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## Research Article

# Estimation of the Uncertainty due to Each Step of Simulating the Photovoltaic Conversion under Real Operating Conditions

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The simulation of photovoltaic installations is a major issue for their sizing, their smart grid operation, and their fault detection and diagnosis. In this article, we study in detail every step of the simulation chain, either from the global horizontal irradiance and the ambient temperature (i.e., 4 steps of simulation) or considering the global in-plane irradiance and the module operating temperature (i.e., 1 step of simulation). The average quality estimation of the models is made through the calculations of average annual error between estimations and measurements, from 2016 to 2020. We have shown that the most uncertain step is the conversion of the global irradiance in its diffuse and direct components (17.2%, 2 models tested). If the model goes up to the in-plane irradiance, the average annual error decreases to 5.3% (6 models tested). The photovoltaic module temperature calculation induces an error of less than 2 Å°C (4 models tested with 2 configurations). Meanwhile, the photoelectrical conversion shows a 3.5% error, similar to the measurement uncertainties, considering as input, the modules temperature, and the in-plane irradiance. If the simulation goes from the global irradiance and the ambient temperature measured locally, the estimation leads to a 6.7% average annual error. If the local measurements are not available, we can use the closest meteorological station's records (13 for our study), and the error becomes 12.1%. Finally, we can also use the satellite images that lead to a 15.2% error, for average per year. The impact of available input shows that modeling the DC photovoltaic production, using global horizontal irradiance and ambient temperature, gives rise to an error of 6.6% for local measurements, 12.1% for weather station measurements, and 15.2% for satellite images estimations. This article thus draws up a review of the existing models, allowing to calculate the DC production of a photovoltaic module, depending on the atmospheric conditions, and highlights the most precise or most critical steps, considering in situ and weather station ground-based measurements, and also estimation from satellite images.

## 1. Introduction

Photovoltaic (PV) production mainly depends on the solar radiation incident on PV modules. Solar resource variability and uncertainty associated with the modeling of PV energy

production are one of the most important factors that influence the grid stability (with wind turbines), regardless of the size of the power grid [1]. The ability to precisely predict the energy produced by PV systems is of great importance and has been identified as one of the key challenges for massive

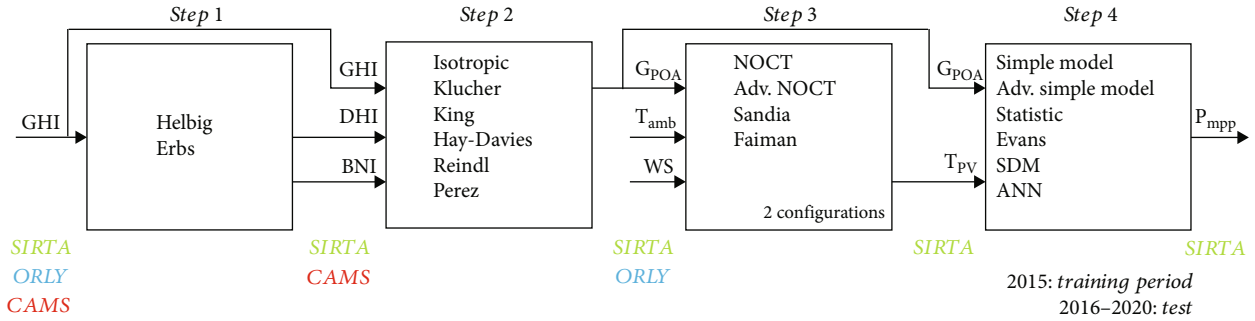


FIGURE 1: Schematic of the process for the simulation of PV production, studied models, and data sources available for each step.

PV integration [2, 3]. It is also a milestone in the sizing step of PV installations. This can also be used for PV fault detection and diagnosis.

This study focuses on evaluating the uncertainty on PV production estimation at each step of the modeling process. Each step is studied independently, and also its impact on the whole simulation chain is evaluated.

Few articles study the impact of the uncertainty of the modeling process. We can cite [4] which examines the uncertainty in long-term PV system yield predictions by statistical modeling (using Solar Advisor Model software) of a hypothetical 10 MW crystalline silicon PV system in Toronto (Canada). In this case study, uncertainties were estimated to be about 3.9% for year-to-year climate variability, 5% for long-term average horizontal irradiation, 3% for estimation of the in-plane radiation, 3% for power rating of modules, 2% for losses due to dirt and soiling, 1.5% for losses due to snow, and 5% for other sources of error. By performing statistical simulations, it was found that the combined uncertainty is approximately 8.7% for the first year of operation and 7.9% for the average yield over the PV system lifetime.

The study led by Sandia Laboratory [5] explains that the solar resource uncertainty (due to measurements, variability, spectrum...) is between 5 to 17%, the transposition of the horizontal irradiances to the in-plane irradiance is between 0.5 to 2%, the energy simulation and power plant losses induce uncertainty of 3 to 5%, and annual degradation uncertainty is about 0.5 to 1%. Using in situ ground-based measurements can reduce the uncertainty by up to 3.5%.

In reference [6], the authors compare yield predictions and monitoring data for 26 PV power plants located in southern Germany and Spain. If rather old radiation databases are used, the model systematically underestimates PV production by about 5% due to increased irradiance in recent years. Using recent satellite-derived irradiance avoids this underestimation. According to them, the main factor for the uncertainty of yield predictions is the aging, that is to say, the observed decrease of performance ratio. In this study, it decreases by 0.5% per year on average with a relatively high spread between systems. This decrease is attributed to nonreversible degradations and reversible effects, like soiling. The conclusion is that the uncertainty of the state-of-the-art yearly yield predictions using recent solar irradiance data is estimated to about 8%.

Only two publications compare the uncertainty of different photoelectric conversion models [7, 8]. Those two

papers, written by the same authors, compare the annual PV yield prediction errors of four models: single-point efficiency, single-point efficiency with temperature correction, PVUSA (Photovoltaics for Utility Scale Applications), and single-diode model (SDM), against outdoor measurements for different grid-connected PV systems in Cyprus over a 4-year evaluation period. The best agreement between the modeled results and outdoor measurements for crystalline silicon PV technologies was obtained using SDM. The energy yield for thin-film technologies was more accurately predicted using the PVUSA model.

Our approach in this paper is similar to indirect forecasts: first, we calculate (or measure) the solar global in-plane irradiance ( $G_{POA}$ ) and PV module operating temperature ( $T_{PV}$ ), and then, using a PV performance model, we calculate the power produced at the maximum power point ( $P_{MPP}$ ) [9]. Only the DC side of the photoelectric conversion is considered (no inverter). The different steps of the PV simulation are summarized in Figure 1, together with the considered models and the data sources.

This study focuses on the evaluation of the uncertainty on PV production estimation, step by step using different models, based on various data sources: in situ (SIRTA) and (ORLY) weather station ground-based measurements and geostationary satellite-based estimations (CAMS) during five years of operation.

For this purpose, we firstly present the data sources in Section 1. Section 2 describes the models that are used for each step of the modeling process with their accuracy. The results are presented in Section 3: it deals with modeling error estimation for the whole PV system simulation focusing on the uncertainty weight of each step and considering different data sources. These results are summarized in a conclusion and some perspectives are drawn.

## 2. Experimental Data Sources

In this study, three sources of data are explored. The highest level of precision corresponds to in situ ground-based measurements realized in our experimental research platform, where we do PV and atmospheric measurements, namely, SIRTA. Then, we consider the nearest weather station at ORLY airport where we use irradiances and ambient temperature measurements. Lastly, we use satellite-based estimations to proceed estimation of irradiance components



FIGURE 2: Outdoor characterization PV platform located at SIRTa.

using CAMS. All of these data sources are described in the following subsections.

