

# Thermodynamic uptake of atmospheric CO2 in the oligotrophic and semiarid São Francisco estuary (NE Brazil)

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1	Thermodynamic uptake of atmospheric CO <sub>2</sub> in the oligotrophic and semi-arid São Francisco
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## 30 Abstract

31 Estuarine carbonate chemistry predicts that thermodynamic equilibration during the mixing of 32 freshwater with seawater will generate a carbon dioxide (CO<sub>2</sub>) sink for the case of warm and poorly 33 buffered tropical rivers. The São Francisco River estuary has historically become oligotrophic, after 34 the construction on its watershed of a series of hydroelectric dams, where organic matter and 35 nutrients are retained. During two cruises in late winter (Aug. 2014) and early summer (Nov. 2015), 36 dissolved inorganic carbon (DIC) and total alkalinity (TA) increased linearly with salinity in the main 37 estuarine channel, where the mixing time of water was half a day, and showed a close to 38 conservative behaviour. In the main channel, water partial pressure of CO<sub>2</sub> (pCO<sub>2</sub>) recorded at 1-39 min frequency, followed an asymmetric bell-shaped trend versus salinity, close to the curve 40 predicted by thermodynamic conservative mixing of freshwater DIC and TA with seawater DIC and 41 TA. The low (0-3) salinity region was always a source of atmospheric CO<sub>2</sub>, where, despite low 42 chlorophyll concentrations, a  $pCO_2$  diurnal change of about 60 ppmv suggested the occurrence of 43 photosynthesis in summer. At salinities above 3, under-saturated  $pCO_2$  values (down to 225 ppmv 44 in winter and neap tides) and invasion of atmospheric CO<sub>2</sub> of 0.38-1.70 mmol m<sup>-1</sup> h<sup>-1</sup> occurred 45 because of predominating thermodynamics during estuarine mixing. In winter and neap tides, 46 higher river discharge, intense estuarine mixing, lower temperatures and limited tidal pumping 47 resulted in observed  $pCO_2$  different from theoretical conservative  $pCO_2$  by less than 3ppmv at 48 salinities >3. Conversely, in summer and spring tides, recorded pCO<sub>2</sub> values were on average 49 +43 $\pm$ 35 ppmv above the conservative mixing curve, when tidal pumping, CO<sub>2</sub> invasion and surface 50 heating were more significant in the mixing zone, but not sufficient to offset the thermodynamic 51 uptake of atmospheric CO<sub>2</sub>. By combining carbonate chemistry with estuarine mixing modelling 52 and gas exchange calculations, we estimate that heating contributed to about 15% and gas 53 exchange to about 10% of the positive  $pCO_2$  deviation from conservative mixing during summer. 54 The remaining 75% of the deviation was maximum at ebb tides and within a salinity ranges 55 consistent with the occurrence of tidal pumping from marches and mangrove soils. Indeed, in the 56 mangrove channel, water were supersaturated with  $pCO_2$  values of 976±314ppmv, while in the 57 main channel, highest positive  $pCO_2$  deviations from conservative mixing (up to +100ppmv during 58 several hours) occurred at ebb tides. An important finding was that, in the São Francisco, the

- 59 thermodynamic and biological processes compete with each other to CO<sub>2</sub> fluxes both at low
- 60 salinities where evasion and autotrophy occur, and at high salinities where invasion, heterotrophy
- 61 and tidal pumping occur. Our study suggests that carbonate thermodynamics during mixing is a
- 62 key process that has been overlooked in estuarine studies, although it can generate important air-
- 63 water CO<sub>2</sub> exchange and contribute significantly to the carbon budget of estuaries and river
- 64 plumes.
- 65

# 66 Keywords

- 67 Carbonate equilibria, estuarine mixing, tidal pumping, heterotrophy, heating, gas exchange
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## 70 **1. Introduction**

71 The necessity of reducing uncertainties on global estimates of CO<sub>2</sub> sources and sinks on Earth has 72 promoted research on the carbon cycle in estuarine and coastal ecosystems particularly during the 73 last three decades (Wollast, 1991; Smith and Hollibaugh, 1993; Frankignoulle et al., 1998; Cai, 74 2011; Borges and Abril, 2011; Bauer et al., 2013; Dinauer and Mucci, 2017, Maher et al., 2018). 75 Despite their small surface areas, estuaries have been identified as a hotspot of CO<sub>2</sub> emissions, 76 able to offset a large part of the  $CO_2$  sink on the continental shelves (Chen and Borges, 2009). 77 This conclusion was based on field observations in temperate and boreal regions, where estuaries 78 have been recognized as  $CO_2$  sources and as heterotrophic ecosystems, that is, with a negative 79 net ecosystem production (NEP) (Smith and Hollibaugh, 1993; Borges and Abril, 2011). Upper 80 estuarine regions with generally well-mixed channels are almost always net heterotrophic, 81 supersaturated in  $CO_2$  and a strong source of  $CO_2$  to the atmosphere (Frankignoulle et al., 1998; 82 Borges et al., 2006; Sarma et al., 2012). In contrast, lower estuarine regions with high salinities, 83 including large surfaces of river plumes at sea and coastal embayments, are sites of phytoplankton 84 blooms, and may have a net autotrophic metabolism (positive NEP) at least in the surface layer, 85 and behave as CO<sub>2</sub> sinks, at minima seasonally (Körtzinger, 2003; Borges et al., 2006; Cai et al., 86 2013; Cotovicz et al., 2015; Lefèvre et al., 2017).

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88 The global CO<sub>2</sub> emissions from estuaries suffer from large uncertainties due to spatial and 89 temporal heterogeneity (Chen and Borges, 2009). In most studies, the relationship between NEP 90 and CO<sub>2</sub> fluxes could not be established quantitatively because many processes other than 91 community respiration and primary production can alter the partial pressure of  $CO_2$  (pCO<sub>2</sub>) and the 92 direction and intensity of the air-sea  $CO_2$  flux in estuaries (Borges, 2005). For instance, in 93 eutrophic estuaries, nitrification can titrate TA to CO<sub>2</sub>, contrarily to carbonate dissolution that can 94 consume CO<sub>2</sub> and generate TA (Frankignoulle et al., 1996; Abril and Frankignoulle, 2001; Abril et 95 al., 2003; Cotovicz et al., 2021). Some dissolved CO<sub>2</sub> transported laterally from freshwaters or tidal 96 marshes and mangroves is ventilated in river-dominated and/or macrotidal estuaries (Abril et al., 97 2000; Neubauer and Anderson, 2003; Borges et al., 2003; 2006; Cai, 2011). In general, advection 98 of surface or sub-surface waters enriched in DIC, and/or biodegradable organic carbon (OC), as

well as the presence of vegetation at the land-water interface, complicates the calculation of NEP
and its relationship with CO<sub>2</sub> fluxes (Borges, 2005; Cai, 2011; Borges and Abril, 2011; Santos et
al., 2018; Maher et al., 2018).

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103 In estuaries, water  $pCO_2$  is also strongly modified by the displacement of the acid-base equilibria of 104 the carbonate system during the mixing of freshwater with seawater. As a consequence, the 105 concentrations of bicarbonate (HCO<sub>3</sub><sup>-</sup>) and carbonate (CO<sub>3</sub><sup>2-</sup>) ions, as well as pCO<sub>2</sub> in a mixture of 106 two waters with different salinities and temperatures, are very different from the arithmetic mean of 107 these parameters in the two waters. In their pioneer theoretical work, Whitfield and Turner (1986) 108 applied newly published carbonate dissociation and gas solubility constants to calculate the 109 theoretical distribution of  $pCO_2$  along the salinity gradient induced by the conservative mixing of 110 DIC and TA from the freshwater and marine end-members. These authors showed that "the mixing 111 of river water and sea water [...] tends to produce significant disequilibrium between the estuary 112 and the atmosphere which may manifest itself as a deficit or as an excess of carbon dioxide, 113 depending on the pH and alkalinity of the river water." However, in recent years, studies rarely 114 consider in detail carbonate thermodynamic during mixing as a potential driver of estuarine CO<sub>2</sub> 115 fluxes, although it can generate some atmospheric CO<sub>2</sub> release or uptake additional to NEP (Cai et 116 al., 2013; Lefèvre et al., 2017; Delaigue et al., 2020; Cotovicz et al., 2020). Most studies have 117 focused on the correlation between biological components of NEP and CO<sub>2</sub> fluxes (Borges and 118 Abril 2011), and lateral C fluxes induced by tidal inundation and pumping (Cai, 2011; Maher et al., 119 2013; 2018). In the Amazon plume, a large part of the  $CO_2$  sink at salinities higher than 17 could 120 be attributed to thermodynamics, but unfortunately no  $pCO_2$  field data are available at salinities 121 lower than 17 (Körtzinger, 2003; Cai et al., 2013; Lefèvre et al., 2017). Recently, Cotovicz et al. 122 (2020) reported a CO<sub>2</sub> sink in the estuarine delta of the Paraiba do Sul River induced by a 123 combination of carbonate thermodynamics, ecosystem metabolism and temperature effects. 124 Although it is essential in the open ocean, the role of surface water heating or cooling on  $CO_2$ 125 fluxes is poorly documented in estuarine waters. In tropical and sub-tropical coastal systems, 126 surface heating and evaporation can have a large impact on CO<sub>2</sub> water-air fluxes (Yao and Hu 127 2017; Cotovicz et al., 2020; Yao et al. 2020).

