

# The isotope record ( $\delta$ 13C, $\delta$ 18O) of vertical mobility in incremental tissues (tooth enamel, hair) of modern livestock: A reference set from the Mongolian Altai

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- 1 The isotope record ( $\delta^{13}$ C,  $\delta^{18}$ O) of vertical mobility in incremental tissues (tooth enamel, hair)
- 2 of modern livestock: A reference set from the Mongolian Altai
- 3
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# 23 Abstract:

- 24 Identifying the isotopic signatures of vertical mobility and alpine meadows exploitation in the
- teeth of domesticated animals can be a key to understanding the subsistence strategies used by
- 26 pastoral communities through history. Indeed, the oxygen ( $\delta^{18}$ O) and carbon ( $\delta^{13}$ C) isotopic
- 27 composition of sequentially sampled tooth enamel, used alone or in combination have been

commonly employed to investigate altitudinal mobility and alpine exploitation. However, 28 conflicting interpretations of the outcomes of these analyses exist, due in part to the paucity of 29 modern reference datasets. In this study, we propose a new reference set, composed of GPS 30 monitored sheep, goats and horses from the Mongolian Altai, to investigate the influence of 31 32 vertical mobility and mean grazing altitude, on the C and O isotopic compositions of sequentially sampled tooth enamel and on the C isotopic composition of horse tail hair. We 33 found that  $\delta^{13}$ C values were negatively correlated with the mean grazing altitude and time of 34 residency of alpine meadows. Although no correlation was found between the average  $\delta^{18}$ O 35 values of tooth enamel and mean grazing altitude, vertically mobile livestock had a higher 36 intra-tooth range in  $\delta^{18}$ O values than vertically immobile livestock, possibly reflecting the 37 ingestion of isotopically more diverse sources of water. Moreover, the coefficient of the 38 correlation between  $\delta^{13}$ C and  $\delta^{18}$ O values of tooth enamel was - although weakly - negatively 39 correlated with the standard deviation of the animal mean grazing altitude (i.e. reflecting the 40 frequency of altitudinal mobility). These results confirm that  $\delta^{13}$ C and  $\delta^{18}$ O analyses of tooth 41 42 enamel and tail hair can be used to infer animal mobility and land use in modern and ancient times. 43

44 Keywords: Sequential isotope analysis; Caprine; Horse; Herding strategy; Plant; GPS
45 monitoring

### 46 1. INTRODUCTION

Human-directed mobility of domesticated livestock between different pastures is a key 47 element of the resource management strategy of pastoral systems. It aims to provide access to 48 49 a continuous source of fresh graze throughout the year for livestock in patchy and lowproductivity environments (Little, 2015; Wright and Makarewicz, 2015). Mobile pastoralism 50 is practiced largely in the drylands and highlands of the world, from South America to North-51 East Asia (e.g. Lynch, 1983; Niamir-Fuller and Turner, 1999; Dong et al., 2011; Stépanoff et 52 al., 2013; Liechti and Biber, 2016; Arbuckle and Hammer, 2018). Pastoral mobility can take 53 various forms such as pasture rotation, transhumance and nomadism, depending on a 54 combination of different parameters such as environmental conditions, species and number of 55 herd animals, economic and political context, social structures, and household life (e.g. for 56 Inner Asia, Fernandez-Gimenez, 2000; Kerven et al., 2006). 57

58 In mountain areas, where the environment is characterized by a vertical stratification of 59 resources, altitudinal mobility is a common strategy used by herders to maximize the exploitation of pastures situated along an elevation gradient. Not only does it provide a stable
buffer against seasonal fluctuations in the quality and quantity of graze, but it also offers
protection against winds and snowfalls, especially in extreme continental climatic conditions
(Marchina, 2019). In such environments, herds usually graze in low elevation pastures during
the cold season and in high elevation pastures during the warm season.

65 Mongolia is a region of particular interest for the study of ancient pastoral societies. Indeed, Mongolia is known as the cultural and geographical center of nomadic empires, from the 66 Xiongnu (3rd century BCE-2nd century CE) to the Mongol Empire (13th century CE). It is 67 recognized that mobile pastoralism may have structured political life, fostered social 68 organization and large-scale political communities (Lynch, 1983; Porter, 2012; Honeychurch, 69 2013; Frachetti et al., 2017). Understanding how and why complex regional organizations 70 71 emerged among nomadic peoples is one of the most important research questions in Mongolian archaeology today (Honeychurch, 2013; 2015). Knowledge of the mobility 72 73 strategies and pastoral practices of these peoples is part of the information needed to understand the development of social organizations of these steppe empires. Within 74 75 Mongolia, the Altai mountains host the oldest record of pastoral occupation (e.g. Kovalev and Erdenebaatar 2009). It is an archaeology-rich area, traditionally considered as the cradle of the 76 77 Turkic peoples. For millennia, the Altai Mountains were an important transition zone between the Mongolian and Kazakh steppes. Identifying the use of highland pastures, being able to 78 detect the origin and characterize the extent of vertical mobility, is of paramount importance 79 to describe subsistence economies and social interactions in past pastoralist communities of 80 the Altai. However, direct identification of past vertical mobility by archaeologists remains 81 challenging. 82

83 A promising approach to detect mountainous occupation and altitudinal mobility of ancient 84 herd consists in the isotopic analysis of animal tissues. Indeed, when analysed sequentially in continuously growing tissues (i.e. tooth, hair, horn), carbon ( $\delta^{13}$ C) and oxygen ( $\delta^{18}$ O) stable 85 isotope time series offer a quantitative method to study livestock husbandry strategies, 86 including mobility (e.g. Mashkour, 2003; Balasse and Ambrose, 2005; Pearson et al., 2007; 87 Makarewicz and Tuross, 2012; Dufour et al., 2014; Makarewicz et al., 2017). The oxygen 88 isotopic composition from sequentially sampled tooth enamel has been regularly used to infer 89 altitudinal mobility. Herbivore tooth enamel records the  $\delta^{18}$ O of ingested water, deriving from 90 meteoric water, which is itself influenced by temperature, continental positioning, rainfall 91 92 amount, humidity and seasonality (Dansgaard, 1964; Rozanski et al., 1993; Gat, 1996; Kohn

and Welker, 2005). Altitude also has an important influence on  $\delta^{18}$ O of meteoric water 93 through two parallel phenomena: (1) the progressive rainout along mountain slopes, which 94 preferentially removes <sup>18</sup>O-enriched water from clouds; (2) colder temperatures at high 95 altitude. Both result in <sup>18</sup>O-depleted precipitation at high altitudes and <sup>18</sup>O-enriched 96 precipitation at low altitudes (Gat, 1996; Gonfiantini et al., 2001; Poage and Chamberlain, 97 2001). However, the relationship between altitude and  $\delta^{18}$ O of precipitation varies according 98 to geography, topography, and region-specific conditions (Ambach et al., 1968; Rozanski et 99 al., 1993; Poage and Chamberlain, 2001; Kern et al., 2014; Liu et al., 2014). As a result, 100 identification of animal altitudinal mobility is often based on the interpretation of the 101 amplitude of  $\delta^{18}$ O variations recorded within tooth enamel. Some authors have suggested that 102 because mobile animals avoid climatic extremes, altitudinal mobility will cause a reduction of 103 the isotopic amplitude recorded by tooth enamel compared to animal with low residential 104 mobility (Pellegrini et al., 2008; Britton et al., 2009; Henton et al., 2010; 2017; Henton, 105 2012). This is in contrast with Mashkour (2003) and Mashkour et al. (2005) who proposed 106 that mobile animals exploiting mountain ranges displayed a higher intra-tooth  $\delta^{18}$ O variability 107 than lowland animals due to a wider access of water, outside of the 'local' range. These 108 109 differences in interpretation may reflect the influence of confounding factors such as the ingestion of buffered water sources (i.e. lakes or groundwater), the variability of drinking 110 behaviour or the ingestion of important proportions of <sup>18</sup>O-enriched leaf water in semi-111 arid/arid environments (Dufour et al., 2014; Makarewicz and Pederzani, 2017; Knockaert et 112 al., 2018). As a consequence, some authors have proposed to focus on the shape of the  $\delta^{18}O$ 113 curve instead and developed theoretical models of mobility in order to predict isotopic 114 variations (Henton et al., 2017; Hermes et al., 2017). However, these models only take into 115 account the environmental  $\delta^{18}$ O values and do not consider the dampening of the input signal 116 during enamel mineralization (e.g. Passey and Cerling, 2002; Balasse, 2003; Zazzo et al., 117 2012). Because of the duration of the mineralization process, only a large and rapid 118 movement between two isotopically distinct areas is able to generate a detectable change in 119 120 the curve.