The considered data are hourly averages and only with solar elevation angles higher than  $10^\circ$ .

**2.1. Outdoor Photovoltaic Characterization Platform.** Our experimental research platform is installed at the Atmospheric Research Observatory SIRTa [10] located in Palaiseau (France, 48.7 N, 2.2 E), 18.3 South-West of Notre-Dame de Paris.

A PV test bench was installed there in 2014 and comprises six commercial PV modules issued from different technologies (Figure 2). In this paper, we only consider the crystalline-silicon PV module, the second one from the left in Figure 2. All the equipment and sensor are listed in Table 4 of Appendix A, with their accuracy.

The current-voltage characteristics are measured with Agilent DC electronic loads (6060B), each minute from sunrise to sunset. The maximum power point ( $P_{MPP}$ ) is derived from this characteristic. Under normal operating conditions, the voltage drop along the junction line is typically 0.5 at  $P_{MPP}$ , which results in a systematic error of less than 1.6% on the measured power. In addition, due to the transient mode of measurement, an uncertainty of  $\pm 5.6\%$  of the measured power should be considered.

The PV module operating temperature ( $T_{PV}$ ) is measured with 4-wired class A platinum sensors (Pt100) glued on the back-sheet. Their resolution is  $0.01^\circ\text{C}$ , and the accuracy is between  $\pm 0.15^\circ\text{C}$  and  $\pm 0.30^\circ\text{C}$  when the temperature is between  $-20^\circ\text{C}$  and  $+100^\circ\text{C}$ . In the transient mode of measurement with short pulses of 1 mA, there is no problem of self-heating of the probes. The overall uncertainty on the measured temperature is about  $\pm 0.40^\circ\text{C}$ . The difference between the cell temperature and the probe glued on its back even with the highest irradiance is always less than  $2^\circ\text{C}$ , as we simulated it by common thermal laws [11]. These sensors are read by a digital multimeter Tektronix (DMM 3700A).

The global in-plane irradiance ( $G_{POA}$ ) is measured with a second class solar radiometer (Hukseflux SR01) installed in the same plane as the PV modules. The SR01 is compliant with the ISO 9060 second class specifications. It was compared to a secondary standard for 2 weeks, and an overestimation of less than 0.3% on instantaneous measurements was observed. Thus, we can estimate that the SR01 is accurately calibrated.

**2.2. In Situ Atmospheric Ground-Based Measurements SIRTa.** Ground-based measurements are realized at SIRTa. It is a reference meteorological and climate observatory with more than 150 remote sensing and in situ instruments. In terms of radiometric measurements, the site is part of the Baseline Surface Radiation Network (BSRN) since 2003 [12]. Global horizontal irradiance (GHI), diffuse horizontal irradiance (DHI), and ground albedo (Albedo) measurements are realized following BSRN standards with Kipp & Zonen CMP22. The direct normal irradiance (BNI) is measured by a Kipp & Zonen CHP1 pyrhelimeter. Both CMP22 and CHP1 are compliant with ISO 9060 secondary standard specifications, and they are expected to have a daily uncertainty lower than  $\pm 1\%$ . The ambient temperature ( $T_{amb}$ ) is measured at 1.5 m above the ground by a class A Guilcor platinum sensor (Pt100) with a precision of  $\pm 0.15^\circ\text{C}$ . A Vector A100R anemometer attached to the PV platform measures local wind speed (WS) with an accuracy of  $0.1 \text{ ms}^{-1}$  and a threshold of  $0.3 \text{ ms}^{-1}$ .

**2.3. Weather Station Atmospheric Ground-Based Measurement ORLY.** The weather station which is the nearest to our PV test bench is ORLY airport, located at Athis-Mons (France, 48.4 N, 2.2 E), about 13 km East from SIRTa, opened in 1921.  $T_{amb}$  is measured with class B sensors with a precision of  $\pm 0.5^\circ\text{C}$ . WS measurements are made by class B anemometers whose accuracy is 10% or  $0.5 \text{ ms}^{-1}$ . GHI is measured with a pyranometer Kipp & Zonen CM6B compliant with ISO 9060 first-class specifications.

**2.4. Satellite Irradiance Estimation CAMS.** This study also considers estimations of irradiances from Meteosat geostationary satellite observations computed by Copernicus Atmosphere Monitoring Service (CAMS) [13, 14].

The temporal resolution is one minute, hourly averaged, and the spatial resolution results from interpolation to the point of interest. Meteosat pixels have an elliptic shape, and their average diameter ranges from 3 km to 7 km depending on the viewing geometry of the satellite. The estimations are GHI, DHI, and BNI in clear sky conditions; verbose mode with all atmospheric input parameters used for clouds, aerosols, ozone, water vapor, and the surface reflective properties.  $T_{amb}$  and WS are not estimated with satellite images. We use those that are measured in ORLY weather station, if needed.

TABLE 1: Available data versus data sources.

Data source	GHI	DHI	BNI	$G_{\text{POA}}$	WS and $T_{\text{amb}}$	$T_{\text{PV}}$
SIRTA	✓	✓	✓	✓	✓	✓
ORLY	✓				✓	
CAMS	✓	✓	✓			

TABLE 2: SDM validation in STC.

Parameter	STC	Model	Relative difference
$P_{\text{MPP}}$	245.7 W	246.5 W	0.32%
$V_{\text{MPP}}$	30.7 V	30.8 V	0.26%
$I_{\text{MPP}}$	8.0 A	8.0 A	0.58%

All the installed sensors and data-logging devices for the three data sources, with their accuracy, are listed in Table 4 of Appendix A.

The available data used for this study is detailed in Table 1:

### 3. Theoretical Modeling and Model Accuracy

$G_{\text{POA}}$  and  $T_{\text{PV}}$  are needed to estimate  $P_{\text{MPP}}$ . Either they are available through in situ measurement or they should be estimated, adding up to three modeling steps (as presented in Figure 1).

All presented models are evaluated by yearly averages of data (2016, 2017, 2018, 2019, and 2020). Complete models can be found in the literature listed. All those theoretical models have been programmed using Python, an object-oriented, multiparadigm, and multiplatform programming language. All computed data are summarized in Figure 9 of Appendix B.

**3.1. Modeling Performance Indicators.** In order to compare estimations to measurements, we compute relative mean bias error (rMBE) and relative mean absolute error (rMAE), as defined in the following equations.

$$\text{rMBE} = \frac{\sum_{i=1}^N [X_{\text{calc}}(i) - X_{\text{meas}}(i)]}{\sum_{i=1}^N X_{\text{meas}}(i)} \times 100, \quad (1)$$

$$\text{rMAE} = \frac{\sum_{i=1}^N |X_{\text{calc}}(i) - X_{\text{meas}}(i)|}{\sum_{i=1}^N X_{\text{meas}}(i)} \times 100, \quad (2)$$

where  $X$  can be DHI, BNI,  $G_{\text{POA}}$ , or  $P_{\text{MPP}}$ ,  $X_{\text{calc}}$  correspond to the result of  $X$  modeling,  $X_{\text{meas}}$  is the measured value of  $X$ , and  $N$  is the number of measured (or calculated) values of  $X$ , during one year. The indicators for  $T_{\text{PV}}$  are expressed in and not normalized which would have been nonsense.

Boxplot is drawn for each rMBE and rMAE calculated. The central red mark indicates the median, and the bottom and top edges of the box indicate the 25<sup>th</sup> and 75<sup>th</sup> percentiles, respectively. The whiskers extend to the most extreme data points not considered outliers, and the outliers are plotted individually using the “+” symbol. It should be noted that the use of a boxplot to represent 5 values (5 years from

2016 to 2020) is not necessarily very relevant, but it is visually very meaningful.