128 In the present study, we report high resolution data of the carbonate system in a tropical, 129 oligotrophic estuary with short mixing time, where thermodynamics alone explains a large part of 130 the well-defined pCO<sub>2</sub> distribution. Owing to warm temperatures and low TA at the river end-131 member, the São Francisco estuary presents conditions that theoretically generate CO<sub>2</sub> invasion during estuarine mixing, even when supersaturation prevails in the river, a case similar to large 132 133 tropical rivers such as the Amazon and the Congo (Cai et al., 2013). The oligotrophic character of 134 the estuary and the short mixing time make chemical and physical processes predominate over 135 biological processes. The estuary is also connected to a small mangrove forest that may export 136 dissolved carbon to waters (Maher et al., 2013), and is subjected to important heating owing to the 137 semi-arid evaporative conditions in summer.

138

#### 139 **2. Material and methods**

140 2.1. Study area

The São Francisco River (Fig. 1) drains an area of 634 000 km<sup>2</sup> in Brazil that includes Pleistocene-141 142 Holocene plains (Dominguez, 1996). Precambrian rocks of the Brazilian shield dominate the 143 geology of the basin, and the climate varies from tropical humid in the upper and lower regions, to 144 semiarid in the middle region (Bernardes, 1951). The River course has been progressively 145 intensively modified between the 50's and the 90's by the construction of five hydroelectric 146 reservoirs, which had strong impacts on the estuary. Decreased precipitations combined to an 147 increased evaporation due to the presence of dams on the watershed have reduced the average annual freshwater discharge from about 2,895 m<sup>3</sup>s<sup>-1</sup> (1961-1990) to about 1,800 m<sup>3</sup>s<sup>-1</sup> (after Xingó 148 Dam) in 1995 and to less than 1000m<sup>3</sup>s<sup>-1</sup> during the last decade (Bernardes et al., 2012; 149 150 Cavalcante et al., 2017; de Jong et al., 2018). Floods that strongly influenced the estuarine 151 morphology were suppressed, and most of the riverine suspended sediments, nitrogen, phosphorus and silica were retained in reservoirs, turning the estuary oligotrophic, with chlorophyll 152 concentrations lower than 3  $\mu$ g L<sup>-1</sup> in more than 95% of the samples from recent years (Medeiros 153 154 et al., 2011; 2018; Melo et al., 2020; Melo-Magalhães et al. 2015). Today, the estuarine 155 morphology consists in a stratified, salt-wedge type, main channel, separated from the sea by a 156 shallow area of submerged dynamic sandy banks, and a secondary channel in the South,

157 surrounded by mangroves that have been partly deforested for shrimp farming. Water depth in the 158 main channel in on average 3m, with a maximum of 12m and very shallow depth at the mouth, 159 where sandy banks separate the estuary from the shelf. Strong saline stratification occurs in the 160 main channel and tidal movements generate large variations in surface water salinity and 161 extension of the plume on the shelf (Cavalcantes et al. 2020). Residence time of freshwater in the inner estuary with the surface area of 19km<sup>2</sup> is about half a day (Cavalcante et al. 2017). About 5% 162 163 of the freshwater transits through the secondary channel and ends-up in a shallow coastal lagoon 164 parallel to the coast and connected to the sea at high tide through several narrow canals (Fig.1).

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166 2.2. Fieldwork, chemical analyses and calculations

167 Sampling occurred in late winter the 5-8<sup>th</sup> of August 2014 and in early summer the 11-14<sup>th</sup> of 168 November 2015, when river discharges were, respectively, 1220 and 950m<sup>3</sup>s<sup>-1</sup>, and the tidal 169 ranges were 1.2 m (end of neap tides) and 1.8 m (spring tides). Detailed descriptions of all 170 methods for *in-situ* measurements, discrete water sampling and preservation can be found in 171 Cotovicz et al., (2015) and Cotovicz et al., (2020). Field work consisted in measurement every 172 minute of pCO<sub>2</sub> salinity and temperature, and sampling of surface water from freshwater to coastal 173 waters (Salinity >30) in both channels. Discrete samples were taken along the salinity gradient in 174 the two channels at approximately two-salinity intervals, except in the salinity range 10-25, where 175 changes were too abrupt to allow sampling at regular salinity intervals. Three end-members could 176 be sampled (Fig. 1): the centre of the river main channel, the mouth of the main channel (salinity ~ 177 30), and a shallow coastal lagoon at the outlet of the secondary mangrove channel (Salinity 30-34). The 11-12<sup>th</sup> of Nov. 2015, measurements were also performed during 25h at an anchored 178 179 station in the main channel, were salinity varied from 1.2 at low tide to 32.2 at high tide. 180 Continuous measurements were performed during the vessel's travels or at the anchored station, by pumping surface water at 8L min<sup>-1</sup> to a 1L vial containing the sondes and a marble-type 181 182 equilibrator (Frankignoulle et al., 2001). The marble equilibrator consisted in an acrylic tube filled 183 with glass marbles where water flows from the top, and a closed air circuit with a flux of about (1 L 184 min<sup>-1</sup>), flowing in the opposite direction from the bottom to the top. The air is dried with drierite and 185 pumped to a non-dispersive infrared (NDIR) gas analyser (LICOR© LI- 820). Before and after each

186 sampling campaigns, the NDIR was calibrated using gas standards with air pCO<sub>2</sub> values of 410, 187 1007 and 5035 ppmv (White Martins Certified Material, RJ, Brazil). Fresh soda lime was used to 188 set the zero and the standard at 1007 ppmv to set the span. The air standards of 410 and 5035 189 ppmv were used to verify the linearity of the response. The accuracy of the pCO<sub>2</sub> measurements is 190 estimated at ±5 ppmv. Water was continuously overflowing from the 1L vial that hosted a YSI© 191 Professional Plus multiparameter sonde that measured Temperature, salinity and dissolved 192 oxygen (DO), and a WTW© 3310 pH meter equipped with a Sentix 41 electrode. The pH meter 193 was calibrated daily with a three-point standard (pH 4.01, pH 7.00 and pH 10.01) according to the 194 National Institute of Standards and Technology (NIST). The precision of the pH measurements 195 estimated after seven verifications against standards was about 0.01 NBS units. The salinity probe 196 was calibrated before the cruise with IAPSO standard seawater and deionized water. DO was 197 measured with a polarographic electrode calibrated every morning at 100% saturation in vapor-198 saturated air. Temperature values measured with the YSIC salinity probe differed from those 199 measured with the WTW© pH-meter by less than 0.1°C. For continuous measurement performed 200 during sailing, the ship speed was adapted according to the salinity changes and varied between 201 Total alkalinity (TA) was titrated on the discrete samples with certified 0.1N HCl on duplicate 50 mL 202 0.7µm-filtered samples, using the Gran function to determine the equivalent point.

203

204 The concentration of DIC carbonate system was calculated in the discrete samples from the 205 measured  $pCO_2$  and TA, with the CO2sys program (Pierrot et al. 2006) using the CO<sub>2</sub> solubility 206 from Weiss (1974) and the dissociation constants of Mehrbach et al. (1973), refitted by Dickson 207 and Millero (1987). One important precaution for the use of this set of constants is to check for the 208 absence of organic alkalinity at significant concentrations compared to carbonate alkalinity, 209 particularly in the freshwaters (Cai et al., 1998; Hunt et al., 2011; Abril et al., 2015). In order to 210 identify an eventual bias induced by the interference of organic alkalinity in the calculations of DIC, 211 we used our pH measurements concomitant to TA measurements in order to calculate  $pCO_2$ 212 (pH/TA) in our discrete samples. The  $pCO_2$  values calculated from pH and TA and those measured 213 directly with the equilibrator were fairly consistent ( $< \pm 10\%$ ), except in a freshwater small tributary 214 on the left edge of the São Francisco River (North of Fig.1 and Fig. S1) that drains a small wetland,

and where the calculated  $pCO_2$  (pH/TA) was more than 4 times higher than the measured  $pCO_2$ (Cotovicz et al. 2016). However, this tributary does not contribute significantly to the river discharge, as confirmed by our conductivity monitoring at the confluence, and organic alkalinity does not appear to significantly interfere in the calculation of the carbonate system in the São Francisco main channel and mangrove channel.

