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Modulated Wideband Converter Compressed Sensing Spectrum Reconstruction in Multi-Level Power of Transmitters Signal Scenarios

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Abstract—This paper studies the impacts of transmitters power levels on spectrum reconstruction performances in order to monitor a wideband spectrum. A sub-Nyquist blind sampling scheme based on the Modulated Wideband Converter (MWC) has been considered to achieve this task. Reconstruction performances are evaluated in simulation in terms of correct reconstruction and false alarm rates by studying several multi-level power scenarios. The study shows that the performance of reconstruction depends on the In-Band Signal-to-Noise ratio (IBSNR) of each transmitter. Based on these results, a threshold of In-Band Signal-to-Noise ratio to ensure the correct reconstruction is proposed.

I. INTRODUCTION

In context of cognitive radio, the limitation of frequency resources motivates the studies on frequency sharing [1], [2]. To not affect the primary (licensed) users, the secondary users must know whether the frequency band is vacant. A very common way to detect the present of power spectrum at licensed band is to apply an energy detector, since it is low complexity, however it is sensitive to noise level [3]. Another possible method is considered as spectrum sensing, which can detect multiple power level of primary users and secondary users [4], [5]. A problem of spectrum sensing that needs to be taken in account is the sensed bandwidth. In some applications, the sensed bandwidth may go beyond several GHz. Consequently, the limited sampling rate and limited input bandwidth of a conventional Analog-to-Digital Converter (ADC) will be a constraint for spectrum sensing. In the literature, the sub-Nyquist sampling methods such as Random Modulator [6], [7], Multicoset Sampling [8], [9] or Modulated Wideband Converter (MWC) [10]–[12] are seen as a premise to deploy spectrum sensing into practice. In [13], a multicoset based on Compressed Sensing (CS) [14], [15] is proposed to estimate the power spectral density, at sub-Nyquist sampled wide sense-stationary. To the best of our knowledge, the MWC seems to be the most convenient scheme in terms of practical implementation, since it has been deployed by different prototypes and analog boards [11], [16], [17].

In practice, primary users use multiband spectra with different parameters of interest, such as power, bandwidth or modulation. The MWC has potential of wideband spectrum sensing and

multiband detection in real-time, which benefits on practical implementation than other schemes. As a result, it comes up with some practical applications in cognitive radio [18], [19], and fast spectrum sensing and receiver flexibility in spectrum sensing applications [20] and meet the LTE specifications [21].

The MWC has proved its efficiency to monitor multiband spectra, such as Phase Shift Keying modulation scenario [16] or rectangular shapes [10] with different energy ratios. However, the authors do not focus on the detection sensibility of transmitter with low power level. For example, in cognitive radio, the low power signal detection could bring benefit. In case of frequency sharing, an idle band can be occupied by a secondary user with very weak signal strength, to without affects the primary user. A spectrum sensing system can verify the presence of the secondary user. The attempt to detect very low power level transmitter contributes to the study of fading for both primary and secondary users [22], [23]. To balance the fading in cognitive radio, the primary user can increase its performance if the channel information is known, especially the SNR. Consequently, the motivation of this paper is to study the impacts of amplitude level ratio between transmitters on spectrum reconstruction performances (correct reconstruction and false alarm rates) and propose a threshold based on our simulations which ensure high reconstruction performances.

This paper is organized as follows. Section II presents the background of the MWC. In Section III, several multi-level power scenarios are studied by comparing In-Band Signal-to-Noise Ratio of all transmitters (called global in-Band SNR and noticed $IBSNR_G$) and In-Band SNR of each transmitter taken separately (noticed $IBSNR_{IT}$). Then, the evaluation of the simulation results based on the correct reconstruction rate and false alarm rate according to the proposed scenarios is presented.

II. MODULATED WIDEBAND CONVERTER

A. Physical scheme and operation description

The MWC physical scheme [12] is shown in Fig. 1. A wideband signal $x(t)$ comprises multiband, but sparse and unknown carrier frequency. The signal $x(t)$ is considered as

an input of the MWC scheme. This scheme consists of M physical channels, each has one mixer, one lowpass filter and one ADC. The mixer with mixing sequence $p_i(t)$ is to shift all spectra of $x(t)$ into baseband. The mixing sequence $p_i(t)$ can be any sequence in communications such as Gold [24], Kasami [25] or random sequences with the repetition frequency F_p . The lowpass filter keeps the signal only in baseband bandwidth $[-F_s/2; F_s/2]$, with cutoff frequency $F_c = F_s/2$. Then, the sampling rate of ADC will be chosen F_s for convenience.