In this part, the performance of each step of Figure 1 is estimated individually. Input data are in situ ground measurements from SIRTA, for all presented results in this part, as the objective is to evaluate the uncertainty of each modeling step.

**3.2. Step 1: Estimation of the Diffuse Fraction.** In this study, we consider two models to compute DHI and BNI components of the irradiance from measured GHI. This input is taken from SIRTA in situ ground measurements as the objective is to evaluate only step 1.

For Helbig model, the fraction of the diffuse irradiance is calculated from GHI using an empirical relationship, giving an estimated value of DHI and BNI [15]. The empirical coefficients taken for this study are those found in [15] because modeling performance is not enhanced by using coefficients that have been computed with real data.

Assuming that the diffuse fraction of the hourly total radiation (DHI/GHI) is strongly correlated with the hourly clearness index ( $k_T$ ), Erbs proposes an empirical relation using the combined data of four American locations [16].  $k_T$  is the ratio of the hourly global radiation to the hourly extraterrestrial radiation.

rMAE and rMBE are calculated using SIRTA in situ ground measurements of DHI and BNI compared to modeled ones using GHI also measured at SIRTA.

As can be seen in Figure 3, the dispersion is wider for the estimation of BNI. DHI is more often underestimated, whereas BNI is overestimated. Helbig models seem to perform better than Erbs, with  $\text{rMBE} = -3.1 \pm 1.1\%$  and  $\text{rMAE} = 17 \pm 0.9\%$  for the estimation of DHI and  $\text{rMBE} = 5.4 \pm 1.6\%$  and  $\text{rMAE} = 16 \pm 1.9\%$  for BNI.

**3.3. Step 2: Transposition of the Horizontal Irradiances in the Plane of Array.** To calculate  $G_{\text{POA}}$ , needed input data are GHI, DHI, and BNI solar irradiances as well as the tilt angle and the ground albedo. These three measured input are taken from SIRTA in situ ground measurements as the objective is to evaluate only step 2.

$G_{\text{POA}}$  is calculated by the sum of the beam, diffuse, and albedo irradiances in the plane of array. The beam irradiance is calculated with geometric relation between the BNI and the angle of incidence AOI (angle between the sun’s rays and the PV array). AOI can easily be computed by knowing the sun’s position (solar azimuth and zenith angles) and the array’s geometry (tilt and azimuth angles). The irradiance due to the ground albedo is calculated thanks to the view factor in front of the array, the celestial vault is assumed to be uniform, and the ground is supposed to have a

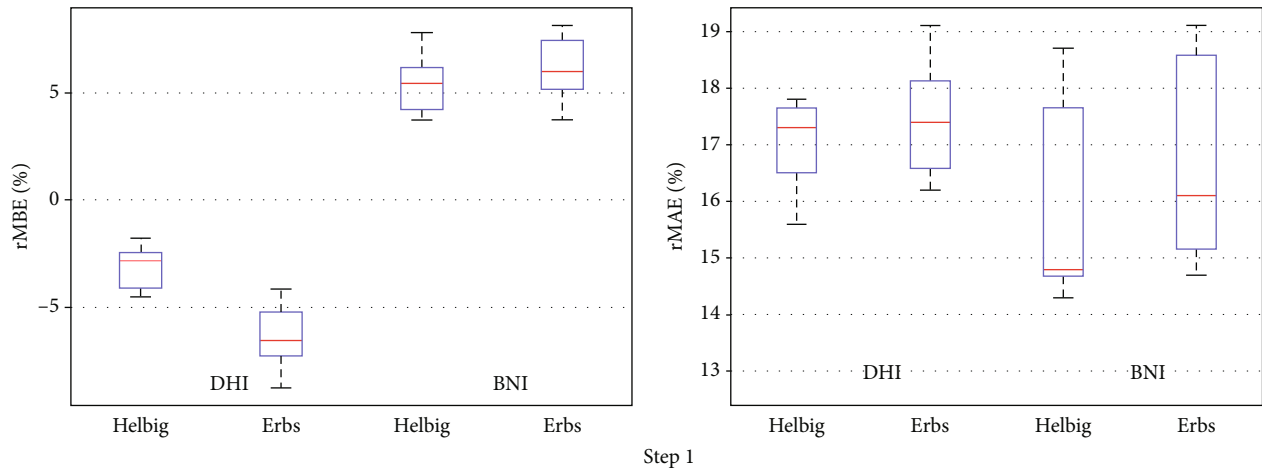


FIGURE 3: rMBE (left) and rMAE (right) of Helbig and Erbs models to calculate DHI and BNI from GHI measured at SIRTA. Computed data are summarized in Figure 9 of Appendix B.

Lambertian behavior. The coefficient of reflection of the ground is 0.2 in our case, as representative of grass.

Six models have been considered representing different ways to estimate the diffuse irradiance arriving on PV modules, as shown in Figure 1.

The isotropic sky diffuse model assumes that the solar radiosity of the celestial vault is uniformly distributed over the complete skydome [17]. It is the simplest of the tilt surface models. Under completely cloudy skies, this model is quite a good calculation. The five other models do not consider diffuse radiation as isotropic.

Klucher found that the isotropic model gave good results for overcast skies but underestimates irradiance under clear and partly overcast conditions, when there is increased intensity near the horizon and in the circumsolar region of the sky [18].

King model provides the portion of the total radiation incident on the surface that will be used by the module for energy production. It accounts for variation in the solar spectrum as a function of absolute air mass through an empirical function as well as for optical losses incurred by the angle of incidence. These two empirical functions were proposed by the Sandia Laboratory [19] and developed through outdoor testing of relevant modules [20]. Klucher modified this clear sky model by imposing a modulating factor which forces the anisotropic correction factor to approach unity under cloudy sky conditions so that the model reduces to the isotropic sky model.

Hay and Davies developed a model to predict the tilted surface diffuse radiation accounting for both circumsolar and isotropic diffuse radiation [21]. Realizing that the isotropic hypothesis becomes less accurate under clear sky conditions, they defined an anisotropy index which corresponds to a portion of the diffuse radiation to be treated as circumsolar with the remaining portion considered isotropic. The circumsolar diffuse is projected onto the tilted surface, and the remaining diffuse radiation is treated as isotropic diffuse. Under clear skies, the anisotropy index is high, and the circumsolar diffuse is more critical than the isotropic diffuse. Under cloudy skies, the anisotropy index goes to zero, and

all diffuse is treated as isotropic. This model does not account for horizon brightening diffuse radiation.

In the model of Perez et al. [22], the contribution of diffuse radiation from circumsolar, isotropic, and horizontal regions is determined by two empirically derived coefficients [23]. The empirical coefficients are based on two years of data from Carpentras and Trappes, both in France. The empirical coefficient for the diffuse radiation from circumsolar is completed by measurements realized in five U.S. locations [24]. Perez et al. approximated the horizon brightening effects by applying a correction factor to the isotropic diffuse radiation.

In the reference [25], Reindl et al. investigate the performance of five models which estimate the hourly tilted surface radiation by comparing with measured energy on six experimental sites. He proposes to apply the horizon brightening correction factor used by Perez to the isotropic term in the Hay-Davies model.

rMAE and rMBE are calculated using SIRTA in situ ground measurements of  $G_{POA}$  compared to modeled one, using GHI, DHI, and BNI also measured at SIRTA.

As can be seen in Figure 4, Klucher model best performs regarding error ( $rMSE = 4, 7 \pm 0, 6\%$ ) and also bias ( $rMSE = -0, 1 \pm 0, 4\%$ ).

