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1	Automated plankton image analysis using convolutional neural
2	networks
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21	networks

22 ABSTRACT

23 The rise of *in situ* plankton imaging systems, particularly high volume imagers 24 such as the In Situ Ichthyoplankton Imaging System (ISIIS), has increased the need for 25 fast processing and accurate classification tools that can identify a high diversity of 26 organisms and non-living particles of biological origin. Previous methods for automated 27 classification have yielded moderate results that either can resolve few groups at high 28 accuracy, or many groups at relatively low accuracy. However, with the advent of new 29 deep learning tools such as Convolutional Neural Networks (CNNs), the automated 30 identification of plankton images can be vastly improved. Here we describe a image 31 processing procedure that includes pre-processing, segmentation, classification, and postprocessing, for the accurate identification of 108 classes of plankton using Spatially-32 33 Sparse Convolutional Neural Networks. Following a filtering process to remove images 34 with low classification scores, average precision was 84% and recall was 40%. Biological 35 groups, excluding 12 rare taxa, exceeded 93% precision. This method provides proof of 36 concept for the effectiveness of an automated classification scheme using deep learning 37 methods, which can be applied to a range of plankton or biological imaging systems, with 38 the eventual application in a variety of ecological monitoring and fisheries management 39 contexts.

40 **INTRODUCTION**

61

41 Much of plankton ecology has been focused upon questions surrounding the 42 identity, quantity, and spatial-temporal variability of planktonic organisms in aquatic 43 systems, which has historically been addressed by various net-based sampling systems 44 (Wiebe and Benfield 2003). Although many advanced nets were designed to overcome 45 limitations in horizontal or vertical resolution in sampling, the emergence of plankton 46 imaging systems represents a significant advancement for plankton ecology. Current 47 imaging systems are able to quantify organisms within fine spatial and temporal scales, 48 with some systems imaging organisms undisturbed and in their natural environment [e.g., 49 Video Plankton Recorder (VPR; Davis et al. 1992), Shadow Image Particle Profiling 50 Evaluation Recorder (SIPPER; Samson et al. 2001), ZOOplankton VISualization and 51 Imaging System (ZOOVIS; Benfield et al. 2003), In Situ Ichthyoplankton Imaging 52 System (ISIIS; Cowen and Guigand 2008), Underwater Vision Profiler 5 (UVP5; 53 Picheral et al. 2010). Note that the SIPPER samples via an intake tube, and is thus not a 54 truly undisturbed sampler]. Research using plankton imaging systems has led to new 55 insights into the relationships between species and their fine-scale environment (e.g. 56 Benfield et al. 2000, Ashjian et al. 2001), with implications ranging from fine-scale 57 aggregation dynamics (Luo et al. 2014), N₂ fixation (Davis and McGillicuddy 2006), 58 predator-prey interactions (Greer et al. 2013), carbon export (Petrik et al. 2013), and 59 global plankton biomass estimates (Biard et al. 2016). 60 Though *in situ* plankton imaging systems were developed with a goal of reducing

62 identification), in reality, analyzing plankton images currently still requires extensive and

processing time (very lengthy for physical net samples, which requires sorting and expert

63	time-consuming manual classification and expert taxonomic knowledge. The tradeoff is:
64	human operators' time vs. classification accuracy vs. taxonomic resolution. Manual
65	processing time is typically not reported in papers, but as an example, the manual
66	analysis of 50+ taxa of gelatinous zooplankton within 5,500 m^3 of water, imaged in
67	750,000 frames (13.5 inch square frames with 66 μ m pixel resolution; each frame with up
68	to 50 organisms) required the equivalent of three full man-years (Luo et al. 2014).
69	Classification of pre-processed image segments is slightly faster; Faillettaz et al. (2016)
70	reported a manual classification rate of 10,000 images day ⁻¹ into 10-15 biotic and abiotic
71	classes, but a single multiday cruise can easily generate upwards of 50 million image
72	segments. In general, automated classification efforts currently consist of identifying
73	small numbers of classes [five to seven classes (Davis et al. 2004; Hu and Davis 2006),
74	and three classes (Bi et al. 2015)], but even so, few reach an acceptable benchmark of
75	classification accuracy, commonly set at 67-83% (Culverhouse et al. 2003; Hu and Davis
76	2005). Alternatively, computer-assisted classification is generally used to achieve higher
77	accuracies, which consists of a computer generated set of automated classifications
78	followed by fully validating all images manually (Gorsky et al. 2010; Ohman et al. 2012).
79	Consequently, it is still very difficult and time-consuming to extract high-accuracy data
80	on many types of plankton, particularly in highly diverse areas, which limits the utility of
81	many underwater imaging systems.
82	The issues with classification accuracy and speed have been pronounced with the

In Situ Ichthyoplankton Imaging System (ISIIS; Cowen and Guigand 2008), which is a
high resolution, large volume imager designed for sampling mesozooplankton. It
typically images at a rate of 150-185 L s⁻¹, depending on tow speed. Compared with other

86	plankton imaging systems (VPR: 10-17 mL s ⁻¹ , ZOOVIS: 3.6 L s ⁻¹ , SIPPER, 9.2 L s ⁻¹ ,
87	UVP5: 8-20 L s ⁻¹), ISIIS records at 10-1000 times the sampling volume, which has
88	allowed for studies on rare organisms such as larval fish (Cowen et al. 2013) or large
89	gelatinous zooplankton (McClatchie et al. 2012; Luo et al. 2014). Particularly in sub-
90	tropical zones such as the northern Gulf of Mexico, ISIIS can simultaneously record in-
91	focus, clear images of hundreds of species, ranging from protists, diatom chains, and
92	copepods, to shrimps, larval fish, and medusae. Therefore, in order to properly classify
93	organisms within ISIIS images, a classifier that could handle not just a few (< 10) classes,
94	but many classes (e.g. 30-150) is necessary.
95	Methods for the automated analysis of zooplankton images had early beginnings
96	in statistical approaches, e.g., discriminant analysis (Jeffries et al. 1980, 1984), but
97	quickly progressed to using machine learning techniques such as artificial neural
98	networks (ANNs; Simpson et al. 1992; Culverhouse et al. 1996). Culverhouse et al.
99	(1996) designed their ANN system explicitly for dinoflagellates, and were able to
100	identify species with ca. 72% accuracy, which was comparable to human classification
101	(Culverhouse et al. 2003). For a slightly broader range of taxonomic classes (five to
102	seven classes of phytoplankton and zooplankton), an ANN-type network was combined
103	with a support vector machine (SVM) in a dual classification method for VPR images,
104	resulting in classification precision rates between 23-95% when tested on the original
105	training set (Hu and Davis 2005, 2006). For images from the UVP5, an extensive review
106	of different classifiers (including ANN and SVM classifiers) resulted in the adoption of a
107	Random Forest (RF) algorithm, which consistently performed the best, even superseding
108	the SVM classifier (ZooProcess with PkID; Gorsky et al. 2010; Gasparini 2013).

109	However, even with the success of the RF algorithm, most UVP5 images are still fully
110	validated by human operators, though there have been some recent efforts towards
111	decreasing the amount of manual labor required through the use of filtering methods
112	(Faillettaz et al. 2016). In recent years, SVMs have continued to be used, for systems
113	such as the SIPPER (active learning with an SVM reduces human labeling efforts; Luo et
114	al. 2005) and ZOOVIS (SVM classifier using three classes with > 80% precision; Bi et al.
115	2015), while other groups have continued on with RF methods, sometimes with many
116	more classes (47 classes, Laney and Sosik 2014; 114 classes, Schmid et al. 2016).
117	Nonetheless, for all of these classification algorithms, a highly specific set of pre-
118	measured features was crucial for successfully training the classifier; this set of extracted
119	features could not dynamically change, nor be automatically determined by the classifier
120	itself. Furthermore, while the accepted benchmark for plankton classification accuracy
121	(67-83%, Culverhouse et al. 2003) had been met in many classes by different classifiers,
122	for biological questions particularly surrounding rare or cryptic species, a high amount of
123	error is often untenable.

Here we present the results of a process to develop an automated classification 124 125 algorithm for ISIIS images using Convolutional Neural Networks (CNNs), a relatively 126 new class of methods that has revolutionized the computer vision field in recent years 127 (Krizhevsky et al. 2012; LeCun et al. 2015), and which falls within the general category 128 known as deep learning. As opposed to conventional machine-learning techniques such 129 as artificial neural networks, Random Forest, and support vector machines, deep learning 130 tools do not require extensive domain expertise (e.g., plankton imaging) and the careful 131 engineering of feature extractors for classification. Instead, they are able to process

132 natural data in their raw form, and automatically discover the representations that are best 133 suited for classification. We describe a whole image processing 'pipeline', which 134 includes pre-processing, segmentation, classification, and post-processing. Then, as a 135 proof of concept, we apply it to a set of ISIIS images collected in the northern Gulf of 136 Mexico. While the described method is highly tuned to images collected by a particular 137 instrument, CNNs in general (as well as the machine learning competition we ran to rsatile, 138 generate this solution) are highly versatile, and can be applied to many types of images 139 within the biological sciences.