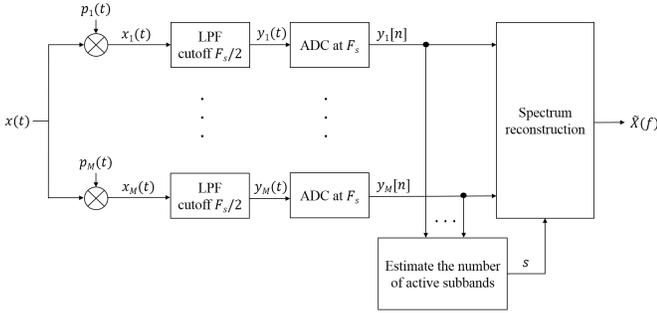


Fig. 1: The MWC scheme and processing stages.

The output of the mixer is

$$x_i(t) = p_i(t)x(t), \quad (1)$$

and the output of lowpass filter $y_i(t)$ as

$$y_i(t) = h(t) * x_i(t), \quad (2)$$

where $h(t)$ the ideal lowpass filter transfer function. At last, the conversion output reads as

$$y_i[n] = y_i(nT_s), \quad (3)$$

where T_s the sampling period. For further development, consider that the Nyquist bandwidth is divided into L subbands, then the relationship between Nyquist frequency and mixing frequency is $L = F_{Nyq}/F_p$. It means that each subband has F_p bandwidth. The active subband l which comprises the input spectrum will be detected among L subbands ($L = 2L_0 + 1$ and $-L_0 \leq l \leq L_0$) by the MWC scheme and Compressed Sensing technique [14], [15].

The mixing sequences are generated by pseudo-random sequences. At the mixer, there will be one transmitter to be shifted in the baseband of each MWC physical channel. Thus, the more number of MWC physical channels there are, the more transmitters will be detected. In practice, the number of MWC physical channels cannot be high, due to the exponential increase cost of analog components such as mixer, lowpass filter and ADC. Consequently, a collapsing factor q is introduced to overcome this problem [12]. Instead of M physical channels, it can be considered as $q \times M$ collapsed channels. With M channels, the sampling rate is F_s , thus with $q \times M$ channels, the sampling rate can be considered F_p , by $q = F_s/F_p$. This assumption can help to extend virtually the number of MWC channels.

B. Number of active subbands estimation

In Fig. 1, before reconstruction, the number of active subbands s needs to be estimated. The output of the MWC $y_i[n]$ ($1 \leq i \leq M$) has L sub-Nyquist samples, it can be formed to a $M \times N$ matrix \mathbf{y} output, or $qM \times L$ matrix \mathbf{y} if collapsing factor q is used.

The number of active subbands is estimated based on the singular values of matrix $\mathbf{y} \in \mathbb{P}^{M \times L}$, or the autocorrelation matrix eigenvalues. The active subbands s is related to the variation of these values. Then, there are s non-zero eigenvalues. In the simulation of this paper, the number of active subbands s is estimated by two discriminant functions [26].

C. Spectrum reconstruction

The mixing sequences $p_i(t)$ is used to form a sensing matrix $(\mathbf{P})_{il} = p_{il}$ [10], where $p_{il} = F_p \int_{-T_p/2}^{T_p/2} p_i(t) e^{-j2\pi l F_p t} dt$ are the Fourier coefficients obtained from $p_i(t)$. The Fourier transform of the input signal (multi-subband) in the l^{th} subband is denoted $z_l[n]$ with $l \in [1, L]$. Thus, the MWC system equation with a MWC output and a sensing matrix is $\mathbf{y} = \mathbf{P}\mathbf{z}$, with $\mathbf{P} \in \mathbb{C}^{qM \times L}$ [11] [12]. Fig. 2 shows an illustration of the system equation.

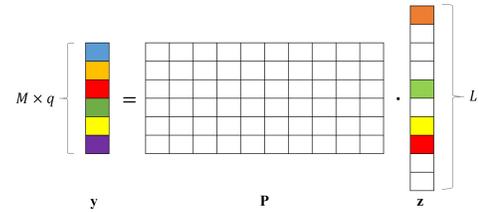


Fig. 2: Illustration of the system equation.

In Compressed Sensing, a main condition needed to be respected is $s \leq Mq - 1$, with s the number of active subbands or the non-zero elements in \mathbf{z} . This condition is called sparsity because the matrix size of \mathbf{y} ($q \times M$) has to be smaller than the size of input \mathbf{z} (L elements) and there is a few number of s . The reconstruction step is to look for \mathbf{z} from \mathbf{y} . In our simulation, a greedy algorithm Orthogonal Matching Pursuit (OMP) [27] is applied to reconstruct the input signal.