**3.4. Step 3: Estimation of PV Module Temperature.** In this part, we evaluate four models to calculate  $T_{PV}$  from  $T_{amb}$ ,  $G_{POA}$ , and WS. These three inputs are taken from SIRTA in situ ground measurements as the objective is to evaluate only step 3.

The nominal operating cell temperature (NOCT) is defined as the temperature reached by open-circuited cells in a module under a  $G_{POA}$  of 800, a  $T_{amb}$  of 20 ( $T_{amb,NOCT}$ ), and a WS of 1. An approximate expression for calculating the module operating temperature is given in [26]. In our first calculations, we have considered a typical value of NOCT (48). In a second step, we fit the value of NOCT to one year of measurements (2015) using the Levenberg-Marquardt method. The obtained NOCT is equal to 45.

This model does not consider the impact of wind speed on module temperature, and we can imagine a nonproportional relation between them. This effect is included in the following

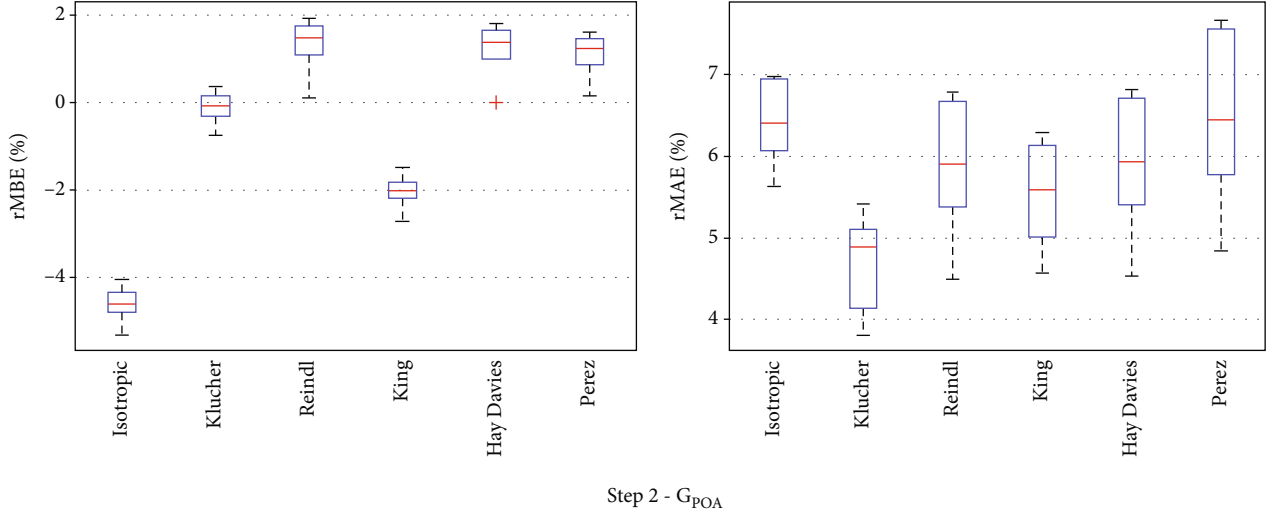


FIGURE 4: rMBE (left) and rMAE (right) of the presented models to calculate  $G_{POA}$  from GHI, DHI, and BNI measured at SIRTA. Computed data are summarized in Figure 9 of Appendix B.

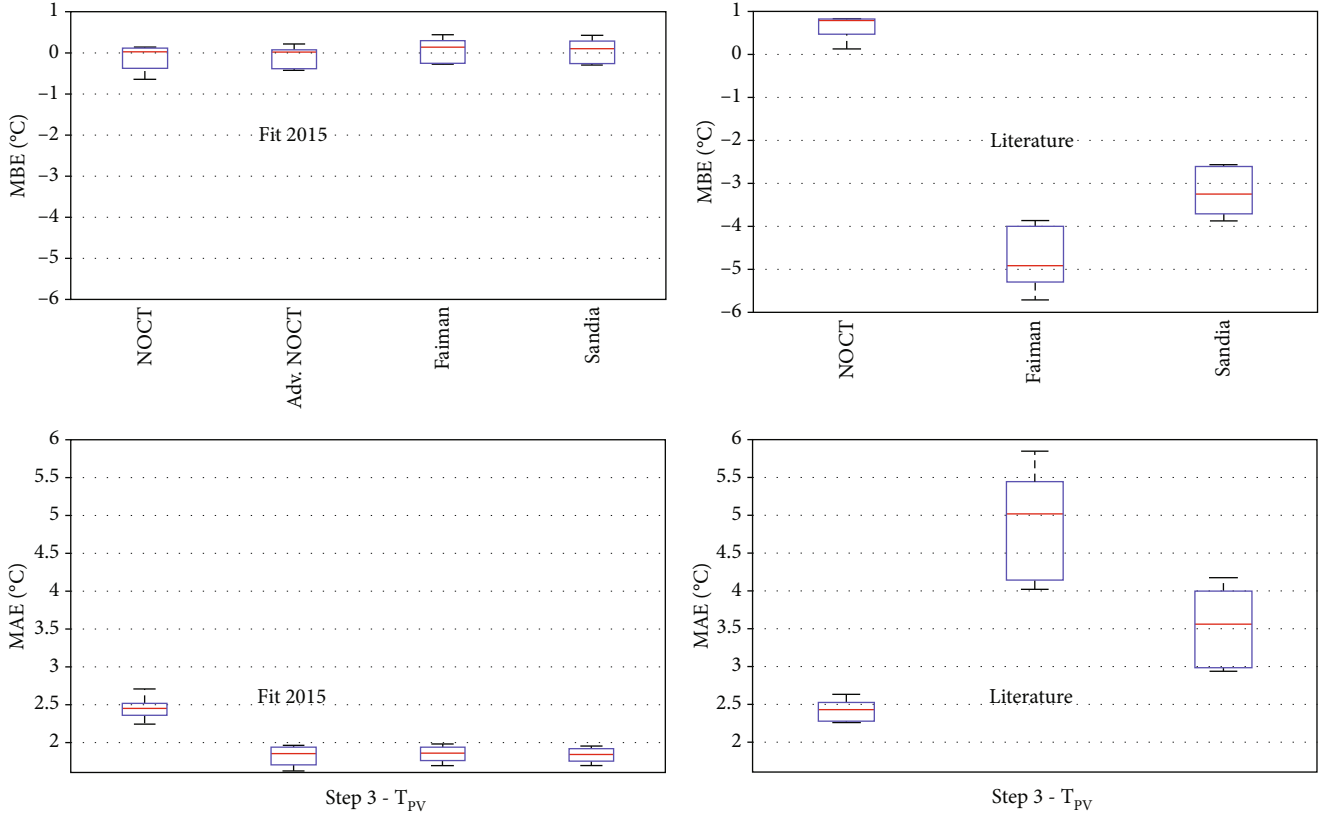


FIGURE 5: MBE (upper) and MAE (lower) of the presented models to calculate  $T_{PV}$  from GHI,  $T_{amb}$ , and WS, fitted coefficients (left) and literature coefficients (right). Computed data are summarized in Figure 9 of Appendix B.

improved NOCT formula that has been developed and named Advanced NOCT and is presented here [27]:

$$T_{PV} = T_{amb} + \frac{G_{POA,th}}{G_{POA,NOCT}} \times (a \cdot G_{POA,th} + b) \times \frac{NOCT - T_{amb,NOCT}}{1 + (WS/WS_{NOCT})^c} \quad (3)$$