121 Carbon isotopes also can be used to infer altitudinal mobility. Indeed, the carbon isotopic 122 composition ( $\delta^{13}$ C) of herbivore tissues (i.e. tooth, hair, horn) reflects the  $\delta^{13}$ C value of 123 ingested plants and can be used to track animal dietary changes associated with seasonal 124 changes in pasture composition, mobility or human interference (Balasse et al., 2002; Männel 125 et al., 2007; Barbosa et al., 2009; Fisher and Valentine, 2013; Henton et al., 2017; Ventresca

Miller et al., 2017; Winter-Schuh, 2017; Knockaert et al., 2018). Several factors influence the 126  $\delta^{13}$ C values of terrestrial plants. The dominant influential factor is the photosynthetic pathway 127 used for fixing C. Indeed, plants using the C<sub>3</sub> pathway have an average  $\delta^{13}$ C value of -26%, 128 those using the C<sub>4</sub> pathway exhibit an average  $\delta^{13}$ C value of -12%, and those using the CAM 129 pathway show intermediates between the values of the C<sub>3</sub> and C<sub>4</sub> plants (O'Leary, 1988; 130 Kohn, 2010). Other factors are associated with environmental conditions such as water 131 availability. Water-use efficiency increases when water availability decreases, leading to a <sup>13</sup>C 132 enrichment in plant carbon (Farquhar et al., 1982; 1989; Stewart et al., 1995; Rao et al., 133 2017). Generally, plants adapted to dry environments show higher  $\delta^{13}$ C values. Finally, 134 climatic conditions also affect the  $\delta^{13}$ C values of terrestrial plants: an increase in temperature 135 during the hot season induces an increase in plant  $\delta^{13}$ C values due to the reduction of stomatal 136 conductance to decrease water losses (Farquhar et al., 1989; Smedley et al., 1991; Hartman 137 and Danin, 2010). Inversely, plants in wets areas or during wet/growth season tend to have 138 high water losses and thus show lower  $\delta^{13}$ C values (Körner et al., 1991; Smedley et al., 1991; 139 140 Kohn, 2010). Thus, moving herbivores, sampling the changes in plant communities in space and time, would record the changes in  $\delta^{13}$ C values of plants accordingly. Due to the 141 ecological differences between C<sub>3</sub> and C<sub>4</sub> plants, the relative proportion of C<sub>3</sub> vs C<sub>4</sub> plants 142 increases with altitude, triggering a decrease of the mean  $\delta^{13}$ C value of the plant communities 143 (Tieszen et al., 1979; Cavagnaro, 1988; Li et al., 2009). In C3-dominated environments, the 144 range of isotopic variations is more restricted. Nevertheless, several studies have documented 145 an increase in the  $\delta^{13}$ C values of C<sub>3</sub> plants with altitude (Körner et al., 1988; Morecroft and 146 Woodward, 1990; Li et al., 2006; 2007; 2009; Liu et al., 2016) that can be recorded in the 147  $\delta^{13}$ C values of livestock tissues (Männel et al., 2007). This pattern was explained by the high 148 carboxylation rates relative to stomatal conductance at high altitudes, resulting in lower <sup>13</sup>C 149 discrimination (Morecroft and Woodward, 1990; Li et al., 2006, p. 2; 2007; 2009). However, 150 the opposite pattern was reported in SW USA and in China (van de Water et al., 2002; Liu et 151 al., 2016), and was explained by a higher drought stress at lower elevations in these arid and 152 153 semi-arid regions. Finally, several authors proposed that local climatic factors could modulate the effects of water availability and hence locally complicate the relationship between  $\delta^{13}C$ 154 155 values and altitude (Morecroft and Woodward, 1990; Liu et al., 2007; 2010). The variations in  $\delta^{13}$ C values of plants along altitudinal gradients hence may not be explained by a single factor 156 157 but rather the result of an interaction between different factors and should be characterized for each site. 158

Given the limits of the O and C isotope systems alone, recent attempts at detecting altitudinal 159 mobility have relied on the combined use of both tracers in tooth enamel. Generally, a co-160 variation between  $\delta^{18}$ O and  $\delta^{13}$ C values measured within the same tooth is expected for 161 stationary herbivores in temperate and semi-arid environments (Balasse et al., 2002; 2009; 162 Fraser et al., 2008), with high values during the hot season and low values during the cold 163 season. Anti-covariation, when observed, was interpreted as reflecting animal movements 164 between highland pastures in summer (with less C<sub>4</sub> plants in the diet) and midland/lowland 165 pastures in winter (with more C<sub>4</sub> plants in the diet) (e.g. Fisher and Valentine, 2013; Tornero 166 et al., 2016; 2018; Makarewicz and Pederzani, 2017; Makarewicz et al., 2017). This 167 interpretation has been proposed to describe the mobility patterns of wild (Fisher and 168 Valentine, 2013; Tornero et al., 2016) and domestic caprines (Makarewicz, 2017; Makarewicz 169 et al., 2017; Tornero et al., 2018). In the case of domestic animals, manipulation of the diet by 170 171 the herders, including winter foddering of livestock with a C<sub>4</sub>-rich diet, cannot be excluded. This was documented for modern domesticated sheep from Mongolia (Makarewicz and 172 173 Pederzani, 2017) and proposed as an explanation of anti-covariation for archaeological livestock (Makarewicz and Tuross, 2006; Makarewicz, 2017; Winter-Schuh, 2017; 174 Makarewicz et al., 2018). A third explanation was proposed by Chazin et al. (2019) to explain 175 lower summer  $\delta^{13}$ C values recorded in the teeth of domestic animals from Bronze Age 176 Caucasus, involving the increase of <sup>13</sup>C-depleted shrubs and forbs in the diet (Smedley et al., 177 1991; Dodd et al., 1998). This proposition is supported by the observation of the increase of 178 179 forbs in summer diets of wild and domestic sheep from the desert-steppe of Mongolia (Wingard et al., 2011). 180

These conflicting interpretations are in part due to the paucity of modern reference datasets. 181 Only a few modern reference studies have investigated the origin of this anti-correlation, 182 constraining its origin, either through fodder (Makarewicz and Pederzani, 2017) or vertical 183 mobility (Tornero et al., 2018). In general, these studies involved few individuals and lacked 184 185 any control group (i.e. non-foddered or sedentary). In this study, we propose a new reference set to investigate the influence of vertical mobility on the C and O isotopic composition of 186 tooth enamel. To do this, we analysed the  $\delta^{18}O$  and  $\delta^{13}C$  variations in tooth enamel of 187 domestic caprines (sheep and goats) of Kazakh-Mongolian herders from the Altai Mountains 188 189 of western Mongolia, where nomadic pastoralism is characterized by vertical mobility (Finke, 2004). We also included a comparative analysis of previously published  $\delta^{13}$ C variations in tail 190 191 hair of horses belonging to the same herds. All these animals were fitted with GPS collars to

monitor their daily movements for three consecutive years and vegetation was sampled at 192 different localities in order to estimate  $\delta^{13}C$  variations with altitude and the influence of C<sub>4</sub> 193 plants in the diet. Our aims were to test the hypothesis that (i) the correlation between  $\delta^{18}O$ 194 and  $\delta^{13}$ C values of tooth enamel varies with the altitudinal mobility patterns of livestock in a 195 C3-dominated environment (with a positive correlation when livestock has a residential 196 mobility); (ii) the highland pasture exploitation can be tracked by the  $\delta^{18}$ O and  $\delta^{13}$ C values of 197 animal tissues, with an expected negative correlation between isotopic values ( $\delta^{18}$ O and  $\delta^{13}$ C) 198 and mean grazing altitude. 199

200

#### 201 2. MATERIAL

#### 202 2.1. Burgast: topography, climate and vegetation

203 Fieldwork was carried out in the Mongolian Altai (Fig. 1) in the Nogoonnuur district located in the northern part of Bayan - Ölgii Province. The altitudinal profile ranges from 1500 to 204 more than 4000 m.asl with the Türgen Uul summit at 4029 m.asl. A north-south fault splits 205 the landscape in half with the highland of the Altai Mountains to the west and a lowland plain 206 207 to the east. The climate is strongly continental with cold, long winters (November-March) and hot, short summers (June-August). During the study period (June 2015 to July 2018), monthly 208 temperatures averaged +18.0°C during summer (June-August) and -12.7°C during winter 209 (December–February) with extremes ranging from +34 to -44°C (InfoClimat, 2019). Average 210 annual rainfall is 105 mm with a maximum of precipitation occurring during summer 211 (InfoClimat, 2019).. During winter, there is very little snowfall and most of the time no snow 212 213 cover at all. Open water is rare, and consists in a few intermittent streams. The vegetation consists of alpine meadows and semi-arid steppes and is mainly composed of grasses, with a 214 few forbs and bushes (Caragana sp.) or trees (Populus laurifolia) exclusively near rivers in 215 lowlands and valley exits for forbs, shrubs and trees. The steppe vegetation is dominated by 216 plants using the C<sub>3</sub> photosynthetic pathway such Asteraceae, a majority of Chenopodiaceae, 217 Poaceae, or Fabaceae (Pyankov et al., 2000; Ivanova et al., 2019). Some species using the C<sub>4</sub> 218 photosynthetic pathway, such Anabasis brevifolia, Eragrostis pilosa, Kochia prostrata, or 219 Setaria veridis have also been identified. 220

#### 221 2.2 Plant material

Plants were sampled at 246 sites distributed throughout the accessible parts of the study area 222 223 (Fig. 1), in June 2015, September 2016, November 2017 and July 2018 (see Supplementary Material Table A.1). Sampling localities were chosen to represent the grazing areas of the 224 225 monitored animals. Some parts of the study area could not be sampled due to difficult access or proximity to the Russian border. The average distance between neighbouring sites 226 (calculated using the 5 nearest neighbours of each site) was 1.7 km. At each site, we collected 227 a handful of plant material in a diameter of 2-3 meters. We collected all the plant specimens 228 229 visible at these sites, and combined them before analysis. In most cases, most collected plants were grasses, but there were also some forbs and shrubs. The majority of the material was 230 231 composed of leaves but there were also stems and seeds or fruits if present. All plant material was wrapped in paper then dried in a loosely sealed envelope prior to  $\delta^{13}$ C analysis. 232