220

221 In this work, we analyse and compare the distribution along the salinity gradient of four types of 222  $pCO_2$ : the  $pCO_2$  observed in situ, noted  $O-pCO_2$ , the  $pCO_2$  resulting from conservative mixing of 223 river water with seawater, noted  $C-pCO_2$ , the  $pCO_2$  normalized to temperature, noted  $T-pCO_2$ , and 224 the  $pCO_2$  resulting from conservative after being corrected for gas exchange, noted Ex- $pCO_2$ . For 225 each campaign we established the equations of the conservative mixing lines for TA, DIC and 226 temperature as a function of salinity, using the values at the two end-members: the reference 227 freshwater station at the centre of the main channel upstream and the most saline sample at the 228 mouth downstream (Fig. 1). Then the C-pCO<sub>2</sub> thermodynamic conservative mixing curves were 229 calculated for each salinity value where continuous measurements were performed, using the 230 calculated conservative (linear) values of temperature, TA and DIC between the two end-members 231 in the main channel. We evaluated the impact of water heating on  $pCO_2$  in the main channel and in 232 the secondary channel passing through the mangrove, by normalizing the O-pCO<sub>2</sub> observed at a 233 given salinity at the temperature corresponding to the linear interpolation of the temperatures in the 234 freshwater and marine end-members. To that end, we calculated for each salinity point 235 measurement, a temperature-normalized  $T-pCO_2$  from the measured pCO<sub>2</sub> the conservative TA 236 mixing line, and the lowest temperatures observed at each end-member, generally at night and 237 early morning. In winter and neap tides (Aug. 2014), two distinct temperature marine end-members 238 should be considered: one at the mouth of the main channel and another one in the coastal lagoon 239 at the mouth of the mangrove channel (Fig. 1). In contrast, a single set of freshwater and seawater 240 temperature end-members could be used during summer and spring tides (Nov. 2015) when 241 consistent cooler night-time waters were observed in both marine end-members (see results 242 section).

243

244 The evasion or invasion of CO<sub>2</sub> at the water-air interface was calculated according to:

$$FCO_2 = k\alpha \Delta pCO_2$$
 Eq.

1

where FCO<sub>2</sub> is the water-air CO<sub>2</sub> flux (mol m<sup>-2</sup> h<sup>-1</sup>), k is the gas transfer velocity (m h<sup>-1</sup>),  $\alpha$  is the 246 247 solubility coefficient (mol m<sup>-3</sup> atm<sup>-1</sup>) and  $\Delta p CO_2$  is the difference between water and air  $p CO_2$  (in 248 atm). Atmospheric  $pCO_2$  was measured every morning and evening by pumping with the NDIR gas 249 analyser and daily average values were used for the flux calculation. To calculate k, we apply three 250 equations as a function of the concomitant wind speed at 10 meters (U10) recorded at a station 251 located 4km landward (Fig.1). The equations of Wanninkhof 1992 (noted W92) and Raymond and 252 Cole (2001) (noted RC01) provide the gas transfer velocity as a function of U10, and the equation 253 of Abril et al. (2009) (noted A09) as a function of U10, estuarine surface area and water current 254 velocity. In the A09 model, we used a surface area of 19 km<sup>2</sup>, and an average water current of 0.4 m s<sup>-1</sup> as representative for the São Francisco main channel (Cavalcante et al., 2017). For all 255 256 calculations, pCO<sub>2</sub> and wind speed data were selected in order to calculate FCO<sub>2</sub> in winter and 257 summer at daytime and in summer at night-time.

258

To complete our study, we corrected the theoretical  $C-pCO_2$  conservative mixing curves for the airwater  $CO_2$  exchange, building  $Ex-pCO_2$  curves. We applied an iterative calculation every salinity unit from 0 to 34, of the loss or gain of DIC as  $CO_2$  evasion or invasion during the mixing of water at salinity s with seawater to reach the value of salinity s+1.

263

$$DICgain(s) = -FCO_2 (s).RT.H^{-1} Eq.2$$

where  $FCO_2(s)$  is the flux (mol m<sup>-2</sup> h<sup>-1</sup>), RT is the residence time (h) and H is the water depth (m). FCO<sub>2</sub> (s) is calculated with Eq.1 and the C-*p*CO<sub>2</sub> value at salinity s, deduced from the mixing of DIC(s) with DIC(34) and TA(s) with TA(34). Then, the new corrected DIC concentration at s+1, DIC(s+1), is obtained by adding the DICgain(s) to the DIC(s):

268Corrected DIC(s+1) = DIC(s+1) + DICgain(s)Eq.3269At that step, we recalculate a corrected  $C-pCO_2(s+1)$  from new mixing between corrected DIC(s+1)270and DIC(34), and TA(s+1) and TA(34). The next iteration consisted in calculating the  $FCO_2(s+1)$ 271from the corrected  $C-pCO_2(s+1)$  and the DICgain(s+2) using Eq.1. The model was set-up in the

272 CO2sys spreadsheet (Pierrot et al. 2006), each line representing a salinity increment of one unit, 273 from zero to 34, with the possibility to choose the gas transfer equation, the U10, RT and H. 274 Incrementation of the model allowed the building of thermodynamic Ex-pCO<sub>2</sub> mixing curves 275 corrected from gas exchange according to various combinations of these parameters. We used an RT/H ratio of 0.17 day m<sup>-1</sup> which corresponds to a short RT value in the São Francisco estuary of 276 277 0.5 day as estimated from the discharge during the cruise, a mean H of 3m and an estuarine 278 surface of 19km<sup>2</sup>. In order to simulate conditions closer to the ones occurring in large river plumes, 279 we also tested the influence of increasing RT/H to 0.33 and 0.5 day m<sup>-1</sup> and we applied three 280 different wind speeds of 0.5, 3 and 7 m s<sup>-1</sup>, as representative of estuarine and shelf meteorological 281 conditions. In addition, we assumed that the residence time was uniformly distributed along the 282 salinity gradient, although there might be some exceptions as for instance longer residence times 283 (several tidal cycles) in the low salinity range.

284

### **3. Results**

286 DIC and TA increased linearly with salinity in the main channel, respectively from 553±40 and 287  $539\pm27 \mu$ mol kg<sup>-1</sup> in the river end-member to  $1719\pm127$  and  $1975\pm146 \mu$ mol kg<sup>-1</sup> at the mouth with 288 salinity>30 (Fig. 2). Some significant positive anomalies of both parameters were observed at high 289 salinity stations in the mangrove channel (Fig. 2). During both cruises, O-*p*CO<sub>2</sub> was highly variable 290 in the freshwater with some lateral heterogeneity between the different channels, and was above 291 the atmospheric  $pCO_2$  at the centre of the main channel, with a value of ~700ppmv (Fig.3A&B). 292 Downstream in the main channel,  $O-pCO_2$  sharply decreased below 400ppmv at salinity 3, and 293 followed an asymmetric bell-shaped curve along the salinity gradient. In winter,  $O-pCO_2$  distribution 294 in the main channel was almost perfectly predicted by thermodynamics during mixing with average 295 difference between  $O_pCO_2$  and  $C_pCO_2$  in the 3-30 salinity range being 3±13 ppmv (Table 1), that 296 is, within the error of  $pCO_2$  measurements and mixing model calculations. In summer, however, O-297  $pCO_2$  in the main channel was on average 43±35 ppmv above the theoretical mixing curve of C-298  $pCO_2$  along the 3-30 salinity range at night-time and daytime. Measured  $O-pCO_2$  included under-299 saturated values and invasion of atmospheric CO<sub>2</sub> at salinities above 3 during both cruises 300 (Fig.3A&B). The most marine waters with salinities >30 were under-saturated in Aug. 2014 (O-

301 pCO<sub>2</sub>: 332 ppmv) and almost at equilibrium (O-pCO<sub>2</sub>: 403±18 ppmv) in Nov. 2015 (Fig. 3E&F). In 302 the mangrove channel, O-pCO<sub>2</sub> was higher and more variable than in the main channel: between 303 298 and 975 ppmv (mean 512 ppmv) in winter and between 307 and 2007 ppmv (mean 976 ppmv) 304 in summer (Fig. 3A&B). Several O-pCO<sub>2</sub> peaks occurred in the mangrove channel and the 305 adjacent coastal lagoon, at intermediate and high salinities and variations also occurred according 306 to the tidal phase, with higher values in Nov. 2015 corresponding to low tide at spring tide period. A 307 maximum of 2000 ppmv was recorded in the coastal lagoon at the outlet of the mangrove channel 308 during the afternoon at low tide (Fig. 3B). During the two cruises DO remained between 99% and 309 101% saturation throughout most of the salinity gradient in the main channel (Fig. S2). At both 310 seasons, significant DO undersaturation occurred in the river at low salinities and in the mangrove 311 channel, with lower minimum values in Nov. 2015 compared to Aug. 2014. In Nov. 2015, slight DO 312 oversaturation (max 103%) was observed in the main channel at salinities around 3 and above 31 313 (Fig. S2).

314

315 Water temperatures were lower in Aug. 2014 than in Nov. 2015 (Fig. 3C.D). During winter and 316 neap tide (Aug. 2014), temperature remained constant in the main channel, and about 1°C above 317 the conservative mixing line in the mangrove channel, showing that water heating occurred (Fig. 318 3C). In Aug. 2014, O-pCO<sub>2</sub> was higher than the T-pCO<sub>2</sub> by 5-20ppmv in the mangrove channel, 319 but less than 2ppmv in the main channel (Fig. 3E). In Nov. 2015, when measurements were also 320 performed at night, surface heating was evident from night-time to daytime, as well as, within the 321 mixing zone in comparison with the two end-members. The entire salinity gradient in both channels 322 was more than 1°C cooler at night and early morning (before 9h) than at daytime and dusk (Fig. 323 3D), which resulted in  $O_pCO_2$  higher than the  $T_pCO_2$  by 10-15ppmv (Fig. 3F). A peak 324 temperature of 29.8°C occurred in Nov. 2015 during the afternoon in the shallow lagoon at the 325 outlet of the mangrove channel at low tide, which corresponded to a  $O-pCO_2$  120ppmv higher than 326 T-pCO<sub>2</sub>, when the O-pCO<sub>2</sub> reached 2000 ppmv (Fig. 3F).

