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# Parallelism in Soft Linear Logic

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#### <sup>4</sup> — Abstract

<sup>5</sup> We extend the Soft Linear Logic of Lafont with a new kind of modality, called *parallel*. Contractions <sup>6</sup> on parallel modalities are only allowed in the cut and the left  $-\circ$  rules, in a controlled, uniformly <sup>7</sup> distributive way. We show that SLL, extended with this parallel modality, is sound and complete <sup>8</sup> for PSPACE. We propose a corresponding typing discipline for the  $\lambda$ -calculus, extending the STA <sup>9</sup> typing system of Gaboardi and Ronchi, and establish its PSPACE soundness and completeness. The <sup>10</sup> use of the parallel modality in the cut-rule drives a polynomial-time, parallel call-by-value evaluation <sup>11</sup> strategy of the terms.

<sup>12</sup> 2012 ACM Subject Classification Theory of computation  $\rightarrow$  Linear logic; Theory of computation <sup>13</sup>  $\rightarrow$  Complexity theory and logic

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#### 17 Introduction

Implicit Complexity aims at providing purely syntactical, machine independent criteria on 18 programs, in order to ensure they respect some complexity bounds upon execution. In 19 the context of functional programming, the use of tailored proof systems, and subsequent 20 type systems for  $\lambda$ -calculus, has been very successful: using subsystems of Linear Logic [8], 21 several proof systems have been proposed, where cut-elimination has a bounded complexity. 22 Consequently, under the Curry-Howard isomorphism, type systems for  $\lambda$ -calculus based on 23 these logics have been proposed, where  $\beta$ -normalization of the typed terms follows the same 24 complexity bounds. Such results include, among many others, Bounded Linear Logic [10, 14] 25 and Light Linear Logic [9, 2] for polynomial time computation, and Stratified Bounded Affine 26 Logic [17, 15] for logarithmic space computations. Our interest in this paper lies in the 27 Soft Linear Logic of Lafont [13], which proposes a simple and elegant approach for ensuring 28 polynomial time bounds by controlling contractions on exponential formulas, and in the 29 subsequent type systems for polynomial time  $\lambda$ -calculus [1, 7, 5]. 30

At this point, it is relevant to note that the complexity classes captured thus far are all 31 sequential, deterministic in essence. While Soft Linear Logic type systems have been extended 32 to express the classes NP and PSPACE [6], it is important to note that the construction relies 33 on Soft Type Assignment (STA), a deterministic, sequential polynomial time type system, by 34 extending the  $\lambda$ -calculus with an additional construct (if then else), for which an ad hoc, 35 alternating polynomial time evaluation strategy is imposed - the core of the language retaining 36 its sequential polynomial time evaluation. While being indeed extensionally complete for 37 PSPACE, this approach lacks intensionality: many natural algorithms, that are easily 38 computable in parallel, are hardly expressible in this setting. Let us take as simple example 39 the numerical evaluation of a balanced, arithmetic expression on bounded integer values. In 40 order to compute it in alternating polynomial time with the (if then else) defined in [6], 41 one would need to express the value of all bits of the result as boolean expressions on the bits 42 of the input numbers, and use the alternating evaluation of the (if then else) construct 43 to speed up the parallel computation time - not quite a practical method. Furthermore, 44 this approach is no longer doable in real world functional programming languages, where 45



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<sup>46</sup> integers are given as a base type, and arithmetical operations as unitary functions of the <sup>47</sup> language. Our approach, on the other hand, extends very naturally to such programs: indeed, <sup>48</sup> our complexity bounds still hold in this context, and the encodings used in Lemma 27 and <sup>49</sup> Theorem 28 can seamlessly be used to encode uniform families of algebraic formulae, or <sup>50</sup> algebraic circuits, of polynomial depth, provided the base type for numbers (be they integers <sup>51</sup> or floating numbers, or even real or complex numbers) and for the algebraic basic operations <sup>52</sup> are given in the typing context.

