similar results were obtained in MCF-7 (breast cancer cells) and NCI-H460 (lung carcinoma), in which only the octaarginine derivative showed cytotoxicity.

1.2 Antibodies

The main strategies to conjugate organic compounds with antibodies or other heavy proteins imply maleimide and NHS (*N*-hydoxysuccinimide) moieties. Maleimides can easily react with thiol groups from cysteines. This is of interest since the number of sulfhydryl groups in a protein is reduced, in comparison with the number of amino groups. For instance, immunoglobulin G1 (IgG1) has 8 thiol groups from cysteines versus 30 available amino groups from lysines.[84] Interestingly, cysteine residues are usually found in the specific binding sites of the protein, making this conjugation more selective.[85] NHS moieties, however, react with lysines. Zhou *et al.* described a detailed protocol to prepare Ru-Ab bioconjugates through NHS linkage.[86] The conjugation of Ab with metal complexes could improve their applications as chemotherapeutic agents,[87] theranostics,[88] or probes.[89] Thus, the search for novel metalbased antibody-drug conjugates (ADC) has increased in the last years.

1.2.1 Maleimide: cysteine linkage

Fig. 26. Structure of the ruthenium(II) antibody conjugate **55**.

Terpetschnig *et al.* prepared a Ru(II) polypyridyl maleimide probe to detect proteins (HSA, human serum albumin), enzymes (β-galactosidase) and antibodies (IgG, immunoglobulin G).[85] The reaction of this complex $[Ru(bpy)_2(\text{phen-5-maleimide})](PF_6)_2$ with the corresponding proteins was performed at pH 6.5 to avoid side reactions with the amino groups of other amino acids. The interest of these probes lies in the long-lived emission decays (over 1 µs). Complex **55** (Fig. 26) showed an effect of oxygen quenching (45 %) in the analyses by intensity decays in phosphate buffer. These quenching detections allowed the authors to measure the oxygen quenching constant (k_q) . For an efficient quencher, this constant should approximately be 1×10^{10} M⁻¹ s⁻¹. The value found for 55 was 2.93 $\times 10^{9}$ M⁻¹ s⁻¹, which corresponds to a 96 % quenching, when compared with the parent maleimide compound. Anisotropy decays (fluorescence polarization) were also measured. The Ru-antibody conjugate displayed short rotational correlation time $(15 - 30 \text{ ns})$. Both results might indicate that the cys residues are mainly exposed to the buffer.

Fig. 27. A) Structure of Ru-NB **56**. B) Confocal immunofluorescence microscopy images of A431 cells exposed to **56** for 4, 24, and 48 h at 37 ºC showing specific binding and co-localization of the single-conjugated NB with EGFR. Scale bars:20 mM. C) Amount of cell-associated ruthenium after incubation of EGFR-positive A431 and EGFR-negative MDA-MB-435S cells with 2 or 20 mM of Ru-NB for up to 48 h at 37 ºC. The level of ruthenium in cell lysates of MDA-MB- 435S exposed to2 mM of Ru-NB were below the analytical limit and are thus not shown. Figures extracted from reference [90]. © 2019 The Authors. Published by Wiley-VCH Verlag GmbH & Co. KGaA.

Very recently, Gasser, Zarschler and co-workers reported on the synthesis of a Ru-nanobody conjugate as a PS for PDT.[90] Nanobodies (NBs) are small fragments of an antibody (Ab), which represent the antigen-binding domain of heavy-chain-only Abs. The authors selected the 7C12NB, which specifically binds the epidermal growth factor receptor (EGFR), overexpressed in some types of solid tumours. Thus, complex **56** (Fig. 27) is composed of three building blocks: the $\text{[Ru(phen)_2(dppz))]}^{2+}$ complex, the 7C12NB and a peptide chain containing polyglycines. The photophysical properties of the bioconjugate **56** were similar to those of similar Ru(II) polypyridyl complexes. Singlet oxygen production was determined since the

emission lifetime was found to be affected by oxygen ($\tau_{degased} = 589$ ns and $\tau_{aerated} = 134$ ns). Singlet oxygen quantum yield (Φ_{Δ}) evaluated by an indirect method in DMSO or PBS showed low values and quenching in PBS due to hydrogen bonding interactions ($\Phi_{\Delta} = 9 \%$ in DMSO and $\Phi_{\Delta} = 4$ % in PBS). The co-localization (by CLSM) of the NB-conjugate with EGFR in A431 cells (human epithelial cells) displayed mainly membrane staining after 48 h incubation at 37 ºC. The cellular uptake was assessed by ICP-MS in EFGR-positive A431 cells and EFGRnegative MDA-MB-435S cells after different incubation times (4, 24 and 48 h) and concentrations in the dark at 37 ºC. A higher metal amount was found in the EGFRoverexpressing cells than in the negative one. Fig. 27 gathers the co-localization images and cellular uptake graph of **56**. These findings suggested that the cellular internalization occurs through an EGFR-mediated process. Conjugate **56** exhibited similar cytotoxicity in A431 cells before and after irradiation (480 nm, 6×3.5 min with 15 min gap in between, 6.74 J/cm²) with IC₅₀ > 25 µM in both cases. A temperature change (incubation for 1 h at 4 °C and then 1 h at 37 ºC) was proposed since EFGR internalization is an energy-dependent mechanism, and thus, at 4 °C, the endocytosis process is supressed. Nevertheless, the complex was non-toxic (IC_{50} > 35 µM). Moreover, no ROS production was found in A431 cells.

1.2.2 NHS: lysine linkage

Fig. 28. Structure of the ADC **57**.

A nitrosyl ruthenium complex conjugated with a polyclonal antibody anti-VDAC (anti voltagedependent anion channel), **57** (Fig.28), was described as a NO delivery anticancer agent by Ramos *et al.*[91] This Ab participates on the mitochondria-mediated apoptosis through ROS. To simplify the measurement, the authors prepared the parent complex *cis*-[Ru(NO)Cl(dcbpy-H)₂], where dcbpy is 2,2'-bipyridine-4,4'-dicarboxylic acid, and evaluated some of its properties. At different pHs, there are different possible structures. For instance, at physiological pH, *cis*-[Ru(NO)Cl(dcbpy-H)₂] slowly turns into *cis*-[RuCl(dcbpy)₂(NO₂)]⁴. Cyclic voltammetry measurements in aqueous solution (KCl 0.1 M and acetate buffer solution 0.01 M) demonstrated the release of NO in the presence of NADH, through a one-electron reduction centred on the nitric oxide derivative ligand site. NADH plays an important role in the respiratory chain in the mitochondria. The production of ROS/RNS (reactive oxygen / nitrogen species) was evaluated for *cis*-[Ru(NO)Cl(dcbpy-H)2] in HepG2 cells (human hepatocarcinoma cells). High levels of ROS/RNS were found in succinate-energized mitochondria. Furthermore, at $100 \mu M$ of complex, the mitochondrial permeability transition (MPT) inhibitor cyclosporine A (CsA) partially supressed the release of NO. At 50 μ M of complex, CsA inhibited almost completely the swelling caused by the complex. These features, along with the inhibition of swelling by a NO scavenger, suggested that NO was an MPT inducer. In addition, this complex was found to decrease the mitochondrial membrane potential. This means that mitochondria efficiently induced NO release from *cis*-[Ru(NO)Cl(dcbpy-H)2]. As a mechanism of action, high NO concentrations are known to inhibit mitochondrial respiration, increasing the ROS levels and inducing MPT and finally cell death. Different fractions obtained after purification of conjugate **57** showed cytotoxicity (25 % – 90 % cell viability) against HepG2 cells. The cellular uptake determined by ICP-MS showed a Ru content of 10-8 M, confirming internalisation of the conjugate. Thus, this ADC is able to induce cell death, probably due to release of NO in the mitochondria, targeted thanks to the Ab.