#### 233 2.3. Animal material

234 Between June 2015 and July 2018, 17 individuals (6 sheep and 11 goats) were equipped with 235 a GPS collar to track the mobility of the herds of five different families of Kazakh-Mongolian nomadic pastoralists (Table 1). GPS collars were fitted when the animals were around 1-1.5 236 237 years old, as estimated based on the incisor (I1) development (Schmid, 1972). Animals were equipped with Globalstar<sup>®</sup> (n=13) or Iridium<sup>®</sup> (n=4) GPS collars (Lotek Wireless Inc. -238 239 http://www.lotek.com/). Globalstar© and Iridium© devices were programmed to record animal position every 13 and 2 hours respectively and provided also altitude and temperature. 240 241 Even if we can assume that one animal represents the entire herd (Moritz et al., 2012), we 242 chose to monitor several animals in each herd to prevent technical failures or loss of GPS 243 collars. Because caprines are led back to the camp every night, GPS monitoring allowed us to infer the location of the different camps and associated pastures. While adult males are only 244 supplemented with fodder during bad years, pregnant females and very young individuals 245 may be winter-foddered for a short period of time. To avoid any potential influence of fodder 246 on the isotopic profiles, we only selected male individuals (Table 1). Interviews with the 247 herders confirmed that the animals did not receive any manufactured food complements. We 248 sampled 20 molars from the 17 caprines (Table 2). Animal slaughter was operated by the 249 250 herders themselves for their own consumption and was authorized by the ethics committee of the Muséum national d'Histoire naturelle. 251

To examine the influence of altitude on isotopic composition on a different animal species, we 252 retrieved the GPS and isotope data obtained on horse tail hair from the same area published in 253 Lazzerini et al (2019). The period of tail hair formation was time-calibrated using the GPS 254 data and  $\delta^{13}$ C values of diet were calculated based on the measured  $\delta^{13}$ C values of tail hair. 255 Our sample also includes 5 molars from 5 non-geolocalized modern horses belonging to 256 herders living in the same area. Depending on the age of the horse, the M1, M2 or M3 was 257 sampled (Table 3). Two of these horses belonged to herder Kb and Kj, who had slaughtered 258 them for their own consumption. As those horses were not equipped with GPS collars, we 259 260 considered that the occupation pattern was similar to that of the other horses from the same herd whose movements were tracked with GPS collars (Lazzerini et al., 2019). The molars of 261 262 the other three horses were sampled in skulls that were found in the same area, where they probably died following predator attack or accident. We can assume that they had an 263 264 important vertical mobility during the year, like the majority of horses from this area. These five horse teeth were used to determine their altitudinal mobility and their occupation of high 265 266 altitude pastures and to test how they compare with the results obtained on individuals with known mobility. For a summary of the analyses (isotopic and GPS) performed on the animal 267 268 material see Appendix (Tables B).

#### **3. METHODS**

# 270 3.1 Estimation of tooth formation period and tooth selection

To provide a direct comparison between GPS and isotopic data, it was necessary to estimate 271 272 the period of growth of the teeth sampled. Date of death was recorded by ourselves for each 273 animal. We then estimated the age at death by combining analyses of tooth eruption stages 274 and tooth wear patterns (Grant, 1982; Jones, 2006) (Table C). According on interviews with herders, the season of birth spreads from February to April with a peak between March and 275 April and with slight variations between years and herders. We hence chose an arbitrary birth 276 date of March 31<sup>st</sup> for all individuals. Although we are aware of the limits of this arbitrary 277 choice, we argue that an uncertainty of about 1 month is likely to have a minor impact on 278 subsequent comparisons given the duration of the mineralization process which is of ~4 279 months (Balasse, 2003; Zazzo et al., 2010). We calculated an average period of formation of 280 caprine molars for M2 (1.3-13 m.o.) and M3 (10.3-28 m.o.) based on the literature (Weinreb 281 and Sharav, 1964; Milhaud and Nezit, 1991; Zazzo et al., 2010; Upex and Dobney, 2012) 282 (Table D). We then calculated the proportion of the tooth formed during GPS tracking 283 (Time<sub>GPS</sub>) using the following formula: 284

$$Time_{GPS}(\%) = \frac{(T - \Delta d)}{T} \times 100$$
(1)

In Eq. 1, *T* is the total duration of tooth formation (in days) and  $\Delta d$  is the number of days within this period with no GPS record. Only teeth that grew during the GPS tracking period were selected. We excluded five teeth with low Time<sub>GPS</sub> scores (0% - not shown), leaving us with fifteen teeth (66%<Time<sub>GPS</sub><100%) (Table 2).

#### 289 3.2 GPS-based inference of mobility

GPS datasets were cleaned from erroneous GPS fixes. Herder mobility patterns were detected 290 using the Lavielle's method (Lavielle, 1999; 2005), a method of segmentation of location time 291 series. This method discriminates series of successive locations ("segments") during which 292 movements happen at the same spatial scale but between which movements happen at 293 294 different spatial scales. This allowed determining changes in animal movement, by identifying 295 the different pastures and hence discriminating between small-scale movements (within each 296 pasture) and large-scale movements (among pastures). Due to the nature of the landscape and after different trials (where segmentation was based on x or y coordinates), we finally based 297 segmentation on altitude and each inferred segment was considered as a pasture, after visual 298 validation. The Lavielle's method was implemented in the R package adehabitatHR (Calenge, 299 300 2006). Camps were identified thanks to the agglomeration of GPS points, since sheep and goats are led back to camps every night by herders to protect them from wolves. Finally, a 301 302 distance matrix in QGIS (version 2.18.14) was used to calculate the distance travelled between camps by each herd. 303

We then used the GPS data in order to calculate, for each animal during the time of tooth or tail hair growth, their mean grazing altitude, the altitudinal range of exploited pastures, and the percentage of time spent at high altitude (above 2000 m.asl.). The limit of 2000 m was chosen because it represents the minimum altitude of alpine meadows in the mountainous western part of the study area (Fig. 1). Below this limit, grazing animals are located on the eastern lowlands. The time spent above 2000 m was calculated as:

$$Time_{Alt} (\%) = \frac{d_{2000}}{(T - \Delta d)} \times 100$$
(2)

310 Where  $T - \Delta d$  represents the number of days during tooth growth when the animal was 311 tracked by a GPS collar and  $d_{2000}$  is the number of days spent above 2000 m. For each herder, we compared seasonal differences in pasture elevation using linear mixedmodels, with animal ID as a random effect (intercept) (Tables E.1).

#### 314 *3.3 Isotopic analysis of plant material*

The 246 plant samples were dried, crushed using a mixer mill, weighed in ultralight tin 315 capsules and combusted in an elemental analyzer (PyroCUBE; Elementar, Hanau, Germany) 316 coupled with an isotope ratio mass spectrometer from Isoprime Ltd (Cheadle Hulme, UK) for 317 C stable isotope analysis at the Laboratoire d'Ecologie des Hydrosystèmes Naturels et 318 Anthropisés (Lyon, France). In-house standards of sorghum flour calibrated against secondary 319 international standards from the International Atomic Energy Agency, Vienna, Austria 320 (IAEA-CH3, IAEA-CH6, IAEA-N1, IAEA-N2) were analyzed every twelve samples as 321 322 quality control. The long-term precision (SD) for the internal laboratory standard was better than 0.3 %. Isotope data are presented in  $\delta$  notation [ $\delta$  = (Rsample/Rstandard) – 1], with R the 323 isotope ratio  $({}^{13}C/{}^{12}C)$  of the sample or international standard (V-PDB). 324

#### 325 *3.4 Tooth sample preparation and isotopic measures*

326 Tooth enamel surfaces were cleaned by abrasion with a tungsten drill bit. Sequential sampling of modern caprine teeth was performed on the buccal side of the second and third molars, on 327 the anterior lobe of M2 and the middle lobe of M3. The sampling spanned the width of a lobe. 328 Sequential sampling of the horse teeth was performed on the mesial side of molars because 329 330 this side has a flat surface with a narrow cementum layer and also because it should mineralise faster (Zazzo et al., 2012). For all teeth, enamel was drilled with a diamond bit, 331 332 perpendicular to the tooth growth axis from the apex to the enamel root junction (ERJ). 333 Samples were taken every 1.5-2mm through the entire thickness of the enamel layer and care was taken to avoid collecting the underlying dentine. Sample position was recorded in terms 334 335 of their distance (in mm) from ERJ.

Samples weighing 3.5-7 mg were chemically treated following the procedure described in
Balasse et al. (2002). First, organic matter was removed with a solution of 2-3% NaOCl (24h,
0.1 ml solution/ mg of sample) and rinsed several times in distilled water. Then, each enamel
sample was reacted with 0.1 M acetic acid (4h, 0.1 ml solution/mg of sample), rinsed in
distilled water and dried in an oven at 80°C for 12h.