A reason why these approaches are all essentially sequential, deterministic is that they 53 use the typing discipline to control the *amount* of resources the calculus uses (e.g. by 54 controlling contractions on exponentials), not the way these resources are distributed along 55 the computation. In order to truly denote parallel computation in a functional programming 56 language, our proposal here is to use a parallel, call-by-value evaluation strategy for the 57  $\lambda$ -calculus: in an application, both terms can be normalized in parallel, before the substitution 58 of the redex takes place. If both terms share the same normalization time bound, the parallel 59 evaluation strategy is efficient. Note that in first order functional programming, this is already 60 the approach used by Leivant and Marion [16] with their safe recursion with substitutions: 61 using sequential resource bounds from Ptime Safe Recursion [3], and a parallel call-by-62 value evaluation strategy, the authors characterize the class FPAR (Parallel polynomial 63 time), which coincides with PSPACE. This approach has also been later on extended to 64 sub-polynomial complexity classes [12, 4, 11]. For higher order functional programming, we 65 rely on the Curry-Howard isomorphism: ensuring an homogeneous computation time on 66 the parallel evaluation of both arguments of an application amounts to ensuring that both 67 premises of a cut-rule share a homogeneous bound on the resource usage in the corresponding 68 type system. 69

In order to achieve this, we can no longer rely on the usual linear cut-rule. We propose therefore a modification of the linear cut-rule, that internalizes a controlled number of contractions on some formulas, that are uniformly distributed among the premises. These formulas are decorated with a dedicated modality, called parallel modality. This approach is applied here on the Soft Type Assignment (STA) of Gaboardi and Ronchi [7], in order to propose a sound and complete type system for PSPACE, with a truly parallel evaluation strategy.

<sup>77</sup> Of course, breaking linearity in the cut-rule comes with a price: while proof nets for this <sup>78</sup> logic are still definable, the additional bureaucracy needed to deal with the side condition of <sup>79</sup> the cut-rule makes them much less meaningful than those for simpler logical systems such as <sup>80</sup> *MLL* or *SLL*.

The paper is organized as follows. Section 1 recalls the Soft Linear Logic rules, introduces the parallel modality //, and the modified, parallel (cut) rules, yielding the system PSLL. Cut-elimination for PSLL is also shown. Section 2 provides a parallel, polynomial time normalization bound. Section 3 extends STA with the rules of PSLL, yielding PSTA. A parallel polynomial time call-by-value strategy for PSTA is described. FPAR completeness of PSTA is proven in Section 4.

### <sup>87</sup> **1** Parallel Soft Linear Logic

### **38 1.1 Soft Linear Logic**

<sup>89</sup> Let us recall the SLL rules of Lafont [13], in its intuitionistic fashion. In the following,  $!\Gamma$ <sup>90</sup> stands for a multiset of formulae of the form !F, and  $(A)^n$  stands for n copies of a formula A.

$$\overset{_{91}}{\underbrace{ U \vdash U } } (Id) \qquad \qquad \underbrace{ \frac{\Gamma \vdash U \quad \Delta, U \vdash V}{\Gamma, \Delta \vdash V} }_{ (cut)} (cut) \qquad \underbrace{ \frac{\Gamma, U \vdash V}{\Gamma \vdash U \multimap V} }_{ (\multimap R)} (\multimap R)$$

$$\xrightarrow{\Gamma \vdash U} V, \Delta \vdash Z \quad (\multimap L) \quad \frac{\Gamma \vdash A}{\Gamma \vdash A\&B} (\&R) \qquad \frac{\Gamma, A \vdash V}{\Gamma, A\&B \vdash V} (\&L_1)$$

$$\stackrel{93}{=} \frac{\Gamma, B \vdash V}{\Gamma, A\&B \vdash V} (\&L_2) \qquad \frac{\Gamma \vdash U}{\Gamma \vdash \forall \alpha U} (\forall R) \qquad \frac{\Gamma, U[V/\alpha] \vdash Z}{\Gamma, \forall \alpha U \vdash Z} (\forall L)$$

<sup>94</sup> 
$$\frac{\Gamma \vdash U}{!\Gamma \vdash !U}$$
 (*sp*)  $\frac{\Gamma, (U)^n \vdash V \quad n \ge 0}{\Gamma, !U \vdash V}$  (*m*), of rank *n*

where, in the  $(\forall R)$ -rule, there is no free occurrence of  $\alpha$  in  $\Gamma$ . SLL proofs (of a given degree) normalize in polynomial time. Let the rank of a proof be the maximal rank of its (m) rules, and its degree the maximal nesting of its (sp) rules:

**Theorem 1** ([13]). A SLL proof of rank n and degree d normalizes in  $n^d$  steps.

<sup>99</sup> SLL is also complete for the class FP: inputs of size n are encoded with proofs of rank n, <sup>100</sup> degree 1, and programs running in time  $O(n^d)$  by proofs of degree d. Applying a program <sup>101</sup> on an input amounts to performing a (cut) of the two proof derivations.

