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# Synthesis, characterization and antimicrobial activity of some new azo dyes derived from 4-hydroxy-6-methyl-2H-pyran-2-one and its dihydro derivative

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1 **Synthesis, characterization and antimicrobial activity of some new**  
2 **azo dyes derived from 4-hydroxy-6-methyl-2H-pyran-2-one and**  
3 **its dihydro derivative**

4 Soumaya Ben Mohamed-Smati,<sup>[a]</sup> Fadhil Lafta Faraj,<sup>[a]</sup> Imène Bechecker,<sup>[b]</sup> Hadjira  
5 Berredjem,<sup>[b]</sup> Franck Le Bideau,<sup>[c]</sup> Maamar Hamdi,<sup>[a]</sup> Françoise Dumas<sup>\*[c]</sup> and Yahia  
6 Rachedi<sup>\*[a]</sup>

7 [a] Laboratory of Applied Organic Chemistry, Faculty of Chemistry, University of Science  
8 and Technology Houari Boumediene, BP 32, El-Alia, 16111, Bab-Ezzouar, Algiers,  
9 Algeria. E-mail: yrachedi@usthb.dz

10 [b] Laboratory of Applied Biochemistry and Microbiology, Faculty of Sciences, Department  
11 of Biochemistry, University Badji Mokhtar, Annaba, Algeria

12 [c] Laboratory BioCIS, UMR CNRS 8076, CoSMIT, Université Paris Sud- CNRS, Université  
13 Paris Saclay, Faculté de Pharmacie, 5, rue Jean-Baptiste Clément, 92296 Châtenay-  
14 Malabry Cedex, France. E-mail: francoise.dumas@u-psud.fr

15 † Corresponding author

16

17 **Abstract:** A series of new azo disperse dyes was synthesized by coupling 4-hydroxy-6-  
18 methyl-2H-pyran-2-one (triacetic acid lactone, TAL) or its hydrogenated derivative 4-  
19 hydroxy-6-methyl-5,6-dihydro-2H-pyran-2-one (dihydro triacetic acid lactone, DHTAL) with  
20 diazonium salts derived from aniline, 4-bromoaniline, 4-nitroaniline, 4-methoxyaniline, 2,4-  
21 dimethoxyaniline and 2,5-dimethoxyaniline. Spectroscopic data of these dyes dissolved in  
22 five organic solvents were measured. The effects of solvent polarity, nucleophilic component  
23 and substituent nature on the visible absorption spectra of the dyes are also reported. The  
24 structures of all compounds were confirmed by FT-IR, electronic absorption in UV and  
25 visible regions,  $^1\text{H}$ ,  $^{13}\text{C}$  and 2D NMR and high resolution mass spectroscopy (HRMS). In  
26 addition, *in vitro* antibacterial activity of the synthesized derivatives against Gram positive  
27 and Gram negative bacteria, both reference and clinical strains, was evaluated qualitatively  
28 and quantitatively by agar diffusion method.

29 **Keywords:** Azo dye, Pyran-2-one, Solvent effect, Substituent effect, Tautomerism,  
30 Antimicrobial activity

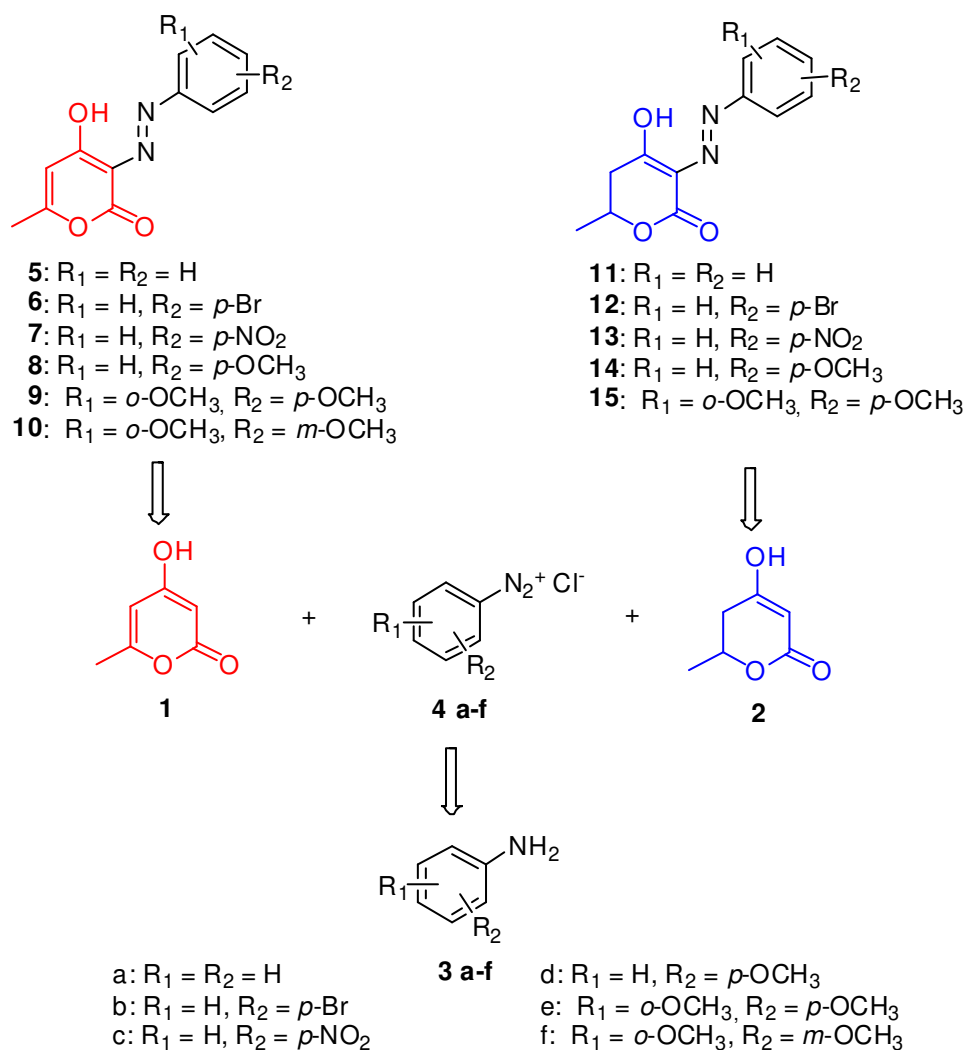
### 31 **1. Introduction**

32 Azo dyes are the oldest and the largest used class of industrially synthesized organic dyes due  
33 to their versatile application in various fields [1], such as dyeing textile, leather, paper, food  
34 and cosmetic products [2-4], and for biomedical studies and applications [5]. They are also  
35 used in high technology areas as laser [3-4], photodynamic therapy [6] and dye sensitized  
36 solar cells [7].

37 Azo compounds contain at least a conjugated azo chromophore, (-N=N-), linking two  
38 aromatic systems [8-13]. Generally, the synthesis of azo dyes involves two steps (Figure 1).  
39 The first one is the conversion of an aromatic amine to a diazo compound, a process known as  
40 diazotation, and the second step is the reaction of the titled diazo compound with a  
41 nucleophilic component to produce the corresponding azo dye, a process known as diazo

42 coupling. This process is suitable for forming both azo dyes and pigments [8,9]. Among them,  
43 the azo dyes derived from enol type coupling components constitute an interesting type of  
44 compounds since they potentially have several tautomeric forms (enol-azo, keto-azo, hydrazo)  
45 in solution and solid state [10,13-16]. Some important investigations have been carried out on  
46 the synthesis and spectroscopic behavior of heteroarylazo dyes based on 4-hydroxycoumarin  
47 [14-16], 4-hydroxyquinolone [13,17], 2-hydroxyacetophenone [11] and 4,6-  
48 dihydroxypyrimidine [18] as an enol type coupling component, as well as other heteroarylazo  
49 compounds [19-23].

50 In view of these encouraging reports, and in line with our interest to develop the chemistry of  
51 bio-based resources [24], in this study we report on the synthesis of two series of some new  
52 azo compounds and the investigation of their spectroscopic properties. In the first one, 4-  
53 hydroxy-6-methyl-2H-pyran-2-one (**1**) (triacetic acid lactone, TAL) and in the second series  
54 its hydrogenated derivative, 4-hydroxy-6-methyl-5,6-dihydro-2H-pyran-2-one (**2**) (dihydro  
55 triacetic acid lactone, DHTAL) were chosen as coupling components in reaction with some  
56 diazotized aromatic amines as diazo components (Figure 1). The structures of all products  
57 were confirmed by IR, UV-Vis, <sup>1</sup>H NMR, <sup>13</sup>C NMR, 2D-NMR and HRMS.



58

59 **Fig. 1.** Synthetic strategy to azo dye structures **5 – 15**.

60 Furthermore, infectious diseases caused by resistant microorganisms are responsible for  
 61 increased health costs as well as high morbidity and mortality. Hence, there is an urgent need  
 62 for discovery and development of novel antibiotics [25-28]. Therefore, the *in vitro*  
 63 antimicrobial activity of all synthesized dyes against six bacterial strains, either reference or  
 64 clinical, were examined in detail.

## 65 **2. Results and Discussion**

### 66 *2.1. UV-Vis absorption spectra*

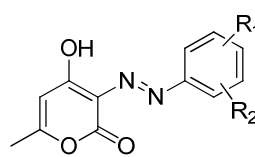
#### 67 *2.1.1. Effects of solvent polarity on photo physical properties of dyes 5 – 15*

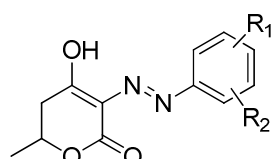
68 The UV–Vis electronic spectra were recorded in the wavelength range 300 – 600 nm at  
 69 concentration of approximately  $10^{-5}$  to  $2.5 \cdot 10^{-5}$  mol.L<sup>-1</sup> depending on the solubility of the dye,

70 the higher concentration corresponding to dye **11**. To assess the effect of solvent polarities on  
 71 photophysical properties absorption of dyes, dyes **5 – 10** synthesized from TAL **1** as well as  
 72 disperse dyes **11 – 15** synthesized from DHTAL **2** were analyzed in five different organic  
 73 solvents having different polarity, dielectric constant ( $\epsilon$ ), and solvatochromic parameters ( $\alpha$ ,  
 74  $\beta$ , and  $\pi^*$  values) such as *n*-hexane, ethanol, acetone, acetonitrile and dichloromethane.  
 75 From the presented values in table 1, it is apparent that practically no solvatochromism was  
 76 observed. The electronic absorption spectra showed absorption maxima in the region at 400 –  
 77 447 nm and 375 – 422 nm in *n*-hexane, 401 – 458 nm and 377 – 429 nm in acetone, 401 – 458  
 78 nm and 379 – 430 nm in acetonitrile, 417 – 463 nm and 387 – 436 nm in dichloromethane,  
 79 402 – 466 nm and 385 – 438 nm in ethanol for dyes **5 – 10** and **11 – 15**, respectively as  
 80 illustrated for prototype compounds **5** (Figure 2a) and **11** (Figure 2b). In the UV–Vis spectra  
 81 of these compounds (**5 – 15**) two absorptions are noteworthy in all tested solvents. The first  
 82 one is a shoulder at 368 – 372 nm and the second one is a band between 400 – 466 nm for **5 –**  
 83 **10** and 375 – 438 nm for **11 – 15** (see Figure 2 for **5** and **11**).