III. MULTIPLE POWER LEVELS SENSING AND RECONSTRUCTION

For the rest of the paper, the MWC parameters are chosen as $M = 4$, $L = 96$, $q = 7$ and $F_{Nyq} = 1$ GHz. Each transmitter is a band-limited white noise and has the same bandwidth $B = 2F_p/3 = 7$ MHz. The central frequency of each transmitter has been randomly generated between $[\frac{B}{2}; \frac{F_{Nyq}}{2} - \frac{B}{2}]$, i.e. [3.5;496.5] MHz. To respect the sparsity condition, the maximum number of symmetric transmitter must be equal to $N_{t_{max}} = \lfloor \frac{Mq-1}{4} \rfloor = 6$ (by assuming that each transmitter can locate astride two channels of the equivalent model).

The spectrum is contaminated by a wideband additive Gaussian noise at different SNR. The SNR is defined into two

categories, a SNR in all active subbands (or so-called global SNR in-band noticed IBSNR_G) and a SNR in the whole Nyquist bandwidth noticed SNR_{Nyq} . Then, the relationship between the two categories of SNR can be seen as

$$\begin{aligned} \text{IBSNR}_G &= \text{SNR}_{\text{Nyq}} + 10 \log \left(\frac{F_{\text{Nyq}}}{2 \times N_t \times B} \right) \\ &= \text{SNR}_{\text{Nyq}} + 10 \log \left(\frac{3 \times L}{4 \times N_t} \right) \end{aligned} \quad (4)$$

with N_t the number of transmitters and $B = 2F_p/3$. The number of transmitters is fixed to 6.

The low SNR_{Nyq} will make the active subbands to be aliased by the noise. It leads to the overestimation due to the unexpected high power in active subbands and difficult to determine the number of active subbands s or number of transmitters N_t . Another factor that needs to be taken into account is the SNR in each transmitter bandwidth (not global SNR), this factor impacts directly to the reconstructions and in the next section, corresponding to each global In-Band SNR value IBSNR_G , the In-Band SNR in each transmitter bandwidth will be figured out.

Moreover, the correct reconstruction rate [28] (resp. false alarm rate) is estimated by $P_c = \frac{\%(B_r \cap B_d)}{\%B_r}$ (resp. $P_f = \frac{\%(B_d \setminus B_r) \cap \bar{B}_r}{1 - \%B_r}$), where B_r the real active subbands from input signal and B_d the detected active subbands. It should be noted that for each simulation scenario, 2000 trials have been tested to compute the reconstruction and false alarm rates.

To study the impact of transmitter power levels, with this configuration of the MWC, four scenarios are considered. In the first scenario, all six transmitters have the same power level. The second scenario, assume that one pair of transmitters has greatest power, then the second pair of transmitters has 75% level of the first pair, and the third pair has 50% level of the first pair. Third scenario, we assume that the second pair has 50% level of the first pair, and the third pair has only 25% level of the first pair. In the last scenario, 6 transmitters have different power levels and reduce gradually Table I summarizes these scenarios.

	P_1	P_2	P_3	P_4	P_5	P_6
Scenario 1	1	1	1	1	1	1
Scenario 2	1	1	0.75	0.75	0.5	0.5
Scenario 3	1	1	0.5	0.5	0.25	0.25
Scenario 4	1	0.8	0.6	0.4	0.3	0.2

TABLE I: Simulation scenarios and transmitters power levels.

A. Reconstruction performances in function of Global In-Band Signal to Noise Ratio for all scenarios

Firstly, the spectrum reconstruction will be tested at the same power level (scenario 1), then reduced the power levels of transmitters followed the scenarios in Table I, to obtain and compare the correct reconstruction and false alarm rates in function of IBSNR_G . Fig. 3 shows the correct reconstruction rate and false alarm rate for 6 transmitters of all scenarios. When reducing the power level, the correct reconstruction rate

is reduced too. In scenario 2, the P_c in function of global SNR in-band is higher than the P_c in scenario 3 and 4, due to the fact that the proportion of useful power in an active subband is higher. In scenario 3 and 4, the correct reconstruction rates and the false alarm rates are nearly the same, although the power levels in scenario 3 and 4 are different, the power proportion in all active subbands can be considered the same globally.