For module producing electricity,  $G_{POA,th}$  is the fraction of energy converted into heat and is defined by the following equation:

$$G_{POA,th} = G_{POA} \times (1 - CE). \quad (4)$$

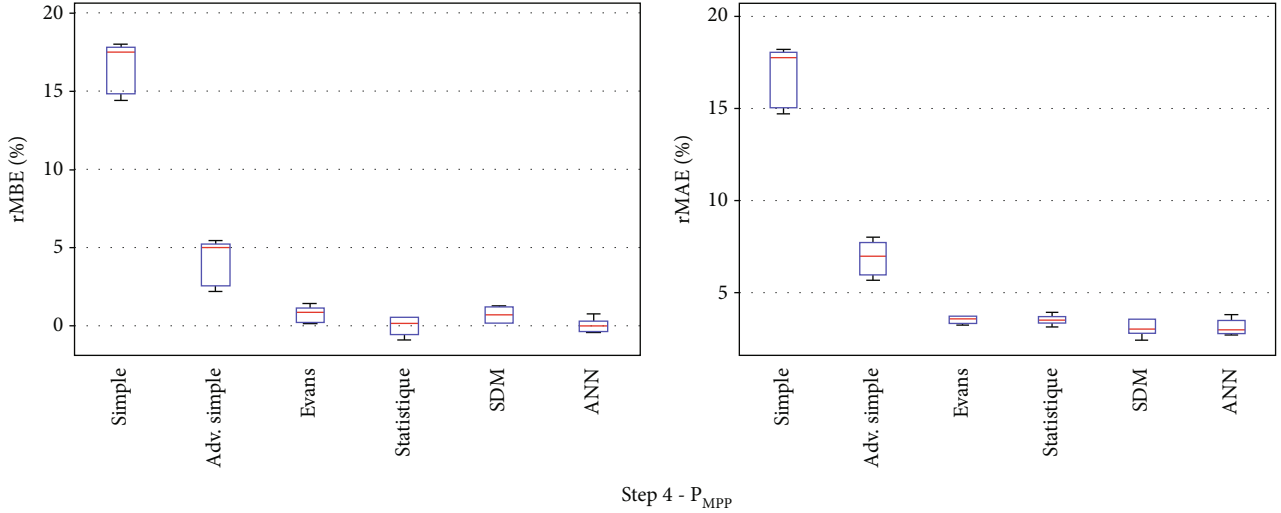


FIGURE 6: rMAE and rMBE of the presented models to calculate  $P_{MPP}$  from GHI and  $T_{PV}$ . Computed data are summarized in Figure 9 of Appendix B.

TABLE 3: Best results for each modeling step considering SIRTa in situ ground measurements. All computed data can be found in Figure 9 of Appendix B.

Step	Input	Model	Output	rMBE/MBE mean $\pm$ std	rMBE/MAE mean $\pm$ std
1	GHI	Helbig	DHI BNI	$-3.1 \pm 1.1\%$ $5.4 \pm 1.6\%$	$17.0 \pm 0.9\%$ $16.0 \pm 1.9\%$
2	GHI DHI BNI	Klucher	$G_{POA}$	$-0.1 \pm 0.4\%$	$4.7 \pm 0.6\%$
3	GHI $T_{amb}$ WS	Adv. NOCT	$T_{PV}$	$-0.1 \pm 0.3$	$1.8 \pm 0.1$
4	$G_{POA}$ $T_{PV}$	Evans	$P_{MPP}$	$0.7 \pm 0.5\%$	$3.5 \pm 0.2\%$

The coefficients  $a$ ,  $b$ , and  $c$  are obtained by fitting with the Levenberg-Marquardt method, the value of  $T_{PV}$  measured during one year (2015), with the formula given in equation (3). We found that  $a = 3.78e - 4$ ,  $b = 2.29$ , and  $c = 0.474$ . This study is in progress, in particular, to validate the location and technological universality of the proposed coefficients.

In the mid-1980s, a thermal model was developed at Sandia Laboratory for system engineering and performance modeling purposes [28]. Although rigorous, this early model has proven to be unnecessarily complex, not applicable to all PV technologies, and not easily adaptable to site-dependent influences. A simpler empirically-based thermal model was then developed at Sandia Laboratory [19]. This simple model has proven to be very adaptable and entirely adequate for system engineering and design purposes by providing the expected module operating temperature with an accuracy of about  $\pm 5$ . The empirical coefficients establish the upper limit for module temperature at low wind speeds and high solar irradiance, and the rate at which  $T_{PV}$  drops as WS increases, respectively. To evaluate this model, we firstly consider parameter values proposed by the authors ( $a = -3.47$ ,  $b = -$

0.0594). In a second step, we fit these coefficients to one year of measurements (2015) using the Levenberg-Marquardt method. The obtained coefficients are  $a = -3.1398$ ,  $b = -0.305$ .

Faiman presented a module temperature model [29] based on simple heat transfer concepts. To evaluate this model, we firstly consider empirical coefficient values proposed by the authors ( $U_0 = 25.0$ ,  $U_1 = 6.84$ ). In a second step, we fit these coefficients to one year of measurements (2015) using the Levenberg-Marquardt method. The obtained coefficients are  $U_0 = 21.78$ ,  $U_1 = 9.86$ . Given the coefficients obtained for these last three models, Adv. NOCT is more sensitive to WS than the others.

MAE and MBE are calculated using SIRTa in situ ground measurements of  $T_{PV}$  compared to modeled one using  $G_{POA}$ ,  $T_{amb}$ , and WS also measured at SIRTa.

As shown in Figure 5, the best performance is not obtained for coefficients taken directly from literature. Moreover, if you can do a data fitting during one year, all the four proposed methods have almost the same performance. For the rest of the study, we will choose the Adv