84 **Table 1**

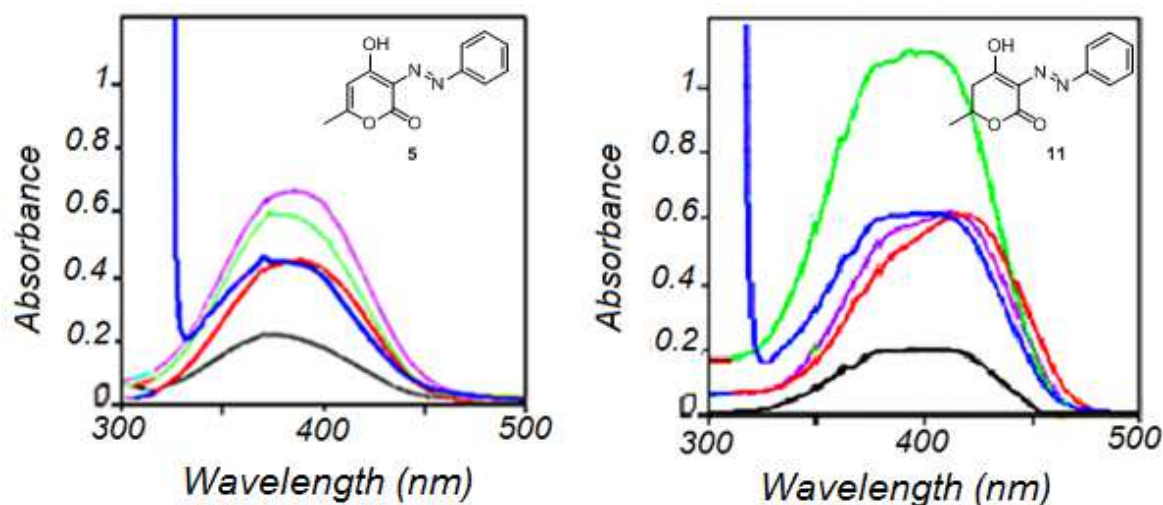
85 Influence of solvent on maximum wavelength of absorption ( $\lambda_{\max}$ ) of dyes **5 – 15**.

Structure	Dye	$\lambda_{\max}$ (nm)					$\Delta(\lambda_{\max})$ (nm)
		Hexane	Acetone	CH <sub>3</sub> CN	CH <sub>2</sub> Cl <sub>2</sub>	EtOH	
	<b>5</b>	400.6	401.6	401.6	418.6	413.4	18
	<b>6</b>	423.2	418.4	415.8	424.4	421.2	8.6
	<b>7</b>	-	405.3	401.6	417.2	402.2	15.6
	<b>8</b>	428.4	437.8	436.4	443.8	442.4	15.4
	<b>9</b>	440.0	458.6	458.2	462.6	466.4	26.4
	<b>10</b>	447.0	454.8	453.8	461.0	442.4	18.6
	<b>5</b> : R <sub>1</sub> = R <sub>2</sub> = H						
	<b>6</b> : R <sub>1</sub> = H, R <sub>2</sub> = <i>p</i> -Br						
	<b>7</b> : R <sub>1</sub> = H, R <sub>2</sub> = <i>p</i> -NO <sub>2</sub>						
	<b>8</b> : R <sub>1</sub> = H, R <sub>2</sub> = <i>p</i> -OCH <sub>3</sub>						
<b>9</b> : R <sub>1</sub> = <i>o</i> -OMe, R <sub>2</sub> = <i>p</i> -OMe							

	<b>11</b>	375.8	377.5	379.2	387.8	385.8	12.0
	<b>12</b>	390.8	379.2	381.8	400.4	390.0	21.2
<b>11:</b> R <sub>1</sub> = R <sub>2</sub> = H	<b>13</b>	392.8	387.8	389.0	393.6	388.4	5.8
<b>12:</b> R <sub>1</sub> = H, R <sub>2</sub> = <i>p</i> -Br	<b>14</b>	413.2	401.6	410.8	418.0	417.6	16.4
<b>13:</b> R <sub>1</sub> = H, R <sub>2</sub> = <i>p</i> -NO <sub>2</sub>	<b>15</b>	422.8	429.4	430.6	436.0	438.2	15.4
<b>14:</b> R <sub>1</sub> = H, R <sub>2</sub> = <i>p</i> -OMe							
<b>15:</b> R <sub>1</sub> = <i>o</i> -OMe, R <sub>2</sub> = <i>p</i> -OMe							

86

87 It can be suggested that dyes may exist as a mixture of two tautomeric forms which are in  
 88 equilibrium. Furthermore, the spectra of unsubstituted derivatives **5** and **11** in various solvents  
 89 showed small changes in their maximum absorption wavelength  $\lambda_{\max}$  changing from 400 nm  
 90 in *n*-hexane to 418 nm in dichloromethane and from 375 nm in *n*-hexane to 385 nm in EtOH,  
 91 respectively (Table 1). This small variation,  $\Delta(\lambda_{\max})$  up to 26.4 nm (Table 1), suggests that the  
 92 dyes are involved in strong intramolecular hydrogen bond.



93

94 **Fig.2 a.** UV-vis absorption spectra of dye **5** (left) and **b.** dye **11** (right) in various solvents  
 95 (black: *n*-hexane; red: dichloromethane; blue: acetone; violin: ethanol; green: acetonitrile).

96

### 2.1.2. Nucleophilic component effects

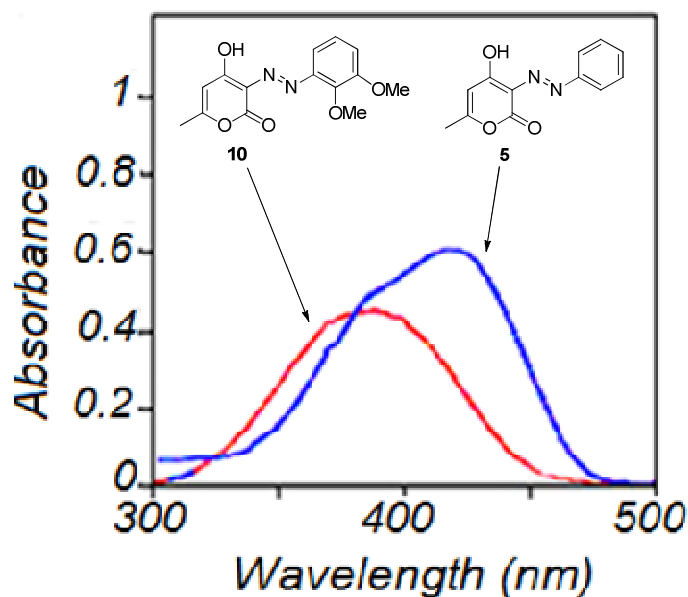
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99

From typical spectroscopic data, a comparison of azo dyes **5** – **10** containing a 4-hydroxy-6-methyl-2H-pyran-2-one moiety with the parallel series of azo dyes **11** – **15** containing a hydrogenated derivative of 4-hydroxy-6-methyl-2H-pyran-2-one moiety revealed that dyes **5**

100 – **10** showed a red shift as compared to dyes **11** – **15** which showed a blue shift in all used  
101 solvents. For dye **5**,  $\delta\lambda_{\max} = 40$  nm relative to dye **11**  $\lambda_{\max}$  in dichloromethane (Table 1, Figure  
102 3). For dye **6**  $\delta\lambda_{\max} = 39$  nm relative to dye **12**  $\lambda_{\max}$  in acetone (Table 1).  
103

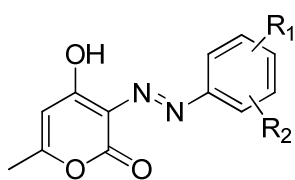
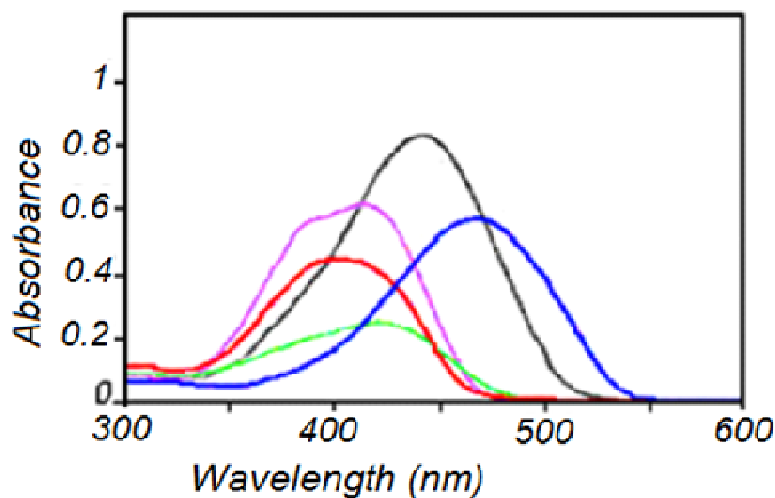


104  
105 **Fig. 3.** UV-vis absorption spectra of dyes **5** (blue) and **11** (red) in dichloromethane.

### 106 2.1.3. Substituent effects

107 As is apparent in table 1, the introduction of electron-donating methoxy group into the  
108 benzene ring results in bathochromic shifts in all solvents with respect to unsubstituted and  
109 electron-withdrawing nitro substituted aryl groups. For instance, for dye **8**,  $\delta\lambda_{\max} = 30$  nm  
110 relative to dye **5** and  $\delta\lambda_{\max} = 40$  nm relative to dye **7**  $\lambda_{\max}$  in ethanol (Figure 4). For dye **14**,  
111  $\delta\lambda_{\max} = 32$  nm relative to dye **11**  $\lambda_{\max}$  and  $\delta\lambda_{\max} = 29$  nm relative to dye **13**  $\lambda_{\max}$  in ethanol.  
112 Similarly, the introduction of electron-donating *o,p*-dimethoxy group in the aryl ring increases  
113 bathochromic shift in all solvents with respect to electron-donating *p*-methoxy and *p*-bromo  
114 groups, electron-withdrawing nitro group and unsubstituted aryl. Thus in ethanol,  $\delta\lambda_{\max} = 24$ ,  
115 45, 54 and 64 nm for dye **9** relative to dyes **8**, **6**, **7** and **5**  $\lambda_{\max}$ , respectively (Figure 4), while  
116  $\delta\lambda_{\max} = 21$ , 48, 50 and 52 nm for dye **15** relative to dyes **14**, **12**, **13** and **11**  $\lambda_{\max}$  respectively.