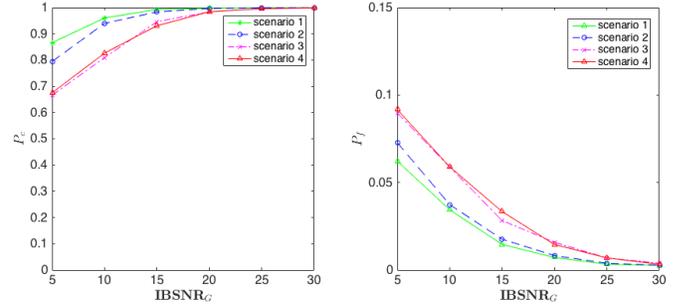


Fig. 3: Correct reconstruction rate and false alarm rate for all scenarios in function of IBSNR_G (with $B = 2F_p/3$).

It should be noted that from Fig. 3, the correct reconstruction rates start to decrease at 15 dB for scenario 1 and 2, and at 20 dB for scenario 3 and 4. Hence, these values can be considered as threshold values which ensure correct reconstruction. Due to the multiple thresholds for IBSNR_G , it is hard to determine which transmitter cannot be reconstructed, in next subsection, we propose a relationship to find an In-Band Signal-to-Noise Ratio for each transmitter. This relationship helps to determine a unique IBSNR threshold for each transmitter and each scenario which ensures high reconstruction performances. Moreover, to verify the threshold, the bandwidth B of each transmitter is reduced to $B = F_p/3 \approx 3.47$ MHz. The correct reconstruction and false alarm rates are shown in Fig 4. It can be seen that the P_c at this bandwidth also starts to decrease at 15 dB for scenario 1 and 2, and 20 dB for scenario 3 and 4. This result helps to conclude that the proposed threshold can be used to make sure the correct reconstruction in these scenarios with an acceptable bandwidth.

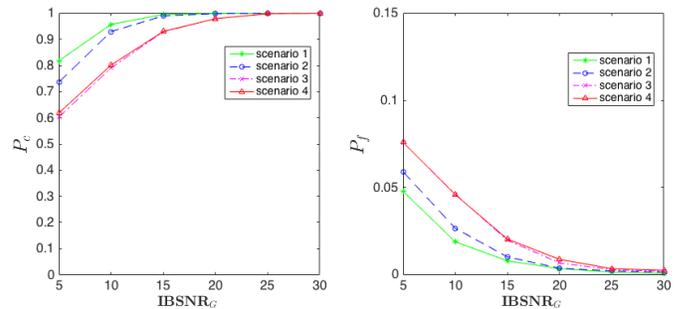


Fig. 4: Correct reconstruction rate and false alarm rate for all scenarios in function of IBSNR_G (with $B = F_p/3$).

Besides, an example of spectrum reconstruction of 6 identical power level transmitters is shown in Fig. 5 at $\text{IBSNR}_G = 20$

dB. It can be seen that at this noise level, all the transmitters can be correctly reconstructed with their level and no false alarm.

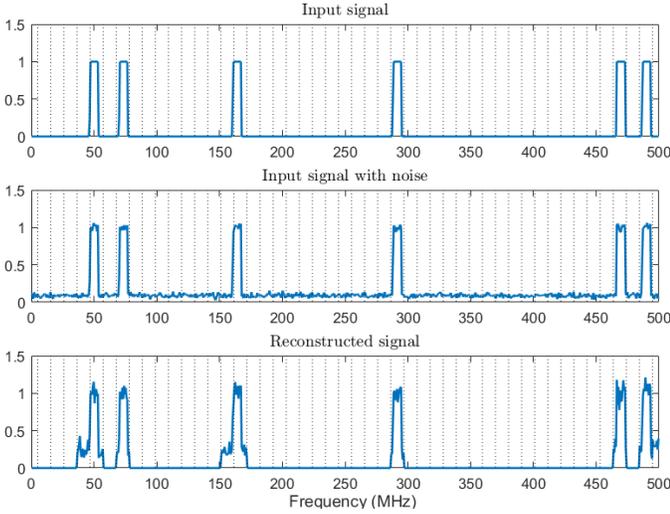


Fig. 5: Example of spectrum reconstruction of 6 identical power level transmitters at $\text{IBSNR}_G = 20$ dB (scenario 1).

From scenario 2 to 4, we show the performance of reconstruction in function of the in-band Signal-to-Noise Ratio for each transmitter. It is determined by the ratio between signal levels which is linked to the in-band SNR of each transmitter taken separately. It is possible to compute the In-band Signal-to-Noise Ratio for each transmitter IBSNR_{1T} by:

$$\begin{aligned} \text{IBSNR}_{1T} &= \text{SNR}_{\text{Nyq}}^{1T} + 10 \log \left(\frac{F_{\text{Nyq}}}{2 \times B} \right) \\ &= \text{SNR}_{\text{Nyq}}^{1T} + 10 \log \left(\frac{3 \times L}{4} \right) \end{aligned} \quad (5)$$

with $\text{SNR}_{\text{Nyq}}^{1T}$ the SNR in the whole Nyquist bandwidth of the considered transmitter and $B = 2F_p/3$.