140 METHODS

141 **Description of instrument**

142 The In Situ Ichthyoplankton Imaging System (ISIIS; Cowen and Guigand 2008) 143 utilizes shadowgraph imaging with a line-scan camera to capture silhouette images of 144 particles in a sampled parcel of water. This backlighting technique, with early application 145 by Arnold and Nuttal-Smith (1974) and Ortner et al. (1979, 1981), allows for the fine 146 taxonomic resolution of transparent organisms (e.g. gelatinous zooplankton) and the 147 coarse taxonomic resolution of small, opaque organisms (e.g. copepods). The camera 148 used is a 2048-pixel line-scan camera that images over a 13x13-cm field of view and 50-149 cm depth of field, with a resultant 66-um pixel resolution. The output of the imaging is 150 recorded as a continuous image that is parsed into square frames (2048 x 2048 pixels) at 17 frames s^{-1} . While sampling, we target a ship speed of 2.5 m s^{-1} , which results in an 151 ISIIS sampling rate of 169 L s⁻¹. However, in practice, this sampling rate can vary from 152 150-185 L s⁻¹ with corresponding ship speeds of 2.25-2.75 m s⁻¹. The recorded data are 153 154 ported to the surface via a fiber-optic wire, time-stamped, and saved onto a ship-based 155 computer or raid array.

156

157 Field sampling

In July-August 2011, ISIIS was deployed over eight, 6-hr transects during two
oceanographic cruises onboard the NOAA ship *McArthur II* in the northern Gulf of
Mexico (Fig 1). The sampling plan was designed to capture images of species present
during the day and night, at various locations and depths, and over multiple months. ISIIS

162 sampled in tow-yo undulations from the surface to 130 m depth at the offshore sites, and163 from the surface to 40-60 m depth at the inshore sites.

164

165 Image pre-processing

166 ISIIS uses a line-scanning camera with a single row of pixels, each with its own 167 unique light sensitivity characteristics; consequently, raw ISIIS images have a slight non-168 uniformity in gray-level across the image, despite the uniform distribution of incoming 169 light. Furthermore, any dust or particles on the lens appears as vertical lines in the raw, 170 square frame (Fig. 3a). These lines and image non-uniformities are corrected in a 171 radiometric calibration called "flat-fielding" in which we calculate a calibration frame 172 (Fig. 3b) that is subtracted from the raw frame. The calibration is calculated per frame; 173 since the objects of interest occupied only a small amount of the frame (based on initial 174 tests, we assumed it to be <20%), we ignored those outliers and calculated a column-175 averaged frame for calibration. Thus, the resultant frame after flat-fielding is devoid of 176 vertical non-uniformities that could bias the segmentation and classification (Fig. 3c). 177 Next, in order to equalize the image histogram, we normalized the contrast within 178 each frame using the OpenCV 2.4 'equalizeHist' command 179 (https://docs.opencv.org/2.4/modules/imgproc/doc/histograms.html). The histogram 180 normalized frame allowed for the better detection of regions of interest (ROIs) for 181 segmentation (Fig. S1). 182 Finally, due to the fact that sampling included coastal waters with high turbidity 183 (from the Mississippi River plume), we calculated a signal-to-noise (SNR) ratio for each

184 frame in order to filter out the highly noisy frames captured in turbid waters. The SNR

185 was computed by first calculating a cleaned-up frame, or the "signal-frame", which was 186 simply done by applying a 3x3 median filter to the histogram normalized frame. The 187 difference between the histogram normalized frame and the signal frame was then 188 considered the "noise-frame". SNR was then calculated as the log of the ratio between 189 vector norm (l²-norm) values of the two images:

190
$$SNR = 20 * log_{10} \left(\frac{|F_{signal}|}{|F_{noise}|}\right)$$
(eq. 1)

where F_{signal} is the signal-frame, and F_{noise} is the noise-frame, and the vector norm values
was calculated using the OpenCV 2.4 'norm' function, and is defined as:

193
$$|\mathbb{X}| = \sqrt{\sum_{k=1}^{n} |x_k|^2}$$
, where $\mathbb{X} = [x_1, x_2, x_3, \dots, x_n]$ (eq. 2)

194 After calculating the SNR on a set of representative images, we found a clear difference 195 in SNR values between frames captured from turbid vs. not turbid waters (Fig. S2). Thus, 196 we used a threshold cutoff of SNR=25 to discard extremely noisy images, which were 197 approximately 26% of all frames originally captured. Note that through earlier efforts, we 198 have found that images from highly turbid waters often require manual identification of 199 images, so we sought to limit this study to images captured from more typically oceanic 200 waters. This exclusion of noisy images should be considered an effect of the sampling 201 environment, rather than the image processing method, as images collected in oceanic 202 waters rarely had high SNRs.

203

204 Segmentation

205 Pre-processed frames were then segmented using the ISIIS image segmentation
206 software (Tsechpenakis et al. 2007, 2008, Iyer 2012,

207 <u>http://cs.iupui.edu/~gavriil/vital/MVISIIS</u>). The segmentation software uses an

208 unsupervised machine learning technique, K-harmonic means clustering, to detect ROIs 209 from the raw images. Iver (2012) tested six different clustering methods for segmentation 210 (K-means, iterative K-means, fuzzy C-means, Isodata, Spectral algorithm, and K-211 harmonic means), and chose the K-harmonic means method because it achieved the 212 highest accuracy rates (95%) at relatively fast speeds and was easily implemented for 213 parallel processing. In our implementation, we found that the segmentation process was 214 further improved after the addition of the image histogram equalization step (see Image 215 Pre-processing). Finally, the segmented images were given a unique name that refers to 216 its time-stamp and location within the original frame. This naming convention allows for 217 each image to be quickly associated with neighboring images, the original frame, 218 shipboard GPS, and the environmental data recorded by the instrument. iez 219

220 Automated image classification

221 Convolutional Neural Networks

222 Segmented images were classified using convolutional (or deep) neural networks 223 (CNNs), a method that is able to process images directly and automatically discover the 224 characteristics within the images that are best suited for classification. Deep neural 225 networks make use of the fact that natural images can be analyzed in a hierarchical 226 fashion, with lower-level features organizing to form higher-level features (e.g. pixels to 227 edges, edges to body parts, and body parts into organisms), and have been used in 228 numerous applications from speech and face recognition (Lawrence et al. 1997, Hinton et 229 al. 2012) to predictions of galaxy morphology (Dieleman et al. 2015). The four key ideas 230 that characterize CNNs (local connections, shared weights, pooling, and the use of many

231	layers) facilitate minimal pre-processing and require no prior knowledge in designing
232	features for classification; this represents a significant advance compared with traditional
233	machine learning methods such as ANNs and SVMs (LeCun et al. 2015).
234	Spatially sparse convolutional neural networks (SparseConvNets) were initially
235	designed for the recognition of Chinese handwriting. SparseConvNets recognize that the
236	background of an image often occupies many pixels and not processing them allows the
237	CNN approach to be applied more efficiently, with less computational cost (Graham
238	2014). Plankton images can also be considered "sparse images," as the majority of the
239	image, even in segmented images, is background. The actual particle or organism
240	occupies a relatively small percentage of the pixels in the image. Thus, not processing the
241	background (white) pixels results in a much faster classification process.
242	An application of SparseConvNets with Fractional Max-Pooling (Graham 2015)
243	was initially developed as part of the Poisson Process team for the 2015 National Data
244	Science Bowl competition (3-mo machine learning competition to classify ca. 60,000
245	ISIIS plankton images within 121 categories; dataset available at Cowen et al. 2015, see
246	competition solution at: www.kaggle.com/c/datasciencebowl/forums/t/13158/poisson-
247	process-competition-report-and-code/). For the competition, a number of similar models
248	were used to generate an ensemble solution. We chose the best single model from team
249	Poisson Process and made small modifications to improve overall speed with little
250	apparent changes in accuracy.
251	As opposed to artificial neural networks, which process images as vectors,
252	convolutional neural networks process images as three-dimensional arrays. Images are

253 represented in a computer as three-dimensional arrays with size NxNxC, where the first

254	two dimensions (NxN) are spatial dimensions, representing the number of pixels in the
255	image, and the last dimension (C) is the number of color-channels. In our case, the input
256	image has dimensions of NxNx1, as there is only one color channel in monochrome
257	images (RGB color images have C=3). However, the C dimension does not necessarily
258	have to represent only true colors, but rather can be generalized and expanded to
259	represent abstract "features" of an image. On a basic level, convolutional networks work
260	by going through an iterative process of collecting features and appending them as 2-
261	dimensional slices to the C dimension. These additional abstract color-channels are
262	'value-added' images, as they represent increasingly higher-level features, as the
263	algorithm progresses from the bottom of the network to the top. Examples of features that
264	would be detected at the bottom of the network include edges or combinations of edges,
265	and at the top of the network, these features would be something biologically relevant,
266	such as tails or antennae. These collections of features are constructed by a numerical
267	optimization technique which involves iteratively showing the network training images
268	from which it can learn discriminative features useful for classification.
269	Our network is constructed as a sequence of two alternating types of layers,
270	termed convolutional and pooling layers. Convolutional layers form the main building
271	block for CNNs, as they detect local combinations of features. Pooling layers operate by
272	merging semantically similar features into one (for a general description, please see
273	LeCun et al. 2015). The network has 13 convolutional layers in total, separated by 12
274	pooling layers. The n -th convolutional layer looks at overlapping $2x^2$ pixel regions of the
275	image below, producing an output image with $32*n$ color-channels. The number of color-
276	channels increases as we rise through the network in the expectation that we will produce

277 an increasingly rich description of the contents of the image. The interleaved pooling 278 layers reduce the spatial size of the input image, but leave the number of color-channels unchanged. We use fractional max-pooling with scaling factor of $1/\sqrt{2}$. The scaling is 279 280 multiplicative, so the image shrinks exponentially as we climb the network. This 281 reduction in resolution offsets the increase in the number of color-channels, ensuring 282 computational feasibility. After the last convolutional layer, we calculate the average of 283 each color-channel over the spatial dimensions. We then perform multinomial logistic 284 regression on the set of color-channel features to predict the class of the image. We used 285 the SparseConvNet (https://github.com/btgraham/SparseConvNet) software package, 286 which takes advantage of the sparsity of the images to reduce the computational burden, 4.02 287 to train the convolutional neural network.