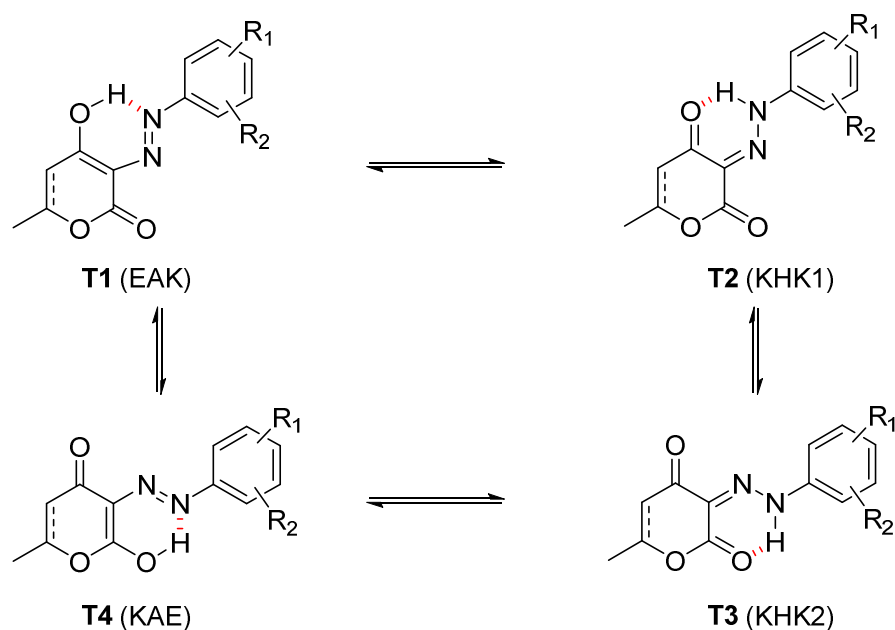
117 These results can be attributed to the electron withdrawing nature of the hydroxypyrane  
118 moiety of the dyes.



- 119
- 5:  $R_1 = R_2 = H$
  - 6:  $R_1 = H, R_2 = p\text{-Br}$
  - 7:  $R_1 = H, R_2 = p\text{-NO}_2$
  - 8:  $R_1 = H, R_2 = p\text{-OCH}_3$
  - 9:  $R_1 = o\text{-OCH}_3, R_2 = p\text{-OCH}_3$
  - 10:  $R_1 = o\text{-OCH}_3, R_2 = m\text{-OCH}_3$

120 **Fig. 4.** Absorption spectra of dyes **5** (purple), **6** (green), **7** (red), **8** (black) and **9** (blue) in  
121 ethanol.

122 The azo-hydrazone tautomerism behavior of dyes formally derived from 1,3-dicarbonyl  
123 compounds is now well understood [29-32]. As shown in scheme 1, the synthesized azo dyes  
124 may exist in four possible tautomeric forms, tautomer **T1** named enol-azo-keto (EAK), two  
125 tautomers **T2** and **T3** as keto-hydrazo-keto (KHK1 and KHK2) forms, and **T4**, a keto-azo-  
126 enol (KAE) tautomer.



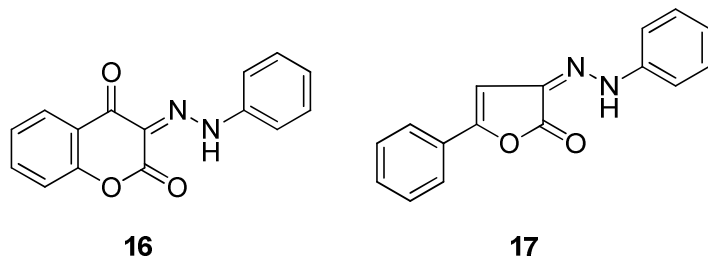
127

128 **Scheme 1.** Four possible tautomeric forms of the prepared azo dyes.

129 **2.2. IR absorption spectra**

130 The infrared spectra of dyes **5 – 10** and new dyes **11 – 15** were recorded in KBr disks and  
 131 their characteristic IR absorption bands determined. The IR spectral data of the compounds  
 132 studied indicate that they exist in the keto-hydrazone-keto (KHK1 and KHK2) forms **T2** and **T3**  
 133 and in the enol-azo-keto (EAK) **T1** or keto-azo-enol (KAE) **T4** ones. Thus all compounds  
 134 exhibit a weak and a broad NH stretching band in the region  $3100 - 3000 \text{ cm}^{-1}$ , the low  
 135 frequency and the broadening of this group indicate that it is strongly involved in hydrogen  
 136 bonding in the solid state. In the carbonyl region, each of the compounds studied exhibits two  
 137 bands: the first one in the region  $1600 - 1650 \text{ cm}^{-1}$  and the second one in the region  $1700 -$   
 138  $1750 \text{ cm}^{-1}$  due to their stretching vibrations of the 4-carbonyl and  $\alpha$ -pyrone groups,  
 139 respectively. Such an assignment is substantiated by the IR spectra of azo dye  
 140 monophenylhydrazones of 4-hydroxycoumarin **16** and  $\gamma$ -phenyl- $\Delta\beta,\gamma$ -butenolide **17** (Figure  
 141 5) which show their carbonyl stretching bands near  $1620$  and  $1630 \text{ cm}^{-1}$ , respectively [14].  
 142 The observed downward shift of the carbonyl stretching band in the compounds **5 – 10** and **11**  
 143 – **15**, by analogy to 4-hydroxycoumarin and  $\gamma$ -phenyl- $\Delta\beta,\gamma$ -butenolide

144 monophenylhydrazones, is due to the conjugation with the C=N double bond as observed in  
145 the hydrazone tautomers **T2** and **T3** (KHK1 and KHK2) (Scheme 1). The IR spectra also  
146 showed bands in the region 2830 – 2970 cm<sup>-1</sup> and 1500 – 1520 cm<sup>-1</sup> which were assigned to  
147 aromatic C–H and C=N vibrations, respectively.



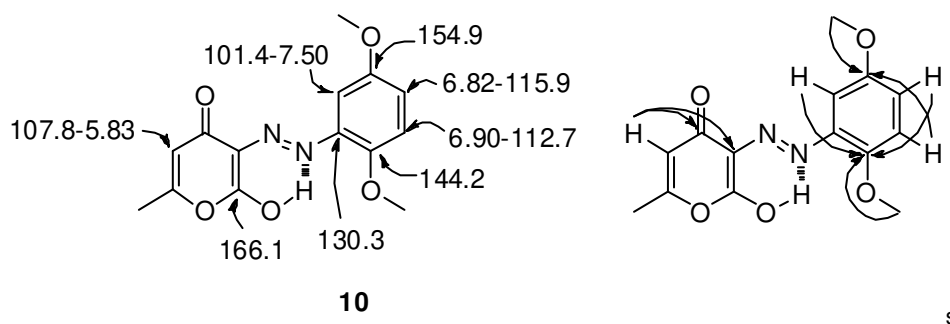
149 **Fig. 5.** Molecular structures of monophenylhydrazones of 4-hydroxycoumarin **16** and  $\gamma$ -  
150 phenyl- $\Delta\beta,\gamma$ -butenolide **17**.

### 151 2. 3. NMR and HRMS analysis

152 Furthermore, structure elucidations of these two series were confirmed by <sup>1</sup>H and <sup>13</sup>C NMR,  
153 2D-NMR and HRMS data as described in detail for representative compounds **10** and **11**.

154 The <sup>1</sup>H NMR spectrum of compound **10** in CDCl<sub>3</sub> showed characteristic singlets for CH<sub>3</sub> and  
155 C5-proton of pyran ring at 2.23 and 5.83 ppm, respectively. The three aromatic protons  
156 appeared as one doublet of doublet centered at 6.82 ppm and two doublets centered at 6.90  
157 and 7.50 ppm, respectively. The broad peaks at 14.37 ppm and 16.34 ppm were assigned to  
158 protons of the tautomeric hydrazone (=N–NH–) and hydroxyl (–O–H) motifs, respectively.  
159 These results confirm that dye **10** may exist as a mixture of keto-hydrazo-keto (KHK1 and  
160 KHK2) tautomers **T2** and **T3** and azo (EAK and KAE) tautomers **T1** and **T4** [30-32]. As  
161 shown by <sup>1</sup>H NMR spectra, dyes **5** – **9** may also exist as a mixture of keto-hydrazo-keto  
162 (KHK1 and KHK2) tautomers **T2** and **T3** in CDCl<sub>3</sub> solutions. The relative proportions of  
163 these sets of tautomers were determined by <sup>1</sup>H NMR spectroscopy of **5** – **10** by integration of  
164 the hydrazone proton signal (between 14.08 and 14.62 ppm in CDCl<sub>3</sub>) relative to the  
165 corresponding enol proton signal (between 16.26 and 16.50 ppm in CDCl<sub>3</sub>). These

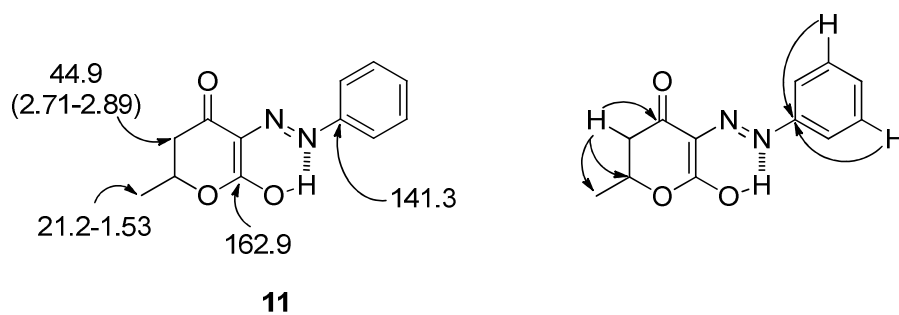
166 proportions were in the range of 88% to 92% thus showing that azo tautomers **T1** and **T4** are  
 167 predominant relative to hydrazo tautomers **T2** and **T3** in CDCl<sub>3</sub>.  
 168 The <sup>13</sup>C NMR spectrum of compound **10** shows peaks at 20.6, 160.1 ppm for pyran methyl  
 169 and carbonyl carbons respectively, and at 101.4, 115.7 and 144.2 ppm for aromatic carbons.  
 170 Further support for the presence of azo motif (-N=N-) was provided by the signals at 125.5  
 171 and 130.3 ppm attributed to C<sub>3</sub> and C<sub>8</sub>, respectively. From the HSQC spectrum, we conclude  
 172 that protons resonance at  $\sigma$ H 2.23, 3.83, 3.94, 5.83, 6.83, 6.91 and 7.50 ppm are bonded to the  
 173 carbons appearing at  $\sigma$ C 20.5, 56.2, 56.6, 107.8, 115.7, 112.7 and 101.4 ppm, respectively  
 174 (Figure 6a). The HSQC spectrum allowed us to assign all protonated carbon resonances,  
 175 while the connectivities from the HMBC spectrum (e.g. 3.83 → 154.9, 5.83 → 20.5, 122.5  
 176 and 166.1; 6.82 → 101.4 and 144.2; 7.50 → 115.7, 130.3, 144.2 and 154.9) (Figure 6b)  
 177 support the structure of dye **10**. ESI-HRMS for this formula (M = C<sub>14</sub>H<sub>15</sub>N<sub>2</sub>O<sub>5</sub>) gave m/z (%)  
 178 values at 291.0990 (100) and 313.0806 (72) attributed to the cations [M+H]<sup>+</sup> and [M+Na]<sup>+</sup>,  
 179 respectively. All together, these spectroscopic data are clearly consistent with the formation of  
 180 the target product **10**.