327

328 During the 25h cycle at the anchored station in the main channel the  $11^{\text{th}}$  and  $12^{\text{th}}$  of Nov. 2015, 329 salinity varied between 1.2 and 32.2, and water pCO<sub>2</sub> varied between 238 ppmv at intermediate

330 salinities and 476ppmv at low salinity. The record at one minute frequency revealed a remarkable 331 parallelism between O-pCO<sub>2</sub> and C-pCO<sub>2</sub> calculated from the mixing of the two end-members and 332 the salinity value. However, O-pCO<sub>2</sub> was on average +43±35ppmv above the conservative mixing 333 curve o C-pCO<sub>2</sub> (Fig. 4). Maximum deviations of O-pCO<sub>2</sub> from C-pCO<sub>2</sub> occurred during the ebb 334 tides, both at sunset and night (hours 1.5 to 4.2: 52±19ppmv) and at sunrise and early morning 335 (hours 13.5 to 17.5: 92±30ppmv). In addition, at low tide when salinities were below 3, O-pCO<sub>2</sub> 336 values were close to the C-pCO<sub>2</sub> at daytime (hours 19-21.5), but were ~60ppmv higher than C-337 pCO<sub>2</sub> at night-time (hours 7-10) indicating diurnal variations in this low salinity range (Fig. 3). Finally, throughout the 25h cycle, some heating effect on *p*CO<sub>2</sub> was detected during the afternoon 338 339 at high tide and high salinity (hours 1 and 25) and at low tide and low salinity (hour 20), when O-340  $pCO_2$  was at maximum +25ppmv higher than T- $pCO_2$  (Fig. 4B). 341 342 Wind speed was low during the cruises, always below 3.5 m s<sup>-1</sup>, on average between 1.1 m s<sup>-1</sup> in Nov. 2015 and 2.2 m s<sup>-1</sup> in April at day time, and 0.5 m s<sup>-1</sup> at night-time (Table 1). In the main 343

channel, FCO<sub>2</sub> fluxes varied between 0.01 and 0.79 mmol m<sup>-2</sup> h<sup>-1</sup> (positive fluxes for CO<sub>2</sub> evasion) in the 0-3 salinity range and between -0.04 and -1.68 mmol m<sup>-2</sup> h<sup>-2</sup> in the 3-30 salinity range. In the mangrove channel, calculated FCO<sub>2</sub> varied between 1.23 and 10.72 mmol m<sup>-2</sup> h<sup>-1</sup>. For the observed wind speed range, calculated FCO<sub>2</sub> strongly depended on the choice of the k<sub>600</sub> parameterization, the W92 equation giving lower fluxes than the RC01 and A09 equations (Table 1).

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351  $Ex-pCO_2$ , the theoretical thermodynamic  $pCO_2$  mixing curves corrected for gas exchange, were 352 below the standard conservative curve between salinities 0 and 3-5, where CO<sub>2</sub> evasion occurs, 353 and above the curve between salinities 3-5 and 34, where invasion of atmospheric CO<sub>2</sub> occurs 354 (Fig. 5). Computed deviation from conservative mixing, i.e. Ex-pCO<sub>2</sub> minus C-pCO<sub>2</sub> strongly 355 depended on the selected parameters, the gas transfer parametrization, the U10 and the 356 residence time / depth ratio. These deviations varied from -0.3 to -167 ppmv (average -29 ppmv) in 357 the 0-3 salinity range and from -8 to +76 ppmv (average +16 ppmv) in the 3-30 salinity range. 358 Applying a residence time in the São Francisco estuary of 0.5 days during the cruises, an average

- water depth of 3m and the U10 values of 0.5 and 3 m s<sup>-1</sup> as representative for the conditions during the cruise, gives  $Ex-pCO_2 - C-pCO_2$  values of  $-12\pm9ppmv$  in the 0-3 salinity range and  $4\pm3ppmv$  in the 3-30 salinity range (Table 1).
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#### 364 **4. Discussion**

365 4.1. Predominance of thermodynamics

366 In the main channel, the  $pCO_2$  measurements (O- $pCO_2$ ) and the thermodynamic estuarine 367 conservative mixing model (C-pCO<sub>2</sub>) follow the same asymmetric bell-shape curve, with a steep 368 decrease from salinity zero to 3, and undersaturation of surface waters all along the 3-30 salinity 369 gradient (Fig. 3). To date, such well-defined  $pCO_2$  curve, with high resolution measured values 370 very consistent with the theoretical conservative mixing curve, have been reported in the Amazon 371 plume only for salinities higher than 17, and in the tropical Paraiba do Sul along the entire salinity 372 gradient, with continuous  $pCO_2$  measurements (Cotovicz et al., 2020). In the mesotrophic Paraiba 373 do Sul River Estuary, autotrophic and heterotrophic activities had strong influences on the  $pCO_2$ 374 distribution along the salinity gradient at low river discharge, but not at high discharge and shorter 375 residence time, when the  $pCO_2$  versus salinity plot followed the theoretical curve (Cotovicz et al. 376 2020). Because of the oligotrophic character of the São Francisco estuary (Medeiros et al. 2011; 377 2018) and the short residence time of water in the mixing zone (half a day), thermodynamics 378 predominates over biological processes in controlling estuarine  $pCO_2$  distribution along the 379 estuarine gradient. Some theoretical works have described how thermodynamics during estuarine 380 mixing can generate positive or negative air-water CO<sub>2</sub> fluxes depending on the DIC and TA 381 concentrations in the river end-member, the concentrations in the marine end-member being 382 considered as constant in comparison (Whitfield and Turner, 1986; Cai et al., 2013; Delaigue et al. 383 2020). In the case of the São Francisco with a low TA river end-member (around 500  $\mu$ mol kg<sup>-1</sup>), 384 and warm waters (above 25°C), thermodynamics during mixing turns the estuary into a sink of 385 atmospheric CO<sub>2</sub> (Whitfield and Turner, 1986); this is also the same for the Amazon (TA of 450  $\mu$ mol kg<sup>-1</sup>) and the Congo (300  $\mu$ mol kg<sup>-1</sup>) but not the Mississippi (>2000  $\mu$ mol kg<sup>-1</sup>), where 386 387 carbonate thermodynamics generates a  $CO_2$  source and where the observed  $CO_2$  invasion is

388 biological as revealed by nitrate uptake (Cai et al., 2013). Although the conditions found in the 389 largest world rivers potentially generate a CO<sub>2</sub> sink in the coastal zone, very few in-situ pCO<sub>2</sub> data 390 cover a complete estuarine salinity gradient consistent with the pCO<sub>2</sub> theoretical mixing curve (Cai 391 et al. 2013; Lefèvre et al. 2017; Cotovicz et al. 2020). In winter in the São Francisco estuary, the 392 average difference between O-pCO<sub>2</sub> and C-pCO<sub>2</sub> was only 3±13 ppmv along the 3-30 salinity 393 range in the main channel and thermodynamics was apparently the unique driver of the carbonate 394 system, creating a permanent  $CO_2$  influx in this salinity range. In summer, the average difference 395 between  $O_pCO_2$  and  $C_pCO_2$  at salinities > 3 was +49±39 ppmv at daytime and +36±22 at night-396 time (Table 1), but  $O-pCO_2$  always remained below the atmospheric value of 400 ppmv at salinities 397 above 3, and thermodynamic uptake of atmospheric CO<sub>2</sub> was predominant.

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399 Our continuous measurements in the São Francisco also allow an interpretation of these O-pCO<sub>2</sub> 400 deviations from C-pCO<sub>2</sub> as the result of other internal CO<sub>2</sub> sources and sinks. First, estuarine NEP 401 and lateral transport from river and intertidal areas can be a source of CO<sub>2</sub> (Abril and Borges, 402 2011; Bauer et al., 2013). Second,  $C_pCO_2$  simulations were based on the conservative mixing of 403 temperature at daytime at the two end-members, although heating and cooling of water is a 404 common feature in this semi-arid region, and can potentially change  $pCO_2$  at daily and tidal time 405 scales, particularly in shallow regions of the estuary such as the lagoon. Third, while air-water 406 exchange of CO<sub>2</sub> is much slower than acid-base equilibration of DIC species, evasion and invasion 407 of CO<sub>2</sub> occur during estuarine mixing, potentially altering the thermodynamic pCO<sub>2</sub> curve. All these 408 processes can generate  $pCO_2$  changes of few tens of ppmv that can add up to the values of  $pCO_2$ 409 deviations from the mixing curves in summer (Cotovicz et al., 2020). However, when interpreting 410 these deviations, we must also keep in mind that the shape of the modelled  $C-pCO_2$  curve 411 depends on the choice of the freshwater end-member, which was difficult to define because of 412 lateral heterogeneity. For example, we calculated that using a freshwater end-member with 5µmol 413 kg<sup>-1</sup> higher TA (or DIC) (a change within the range of analytical precision for these parameters), 414 generates mixing  $pCO_2$  values 10 ppmv lower (or 9 ppmv higher) at salinity 10. In the case of our 415 study, we choose to use the TA and  $pCO_2$  values at the central and deepest station upstream all 416 the small islands (Fig. 1), where most freshwater flows and with little tidal influence, and not an

417 average of all measurements at the lowest salinity (<0.1) region. Owing to the significant 418 heterogeneity in the different canals, we cannot totally exclude lateral input of freshwater from 419 small tributaries in this region that alter the DIC concentrations in the end-member and the 420 modelled mixing  $pCO_2$  curve.