288

289 *Network training*

290 42,564 images were manually sorted in 108 classes to serve as a training set. We 291 used 100+ classes to accurately represent the taxonomic diversity in the data (Fig 4). 292 Initially, we started with a training set that was a subset of the data (not shown), which 293 represented the actual proportions of objects in each class, but refined the training set by 294 adding in rare classes. Since we were most interested in rarer groups (e.g., larval fish, 295 jellies, etc.), they were inflated to provide a greater number of representative samples for 296 the training set. The total number of images in each class of the training set is provided in 297 Table S1.

298 In addition, at each `epoch`(i.e. training cycle), SparseConvNet picks examples 299 from the training set and performs data augmentation (randomly rotates, skews, and

scales each image), hence creating subtle variations of the original shapes and simulating
new training examples. This procedure is fairly common in CNNs and helps to generalize
a model based on a limited set of examples.
We trained for 150 epochs, as this represented the point at which the error rate
plateaued at a minimum value (14.9-15.1%). Using a g2.2xlarge instance on the Amazon

elastic computing cloud (one NVIDIA GPU with 1536 CUDA cores), training 150

306 epochs took *ca*. 24 hours.

307

308 *Model predictions*

To make the prediction robust, each of the 23.4M images in the full data set was passed through the fitted network 24 times, each time with different data augmentation parameters. The probabilities for each object to belong to each class, predicted by the model, were averaged over the 24 predictions, the maximum was found, and the corresponding class was considered as the predicted class. Total prediction time was 165 machine hours (though < 36 actual hours, as we used five GPU instances in parallel).

315

316 Classification groupings

The 108 original classes in the training set were mapped onto 37 broader groups, which represented taxonomic or functional groupings that were more relevant for ecological analyses (Fig. 4, Table S2). For example, many of the original classes were created for automated image classification purposes, with the distinctions between classes only morphological (e.g., straight vs. curved appendicularians) or due to an imaging or segmentation artifact (e.g., cropped bells and tentacles). Others were created to

323	distinguish between different forms within a diverse class (e.g., detritus) that would
324	otherwise pollute many other classes. Lastly, some taxonomic classes were grouped
325	together for filtering purposes and further analyses (e.g., the fish groups).
326	
327	Model performance
328	Confusion matrices (CMs) are a tool for quantifying classifier accuracy (e.g., Hu
329	and Davis 2005, Bi et al. 2015). The calculated CM statistics included three values and
330	three rates, calculated separately for each class <i>i</i> : values were numbers of true positives
331	(TP _i), false positives (FP _i , type I error), and false negatives (FN _i , type II error). The rates
332	calculated were precision (P_i , eq. 3), recall (R_i , eq. 4), and the F1-score, which is the
333	harmonic mean of the precision and recall rates (F1 _i , eq. 5). For a given class, precision
334	quantifies the "purity" of the prediction and recall quantifies the "completeness" of the
335	prediction.
336	$P_i = TP_i / (TP_i + FP_i) $ (eq. 3)
337	$R_i = TP_i / (TP_i + FN_i) $ (eq. 4)
338	$F1_i = 2 \times P_i \times R_i / (P_i + R_i) $ (eq. 5)
339	A self-prediction of the training set and the associated CM represent the
340	theoretical maximum of the classifier performance. It was computed to give a benchmark
341	for determining which classes had naturally high variability and which ones were
342	relatively homogenous (Table S1).
343	To evaluate the classification success on the full dataset, we performed spot-
344	checks: 75,000 predicted images were picked randomly (0.30% of the total dataset) and

their identification was manually validated. The corresponding CM is shown in Table S2(see column "Without Probability Filtering").

347

348 *Probability filtering*

349 In the full dataset, images of organisms spanned the range in terms of quality: 350 small (e.g., early life stage) to large (e.g., adult), blurry to clear, oriented towards, away, 351 or to the side of the camera, etc. Thus, the images that were more difficult to predict, or 352 less archetypal often were associated with a low prediction score. The prediction score is 353 an output of any classification algorithm: for each candidate image, the algorithm 354 computes a score (often a probability) associated with every category in the training set. 355 Classification is then just a matter of picking the maximum score. However, for difficult 356 to identify objects that could fit in many classes, even the maximum score can be low, 357 reflecting a low confidence in the classification. Therefore, we used this score to filter 358 classified images into "high" vs. "low" likelihood of correct classification using a 359 threshold value set for each class. Faillettaz et al. (2016) first demonstrated this approach, 360 showing that the removal of "low-confidence images" (in their case, over 70% of their 361 original dataset) still allowed for the prediction of true spatial distributions of many taxa. 362 In the present study, we determined the appropriate threshold values for each 363 class by predicting a new, independent, 43,000-member test set. All images in this test set 364 were manually identified, which allowed us to detect prediction errors. For each class, we 365 set the threshold value to be the classification score *above which* 95% of images were 366 correctly classified into the corresponding group (as opposed to the *class* itself). Groups 367 were used because many of the 108 classes were separated based on morphological

368	distinctions with little ecological relevance (e.g., "chaetognaths <i>curved</i> " vs "chaetognaths
369	straight"). The 95% level, which resulted in 29.6% of images discarded (though
370	individual classes varied, Table S3), was chosen as a compromise between improving
371	classification accuracy and retaining enough images for ecological analyses. As a
372	comparison, if the thresholds were set at the 90% level, then only 19% of images would
373	be cut, but at 99% level, then 63% of all images would be discarded.
374	The discarded, "low confidence images", were put into the "unknown" category.
375	Since this affected some of the 75,000 randomly selected images used to compute the
376	confusion matrix, a post-filtering confusion matrix was then recalculated. The differences
377	between the CM stats before vs. after probability filtering are shown in Table S2, and the
378	section "With Probability Filtering" gives the CM for the final processed dataset, which
379	can be used for future ecological studies.
380	

381 **RESULTS**

382	A total of 2.4 million raw ISIIS frames (nearly 40 hours of imaging, 10 TB data)
383	were collected from eight transects in the northern Gulf of Mexico. The raw ISIIS frames
384	were segmented into 23.4 million images (27 GB), and classified into all 108 categories
385	(Table S2). After filtering, 64.3% of the images were retained, and 35.7% discarded.
386	Aside from the detritus and artifact images, there were 1.62 million images of
387	phytoplankton and protists and 1.37 million images of mesozooplankton.
388	
389	Training set prediction: accuracy benchmarks
390	Overall, the F1-score (the harmonic mean between precision and recall) for all
391	classes was 88.1, with 67% with a F1-score over 90, and 83% with a F1 score over 80.
392	The hardest classes to predict were the fish classes, with a mean F1 score of 70.7 (e.g.,
393	myctophid fishes were often confused for other types of fishes), and the easiest classes to
394	predict included the protists (mean F1 of 98.0), cyclopoid copepods (mean F1 of 96.1),
395	and chaetognath classes (mean F1 of 93.4) (Table S1). At the group level, the F1-scores
396	increase, such that the lowest was 85.6 (physonect siphonophores), and 70% had F1-
397	scores of 95 or above (Table 1).
398	
399	Image filtering and confusion matrices
400	In total, filtering removed 30% of all images, though this percentage differed by
401	category. Out of 108 categories, 26 were well-predicted (over 60% retained after
402	filtering), including the diatom chains, chaetognaths, dark detritus, protists, doliolids, and

403 three calanoid copepod classes. Many of these 26 classes also fell within the top quarter