Purified enamel samples were weighted (600 μg approximately) and analyzed on a Kiel IV
device connected to a Delta V Advantage isotope ratio mass spectrometer (IRMS) at the

Service de Spectrométrie de Masse isotopique du Muséum national d'Histoire naturelle 343 (SSMIM) in Paris. Samples were placed into individual vessels to react under vacuum with 344 phosphoric acid [H<sub>3</sub>PO<sub>4</sub>] at 70°C and purified CO<sub>2</sub> in an automated cryogenic distillation 345 system. In total, 306 enamel samples were analysed over a period comprised between October 346 2016 and October 2018. Analytical precision was 0.04% for  $\delta^{13}C$  and 0.05% for  $\delta^{18}O$  based 347 on the repeated (n= 114) analysis of our internal laboratory carbonate standard (Marbre LM) 348 normalized to NBS 19. Isotope data are presented in  $\delta$  notation [ $\delta = (R_{sample}/R_{standard}) - 1$ ], 349 with R the isotope ratio  $({}^{13}C/{}^{12}C$  and  ${}^{18}O/{}^{16}O)$  of the sample, reported relative to the 350 international standard V-PDB (Vienna PeeDee Belemnite) and expressed in per mil (%). 351

 $\delta^{13}$ C values measured in caprine tooth were converted into  $\delta^{13}$ C values of diet ( $\delta^{13}$ C<sub>diet</sub>) by 352 applying the carbon isotope fractionation between diet and enamel of -15.4%, determined for 353 sheep raised on C<sub>3</sub> silage (Zazzo et al., 2010) (Table F.1). The  $\delta^{13}C_{diet}$  in horse samples was 354 estimated by applying the carbon isotope fractionation between diet and enamel of -13.7% 355 proposed for horses (Cerling and Harris, 1999; Tejada-Lara et al., 2018) (Table F.2). These 356 conversions of  $\delta^{13}$ C values of enamel into  $\delta^{13}$ C values of diet allowed us to directly compare 357 them with isotopic data from horse tail hair that had already been converted into  $\delta^{13}C_{diet}$ 358 (Lazzerini et al., 2019). 359

#### 360 3.5 Isotopic data analyses

We first explored the isotopic dataset. We compared the isotopic profiles among herders, with one-way ANOVAs followed by Tukey's post-hoc HSD on the average C and O isotopic values of the caprine teeth of the different herders. Then, we compared the isotopic profiles between caprines and horses within the same herd. To do so, we performed one-way ANOVAs on  $\delta^{13}C_{diet}$  of horses and caprines from the same herds using one-way ANOVAs. This analysis was not possible on  $\delta^{18}$ O values because of the small number of geolocalized horse.

Second, we estimated the proportion of C<sub>4</sub> plants in caprine diet, and its potential influence on the altitudinal signal recorded by  $\delta^{13}$ C values. To do so, we visually compared caprine  $\delta^{13}$ C<sub>diet</sub> values with the distribution of  $\delta^{13}$ C of our C<sub>4</sub> and C<sub>3</sub> plant samples. We also tested the potential influence of altitude on plant  $\delta^{13}$ C values, using a linear regression with the R function *lm*.

We then assessed the effect of animal altitudinal mobility on C and O isotopic values in their tissues. To do so, we performed linear regressions between average grazing altitude (per

animal) and (i) average isotopic values ( $\delta^{18}$ O values of caprine tooth enamel,  $\delta^{13}C_{diet}$  of horses 375 and caprines), (ii) intra-tooth isotopic amplitude. Because the extent of mobility might affect 376 isotopic variability within individuals, we also performed a linear regression between the 377 standard deviation of grazing altitude and intra-tooth isotopic amplitude, with the same 378 method. A one-way ANCOVA was conducted to compare the correlation coefficients 379 between average grazing altitude and average  $\delta^{13}C$  of diet in horses and caprines. We also 380 used linear regressions to assess the relationship between *Time\_Alt* (Eq. 2) and the average 381  $\delta^{13}$ C of diet of horse and caprines and between *Time\_Alt* and the average  $\delta^{18}$ O of caprine 382 tooth enamel. A one-way ANCOVA was also performed to estimate the difference between 383 horse and caprine correlations. 384

We tested the existence of a co-variation between  $\delta^{13}$ C and  $\delta^{18}$ O sequences of each tooth, using Pearson's correlation coefficient. We then tested whether the frequency of altitudinal mobility was responsible for the observed variability among individuals in the patterns of covariation of  $\delta^{13}$ C and  $\delta^{18}$ O sequences. To do so, we calculated the linear regression between the standard deviation of mobility and Pearson's correlation coefficients between the  $\delta^{13}$ C and  $\delta^{18}$ O sequences.

Finally, equations from significant linear regressions between C and O isotopic values andmobility characteristics were used to infer space use of non-monitored horses.

393 Unless mentioned otherwise, all statistical analyses were conducted using R (version 3.4.4)394 with a 0.05 threshold of significance.

# 395 **4. RESULTS**

## 396 4.1 The GPS record of caprine mobility

Four herders (Jk, Kb, Kj, Zk) exploited pastures situated along a large altitudinal range, from 397 the eastern depression (around 1400-1500 m.asl) to the western mountain pastures (up to 3000 398 399 m.asl) while herder Dl exploited essentially high altitude pasture (Fig. 2). The standard deviation of the average exploited altitude ranged from 131 (Dl) to 351 (Kj), reflecting the 400 altitudinal mobility of herds. The distance travelled by year ranged from 38km (Dl) to 291 km 401 (Zk). For all herders, the summer residency was located at a higher altitude than winter 402 residency, with a difference between summer and winter pastures ranging from 94 m (Dl) to 403 404 412 m (Zk) (Tables E.1; G.1 and Figures E.2)

# 405 4.2 Plant $\delta^{13}C$ values

Plant  $\delta^{13}$ C values ranged from -31.2 to -13.2%, with an average value of -27.1 ± 2.3% (Fig. 406 3). Although the vast majority of samples had  $\delta^{13}$ C values lower than -25 %, six plant 407 samples had  $\delta^{13}$ C values higher than -20%, indicative of a C4 photosynthetic pathway. These 408 samples were identified as Anabasis brevifolia (B. Oyuntsetseg, pers. comm.). They were 409 found in the lowland pastures below 1950 m.asl (for more details see Table A.1), which 410 explains the higher variability in  $\delta^{13}$ C values observed at low altitudes. Plant  $\delta^{13}$ C values were 411 negatively correlated with altitude (Pearson's correlation: r = -0.16;  $r^2 = 0.02$ ; *P-value* = 0.01 412 - Fig. A.1). The correlation between plant  $\delta^{13}$ C values and altitude no longer exist when the 413 six C4 plant samples are excluded from the dataset (*P*-value = 0.8 - Fig. A.2) and we did not 414 find any significant correlation between plant  $\delta^{13}$ C values and altitude when looking at the 415 summer and fall data separately (Fig. A.3 and A.4). 416

# 417 4.3 Variations of $\delta^{18}O$ values in tooth enamel

Summary results from stable isotope analyses are presented in Table 4 and complete data are 418 available in Table F. Oxygen isotope profiles of caprines and horses followed a sinusoidal 419 pattern (Fig. 4 and 5) reflecting seasonal changes in the oxygen isotopic composition of 420 ingested water. In the continental Mongolian steppe environment, maximum values reflect 421 high summer temperatures with ingestion of <sup>18</sup>O enriched water and minimum values reflect 422 low winter temperatures with ingestion of <sup>18</sup>O depleted water. On average, caprines had a 423  $\delta^{18}$ O value of -7.9 ± 3.6%, with values ranging from -14.6% to -0.1%. Intra-tooth amplitude 424 in  $\delta^{18}$ O value ranged from 9.2 to 12.2 %, except for 2018-63B-M2 which showed a lower 425 amplitude of 6.5% due to an incomplete record of the seasonal cycle (Fig. 4). On average, Dl 426 427 caprines exhibited lower intra-tooth variability (Table 4). There were no statistically significant differences in the average  $\delta^{18}$ O values of the different herds. 428

We found no correlation between the average  $\delta^{18}$ O value and average altitude (r = -0.37; r<sup>2</sup> = 0.13, *P*-value = 0.18; Fig. H.1), but we found a negative correlation between the intra-tooth  $\delta^{18}$ O amplitude and altitude (r = -0.62; r<sup>2</sup> = 0.38; *P*-value = 0.01 - Fig. H.2) and between average  $\delta^{18}$ O value and the relative amount of time spent above 2000 m.asl (r = -0.81; r<sup>2</sup> = 0.65; *P*-value = 3e<sup>-04</sup> - Fig 6). We also found a positive correlation between the intra-tooth  $\delta^{18}$ O amplitude and the standard deviation of the mean grazing altitude (r = 0.54; r<sup>2</sup> = 0.29; *P*value = 0.04 - Fig. 7). On average, the teeth of the non-geolocalized horses had a  $\delta^{18}$ O value of -10.8 ± 2.6‰, with values ranging from -5.0 to -16.1‰. Intra-tooth amplitude in  $\delta^{18}$ O value ranged from 6.5 to 9.5 ‰ (Table 4). The average  $\delta^{18}$ O value of horse teeth was significantly lower than that of caprines (*p*-value = 7e<sup>-14</sup>). Similarly, the intra-tooth amplitude in  $\delta^{18}$ O value of horse was significantly lower than that of caprines (*p*-value = 0.005).