181 **10** s  
 182 **Fig. 6 a.** Selected <sup>1</sup>H and <sup>13</sup>C NMR chemical shifts (right), **b.** HMBC correlations (left) of  
 183 compound **10**.

184 The structure of the second series of new dyes was also confirmed on the basis of HRMS and  
 185 NMR data. Interestingly, the molecular ion peak of compound **11** was found in the mass  
 186 spectrum at m/z (%) 233.0921 (100) corresponding to [M+H]<sup>+</sup> of molecular formula  
 187 C<sub>12</sub>H<sub>13</sub>N<sub>2</sub>O<sub>3</sub>. <sup>1</sup>H NMR spectroscopic analysis of **11** confirms the presence of both

188 hydrogenated pyran ring and aromatic nucleus. The spectrum showed a triplet centered at  
 189 1.53 ppm, two multiplets at 2.71 – 2.89 ppm and 4.68 – 4.83 ppm which belong to  
 190 hydrogenated pyran protons. The aromatic protons resonated as three multiplets at 7.24 – 7.31  
 191 ppm, 7.41 – 7.46 ppm and 7.52 – 7.58 ppm. The broad peaks at 13.88 ppm and 15.16 ppm  
 192 were respectively assigned to the hydrazone (=N–NH–) and enol (=C–OH) protons of the  
 193 tautomers. In the <sup>13</sup>C NMR spectra and from the HMBC charts of azo compound **11**, it was  
 194 concluded that the peaks resonating at  $\sigma$ C =21.2, 44.9 and 192.2 ppm correspond to methyl  
 195 C<sub>7</sub>, methine C<sub>5</sub> and carbonyl C<sub>4</sub> carbon atoms of hydrogenated pyran, respectively, whereas  
 196 the phenolic carbon atoms appeared at  $\sigma$ C =117.7 and 130.1 ppm. The signals at  $\sigma$ C =122.9  
 197 and 141.1 ppm were attributed to C<sub>3</sub> and C<sub>8</sub> respectively which confirmed the presence of the  
 198 hydrazone motif (=N–NH–) (Figure 7).



199  
 200 **Fig. 7 a.** Selected <sup>1</sup>H and <sup>13</sup>C NMR chemical shifts (right), **b.** HMBC correlations (left) for  
 201 compound **11**

202 The spectra of the studied compounds of the second series provide additional evidence that  
 203 they have the azo structures **T1** and **T4** (EAK and KAE) rather than the hydrazone structures  
 204 **T2** and **T3** (KHK1 and KHK2). For example <sup>1</sup>H NMR spectrum of **11** in deuterated dimethyl  
 205 sulfoxide exhibits two signals at 13.88 and 15.16 ppm with nearly equal integration ratio.  
 206 Other compounds in these series show similar patterns.

#### 207 2.4. Antimicrobial activity

208 Antibacterial activity was tested *in vitro* against Gram-positive and Gram-negative bacteria,  
 209 using established drugs as standards. Both series of azodyes, **5 – 10** and new products **11 – 15**,

210 were screened for antimicrobial activity qualitatively by measuring the diameter of the clear  
 211 inhibition zones (expressed in mm) around the paper discs using a transparent ruler, and  
 212 quantitatively by determination of the minimum inhibitory concentrations (MICs), which are  
 213 defined as the lowest concentration of compounds which visibly prevents the growth of  
 214 bacteria.

215 *2.4.1. Determination of inhibition zones*

216 All synthesized compounds **5 – 15** exerted significant inhibitory activity against the growth of  
 217 tested bacterial strains. As shown in Table 2, the diameter (mm) of inhibition zone values of  
 218 tested compounds **5 – 15** against different strains ranged between 10 and 25 mm. Values of  
 219 positive control, trimethoprim-sulfamethoxazole, were between 18 and 22 mm for both  
 220 clinical and reference strains of *E. coli* and *S. aureus*, while *P. aeruginosa* strains were  
 221 resistant to this antibiotic. The resistance shown by clinical *P. aeruginosa* to the synthesized  
 222 compounds **5 – 9** and **15**, and to the selected reference antibiotic, is not surprising as these  
 223 bacteria are well known for being resistant to a wider range of antibiotics than most of other  
 224 bacteria. Interestingly, it is remarkable that this strain of *P. aeruginosa* was sensitive to other  
 225 dyes, exceptional those derived from hydrogenated derivative of the 4-hydroxy-6-methyl-2H-  
 226 pyran-2-one **11 – 14**. (Table 2)

227 **Table 2.**

228 Antibacterial screening data for inhibition zones of compounds **5 – 10** and new synthesized  
 229 dyes **11 – 15** against different bacterial strains.

Antimicrobial activity (zone of inhibition in mm)						
Dye	Reference strains			Clinical strains		
	Gram+	Gram-		Gram+	Gram-	
	<i>S.a.</i>	<i>E.c.</i>	<i>P.a.</i>	<i>S.a.</i> 75	<i>E.c.</i> 10	<i>P.a.</i> 260

<b>5</b>	11	15	18	16	17	0
<b>6</b>	15	10	16	15	20	0
<b>7</b>	12	0	14	0	16	0
<b>8</b>	16	16	19	18	17	0
<b>9</b>	0	20	18	15	20	0
<b>10</b>	16	18	18	16	14	18
<b>11</b>	25	18	19	17	20	17
<b>12</b>	19	17	17	12	19	15
<b>13</b>	16	19	19	15	18	20
<b>14</b>	18	18	16	13	22	19
<b>15</b>	21	20	17	14	20	0
<b>Control</b>	22	20	0	20	18	0

*S.a.*: *S. aureus*; *E.c.*: *E. coli*; *P.a.*: *P. aeruginosa*

230  
231 It should be noted that the concentration of the dyes used in the assays ranged between 0.5  
232 and 512  $\mu\text{g/mL}$ , and all compounds exhibited good growth inhibition of bacteria at different  
233 concentration as mentioned in Table 3. For example, compounds **9** and **15** have the best  
234 inhibition of growth of *E. coli* reference strain at 8  $\mu\text{g/mL}$  with an inhibition zone diameter of  
235 20 mm, while compound **14** has the best activity against *E. coli* clinical strain at 2  $\mu\text{g/mL}$  with  
236 inhibition zone diameter of 22 mm. For reference strain of *S. aureus*, the best activity was  
237 detected for compounds **10** and **11** at 128 and 256  $\mu\text{g/mL}$  with inhibition zone diameters of 16  
238 and 25 mm, respectively. It is also noteworthy that *P. aeruginosa* was much more sensitive to  
239 compounds **8** and **13** for the reference strain at the same concentration (4  $\mu\text{g/mL}$ ) with  
240 inhibition zone diameters of 19 and 20 mm respectively whereas the clinical strain was much  
241 sensitive to **10** and **13** at 1 and 32  $\mu\text{g/mL}$  with inhibition zone diameters of 18 and 20 mm,  
242 respectively. It should be also noted that the concentrations of the dyes giving the best

243 activities were much lower than that of the standard antibiotic (25 µg/mL). Nevertheless, the  
 244 dyes at these lower concentrations seem to have similar levels of antibiotic activity (Table 3).

245 *2.4.2. Determination of Minimum Inhibitory Concentrations*

246 The data presented in Table 4 indicated that the substitution in phenyl ring exerted significant  
 247 influence on the antibacterial profile. Interestingly, compounds with methoxy-substituted ring  
 248 are found to be more active compounds in the respective series compared to the compounds  
 249 bearing other groups. It is apparent that different derivatives exhibit appropriate MIC values  
 250 against different strains of bacteria. For instance, compound **9** has excellent activity against  
 251 both reference and clinical strains of *E. coli* with MIC values of 2 and 32 µg/mL, respectively,

252 **Table 3.**

253 Concentration and diameter of inhibition zone of compounds displaying the best antibacterial  
 254 activity.

Strains		Dyes	Concentration (µg/ml)	Inhibition zone diameter(mm)
	<i>S. aureus</i>	<b>10</b>	128	16
		<b>11</b>	256	25
		Control	25	22
Reference	<i>E. coli</i>	<b>9</b>	8	20
		<b>15</b>	8	20
		Control	25	20
	<i>P. aeruginosa</i>	<b>8</b>	4	19
		<b>13</b>	4	20
		Control	25	0
	<i>S. aureus</i> 75	<b>8</b>	4	18

		<b>11</b>	32	17
		Control	25	20
Clinical	<i>E. coli</i> 10	<b>9</b>	0.5	20
		<b>14</b>	2	22
		Control	25	20
	<i>P. aeruginosa</i> 260	<b>10</b>	1	18
		<b>14</b>	256	19
		Control	25	0

255 whereas product **10** showed significant inhibition against both reference and clinical *S. aureus*  
256 and *P. aeruginosa* strains, with MIC values 128 µg/mL and 256 µg/mL, respectively. More  
257 interesting results were obtained with the new synthesized series of compounds **11 – 15** with  
258 lower MIC values compared with the ones obtained within the first series of dyes **5 – 10**.  
259 Exceptional activities against reference and clinical *E. coli* strains were observed for  
260 compound **15** while for compound **14** only the clinical strain of *E. coli* was sensitive at the  
261 same MIC value of 1 µg/mL. Excellent activities against reference *S. aureus* and *P.*  
262 *aeruginosa* strains were observed for compounds **15** and **14** with MIC values of 16 and 32  
263 µg/mL, respectively. Compared to methoxy-substituted compounds, both clinical *S. aureus*  
264 and *P. aeruginosa* strains showed more sensitivity to dyes with unsubstituted and nitro-  
265 substituted ring **11** and **13** with respective MIC values of 512 and 64 µg/mL.

266 **Table 4.**

267 *In vitro* antibacterial activity data in MIC (µg/ml) of tested compounds **5 – 10** and newly  
268 synthesized dyes **11 – 15** against different microbial species.