1.3 Sugars/carbohydrates

The coordination of sugars to metal ions aims to enhance the solubility in biological tissues (i.e., water) and thus, increase the biocompatibility of the metal complexes. There are two main strategies to prepare metal glycoconjugates.[92] The first one consists on the direct attachment of the metal to the carbohydrate. This strategy was widely used with Pd, Pt, Cu, Fe, Mo or W.[93] The second methodology introduces the sugar in the periphery and mainly impacts on the solubility of the resulting conjugate. Regarding Ru(II) polypyridyl complexes, the latter is more appropriate.

As for the uptake, sugars are involved in the cellular internalization based on two classes of transporters: GLUT (glucose transporters) proteins mediate sugar transport in an energyindependent process (passive), whereas the SGLT (solute carriers) use the $Na^+/K^+/ATP$ pumps in an active mechanism. Larger molecules with more than one sugar residue require an endocytotic or energy-dependent internalization mechanism through multiple steps to reach the cytoplasm.[92] These transporters are key in cancer therapy since they are overexpressed in some kind of tumours.[94]. In addition, there are some special receptor proteins, called lectins, which recognize and reversibly bind to carbohydrates. They play important roles in different biological activities such as cell aggregation, cell signalling or pathogen recognition (inhibition of bacterial and fungal growth). [92,95]

Depending on the atom involved in the bond between the carbohydrate and the metal complex, the bioconjugates can be divided into O-, S- (when an O atom has been replaced by S) or Cglycosidic bonds.

1.3.1 *O***-glycosidic bonds**

Fig. 29. Structures of glycoconjugates **56-OAc** and **56-OH**.

Constable and Mundwiler described, in 1999, the first examples of simple carbohydrate functionalization of Ru(II) terpyridine (tpy) complexes. The study of the stability of the glycoconjugates **58-OAc** and **58-OH** (Fig. 29) against the enzyme β-glucosidase at 40 ºC for 24 h revealed no hydrolysis of the sugar fragments. This important stability opened a new route for drug delivery systems.[96] After this initial study, many systems were developed.

Fig. 30. A) Structures of glycoconjugates **59-Glc**, **59-Gal** and **59-Man** and B) structure of the disialo oligosaccharide YDS.

Some of the first examples of Ru(II) bipyridyl glycoconjugate complexes were reported by Kobayashi and co-workers.[97,98] They coupled different carbohydrates (α-D-glucose, β-Dgalactose and α-D-mannose) to bpy ligands through amid bonds and a C3 alkyl spacer (*O*glyco-bipyridines) to yield complexes **59-Glc**, **59-Gal** and **59-Man** in their corresponding Λ and Δ isomers, except for **59-Gal** (Fig. 30A) The stable glycoconjugates exhibited enhanced luminescence, when compared with $\lceil \text{Ru(bpy-OH)}_3 \rceil \text{Cl}_2$. This increase could be assigned to the tightly packed saccharide clusters, which could isolate the luminescent core (the Ru centre) from the outer shell, avoiding thermal degradation. In addition, their ability as lectin binders towards *concavalin A* (ConA) and *Ricinus comunnis agglutinin 120* (RCA120) were tested. The three carbohydrate derivatives exhibited high binding affinity to lectins, stronger than the corresponding free p -nitrophenyl glycoderivatives. The IC_{min} (minimun inhibition concentration) values for each complex showed the highest affinity for the mannocluster **Λ-59- Man** towards ConA (IC_{min} = 9.0×10^{-8} M). Furthermore, no inhibition was observed for **59**-**Gal** and **59-Glc** against RCA120, and neither for **59-Gal** against ConA. Conversely, the galactoconjugate (as the racemic mixture) inhibited RCA₁₂₀ (IC_{min} = 2.5 \times 10⁻⁶ M) with moderate affinity. In order to work out the origin of the high affinity, the association constants and the thermodynamic parameters were determined. The association constants (K_a) towards ConA for **Λ-59-Man** and **Λ-59-Glc** were 5.4×10^6 and 9.5×10^5 M⁻¹, respectively. These are higher than the K_a for monomannoside and other mannosylated compounds. Regarding the thermodynamics (ΔG° = -9.7 and -8.4 kcal mol⁻¹, for **Λ-59-Man** and **Λ-59-Glc**, respectively), Δ*H*º and Δ*S*º were all negative, showing that the interaction was favourable in enthalpy and unfavourable in entropy. The stopped motion of the glycoclusters in the binding-sites of the lectins could be the reason of the negative entropy values. The strong luminescence of the

glycoclusteres was quenched when the lectins were added, confirming again, higher sensitivity for the mannoconjugate than for the glucoconjugate towards ConA.

The same authors also performed a disialo oligosaccharide functionalization from yolk egg (YDS) in the glucoconjugate **59-Glc** (through 4-OH of the α-glucoside) as a probe to detect type-A influenza viruses.[99] The mono- (**59-Glc-YDS1**) and bis-adducts (**59-Glc-YDS2**) were obtained (Fig. 30B) and their inhibitory potency in MDCK cells (Madin-Darby Canine Kidney cells) were tested. While **59-Glc** did not show any inhibition (IC50 >100 mM), **59- Glc-YDS1** and **59-** Glc-YDS₂ showed extremely high virus-affinity ($IC_{50} = 19$ and 8.4 μ M, respectively), even higher than fetuin (positive control, $IC_{50} = 270 \mu M$). Moreover, the YDS-adducts displayed strong luminescence, which was quenched after the addition of the type-A influenza virus, showing again their great ability as inhibitors.

Fig. 31. Structures of glycoconjugates **60-Gal** and **60-Glc.**

Okada *et al.* prepared other Ru(II) bipyridyl carbohydrate derivatives, **60-Gal** and **60-Glc** as lectin binders (Fig. 31).[100] For that purpose, four different lectins were used: *peanut agglutinin* (PNA), *Ricinus comunnis agglutinin 120* (RCA), *concavalin A* (ConA) and *wheat germ agglutinin* (WGA). The authors analysed the glycoconjugates **60-Gal** and **60-Glc** by fluorescence emission and fluorescence polarization (FP). A change in the emission spectrum of **60-Gal** and the appearance of a new band after the addition of PNA showed binding specificity of the galactose conjugate towards this lectin. This new peak evidenced that **60-Gal** specifically binds to the hydrophobic pocket of PNA. The same occurred to **60-Glc** with ConA.