404	in terms of numerical abundance (10 classes, containing 18.1 out of 23.4 million images).
405	In particular, the main artifact class (imaging artifacts, as opposed to detritus), which
406	comprised over 8.2 million images, or 35% of the total, were well-predicted, and over
407	93% were retained. However, the well-predicted classes were not only the common
408	classes, since some of the rare but morphologically monotypic (e.g. the cestid
409	ctenophores and goby fishes) also performed very well. In contrast, 22 classes were very
410	heavily filtered, where less than 10% were retained. These classes included six (out of
411	14) hydromedusae, five (out of 19) fish, two polychaete worms, two siphonophores, one
412	copepod and one shrimp, and tended to be the less common but morphologically diverse
413	classes (Table S3).
414	Results from the 75,000 random spot-checks showed that filtering improved the
415	mean classification precision rate at the group level by 33 percentage points, from 53% to
416	86% precision (Table S2). If only the biological groups were considered (thus excluding
417	artifacts, detritus, and unknown, which was nearly 80% of the dataset), this increase was
418	just slightly greater, from 51% to 87% precision. Twelve of the biological groups had less
419	than 25 randomly drawn images (Table S2, also marked in grey in Table 1); these groups
420	were very rare, each representing less than 0.12% of the total biological data. Excluding
421	the rare biological groups, the precision rate after filtering was 89.7%.
422	Naturally, using the filtering thresholds decreased the total recall rate, by 19
423	percentage points, from 63% to 44%. For the non-rare biological groups (n=23), the
424	decrease was greater (22 points), but final recall rate was higher (47%). However, the F1-
425	score, which is the harmonic mean of the precision rate and recall rate, only increased
426	slightly, from 54% to 55%,

427 The final classification comparison was conducted between the (post-filtering) 428 classifier and the training set, which represents the difference between a full dataset 429 classification and the theoretical maximum for a classifier (Table 1). On the full dataset, 430 classification precision was actually close to, or even exceeded that of the training set, 431 which was possible because of the application of the filtering thresholds. Despite the 432 corresponding decrease in the recall rate, a comparison of F1-scores showed that a few of 433 the biological groups had a less than 10-point difference (Oithona copepods, sergestid 434 shrimp, and ablyid siphonophores). Groups that were less common, or had a lot of natural 435 variability, such as other shrimp, pteropods, and cydippid ctenophores, showed a much 436 greater difference, of 70-80 points, which was largely due to low recall rates post-437 filtering. However, the average difference in F1-scores for the (non-rare) biological 438 groups was 40%, representing a moderate difference between the final classifier and the 439 training set.

441 **DISCUSSION**

442	We demonstrate the successful application of an image processing procedure,
443	using a deep learning convolutional neural network, to classify a ~40 hour, 10 TB in situ
444	plankton imaging dataset containing 25 million image segments into 108 classes. After
445	applying a filtering threshold on the classification probabilities, and grouping the classes
446	into 37 taxonomically and functionally meaningful groups, the average classifier
447	precision on non-rare biological groups (n=23) was 93%, which is higher than any
448	previous attempt on high sampling volume, in situ plankton images.
449	Since Culverhouse et al. (2003) published a finding that trained personnel are
450	only able to achieve 67-83% self-consistency on an expert plankton classification task,
451	that range has existed as a sort of <i>de facto</i> benchmark within the plankton imaging field
452	in which computer classification can be considered to be as good as human classification
453	(e.g. Hu and Davis 2005, 2006). In reality, Culverhouse et al. (2003)'s findings were
454	specific to a <i>difficult</i> identification task, in which morphologically variable dinoflagellate
455	species (genus Dinophysis) were being distinguished from each other. For in situ
456	plankton images, it is not very difficult for a human to distinguish between broad
457	plankton community-based groups (e.g. calanoid copepods, shrimps, and larval fish), but
458	rather, the difficulty only lies when distinguishing within certain taxa (e.g. between larval
459	mesopelagic fishes, or between small decapod shrimps). Of course, classification
460	difficulty may vary due to environmental conditions and ecosystem composition.
461	Nonetheless, we suggest that this benchmark should be revisited, and raised to at least
462	90%. In manual sorting for the present dataset as well as others with 120+ classes (e.g.
463	Cowen et al. 2015), the proportion of unknowns, in which an expert operator is unable to

464 sort the image, ranged from < 1% for 30-35 classes to *ca*. 5% for 120-130 classes. In the 465 present case, application of a method incorporating deep machine learning and filter 466 thresholding resulted in nearly 90% precision on all the non-rare biological groups; this 467 approaches the point in which we may consider an automated classifier to be as good as a 468 human operator in sorting common plankton groups at higher taxonomic levels. 469 Application of the Faillettaz et al. (2016) filtering method gives us the ability to 470 select for the highest probability images, and subsequently manipulate the precision 471 levels (and by association, the recall rates) in the final classifier. This step allowed us to 472 ensure the best description of biological patterns, which was important given the 473 scientific goals of the image analysis procedure. Application of the filtering method 474 would likely significantly increase the overall performance of other previously published 475 classification schema, and would likely temper the difference between our results and 476 those earlier studies. Secondarily, we also note that it is not sufficient to judge a classifier 477 by the class precision alone; the recall rate must also be incorporated. We therefore 478 propose a more widespread adoption of the F1-score, which is the harmonic mean of the 479 precision and recall.

Convolutional neural networks represent a significant advance over traditional machine learning methods, because they are designed to learn and automatically extract feature descriptors (LeCun et al. 2015). Aside from the construction of the neural network architecture, the single most important factor determining the success of the classifier was the training set. Fernandes et al. (2009) had proposed a computer-assisted method for determining the optimal number of classes (settled on 30), using a Tree-Augmented Naïve Bayes classifier. In our case, since deep learning methods are capable

487 of classifying many more classes, we manually defined 108 classes and then grouped 488 them into 37 groups after classification, but future efforts with CNNs should utilize some 489 amount of computer assistance in determining the identity and quantity of classes. 490 Deep learning methods require large amounts of training data, and our 42,000 491 item training set for 108 categories was likely on the low end; significant amounts of data 492 augmentation was necessary. However, this is still an order of magnitude greater than the 493 training sets used by traditional machine learning plankton image classifiers: Hu and 494 Davis (2006) used 200 images per class for seven classes, Bi et al. (2005) used 210 495 images total for three classes, and Faillettaz et al. (2016) used 5,979 images for 14 496 classes. Our choice of using a "natural" training set, where rare classes were augmented 497 but not to the quantity of the most common classes, was a decision following our broader 498 research objectives of describing mesozooplankton (including larval fish) distributions. 499 These organisms are relatively rare, especially compared to protists and diatom chains, 500 and thus needed special attention within the training set. Augmenting rare groups in the 501 training set is naturally a time-consuming process. However, if the scientific objective of 502 the image analysis system were to classify the detritus and common phytoplankton, then 503 a more representative training set would achieve higher accuracies (Chang et al. 2012). 504 Furthermore, to the extent possible, it was necessary to include the range of images, from 505 the best (clearest, sharpest) image to the worst (most ambiguous, blurry) image, and to 506 divide classes not only by taxonomy, but also morphological differences. Still, there were 507 classes that did not perform very well (e.g., "shrimp other"), but were too difficult to 508 separate further.

509 The development of our classifier (an application of the Spatially-Sparse 510 Convolutional Neural Network, Graham 2014, 2015) was achieved following the 2015 511 National Data Science Bowl, a Kaggle.com machine learning competition. For the 512 competition, we used the same ISIIS imaging system as in the present paper, but data 513 from a different sampling region (Straits of Florida; competition data available at Cowen 514 et al. 2015). While crowd-sourcing and machine learning competitions are not within the 515 scope of the present paper (but discussed in Robinson et al. 2017), there were some key 516 lessons we learned through the process that determined the successful application of the 517 present classifier. First, in many competition settings, teams submit results that are an 518 average of multiple models, also known as ensembles, which are computationally 519 expensive and not necessarily the most realistic for real-world use. Therefore, it was 520 critical to identify the single best model, which may or may not be part of the best 521 ensemble (it was not in our case). Second, further development of the classification 522 scheme was necessary after the competition ended. Essential to our success was the 523 inclusion of a bio-computing specialist who could bridge the gap between the biologists 524 and the computer scientists. Finally, the design of the competition dataset was also highly 525 important, as it determined the types of solutions that emerged. We found that it was 526 essential that the dataset had all the qualities of a good training set (ratio of images within 527 rare vs. common classes, inclusion of high and low quality images, and separation of 528 classes by taxonomy and morphology). These three key points facilitated the successful 529 transfer of an image classifier between the competition and the present context. 530 As plankton datasets, both physical (e.g. the Continuous Plankton Recorder 531 archive) and digital (the growing ISIIS collection), get larger and more comprehensive, it

532	is critical to note that the amount of samples to sort at a particular taxonomic resolution
533	will always depend on the scientific question and the time available for analyses. For
534	some questions, such as the spatio-temporal variability in ichthyoplankton distributions
535	(Richardson et al. 2010) or the niche shift of sibling species (Beaugrand et al. 2002),
536	manually sorting physical samples to the genus or species level is necessary, but in those
537	cases, only a relatively small number of organisms can realistically be sorted. For other
538	questions, such as the fine-scale distribution of broad taxonomic groups, the complete
539	analyses of samples collected by high throughput imaging systems is most adapted. In
540	that case, manual sorting would be time prohibitive, especially with increasing numbers
541	of classes (we estimate that sorting into 40-50 classes, which can be done at 5,000 images
542	d ⁻¹ , occurs at roughly half the rate of sorting into 10-15 classes). Therefore, computer-
543	assisted or fully automated classification becomes more expedient. Even the necessity of
544	creating a training set, with associated independent test set, for each sampling region is
545	time consuming (by our estimates, ca. 2-3 mo.). The future development of a master,
546	global-level training set with regional filters could facilitate a more rapid image
547	classification process. This could eventually lead to a minimal amount of manual
548	identification work for each additional dataset (i.e. for spot-checks for the final confusion
549	matrix, which would yield a class-specific correction factor for densities). The
550	combination of the speed of classification, use of 100+ classes, high precision, and only
551	needing to do small amounts of manual sorting would significantly increase the utility of
552	plankton imaging systems, as we will be able to classify millions to billions of <i>in situ</i>
553	plankton images quickly and accurately.