421

422 4.2. Biological CO<sub>2</sub> sources and sinks

423 The diurnal O-pCO<sub>2</sub> change at low salinity (Fig. 4) reveals some photosynthetic activity in this region, despite the chlorophyll concentration around 3 µg L<sup>-1</sup> (unpublished data). During our 424 425 measurements (Fig. 4), the respiration of this plankton apparently generated a deviation of +60 426 ppmv above the conservative mixing at night-time and early morning (hours 7-9), compared to the 427 afternoon when phytoplankton absorbed CO<sub>2</sub> (hours 21-23). Concomitant change in DO could be 428 detected, although the DO values remained very close to 100% at night time and daytime in the 429 whole salinity range. We calculated that, for the conditions of Nov. 2015, the diurnal change of 60 ppmv of O-pCO<sub>2</sub> at salinity 3 corresponds to a change in DIC of 5 µmol kg<sup>-1</sup>. If we assume a 430 431 photosynthetic/respiratory quotient of 1, the equivalent diurnal change in DO in these oligotrophic 432 waters would be about 2%sat. In fact, in Nov. 2015, the DO concentration at salinity 3 was 100% 433 at night time and 103% at daytime (Fig. S2), but these differences remained close to the error in 434 DO measurements. Consequently, pCO<sub>2</sub> monitoring was much more powerful than O<sub>2</sub> monitoring 435 to detect photosynthetic activity in the oligotrophic São Francisco estuary. It is well known that freshwater phytoplankton can still be active in estuaries at low salinities, but generally not at higher 436 437 salinities in the mixing zone, where they die due to saline stress (Lancelot and Muylaert, 2012). 438 This is probably the case in the São Francisco estuary, where, despite the general low biomass, 439 freshwater phytoplankton potentially fuels heterotrophy downstream in the main channel. However, 440 the residence time of half a day is probably too short to allow the well establishment of an active 441 heterotrophic community (Crump et al., 2004). Benthic heterotrophy is probably limited in the 442 sandy sediments of the São Francisco estuary where strong hydrodynamics limits the deposition of 443 fine organic biodegradable material (Medeiros et al. 2007). Along the salinity gradient, the 444 deviation of  $O-pCO_2$  from  $C-pCO_2$  was spatially and temporally linked to tidal advection from 445 mangroves and other brackish marshes. Many authors have reported high CO<sub>2</sub> degassing fluxes

446 from mangrove surrounding waters (Borges et al., 2003; Maher et al., 2013; Santos et al., 2018; 447 Cotovicz et al., 2020). High water *p*CO<sub>2</sub> come from the tidal pumping of mangrove-saturated soil 448 CO<sub>2</sub> (Maher et al., 2013; Santos et al., 2018), and from the respiration of mangrove-derived 449 organic matter in creek waters and sediments (Bouillon et al., 2003; Borges and Abril, 2011). In the 450 mangrove's soils, a large part of the metabolism is sulphate reduction that stoichiometrically 451 produces the same amount of TA than CO<sub>2</sub> (Borges et al., 2003). This explains the positive TA 452 (and associated DIC) anomalies observed at high salinities in the mangrove channel of the São 453 Francisco Estuary (Fig. 2). Although water  $O_{-p}CO_2$  was similar during both campaigns in the main 454 channel, in the secondary channel,  $pCO_2$  values were much higher, particularly in Nov. 2015 455 compared to Aug. 2014. This can be attributed to the spring tide conditions during sampling in 456 2015, enhancing the tidal pumping (Maher et al., 2013; Santos et al., 2018). During the 25h cycle 457 in the main channel at spring tide in 2015 (Fig.4), the highest (>100 ppmv) and longest positive 458 deviations of  $O-pCO_2$  from  $C-pCO_2$  occurred during the ebb tides, particularly at late night and 459 early morning (hours 14-17) which reveals an export from the mangrove waters to the main 460 channel that affects the 5-8 salinity range (Fig.3B). The monitoring point during 25h was located at 461 less than two km from confluence between the main channel and the mangrove channel, and also 462 close to brackish tidal marshes (Fig.1) that could contribute, together with mangroves to the  $pCO_2$ 463 peaks at high and ebb tide. In addition, the short residence time of waters in the estuary suggests 464 that heterotrophs would spend little time in the main channel and would be exposed to strong 465 salinity stress to generate significant respiratory CO<sub>2</sub>, which is apparently coming in majority from 466 tidal pumping.

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- 468 4.3. Impact of heating

Semi-arid coastal ecosystems are environments where heating and evaporation can have a strong influence on the water chemistry and where very few studies document the behaviour of the carbonate system (Leopold et al., 2016; Yao and Hu, 2018; Yao et al., 2020). Heating of water will first increase the  $pCO_2$  and favour outgassing (Takahashi et al., 2002). If evaporation is intense and leads to hypersaline conditions, consumption of TA and release of  $CO_2$  due to carbonate precipitation can occur (Murgulet et al., 2018; Yao et al., 2020). In the São Francisco estuary,

475 higher temperature relative to the mixing of the two end-members was most pronounced during 476 summer and in the mangrove secondary channel (Fig. 3C.D). In summer, despite the short 477 residence time of waters, the temperature increased in both channels by more than 1°C during 478 daytime compared to night-time (Fig. 3D), which corresponds to a theoretical pCO<sub>2</sub> increase 479 (difference between O-pCO<sub>2</sub> and T-pCO<sub>2</sub>) of ~15ppmv (Fig. 3F). In the main channel, the 25h 480 cycle (Fig.4) reveals that heating at daytime has a significant impact on pCO<sub>2</sub> at both low tide (hour 481 21) and high tide (hours 1 and 25). In Nov. 2015 at salinities > 3, averaged observed  $pCO_2$  in the 482 main channel in summer were 33ppmv higher during daytime than during night-time, and the 483 thermal effect contributed to 25% of the deviation of  $pCO_2$  above the conservative curve at daytime 484 and 10% at night, when estuarine waters still remained warmer than predicted from the mixing of 485 the end-members (Table 1). At salinities < 3, the thermal effect was partially offset by biological 486 productivity and the  $O_pCO_2$  were similar at night-time and daytime. However, the daytime data 487 included salinities below 1.4 with higher O-pCO<sub>2</sub>, whereas night-time data did not (Table 1). In the 488 secondary channel, the maximal thermal effect occurred at salinity ~28 in the coastal lagoon in the 489 afternoon at low tide, with an increase in temperature of  $\sim 3^{\circ}$ C concomitant with a maximum pCO<sub>2</sub> 490 of ~2000ppmv (Fig.3C,F). The thermal effect at this site (Fig.3F) contributed to 15% of the 491 deviation of  $pCO_2$  above the conservative curve at maximum (Fig.3B), and this  $pCO_2$  peak is 492 attributed to the maximum pumping of mangrove-derived CO<sub>2</sub> at low tide. Although water depth 493 was less than half a meter and temperature reached 29.8°C, we found no evidence of carbonate 494 precipitation during this event as those reported in other semi-arid coastal lagoons (Yao et al., 495 2020). Indeed, the salinity was only 28 during the  $pCO_2$  peak and increased only when the tide 496 started to enter the system through the small canals (Fig.1); no significant TA consumption could 497 confirm carbonate precipitation, TA was above the mixing line in this region, as a result of tidal 498 pumping of DIC from predominant sulphate reduction and other anaerobic processes in the 499 mangrove soils (Borges et al., 2003; Santos et al., 2018).