B. Correct reconstruction performance in function of In-Band Signal to Noise Ratio

1) *Scenario 2:* In this scenario, the correct reconstruction rate is shown in Fig. 6, in function of the exact IBSNR_{1T} . It can be observed that at the same global in-band SNR, the low power level transmitters have to deal with higher noise than the higher power level, then leads to a bad reconstruction. It can be seen that the pair which has the greatest power level ($\{P_1, P_2\} = 1$) can achieve high performance even at low IBSNR_G . For the second pair ($\{P_3, P_4\} = 0.75$), the correct reconstruction rate is still high, more than 85% at 5 dB of IBSNR_{1T} . Otherwise, the lowest level pair ($\{P_5, P_6\} = 0.5$) has low performance when IBSNR_{1T} is low (typically less than 5 dB). It means that the reconstruction is not correct at this noise level. Overall, the correct reconstruction rate starts to decrease at $\text{IBSNR}_{1T} = 15$ dB, then this is a threshold proposed for this scenario to ensure the correct reconstruction for all transmitters.

Fig. 7 shows the correct reconstruction rate for this scenario with a reduction of bandwidth ($B = F_p/3$), it should be

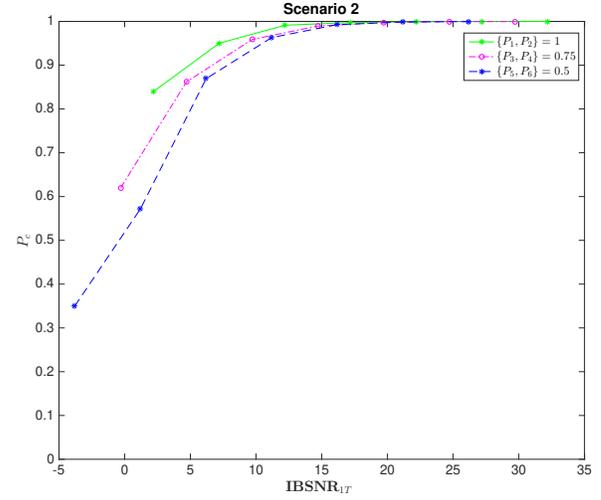


Fig. 6: Correct reconstruction rate of 6 transmitters with different power levels in function of IBSNR_{1T} (scenario 2, $B = 2F_p/3$).

noted that P_c starts to decrease at $\text{IBSNR}_{1T} = 15$ dB for all transmitters. This result verifies the proposed threshold for this scenario.

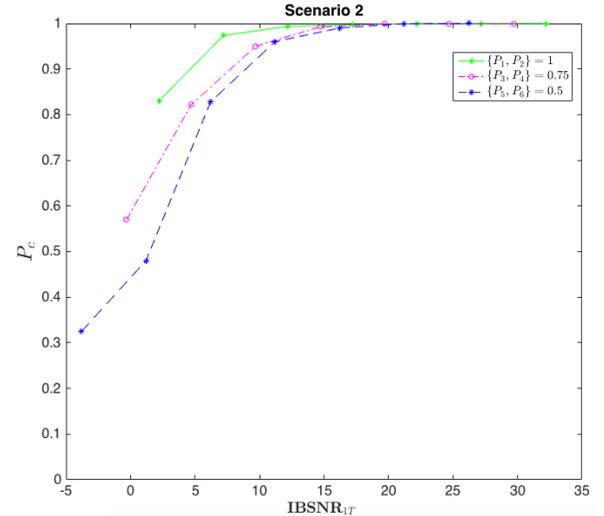


Fig. 7: Correct reconstruction rate of 6 transmitters with different power levels in function of IBSNR_{1T} (scenario 2, $B = F_p/3$).

An example of spectrum reconstruction of this scenario at $\text{IBSNR}_G = 20$ dB is shown in Fig. 8. It can be seen that in this scenario and at this noise level, it is difficult to reconstruct the low power transmitters with their levels correctly. Moreover, it appears to be a false alarm and that makes confusion with low power transmitters.