Changes in the FP experiment of both complexes (20 µM) were tracked after the addition of the different lectins $(5 \mu M)$. The results were consistent with the previously reported affinities: **60-Gal** to PNA and **60-Glc** to ConA. When varying the concentrations of these two lectins, it was possible to determine the dissociation constants (K_d) . They found lower K_d of the clustered complexes **60-Gal** and **60-Glc** towards PNA and ConA ($K_d = 6.1 \times 10^{-6}$ and 1.8×10^{-5} M, respectively), than the free galactose and glucose ($K_d \approx 10^{-3}$ to 10⁻⁴ M), confirming again the high affinity of the metal complexes. The binding affinity of the conjugates $(2 \mu M)$ was also tested against tetanus toxin c-fragment (TTC, 0.05 µM), a non-toxic peptide, which is transported from peripheral axons into spinal motoneurons. The polarization increment was almost 7 times higher for **60-Gal**, than for **60-Glc**, which confirmed the already known good affinity of TTC for galactose.[101]

Fig. 32. Structures of conjugates **59-Glc** and **59-Fru.**

Pröhl *et al.* designed and prepared other families of Ru(II) polypyridyl diketonate complexes (**61-Glc** and **61-Fru**) as anticancer drugs (Fig. 32).[102] These complexes were synthesized as bis(demethoxy)curcumin (BDC) derivatives coupled with the corresponding glycosides through a click reaction of the azido-sugars with a boroacetylene-BDC derivative, and finally, coordinated to $Ru(bpy)_{2}Cl_{2}$. The cytotoxicity of both the ligands and the conjugates was tested in L929 (mouse fibroblast cells), HepG2 (human hepatocarcinoma cells) and MDA-MB-231 (breast cancer cells) after 24 h incubation. Neither the ligands nor the complexes were toxic against the noncancerous cells L929. The Ru-containing glycoconjugates were found to be slightly toxic against MDA-MB-231 cells (75-80 % cell viability for $25 - 100 \mu$ M). The authors assigned this observation to the lipophilic character of the bpy ligands, which improves diffusion through the cell, when compared to the non-toxicity of the ligands themselves. Both **61-Glc** and **61-Fru** showed remarkable inhibitory effect against HepG2 cells, which was independent of concentration (50 % cell viability for the range $25 - 100 \mu M$). Although there was some selectivity of the complexes for HepG2 cells over the other cell lines, the study did not show enhancement of toxicity for the fructose conjugate as expected from previous works.[103]

Fig. 33. Structures of glucoconjugates **62a**, **62b** and **62c.**

Very recently, Liu *et al.* reported some imidazo phenanthroline glucocongujates (**62a**, **62b** and **62c** shown in Fig. 33) as two-photon absorption (TPA) PDT agents.[104] A similar complex to **62a** without the glucose moiety was prepared as comparison. The TPA cross sections of the complexes were found to be higher than those for other organometallic complexes. Moreover, singlet oxygen quantum yield values $(^1O_2$ phosphorescence emission) were high $(0.74-0.90)$, meaning the complexes were suitable as PDT agents. Lipophilicity measurements (logP) as cellular uptake indicators showed a lower lipophilicity for the complexes bearing the glucose moiety and also for **62b**, with the phenyl ring in the imidazole. Cellular uptake and cytotoxicity

experiments were tested on HeLa (cervical cancer cells), HepG2 (hepatocellular cancer cells), A549 (human pulmonary carcinoma), A549R (cisplatin resistant) and L02 (human hepatic healthy cells, under glucose starvation). The uptake of the glucoconjugates in HeLa cells was the highest and in L02 cells the lowest, highlighting the selectivity for cancerous over noncancerous cells, whereas for the parent compound, no difference was detected. These evidences suggested an uptake mechanism through energy-dependent glucose transporters (GLUTs) for **62a** and a passive transport was assigned for the Ru-derivative without the glucose moiety. Both confocal microscopy and ICP-MS showed that the complexes mainly accumulated in the mitochondria (more than 81 %) in HeLa cells. None of the complexes were toxic in the dark in HeLa cells. Nonetheless, after irradiation (450 nm, 12 J/cm²), the toxicity increased dramatically, leading to a PI of 44 for **62a**. Under TPA (25 mW, 120 s), **62a** was able to generate ROS in HeLa cells. Finally, *in vivo* experiments in mice with **62a** (the most promising PS) showed disappearance of the tumour after 7 days treatment, when two-photon irradiation was used. In addition, no side effects and negligible body weight loss were detected.

Fig. 34. Structures of S-glucoconjugates **63a-h**

Bonnet and co-workers prepared a family of complexes (**63a-h**) with the formulae $[Ru(tpy)(NN)(S-glucocomjugate)](PF₆)₂ (where typ = 2,2':6',2' '-terpyridine, NN = N^N ligand$ and S-glucoconjugate = $2-(2-(2-(\text{methylthio})\text{ethoxy})\text{ethoxy})\text{ethyl-b-d-glucopy}$ as PDT and/or PACT agents (Fig. 34).^[105] They also prepared the corresponding chloride complexes $\text{(Ru(tpy)(NN)(Cl))Cl)}$ as comparison. The water-soluble glucose-containing complexes were photoactivatable (with the exception of complex **63h**), so that the thioether ligand is released and replaced by a water molecule upon light irradiation. The authors measured the photosubstitution quantum yield (Φ450) of **63a-g**, using blue light (450 or 470 nm) to irradiate the ¹MLCT band of their complexes. They observed a bathochromic effect on the substitution process, in agreement with the formation of the aqua derivatives. The Φ_{450} were 0.5-2 %, leading to photosubstitution reactivities (ξ = Φ⁴⁵⁰ × ε450) of 11-256. Complex **63d** had the highest Φ⁴⁵⁰ value (0.02), whereas **63e** had the lowest one, despite being structurally similar. The azo derivative **63h** did not show any ligand dissociation, suggesting a strong electronic

effect of the azo ligand on the photoreactivity of the ruthenium core. The singlet oxygen quantum yield (Φ_{Δ}) of the complexes revealed small production of ¹O₂ in CD₃OD (Φ_{Δ} = 0.002-0.14), except for $63e$ ($\Phi_{\Delta} = 0.71$). Surprisingly, its chloride derivative 63e had a yield of 0.023, highlighting the influence of the thioether ligand. The addition of a phenyl ring in the bidentate ligand of 63e compared with its analogue 63d, lowers the energy of the $\frac{3\pi}{\pi}$ of the bidentate ligand. However, for **63d**, the $3\pi\pi$ ^{*} states might be too high in energy or there is a quick conversion to the ³MC state, making impossible the energy transfer process with molecular oxygen. This is also in agreement with the non-emissive nature of the complexes. The cytotoxicity and phototoxicity $(3.2 \text{ J cm}^2 \text{ at } 454 \text{ nm})$ of the complexes was tested in two cell lines, namely A549 (human lung carcinoma) and MCF-7 (human breast adenocarcinoma) after 48 h complex incubation (see Table 1). None of the glyconconjugates were photocytotoxic, except **63e**. Nonetheless, after modifying the protocol for **63d**, they found an improved phototoxicity index (PI, 2.4 and 2.6 for A549 and MCF-7, respectively). The normal protocol implied the replacement of the cell media, so that compound, which did not enter the cell, was removed and only complex inside the cell could be photoactivated. In the new protocol, they did not change the media. Thus, the complex could be activated outside the cell membrane and, after release of the thioether ligand, enter the cell. However, this modification did not show improvement for **63a**-**c** and **63f**-**g**. The cellular uptake (25 µM, A549 cells after 24 h) and the lipophilicity (log $P_{o/w}$) of complexes showed some correlations. Although the cellular uptake of the glycoconjugates was low, internalization of **63d**-**f** was 10 times higher than for **63a**-**c**, correlating well with the more lipophilic nature of **63d**-**f**. In conclusion, all these complexes are good photocaging agents, but only **63d** showed potential as a PACT agent, whereas **63e** could act as a photosensitizer for PDT.