#### **102 1.2 Parallel Modalities**

<sup>103</sup> PSLL is built upon SLL. An additional modality, called the parallel modality  $/\!\!/$ , is introduced, <sup>104</sup> with corresponding elimination rules. Finally, the (sp), and the (cut) and  $(-\circ L)$ -rules are <sup>105</sup> modified to accommodate this new modality, implementing the controlled contractions and <sup>106</sup> homogeneous distribution of  $/\!\!/$  formulas on the premises of the cut, as follows.

#### 107 Polarities

Let us define as usual inductively the polarity of a sub-formula in an intuitionistic sequent  $\Gamma \vdash V$ . Polarities are either positive or negative, one being the opposite of the other.

1. in  $\Gamma \vdash V$ , every occurrence of a formula F in  $\Gamma$  is negative, and V is positive.

111 **2.** If F is  $\forall \alpha A$ , !A or  $/\!\!/A$ , the polarity of A is the polarity of F.

**3.** If F is  $A \otimes B$ , the polarity of A and the polarity of B are the polarity of F.

4. If F is  $A \multimap B$ , the polarity of A is the opposite of the polarity of F, and the polarity of B is the polarity of F.

In the sequel we only admit  $/\!\!/A$  sub-formulas with negative polarities in a sequent. An immediate consequence is that no  $/\!\!/$  modality can appear in a cut formula, since a cut-formula has both a positive and a negative occurrence in a proof tree.

#### **118** Rules for Parallel Modalities

<sup>119</sup> (//W) (weakening) and (//D) (dereliction) rules eliminate the // modality, (//sp) (soft pro-<sup>120</sup> motion for the ! modality) and (//ax) replace the linear (sp) and (Id) rules. Contraction <sup>121</sup> for the // modality is not dealt with a dedicated rule, but is instead internalized in the side <sup>122</sup> condition of the modified (cut) rule, as detailed in the next section.

$$\frac{\Gamma \vdash B}{\Gamma, /\!\!/ A \vdash B} (/\!\!/ W) \quad \frac{\Gamma, A \vdash B}{\Gamma, /\!\!/ A \vdash B} (/\!\!/ D) \quad \frac{/\!\!/ \Delta, \Gamma, \vdash U}{/\!\!/ \Delta, !\Gamma \vdash !U} (/\!\!/ sp) \quad \overline{/\!\!/ \Gamma, A \vdash A} (/\!\!/ ax)$$

where (//ax), is derivable from (Id) and (//W), and used for convenience only.

#### 10:4 Parallelism in Soft Linear Logic

## 125 1.2.1 (//Cut) and (// $-\infty$ ) Rules

<sup>126</sup> Contraction for parallel formulas is internalized into the PSLL (//cut)-rule and ( $// \multimap L$ )-rule, <sup>127</sup> in a controlled fashion. As for the usual (*cut*) and ( $\multimap L$ )-rules in linear logic, linear and <sup>128</sup> exponential formulas are linearly distributed among the two premises. Denote by  $\subsetneq$  the strict <sup>129</sup> inclusion relation on multisets. The (binary) (//cut) and ( $// \multimap L$ ) rules are the following.

$$\frac{/\!/\Delta_1, \Gamma_1 \vdash A_1 /\!/\Delta_2, \Gamma_2, A_1 \vdash A_2}{/\!/\Delta, \Gamma_1, \Gamma_2 \vdash A_2} (/\!/cut) \quad \frac{/\!/\Delta_1, \Gamma_1 \vdash A_1 /\!/\Delta_2, \Gamma_2, A_2 \vdash A_3}{/\!/\Delta, \Gamma_1, A_1 \multimap A_2, \Gamma_2 \vdash A_3} (/\!/ \multimap L)$$