Moreover, the spectrum reconstruction of this scenario at $\text{IBSNR}_G = 5$ dB is shown in Fig. 9. It is clear that at this noise level, the reconstruction is not absolutely correct, and false alarm spectra appear along the Nyquist bandwidth. For the blind spectrum detection application, this noise level ($\text{IBSNR}_G = 5$

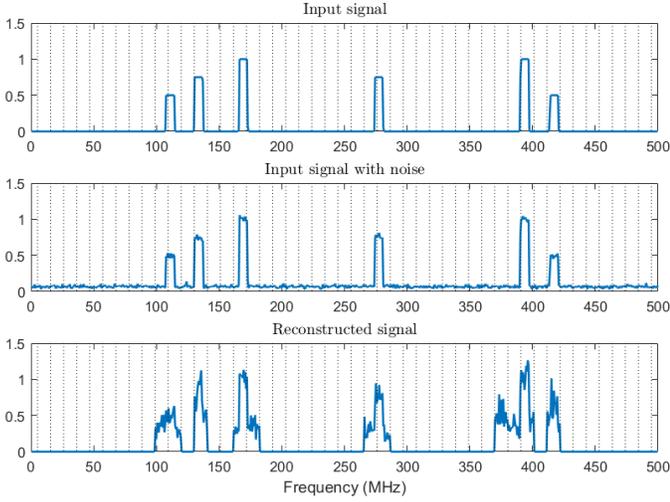


Fig. 8: Spectrum reconstruction of 6 transmitters with different power levels in scenario 2 at $\text{IBSNR}_G = 20$ dB.

dB) makes it difficult to determine the useful transmitters.

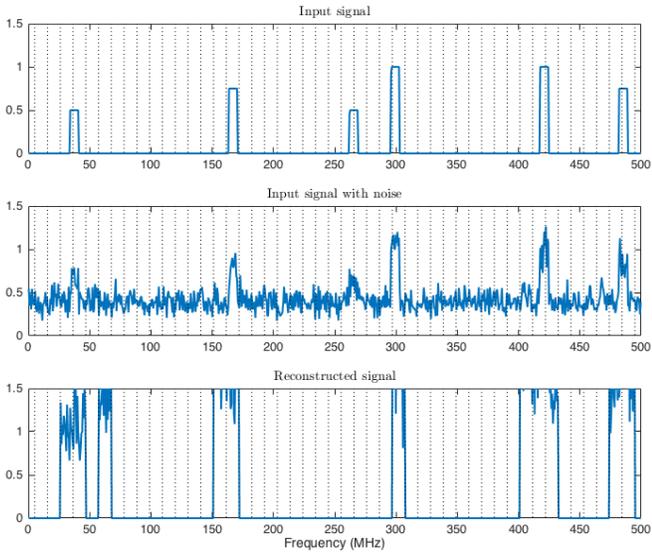


Fig. 9: Spectrum reconstruction of 6 transmitters with different power levels in scenario 2 at $\text{IBSNR}_G = 5$ dB.

2) *Scenario 3:* In the third scenario, the power level of the second pair and third pair are continuously reduced ($\{P_3, P_4\} = 0.5$ and $\{P_5, P_6\} = 0.25$). This scenario is to test the reconstruction performance of the MWC in case of very low power transmitters. The reconstruction rate is presented in Fig. 10 in function of IBSNR_{IT} . It is clear to see that the reconstruction rate of the third pair ($\{P_5, P_6\} = 0.25$) has low performance in the presence of noise (less than 6 dB). Due to the power ratio of transmitters that is reduced in this scenario, the second pair can achieve higher performance than the previous scenario. Also, from Fig. 10, the reconstruction rate starts to decrease at $\text{IBSNR}_{IT} = 15$ dB. This is considered as a threshold to ensure the correct reconstruction for all transmitters in this scenario.

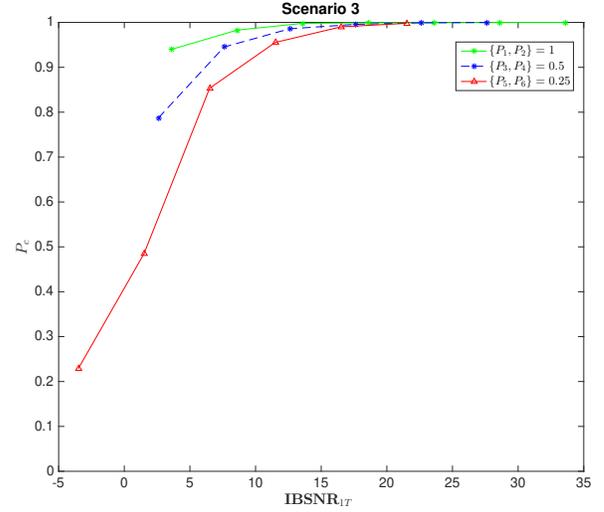


Fig. 10: Correct reconstruction rate of 6 transmitters with different power levels in function of IBSNR_{IT} (scenario 3, $B = 2f_p/3$).