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501 4.4. Impact of gas exchange

502 The fact that thermodynamic mixing in the main channel generates undersaturated  $pCO_2$ 

503 conditions with respect to the atmosphere implies that uptake of atmospheric CO<sub>2</sub> will occur at

504 salinities > 3. Consequently, the hypothesis of conservative DIC mixing on which the 505 thermodynamic pCO<sub>2</sub> curve relies will become invalid, whereas that of conservative TA will remain 506 valid. If we consider the average and standard deviation air-water CO<sub>2</sub> fluxes calculated with the 507 conservative pCO<sub>2</sub> mixing curve and the three gas exchange equations (Table 1), then thermodynamic during mixing in the main channel emits  $+2.5\pm1.7$  mmol m<sup>-2</sup> h<sup>-1</sup> of CO<sub>2</sub> at salinity 508 lower than 3 and absorbs -1.3±0.6 mmol m<sup>-2</sup> h<sup>-1</sup> of CO<sub>2</sub> between salinities 3 and 34. A precise 509 510 integration of these fluxes would require an estimate of the relative surface area of different salinity 511 ranges according to the shape of the pCO<sub>2</sub> bell curve. In particular, it is necessary to separate the 512 0-3 salinity range that behaves as a source (as does the river) from the estuarine waters with 513 salinities above 3 that behave as a sink (Table 1). During our study, we could sample the marine 514 end-member in the shallow waters of the mouth of the estuary at high tide (Fig. S1). However, at 515 low tide as well as on average over the tidal cycle, salinity at the mouth is generally lower, and a 516 significant part of the salinity gradient occurs in a plume offshore. Based on numerical modelling, 517 Cavalcante et al. (2020) showed that the tide-integrated salinity at the mouth of the São Francisco 518 Estuary can be as low as 22 during concomitant neap tides and high river flow. In addition, surface 519 waters with salinities lower than 34 extends 5-20km offshore, with a surface area up to 50km<sup>2</sup>, that is, an area much larger than the 19km<sup>2</sup> of the inner estuary (Cavalcante et al. 2020). Considering 520 521 the low river discharge during the study period, an area of 6km<sup>2</sup> for the 0-3 salinity range, which 522 corresponds to one third of the inner estuary, emits 4.3tC per day. An area 30 km<sup>2</sup> (13km<sup>2</sup> in the inner estuary and 17km<sup>2</sup> in the plume offshore) for the 3-34 salinity range will absorb -11.2tC per 523 524 day. With these hypotheses on the surface areas, the São Francisco Estuary absorbs 7tC per day 525 of CO<sub>2</sub>. In comparison, the input of DIC by the river was 95 and 70 tC per day, respectively in Aug. 526 2014 and Nov. 2015. Consequently, the invasion of CO<sub>2</sub> from the atmosphere due to 527 thermodynamics during mixing will increase the DIC export to the sea by 7-10%. This modest 528 increase in the DIC discharge of the São Francisco Estuary to the ocean might be also partly 529 explained by its morphology and hydrological feature induced by very shallow depths at the mouth 530 and a very steep longitudinal salinity gradient between 10 and 25 inside the lagoon (Fig. 4).

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532 By calculating for each salinity increment the quantity of CO<sub>2</sub> absorbed from the atmosphere during 533 the residence time of waters in the estuary, our mixing Ex-pCO<sub>2</sub> model provides a precise 534 evaluation of the impact of gas exchange on the C-pCO<sub>2</sub> curves (Fig. 5). Evasion occurs first at low 535 salinity, and the correction is negative during a restricted amount of time; invasion occurs at salinity 536 above 3-5 with a positive correction and presumably during a longer amount of time. In the case of 537 the São Francisco Estuary, with a water depth of 3m, a residence time of half a day and relatively 538 low wind speed values (Table 1), the model results in a difference between  $C-pCO_2$  and  $Ex-pCO_2$ 539 of only 4ppmv in the 3-30 salinity range in Nov. 2015, corresponding to ~10% of the  $O_pCO_2$ 540 deviation above the  $C-pCO_2$  mixing curve. However, strong stratification of the water column at 541 intermediate salinities may alter this conclusion in two opposite ways: on one hand, if we consider 542 a mixed surface layer of only 1m height as representative in-situ conditions (Cavalcante et al. 543 2017), CO<sub>2</sub> invasion will generate a larger difference between  $Ex-pCO_2$  and  $C-pCO_2$ , on average 544 ~12ppmv, which corresponds to ~25% of the  $O_{-p}CO_{2}$  deviation above the  $C_{-p}CO_{2}$  mixing curve at 545 salinity > 3 in Nov. 2015; on the other hand, stratification of the water column implies that part of 546 the mixing of estuarine water occurs below the water surface, that is, without contact with the 547 atmosphere and without gas exchange. Wind speed, fetch length, and water current all contribute 548 to surface turbulence in estuaries, where gas exchange is generally faster than in the ocean 549 (Borges et al., 2004; Orton et al., 2010). Most of the proposed k<sub>600</sub> parameterization in estuaries 550 are based on data in relatively well-mixed estuaries (Borges et al., 2004; Abril et al., 2009; Orton et 551 al., 2010). To our best knowledge, no gas exchange study has been performed in highly stratified 552 systems, where buoyancy fluxes dissipate kinetic energy as turbulence (Etemad-Shahidi and 553 Imberger, 2002) potentially enhancing gas exchange, whereas stratification limits the vertical 554 diffusion of atmospheric  $CO_2$  to the whole water column. In large tropical estuaries and plumes 555 such as the Amazon and the Congo, most of the salinity gradient occurs offshore where wind 556 speed is higher. Gas exchange in these plumes can significantly alter the C-pCO<sub>2</sub> mixing curves 557 and the impact of stronger wind and longer residence time in the mixing zone might be partially 558 offset by a deeper mixed layer in the range of 5-30 m (Grodsky et al., 2014; Phillipson and Toumi, 559 2020).

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561 4.5. Relative contributions of  $pCO_2$  deviations from conservative mixing

562 In August 2014 in the main channel of the São Francisco estuary, heating and tidal pumping were 563 almost negligible and we found little deviation of O-pCO<sub>2</sub> from C-pCO<sub>2</sub>, except in the salinity range 564 0-3, where the  $O_pCO_2$  were on average 45 ppmv lower than the modelled conservative  $pCO_2$ 565 (Fig. 3A), suggesting the occurrence of autotrophic activity by phytoplankton and/or CO<sub>2</sub> evasion in 566 the area, consistent with observations at low tides in Nov. 2015 during the 25h cycle (Fig. 4). In 567 contrast, the deviation from conservative mixing was more pronounced in Nov. 2015, during 568 summer and spring tides, and we could calculate the distribution of the three major active 569 processes that make  $pCO_2$  deviate from the conservative curve along the salinity gradient, that is, 570 (i) surface water heating or cooling based on temperature field data  $(T-pCO_2)$ , (ii) evasion or 571 invasion of  $CO_2$  based on modelling (Ex- $pCO_2$ ), and (iii) autotrophy or the sum of heterotrophy and 572 tidal pumping from mangrove creeks as the residual part of the deviation between modelled and 573 observed data, calculated as O-pCO<sub>2</sub> – T-pCO<sub>2</sub> – Ex-pCO<sub>2</sub> (Cotovicz et al. 2020). We have binned 574 our Nov. 2015 data, calculating the means and standard deviations of O-pCO<sub>2</sub> and T-pCO<sub>2</sub> for 575 each salinity increment. In Aug. 2014, the deviation of  $pCO_2$  from conservative mixing was too low 576 (Table 1). The difference between  $O_{-p}CO_2$ ,  $C_{-p}CO_2$ ,  $T_{-p}CO_2$  and  $Ex_{-p}CO_2$  were within the 577 uncertainties of measurements and calculations, meaning that thermodynamics alone drives 578 almost all the O-pCO<sub>2</sub> distribution. The 2015 binned O-pCO<sub>2</sub> and T-pCO<sub>2</sub> could be compared with 579 the modelled  $C-pCO_2$  and  $Ex-pCO_2$  (Fig. 6). We also separated the day and night periods for the 580 regions with salinities above and below 3 and we calculated the CO<sub>2</sub> fluxes corresponding to these 581 three processes (heating, gas exchange and the residual as biological activity) using wind speed 582 data and three gas transfer parameterizations (Table 2). The calculations indicate that in Nov. 583 2015, heating was consistently stronger at daytime compared to night-time, and contributed to a 584 peak at 20 ppmv at salinity 28 (Fig. 6), and a maximum average deviation from conservativity of +12 ppmv at daytime and salinity above 3, corresponding to a heating CO<sub>2</sub> flux of 0.2 mmol m<sup>-2</sup> h<sup>-1</sup> 585 586 (Table 2). Gas exchange had a slightly lower impact on  $pCO_2$  values than heating, with rapid 587 evasion of river-borne  $CO_2$  occurring at low salinity and  $CO_2$  invasion at salinity between 3 and 34. 588 According to our quantitative analysis, concomitant CO<sub>2</sub> evasion and net autotrophy occur in the 0-589 3 salinity range, whereas concomitant  $CO_2$  invasion and net heterotrophy associated to tidal