## 441 4.4 Variations of $\delta^{13}C$ values of horses and caprines

- On average, caprine enamel  $\delta^{13}$ C value was -11.2 ± 0.9%, with values ranging from -13.7% 442 to -9.4%. For each herd, the average  $\delta^{13}$ C values ranged from -12.4 ± 0.5% (Dl) to -10.6 ± 443 0.7% (Kj) (Table 4). DI caprine  $\delta^{13}$ C values were significantly lower than those of all the 444 other herders (*P*-value < 0.01). The estimated average ( $\pm$  s.d.)  $\delta^{13}C_{diet}$  was -26.6  $\pm$  0.9% (Fig. 445 3). This variability is within the range of C<sub>3</sub> plant  $\delta^{13}$ C values (-20 to -37‰, (O'Leary, 1988; 446 Kohn, 2010), indicating that the caprines of the study area consumed a diet that included no or 447 very little C<sub>4</sub> plants. Caprine  $\delta^{13}$ C<sub>diet</sub> was significantly higher (*P*-value = 0.04) than that of the 448 geolocalized horses studied in Lazzerini et al. (2019) (-27.5  $\pm$  0.8%). 449
- For both groups of animals, there was a significant negative relationship between the average  $\delta^{13}C_{diet}$  value of the diet consumed by the animals and the average grazing altitude (*Elevavrg* in meters):

Caprines: 
$$\delta^{13}C_{diet} = -2.576e^{-03}(\pm 7.655e^{-04}) \times Elev_{avrg} - 21.331(\pm 1.606)$$
 (3)  
(r<sup>2</sup> = 0.46, *P*-value = 0.005 - Fig. 8).

453

Horses: 
$$\delta^{13}C_{diet} = -1.449e^{-03}(\pm 3.074e^{-04}) \times Elev_{avrg} - 24.140(\pm 0.709)$$
 (4)  
(r<sup>2</sup> = 0.85, *P*-value = 0.001 - Fig. 8).

454

The slopes of the two equations were not significantly different ( $F_{1, 19} = 0.89$ , *P-value* = 0.36), and neither were the Y intercepts ( $F_{1, 19} = 1.4$ , *P-value* = 0.25). Combining data of all livestock, we obtained the following equation between  $\delta^{13}C_{diet}$  and the average grazing altitude (r = -0.76;  $r^2 = 0.57$ ; *P-value* = 7e<sup>-5</sup> – Fig. 8):

$$\delta^{13}C_{diet} = -2.519e^{-03}(\pm 4.985e^{-04}) \times Elev_{avrg} - 21.520 \ (\pm 1.076) \tag{5}$$

The slopes of the linear regressions between plant  $\delta^{13}$ C (i.e C<sub>4</sub> included) value and altitude, caprine  $\delta^{13}$ C<sub>diet</sub> value and altitude, and horse  $\delta^{13}$ C<sub>diet</sub> value and altitude were not significantly different (one-way ANCOVA - F<sub>2, 265</sub> = 0.14; *P-value* = 0.87). The Y intercepts were not significantly different either (F<sub>2, 265</sub> = 0.2; *P-value* = 0.85).

We also found a negative relationship between the  $\delta^{13}C_{diet}$  values of the diet consumed by the animals and the relative amount of time spent above 2000 m asl (Fig. 9):

Caprines: 
$$\delta^{13}C_{diet}$$
 (6)  
= -2.272 $e^{-02}(\pm 5.658e^{-03}) \times Time_Alt(\%) - 25.555(\pm 0.314)$   
(r<sup>2</sup> = 0.55, P-value = 0.001).

465

Horses: 
$$\delta^{13}C_{diet} =$$
 (7)  
= -1.163 $e^{-02}(\pm 2.906e^{-03}) \times Time_Alt(\%) - 26.728(\pm 0.197)$   
(r<sup>2</sup> = 0.80, P-value = 0.02).

466

467 The slopes of these two equations (Fig. 9) were not significantly different ( $F_{1, 19} = 1.5., P$ -468 *value* = 0.24), unlike the Y intercepts ( $F_{1, 19} = 5.7, P$ -*value* = 0.03).

Finally, enamel  $\delta^{13}$ C value of non-geolocalized horses was  $-12.8 \pm 1.1\%$  on average, with 469 values ranging from -16.4 to -10.6% (Table 4). Intra-tooth amplitude in  $\delta^{13}$ C value ranged 470 from 1.2 to 4.4%, with average amplitude of  $2.7 \pm 1.6\%$ . These non-geolocalized horses 471 displayed an average  $\delta^{13}C_{diet}$  of -26.5 ± 1.1, not significantly different (*P*-value = 0.6) from 472 the average  $\delta^{13}C_{diet}$  of caprines, but significantly higher (P -value = 0.02) than the average 473  $\delta^{13}C_{diet}$  of the monitored horses. Using equation 4 we estimated a mean grazing altitude of 474  $1584 \pm 376$  m, ranging from 1246 to 2012 m (Table 5), significantly lower (*p*-value = 0.03) 475 than the average grazing altitude of the monitored horses (2298  $\pm$  208 m; Table 2), with a 476 mean difference of 714 m. Using equation 7, we estimated that these horses spent 10% of 477 their time above 2000 m (Table 5), significantly less (P-value = 0.002) than the monitored 478 horses (64%; Table 2). 479

# 480 4.5 Co-variations between $\delta^{13}C$ vs. $\delta^{18}O$ values

481 The relationships between  $\delta^{13}$ C and  $\delta^{18}$ O values for all the individuals are shown in Figures I. 482 Dl caprines showed a positive correlation for one individual and no significant correlation for 483 the others. For Jk, Kj and Zk caprines all significant correlations were negative (Table 4). Finally, Kb caprines exhibited both positive and negative correlations between  $\delta^{13}$ C and  $\delta^{18}$ O intra-tooth values (Table 4 and Fig. 4). We found a marginally significant negative relationship (*P-value* = 0.08) between the standard deviation of the mean grazing altitude and the Pearson's correlation coefficients between  $\delta^{13}$ C and  $\delta^{18}$ O values of the sheep and goat teeth (Fig. 10).

For non-monitored horses, tooth enamel individual  $\delta^{13}$ C and  $\delta^{18}$ O values were negatively correlated (r coefficient from -0.88 to -0.26) and this relationship was statistically significant (*P*-value < 0.05), except for 2017-104-M2.

#### 492 **5. DISCUSSION**

# 493 5.1 Influence of altitudinal residency on $\delta^{18}O$ values

We did not find any relationship between the average  $\delta^{18}$ O values of caprine tooth enamel and 494 mean grazing elevation. However, we found a negative relationship between the average  $\delta^{18}$ O 495 values and the percent of time spent grazing at high altitude (Fig. 6). This result supports the 496 hypothesis that tissues of animals grazing at higher elevation should record lower  $\delta^{18}$ O values 497 than animals grazing at lower elevation (Poage and Chamberlain, 2001; Pederzani and 498 Britton, 2019). The lack of correlation between average  $\delta^{18}$ O values and mean grazing 499 elevation could be explained by the fact that in our study area the water sources available for 500 501 drinking mainly consist in streams (resulting from snow melt), which are the products of various enrichment and transport processes (e.g. Gibson et al., 2002; Barbour, 2007; Halder et 502 al., 2015) and may not directly reflect  $\delta^{18}$ O values of meteoric water, especially given the low 503 amount of rainfall in this semi-arid region. 504

In addition, we noticed that range of altitudinal mobility is positively correlated with the (range in intra-tooth  $\delta^{18}$ O values (Fig. 7). This is in agreement with the prediction of Mashkour et al. (2005) who stated that transhumant animals should record larger intra-tooth  $\delta^{18}$ O variability due to the ingestion of isotopically more diverse sources of water and isotopic analyses of modern caprines in the eastern Pyrenees (Knockaert et al., 2018).

# 510 5.2 Influence of altitudinal residency on $\delta^{13}C$ values

511 We found a significant negative correlation between average tissue  $\delta^{13}$ C values and mean 512 grazing altitude for caprines and horses (Fig. 8). Because tissue  $\delta^{13}$ C values derive from that 513 of the animal's diet, it is reasonable to assume that the altitudinal effect is caused by plant 514  $\delta^{13}$ C values, which also exhibit this negative correlation with altitude (Figure A.1). The

negative relationship between  $\delta^{13}$ C of plants and altitude is similar to that observed in several 515 mountainous areas like the Spanish Pyrenees (Tornero et al., 2018), central China (Liu et al., 516 2016), or central Mongolia (Makarewicz and Tuross, 2006). The correlation we found seems 517 to be influenced by the rare presence of C<sub>4</sub> plants with high  $\delta^{13}$ C values (> -20%) in low 518 altitude (Figure A.2). The absence of correlation between  $\delta^{13}C$  of C<sub>3</sub> plants and altitude in 519 arid regions was described before and seems to be partly caused by a balance between the 520 positive effect of temperature and precipitation and the negative effect of atmospheric 521 pressure (Chen et al., 2017). It is noteworthy that the correlation found between  $\delta^{13}$ C of plants 522  $(C_3 \& C_4)$  and altitude is in apparent contradiction with Lazzerini et al. (2019) who did not 523 find any correlation between high-resolution time series in horse tail hair  $\delta^{13}$ C value and 524 altitude. This apparent discrepancy, obtained on the same dataset analyzed at two different 525 scales (sequential vs. bulk), could be due to the fact that frequent (daily) changes in altitude 526 527 by horses increase the noise to signal ratio and make any relationship between altitude and  $\delta^{13}$ C difficult to detect at a daily to weekly resolution. 528