Moreover, Fig 11 illustrates the correct reconstruction rate for scenario 3 with the bandwidth $B = F_p/3$. The performance of reconstruction can be ensured at ≥ 15 dB SNR of each transmitter, then the proposed threshold can be verified.

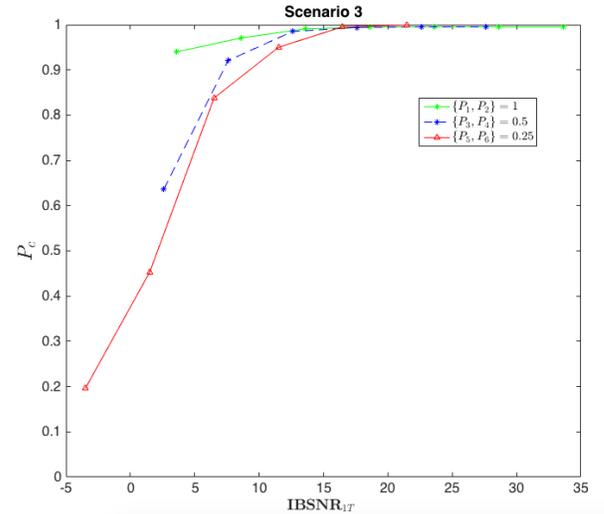


Fig. 11: Correct reconstruction rate of 6 transmitters with different power levels in function of IBSNR_{IT} (scenario 3, $B = F_p/3$).

An example of spectrum reconstruction for the third scenario is presented in Fig. 12 at $\text{IBSNR}_G = 20$ dB. It can be seen that in this scenario and at this noise level, the first pair and the second pair can be reconstructed correctly with their frequency location and their power level. The lowest power level is always difficult to reconstruct because the ratio between the lowest level with the maximum level is always low. Moreover, at this noise level, all the transmitters can be detected correctly and there is no false alarm.

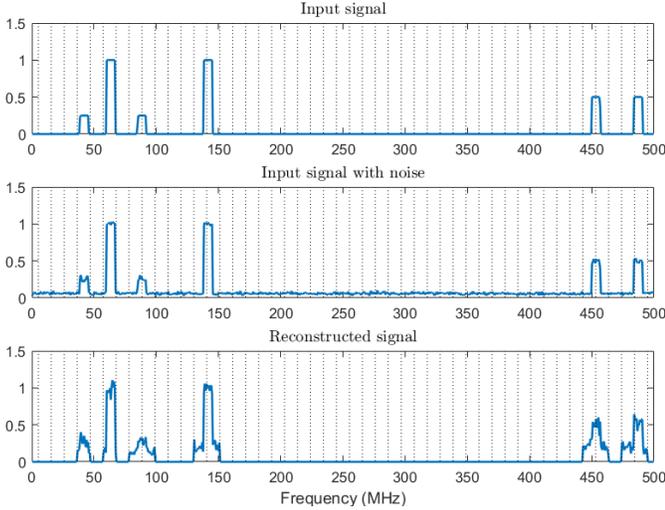


Fig. 12: Spectrum reconstruction of 6 transmitters with different power levels in scenario 3 at $\text{IBSNR}_G = 20$ dB.

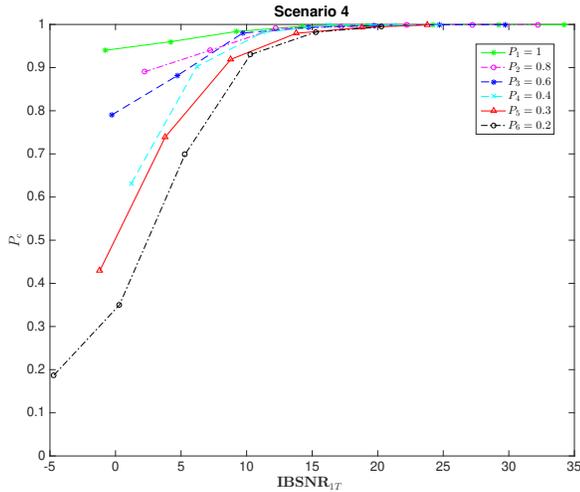


Fig. 13: Correct reconstruction rate of 6 transmitters with different power levels in function of IBSNR_{IT} (scenario 4, $B = 2F_p/3$).

3) *Scenario 4:* This scenario is to study more levels, such as each transmitter has a different level to the others and is reduced gradually. The correct reconstruction rate and false alarm rate are shown in Fig. 13. It can be seen from this figure, the P_c of the power level which is under 50% the maximum power (*i.e.* for $P_4 = 0.4$, $P_5 = 0.3$ and $P_6 = 0.2$) is low, even the global correct reconstruction rate, at low IBSNR_G (5 and 10 dB). These values show that some transmitters have been lost at these power ratios and SNR levels. Moreover, from Fig. 3 the false alarm rate of this scenario is highest compared to the other scenarios. It means that the system cannot determine the frequency locations of the small power transmitters and then the wrong detection appears. Finally, a threshold proposed for this scenario is also at 15 dB.