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**Minimum Inhibitory Concentrations (µg/ml)**

**Reference strains**

**Clinical strains**

<i>Compound</i>	Gram +		Gram -		Gram +		Gram -	
	<i>S.a.</i>		<i>E.c.</i>	<i>P.a.</i>	<i>S.a. 75</i>	<i>E.c. 10</i>	<i>P.a. 260</i>	
<b>5</b>	11		128	256	512	512		R
<b>6</b>	15		R	512	512	256		R
<b>7</b>	12		R	512	R	128		R
<b>8</b>	16		2	512	512	32		R
<b>9</b>	0		8	256	512	64		512
<b>10</b>	16		8	128	256	512		256
<b>11</b>	25		128	128	512	4		64
<b>12</b>	19		2	64	R	8		64
<b>13</b>	16		8	64	512	32		64
<b>14</b>	18		16	32	R	1		256
<b>15</b>	21		1	64	R	1		512

---

*S.a.*: *S. aureus*; *E.c.*: *E coli*; *P.a.*: *P. aeruginosa*

269

### 270 3. Experimental

#### 271 3.1. Measurements

272 Melting points were determined on a Stuart scientific SPM3 apparatus fitted with a  
273 microscope and the values reported in °C are uncorrected. Absorption spectra were  
274 determined on Jasco V-630 Bio UV spectrometer. FT-IR spectra were recorded on Magna-IR  
275 550 spectrometer apparatus. NMR spectra were recorded with a Bruker Advance 1  
276 spectrometer [400 MHz (<sup>1</sup>H) and 100 MHz (<sup>13</sup>C)] or a Bruker AH 300 FT spectrometer [300  
277 MHz (<sup>1</sup>H) and 75 MHz (<sup>13</sup>C)]. Chemical shifts are expressed in parts per million (ppm)  
278 downfield from TMS. Data are reported as follows: chemical shift [multiplicity (s: singlet, d:  
279 doublet, t: triplet, m: multiplet), coupling constants (*J*) in Hertz (Hz), integration]. Shifts of <sup>1</sup>H  
280 and <sup>13</sup>C NMR spectra were calibrated against the solvent residual isotopic peak as internal

281 reference. Reference peaks for the NMR spectra in (CD<sub>3</sub>)<sub>2</sub>SO are 2.50 (<sup>1</sup>H) and 39.52 (<sup>13</sup>C)  
282 and for CDCl<sub>3</sub> 7.26 (<sup>1</sup>H) and 77.16 (<sup>13</sup>C). Mass spectra were determined on a Waters  
283 Micromass LCT Premier Q-TOF Mass spectrometer coupled with a Waters Alliance HPLC.

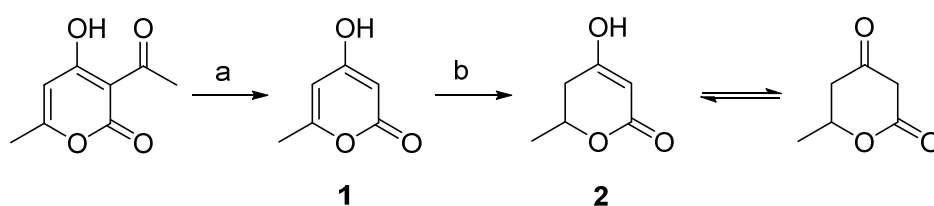
### 284 3.2. Materials

285 All the chemicals and solvents used in this study were purchased from Fluka and Sigma  
286 Aldrich. Grades of chemicals were as follow: 4-hydroxy-6-methyl-2-pyrone: 98%, aniline **3a**:  
287 ≥99.5%, 4-bromoaniline **3b** and 2,3-dimethoxyaniline **3f**: for synthesis, 4-nitroaniline **3c** and  
288 *p*-anisidine **3d**: ≥99%, 2,4-dimethoxyaniline **3e**: 97%, sodium nitrite: ≥97.0%, hydrochloric  
289 acid: 37%, sodium acetate: >99%.

290 The reference strains *Staphylococcus aureus* [ATCC 25923], *Escherichia coli* [ATCC-25922]  
291 and *Pseudomonas aeruginosa* [ATCC-27853] used were obtained from Pasteur Institute,  
292 Algiers. Clinical strains *S. aureus*, *E. coli* and *P. aeruginosa* used in this study were isolated  
293 from different samples: pus, urine and blood. Identification of the bacterial strains was made  
294 on cultural and biochemical characters.

### 295 3.3. Chemistry

296 Compounds **1** and **2** were prepared (Scheme 2) according to known procedures [24,33,34].



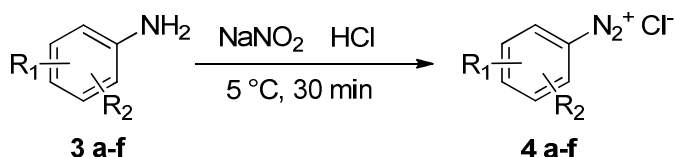
297 a: H<sub>2</sub>SO<sub>4</sub>, 140 °C, 20 min; b: H<sub>2</sub>/ Pd-C, RT, 24 h.

298 **Scheme 2.** Preparation of TAL **1** and DHTAL **2**.

299 Azo derivatives **5** – **15** were synthesized by the azo-coupling reactions of substituted benzene  
300 diazonium salts **4a** – **f** with 4-hydroxy-6-methyl-2H-pyran-2-one (**1**) and 4-hydroxy-6-  
301 methyl-5,6-dihydro-2H-pyran-2-one (**2**), respectively.

#### 302 3.3.1. General procedure of diazotation

303 Aromatic amine **3a – f** (6 mmol) was dissolved in a freshly prepared 10% solution of  
 304 concentrated hydrochloric acid (10 mL) then cooled to 0 – 5 °C using an ice-bath. A solution  
 305 of sodium nitrite (NaNO<sub>2</sub>, 0.45 g, 6 mmol) in water (10 mL) was added dropwise while the  
 306 temperature was maintained below 5 °C. The resulting mixture was stirred for 30 min in an  
 307 ice bath (Scheme 3).



308 a: R<sub>1</sub> = R<sub>2</sub> = H  
 b: R<sub>1</sub> = H, R<sub>2</sub> = *p*-Br  
 c: R<sub>1</sub> = H, R<sub>2</sub> = *p*-NO<sub>2</sub>  
 d: R<sub>1</sub> = H, R<sub>2</sub> = *p*-OCH<sub>3</sub>  
 e: R<sub>1</sub> = *o*-OCH<sub>3</sub>, R<sub>2</sub> = *p*-OCH<sub>3</sub>  
 f: R<sub>1</sub> = *o*-OCH<sub>3</sub>, R<sub>2</sub> = *m*-OCH<sub>3</sub>

309 **Scheme 3.** Preparation of diazonium salts **4a – f**

### 310 3.3.2. Preparation of dyes

311 When diazotation was complete, as described above, the resulting substituted aryl-diazonium  
 312 salts **4a – f** were used to prepare the target molecules **5 – 15** as described below (Schemes 4  
 313 and 5). The two tautomeric forms are described as they appear from the spectra as a mixture  
 314 of Major (M) and minor (m) products. The selected physical properties of the synthesized  
 315 dyes **5 – 15** were measured and listed in Table 5.

316 **Table 5.**

317 Physical properties and yields of synthesized dyes

Dye	R <sub>1</sub> , R <sub>2</sub>	m.p. (°C)	λ <sub>max</sub> (nm)	Color	Yield (%)	Ref
in CH <sub>2</sub> Cl <sub>2</sub>						
<b>5</b>	H, H	183-185	418.6	Orange	83	[35]
<b>6</b>	H, 4-Br	235-237	424.4	Brick	50	this work
<b>7</b>	H, 4-NO <sub>2</sub>	245-247	417.2	Yellow	5	this work
<b>8</b>	H, 4-OCH <sub>3</sub>	192-194	443.8	Orange	75	[35]

<b>9</b>	2,4-OCH <sub>3</sub>	218-220	462.6	Orange	33	this work
<b>10</b>	2,5-OCH <sub>3</sub>	175-177	461.0	Red	72	this work
<b>11</b>	H, H	156	387.8	Yellow	29	[36]
<b>12</b>	H, 4-Br	173	400.4	Brown	50	this work
<b>13</b>	H, 4-NO <sub>2</sub>	201	393.6	Yellow	37	this work
<b>14</b>	H, 4-OCH <sub>3</sub>	150-153	418.0	Purple	30	[36]
<b>15</b>	2,4-OCH <sub>3</sub>	180	436.0	Brown	100	this work

318

319 *3.2.2.1. Synthesis of (E)-4-Hydroxy-6-methyl-3-(aryldiazenyl)-2H-pyran-2-ones (or*

320 *3-(2-(aryl)hydrazono)-6-methyl-3H-pyran-2,4-diones) (5 – 10)*

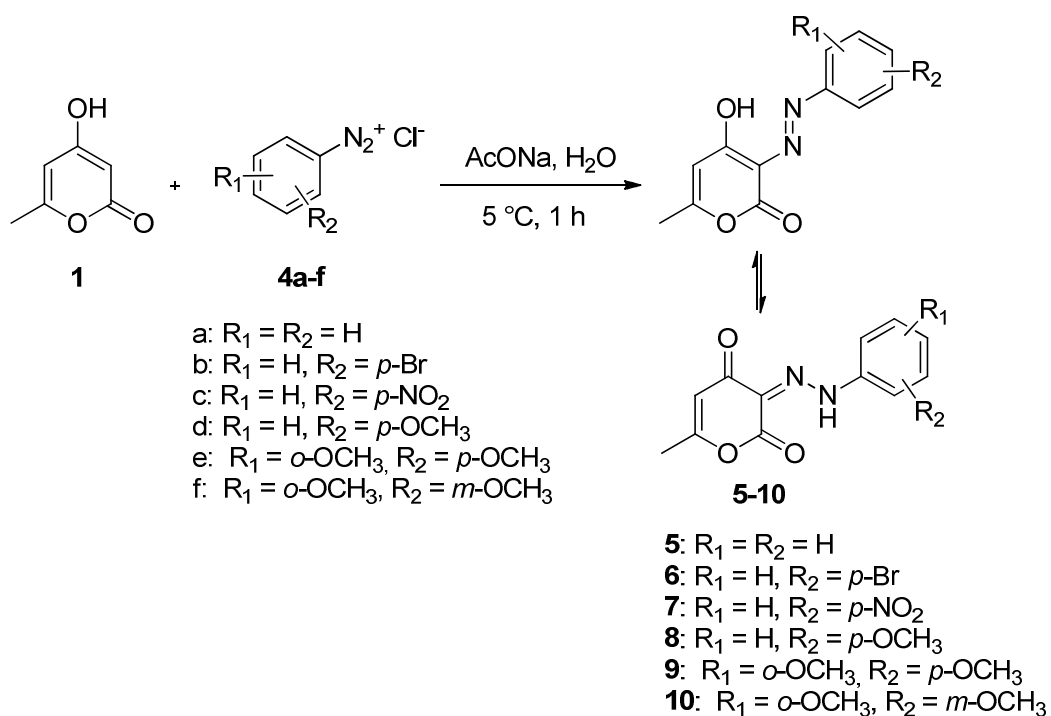
321 The substituted aryl-diazonium salts (**4a – f**) were added dropwise to a mixture of 4-hydroxy-

322 6-methyl-2H-pyran-2-one (**1**) (TAL, 0.63 g, 5 mmol) in water (10 mL) and sodium acetate (1

323 g, 3 mmol). The reaction mixture was stirred for 2 h at 0 – 5 °C. The obtained precipitate was

324 filtered off, washed with cold water, dried and recrystallized from ethanol to give the pure

325 compounds **5 – 10** (Scheme 4).



326

327 **Scheme 4.** Synthetic route for the preparation of azo dyes **5 – 10**.