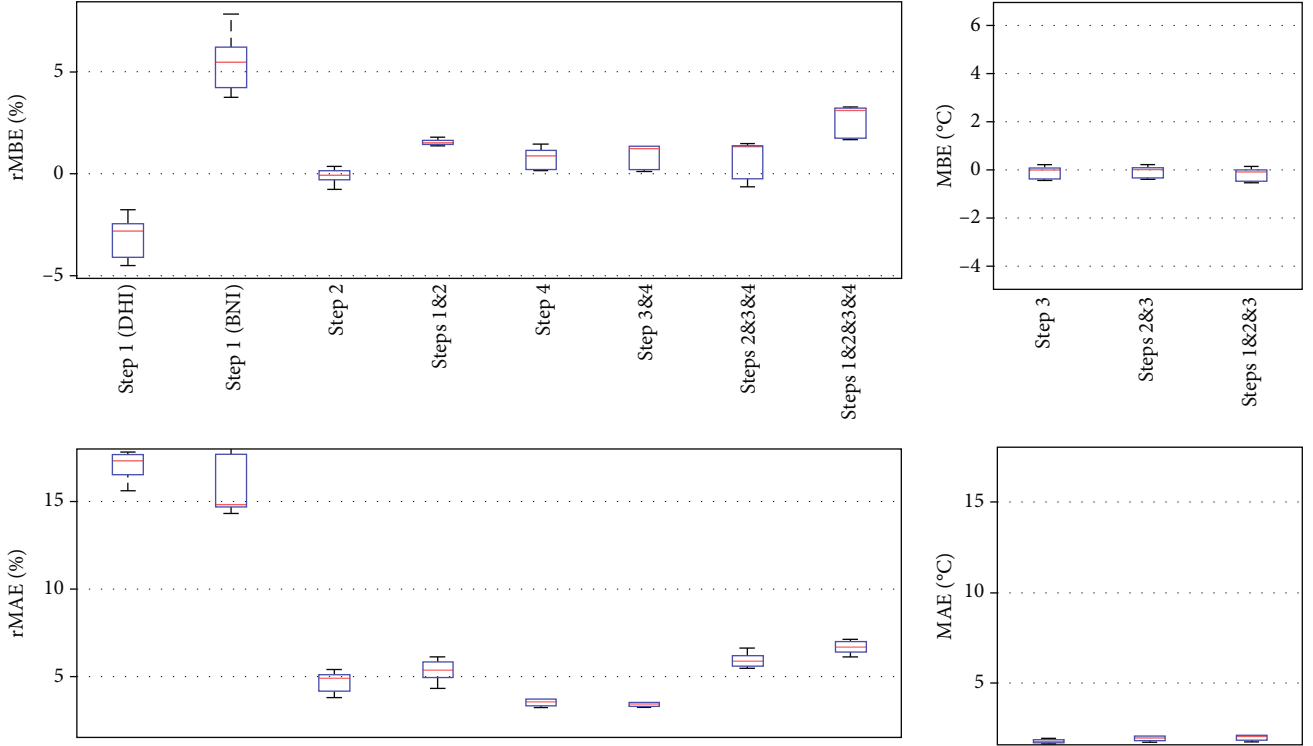


FIGURE 7: Modeling error estimation in each step of the simulation process for SIRTA measurements. The models are Helbig (step 1), Klucher (step 2), Adv. NOCT (step 3), and Evans (step 4). Computed data can be found in Figure 10 of Appendix C.

NOCT model because according to the coefficients found, it is a little more sensitive to WS. Its accuracy is  $MBE = -0.1 \pm 0.3$  and  $MAE = 1.8 \pm 0.1$ .

**3.5. Step 4: Photoelectric Conversion Models.** In this study, we consider six different photoelectric conversion models [30] to calculate  $P_{MPP}$  from  $G_{POA}$  and  $T_{PV}$ . These two inputs are taken from SIRTA in situ measurements as the objective is to evaluate only step 4. Soiling effect and aging had not been taken into account.

The single point efficiency model, named here simple model, considers a constant conversion efficiency (CE) measured by the manufacturer during a flash test in standard test conditions (STC) [31]. For the studied PV module,  $CE_{STC} = 15.28\%$ .

We have improved this model by considering the conversion efficiency equal to the average measurements during 2015:  $CE_{2015} = 13.65\%$ .

Evans model is a physics-based model that considers the linear variation of  $CE_{STC}$  with module temperature and its exponential decreasing with the low light effect [32]. The temperature coefficient of the power is taken equal to  $-0.48\%$  from the manufacturer datasheet and the low light effect coefficient is  $\gamma = 0.12$ , as advised by Evans in his article [32], for a crystalline silicon PV module.

The statistical model does not need internal information from the system to describe its performance. It is a data-driven approach that can extract relations on past historical data of couples  $(G_{POA}, T_{PV})$  to predict the future behavior of the PV module ( $P_{MPP}$ ) [33]. For a given hour  $h$ , in the year  $N$

, the statistical model looks for similar atmospheric conditions in 2015, that is to say, the ensemble of points  $h_i \cap h_j$  respecting the following two conditions:  $G_{POA}(2015, h_i) = G_{POA}(N, h) \pm I_G$  and  $T_{PV}(2015, h_j) = T_{PV}(N, h) \pm I_T$ . The algorithm starts with  $I_G = 0$  and  $I_T = 0$ . If the ensemble of common points in illumination and temperature ( $h_i \cap h_j$ ) is empty,  $I_G$  and  $I_T$  intervals increase gradually by 5 compared to the target conditions, with a limit of 50. If several points in this ensemble are found, the given  $P_{MPP}$  at the output of the model is the average. Thus, the quality of the historical data is essential for an accurate simulation of this statistical model.

The single-diode electrical model (SDM) with 4 parameters is based on the Shockley diode equation [34], with a current source to model the photo-current, a single-diode junction, and a series resistance to model the contact losses. The photocurrent depends on  $T_{PV}$  and  $G_{POA}$ , and the diode saturation current and the series resistance are temperature dependent. The ideality factor of the diode is constant. All the parameters used in the above equation are determined by fitting the manufacturer flash test, and the STC modeling is compared in Table 2.

This 4-parameter SDM is more straightforward than the 5-parameter one and gives quite a good simulation accuracy.

The artificial neural network (ANN) was built using the feed-forward neural network structure with a weighted linear combination and sigmoid function [35, 36]. The architecture chosen is one output ( $P_{MPP}$ ), two inputs ( $G_{POA}$  and  $T_{PV}$ ), and one hidden layer. The training period was one year (2015), of which 2/3 of the data were used for the

TABLE 4: Equipment and sensors used for all the presented measurements.

Param.	Manufact.	Model	Std	Accuracy	Meas. uncert.
PV module level measurements					
$P_{MPP}$	Agilent	6060B	IEC 60891	Electronic load: $\pm 0.1\%$ Voltmeter: $0.1\% \pm 300$ mV Ammeter: $0.1\% \pm 350$ mA	$\pm 7\%$
$T_{PV}$	TC	578-093 Class A	IEC 60751	$[-20^\circ\text{C}; +100^\circ\text{C}]: \pm 0.15^\circ\text{C}$ $100.00 \pm 0.06\Omega$ at $0^\circ\text{C}$	$\pm 0.4^\circ\text{C}$ $ T_{\text{cell}} - T_{PV}  \leq 1^\circ\text{C}$
$G_{POA}$	Hukseflux Tektronix	SR01 2 <sup>nd</sup> class 3706A	ISO 9060	$\pm 20\%$ in hourly totals DC voltmeter: $\pm 0.0025\%$	
Atmospheric in situ measurements (SIRTA)					
GHI	Kipp & Zonen	CM22 2ry std	ISO 9060	Daily uncertainty $\leq 1\%$	
BNI	Kipp & Zonen	CHP1 2ry std	ISO 9060	Daily uncertainty $\leq 1\%$	
$T_{\text{amb}}$	Guilcor	Pt100 Class B	IEC 60751	$[-100^\circ\text{C}; +100^\circ\text{C}]: \pm 0.15^\circ\text{C}$ $100.00 \pm 0.06\Omega$ at $0^\circ\text{C}$	$\pm 0.4^\circ\text{C}$
WS	Vector	A100R		$[0.3$ m/s; $10$ m/s]: $0.1$ m/s	
Atmospheric measurements from nearby weather station (ORLY)					
GHI	Kipp & Zonen	CM6B 1 <sup>nd</sup> class	ISO 9060	Daily uncertainty $\leq 5\%$	
$T_{\text{amb}}$		Pt100 Class B	IEC 60751	$[-100^\circ\text{C}; +100^\circ\text{C}]: \pm 0.15^\circ\text{C}$ $100.00 \pm 0.06\Omega$ at $0^\circ\text{C}$	$\pm 0.4^\circ\text{C}$
WS		Class B		$10\%$ or $0.3$ m/s	
Geostationary satellite observations (CAMS)					
GHI, DHI, BNI	CAMS			Pixel diameter $\approx 4.5$ km	

training and 1/3 for the validation. Dataset for the test is each year from 2016 to 2020.

rMBE and rMAE are calculated using SIRTA in situ measurements of  $P_{MPP}$  compared to modeled one using  $G_{POA}$  and  $T_{PV}$  also measured at SIRTA.