590 pumping from mangroves occur at salinity above 3 (Fig. 6). This trend in the São Francisco 591 Estuary is similar to the one recently reported in the mesotrophic Paraiba do Sul Estuary during 592 high river discharge (Cotovicz et al. 2020). These two river-dominated tropical estuaries have low 593 TA concentrations in the river end-member. At low salinities, inputs of river-borne CO<sub>2</sub> combined 594 with low buffering capacity favour outgassing, whereas little salinity stress allows some production 595 of freshwater phytoplankton (Cloern, 1996; Lancelot and Muylaert, 2012; Cotovicz et al., 2020). At 596 salinities below 3, the O-pCO<sub>2</sub> values were 109 ppmv below the modelled C-pCO<sub>2</sub> values at 597 daytime, but 34 ppmv above at night-time (Table 2), consistent with phytoplanktonic photosynthetic 598 activity. The different salinity ranges sampled at daytime (0-3) and night-time (1.4-3) do not allow 599 to accurately estimate a NEP from diurnal variations in the upper estuary. At increasing salinities, 600 the mixing with buffered seawater creates thermodynamics conditions for CO<sub>2</sub> invasion and offsets 601 some apparent heterotrophy combined with tidal advection of mangrove-derived CO<sub>2</sub>. The latter 602 generates a deviation (calculated as  $O-pCO_2 - T-pCO_2 - Ex-pCO_2$ ) of about 40 ppmv of  $pCO_2$ 603 along the 4-25 salinity range (Fig. 6) and +33 ppmv (daytime) and +27 ppmv (night time) on 604 average over the 3-34 salinity gradient (Table 2). Interestingly, our approach also suggests some 605 autotrophic activity in the 30-33 salinity range (Fig. 6B), which is also a classical pattern of 606 phytoplankton distribution in estuaries; indeed, blooms of marine phytoplanktonic species are a 607 common feature in high salinities regions of river plumes, where they can take benefit from the 608 available light and nutrients and from the stratification of the water column (Cloern, 1996; Lancelot 609 and Muylaert, 2012). Heating of water made  $O-pCO_2$  higher than  $T-pCO_2$  all along the salinity 610 gradient with a maximum difference between  $O_pCO_2$  and  $T_pCO_2$  of +22 ppmv at salinity 32, 611 precisely where the bloom of marine phytoplankton apparently occurred, possibly favoured by 612 warmer surface waters and a temporarily more stratified water column.

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After a conversion to  $CO_2$  fluxes by applying a gas transfer velocity, the deviation between the T  $pCO_2$  and the modelled Ex- $pCO_2$  corrected for gas exchange, provide an indirect and integrative estimate of NEP (Table 2). Quantitatively, the computed NEP (including tidal pumping) with this original method in the São Francisco (Table 2), as well as in the Paraiba do Sul (Cotovicz et al., 2020) are around 1 or less mmol m<sup>-2</sup> h<sup>-1</sup>, when NEP reported in estuaries of the world varies

between +5 (autotrophy) and -12 mmol m<sup>-2</sup> h<sup>-1</sup> (heterotrophy) (Borges and Abril 2011). Despite
these low values, our method is quite sensitive and the sign and spatial distribution of the
calculated NEP are consistent with classical concepts of estuarine plankton ecology (Crump et al.,
2004; Lancelot and Muylaert, 2012), tidal advection and the short residence-time in the mixing
zone. This confirms the robustness of our approach that could be applied to many estuarine
environments, whether they act as CO<sub>2</sub> sink or source.

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#### 627 **5. Conclusions**

628 The São Francisco Estuary displays specific conditions in terms of carbonate chemistry and air-629 water CO<sub>2</sub> exchange: because of warm and poorly buffered freshwaters, oligotrophic conditions 630 and short residence time, carbonate thermodynamic equilibration during mixing predominate over 631 biological processes and determine water  $pCO_2$  and air-water  $CO_2$  fluxes. This results in an abiotic 632 uptake of atmospheric CO<sub>2</sub> by the estuary at salinities above 3 in the main channel and the DIC 633 export to the sea is increased by 7-10%. During winter and neap tide period, tidal pumping and 634 heating were weak and the observed pCO<sub>2</sub> almost matched the theoretical conservative mixing 635 curve, within the error of measurements and computations. During summer and spring tide period, 636 the observed pCO<sub>2</sub> was still below the atmospheric value, but on average +49ppmv above the 637 conservative mixing curve. At that season and salinities > 3, heating of water relative to the mixing 638 of the two end-members contributed to ~15%, and gas exchange contributed to ~10% of the 639 deviation of observed  $pCO_2$  from conservativity, the remaining ~75% being attributed in majority to 640 tidal pumping from mangroves and brackish marshes, maybe with some respiratory activity in 641 estuarine waters despite the short residence time. Following the approach of Cotovicz et al. (2020) 642 in the mesotrophic and tropical Paraiba do Sul River delta that also behaves as a CO<sub>2</sub> sink in the 643 mixing zone, our study is a second detailed report of  $CO_2$  air-water exchange, based on the 644 combination of high-resolution pCO<sub>2</sub> field data and theoretical computation that cover the complete 645 salinity gradient. We highlight a singular situation, not only occurring in the oligotrophic São 646 Francisco, but also the mesotrophic Paraiba do Sul Estuary, of concomitant CO<sub>2</sub> uptake and 647 heterotrophy at salinities higher than 3. Similar thermodynamics conditions, i.e., warm and poorly

648 buffered freshwaters that potentially generates CO<sub>2</sub> invasion in the mixing zone, occur in large 649 tropical river plumes like the Amazon, Congo and Orinoco. High resolution pCO<sub>2</sub> measurements 650 along the entire salinity gradient of these large estuaries and plumes are thus necessary. In the 651 Amazon plume, the available equilibrator  $pCO_2$  data covers only the >17 salinities range with 652 undersaturated values with respect to the atmosphere, well below those predicted by the 653 conservative mixing of Amazon river water with Atlantic equatorial water (Körtzinger, 2003; Lefèvre 654 et al., 2017). This indicates that in the Amazon, thermodynamic and biological processes 655 contribute together to CO<sub>2</sub> invasion because the plume is autotrophic, whereas in the Paraiba do 656 Sul and São Francisco Estuaries, the two processes are competing with each other both at low salinities (evasion and autotrophy) and high salinities (invasion and heterotrophy). High-resolution 657 658 surface pCO<sub>2</sub>, temperature and salinity measurements coupled with modelling of estuarine mixing 659 provided some detailed and precise information that could be applied in many plumes of large 660 tropical rivers that contribute more significantly to the riverine carbon load to the world ocean.

661

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**Table 1.** Synthesis of measured and calculated parameters during the two cruises.  $O-pCO_2$  is the observed  $pCO_2$  as measured *in situ*,  $C-pCO_2$  is the conservative  $pCO_2$  modelled from mixing of river water with seawater,  $T-pCO_2$  is the  $pCO_2$  normalized to temperature resulting from the mixing of the two end-members and  $Ex-pCO_2$  is the  $pCO_2$  resulting from conservative after being corrected for gas exchange. \*Calculated using the mixing/gas exchange model described in Fig.5, for the measured U10, a value of RT of 0.5 days and H of 3m. <sup>#</sup> During night, measured and modelled conservative  $pCO_2$  values are lower than expected when compared to the daytime, because night-time measurements did not include samples with salinities lower than 1.2 and highest  $pCO_2$ . <sup>\$</sup>In order to account for the protection of mangrove trees to creek waters, we divided by 2 the wind speed values to calculate  $CO_2$  fluxes from the mangrove secondary channel, as a first approximation. Standard deviation of the differences between two numbers were calculated as the square root of the squares of the two errors.

	Aug. 2014			Nov. 2015				
	Main Cl	nannel	Mangrove	Main Channel			Mangrove	
	S<3	S>3	S>3	S<	<3	S>3		S>3
	Day	Day	Day	Day	Night <sup>#</sup>	Day	Night	Day
Number of measurements	321	190	324	518	260	701	441	528
Temperature (°C)	26.6±0.2	26.5±0.1	26.7±0.4	28.3±0.4	27.9±0.2	28.1±0.4	27.7±0.5	28.3±0.9
	26.1-27.0	26.4-26.7	26.1-27.3	27.5-29.2	27.6-28.2	27.0-28.9	26.8-28.4	26.3-29.8
O-pCO <sub>2</sub> (ppmv)	752±308	279±34	512±191	426±141	421±60	344±39	311±30	976±314
	245-2137	224-355	298-975	233-1040	304-514	259-423	267-383	307-2007
C-pCO <sub>2</sub> (ppmv)	634±92	282±33	296±36	542±123	392±34*	295±46	275±31	334±41
	371-710	233-343	232-368	318-646	298-476	238-411	238-363	238-406
T-pCO <sub>2</sub> (ppmv)	753±311	279±34	503±185	421±144	420±61	332±37	307±29	917±292
	245-2166	224-357	293-949	228-1043	299-512	252-405	264-373	297-1956
Ex-pCO <sub>2</sub> (ppmv)	622±95	284±34	-	530±125	386±36*	299±47	280±32	-
	357-692	236-344		307-635	294-472	238-411	241-369	
$O-pCO_2 - C-pCO_2$ (ppmv)	117±256	-3±13	216±180	-104±90	29±21	49±39	36±22	643±320
	-243-1444	-65-69	40-680	-413-407	-64-101	-31-141	-41-111	-11-1671
$O-pCO_2 - T-pCO_2$ (ppmv)	-1±7	0±1	9±8	5±7	2±2	12±8	5±3	59±40
	-29-9	-2-3	-1-30	-6-19	-3-6	-10-25	-3-11	-10-124
O-pCO <sub>2</sub> – Ex-pCO <sub>2</sub> (ppmv)*	-12±9	2±2	-	-12±9	-6±3	4±3	4±3	-
U <sub>10</sub> (m s <sup>-1</sup> )	1.1±0.7	1.1±0.7	0.6±0.4	2.2±1.0	0.4±0.2	2.2±1.0	0.4±0.2	1.1±0.5 <sup>\$</sup>
	0.1-2.8	0.1-2.8	0.5-1.4	0.9-3.2	0.1-1.1	0.9-3.2	0.1-1.1	0.5-1.6\$
k <sub>600</sub> (cm h <sup>-1</sup> ) W92	0.6	0.6	0.2	1.6	0.1	1.6	0.1	0.5
k <sub>600</sub> (cm h <sup>-1</sup> ) RC01	3.4	3.4	2.9	4.1	2.2	4.1	2.2	2.8
k <sub>600</sub> (cm h <sup>-1</sup> ) A09	5.6	5.6	4.1	7.3	2.8	7.3	2.8	4.6
FCO <sub>2</sub> W92 (mmol m <sup>-2</sup> h <sup>-1</sup> )	0.74±0.30	-0.25±0.03	0.09±0.03	0.18±0.06	0.01±0.00	-0.38±0.04	-0.04±0.00	1.23±0.40
FCO <sub>2</sub> RC01 (mmol m <sup>-2</sup> h <sup>-1</sup> )	3.93±1.61	-1.35±0.17	1.05±0.39	0.44±0.15	0.19±0.03	-0.94±0.11	-0.78±0.07	6.55±2.11
FCO <sub>2</sub> A09 (mmol m <sup>-2</sup> h <sup>-1</sup> )	6.43±2.64	-2.21±0.27	1.48±0.55	0.79±0.26	0.24±0.03	-1.68±0.19	-1.01±0.10	10.72±3.45