328 **(E)-4-Hydroxy-6-methyl-3-(phenyldiazenyl)-2H-pyran-2-one** (or **6-methyl-3-(2-**  
329 **phenylhydrazono)-3H-pyran-2,4-dione**) (**5**): Orange solid (0.805g, 70%). FT-IR (KBr,  $\nu$   
330  $\text{cm}^{-1}$ ): 3030, 2980, 1759, 1650, 1509.  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$  ppm: 2.23 (s, 3H), 5.83  
331 (s, 1H), 7.28 – 7.34 (m, 1H), 7.40 – 7.49 (m, 2H), 7.56 – 7.64 (m, 2H), 14.15 (bs,  $\text{NH}_M$ ),  
332 16.31 (bs,  $\text{OH}_M$ ).  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ )  $\delta$ : 20.5 ( $\text{C}_7$ ), 107.6 ( $\text{C}_5$ ), 117.9 ( $\text{C}_9$ ,  $\text{C}_{13}$ ), 122.0  
333 ( $\text{C}_3$ ), 128.3 ( $\text{C}_{11}$ ), 129.8 ( $\text{C}_{10}$ ,  $\text{C}_{12}$ ), 140.5 ( $\text{C}_8$ ), 159.6 ( $\text{C}_2$ ), 166.7 ( $\text{C}_4$ ), 181.0 ( $\text{C}_6$ ). HRMS  
334 (ESI)  $m/z$  calcd for  $\text{C}_{12}\text{H}_{11}\text{N}_2\text{O}_3$  ( $[\text{M}+\text{H}]^+$ ): 231.0770; found: 231.0775 (100%) and calcd for  
335  $\text{C}_{12}\text{H}_{11}\text{N}_2\text{O}_3\text{Na}$  ( $[\text{M}+\text{Na}]^+$ ): 253.0589; found: 253.0598 (83%).

336 **(E)-3-((4-Bromophenyl)diazenyl)-4-hydroxy-6-methyl-2H-pyran-2-one** (or **3-(2-(4-**  
337 **bromophenyl)hydrazono)-6-methyl-3H-pyran-2,4-dione**) (**6**): Brick solid (1.228 g, 80%).  
338 FT-IR (KBr,  $\nu$   $\text{cm}^{-1}$ ): 3103, 2960, 1738, 1625, 1512.  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$  ppm: 2.24  
339 (s, 1H), 5.85 (s, 1H), 7.45 – 7.52 (m, 2H), 7.54 – 7.59 (m, 2H), 14.08 (bs,  $\text{NH}_M$ ), 16.26 (bs,  
340  $\text{OH}_M$ ).  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ )  $\delta$  ppm: 20.7 ( $\text{C}_7$ ), 107.6 ( $\text{C}_5$ ), 119.5 ( $\text{C}_9$ ,  $\text{C}_{13}$ ), 121.8 ( $\text{C}_3$ ),  
341 122.5 ( $\text{C}_{11}$ ), 133.0 ( $\text{C}_{10}$ ,  $\text{C}_{12}$ ), 139.7 ( $\text{C}_8$ ), 159.6 ( $\text{C}_2$ ), 167.2 ( $\text{C}_4$ ), 181.3 ( $\text{C}_6$ ). HRMS (ESI)  $m/z$   
342 calcd for  $\text{C}_{12}\text{H}_{10}\text{BrN}_2\text{O}_3$  ( $[\text{M}+\text{H}]^+$ ,  $^{79}\text{Br}$ ): 308.9875; found: 308.9872 (100%) and calcd for  
343  $\text{C}_{12}\text{H}_{10}\text{BrN}_2\text{O}_3\text{Na}$  ( $[\text{M}+\text{Na}]^+$ ,  $^{79}\text{Br}$ ): 330.9694; found: 330.9688 (50%).

344 **(E)-4-Hydroxy-6-methyl-3-((4-nitrophenyl)diazenyl)-2H-pyran-2-one** (or **6-methyl-3-(2-**  
345 **(4-nitrophenyl)hydrazono)-3H-pyran-2,4-dione**) (**7**): Yellow solid (1.031 g, 75%). FT-IR  
346 (KBr,  $\nu$   $\text{cm}^{-1}$ ): 3084, 2935, 1758, 1650, 1501.  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$  ppm: 2.21 (s,  
347 3H), 5.98 (s, 1H), 7.83 – 7.90 (m, 2H), 8.25 – 8.31 (m, 2H), 14.35 (bs,  $\text{NH}_M$ ), 16.44 (bs,  
348  $\text{OH}_M$ ). HRMS (ESI)  $m/z$  calcd for  $\text{C}_{12}\text{H}_{10}\text{N}_3\text{O}_5$  ( $[\text{M}+\text{H}]^+$ ): 276.0620; found 276.0620 (33%)  
349 and calcd for  $\text{C}_{12}\text{H}_9\text{N}_3\text{O}_5\text{Na}$  ( $[\text{M}+\text{Na}]^+$ ): 298.0440; found 298.0444 (5%).

350 **(E)-4-Hydroxy-3-((4-methoxyphenyl)diazenyl)-6-methyl-2H-pyran-2-one** (or **3-(2-(4-**  
351 **methoxyphenyl)hydrazono)-6-methyl-3H-pyran-2,4-dione**) (**8**): Orange solid (0.780 g,

352 60%). FT-IR (KBr,  $\nu$   $\text{cm}^{-1}$ ): 3075, 2839, 1731, 1600, 1498.  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$ ,  
353 ppm: 2.23 (d,  $J = 0.6$  Hz, 3H), 3.85 (s, 3H), 5.83 (d,  $J = 0.6$  Hz, 1H), 6.92 – 7.00 (m, 2H),  
354 7.54 – 7.62 (m, 2H), 14.31 (bs,  $\text{NH}_m$ ), 16.48 (bs,  $\text{OH}_M$ ).  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ )  $\delta$  ppm:  
355 20.4 ( $\text{C}_7$ ), 55.7 ( $\text{OCH}_3$ ), 107.5 ( $\text{C}_5$ ), 115.1 ( $\text{C}_{10}$ ,  $\text{C}_{12}$ ), 119.7 ( $\text{C}_9$ ,  $\text{C}_{13}$ ), 122.03 ( $\text{C}_3$ ), 134.1 ( $\text{C}_8$ ),  
356 159.0 ( $\text{C}_2$ ), 160.0 ( $\text{C}_4$ ), 166.1 ( $\text{C}_{11}$ ), 181.2 ( $\text{C}_6$ ). HRMS (ESI)  $m/z$  calcd for  $\text{C}_{13}\text{H}_{13}\text{N}_2\text{O}_4$   
357 ( $[\text{M}+\text{H}]^+$ ): 261.0875; found: 261.0876 (100%) and calcd for  $\text{C}_{13}\text{H}_{12}\text{N}_2\text{O}_4\text{Na}$  ( $[\text{M}+\text{Na}]^+$ ):  
358 283.0695; found: 283.0693 (75%).

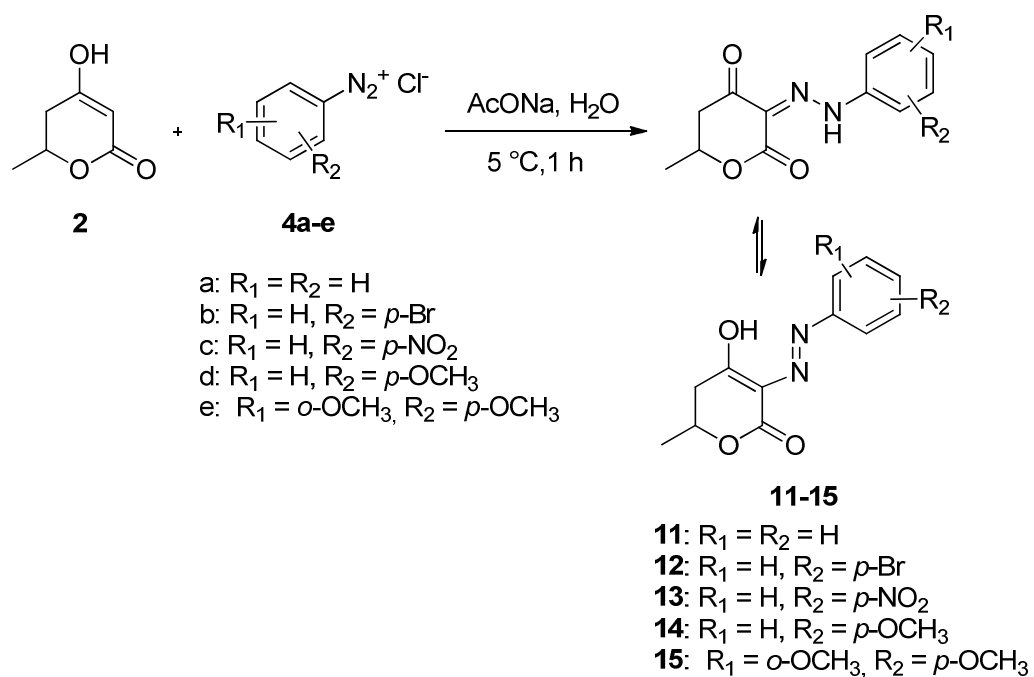
359 **(E)-3-((2,4-dimethoxyphenyl)diazenyl)-4-hydroxy-6-methyl-2H-pyran-2-one (or 3-(2-**  
360 **(2,4-dimethoxyphenyl)hydrazono)-6-methyl-3H-pyran-2,4-dione (9)**: Orange solid (0.826  
361 g, 57%). FT-IR (KBr,  $\nu$   $\text{cm}^{-1}$ ): 3050, 2940, 1739, 1620, 1511.  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$   
362 ppm: 2.23 (s, 3H), 3.85 (s, 3H), 3.97 (s, 3H), 5.82 (s, 1H), 6.51 (d,  $J = 2.4$  Hz, 1H), 6.58 (dd,  $J$   
363 = 9.0, 2.4 Hz, 1H), 7.94 (d,  $J = 9.0$  Hz, 1H), 14.37 (bs,  $\text{NH}_m$ ), 16.34 (bs,  $\text{OH}_M$ ).  $^{13}\text{C}$  NMR (75  
364 MHz,  $\text{CDCl}_3$ )  $\delta$  ppm: 20.8 ( $\text{C}_7$ ), 56.1 ( $\text{OCH}_3$ ), 56.6 ( $\text{OCH}_3$ ), 99.0 ( $\text{C}_{10}$ ), 106.6 ( $\text{C}_{12}$ ), 108.0  
365 ( $\text{C}_5$ ), 118.9 ( $\text{C}_{13}$ ), 122.0 ( $\text{C}_3$ ), 124.2 ( $\text{C}_8$ ), 151.5 ( $\text{C}_9$ ), 161.0 ( $\text{C}_2$ ), 161.5 ( $\text{C}_{11}$ ), 165.8 ( $\text{C}_4$ ), 180.6  
366 ( $\text{C}_6$ ). HRMS (ESI)  $m/z$  calcd for  $\text{C}_{14}\text{H}_{15}\text{N}_2\text{O}_5$  ( $[\text{M}+\text{H}]^+$ ): 291.0981; found 291.0980 (100%)  
367 and calcd for  $\text{C}_{14}\text{H}_{14}\text{N}_2\text{O}_5\text{Na}$  ( $[\text{M}+\text{Na}]^+$ ): 313.0800; found 313.0793 (33%).