It is also interesting to note that  $\delta^{13}C_{diet}$  values of geolocalized horses were significantly lower 529 than those of caprines, similar to what was also observed in the northern Kazakh steppe 530 (Ventresca Miller et al., 2018). This can be interpreted as the result of different dietary 531 preferences by the two species. While horses prefer to feed in wetter grasslands, near streams 532 (King, 2002; Menard et al., 2002) caprines can reach steep slopes in high altitude pastures to 533 find fresh grass (Negi et al., 1993 and own field observations). These slope pastures are 534 potentially dryer than valley bottoms and this may explain the higher  $\delta^{13}C$  diet values of 535 sheep and goats. 536

Our results also show that there is a negative relationship between average  $\delta^{13}C_{diet}$  of caprine 537 and horse tooth enamel and average amount of time spent above 2000 m.asl (Fig. 9). For 538 caprines,  $\delta^{13}C_{diet}$  values  $\leq -27\%$  are indicative of preferential residency ( $\geq 66\%$ ) above 2000 539 m asl whereas  $\delta^{13}C_{diet}$  values  $\geq -26\%$  are indicative of preferential residency ( $\geq 90\%$ ) below 540 2000 m asl. For horses (Fig. 9),  $\delta^{13}C_{diet}$  values  $\leq -27.5\%$  would reflect preferential 541 exploitation ( $\geq 66\%$ ) of high altitude pastures, whereas average  $\delta^{13}C_{diet}$  values  $\geq -26.8\%$ 542 would indicate preferential exploitation ( $\geq 90\%$ ) of lowland pastures. When used in 543 combination with other information, these results might help to differentiate between animals 544 found in mountainous zones. However, it is important to note that the sensitivity of this 545 altimetric proxy is low (Eq. 3 to 7) and may limit the applicability of this approach to the 546 estimation of altitudinal occupation for modern and archaeological animals whose mobility 547

pattern is unknown. Moreover, it is essential to emphasize that the equation may not be
directly transferable to other study areas as the specificity of the local conditions (climate,
vegetation) may impact its characteristics (slope, intercept).

Finally, it is important to discuss the difference of 700 m in grazing elevation estimated for 551 monitored (derived from the hair dataset) versus non-monitored horse (derived from the tooth 552 553 enamel dataset). The average altitude estimated for the non-monitored horse (1250m) is lower than the lowest elevation of the study area. This discrepancy probably results from (1) the low 554 sensitivity of the altimetric proxy (2) uncertainty in the enamel-diet fractionation factor used 555 for horses. We used the enamel-diet enrichment factor of +13.7 proposed for the horse by 556 Cerling and Harris (1999). This value is based on uncontrolled animals and is associated with 557 an uncertainty of 1.9%. Shifting this enrichment factor by 1 % only would reconciliate the 558  $\delta^{13}C_{diet}$  values calculated for monitored and non-monitored horses. It would lead to an average 559 grazing altitude of 2264±376 m, and a 59% occupation of pasture above 2000 m for the non-560 monitored horses (Table 5). An enrichment factor between diet and enamel of 14.7 % for 561 horses seems reasonable as it remains within the uncertainty proposed by Cerling and Harris 562 563 (1999) but also corresponds to that of zebras (*Equus burchelli*), close relatives of domestic horses (Cerling and Harris, 1999; Tejada-Lara et al., 2018). 564

# 565 5.3 Relationship between $\delta^{13}C$ , $\delta^{18}O$ and altitudinal mobility

We found that carbon and oxygen isotope values were negatively correlated for animals 566 567 which exploited a large altitudinal range of pastures (i.e. Jk and Kj animals), whereas a positive correlation was found for more residential animals (i.e. Dl). As a result, the 568 569 correlation between carbon and oxygen isotope values of caprine tooth enamel (Pearson'r) 570 displayed a marginally significant decrease with the standard deviation of the animal 571 altitudinal residency (SD-Altitude - Fig. 10). This result suggests that the anti-correlation between  $\delta^{13}$ C and  $\delta^{18}$ O sequences can indeed be interpreted as evidence for vertical mobility, 572 as proposed by several authors (Fisher and Valentine, 2013; Tornero et al., 2016; 2018; 573 Makarewicz, 2017; Makarewicz et al., 2017). It is noteworthy that this relationship was found 574 in a C<sub>3</sub>-dominated environment and might be slightly different in a mixed  $C_3/C_4$  environment. 575 Moreover, this anti-covariation was also found for horse (Fig. 5) which, even if not 576 monitored, most likely followed the herds in their altitudinal mobility. This anti-covariation 577 can be explained in two different ways: (i) a complete reversal of the  $\delta^{18}$ O cycle with low 578  $\delta^{18}$ O during summer (Hermes et al., 2017), or (ii) higher  $\delta^{13}$ C values during winter. The first 579 hypothesis is purely theoretical and remains to be tested with real animals. In our case, we 580

showed that this model is unlikely because O isotope values are positively correlated with air 581 temperatures thus high  $\delta^{18}$ O values recorded in enamel correspond to summer (Lazzerini et 582 al., 2020). Therefore, the absence or presence of covariation between  $\delta^{13}C$  and  $\delta^{18}O$  profiles 583 should be due to differences in seasonal variations of  $\delta^{13}$ C values between residential and 584 mobile animals. The lower summer  $\delta^{13}C$  values could be explained by the higher water-585 availability of alpine meadows during summer due to increased precipitation and snow 586 587 melting during this period, allowing access to rich pasture for herds. The increase in  $\delta^{13}$ C values during winter can be explained by hydric stress. As the growing season is very short 588 and restricted to the summer period (Eisma, 2012), plants ingested by animals during winter, 589 mainly in the lowlands, grew during summer and would be <sup>13</sup>C-enriched due to the higher 590 temperature and water stress in the lowlands compared to the uplands. Alternatively, it could 591 be explained by a diet switch. Sheep and goats of desert-steppe in Mongolia have been 592 593 observed to increase the proportion of shrub and forbs in their diet during summer (Wingard et al., 2011; Chazin et al., 2019). Shrubs and forbs are relatively <sup>13</sup>C-depleted relative to grass 594 and thus an increase in proportion in the diet would lead to lower  $\delta^{13}$ C values (Smedley et al., 595 1991; An and Li, 2015). Forbs, as Caragana sp., are found only in valley bottoms and 596 597 lowland areas in our study area (Figure J). However, Jk, Kb, Kj and Zk's herds did not remain at high altitude during the whole summer. They exhibited important altitudinal mobility and 598 599 often stood at low altitude during spring/early summer and late summer (Fig. 2 and J). Therefore, a seasonal preference for forbs and shrubs in the summer vs grass in the winter 600 601 could well explain the summer minimum and winter maximum measured in enamel  $\delta^{13}C$ values. DI animals, with a permanent residency at high altitude, had probably less access to 602 forbs which resulted in higher  $\delta^{13}$ C values during summer compared to the other animals. 603

#### 604 6. CONCLUSION

The Altai region hosts Mongolia's oldest record of pastoral occupation (e.g. Kovalev and Erdenebaatar 2009). Our aim was to propose a toolkit for understanding and interpreting archaeofauna from Bronze and Iron Age burials and archaeological sites in the region, in order to understand the emergence of pastoral societies. To do this, we combined detailed isotope data with careful life-history control in order to clarifying the relationship between livestock stable isotope composition and mobility patterns.

611 Our study demonstrates that  $\delta^{13}$ C and  $\delta^{18}$ O analyses of tooth enamel and  $\delta^{13}$ C of tail hair can 612 be used to make inferences regarding animal mobility and land use in mountainous regions.

We showed that average  $\delta^{13}$ C values of livestock recorded mean grazing altitude and could be 613 used to calculate the amount of time spent in alpine meadows. Vertical mobility in modern 614 livestock from western Mongolia may also be identified through sequential sampling of  $\delta^{13}$ C 615 and  $\delta^{18}$ O of sheep tooth enamel. The comparison of  $\delta^{13}$ C and  $\delta^{18}$ O values was very 616 informative to reveal variation in the altitudinal pattern of animals: individuals displaying a 617 large range of altitudinal residency also showed a negative correlation between  $\delta^{13}$ C and  $\delta^{18}$ O 618 sequences, whereas individuals with a more restricted mobility displayed a positive 619 correlation between  $\delta^{13}C$  and  $\delta^{18}O$  values. This pattern seems to be controlled by 620 environmental factors, such as changes of water availability/aridity with altitude, seasonal 621 precipitation in summer and could also be influenced by ecological factors such as the 622 seasonal and altitudinal dependence of the relative proportion of forbs versus grasses in the 623 624 diet.