In a previous work, complex 63e was studied in depth.^[106] Apart from the p-glycoconjugate, the ʟ-glycoconjugate was also prepared (**ᴅ-63e** and **ʟ-63e**, Fig. 34). The properties of both enantiomers were similar, except the optical density, as expected, and both complexes underwent photoactivation. (Photo)cytotoxicity experiments measured using the same conditions as above (the "normal" protocol with replacement of the media, see above) showed some differences. Complex **L-63e** exhibited lower toxicity in the dark than **p-63e**, but higher toxicity after light irradiation, leading to high PI values (11-86). Thus, the chiral nature of the glucoses has a clear effect on the cytotoxicity. Cellular localization was independent of the incubation times and both conjugates showed almost the same cellular localization. Further experiments revealed localization in mitochondria and an energy-independent mechanism of cellular uptake (using NaN3 as all energy-dependent uptake mechanisms). Since DNA is present in mitochondria and Ru(II) complexes with the N \sim N ligand (dppn, benzo[i]dipyrido-[3,2a:2',3'-c]phenazine) are known as good DNA intercalators,[107,108] some experiments to check interaction with DNA were performed. In the presence of CT-DNA and blue light, the emission maximum of **p-63e** were red-shifted by 42 nm and the intensity enhanced by up to 10 times. Under these conditions, the respective aqua complex is also formed. In addition, a competitive experiment for DNA intercalation between ethidium bromide (a well-known DNA intercalator, EtBr) and \bf{p} **-63e** showed competition of both compounds for the same binding sites. Finally, the authors worked out two extra conclusions. First, the aqua complex interacts with plasmid DNA with a high base pair/Ru ratio. Second, both the p-glycoconjugate and the aqua complex generate singlet oxygen, which triggers DNA photocleavage.

Table 1. Cytotoxicity and phototoxicity of complexes **63d** and **63e** in A549 and MCF-7 cells reported as effective concentrations (EC₅₀). PI is the phototoxicity index, calculated as EC_{50dark}/EC_{50light}. Confidence interval values are not shown for clarity.

	A549			$MCF-7$		
Complex	EC_{50} dark	EC_{50} light	PI	EC_{50} dark	EC_{50} light	PI
63d	>100	>100	-	>100	>100	
63d ^a	64	27	2.4	52	20	2.6
63e (p-63e)	19	0.72	26	9.6	0.86	
$63e(L-63e)$	50	0.58	86	18	0.61	30

^a Modified protocol.

Fig. 35. Structure of Ru-BODIPY glycoconjugate **64**.

According to the idea of the photoinduced release of a monodentate ligand, dos Santos *et al.* prepared a Ru(II) complex (complex **64**, Fig. 35) containing a BODIPY moiety, a nitrogen oxide precursor and two lactose units and its parent compound without the lactose moieties.[109] Both complexes showed similar spectroscopic features, indicating that the lactose incorporation does not modify these features. The cellular uptake of the lactose derivative **64** (10 µM) in B16F10 cells (murine melanoma) after 1 h showed 99 % of internalization, whereas the parent compound (i.e., without lactose units) only showed 13 %. Even 30 min were enough for **64** to be internalized in the cytoplasm. The subcellular location was found to be near the mitochondria, while the nucleus was excluded. The reason of the rapid uptake could be the presence of the aminopropyl-β-lactose moieties, easily taken up by lectins. The cytotoxicity was evaluated in the same cell line. Although the internalization was extremely different, both complexes caused 75 % of cell death and produced NO (nitric oxide), meaning that the parent compound is much more toxic than its lactose conjugate. 150 µM of both **64** and the parent compound produced the maximum concentration of NO after 24 h. Therefore, the cytotoxic activity might be caused by the release of NO.

Fig. 36. Structure of cyclodextrine (CD) conjugates **65a**, **65b** and **65c.**

Supramolecular conjugates were also prepared and used as host-guest cages to study photoinduced electron transfer processes. Haider *et al.* synthesised three β-cyclodextrin (β-CD) derivatives **65a**, **65b** and **65c** (Fig. 36).[110] Since cyclodextrins are both externally and internally hydrophobic, the guest can bind through non-covalent interactions. Firstly, some quinones, shown in Fig. 35, were used as guests: AQC (anthraquinone-2-carboxylic acid), AQS (anthraquinone-2-sulfonic acid) and BQ (1,4-benzoquinone). The emission intensity of **65b** decreased (40 %, 20 % and 25 %, for AQS, AQC and BQ, respectively) when the quinones were added. The rate constants were 5×10^8 s⁻¹ for AQS and 3×10^8 s⁻¹ for AQC. The equilibrium constants were also determined in saturated conditions. The authors found out that 85 % of AQS and 77 % of AQC were bound to the cyclodextrin appended moiety in **65b** $(K_{a(AQC)} = 860 \pm 200 \text{ M}^{-1})$, and $K_{a(AQS)} = 1100 \pm 100 \text{ M}^{-1})$. This quenching suggests an electron transfer process. For the BQ, it was not possible to obtain a value due to the weak binding of this quinone with CD. Secondly, an osmium metalloguest was prepared $[Os(bipty)(tpy)]^{2+}$, where biptpy = $4'$ -(4-biphenyl)- 2,2':6',2''-terpyridine (Fig. 37). The photoinduced transfer from the ruthenium host to the osmium guest was measured with steady state and time-resolved spectroscopy. Excess of the Ru derivative was needed to ensure all of the osmium complex is in the cyclodextrin cavities. However, no effect was observed for the energy transfer (ET)

mechanism. In the electron transfer (eT) option, the Os(II) is previously oxidized to Os(III), in the presence of cerium(IV) salt, which is used as the oxidizing agent. The lifetime of the Rucyclodextrin was quenched by the Os(III) metalloguest. For the free ruthenium complex, the lifetime was 1.9 ns, whereas for the quenched species, two lifetimes were detected: 100 ± 10 ps (80 %) and 1.9 ns (20 %). Independent binding studies of the osmium guest to free CD performed in the same conditions confirms 80 % of the osmium species are bound to the cyclodextrin cavities mediated by an electron transfer pathway ($k = 9.5 \times 10^9 \text{ s}^{-1}$). This indicates the high efficiency of the process.

Fig. 37. Host-guest interaction for cyclodextrin conjugates **65** (host) with guest quinones (top. AQS = anthraquinone-2-sulfonic acid, $AOC =$ anthraquinone-2-carboxylic acid and $BO = 1,4$ -benzoquinone) or the Os(III) metalloguest (down) and the electron transfer mechanism of **65b** with Os(III) metalloguest (down).

Fig. 38. Structures of the supramolecular conjugates **66** and **67**.