368 **(E)-3-((2,5-Dimethoxyphenyl)diazenyl)-4-hydroxy-6-methyl-2H-pyran-2-one (or 3-(2-**  
369 **(2,5-dimethoxyphenyl)hydrazono)-6-methyl-3H-pyran-2,4-dione (10)**: Red solid (0.681 g,  
370 47%). FT-IR (KBr,  $\nu$   $\text{cm}^{-1}$ ): 3072, 2841, 1749, 1610, 1498.  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$   
371 ppm: 2.23 (s, 3H), 3.86 (s, 3H), 3.97 (s, 3H), 5.83 (d,  $J = 0.7$  Hz,  $1\text{H}_M$ ), 5.98 (s,  $1\text{H}_m$ ), 6.82  
372 (dd,  $J = 9.0, 2.9$  Hz, 1H), 6.90 (d,  $J = 9.0$  Hz, 1H), 7.50 (d,  $J = 2.9$  Hz, 1H), 14.37 (bs,  $\text{NH}_m$ ),  
373 16.34 (bs,  $\text{OH}_M$ ).  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ )  $\delta$  ppm: 20.6 ( $\text{C}_7$ ), 56.2 ( $\text{OCH}_3$  at  $\text{C}_{12}$ ), 56.6  
374 ( $\text{OCH}_3$  at  $\text{C}_9$ ), 101.4 ( $\text{C}_{11}$ ), 107.8 ( $\text{C}_5$ ), 112.7 ( $\text{C}_{13}$ ), 115.7 ( $\text{C}_{10}$ ), 125.5 ( $\text{C}_3$ ), 130.3 ( $\text{C}_8$ ), 144.2  
375 ( $\text{C}_9$ ), 154.9 ( $\text{C}_{12}$ ), 160.1 ( $\text{C}_2$ ), 166.1 ( $\text{C}_4$ ), 180.6 ( $\text{C}_6$ ). HRMS (ESI)  $m/z$  calcd for  $\text{C}_{14}\text{H}_{15}\text{N}_2\text{O}_5$

376  $([M+H]^+)$ : 291.0981; found: 291.0990 (100%) and calcd for  $C_{14}H_{14}N_2O_5Na$   $([M+Na]^+)$ :  
377 313.0800; found: 313.0806 (72%).

378 3.2.2.2. Synthesis of (*E*)-4-hydroxy-6-methyl-3-(aryldiazenyl)-5,6-dihydro-2H-pyran-  
379 2-one (or 3-(2-(aryl) hydrazono)-6-methyl-dihydro-3H-pyran-2,4-diones (**11 – 15**).

380 The obtained diazo liquor (**4a – e**) was added to a vigorously stirred mixture of 4-hydroxy-6-  
381 methyl-5,6-dihydro-2H-pyran-2-one (**2**) (0.64 g, 5 mmol) in water (10 mL) and sodium  
382 acetate (1 g, 3 mmol) in water (10 mL). The mixture was then stirred for 2 h at 0 – 5 °C. After  
383 completion of the reaction, the resulting solid was filtered, washed with cold water and dried.  
384 Recrystallization from ethanol gave pure solid (**11 – 15**) (Scheme 5).



385

386 **Scheme 5.** Synthetic route for the preparation of azo dyes **11 – 15**.

387 (*E*)-4-hydroxy-6-methyl-3-(phenyldiazenyl)-5,6-dihydro-2H-pyran-2-one (or 6-methyl-3-  
388 (2-phenylhydrazono)-dihydro-3H-pyran-2,4-dione) (**11**): Yellow solid (0.986 g, 85%). FT-  
389 IR (KBr,  $\nu$   $\text{cm}^{-1}$ ): 3070, 2940, 1710, 1600, 1511.  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$  ppm: 1.53 (d,  
390  $J = 6.4$  Hz, 3H), 2.71 – 2.89 (m, 2H), 4.68 – 4.83 (m, 1H), 7.24 – 7.31 (m, 1H), 7.43 (t,  $J =$   
391 7.9 Hz, 2H), 7.55 (t,  $J = 7.7$  Hz, 2H), 13.88 (bs, 1H,  $\text{NH}_m$ ), 15.16 (bs, 1H,  $\text{OH}_M$ ).  $^{13}\text{C}$  NMR  
392 (75 MHz,  $\text{CDCl}_3$ )  $\delta$  ppm: 21.2 ( $\text{C}_{7M}$ ), 44.9 ( $\text{C}_{5M}$ ), 45.2 ( $\text{C}_{5m}$ ), 71.3 ( $\text{C}_{6M}$ ), 72.9 ( $\text{C}_{6m}$ ), 117.7

393 (C<sub>9M</sub>, C<sub>13M</sub>), 117.8 (C<sub>9m</sub>, C<sub>13m</sub>), 121.5 (C<sub>3m</sub>), 122.9 (C<sub>3M</sub>), 127.5 (C<sub>11m</sub>), 128.0 (C<sub>11M</sub>), 130.0  
394 (C<sub>10M</sub>, C<sub>12M</sub>), 130.1 (C<sub>10m</sub>, C<sub>12m</sub>), 141.0 (C<sub>8m</sub>), 141.1 (C<sub>8M</sub>), 163.7 (C<sub>2M</sub>), 165.4 (C<sub>2m</sub>), 188.5  
395 (C<sub>4m</sub>), 192.2 (C<sub>4M</sub>). HRMS (ESI) m/z calcd for C<sub>12</sub>H<sub>13</sub>N<sub>2</sub>O ([M+H]<sup>+</sup>): 233.0926; found:  
396 233.0921 (100%).

397 **(E)-3-((4-Bromophenyl)diazenyl)-4-hydroxy-6-methyl-5,6-dihydro-2H-pyran-2-one (or**  
398 **3-(2-(4-bromophenyl)hydrazono)-6-methyl-dihydro-3H-pyran-2,4-dione) (12):** Brown  
399 solid (0.899 g, 58%). FT-IR (KBr,  $\nu$  cm<sup>-1</sup>): 3090, 2986, 1693, 1630, 1508. <sup>1</sup>H NMR (300  
400 MHz, DMSO)  $\delta$ , ppm: 1.37 (d, *J* = 6.3 Hz, 3H), 2.73 (dd, *J* = 17.0, 3.2 Hz, 1H), 2.86 (dd, *J* =  
401 17.0, 10.6 Hz, 1H), 4.74 – 4.92 (m, 1H), 7.55 – 7.67 (m, 4H), 13.25 (bs, 1H, NH<sub>m</sub>), 14.42 (bs,  
402 1H, OH<sub>M</sub>). <sup>13</sup>C NMR (75 MHz, DMSO)  $\delta$  ppm: 20.3 (C<sub>7m</sub>), 20.8 (C<sub>7M</sub>), 44.5 (C<sub>5M</sub>), 44.8  
403 (C<sub>5m</sub>), 70.9 (C<sub>6M</sub>), 72.3 (C<sub>6m</sub>), 118.4 (C<sub>11m</sub>), 118.9 (C<sub>11M</sub>), 119.3 (C<sub>9M</sub>, C<sub>13M</sub>), 120.0 (C<sub>9m</sub>,  
404 C<sub>13m</sub>), 123.9 (C<sub>11M</sub>), 124.1 (C<sub>3M</sub>), 132.9 (C<sub>10M</sub>, C<sub>12M</sub>), 133.1 (C<sub>10m</sub>, C<sub>12m</sub>), 141.1 (C<sub>8m</sub>), 141.3  
405 (C<sub>8M</sub>), 162.9 (C<sub>2M</sub>), 169.0 (C<sub>2m</sub>), 189.0 (C<sub>4m</sub>), 192.6 (C<sub>4M</sub>). HRMS (ESI) m/z calcd for  
406 C<sub>12</sub>H<sub>12</sub>BrN<sub>2</sub>O<sub>3</sub> ([M+H]<sup>+</sup>, <sup>79</sup>Br): 311.0031; found 311.0031 (100%).

407 **(E)-4-Hydroxy-6-methyl-3-((4-nitrophenyl)diazenyl)-5,6-dihydro-2H-pyran-2-one (or 6-**  
408 **methyl-3-(2-(4-nitrophenyl)hydrazono)-dihydro-3H-pyran-2,4-dione) (13):** Yellow solid  
409 (1.149 g, 83%). FT-IR (KBr,  $\nu$  cm<sup>-1</sup>): 3060, 2960, 1715, 1625, 1504. <sup>1</sup>H NMR (300 MHz,  
410 CDCl<sub>3</sub>)  $\delta$  ppm: 1.54 (d, *J* = 6.0 Hz, 3H), 2.71 – 2.90 (m, 2H), 4.68 – 4.85 (m, 1H), 7.40 – 7.57  
411 (m, 4H), 13.82 (s, 1H, NH<sub>m</sub>), 15.08 (s, 1H, OH<sub>M</sub>). <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  ppm: 21.3  
412 (C<sub>7m</sub>), 21.6 (C<sub>7M</sub>), 45.3 (C<sub>5M</sub>), 45.6 (C<sub>5m</sub>), 71.7 (C<sub>6M</sub>), 73.4 (C<sub>6m</sub>), 119.5 (C<sub>9M</sub>, C<sub>13M</sub>), 120.0  
413 (C<sub>9m</sub>, C<sub>13m</sub>), 121.0 (C<sub>11m</sub>), 121.5 (C<sub>11M</sub>), 122.3 (C<sub>3m</sub>), 123.6 (C<sub>3M</sub>), 133.5 (C<sub>10M</sub>, C<sub>12M</sub>), 133.6  
414 (C<sub>10m</sub>, C<sub>12m</sub>), 140.5 (C<sub>8m</sub>), 140.6 (C<sub>8M</sub>), 163.9 (C<sub>2M</sub>), 165.6 (C<sub>2m</sub>), 188.1 (C<sub>4m</sub>), 192.9 (C<sub>4M</sub>).  
415 HRMS (ESI) m/z calcd for C<sub>12</sub>H<sub>12</sub>N<sub>3</sub>O<sub>5</sub> ([M+H]<sup>+</sup>): 278.0777; found: 278.0781 (100%).