As can be seen in Figure 6, except for simple model, all of them have almost the same performance. SDM can be improved by either implement the 5-parameter SDM or better taking into account the dependence of the parameters with the temperature. The double-diode model could also be used to be more accurate at low irradiances. Moreover, SDM is tricky to tune because parameters are not given in the datasheet, and flash tests are not always available. Statistical and ANN needs a historical dataset to train the model. For these reasons, we decided to select the Evans model as the best for the following study, with  $rMBE = 0.8 \pm 0.5\%$  and  $rMAE = 3.5 \pm 0.2\%$ .

To summarize, this theoretical study shows that best performances are obtained, for each step, by using the Helbig model for step 1, the Klucher model for step 2, the Adv. NOCT model for step 3, and the Evans model for step 4 (see Figure 1). The modeling step with less accuracy is step 1. All those results are gathered in Table 3.

#### 4. Results: Error in the Simulation of the $P_{MPP}$ from Step to Step

In this section, we firstly study the uncertainty of each successive step, just for SIRTA in situ ground-based measurements, in order to evaluate the propagation of the error and the most uncertain simulation stem.

In the second part, we compare different ways to reach an estimation of  $P_{MPP}$ , with decreasing the difficulty of accessing data (that is to say, from SIRTA to ORLY and CAMS input data) and increasing the number of modeling steps (from only step 4 to all steps 1 to 4), as we can see in Figure 1.

*4.1. Error Propagation in the Simulation of  $P_{MPP}$  from Step to Step.* First, let us study the uncertainty of each step, just for SIRTA in situ ground-based measurements, in order to evaluate the propagation of the error and the most uncertain one. Figure 7 summarizes and completes what has been obtained in previous sections. All computed data can be found in Figure 10 of Appendix C.

First of all, this study confirms that the most uncertain step is the first one, to estimate the diffuse fraction (17.0%). Nevertheless, if step 2 (4.7%) is associated to step 1, having in mind

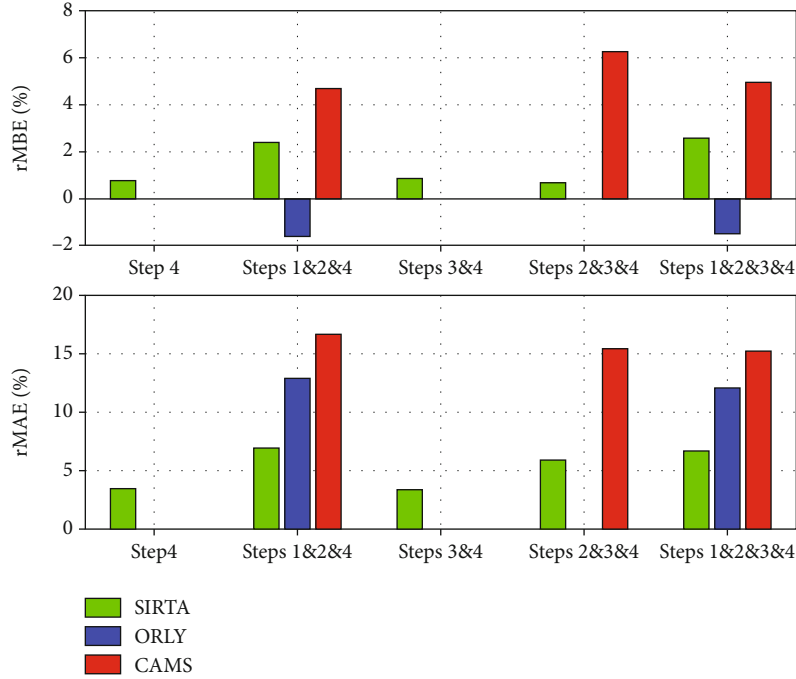


FIGURE 8: Modeling mean annual rMBE (top) and mean annual rMAE (bottom) of the whole simulation process as a function of the accessibility of the data sources (SIRTA, ORLY, and CAMS). The models are Helbig (step 1), Klucher (step 2), Adv. NOCT (step 3), and Evans (step 4).

that the critical variable is  $G_{\text{POA}}$  and not DHI, the error decreases down to 5.3%. This error is of the same order of magnitude as the precision of measuring instruments.

The estimation of  $T_{\text{PV}}$  is not a critical step. The associated uncertainty is lower than 2 (right part of Figure 7). This error must be separated from that of the other steps because they are not of the same type. Moreover, the impact of the  $T_{\text{PV}}$  modeling error does not linearly impact the modeling error of  $P_{\text{MPP}}$ .

In addition, the estimation of  $T_{\text{PV}}$  from environmental measurements is not critical in the simulation of  $P_{\text{MPP}}$  because the error whatever  $T_{\text{PV}}$  is measured (step 4 or steps 1 and 2 and 4) or estimated (steps 3 and 4 or steps 1 and 2 and 3 and 4) remains around 3.5% or 6.9%. On the other hand, the computation of  $G_{\text{POA}}$  has a very strong sensitivity on the simulation of  $P_{\text{MPP}}$ , confirming what has already been said before. The error goes from 3.5% with  $G_{\text{POA}}$  measured (step 4 or steps 3 and 4) to 6.9% when it is calculated (steps 1 and 2 and 4 or steps 1 and 2 and 3 and 4).

Moreover, we can see with this study that the errors almost accumulate, if steps 1 and 2 are considered together: the total error in  $P_{\text{MPP}}$  modeling (steps 1 to 4) is 6.7%, and the mean squared error of steps 1 and 2 and step 4 is 6.4%.

**4.2. Error in the Simulation of  $P_{\text{MPP}}$  according to Data Source.** In this part, different ways to reach an estimation of  $P_{\text{MPP}}$ , with decreasing the difficulty of accessing data (that is to say, from SIRTA to ORLY and CAMS input data) and increasing the number of modeling steps (from only step 4 to all steps 1 to 4) are studied, as can be seen in Figure 1.

Figure 8 presents the results of the global simulation, for all the data sources. The chosen models are the same as for

the previous study. The differences between all the simulations are the data sources (in situ ground-based measurements SIRTA, ORLY weather station ground-based measurements, and CAMS satellite-based estimations).

This figure shows whatever the data sources, and the trends are the same: (i) modeling  $P_{\text{MPP}}$  with GHI is enough to have good performance compared to using GHI, DHI, and BNI; (ii)  $T_{\text{PV}}$  modeling hardly generates any error in the estimation of  $P_{\text{MPP}}$ .

Moreover, the less accessible the data are, the more accurate the simulation is. The best results are obtained for SIRTA in situ ground-based measurements with a rMAE of 6.7% for the whole simulation chain. Then, the nearest weather station ORLY (12.1%) and the worst are given by CAMS satellite images estimations (15.2%). This is mainly due to the fact that ground-based measurements are not biased but satellite-based estimations are. It can be explained by, on the one hand, the pixels size of the satellite images, and also, on the other hand, the uncertainties related to the estimation of GHI, DHI and BNI, mostly in overcast conditions.

## 5. Conclusion

In this paper, we have studied, step by step, the simulation process of the PV DC energy production, with a special focus on the calculation of the uncertainty.

Four steps compose the simulation chain, and basic and well-known models were considered.