Complexes decorated with cyclodextrin cavities were also used as probes to detect steroids. Nolte and co-workers synthesised two complexes, **66** and **67** (Fig. 38), separated in their Λ and Δ enantiomers.[111] However, owing to the low emission intensity of **66**, only **67** was tested as a probe. When directly adding the steroids to a solution of **67**, no change in the emission was detected. Therefore, a viologen (N,N'-dinonyl-4,4'-bipyridinium bromide) was firstly used as a guest molecule. The viologen quenched the emission of **67** by 92 % and a 1:1 complex was formed ($K_a = 2.8 \times 10^5$ M⁻¹). The interaction of the first bipyridinium was observed to quench the emission of **67**, whereas the interaction with the second one had no effect over the emission intensity. Then, different steroids (i.e., ursodeoxycholic acid, lithocholic acid and cholesterol) were added, so that the emission was recovered, suggesting the displacement of the viologen. Only ursodeoxycholic acid strongly increased the emission of **67**, while lithocholic acid only recovered a 30 % of the intensity and cholesterol showed too weak emission. Thus, this sensor is useful to detect and distinguish steroids. The authors concluded that, like in complex **65b**,

there is a photoinduced electron transfer process from the Ru core (host) to the viologen (guest). As an extra advantage, the multiple binding sites available in the molecule prevents the complex to be quenched by oxygen.[112]

1.3.2 *S***-glycosidic bonds**

Fig. 39. Structures of S-glycoconjugates **68-Glc**, **68-Gal** and **68-Man**.

Nevertheless, not all the complexes were prepared as *O*-glycosidic conjugates. The watersoluble thioglycoconjugates **68-Glc**, **68-Gal** and **68-Man** (Fig. 39) were reported by Gottschaldt *et al.* to check the biodistribution of the complexes inside cancer cells.^[113] They selected different carbohydrates closely connected to the bipyridine ligands through the *S*glycosidic bonds to avoid lectin binding in cell surfaces. An extra advantage is that *S*-glycosidic bonds resist hydrolysis from glycosidases.[114] The photophysical properties of the complexes were similar with longer lifetimes for the deaerated solutions, indicating in this case the production of singlet oxygen. The uptake of the complexes in HepG2 cells (human hepatocarcinoma cells) was tested after 24 h incubation of aqueous solutions of the complexes by CLSM. As a result, the glucoconjugate **68-Glc** was the most efficiently taken up by cells, whereas less accumulation was observed for the galactoconjugate **68-Gal**. The distribution inside cells (Fig. 40) displayed granulation for the glucose derivative. This indicates an endocytotic uptake pathway. The internalization for the galactose derivative, however, revealed homogeneous distribution in the cytoplasm. For the mannose derivative **68-Man** and the parent compound Ru(dmbpy)3Cl2, very low intensity was detected, suggesting a weak uptake.

Fig. 40. Cellular distribution of glycoconjugated Ru complexes in HepG2 cells (24 h, 500 µM, red). A) Glucose, B) galactose, C) mannose Ru-complex and D) parent compound **Ru(dmbpy)3Cl2**, Blue: cell nuclei after staining with Hoechst33258. Reproduced from [113]. Copyright © 2010 WILEY‐VCH Verlag GmbH & Co. KGaA, Weinheim

Flow cytometry analysis confirmed as well a favourable internalization of **68-Glc**, whereas a lower effect was observed for **68-Gal**. Both **68-Man** and Ru(dmbpy)3Cl₂ were undistinguishable by flow cytometry analysis. In order to discard a mechanism of internalization through glucose transporters (GLUT), some glucose (20 mM, 24 h) was added to the cells treated with the glucoconjugate (500 µM, 24 h). No relevant changes were observed when comparing the cells with or without the complex, suggesting again an endocytotic uptake mechanism.

1.3.3 *C***-glycosidic bonds**

Fig. 41. Structure of the fructose conjugates **69a** and **69b**.

The interest in the fructose functionalization of the Ru(II) polypyridyl complexes has been pursued since fructose can take part in many favourable mechanisms. GLUT5 (a glucose transporter), for instance, facilitates fructose uptake and is overexpressed in some breast cancers. Thus, Lau *et al.* prepared the fructo-conjugates **69a** and **69b**, with the fructose appended through an amid bond on one bpy (Fig. 41).[115] Both complexes showed emission intensities comparable to those of the parent compounds (non-fructose derivatives). The lipophilicity of the complexes showed increased lipophilicity for the dpp (4,7-diphenyl-1,10 phenanthroline) complex. However, both fructo-conjugates are more hydrophilic than their non-fructose counterparts. Their cytotoxicity was tested in MCF-7 cells. After 48 h incubation, no cytotoxic effect was found for any of the complexes (cell viability > 70 %). The colocalization experiments performed on MCF-7 cells showed intense staining of the cell membrane exclusively for the dpp complex. Oppositely, no staining was observed for **69a**, bearing bpy. Other cell lines were selected for further studies with **69b**: MDCK (Madin Darby Canine Kidney cells), 3T3 (mouse embryonic fibroblasts) and HeLa (human cervical epitheloid carcinoma). Conjugate $69b$ (10 μ M, 1 h) was found to localize in the cytoplasm in MDCK and 3T3, whereas in HeLa, it was strongly concentrated in the cell membrane. Since the GLUT5 transporter favours the fructose uptake, a competitive experiment between 69b and p-fructose was carried out in MCF-7 cells. Cells treated with **69b** (50 μ M, 1 h) showed lower intracellular

emission intensity when p-fructose (50 mM) was added. The same effect was detected in flow cytometry assays, suggesting that the uptake of complex **69b** is competitively inhibited by fructose. These assays also showed that cells treated with **69b** displayed stronger emission intensity than the untreated cells, highlighting its good internalization. Thereby, these complexes display promising properties as imaging agents.

1.4 Lipids

Cell membranes are lipophilic. Thus, one of the best strategies to achieve internalization of drugs is by modifying complexes with lipids. Lipid-drug conjugates (LDCs) also present other interesting features. Linking lipids to drugs can improve the performance of orally administrated drugs, enhancing, for example, their gastrointestinal absorption. This approach can be also beneficial in the delivery of anticancer drugs, since tumour cells frequently take up lipids as energy suppliers. In addition, LDCs have been found to overcome drug resistance in anticancer therapy. Small, uncharged and lipid-soluble molecules (i.e. LDCs) are able to cross the blood brain barrier (BBB), a permeable barrier that separates circulating blood from the cranial fluid, by two mechanisms: increasing lipophilicity or targeting membrane receptors capable of transport them through the BBB.[116]

From a photophysical point of view, lipids absorb light in the therapeutic window of the nearinfrared (NIR) spectrum.[117,118] Since the use of red or NIR light as activation light is a key parameter for some application in PDT and PACT, the attachment of lipids to metal complexes could significantly improve their use as photosensitizers for these techniques.

1.4.1 Fatty acids

One of the first examples of Ru(II) polypyridyl lipid conjugates was reported by Killeen *et* al.[119] They synthesised a $[Ru(bpy)_3]^2$ ⁺ derivative **70** (Fig. 42), in which one of the bipyridyl ligands has been substituted in 5-position with a bolaamphiphile (i.e., molecules with two hydrophilic ends separated by a long lipophilic chain). Amphiphiles have been widely used to
form highly ordered assemblies. However, these membranes are not completely homogeneous since some pores are formed in-between the assemblies. Therefore, the authors proposed the attachment of Ru(II) complexes as closure points for the pores, and subsequent homogenization of the layer. The modification of the bpy ligand did not significantly change the photophysical and electrochemical properties of 70, compared to those of [Ru(bpy)₃]^{2+} .

Fig. 42. Structures of the fatty acid conjugates **70** and **71**.