The correct reconstruction rate with the reduction of band-

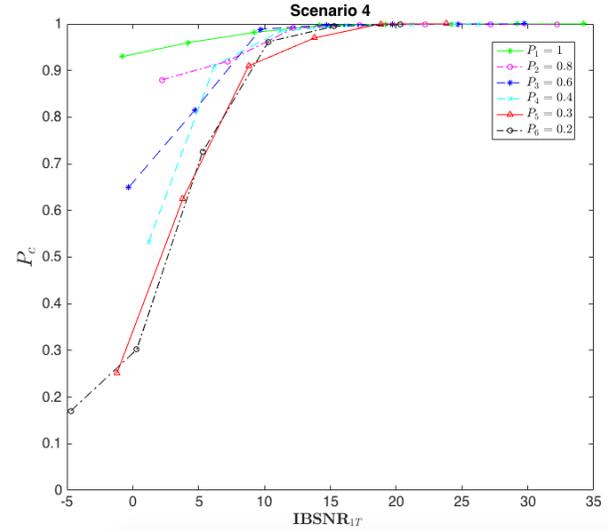


Fig. 14: Correct reconstruction rate of 6 transmitters with different power levels in function of IBSNR_{IT} (scenario 4, $B = F_p/3$).

width ($B = F_p/3$) is shown in Fig. 14, this also verifies that the proposed threshold is $\text{IBSNR}_{IT} = 15$ dB to ensure the correct reconstruction.

Besides, an example of spectrum reconstruction is shown in Fig. 15 at $\text{IBSNR}_G = 20$ dB. At this noise level, all the transmitters can be detected correctly. The lowest power level transmitter ($P_6 = 0.2$ in this example) at $\text{IBSNR}_G = 20$ dB is equivalent $\text{IBSNR}_{IT} = 10.2$ dB at its subbands. Then, the reconstruction rate of this level is nearly 90% and the false alarm rate is low, less than 2% (in Fig. 13). Hence, the reconstruction of MWC is correct in the frequency locations at this noise level and no false alarm. The power level is impacted by noise, however, the monitoring system cannot reconstruct exactly the transmitter power level, mainly some near value and low levels (for example, $P_5 = 0.3$ and $P_6 = 0.2$).

Based on our simulations, the threshold for IBSNR_{IT} , with an acceptable bandwidth, to ensure the correct reconstruction can be concluded is 15 dB for all cases.

IV. CONCLUSION

This paper studies the impact of transmitters power levels on the reconstruction performance of the MWC system in terms of correct reconstruction and false alarms rates. It is clear that the noise power has a negative impact on the reconstruction performance, by adding false alarm and by introducing miss detection, especially for transmitters with low power levels. Based on our simulations, reconstruction performances depend on the In-Band Signal to Noise ratio (IBSNR) of each transmitter and a threshold value of $\text{IBSNR}_{IT} = 15$ dB has been proposed to ensure high reconstruction performances.

V. ACKNOWLEDGMENT

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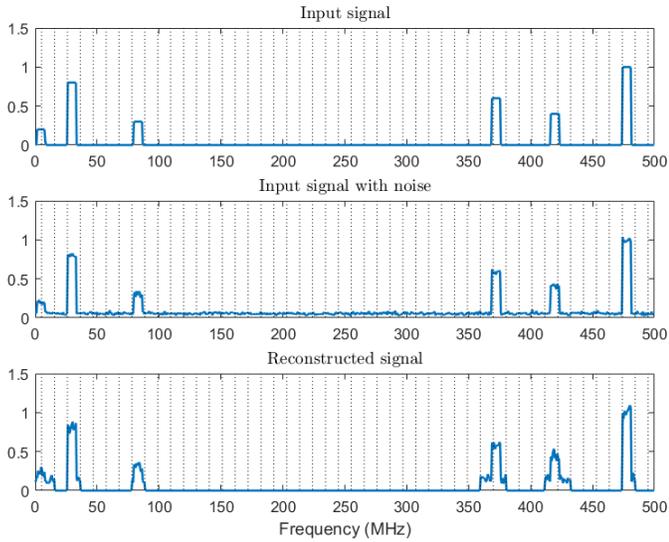


Fig. 15: Spectrum reconstruction of 6 transmitters with different power levels in scenario 4 at $\text{IBSNR}_G = 20$ dB.

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