Table 2. Comparison of binned data with modelled data for the Nov. 2005 campaign. \*Calculated
as O-pCO<sub>2</sub> minus T-pCO<sub>2</sub>. #Calculated as C-pCO<sub>2</sub> minus Ex-pCO<sub>2</sub>. \$ Calculated as
O-pCO<sub>2</sub> minus C-pCO<sub>2</sub> minus T-pCO<sub>2</sub> minus Ex-pCO<sub>2</sub>. Standard deviation of the differences
between two numbers were calculated as the square root of the sum of the squares of the two
errors.

	S<3	S<3	S>3	S>3			
	Day	Night	Day	Night			
<i>p</i> CO <sub>2</sub> (ppmv)							
Number of measurements	518	260	701	441			
Salinity range	0-2.9	1.2-3.0	3.1-35.1	3.0-30.6			
Temperature	28.3±0.4	27.9±0.2	28.1±0.4	27.7±0.5			
O-pCO <sub>2</sub>	426±141	421±60	344±39	311±30			
T-pCO <sub>2</sub>	421±144	420±61	332±37	307±29			
C-pCO <sub>2</sub>	542±123	392±34	295±46	275±31			
Ex-pCO <sub>2</sub>	530±123	386±35	297±46	277±31			
Components of pCO <sub>2</sub> deviations (ppmv)							
Heating (+) / Cooling (-)*	+5±7	+2±2	+12±8	+5±3			
Invasion (+) / Evasion (-) <sup>#</sup>	-12±8	-6±3	+4±3	+4±3			
Heterotrophy and tidal pumping (+) / autotrophy (-) $^{\$}$	-97±77	+34±19	+33±25	+27±17			
Sum	-104±90	+29±21	+49±39	+36±22			
Gas exchange parameters							
U10 (m s <sup>-1</sup> )	2.2±1	0.4±0.2	2.2±1	0.4±0.2			
k <sub>600</sub> (cm h <sup>-1</sup> ) W92	1.6	0.1	1.6	0.1			
k <sub>600</sub> (cm h <sup>-1</sup> ) RC01	4.1	2.2	4.1	2.2			
k <sub>600</sub> (cm h <sup>-1</sup> ) A09	7.3	2.8	7.3	2.8			
CO <sub>2</sub> Fluxes (mmol m <sup>-2</sup> h <sup>-1</sup> )							
Water-air CO2 flux calculated with observations	+0.47±0.31	+0.15±0.12	-1.00±0.65	-0.61±0.51			
Water-air flux calculated with conservative mixing	+2.53±1.65	-0.05±0.05	-1.86±0.51	-0.86±0.72			
Deviation of observations from conservative mixing	-2.06±0.97	+0.20±0.07	+0.86±0.48	+0.25±0.51			
Contributions to the deviation of CO <sub>2</sub> fluxes (mmol m <sup>-2</sup> h <sup>-1</sup> )							
Heating (+) / Cooling (-)	+0.10±0.06	+0.01±0.01	+0.20±0.14	+0.10±0.06			
Invasion (+) / Evasion (-)	-0.21±0.14	-0.04±0.03	+0.03±0.02	+0.01±0.03			
Heterotrophy plus tidal pumping (+) / autotrophy (-)	-1.94±1.31	+0.23±0.20	+0.62±0.41	+0.14±0.45			



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12 Figure 1. Map of the São Francisco Estuary. Arrows show the regions of freshwater-estuarine

13 water-seawater mixing and the approximate localisation of the salinity gradient in the main

- 14 channel, sampled from the freshwater at the centre of the river until the seawater end-member
- 15 (Salinity ~ 30-34) and in the shallow tidal lagoon end-member (Salinity ~ 34).
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Figure 2: Distribution of Total Alkalinity (TA) (A. and B.) and Dissolved Inorganic Carbon (DIC) (C. and D.) concentrations as a function of salinity in the São Francisco estuary main channel (circles) and mangrove secondary channel (triangles) in August 2014 (A. and C.) and November 2015 (B. and D.).



26 27 Figure 3. Distribution of O-*p*CO<sub>2</sub> (A. and B.), temperature (C. and D.), and the difference between 28 O-pCO<sub>2</sub> and T-pCO<sub>2</sub> (E. and F.) versus salinity in the São Francisco Estuary main channel (blue 29 circles) and mangrove secondary channel (green triangles). The regression lines in panel C 30 indicate the conservative mixing for temperature used for pCO<sub>2</sub> temperature normalization in the 31 main channel (blue) and in the secondary mangrove channel (green) with a distinct marine end-32 member in the lagoon. The black regression line in panel D indicates the conservative mixing for 33 temperature in the main channel and in the secondary mangrove channel with the same values in 34 both marine end-members (see "results").



Figure 4. Time course of physico-chemical parameters in surface water of the main channel of the São Francisco River Estuary during the 25h cycle in Nov. 2015 (summer and spring tides). (A.) Temperature and Salinity and (B.) measured O-pCO<sub>2</sub> (black line), temperature-normalised T-pCO<sub>2</sub> (red line), and the C-pCO<sub>2</sub> induced by conservative mixing of seawater with freshwater calculated from the salinity record (blue line). Upward blue arrows indicate the occurrence of tidal pumping, net heterotrophy and gas exchange (invasion of atmospheric CO<sub>2</sub>). Upward red arrows indicate the impact of heating on pCO<sub>2</sub>. Green double arrows indicate the significant diurnal change in low salinity waters.



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Figure 5. Impact of air-water CO<sub>2</sub> exchange on thermodynamic conservative *p*CO<sub>2</sub> mixing curves. Calculations were made using Temperature, Salinity, DIC and TA observed in the freshwater and marine end-members in Nov. 2015 and the gas exchange was computed every salinity unit increment (see section 2.2). RT/H is the ratio between residence time and water depth, and the selected value of 0,17 is representative for estuarine systems such as the São Francisco, whereas the value may apply to larger tropical rivers such as the amazon or congo.

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63 Figure 6. Comparison between measured and modelled pCO<sub>2</sub> along the salinity gradient of the 64 São Francisco Estuary during the summer and spring tide cruise in Nov. 2015. A: measured O-65 pCO<sub>2</sub> binned for one salinity increments (blue dots with standard deviations as error bars), T-pCO<sub>2</sub> 66 normalized to a temperature corresponding to the conservative mixing of river and sea waters (red 67 dots with standard deviations as error bars), modelled C-pCO<sub>2</sub> by considering the conservative 68 mixing of TA, DIC and temperature in waters from the river and the sea (black full line), and 69 modelled Ex-pCO<sub>2</sub> for conservative mixing corrected for gas exchange (blue dashed line). B: 70 residual between the modelled and observed pCO<sub>2</sub>. Invasion or evasion (black bars) is calculated 71 as the difference between the C-pCO<sub>2</sub> and Ex-pCO<sub>2</sub> curves. Heating or cooling (red bars) is 72 calculated as the difference between the  $O_{-p}CO_{2}$  and  $T_{-p}CO_{2}$ . Autotrophy or heterotrophy and 73 tidal pumping (green bars) is calculated as the residual, i.e. as the difference between the T-pCO<sub>2</sub> 74 Ex-pCO<sub>2</sub>. 75

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- 78 Supplementary Material
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- 80 Figure S1.
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- 83 Figure S1. Track of the boat (blue lines) and discrete sampling (green dots) during the two field
- 84 trips.
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Figure S2. Distribution of dissolved oxygen (in percent of saturation) versus salinity in the São
Francisco Estuary main channel (blue circles) and mangrove secondary channel (green triangles)
in Aug. 2014 (A) and Nov. 2015 (B).