554 It is evident that with the recent interest in plankton (e.g. from the *Tara* Oceans 555 project, Bork et al. 2015) that there are many additional questions and areas for 556 exploration regarding the base of the marine food chain. Imaging systems are inherently 557 complementary to net-based sampling; physical samples are always going to be necessary 558 for ecological questions requiring fine taxonomic resolution and the analysis of hard 559 structures (e.g. otoliths), isotopes, or genetics. However, large-volume imaging systems 560 can be particularly useful for addressing questions regarding rare, gelatinous, or large 561 organisms in the context of predator-prey dynamics, horizontal and vertical aggregations, 562 and fine-scale relationships to the environment. Plankton imaging systems can also 563 provide important validation data for regional and global ocean ecosystem models, which 564 suffer from insufficient data for constraining patterns and processes. The development of 565 whole, integrated pipelines for plankton image analysis can enhance the utility of 566 automated classification tools, and can eventually lead to the goal of real-time image 567 processing done at sea. Combined with some net-sampling for taxonomic validation, 568 plankton imaging systems can be an incredibly powerful tool, with applications in ocean 569 monitoring and fisheries management, as well as in addressing many of the fundamental 570 questions still existing within plankton ecology.

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- 580

581 DATA AVAILABILITY

582All manually classified images from the full training set and test sets (43K probability

583 filtering set and 75K random spot-check set), as well as text files containing predicted

- and validated classes for all test sets will be available on Zenodo.org (temporary version:
- 585 <u>https://sandbox.zenodo.org/record/238694#.W3GreC2ZNTZ</u>, with permanent version at
- doi: 10.5281/zenodo.836492 upon publication). Executable files for the segmentation
- 587 code will also be on Zenodo.org. Source code for SparseConvNet
- 588 is available at <u>https://github.com/btgraham/SparseConvNet</u>, as well as on Zenodo.org.
- 589

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TABLES

Table 1. Comparison of precision, recall, and F1-ratio for the prediction of the training set compared to the full dataset (from the random 75,000 spot-checks, after applying the filtering thresholds), calculated at the group level. Gray rows indicate rare groups with < 25 images in the spot-checked set.

	Trai	ning Set		Full Dataset			
Class	Precision	Recall	F1	Precision	Recall	F1	
appendicularian	97.5	99.2	98.3	90	39.1	54.5	
artifact	94.6	96.8	95.7	96.5	90.5	93.4	
chaetognath	98.7	99	98.8	92.7	46.9	62.3	
copepod_calanoid	98.3	99.2	98.7	98.8	62.4	76.5	
copepod_copilia	97.7	97.7	97.7	60	75	66.7	
copepod_oithona	97.5	99.8	98.6	100	57.6	73.1	
ctenophore_beroida	98.8	89.4	93.9	100	20	33.3	
ctenophore_cestida	100	99.3	99.6	100	33.3	50	
ctenophore_cydippid	100	83.3	90.9	100	3.1	6	
ctenophore_lobata	99.3	98.7	99	100	14.3	25	
detritus	97.4	92.6	94.9	98.2	55.3	70.8	
diatom_chain	97.6	98	97.8	92.3	78.6	84.9	
echinoderm	98.2	98.6	98.4	100	16.5	28.3	
ephyra	100	100	100	52.8	47.5	50	
fish	99.5	99.8	99.6	76.3	38.5	51.2	
hydro_liriope	93.5	96.1	94.8	100	21.4	35.3	
hydro_narcomedusae	97.4	95.5	96.4	98.8	23	37.3	
hydro_other	88.8	92.3	90.5	79.7	15	25.2	
hydro_rhopalonematidae	95.4	97	96.2	96.7	33.1	49.3	
medusa_pelagia	98.8	95.3	97	NA	0	NA	
polychaete_worm	99	99	99	90	45.8	60.7	
protist	99.1	99.6	99.3	93.9	53.7	68.3	
pteropod	99	94.6	96.8	55.6	14.7	23.3	
radiolarian_chain	100	100	100	100	77.8	87.5	
shrimp_euphausiid	95.8	98.8	97.3	94.1	69.6	80	
shrimp_lucifer	93.1	94.7	93.9	100	32.5	49.1	
shrimp_other	97.4	91.7	94.5	86.2	12.3	21.5	
shrimp_sergestidae	92.1	89.4	90.7	90	50	64.3	
siph_ablyidae	96.7	97.8	97.2	87.5	21.9	35	
siph_calycophoran	95.9	95.6	95.7	98.6	24.6	39.4	
siph_lilyopsis_rosea	92	89.6	90.8	100	44.4	61.5	
siph_physonect	95	80	86.9	NA	0	NA	
stomatopods	95.3	95.3	95.3	13.3	66.7	22.2	
tornaria	98.4	98.4	98.4	50	25	33.3	
trichodesmium	92.3	95.5	93.9	93.2	29.5	44.8	
tunicates	97.8	99.2	98.5	95.5	50.9	66.4	
zoea	97.9	100	98.9	1.5	92.7	3	

FIGURES

Figure 1. Sampling sites in the northern Gulf of Mexico (GOM), spanning two months (July and August 2011), in nearshore and offshore sites, occurring during evening (solid lines) or morning (dashed lines) times. Each transect was sampled over 6 hours, and consisted of tow-yo undulations, from the surface to a maximum of 50 m for the inshore sites and 130 m for the offshore sites.

Figure 2. Flowchart overview of the processing steps. Raw frames were first flat-fielded and corrected, then segmented into smaller image segments. A training set was generated to train the image classifier, which was a convolutional neural net (CNN). The full dataset of ~24M images were classified. Afterwards, a random subset of 75k images were spot-checked (manually validated) to estimate the accuracy of the classifier. Separately, a 43k-test set was also validated and used to set probability thresholds, which separated the classified dataset into low probability (discarded into "unknown") and high probability images (retained). Confusion matrices (CMs) were generated to evaluate classifier performance at each step.

Figure 3. An example of the flat-fielding process, showing the raw image (left), the flat-field frame that was removed from the raw image (center), and the corrected frame (right).

Figure 4 (A,B). Example images from each of the 108 classes within the learning set. Classes [*and corresponding groups*] are: 1) acantharia protist 1, 2) acantharia protist 2 [*protist*]; 3) appendicularian sinusoidal tail, 4) appendicularian slight curve, 5) appendicularian straight [*appendicularian*]; 6) artifact 1, 7) artifact 2, 8) bubbles [*artifact*]; 9) chaetognath c-curved, 10)

chaetognath curved, 11) chaetognath dark, 12) chaetognath straight [*chaetognath*]; 13) copepod calanoid, 14) copepod calanoid eggs, 15) copepod calanoid eucalanus, 16) copepod calanoid flatheads, 17) copepod calanoid frilly antennae, 18) copepod calanoid large, 19) copepod calanoid small long-antennae [copepod calanoid]; 20) copepod cyclopoid copilia [copepod *copilia*]; 21) copepod cyclopoid oithona, 22) copepod cyclopoid oithona eggs [*copepod oithona*]; 23) copepod escape [copepod calanoid]; 24) ctenophore beroida [ctenophore beroida]; 25) ctenophore cestida [*ctenophore cestida*]; 26) ctenophore cydippid [*ctenophore cydippid*]; 27) ctenophore lobata mnemiopsis, 28) ctenophore lobata ocyropsis, 29) ctenophore lobata type 1 [*ctenophore lobata*]; 30) detritus sparse blob, 31) detritus casings, 32) detritus dark, 33) detritus filamentous [detritus]; 34) diatom chain string, 35) diatom chain tube [diatom chain]; 36) echinoderm brachiolaria, 37) echinoderm pluteus [echinoderm]; 38) ephyra [ephyra]; 39) fecal pellets [detritus]; 40) fish bregmacerotidae, 41) fish carangidae, 42) fish ceratioidei, 43) fish echeneidae, 44) fish engraulidae, 45) fish gobiidae, 46) fish gonostomatidae, 47) fish labroidei, 48) fish leptocephali, 49) fish microdesmidae, 50) fish myctophidae, 51) fish ophidiidae, 52) fish phosichthyidae, 53) fish pleuronectiformes, 54) fish scombridae, 55) fish serranidae, 56) fish synodontidae, 57) fish trichiuridae, 58) fish xyrichtys [fish]; 59) hydromedusae rhopalonematidae [hydro rhopalonematidae], 60) hydromedusae eucheilota, 61) hydromedusae haliscera [hydro other]; 62) hydromedusa liriope tetraphylla, 63) hydromedusa liriope cut-offbell [hydro liriope]; 64) hydromedusae narcomedusae other [hydro narcomedusae]; 65) hydromedusae rhopalonema 2 [hydro rhopalonematidae], 66) hydromedusae solmaris rhodoloma, 67) hydromedusae solmaris spp, 68) hydromedusae solmundella, 69) hydromedusae tiny solmaris [hydro narcomedusae]; 70) hydromedusae type 1 small bell, 71) hydromedusae type 2, 72) hydromedusae type 3 [hydro other]; 73) medusa pelagia noctiluca [medusa pelagia];