These two rules hold under the side condition  $S_P : (//\Delta_1 \subseteq //\Delta, //\Delta_2 \subseteq //\Delta)$ . The (//cut)- rule has the principal cut-formula  $A_1$  and the cut-pair of premises the pair  $(//\Delta_1, \Gamma_1 \vdash A_1) \rightarrow (//\Delta_2, \Gamma_2, A_1 \vdash A_2)$ . The  $(// \multimap L)$  rule has the principal  $\multimap$ -formula  $A_1 \multimap A_2$  and the  $\multimap$ -pair of premises the pair  $(//\Delta_1, \Gamma_1 \vdash A_1) \rightarrow (//\Delta_2, \Gamma_2, A_2 \vdash A_3)$ .

In a proof tree consisting only in (n-1) binary linear (cut)-rules, these (cut)-rules can be freely permuted, and a generalized, *n*-ary linear (cut)-rule can be derived. The non-linear distribution of parallel modalities in PSLL breaks this isomorphism: permuting two binary (//cut)-rules may come in conflict with the side condition  $//\Delta_i \subseteq //\Delta$ . A similar remark can be made for  $-\infty L$  rules as well. Since we want a *uniform* bound on the parallel normalization of the premises, we define a *n*-ary parallel (cut)-rule, exemplified in Example 5, as a parallel extension of the linear one, where the side condition for // modalities is adapted accordingly.

<sup>142</sup> **Definition 2** (*n*-ary (*cut*/ $\multimap$ *L*) rule). We define the following *n*-ary (*cut*/ $\multimap$ *L*)-rule, <sup>143</sup> together with its cut-pairs and  $\multimap$ -pairs, and principal formulae. To each cut-pair (respectively <sup>144</sup>  $\multimap$ -pair) corresponds one principal cut-formula (resp.  $\multimap$ -formula).

$$\begin{array}{cccc} {}^{_{148}} & & \underline{T_1 \cdots \overline{T_t}} & R_1 & \\ & & \underline{\Gamma, \Lambda \vdash A_d} & \\ \end{array} \begin{array}{c} & & \\ & & \\ \end{array} \begin{array}{c} & & \\ \end{array} \begin{array}{c} & & \\ & & \\ \end{array} \end{array}{c} \end{array} \begin{array}{c} & & \\ \end{array} \begin{array}{c} & & \\ \end{array} \begin{array}{c} & & \\ \end{array} \end{array}{c} \end{array} \begin{array}{c} & & \\ \end{array} \end{array} \end{array}$$

provided the  $\multimap$  principal formulae of  $R_1$  are not sub-formulae of any principal formulae of  $R_2$  corresponding  $T_t$ .

The multiset of  $\multimap$  (respectively (cut)) principal formulae of R is then the union of those of  $R_1$  and  $R_2$ .

The cut - and  $\neg$ -pairs of R are obtained from the union of those of  $R_1$  and  $R_2$  with the following update procedure: whenever  $T_t$  belongs to a  $\neg$  or cut-pair of premises  $T_t \rightarrow T_w$ (respectively  $T_w \rightarrow T_t$ ) of  $R_2$ , with corresponding principal formula F belonging to one of the premises  $T_v$  of  $R_1$ , the pair  $T_t \rightarrow T_w$  (resp.  $T_w \rightarrow T_t$ ) is replaced by  $T_v \rightarrow T_w$  (resp.  $T_w \rightarrow T_v$ ), with the same corresponding principal formula.

We derive from this linear *n*-ary  $(cut/ \multimap L)$  rule its parallel version  $(//, \multimap cut)$  as follows. **Definition 3** (*n*-ary  $(//, \multimap cut)$  rule). A *n*-ary  $(//, \multimap cut)$  rule is

$$\frac{/\!\!/\Delta_1, \Gamma_1 \vdash A_1 \qquad /\!\!/\Delta_2, \Gamma_2 \vdash A_2 \cdots \qquad /\!\!/\Delta_d, \Gamma_d \vdash A_d}{/\!\!/\Delta, \Gamma, \Lambda \vdash A_d} (/\!\!/, \multimap cut)$$

where the side condition  $S_P: \forall i = 1, \dots, d, //\Delta_i \subsetneq //\Delta$  holds, and the linear rule instance

$$\frac{\Gamma_1 \vdash A_1 \qquad \Gamma_2 \vdash A_2 \cdots \qquad \Gamma_d \vdash A_d}{\Lambda, \Gamma \vdash A_d} (cut/ \multimap L)$$

160

<sup>163</sup> holds as per Definition 2, with corresponding pairs of premises and principal formulae.