The conjugation of Ru complexes with lipids was proposed to achieve self-aggregates in water and improve the cellular uptake and diffusion. Dosio *et al*. prepared a probe for cellular imaging **71** (Fig. 42), based on a Ru(II) polypyridyl complex attached to squalene (squalenoylation), a natural organic precursor for the synthesis of all plant and animal sterols.[120] The authors found out that **71** accumulates inside the cell by passive diffusion, even in multicellular spheroids, unlike the parent compound without the squalene moiety. ICP-MS experiments showed an internalisation of 13 amol/cell for the non-squalenoyl complex and 270 amol of Ru/cell for **71**, when MCF-7 cells were incubated with 50 µM of complex, respectively. The cellular concentration for the conjugate was 160μ M, more than 3 times higher than the initial concentration. This evidences the high lipophilicity of **71**. Furthermore, the authors found out that the toxicity of both complexes in HT-29 (human colon cancer cells) and MCF-7 cell lines was relatively low $(> 100 \mu M)$. However, the global emission intensity impressively increased

for the squalene-derivative. The emission of **71** could be detected in the nucleus and the cytosol, whereas no emission was observed for the non-squalenoyl compound owing to its slight internalization.

1.4.2 Steroids

Fig. 43. Structures of lipoconjugates **72a-b** and **73a-b.**

Lo *et al*. reported a series of Ru(II) polypyridyl estradiol conjugates, **72a-b** and **73a-b** (Fig. 43), successfully designed as biological luminescent probes.[121] Estradiol belongs to the family of estrogens and has the highest binding affinity to estrogen receptors (ERs). The lipophilicity of the complexes measured as the partition coefficients (log $P_{o/w}$) revealed an increase for complexes bearing dpp ligands, especially for the estradiol derivative with the longer spacer. The emission intensities and lifetimes also increased for the conjugates with the dpp ligand in the presence of ERα, evidencing the enhanced binding affinity of the new complexes. However,

the binding constants were lower than that of the estradiol, but similar to other estradiolcontaining metal complexes. The cytotoxicity of the complexes, studied in HeLa cells after 48 h incubation was low (IC₅₀ values ranged from 83.1 to 166.6 μ M) compared to that of cisplatin (34 μ M). Thus, the complexes could be considered as non-toxic. The cellular uptake data showed better internalization for derivatives with dpp, which are in good agreement with the emission intensities and binding affinities. In addition, complex **73b** showed distribution mainly inside the cytoplasm of HeLa cells, with higher degree in the perinuclear region, suggesting interaction with hydrophobic organelles such as the Golgi apparatus or the endoplasmic reticulum.

Bonnet and co-workers designed steroid derivatives based on another approach: the photochemical activation and subsequent release of the lipid-containing monodentate ligand, through a thioether bond. This is the same strategy that they have followed for other bioconjugates, which have been previously described (see Section 1.3.1. O-glycosidic bonds, complexes **63a-h**). The steroid-thioether moiety coordinates to the Ru(II) polypyridyl core through the S atom. Thus, complexes **74** and **75**, shown in Fig. 44, were prepared as cholestanol[122] and cholesterol[123] derivatives, respectively. The photochemical activation triggers the substitution of the S-ligands by water molecules, forming the aqua complex.

Fig. 44. Structures of the cholestanol **74** and cholesterol bioconjugates **75** and **76**.

The cholestanol conjugate **74** (Fig. 44)[122] was prepared as a first attempt to mimic the behaviour of Ru(II) polypyridyl complexes in the lipid bilayer since 5α-3β-cholestanol is a membrane intercalator. For that purpose, the researchers incorporated the Ru-containing conjugates to lipid vesicles to facilitate the solubility in water and to gain understanding of the behaviour of this kind of complexes in the water-membrane interface. These vesicles (140 – 180 nm) were successfully prepared with two lipids (dimyristoylphosphatidylglycerol DMPG, anionic and dimyristoylphosphatidylcholine DMPC, neutral). They found out that the complex interacted both electrostatically and through insertion of the cholestanol in the membrane when using negatively charged lipids. After light irradiation and cleavage of the Ru-S bond, the remaining aqua complex was still interacting with the membrane with electrostatic interactions. When neutral lipids were used, only the lipophilic tail were inserted in the membrane and, after light irradiation, the aqua derivative formed diffused away.

The Ru-cholesterol conjugate **75** (Fig. 44)[123] was found to be a potent cytotoxic agent in the dark in various cell lines ($EC_{50} = 5.0 - 6.5 \mu M$), whereas neither the free cholesterol nor the aqua complex showed any toxicity. Also, the cellular uptake was higher for **75** than for the aqua complex. The authors found out that there were two different modes of action for the drug. Concentrations above $3.5 \mu M$ led to the formation of aggregates, which were able to form holes in the cell membrane and extract lipids and proteins from it. This caused the permeabilization of the cell membrane, like a detergent, taking the cell into necrosis. At lower concentrations, the conjugate behaved as a monomer and the lipophilic/fatty tail inserted in the cell membrane. Since the internalization is a slow process, after short incubation times (1 to 6 h), light (λ = 455 nm, 10 min, $6.3 \text{ J}\cdot\text{cm}^{-2}$) triggers the release of the aqua derivative outside the cell, without affecting it. However, for longer incubation times (24 h), light induces the release of the aqua complex inside the cell, leading to high toxicity. In both cases, the similar mechanisms of action involve PACT principles.

The same authors prepared complex **76** (Fig. 44) and a derivative with 2-(methylthio)ethanol (Hmte) as the S-coordinating ligand, to try to better understand the behaviour of this kind of complexes in a lipid bilayer. When the bpy ligand was replaced by a sterically hindered dcbpy (6,6'-dichloro-2,2'-bipyridine), the corresponding aqua complex and the S-containing complexes were found to be in equilibrium at room temperature in the dark. However, the use of light shifted the equilibrium towards the aqua species. When light was switched off, the initial equilibrium was spontaneously recovered. This process is not thermally dependent and allows a direct control of the complexes over the lipid bilayer.[124] Kinetic studies of the same complex allowed determining a two-step mechanism for the thermal binding of the aqua complex to the thioether-cholesterol ligand in a negatively charged lipid bilayer, forming **76**. The first step is the adsorption of the metal complex, which is driven by entropy and completed in a few minutes. The second is coordination of the metal complex to the S-ligand, probably electrostatically. This could take hours.[125]

1.5 Vitamins

Vitamins are small molecules essential for any organism. They can be classified as hydrophilic (B and C) and lipophilic (A, D, E and K). The water-soluble vitamins are easily taken up and excreted. Therefore, the conjugation with these vitamins can improve the water solubility of metal complexes and their subsequent cellular internalization. This is the reason why some ruthenium polypyridyl complexes have been functionalized with B vitamins.

Fig. 45. Structures of the flavin conjugates **77-LF** and **77-RF**, and the pterin derivatives **77-FA-NO** and **77-FA-NN**, where LF = lumiflavin, RF = riboflavin and FA = Folic acid. NO and NN stand for the atom coordination.