74) medusa tentacles [*hydro other*]; 75) polychaete type 1, 76) polychaete type 2, 77) polychaete type 3 [*polychaete worm*]; 78) protist noctiluca, 79) protist radiolarian, 80) protist radiolarian clear [*protist*]; 81) pteropod type 1, 82) pteropod type 2, 83) pteropod type 3 [*pteropod*]; 84) radiolarian chain [*radiolarian chain*]; 85) shrimp caridean, 86) shrimp caridean small [*shrimp other*]; 87) shrimp euphausiid, 88) shrimp euphausiid escape posture [*shrimp euphausiid*]; 89) shrimp lucifer [*shrimp lucifer*]; 90) shrimp mysid [*shrimp other*]; 91) shrimp sergestidae [*shrimp sergestidae*]; 92) siphonophore ablyidae [*siph ablyidae*]; 93) siphonophore calycophoran pointy head no-stem, 94) siphonophore calycophoran pointy head with-stem, 95) siphonophore calycophoran]; 96) siphonophore lilyopsis rosea [*siph lilyopsis rosea*]; 97) siphonophore physonect [*siph physonect*]; 98) stomatopods [*stomatopods*]; 99) tornaria acorn worm larvae [*tornaria*]; 100) trichodesmium bow-tie, 101) trichodesmium tuft, 102) trichodesmium puff [*trichdodesmium*]; 103) tunicate doliolid, 104) tunicate doliolid budding, 105) tunicate salp, 106) tunicate salp chains [*tunicates*]; 107) unknown dark blob [*detritus*]; 108) zoea [*zoea*].

Figure 5. Confusion matrix on the 75,000 random images, classified into 108 classes, and then grouped into 38 groups (including unknowns). Low-probability images were moved into Unknowns. Rows show computer-predicted classes, and columns show human-validated classes. Color indicates proportion of images sorted from computer-predicted classes into manually verified classes, scaled by row. Grey rows indicate rare categories where no (high probability) images were randomly selected for validation.



Figure 1.



Figure 2.







Figure 4 (A,B).



Figure 5.

SUPPLEMENTAL TABLES AND FIGURES

Table S1. Confusion matrix statistics of a model prediction on the original 108 class training set, showing number of true positives (TP), false positives (FP), false negatives (FN), total number of objects in each class, precision (P = TP / (TP + FP)), recall (R = TP / (TP + FN)), and F1-score (harmonic mean of precision and recall, F1 = 2*P*R / (P + R)).

#	Class	ТР	FP	FN	Total	Prec.	Recall	F1-score
1	acantharia_protist_type1	73	2	3	76	97.3	96.1	96.7
2	acantharia_protist_type2	504	2	0	504	99.6	100	99.8
	appendicularian_	1000		0.6	1 400			
3	sinusoidal_tail	1392	175	96	1488	88.8	93.5	91.1
4	appendicularian_slight_curve	956	173	215	1171	84.7	81.6	83.1
5	appendicularian_straight	510	86	67	577	85.6	88.4	87
6	artifact_type1	211	13	4	215	94.2	98.1	96.1
7	artifact_type2	96	3	11	107	97	89.7	93.2
8	bubbles	205	13	2	207	94	99	96.4
9	chaetognath_c_curved	66	2	8	74	97.1	89.2	93
10	chaetognath_curved	65	9	9	74	87.8	87.8	87.8
11	chaetognath_dark	108	5	4	112	95.6	96.4	96
12	chaetognath_straight	120	7	1	121	94.5	99.2	96.8
13	copepod_calanoid	65	8	41	106	89	61.3	72.6
14	copepod_calanoid_eggs	304	29	21	325	91.3	93.5	92.4
15	copepod_calanoid_eucalanus	199	3	9	208	98.5	95.7	97.1
16	copepod_calanoid_flatheads	303	77	58	361	79.7	83.9	81.7
	copepod_calanoid_							
17	frillyAntennae	90	14	46	136	86.5	66.2	75
18	copepod_calanoid_large	705	88	34	739	88.9	95.4	92
10	copepod_calanoid_	170	7	2	175	0.0 1	00.0	05.5
19	small_longantennae	1/3	1	2	1/5	96.1	98.9	97.5
20	copepod_cyclopoid_copilia	253	6	6	259	97.7	97.7	97.7
21	copepod_cyclopoid_oithona	1685	/8	130	1815	95.6	92.8	94.2
22	oithona eggs	2427	170	19	2446	93 5	99.2	96.3
23	copepod escape	94	6	2	96	94	97.9	95.9
24	ctenophore beroida	84	1	10	94	98.8	89.4	93.9
25	ctenophore cestida	133	0	1	134	100	99.3	99.6
26	ctenophore_cvdippid	10	Ő	2	12	100	83.3	90.9
20	ctenophore lobata	10	Ŭ	-	12	100	05.5	<i>J</i> 0 , <i>J</i>
27	mnemiopsis	656	13	4	660	98.1	99.4	98. 7
28	ctenophore lobata ocyropsis	12	0	12	24	100	50	66.7
29	ctenophore lobata type1	23	0	1	24	100	95.8	97.9
30	detritus blob sparse	2315	300	321	2636	88.5	87.8	88.1
31	detritus casing	182	2	1	183	98.9	99.5	99.2
32	detritus dark	350	62	58	408	85	85.8	85.4
33	detritus_filamentous	511	93	270	781	84.6	65.4	73.8

34	diatom_chain_string	1101	46	80	1181	96	93.2	94.6
35	diatom_chain_tube	1012	102	58	1070	90.8	94.6	92. 7
36	echinoderm_brachiolaria	263	8	2	265	97	99.2	98.1
37	echinoderm_pluteus	288	2	6	294	99.3	98	98.6
38	ephyra	144	0	0	144	100	100	100
39	fecal_pellets	177	12	12	189	93.7	93.7	93.7
40	fish_bregmacerotidae	1756	230	34	1790	88.4	98.1	93
41	fish_carangidae	222	108	74	296	67.3	75	70.9
42	fish_ceratioidei	4	1	16	20	80	20	32
43	fish_echeneidae	63	36	27	90	63.6	70	66.6
44	fish_engraulidae	784	83	107	891	90.4	88	89.2
45	fish_gobiidae	911	118	130	1041	88.5	87.5	88
46	fish_gonostomatidae	38	7	125	163	84.4	23.3	36.5
47	fish_labroidei	825	72	90	915	92	90.2	91.1
48	fish_leptocephali	125	10	14	139	92.6	89.9	91.2
49	fish_microdesmidae	37	2	4	41	94.9	90.2	92.5
50	fish_myctophidae	1187	513	245	1432	69.8	82.9	75.8
51	fish_ophidiidae	75	12	33	108	86.2	69.4	76.9
52	fish_phosichthyidae	73	30	140	213	70.9	34.3	46.2
53	fish_pleuronectiformes	95	7	7	102	93.1	93.1	93.1
54	fish_scombridae	323	91	70	393	78	82.2	80
55	fish_serranidae	6	0	23	29	100	20.7	34.3
56	fish_synodontidae	1	0	172	173	100	0.6	1.2
57	fish_trichiuridae	75	10	3	78	88.2	96.2	92
58	fish_xyrichtys	1057	91	86	1143	92.1	92.5	92.3
59	hydro_rhopalonematidae	675	31	19	694	95.6	97.3	96.4
60	hydro_eucheilota_spp	65	0	6	71	100	91.5	95.6
61	hydro_haliscera_spp	42	17	10	52	71.2	80.8	75.7
62	hydro_liriope	118	8	9	127	93.7	92.9	93.3
63	hydro_liriope_cutoffbell	51	8	2	53	86.4	96.2	91
64	hydro_narco_other	170	24	16	186	87.6	91.4	89.5
65	hydro_rhopalonema2	58	11	10	68	84.1	85.3	84.7
66	hydro_solmaris_rhodoloma	401	20	54	455	95.2	88.1	91.5
67	hydro_solmaris_spp	191	5	12	203	97.4	94.1	95.7
68	hydro_solmundella	302	2	2	304	99.3	99.3	99.3
69	hydro_tinysolmaris	52	28	19	71	65	73.2	68.9
70	hydro_type1_smallbell	105	35	22	127	75	82.7	7 8. 7
71	hydro_type2	112	9	17	129	92.6	86.8	89.6
72	hydro_type3	95	8	2	97 97	92.2	97.9	95
73	medusa_Pelagia	82	1	4	86	98.8	95.3	97
/4	medusa_tentacles	65	17	1	72	/9.3	90.3	84.4
15	polycnaete_type1	27	2	6	33	93.I	81.8	87.1
/6	polycnaete_type2	9/	0	3	100	100	9/	98.5
11	polychaete type3	163	10	3	166	94.2	98.2	96.2