It is important to note, however, that mobility patterns can be quite complex and that 625 methodological advancements (e.g. modelling, higher sampling resolution) will be needed to 626 more fully picture this complexity. This is important to keep in mind when interpreting 627 mobility patterns in archaeological specimens. We also stress that directly applying our results 628 to identify altitudinal mobility of livestock in other climatic regions may not be 629 straightforward and will require to establish similar modern reference datasets in order to 630 determine isotopic markers of altitudinal mobility. Finally, further investigations are needed 631 to decipher between the influence of foddering practices (Makarewicz and Pederzani, 2017) 632 and vertical mobility. 633

634

#### 635 Credit author statement

Conceptualization: A.Z, S.L., A.C. and C.N. Methodology: N.L, A.C, and A.Z. Sofware: N.L. and
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641

### 642 Data availability statement

643 The data that supports the findings of this study are available in the supplementary materials of this644 article.

645

#### 646 Declaration of competing interest

647 The authors declare that they have no known competing financial interests or personal relationships648 that could have appeared to influence the work reported in this paper.

649

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#### 986 Figure captions

**Figure 1:** Map of the study area and its location in Mongolia (bottom right) with the location of the campsites of the five Kazakh-Mongolia households whose animals were tracked by GPS. Black crosses represent plant sampling sites (n = 246).

**Figure 2:** Temporal altitudinal variations of GPS-monitored caprine herds from the five Kazakh-Mongolian households, based on the locations monitored by one selected GPS collar for each of the three periods. Each panel represents a different herder (A =Dl; B = Jk; C = Kb; D = Kj; E = Zk). For individual Alt16-283-M3 (B) only altitudinal mobility that differs from that of the other individuals of Kb, between 31/01/2016 and 04/05/2016, has been represented (red line). The different rectangles represent the estimated growth periods of the fifteen teeth used in the study.

Figure 3: Histograms showing (A) The distribution (in black) and mean (red dashed line) of 997 local  $\delta^{13}$ C values from the 246 plant samples from the study area, on top of the  $\delta^{13}$ C 998 999 distribution values of global C<sub>3</sub> (white) and C4 (grey) plants after O'Leary (1988). Note that 1000 because raw data of O'Leary (1988) were not available and the original y-axis was not preserved, the C<sub>3</sub> and C<sub>4</sub> areas only show the relative frequency of  $\delta^{13}$ C values of plants. (B) 1001 The distribution of  $\delta^{13}$ C values of sheep and goat diet calculated from enamel  $\delta^{13}$ C values 1002 based on a carbon isotope fractionation ratio between diet and tooth enamel bioapatite of -1003 15.4% (Zazzo et al., 2010). The red dashed line represents the mean  $\delta^{13}C_{diet}$  value. 1004

**Figure 4:** Sequential variations of  $\delta^{18}$ O (white circles) and  $\delta^{13}$ C (black squares) along the tooth crown from the fifteen molars of sheep and goat (M2 and M3) belonging to Dl (A), Jk (B), Kb (C), Kj (D) and Zk (E). Samples are located in the tooth crown using the distance from enamel-root junction (ERJ) in mm

**Figure 5:** Oxygen ( $\delta^{18}$ O) and carbon ( $\delta^{13}$ C) isotopes values along the tooth crown of modern horse molars. ERJ is the distance between the sample and the enamel-root junction. White and black circles represent measured  $\delta^{18}$ O (%) and  $\delta^{13}$ C (%) respectively, with analytical error inside the square.

**Figure 6:** Mean  $\delta^{18}$ Oenamel (%) of sheep (triangle) and goat (circle) plotted against the percentage of time, during tooth formation, spent grazing at high altitude (> 2000 m.asl) with the corresponding linear regression (dashed black line). Shaded areas are the 95% confidence 1016 interval of each linear regression. Each herder is represented with a different color. Vertical1017 bars indicate the standard deviation of the calculated average

1018 **Figure 7:** Intra-tooth amplitude of  $\delta^{18}$ O (‰) of sheep (triangle) and goat (circle) plotted 1019 against the standard deviation of the mean grazing altitude during tooth formation with the 1020 corresponding linear regression (dashed black line). Shaded areas are the 95% confidence 1021 interval of each linear regression. Each herder is represented with a different color.

- **Figure 8:** (1) Mean  $\delta^{13}$ C<sub>diet</sub> (%) of sheep (triangle) and goat (circle) (calculated from enamel 1022  $\delta^{13}$ C values with carbon isotope fractionation of -15.4%) plotted against the mean grazing 1023 altitude during the tooth formation with the corresponding linear regression (dashed black 1024 line). Compared with (2) Mean  $\delta^{13}C_{diet}$  (%) of horses (square) from the same study area 1025 (Lazzerini et al.; 2019), plotted against the mean grazing altitude with the corresponding 1026 linear regression (dot dashed line). Shaded areas are the 95% confidence interval of each 1027 linear regression. Each herder is represented with a different color. Red line represents the 1028 linear regression including all caprine and horse data. For better readability, the error bars 1029 1030 have been removed and are visible in Figure H.3 in Supplementary material.
- **Figure 9:** (1) Mean  $\delta^{13}C_{diet}$  (%) of sheep (triangles) and goats (circles) (calculated from 1031 enamel  $\delta^{13}$ C values with carbon isotope fractionation of -15.4%) plotted against the 1032 percentage of time, during tooth formation, spent grazing at high altitude (> 2000 m.asl), with 1033 the corresponding linear regression (dashed black line). Compared with (2) Mean  $\delta^{13}C_{diet}$  (%) 1034 of horses (square), from the same study area, plotted against the percentage of time, during 1035 tooth formation, spent grazing at high altitude (> 2000 m.asl) with the corresponding linear 1036 regression (dot dashed line). Shaded areas are the 95% confidence interval of each linear 1037 regression. Each herder is represented with a different color. Vertical bars indicate the 1038 1039 standard deviation of the calculated average.
- **Figure 10:** Correlation coefficient (Pearson's r) between  $\delta^{13}$ C and  $\delta^{18}$ O of enamel of sheep (triangle) and goats (circle) plotted against the standard deviation of the mean grazing altitude during tooth formation, with the corresponding linear regression (dashed black line). Shaded area is the 95% confidence interval of the linear regression. Colors represent the different herders.
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**Table 1:** Information about animals equipped with GPS collars (Gb = Globalstar; Ir = Iridium) during the three study periods. \* = GPS 38766 was equipped on the same individual during periods 1 & 2. \*\* = sampling of an animal from the same herd that did not have a GPS collar.  $\dagger$  GPS out of service before the field mission during which the animal was sampled and the GPS collars reclaimed.

**Table 2:** Characteristics of the geolocalised reference set of animal tissues used in the analyses with altitude data corresponding to the period of tooth formation. DOB = date of birth. The start and the end of formation of each tooth were calculated from the average dental development rate. <sup>1</sup> Time-GPS represents the percentage of the period of formation covered by GPS tracking. <sup>2</sup> Time-Alt represents the proportion of time, during the period of tooth formation, spent at high altitude (> 2000 m.asl). A = amplitude. \* All data concerning tail hair of horses are from Lazzerini et al. (2019).

**Table 3:** Characteristics of the non-geolocalised modern horses sampled for this study. DOB
= date of birth. DOD = date of death. Subscript and superscript indicate that the molar was
taken from the lower or upper jaw, respectively.

- **Table 4:** Summary statistics of the isotopic values of  $\delta^{13}$ C and  $\delta^{18}$ O sequences of enamel bioapatite samples. <sup>1</sup>:  $\delta^{18}$ O values from Lazzerini et al. (2020). <sup>2</sup>:  $\delta^{18}$ O values from this study.
- 1064 N = number of samples by tooth. Pearson's r = correlation coefficient between  $\delta^{13}$ C and  $\delta^{18}$ O
- sequences, df = degree of freedom of the correlation, *p-value* = significance level.

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Animal & Tooth sample ID	GPS type	Species (Sex)	Start GPS	End GPS	Herder
Period 1: June 2015 – Sept.	2016				
Alt16-103	Ir	Capra (M)	17/06/2015	03/09/2016	Jk
Alt16-104	Gb	Ovis (M)	19/06/2015	07/09/2016	Jk
Alt16-156	Gb	Capra (M)	19/06/2015	05/09/2016	Jk
Alt16-258	Ir	Capra (M)	16/06/2015	11/09/2016	Kb
Alt16-285	Gb	Capra (M)	17/06/2015	12/09/2016	Kb
Alt16-283	Gb	Ovis (M)	16/06/2015	12/09/2016	Kb
Alt16-306	Ir	Ovis (M)	19/06/2015	14/09/2016	Kj
Alt16-362	Gb	Capra (M)	19/06/2015	07/10/2017 *†	Kj
Alt16-369 **	Gb	Capra (M)	19/06/2015	20/09/2016	Kj
Period 2: Sept 2016 – Nov. 2	2017		_		
2017-70	Gb	Ovis (M)	16/09/2016	17/11/2017	Dl
2017-71	Gb	Capra (M)	16/09/2016	17/11/2017	Dl
2017-146	Gb	Capra (M)	05/09/2016	03/05/2017 †	Jk
2017-98	Gb	Capra (M)	18/09/2016	09/11/2017	Kb
2017-124	Gb	Capra (M)	21/09/2016	09/11/2017	Kj
2017-130	Gb	Capra (M)	17/09/2016	29/11/2017	Zk
Period 3: Nov. 2017 – July 2					
2018-63	Gb	Ovis (M)	17/11/2017	24/07/2018	Dl
2018-23 **	Ir	Ovis (M)	18/11/2017	20/07/2018	Kb