Schwederski and Kaim reported one of the first syntheses of Ru(II) polypyridyl complexes attached to two flavins, namely lumiflavin (LF, 77-LF) and riboflavin (RF, vitamin B₂, 77-RF), and a pterin derivative, folic acid (FA, vitamin B9, **77-FA-NO** and **77-FA-NN**), shown in Fig. 45.[126] Both scaffolds have the same characteristics: i) a reducible π system, ii) a π electron deficient α -iminocarbonyl chelation site (O=C-C=N) and iii) an acidic NH proton from the pyrimidine ring. For the flavins, the coordination to the ruthenium centre is through the fivemembered chelate ring involving N^O . For the pterin, there are two possibilities: the fivemembered N^O chelate ring (**77-FA-NO**) or the five-membered chelate ring involving the NH of the side chain (N^N, **77-FA-NN**). Cyclic voltammetry experiments (0.1 M perchloric acid or 0.1 M NaOH) showed one reversible reduction wave for the flavin derivatives **77-LF** and **77-RF**. However, the folic acid derivative did not exhibit any reduction peak, but two oxidation waves related to the irreversible oxidation of the aromatic amine and to the Ru(II/III) redox centre. This is because the pterin ring is slightly more electron rich than the flavin rings. All complexes showed interesting MLCT bands in the absorption spectra, which were pHdependent. Thus, the free ligands had pKa \approx 9 for the NH of the pyrimidine ring, but after coordination to the metal centre, the acidity of that NH was higher ($pKa = 4.3 - 5.4$). In addition, the absorption spectrum of **77-FA** was the same as the one of a similar complex, namely $[Ru(bpy)₂(1,3-dimethyllumazine)]²⁺$, bearing the same chromophore and N^O coordination. Some years later, Scrase *et al.* demonstrated the coordination of the folate to the ruthenium core through the N^N (**77-FA** right).[127] The authors explored the synthesis of **77-FA** at physiological conditions (37 ºC) and also at higher temperatures (65 ºC). In both cases, the NMR characterization revealed two set of signals, corresponding to the diastereomers (Λ*S*/Δ*R*:Λ*R*/Δ*S*). The peaks corresponding to the methylene group directly attached to the pterin moiety were crucial to determine the coordination mode of FA, since the protons displayed loss

of degeneracy (compared to the free FA), suggesting direct coordination of the non-aromatic

6 and 9.9, the same products were obtained, while at pH 2.5, the protonation of the NH avoided the coordination of the FA.

Since the content of FA in cells is low, the sequestration of this molecule by an excess of ruthenium complexes could lead to an alteration of the balance of this cofactor.

Fig. 46. Structures of the nicotinamide conjugates complexes **78a**, **78b** and **78c** (left). Structure and nomenclature of the atropisomers of **78a** and **78b** (Conf. 1 and Conf. 2) detected at 185 K. The nomenclature to describe the atropisomers of **78c** (A, B and C) detected at 185 K (right). H is defined as the head group and T as the tail. Adapted from reference [128] © 2016 The Authors. Published by Elsevier B.V.

Sadler and co-workers reported the synthesis and dynamic behaviour of nicotinamide (NA, vitamin B3) conjugates **78a**, **78b** and **78c** (Fig. 46 left).[128] NMR experiments performed, first at room temperature (298 K), then at 323 K and finally at 185 K, showed hindered rotation of the NA for **78a** and **78b**, leading to the formation of two atropisomers, Conf. 1 and Conf. 2, with Conf. 1 as the most stable in solution (see Fig. 46 right). These findings indicated that the halide ligand (-Cl or -I) was interacting with the protons of the pyridyl group (H9 and H10) through hydrogen bonding and thus, hindering rotation. **78c**, however, showed a normal behaviour at room temperature, whereas, at 185 K, three atropisomers were detected, A, B and

C, with C as the most stable one and B the least. In this case, the cooling slowed down the rotation of the NA, making the atropisomers visible. DFT studies supported the experimental results, confirming atropisomer Conf. 1 as the most stable, since -Cl has a higher H-bonding acceptor ability than -I. Due to these properties, these complexes could be interesting as photoactivated drugs to deliver nicotinamide as an antibacterial agent.

Fig. 47. Structures of Ru-biotin derivatives **79a-c** and **80**.

Biotin (vitamin B7 or vitamin H) in Ru(II) polypyridyl bioconjugates was extensively used. Bonnet and co-workers prepared some biotin conjugates (**79a-c** and **80**, Fig. 47), following the same strategy used for previous bioconjugates of the type $\left[\text{Ru(tpy)}\right]^{(bpy)}$ (S-biomolecule) $]^{2+}$ (see sections 1.3.1. O-glycosidic bonds and 1.4.2. Steroids).[129] As previously shown, this kind of complexes is well-known as photocleavable molecules. The synthesis of the chloride derivative

[Ru(tpy)(bpy)Cl]⁺ with the biotin precursor yielded complex **79a** (9 %) and a mixture of complexes **79b** and **79c** (83:17). When the synthetic conditions were modified and the ligand-Ru ratio increased, the formation of **79a** was supressed. Moreover, changes in temperature and reaction times yielded an enrichment of **79b** vs **79c**, suggesting **79b** as the thermodynamically stable isomer. Since regioselectivity was not achieved, the separation of both isomers by avidinaffinity column chromatography was performed. Avidin is known to strongly interact with biotin ($K_a = 10^{13}$ to 10^{15} M⁻¹) [130], forming the avidin-biotin complex (ABC). After 24 h incubation of the **79b/79c** mixture with an avidin column, the unbound complex mixture was washed off the column with PBS. Finally, a biotin-loaded PBS solution was used to displace the avidin-bound biotin moiety in **79b** with native biotin. Thus, **79b** was obtained as a pure complex. Surprisingly, bioconjugate **80** was shown to uniquely coordinate to one biotin moiety. The photochemical behaviour of this complex was studied, tracking absorption changes under light irradiation ($\lambda = 465$ nm) in PBS for 4 h at 298 K. After 80 min, a plateau was reached, leading to a photosubstitution constant of $k_{\Phi} = 7.1 \times 10^{-4}$ s⁻¹. A photosubstitution quantum yield of 0.011 was also determined. Furthermore, the bioconjugate **80** was found to bind streptavidin as strongly as biotin, suggesting that it could be a good candidate for the ABC-based strategy.

Fig. 48. Structures of biotin conjugates **81** and **82**.

Another Ru-biotin conjugate **81** was prepared by Li *et al.* as a two-photon (TP)-PDT photosensitizer (Fig. 48).[8] The maximum TPA cross-section at 820 nm was 140.26 GM, much higher than that for the clinical PS tetraphenylporphyrin (H₂TPP, 2.2 GM) and the singlet oxygen quantum yield was 0.87 after irradiation at 450 nm. These features make **81** a good PS for TP-PDT. This biotin conjugate showed lipophilicity (log $P_{0/w} = 1.77$) favouring, *a priori*, the uptake in cells. The uptake was evaluated in biotin receptor (BR) positive A549R cells and BR negative HLF-a cells (primary human lung fibroblasts). Complex **81** was found to accumulate more in cancerous cells than healthy cells (13.9-fold accumulation in A549R than in HLF-a cells). A competitive uptake assay with biotin pre-treated cells showed that the uptake of BR positive A549R cells is mediated by BRs. Photocytotoxicity was assessed in BR positive HeLa, A549 and A549R cells lines and BR negative HLF-a cells under 820 nm (two-photon) and 450 nm (one-photon). While the complex was found to be non-toxic in the dark, after TP irradiation (820 nm), the toxicity increased in all the cell lines studied in this work, with the best results for A549R cells ($IC_{50} = 3.3 \mu M$). The phototoxicity upon one-photon irradiation (450 nm) was similar to the TP irradiation. 3D multicellular spheroids (MCTSs) were used to assess the behaviour of **81** under TP-PDT. Stronger phosphorescence was observed for **81** in MTCSs of A459R cells than in MTCSs based on HLF-a cells, highlighting again the selectivity towards cancerous cells. To check whether apoptosis was the mechanism of cell death, the activity of caspase-3/7 was evaluated in the dark and upon irradiation. In the dark, caspase activity remained intact, whereas, after light irradiation, caspase activity increased more than 3 times. All these results suggest that singlet oxygen or ROS generation upon light irradiation leads to apoptosis.