416 **(E)-4-Hydroxy-3-((4-methoxyphenyl)diazenyl)-6-methyl-5,6-dihydro-2H-pyran-2-one**  
417 **(or 3-(2-(4-methoxyphenyl)hydrazono)-6-methyl-dihydro-3H-pyran-2,4-dione) (14):**

418 Purple solid (0.589 g, 45%). FT-IR (KBr,  $\nu$   $\text{cm}^{-1}$ ): 3078, 2909, 1708, 1630, 1510.  $^1\text{H}$  NMR  
419 (300 MHz,  $\text{CDCl}_3$ )  $\delta$ , ppm: 1.53 (d,  $J = 6.2$  Hz, 3H), 2.68 – 2.86 (m, 2H), 3.85 (s, 3H), 4.65 –  
420 4.82 (m, 1H), 6.93 – 6.70 (m, 2H), 7.47 – 7.53 (m, 2H), 14.03 (s, 1H,  $\text{NH}_m$ ), 15.41 (s, 1H,  
421  $\text{OH}_M$ ).  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ )  $\delta$  ppm: 21.2 ( $\text{C}_7$ ), 44.7 ( $\text{C}_{5M}$ ), 45.1 ( $\text{C}_{5m}$ ), 56.0 ( $\text{C}_{14}$ ), 71.3  
422 ( $\text{C}_{6M}$ ), 72.9 ( $\text{C}_{6m}$ ), 115.4 ( $\text{C}_9$ ,  $\text{C}_{13}$ ), 119.4 ( $\text{C}_{10}$ ,  $\text{C}_{12}$ ), 120.6 ( $\text{C}_{3m}$ ), 122.1 ( $\text{C}_{3M}$ ), 134.5 ( $\text{C}_{8M}$ ),  
423 134.6 ( $\text{C}_{8m}$ ), 159.4 ( $\text{C}_{11m}$ ), 159.8 ( $\text{C}_{11M}$ ), 164.1 ( $\text{C}_{2M}$ ), 165.7 ( $\text{C}_{2m}$ ), 188.5 ( $\text{C}_{4m}$ ), 191.7 ( $\text{C}_{4M}$ ).  
424 HRMS (ESI)  $m/z$  calcd for  $\text{C}_{13}\text{H}_{15}\text{N}_2\text{O}_4$  ( $[\text{M}+\text{H}]^+$ ): 263.1032; found: 263.1028 (100%).

425 **(E)-3-((2,4-Dimethoxyphenyl)diazenyl)-4-hydroxy-6-methyl-5,6-dihydro-2H-pyran-2-**  
426 **one (or 3-(2-(2,4-dimethoxyphenyl)hydrazono)-6-methyl-dihydro-3H-pyran-2,4-dione**  
427 **(15)**: Brown solid (0.580 g, 40%). FT-IR (KBr,  $\nu$   $\text{cm}^{-1}$ ): 3079, 2900, 1706, 1625, 1505.  $^1\text{H}$   
428 NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$  ppm: 1.51 (d,  $J = 6.4$  Hz, 3H), 2.66 – 2.85 (m, 2H), 3.83 (s, 3H<sub>m</sub>),  
429 3.84 (s, 3H<sub>M</sub>), 3.94 (s, 3H<sub>m</sub>), 3.96 (s, 3H<sub>M</sub>), 4.67 – 4.76 (m, 1H), 6.50 (s, 1H), 6.51 – 6.59 (m,  
430 1H), 7.84 (d,  $J = 8.9$  Hz, 1H<sub>m</sub>), 7.88 (d,  $J = 8.8$  Hz, 1H<sub>M</sub>), 14.16 (bs, 1H,  $\text{NH}_m$ ), 15.47 (bs, 1H,  
431  $\text{OH}_M$ ).  $^{13}\text{C}$  NMR (75 MHz,  $\text{CDCl}_3$ )  $\delta$ , ppm: 21.3 ( $\text{C}_7$ ), 44.6 ( $\text{C}_{5M}$ ), 45.0 ( $\text{C}_{5m}$ ), 56.1 ( $\text{C}_{14}$ ), 56.4  
432 ( $\text{C}_{15}$ ), 71.3 ( $\text{C}_{6M}$ ), 72.7 ( $\text{C}_{6m}$ ), 99.1 ( $\text{C}_{10}$ ), 106.1 ( $\text{C}_{12m}$ ), 106.1 ( $\text{C}_{12M}$ ), 118.2 ( $\text{C}_{13m}$ ), 118.4  
433 ( $\text{C}_{13M}$ ), 120.1 ( $\text{C}_{3m}$ ), 122.4 ( $\text{C}_{3M}$ ), 124.1 ( $\text{C}_{8M}$ ), 124.3 ( $\text{C}_{8m}$ ), 150.7 ( $\text{C}_{9M}$ ), 150.9 ( $\text{C}_{9m}$ ), 160.3  
434 ( $\text{C}_{11m}$ ), 160.7 ( $\text{C}_{11M}$ ), 164.6 ( $\text{C}_{2M}$ ), 165.6 ( $\text{C}_{2m}$ ), 188.8 ( $\text{C}_{4m}$ ), 190.8 ( $\text{C}_{4M}$ ). HRMS (ESI)  $m/z$   
435 calcd for  $\text{C}_{14}\text{H}_{17}\text{N}_2\text{O}_5$  ( $[\text{M}+\text{H}]^+$ ): 293.1137; found: 293.1142 (41%) and calcd for  
436  $\text{C}_{14}\text{H}_{16}\text{N}_2\text{O}_5\text{Na}$  ( $[\text{M}+\text{Na}]^+$ ): 315.0957; found: 315.0952 (100%).

### 437 3.4. Biology

#### 438 3.4.1. Bacterial strains

439 The antimicrobial activity of the synthesized compounds was evaluated *in vitro* versus three  
440 reference strains: *Staphylococcus aureus* [ATCC-25923] (S.a.) as Gram positive bacterium;  
441 *Escherichia coli* [ATCC-25922] (E.c.) and *Pseudomonas aeruginosa* [ATCC-27853] (P.a.) as  
442 Gram negative bacteria and three clinical strains isolates from urine, blood and pus:

443 *Staphylococcus aureus* 75 (S2), *Escherichia coli* 10 (S1), and *Pseudomonas aeruginosa* 260  
444 (S3).

#### 445 *3.4.2. Antimicrobial activity determination*

446 All compounds (**5 – 15**) were dissolved in acetone and further serial ten-fold dilutions were  
447 made in a concentration range from 0.5 to 512 µg/mL in order to prepare the working  
448 solutions used for determination of inhibition zones as well as for determination of minimal  
449 inhibitory concentrations (MIC). Trimethoprim-Sulfamethoxazole was used as reference  
450 standard at a concentration of 25 µg/mL in order to validate methodology and also for  
451 comparison of antimicrobial activities.

##### 452 *3.4.2.1 Determination of inhibition zones*

453 All synthesized compounds (**5 – 15**) were examined for antibacterial activity against different  
454 Gram-positive and Gram-negative strains of bacteria. Inhibition zones of the best compounds  
455 (**8 – 11, 13 – 15**) were performed with the disk diffusion method using nutrient agar medium  
456 [23,29]. The Mueller–Hinton agar medium was autoclaved for 30 min, poured under aseptic  
457 conditions in each sterilized Petri dish, allowed to solidify and then inoculated with fresh  
458 subculture of a bacterial inocula prepared in physiological sterile water. Sterile 6 mm filter  
459 paper discs, impregnated with 20 µl of the different concentrations of tested compounds were  
460 then placed on agar plates and the cultures were incubated at 37 °C for 24 h for bacterial  
461 growth. Disks embedded with acetone were used as a negative control. Inhibition zones  
462 formed on the medium were evaluated in millimeter (mm).

##### 463 *3.4.2.2 Determination of minimal inhibitory concentrations*

464 The minimum inhibitory concentration (MIC) values of compounds **5 – 15** were determined  
465 by broth micro-dilution method [22,29-30]. The microbial suspensions were prepared in  
466 Mueller–Hinton broth from test organisms sub-cultured on nutrient agar. The serial prepared  
467 solutions ranging from concentration 0.5 to 512 µg/mL were inoculated with fresh bacterial

468 inoculums and incubated at 37 °C for 24 h. The results were recorded according to the  
469 presence or absence of bacteria growth comparatively to the controls.

#### 470 **Conclusions**

471 In this study, some known and unknown azo disperse dyes **5** – **15** were prepared by classical  
472 method of azo coupling reaction using as nucleophilic partner TAL **1** and DHTAL **2**. The  
473 synthesized dyes were characterized by FT-IR, electronic absorption spectra in UV and  
474 visible regions, <sup>1</sup>H, <sup>13</sup>C and 2D NMR and mass spectroscopy. The solvent polarities and  
475 substituents effects on the photophysical properties of dyes were evaluated. The spectroscopic  
476 data of the synthesized dyes revealed that these compounds exist as mixtures of two  
477 tautomeric azo and hydrazo forms which are in equilibrium, the azo one being major.  
478 Furthermore, measurement of antibacterial activity demonstrated that the dyes, at lower  
479 concentration, showed similar activities against *E. coli* and *S. aureus* as those shown by the  
480 control antibiotic, while *P. aeruginosa* strains were sensitive to synthesized molecules  
481 compared to control antibiotic. The effect of these dyes on microbes therefore deserves  
482 further examination.

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608

609 **Caption list of Figures, Tables and Schemes**

610 **Fig. 1.** Synthetic strategy to azo dye structures **5 – 15**.

611 **Fig.2 a.** Absorption spectra of dye **5** (left) and **b.** dye **11** (right) in various solvents (black: n-  
612 hexane; red: dichloromethane; blue: acetone; violin: ethanol; green: acetonitrile).

613 **Fig. 3.** Absorption spectra of dyes **5** (blue) and **11** (red) in dichloromethane.

614 **Fig. 4.** UV-Vis absorption spectra of dyes **5** (violin), **6** (green), **7** (red), **8** (black) and **9** (blue)  
615 in ethanol.

616 **Fig. 5.** Molecular structures of monophenylhydrazones of 4-hydroxycoumarine **16** and  $\gamma$ -  
617 phenyl- $\Delta\beta,\gamma$ -butenolide **17**.

618 **Fig. 6 a.** Selected  $^1\text{H}$  and  $^{13}\text{C}$  NMR chemical shifts (right), **b.** HMBC correlations (left) of  
619 compound **10**.

620 **Fig. 7 a.** Selected  $^1\text{H}$  and  $^{13}\text{C}$  NMR chemical shifts (right), **b.** HMBC correlations (left) for  
621 compound **11**

622

623 **Table 1** Influence of solvent on maximum wavelength of absorption ( $\lambda_{\text{max}}$ ) of dyes **5 – 15**.

624 **Table 2.** Antibacterial screening data for inhibition zones of compounds **5 – 10** and new  
625 synthesized dyes **11 – 15** against different bacterial strains.

626 **Table 3.** Concentration and diameter of inhibition zone of compounds displaying the best  
627 antibacterial activity.

628 **Table 4.** *In vitro* antibacterial activity data in MIC ( $\mu\text{g/ml}$ ) of tested compounds **5 – 10** and  
629 newly synthesized dyes **11 – 15** against different microbial species.

630 **Table 5.** Physical properties and yields of synthesized dyes

631

632 **Scheme 1.** Four possible tautomeric forms of the prepared azo dyes.

633 **Scheme 2.** Preparation of TAL **1** and DHTAL **2**.

- 634 **Scheme 3.** Preparation of diazonium salts **4a – f**.
- 635 **Scheme 4.** Synthetic route for the preparation of azo dyes **5 – 10**.
- 636 **Scheme 5.** Synthetic route for the preparation of azo dyes **11 – 15**.
- 637

638 **Graphical abstract**