- (1) Step 1  $\rightarrow$  from GHI to GHI, DHI, and BNI: 2 models



Input	Source	Steps	Year	rMBE	rMAE	Mean rMBE	std rMBE	Mean rMAE	std rMAE
GHI, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2016	1,74	6,49	2,602	0,804	6,663	0,394
GHI, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2017	1,71	6,67				
GHI, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2018	3,22	7,12				
GHI, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2019	3,08	6,10				
GHI, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2020	3,26	6,92				
GHI, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2016	-1,71	16,32	-1,465	1,352	12,089	6,984
GHI, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2017	-2,87	15,80				
GHI, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2018	0,38	14,57				
GHI, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2019	-1,67	1,67				
GHI, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2020						
GHI, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2016	9,35	18,40	4,959	2,554	15,164	6,984
GHI, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2017	4,21	16,42				
GHI, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2018	4,51	14,78				
GHI, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2019	4,06	12,73				
GHI, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2020	2,67	13,49				
GHI, TPV	SIRTA	✓ ✓ ✓ ✓	2016	1,79	6,94	2,407	0,670	6,947	0,447
GHI, TPV	SIRTA	✓ ✓ ✓ ✓	2017	1,61	7,00				
GHI, TPV	SIRTA	✓ ✓ ✓ ✓	2018	2,81	7,37				
GHI, TPV	SIRTA	✓ ✓ ✓ ✓	2019	2,69	6,21				
GHI, TPV	SIRTA	✓ ✓ ✓ ✓	2020	3,13	7,22				
GHI, TPV	ORLY	✓ ✓ ✓ ✓	2016	-1,52	17,52	-1,588	1,117	12,894	7,538
GHI, TPV	ORLY	✓ ✓ ✓ ✓	2017	-2,95	16,84				
GHI, TPV	ORLY	✓ ✓ ✓ ✓	2018	-0,22	15,57				
GHI, TPV	ORLY	✓ ✓ ✓ ✓	2019	-1,65	1,65				
GHI, TPV	ORLY	✓ ✓ ✓ ✓	2020	NaN	NaN				
GHI, TPV	CAMS	✓ ✓ ✓ ✓	2016	9,76	19,88	4,655	2,937	16,558	7,538
GHI, TPV	CAMS	✓ ✓ ✓ ✓	2017	3,90	17,89				
GHI, TPV	CAMS	✓ ✓ ✓ ✓	2018	3,82	16,15				
GHI, TPV	CAMS	✓ ✓ ✓ ✓	2019	3,62	14,20				
GHI, TPV	CAMS	✓ ✓ ✓ ✓	2020	2,18	14,67				
GHI, DHI, BNI, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2016	-0,13	5,65	0,676	0,992	5,922	0,443
GHI, DHI, BNI, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2017	-0,65	6,01				
GHI, DHI, BNI, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2018	1,48	6,62				
GHI, DHI, BNI, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2019	1,34	5,46				
GHI, DHI, BNI, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2020	1,34	5,87				
GHI, DHI, BNI, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2016			6,256	2,651	15,380	NaN
GHI, DHI, BNI, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2017						
GHI, DHI, BNI, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2018						
GHI, DHI, BNI, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2019						
GHI, DHI, BNI, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2020						
GHI, DHI, BNI, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2016	10,80	18,80	6,256	2,651	15,380	NaN
GHI, DHI, BNI, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2017	5,90	16,80				
GHI, DHI, BNI, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2018	5,72	15,00				
GHI, DHI, BNI, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2019	4,87	12,90				
GHI, DHI, BNI, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2020	3,99	13,40				
GPOA, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2016	0,11	3,36	0,849	0,630	3,420	0,135
GPOA, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2017	0,21	3,52				
GPOA, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2018	1,23	3,53				
GPOA, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2019	1,35	3,21				
GPOA, Tamb, WS	SIRTA	✓ ✓ ✓ ✓	2020	1,34	3,48				
GPOA, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2016			0,849	0,630	3,420	0,135
GPOA, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2017						
GPOA, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2018						
GPOA, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2019						
GPOA, Tamb, WS	ORLY	✓ ✓ ✓ ✓	2020						
GPOA, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2016			0,849	0,630	3,420	0,135
GPOA, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2017						
GPOA, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2018						
GPOA, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2019						
GPOA, Tamb, WS	CAMS	✓ ✓ ✓ ✓	2020						
GPOA, Tpv	SIRTA	✓ ✓ ✓ ✓	2016	0,24	3,34	0,751	0,545	3,509	0,214
GPOA, Tpv	SIRTA	✓ ✓ ✓ ✓	2017	0,16	3,71				
GPOA, Tpv	SIRTA	✓ ✓ ✓ ✓	2018	0,87	3,55				
GPOA, Tpv	SIRTA	✓ ✓ ✓ ✓	2019	1,04	3,22				
GPOA, Tpv	SIRTA	✓ ✓ ✓ ✓	2020	1,34	3,71				
GPOA, Tpv	SIRTA	✓ ✓ ✓ ✓	2016			0,751	0,545	3,509	0,214
GPOA, Tpv	ORLY	✓ ✓ ✓ ✓	2017						
GPOA, Tpv	ORLY	✓ ✓ ✓ ✓	2018						
GPOA, Tpv	ORLY	✓ ✓ ✓ ✓	2019						
GPOA, Tpv	ORLY	✓ ✓ ✓ ✓	2020						
GPOA, Tpv	CAMS	✓ ✓ ✓ ✓	2016			0,751	0,545	3,509	0,214
GPOA, Tpv	CAMS	✓ ✓ ✓ ✓	2017						
GPOA, Tpv	CAMS	✓ ✓ ✓ ✓	2018						
GPOA, Tpv	CAMS	✓ ✓ ✓ ✓	2019						
GPOA, Tpv	CAMS	✓ ✓ ✓ ✓	2020						

FIGURE 10: Computed rMBE and rMAE for SIRTA in situ ground-based measurements, ORLY weather station ground-based measurements, and CAMS satellites images, for each modeling step. Colors are performance indicators (from green for the best to red for the worse).

## Appendix

### A. Annex 1 Data acquisition equipment and sensors (Table 4).

### B. Annex 2 Computed data used in Section 2 (Figure 9).

### C. Annex 3 Computed data used in Section 3 (Figure 10).

## Abbreviations

AOI:	Angle of incidence
BNI:	Hourly beam (direct) normal irradiance ( $\text{Wm}^{-2}$ )
CE:	Conversion efficiency
DHI:	Hourly diffuse horizontal irradiance ( $\text{Wm}^{-2}$ )
GHI:	Hourly global horizontal irradiance ( $\text{Wm}^{-2}$ )
$G_{\text{POA}}$ :	Hourly global plane of array irradiance ( $\text{Wm}^{-2}$ )
MAE:	Mean absolute error
MBE:	Mean bias error
NOCT:	Nominal operating cell temperature ( $^{\circ}\text{C}$ )
$P_{\text{MPP}}$ :	Hourly power at the maximum power point (W)
$T_{\text{PV}}$ :	Hourly PV module temperature ( $^{\circ}\text{C}$ )
$T_{\text{amb}}$ :	Hourly ambient temperature ( $^{\circ}\text{C}$ )
WS:	Hourly wind speed ( $\text{ms}^{-1}$ )
$k_T$ :	Hourly clearness index
rMAE:	Relative mean absolute error
rMBE:	Relative mean bias error
ANN:	Artificial neurons network
BSRN:	Baseline surface radiation network
CAMS:	Copernicus atmosphere monitoring service
ORLY:	Ground-based measurements weather station
PV:	Photovoltaic
PVUSA:	Photovoltaics for utility scale applications
SDM:	Single-diode electrical model
SIRTA:	Site Instrumental de Recherche par Télédétection Atmosphérique (Atmospheric Research Observatory, in English)
STC:	Standard test conditions.

## Data Availability

Atmospherical data are available with free access: -from satellite images: <http://www.soda-pro.com/web-services/radiation/cams-radiation-service-from-meteorological-weather-stations>: <https://donneespubliques.meteofrance.fr/>-from in situ measurements: <https://bsrn.awi.de>. Photovoltaic data used to support the results of this study are available from the corresponding author upon request.

## Conflicts of Interest

The authors declare that they have no conflicts of interest.

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