The following Lemma follows from the intuitionistic nature of the PSLL sequents, and will play a role in our elimination strategy.

▶ Lemma 4. The cut-pairing relation on the premises of a (//, cut/ - oL) rule R defines a forest structure F(R), called the pairing forest of the (//, cut/ - oL), on the premises of R; the edges of the pairing forest are the cut-pairs of the rule.

**Example 5.** A tree of linear  $(-\infty L)$  and (cut) rules is

$$\frac{\Gamma_{2} \vdash A_{2} \quad \Gamma_{3}, A_{2} \vdash U}{\Gamma_{2}, \Gamma_{3} \vdash U} (cut) \quad \frac{\Gamma_{4} \vdash A_{3} \quad \Gamma_{5}, A_{1}, A_{3}, V \vdash W}{\Gamma_{4}, \Gamma_{5}, A_{1}, V \vdash W} (cut) (cut)$$

$$\frac{\Gamma_{1} \vdash A_{1} \quad \frac{\Gamma_{2}, \Gamma_{3}, \Gamma_{4}, \Gamma_{5}, U \multimap V, A_{1} \vdash W}{\Gamma_{1}, \Gamma_{2}, \Gamma_{3}, \Gamma_{4}, \Gamma_{5}, U \multimap V \vdash W} (cut) (cut)$$

171

The corresponding 5-ary linear (cut / - L) rule is

$$\frac{\Gamma_1 \vdash A_1 \qquad \Gamma_2 \vdash A_2 \qquad \Gamma_3, A_2 \vdash U \qquad \Gamma_4 \vdash A_3 \qquad \Gamma_5, A_1, A_3, V \vdash W}{\Gamma_1, \Gamma_2, \Gamma_3, \Gamma_4, \Gamma_5, U \multimap V \vdash W} (cut, \multimap L)$$

173 174

176 177

A corresponding 5-ary  $(//, -\infty cut)$  rule, with //-formulae satisfying the side condition, is

$$\frac{/\!\!/ F, \Gamma_1 \vdash A_1 \qquad /\!\!/ G, \Gamma_2 \vdash A_2 \qquad \Gamma_3, A_2 \vdash U \qquad /\!\!/ G, \Gamma_4 \vdash A_3 \qquad /\!\!/ F, \Gamma_5, A_1, A_3, V \vdash W}{/\!\!/ F, /\!\!/ G, \Gamma_1, \Gamma_2, \Gamma_3, \Gamma_4, \Gamma_5, U \multimap V \vdash W}$$

178 The cut-pairs are

179 =  $(//F, \Gamma_1 \vdash A_1) \rightarrow (//F, \Gamma_5, A_1, A_3, V \vdash W)$  with principal formula  $A_1$ ,

180  $= (//G, \Gamma_2 \vdash A_2) \to (\Gamma_3, A_2 \vdash U)$  with principal formula  $A_2$ , and

181  $(//G, \Gamma_4 \vdash A_3) \rightarrow (//F, \Gamma_5, A_1, A_3, V \vdash W)$  with principal formula  $A_3$ ,

which defines the pairing forest, with two roots  $(/\!\!/ F, \Gamma_5, A_1, A_3, V \vdash W)$  and  $(\Gamma_3, A_2 \vdash U)$ . The  $\neg$ -pair is  $(\Gamma_3, A_2 \vdash U) \rightarrow (/\!/ F, \Gamma_5, A_1, A_3, V \vdash W)$  with principal formula  $U \multimap V$ .

We now define PSLL by the rules (//ax),  $(\multimap R)$ ,  $(\forall R)$ ,  $(\forall L)$ , (&R),  $(\&L_i)$ , (m) (//sp), (//W), (//D) and  $(//, \multimap cut)$ .