	- 0141	38605	3959	3959	42564	91.5	87.3	88.1
	Total	∑TP	∑FP	∑FN	∑Tot.	Prec.	Recall	<i>F</i> 1
108	zoea	46	1	0	46	97.9	100	98.9
107	unknown_dark_blob	177	54	79	256	76.6	69.1	72.7
106	tunicate_salp_chains	92	16	1	93	85.2	98.9	91.5
105	tunicate_salp	92	8	21	113	92	81.4	86.4
104	tunicate_doliolid_budding	266	2	2	268	99.3	99.3	99.3
103	tunicate_doliolid	467	19	8	475	96.1	98.3	97.2
102	tricho_puff	103	3	0	103	97.2	100	98.6
101	tricho_tuft	95	28	19	114	77.2	83.3	80.1
100	tricho_bow_tie	171	15	13	184	91.9	92.9	92.4
99	tornaria_acorn_worm_larvae	63	1	1	64	98.4	98.4	98.4
98	stomatopods	101	5	5	106	95.3	95.3	95.3
97	siph_physonect	76	4	19	95	95	80	86.9
96	siph_lilyopsis_rosea	103	9	12	115	92	89.6	90.8
95	siph_calycophoran_round	915	44	58	973	95.4	94	94.7
94	pointy_tail	104	9	8	112	92	92.9	92.4
10	siph_calycophoran_		_5	10	2.0	2	20	//
93	pointy notail	235	23	13	248	91.1	94.8	92.9
92	siph_aolyldae	/53	20	1/	770	96./	97.8	97.2
91	snrimp_sergestidae	1693	146	200	1893	92.1	89.4	90.7
90	shrimp_mysia	81	U 14C	200	83	100	9/.0	98.8
89	snrimp_lucifer	2544	189	143	2687	93.I	94./ 07.6	95.9
88	snrimp_eupnausiid_escape	4/	6 100	10	57	88./	82.5	85.5
8/	shrimp_euphausiid	921	38	3	924	96	99./ 92.5	97.8
86	shrimp_caridae_sm	277	10	12	289	96.5	95.8	96.1
85	shrimp_caridae	71	14	38	109	83.5	65.1	73.2
84	radiolarian_chain	113	0	0	113	100	100	100
83	pteropod_type3	67	l	4	71	98.5	94.4	96.4
82	pteropod_type2	64	0	5	69	100	92.8	96.3
81	pteropod_type1	164	6	12	176	96.5	93.2	94.8
80	protist_rad_clear	103	1	2	105	99	98.1	98.5
79	protist_rad	108	3	0	108	97.3	100	98.6
78	protist_noctiluca	100	4	3	103	96.2	97.1	96.6

Table S2. Confusion matrix on spot-checks of 75,000 random images, showing values with and without probability filtering. Images were pulled from a random subset of the whole dataset, so proportions of images in each category reflects the proportions in the data. The gray rows indicates rare classes, where less than 25 images were randomly pulled out for spot-checks. Type B = biological, and NB = non-biological. TP = True Positives, FP = False Positives, and FN = False Negatives. Note that for each group, TP + FN = Total count. F1 ratio is the harmonic mean between precision and recall.

				Without probability filtering					With probability filtering					
Class	Туре	Total #	ТР	FP	FN	Precision	Recall	F1	ТР	FP	FN	Precision	Recall	F1
All groups		75000	65515	9485	9485	53.2	63.3	54.0	51285	23715	23715	84.2	39.9	49.3
Biological groups, non-rare		15286	12316	6296	2970	54.6	69.6	57.3	8949	631	6337	90.7	38.9	51.1
appendicularian	В	1218	915	947	303	49.1	75.1	59.4	476	53	742	90	39.1	54.5
artifact	NB	26112	24955	1490	1157	94.4	95.6	95	23632	850	2480	96.5	90.5	93.4
chaetognath	В	1608	909	115	699	88.8	56.5	69.1	754	59	854	92.7	46.9	62.3
copepod_calanoid	В	2067	1703	152	364	91.8	82.4	86.8	1290	16	777	98.8	62.4	76.5
copepod_copilia	В	12	12	117	0	9.3	100	17	9	6	3	60	75	66.7
copepod_oithona	В	663	647	493	16	56.8	97.6	71.8	382	0	281	100	57.6	73.1
ctenophore_beroida	В	10	4	3	6	57.1	40	47	2	0	8	100	20	33.3
ctenophore_cestida	В	6	3	0	3	100	50	66.7	2	0	4	100	33.3	50
ctenophore_cydippid	В	32	7	10	25	41.2	21.9	28.6	1	0	31	100	3.1	6
ctenophore_lobata	В	7	3	2	4	60	42.9	50	1	0	6	100	14.3	25
detritus	NB	33141	28182	1501	4959	94.9	85	89.7	18342	327	14799	98.2	55.3	70.8
diatom_chain	В	5817	5569	2321	248	70.6	95.7	81.3	4574	382	1243	92.3	78.6	84.9
echinoderm	В	91	45	129	46	25.9	49.5	34	15	0	76	100	16.5	28.3
ephyra	В	40	23	51	17	31.1	57.5	40.4	19	17	21	52.8	47.5	50
fish	В	117	90	258	27	25.9	76.9	38.7	45	14	72	76.3	38.5	51.2
hydro_liriope	В	14	12	11	2	52.2	85.7	64.9	3	0	11	100	21.4	35.3
hydro_narcomedusae	В	369	330	303	39	52.1	89.4	65.8	85	1	284	98.8	23	37.3
hydro_other	В	341	177	437	164	28.8	51.9	37	51	13	290	79.7	15	25.2
hydro_rhopalonematidae	В	178	173	125	5	58.1	97.2	72.7	59	2	119	96.7	33.1	49.3
medusa pelagia	В	1	0	0	1	NA	0	NA	0	0	1	NA	0	NA

polychaete_worm	В	59	47	203	12	18.8	79.7	30.4	27	3	32	90	45.8	60.7
protist	В	1140	669	87	471	88.5	58.7	70.6	612	40	528	93.9	53.7	68.3
pteropod	В	34	10	55	24	15.4	29.4	20.2	5	4	29	55.6	14.7	23.3
radiolarian_chain	В	45	35	0	10	100	77.8	87.5	35	0	10	100	77.8	87.5
shrimp_euphausiid	В	46	38	14	8	73.1	82.6	77.6	32	2	14	94.1	69.6	80
shrimp_lucifer	В	77	70	38	7	64.8	90.9	75.7	25	0	52	100	32.5	49.1
shrimp_other	В	203	75	91	128	45.2	36.9	40.6	25	4	178	86.2	12.3	21.5
shrimp_sergestidae	В	18	17	25	1	40.5	94.4	56.7	9	1	9	90	50	64.3
siph_ablyidae	В	32	31	129	1	19.4	96.9	32.3	7	1	25	87.5	21.9	35
siph_calycophoran	В	285	213	164	72	56.5	74.7	64.3	70	1	215	98.6	24.6	39.4
siph_lilyopsis_rosea	В	9	4	1	5	80	44.4	57.1	4	0	5	100	44.4	61.5
siph_physonect	В	20	2	6	18	25	10	14.3	0	0	20	NA	0	NA
stomatopods	В	3	2	19	1	9.5	66.7	16.6	2	13	1	13.3	66.7	22.2
tornaria	В	4	1	8	3	11.1	25	15.4	1	1	3	50	25	33.3
trichodesmium	В	278	126	30	152	80.8	45.3	58.1	82	6	196	93.2	29.5	44.8
tunicates	В	546	414	144	132	74.2	75.8	75	278	13	268	95.5	50.9	66.4
unknown	NB	354	0	0	354	NA	0	NA	328	21885	26	1.5	92.7	3
zoea	В	3	2	6	1	25	66.7	36.4	1	1	2	50	33.3	40
									Y					

Table S3. Probability filtering of the 108 classes, showing the original classes, groupings, total original counts, values of the probability thresholds per class, percent retained after filtering, and percent discarded after filtering.