Very recently, Oliveira *et al.* synthesised a Ru(II) complex with an anthracene pendant **82** as PS for PDT (Fig. 48). This complex has three different ligands, a bpy-biotin modified ligand, a dppz ligand and an anthracene-pendant bpy ligand. The singlet oxygen quantum yield (Φ_{Δ}) was

determined with three different irradiation wavelengths. The highest efficiency for singlet oxygen production was achieved upon blue light irradiation (463 nm, Φ Δ = 0.75), although to a lesser extent, green light (520 nm, $\Phi_{\Delta} = 0.51$) and red light also produce ¹O₂ (631 nm, $\Phi_{\Delta} =$ 0.19). DNA binding constants, determined by absorption and emission, showed similar values as those obtained for typical DNA intercalators (K_a = 6.28 \times 10⁶ M⁻¹ and 5.8 \times 10⁶ M⁻¹, respectively). Thus, the insertion of the biotin moiety did not significantly change the ability of the complex towards DNA binding. Complex **82** was able to photocleave DNA using both blue and green light. However, red light did not cause any cleavage. The biotin conjugate showed lipophilicity ($log P_{0/w} = 1.32$), possibly favouring cellular uptake. Biological tests were assayed in bacteria and cells. Regarding bacteria, **82** was only active against *S. aureus* upon blue light irradiation (MIC = 14 μ M). Nevertheless, better results were obtained when these compounds were tested as anticancer agents. The cytotoxic activity was evaluated in HaCaT (normal keratinocyte), LS174T (colorectal cancer cells), A549 (lung carcinoma cells) and MCF-7 (breast cancer cells). Whereas the parent complex without biotin did not show any effect, the biotinylated compound **82** caused substantial reduction of cell viability: around 40 % cell viability for A549 at 12.5 μ g mL⁻¹ and ca. 50 % for MCF-7 cells at the same concentration. These results are in line with the fact that both cell lines have highly overexpressed BRs. For the other cell lines, neither toxicity or phototoxicity was observed. Like **81** and as expected for a biotin conjugate, compound **82** also showed avidin affinity.

Fig. 49. Structures of bioconjugates **83** and **84**.

Chen and co-workers reported ruthenium biotin conjugates **83** and **84** (Fig. 49) based on the derivatization from the benzimidazole ligand.[131] In addition, the authors introduced selenium in the structure of the complex with the aim of increasing their anticancer activity, as they previously showed[132] that selenium activates ROS production in ER and improves the selectivity between cancer cells and non-cancerous cells. Under hypoxic conditions (acidic, pH 6.86), complex **84** was able to release the pyridylbenzimidazole-biotin ligand, generating the complex $[Ru(phenSe)₂(H₂O)₂]$, which can be considered as the therapeutic drug. Biological assays were performed in biotin receptor-positive HeLa cells and receptor-negative L02 cells. The bioconjugate **84** was preferentially taken up by HeLa than L02 in a co-cultured model. The number of apoptotic cells in HeLa increased to 20.8 % after drug incubation with **84**, whereas in L02, the increment was only 2.1 %. *In vivo* experiments in mice inoculated with HeLa revealed accumulation of the Se-bioconjugate selectively in the tumour than in other organs. In cancer cells, **84** enters the cell after 6 h, mostly accumulating in mitochondria (Pearson's coefficient 0.9). Thus, the disruption of the mitochondrial membrane potential (MtMP) was tested. **84** induced dose-dependent disruption, whereas **83** only showed a slight variation. High intracellular levels of ROS were detected for **84** after 4 h, whereas **83** generated much lower amounts of ROS. The accumulation of ROS triggers ER stress, and the release of calcium in

ER is an indicator of this stress. The calcium levels in cytoplasm after 30 min of incubation with the complexes, showed higher levels for **84** than for **83**. All these fact supports the ROSmediated ER stress signal pathway. Finally, *in vivo* antitumour activity was evaluated in mice. The tumour inhibition rate after 30 days treatment with **84** was 64.2 % and no body weight change or death was observed. In addition, the complex was found to reduce side effects on liver, lung and kidney and to return blood parameters to normal levels after treatment.

Fig. 50. Structures of cobalamin conjugates **85a** and **85b**.

Very recently, our group, in collaboration with the one of Zobi, reported the first Ru-cobalamine (vitamin B12) conjugates, **85a** and **85b** (Fig. 50), modified in the β-position, through an alkyne group.[133] The non-conjugated ruthenium complexes were poorly singlet oxygen producers. The biological activity of both the conjugated and non-conjugated complexes in HeLa (cervical cancer cells) and RPE-1 (non-cancerous retina pigmented epithelium cells) revealed surprising results. None of the bioconjugates **85a** and **85b** were cytotoxic in the dark or after light irradiation. Only the non-conjugated Ru(II) complex modified with the amino groups showed cytotoxicity in the dark (IC₅₀ = 9.33 and 6.08 μ M in HeLa and RPE-1, respectively). This toxicity was similar after light irradiation. The cellular uptake experiments by CLSM showed weak emission in HeLa cells, avoiding localization to be determined. As a result, the coupling of cobalamin did not improve the toxicity, probably due to the bulkiness of the conjugate, preventing it to get delivered to cells.

Conclusions

This review highlights the advantages of the conjugation of ruthenium polypyridyl complexes to biomolecules in view of drug delivery and directed targeting. It is remarkable to note that the use of biomolecules is not limited to biology-related applications but also extended to photoinduced electron/energy transfer processes and asymmetric synthesis. This review also focused on the different manners of linking the biomolecules and the ruthenium complexes, which has a direct impact in their behaviours, especially in terms of stability of the resulting bioconjugates. The attachment through a covalent bond between the ruthenium polypyridyl complexes and (small) biomolecules mostly improves (with some exceptions) water solubility, cellular uptake, phototoxicity or binding affinity, without altering the excellent photophysical properties of the metal complexes. The biomolecules are generally linked to ruthenium through the second coordination sphere of ligands (e.g. with a spacer) and rarely directly attached to the ruthenium core in the first coordination sphere. Only some amino acids and vitamins have the correct structure design and available atoms to directly coordinate to ruthenium.

This strategy of bioconjugation has helped to the exponential growth of the ruthenium polypyridyl complexes and allowed to gain understanding in the behaviour of the complexes in biology-related topics. We strongly believe that much more research dedicated to the use of ruthenium polypyridyl complex-containing bioconjugates will be published over the next years, hopefully demonstrating better or even completely new applications, especially in the field of medicinal/biological chemistry. We are convinced that much more in vivo experiments will need to be undertaken to fully unveil the potential of such bioconjugates since the number of articles reporting mice experiments is disappointingly scarce. Regarding the risks associated with the use of such bioconjugates for therapeutic purposes, we believe that it will actually increase the chance of success since the biomolecule should enable lowering the potential toxicity of the metal-based drug.

Declaration of Competing Interest

The authors declare no competing financial interests.

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