Caprine tooth Samn			Individual	Start	- End	-	-	Al	titude (	<b>m</b> )	
sample	tooth	Herder	DOB	formation	formation	Time <sub>GPS</sub> <sup>1</sup>	Mean ± sd	Max	Min	A	Time-Alt 2
2017-70-M3	M <sub>3</sub> left	Dl	31/03/2015	05/02/2016	30/05/2017	66%	2319 ± 112	2895	1991	904	59%
2017-71-M3	M <sub>3</sub> left	Dl	31/03/2015	05/02/2016	30/05/2017	66%	2319 ± 112	2895	1991	904	59%
2018-63B-M2	M <sub>2</sub> left	Dl	31/03/2017	08/05/2017	30/04/2018	100%	2376 ± 125	2980	2100	880	100%
Alt16-104a-M3	M <sub>3</sub> left	Jk	31/03/2014	05/02/2015	30/05/2016	77%	1996 ± 361	2973	1450	1523	43%
2017-146-M2	M <sub>2</sub> left	Jk	21/02/2015	08/05/2015	29/04/2016	88%	$2026 \pm 402$	2973	1450	1523	61%
2017-146-M3	M <sub>3</sub> left	Jk	31/03/2015	05/02/2016	30/05/2017	100%	1934 ± 361	3003	1463	1540	31%
Alt16-283a-M3	M <sub>3</sub> left	Kb	31/03/2014	05/02/2015	30/05/2016	77%	$2101 \pm 332$	3132	1454	1678	50%
2017-98-M2	M <sub>2</sub> left	Kb	21/02/2015	08/05/2015	29/04/2016	88%	$2060 \pm 344$	3024	1437	1587	85%
2017-98-M3	M <sub>3</sub> left	Kb	31/03/2015	05/02/2016	30/05/2017	100%	2119 ± 327	2964	1444	1520	57%
2018-23A-M3	M <sub>3</sub> right	Kb	31/03/2016	31/05/2017	29/06/2016	100%	2256 ± 227	3348	1455	1893	67%
Alt16-306a-M3	M <sub>3</sub> left	Kj	31/03/2014	05/02/2015	30/05/2016	77%	2059 ± 269	2734	1686	1048	33%
Alt16-369a-M3	M <sub>3</sub> left	Kj	31/03/2014	05/02/2015	30/05/2016	77%	2059 ± 269	2734	1686	1048	33%
2017-124-M2	M <sub>2</sub> left	Kj	21/02/2015	08/05/2015	29/04/2016	88%	2072 ± 277	2734	1686	1048	47%
2017-124-M3	M <sub>3</sub> left	Kj	31/03/2015	05/02/2016	30/05/2017	100%	$2021 \pm 307$	3014	1690	132	22%
2017-130-M3	M <sub>3</sub> left	Zk	31/03/2015	05/02/2016	30/05/2017	66%	$1645 \pm 90$	2468	1581	887	0.2%
Hair sample of	horses *			Start formation	End formation	Time- GPS <sup>1</sup>	Mean ± sd	Max	Min	A	Time-Alt
DI 1		Dl	_	05/02/2016	03/11/2017	64%	2366 ± 138	2814	1698	1116	97%
Jk 1		Jk	-	19/04/2015	16/11/2017	94%	2115 ± 476	2916	1450	1466	43%

Jk 2	Jk	-	14/02/2017	18/07/2018	44%	2580 ± 477	3216	1447	1769	81%
Kb 1	Kb	-	12/09/2014	06/11/2017	68%	2433 ± 432	3157	1205	1952	76%
Кј 1	Kj	-	22/04/2015	26/08/2016	88%	2278 ± 459	3154	1468	1686	56%
Кј 2	Kj	-	23/03/2016	06/007/2018	80%	2014 ± 356	3103	1429	1674	30%

Horse tooth sample	Herder	Sex	DOB	DOD	Estimated age (years)	Sampled tooth
2017-104 M2	Kb	F	April–May 2013	10 November 2016	2.5	M <sub>2</sub> right
2018-17B M3	?	М	2013-2014?	early July 2017	4	M <sup>3</sup> right
2018-57A M3	?	М	2003?	late February 2007	3-4	M <sup>3</sup> left
2018-58B M3	Kj	М	2015?	20–25 April 2018	3	M <sup>3</sup> right
2018-59A M1	?	F	2016?	January 2018	1.5	M <sub>1</sub> right

Handan	Tooth sample	N	δ <sup>13</sup> C (% V-PDB)			δ <sup>18</sup> O (% V-PDB)				D	36		
Herder			Mean ± sd	Max	Min	Α	Mean ± sd	Max	Min	Α	- Pearson's r	ai	p-vaiue
	2017-70-M31	21	$-12.14 \pm 0.29$	-11.6	-12.6	1.0	$-7.50 \pm 3.08$	-3.2	-12.4	9.2	0.13	19	>0.05
Ы	2017-71-M31	20	$-12.15 \pm 0.33$	-11.4	-12.6	1.2	$-7.19 \pm 3.19$	-3.2	-12.4	9.2	0.33	18	>0.05
DI	2018-63B-M21	18	$-12.88 \pm 0.57$	-11.8	-13.7	1.9	$-10.37 \pm 2.14$	-6.5	-13.0	6.5	0.52	16	<0.05
	All	59	$-12.37 \pm 0.53$	-11.4	-13.7	2.3	$-8.27 \pm 3.15$	-3.2	-13.0	9.8			
	Alt16-104a-M31	24	$-10.70 \pm 0.69$	-10.0	-12.1	2.1	$-7.66 \pm 3.83$	-1.5	-13.4	12.0	-0.91	22	<0.001
п.	2017-146-M2 <sup>2</sup>	16	$-11.60 \pm 0.31$	-11.2	-12.2	1.0	$-7.94 \pm 4.24$	-1.6	-12.8	11.1	-0.37	14	>0.05
JK	2017-146-M31	24	$-11.51 \pm 0.35$	-11.0	-12.1	1.1	$-7.69 \pm 3.28$	-2.3	-12.6	10.3	-0.69	22	<0.001
	All	64	$-11.23 \pm 0.64$	-10.0	-12.2	2.3	-7.74 ± 3.59	-1.5	-13.4	12.0			
	Alt16-283a-M31	24	$-10.87 \pm 0.39$	-10.2	-11.5	1.3	$-8.66 \pm 3.13$	-3.5	-13.8	10.4	-0.78	22	<0.001
	2017-98-M2 <sup>2</sup>	14	$-11.47 \pm 0.53$	-10.8	-12.6	1.8	$-10.44 \pm 3.48$	-3.9	-14.6	10.7	0.61	12	<0.05
Kb	2017-98-M31	18	$-11.23 \pm 0.90$	-11.1	-11.8	0.7	$-8.15 \pm 3.35$	-3.3	-13.1	9.8	0.10	16	>0.05
	2018-23A-M31	21	$-11.48 \pm 0.41$	-10.9	-12.4	1.5	$-8.18 \pm 4.06$	-2.3	-13.3	10.9	-0.38	19	>0.05
	All	77	-11.46 ± 0.39	-10.8	-12.6	1.8	-8.77 ± 3.75	-2.3	-14.6	12.2			
	Alt16-306a-M31	24	$-10.25 \pm 0.57$	-9.4	-11.2	1.8	$-7.39 \pm 3.33$	-2.2	-13.2	11.0	-0.83	22	<0.001
	Alt16-369a-M31	18	$-10.86 \pm 0.79$	-10.1	-12.8	2.7	$-6.47 \pm 4.29$	-0.1	-12.3	12.2	-0.74	16	<0.001
Kj	2017-124-M2 <sup>2</sup>	16	$-10.93 \pm 0.27$	-10.4	-11.3	0.9	$-7.57 \pm 3.65$	-1.6	-12.3	10.7	-0.39	14	>0.05
	2017-124-M31	23	$-10.92 \pm 0.49$	-10.2	-11.8	1.6	-7.77 ± 3.91	-1.1	-13.1	12.0	-0.38	21	>0.05
	All	81	$-10.57 \pm 0.70$	-9.4	-12.8	3.3	$-7.38 \pm 3.80$	-0.1	-13.4	13.2			
Zk	2017-130-M31	25	$-10.76 \pm 0.73$	-9.6	-12.3	2.6	$-6.84 \pm 3.57$	-0.9	-11.9	11.0	-0.28	23	>0.05
Kb	2017-104 M2	30	$-134 \pm 0.4$	-12.8	-14.0	1.2	-11.8 ± 1.9	-7.2	-14.7	7.5	-0.26	28	>0.05
?	2018-17B M3	20	$-13.3 \pm 1.6$	-11.9	-16.4	4.4	$-10.9 \pm 3.3$	-5.0	-14.5	9.5	-0.72	18	<0.001
?	2018-57A M3	23	$-12.2 \pm 1.4$	-10.6	-15.0	4.4	$-10.4 \pm 1.7$	-6.8	-13.3	6.5	-0.60	21	<0.01
Kj	2018-58B M3	20	$-12.3 \pm 0.5$	-11.6	-13.0	1.4	$-11.2 \pm 3.1$	-7.5	-16.1	8.6	-0.88	13	<0.001
?	2018-59A M1	16	$-12.5 \pm 0.7$	-11.2	-13.3	2.1	$-9.2 \pm 2.7$	-5.6	-14.4	8.8	-0.82	14	<0.001