				Prob.		%
#	Class	Group	Total	filter	% Kept	Discard
1	acantharia_protist_type1	protist	25886	0.804	17.5	82.5
2	acantharia_protist_type2 appendicularian	protist	78880	0.4161	93.4	6.6
3	sinusoidal_tail	appendicularian	208569	0.8189	51.91	48.09
4	slight_curve	appendicularian	228665	0.9191	11.98	88.02
5	appendicularian_straight	appendicularian	137453	0.7857	21.25	78.75
6	artifact_type1	artifact	8201765	0.7199	92.63	7.37
7	artifact_type2	artifact	11118	0.996	1.78	98.22
8	bubbles	artifact	10017	0.9898	25.13	74.87
9	chaetognath_c_curved	chaetognath	1545	0.4244	80.32	19.68
10	chaetognath_curved	chaetognath	3701	0.3247	90.73	9.27
11	chaetognath_dark	chaetognath	259481	0.5445	77.42	22.58
12	chaetognath_straight	chaetognath	60903	0.1848	99.78	0.22
13	copepod_calanoid	copepod_calanoid	67528	0.1621	99.94	0.06
14	copepod_calanoid_eggs	copepod_calanoid	35059	0.6059	59.6	40.4
15	copepod_calanoid_ eucalanus	copepod_calanoid	37834	0.1859	99.36	0.64
16	copepod_calanoid_ flatheads	copepod_calanoid	133661	0.2157	99.75	0.25
17	frillvAntennae	copepod calanoid	35423	0.4735	55.81	44.19
18	copepod calanoid large	copepod calanoid	163326	0.6264	57.64	42.36
10	copepod_calanoid_					
19	small_longantennae copepod_cyclopoid_	copepod_calanoid	18037	0.7493	31.25	68.75
20	copilia	copepod_copilia	40258	0.9438	8.38	91.62
21	oithona	copepod_oithona	228400	0.9265	31.81	68.19
22	oithona_eggs	copepod_oithona	143712	0.9404	34.12	65.88
23	copepod_escape	copepod_calanoid	73310	0.8732	22.01	77.99
24	ctenophore_beroida	ctenophore_beroida	1033	0.8787	26.82	73.18
25	ctenophore_cestida	ctenophore_cestida	714	0.308	92.72	7.28
26	ctenophore_cydippid	ctenophore_cydippid	4481	0.9262	7.5	92.5
27	ctenophore_lobata_ mnemiopsis	ctenophore_lobata	2110	0.9941	30.95	69.05
28	ocvropsis	ctenophore lobata	363	0.795	9.37	90.63
29	ctenophore lobata type1	ctenophore lobata	271	0.7904	41.7	58.3
30	detritus blob sparse	detritus	6795341	0.6826	65.37	34.63
31	detritus_casing	detritus	10535	0.9792	10.87	89.13
32	detritus_dark	detritus	879666	0.1896	99.98	0.02

33	detritus_filamentous	detritus	1104433	0.5946	41.31	58.69
34	diatom_chain_string	diatom_chain	1599323	0.5908	83.07	16.93
35	diatom_chain_tube	diatom_chain	861349	0.9475	24.98	75.02
36	echinoderm_brachiolaria	echinoderm	19850	0.8697	14.34	85.66
37	echinoderm_pluteus	echinoderm	39949	0.935	10.89	89.11
38	ephyrae	ephyra	22441	0.62	41.57	58.43
39	fecal_pellets	detritus	337405	0.8071	19.58	80.42
40	fish_bregmacerotidae	fish_bregmacerotidae	23696	0.8179	20.02	79.98
41	fish_carangidae	fish_other	2922	0.6751	4.24	95.76
42	fish_ceratioidei	fish_other	18	0.4	16.67	83.33
43	fish_echeneidae	fish_other	506	0.6373	6.32	93.68
44	fish_engraulidae	fish_engraulidae	17051	0.8873	7.72	92.28
45	fish_gobiidae	fish_gobiidae	1738	0.4473	65.94	34.06
46	fish_gonostomatidae	fish_other	27	0.4	44.44	55.56
47	fish_labroidei	fish_other	1607	0.5223	50.16	49.84
48	fish_leptocephali	fish_other	8720	0.8931	9.45	90.55
49	fish_microdesmidae	fish_other	27	0.293	77.78	22.22
50	fish_myctophidae	fish_myctophidae	34205	0.5174	28.93	71.07
51	fish_ophidiidae	fish_other	352	0.2741	72.44	27.56
52	fish_phosichthyidae	fish_other	3590	0.4406	16.69	83.31
53	fish_pleuronectiformes	fish_other	5622	0.4677	26.08	73.92
54	fish_scombridae	fish_other	6305	0.7189	3.19	96.81
55	fish_serranidae	fish_other	5	0.4	20	80
56	fish_synodontidae	fish_other	401	0.1974	52.12	47.88
57	fish_trichiuridae	fish_other	290	0.441	45.86	54.14
58	fish_xyrichtys	fish_xyrichtys	3949	0.6252	38.72	61.28
59	hydro rhopalonematidae	nydro_ rhopalonematidae	39185	0 9852	32 46	67.54
60	hydro_eucheilota_spp	hydro other	839	0 9346	33.97	66.03
61	hydro haliscera spp	hydro_other	2121	0.9059	7.87	92.13
62	hydro liriope	hydro liriope	5247	0.9686	19.5	80.5
63	hydro liriope cutoffbell	hydro liriope	1427	0.9894	7.22	92.78
64	hydro narco other	hydro narcomedusae	16836	0.9913	4.35	95.65
		hydro_				
65	hydro_rhopalonema2	rhopalonematidae	42323	0.9673	4.4	95.6
66	rhodoloma	hydro narcomedusae	50965	0.7825	20.12	79.88
67	hydro solmaris spp	hydro narcomedusae	2923	0.9069	36.02	63.98
68	hydro solmundella	hydro narcomedusae	26406	0.9221	36.36	63.64
69	hydro tinysolmaris	hydro narcomedusae	100296	0.7853	6.02	93.98
70	hydro type1 smallbell	hydro other	30137	0.7628	7.91	92.09
71	hydro type2	hydro other	7359	0.6295	38.71	61.29
72	hydro type3	hydro other	3639	0.8075	15.58	84.42
73	medusa Pelagia	medusa pelagia	447	0.8918	34	66
74	medusa_tentacles	hydro_other	143834	0.8972	11.42	88.58
75	polychaete_type1	polychaete_worm	3916	0.6359	9.22	90.78

76	polychaete_type2	polychaete_worm	1827	0.2887	96.5	3.5
77	polychaete_type3	polychaete_worm	76895	0.9183	9.37	90.63
78	protist_noctiluca	protist	30117	0.2528	99.32	0.68
79	protist_rad	protist	52375	0.2288	99.36	0.64
80	protist_rad_clear	protist	23841	0.2615	99.88	0.12
81	pteropod_type1	pteropod	8391	0.665	15.58	84.42
82	pteropod_type2	pteropod	5806	0.6687	18.72	81.28
83	pteropod_type3	pteropod	5762	0.7679	14.7	85.3
84	radiolarian_chain	radiolarian_chain	10579	0.2932	98.15	1.85
85	shrimp_caridae	shrimp_other	4617	0.8125	8.34	91.66
86	shrimp_caridae_sm	shrimp_other	40329	0.7715	19.08	80.92
87	shrimp_euphausiid	shrimp_euphausiid	15380	0.5932	61.58	38.42
88	shrimp_euphausiid_escape	shrimp_euphausiid	2209	0.4812	46.27	53.73
89	shrimp_lucifer	shrimp_lucifer	31633	0.8455	35.25	64.75
90	shrimp_mysid	shrimp_other	5148	0.8495	18.51	81.49
91	shrimp_sergestidae	shrimp_sergestidae	15238	0.9732	15.75	84.25
92	siph_ablyidae	siph_ablyidae	50518	0.9952	6.45	93.55
02	siph_calycophoran_		41209	0.0024	27.21	72 (0
93	pointy_notail sinh_calycophoran	siph_calycophoran	41298	0.8834	27.31	/2.69
94	pointy tail	siph calycophoran	14650	0.6591	47.55	52.45
95	siph calycophoran round	siph calycophoran	59727	0.9841	6.63	93.37
96	siph lilyopsis rosea	siph lilyopsis rosea	1843	0.5354	72.82	27.18
97	siph_physonect	siph_physonect	4695	0.883	17.98	82.02
98	stomatopods	stomatopods	6015	0.3433	62.39	37.61
	tornaria_acorn_					
99	worm_larvae	tornaria	3096	0.7542	9.46	90.54
100	tricho_bow_tie	trichodesmium	10731	0.5208	72.07	27.93
101	tricho_tuft	trichodesmium	26651	0.6139	46.92	53.08
102	tricho_puff	trichodesmium	11933	0.7488	47.36	52.64
103	tunicate_doliolid	tunicates	111780	0.8433	48.02	51.98
104	tunicate_doliolid_budding	tunicates	25639	0.2869	95.25	4.75
105	tunicate_salp	tunicates	2338	0.7394	12.36	87.64
106	tunicate_salp_chains	tunicates	25450	0.9096	4.46	95.54
107	unknown_dark_blob	detritus	180127	0.7406	14.34	85.66
108	zoea	zoea	1415	0.688	23.53	76.47
		Total	23,380,779		70.36	29.64

Figure captions

Figure S1. Example of the histogram equalization procedure on a flat-fielded frame. After flatfielding, some images are very white, resulting in insufficient contrast between the target organisms and the background. This causes the segmentation algorithm to not work well and at times miss (not segment) those organisms. In order to increase contrast, we applied histogram equalization, which is a form of histogram modification that redistributes the gray-level values of pixels within an image so that the number of pixels at all gray levels is nearly the same.

Figure S2. Signal to Noise Ratios (SNR) on a representative sample of 62 images, half chosen from clear water and the other half chosen from turbid water. The segmentation algorithm often over-segments frames from turbid waters. We used a standard 3x3 median filter to estimate the signal in the frames (after histogram equalization), and then subtracted the signal from the histogram equalized frames for the noise-frames. There is a clear separation in the SNR between noisy and non-noisy images, so a SNR cutoff of 25 was chosen.