<sup>186</sup> A PSLL proof  $\Pi$  is said to be in *normal form* if it contains no cut: more precisely, <sup>187</sup> no ( $//, -\circ cut$ )-rule in  $\Pi$  admits any cut-pair of premises. Cut-elimination in this context <sup>188</sup> amounts to rewrite the proof into a new equivalent proof in normal form. The cut-elimination <sup>189</sup> procedure stems on the usual one for SLL, with some refinements.

#### **1.3** Parallel Cut Elimination

<sup>191</sup> **Lemma 6.** Sequent calculus rules preserve the polarities of subformulae.

<sup>192</sup> The proof is straightforward. This allows us to state the following rule commutation result.

#### 193 **► Lemma 7.**

1. A  $(//, \multimap cut)$  rule  $(R_1)$ , with premise  $\Gamma \vdash V$  commutes with any non  $(//, \multimap cut)$ , non (//W), non (//D), non (//sp) rule  $(R_2)$  with conclusion  $\Gamma \vdash V$ , provided the principal formula of  $(R_2)$  is not a sub-formula of any principal formula of  $(R_1)$  with respect to the premise  $\Gamma \vdash V$ .

<sup>198</sup> 2. A (//W) or a (//D) rule ( $R_1$ ), with premise  $\Gamma \vdash V$  commutes with any non (//ax) rule

(R<sub>2</sub>) with conclusion  $\Gamma \vdash V$ , provided the principal formula of (R<sub>2</sub>) is not a subformula of the principal formula of (R<sub>1</sub>).

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**3.** A non  $(//, -\infty cut)$ , non (//sp) rule  $(R_1)$  with premise  $\Gamma \vdash V$  commutes with any non  $(//, -\infty cut)$ , non (//sp) rule  $(R_2)$  with conclusion  $\Gamma \vdash V$ , provided the principal formula of  $(R_2)$  is not a sub-formula of the principal formula of  $(R_1)$ .

**Proposition 8.** *PSLL enjoys cut elimination.* 

Let  $\Pi$  be a PSLL proof and R be a  $(//, -\circ cut)$  rule in  $\Pi$  with cut-pair Proof. 205  $(S = \Gamma \vdash A, T = \Lambda, A \vdash V)$ . Since the cut formula A may not contain any // modality, the 206 commutation rules of Lemma 7 allow us to rewrite  $\Pi$  into an equivalent proof  $\Pi'$ , where S 207 is conclusion of a right rule with principal formula A, and T conclusion of a left rule with 208 principal formula A. The cut-elimination cases are then the following, where, for all cases but 209 (//Sp), (m), the other premises of the rule are left unchanged, and omitted. Side conditions 210 as well are omitted, but it is straightforward to see that they are preserved. The modification 211 induced by each of the elimination cases below on the pairing forest is also detailed. 212

213 **Rules** 
$$(\multimap L)$$
, $(\multimap R)$ 

$$\underbrace{\frac{/\!/\Delta_1, \Gamma, B \vdash C}{/\!/\Delta_1, \Gamma \vdash B \multimap C} (\multimap R) \quad \underbrace{\frac{/\!/\Delta_3, \Phi \vdash B}{/\!/\Delta_2, \Phi, \Lambda, B \multimap C \vdash V} (/\!/ \multimap L)}_{/\!/\Delta_2, \Phi, \Lambda \vdash V} (/\!/, \multimap cut) }$$

reduces to 
$$\frac{/\!\!/ \Delta_1, \Gamma, B \vdash C \quad /\!\!/ \Delta_3, \Phi \vdash B \quad /\!\!/ \Delta_4, \Lambda, C \vdash V}{/\!\!/ \Delta, \Gamma, \Phi, \Lambda \vdash V} (/\!\!/, \multimap cut)$$

In the pairing forest, the premise  $/\!\!/\Delta_1, \Gamma \vdash B \multimap C$  is replaced by  $/\!\!/\Delta_1, \Gamma, B \vdash C$ , the premise  $/\!\!/\Delta_2, \Phi, \Lambda, B \multimap C \vdash V$  by  $/\!\!/\Delta_4, \Lambda, C \vdash V$ , and a cut-pair  $(/\!\!/\Delta_3, \Phi \vdash B) \rightarrow$  $(/\!\!/\Delta_1, \Gamma, B \vdash C)$  is added.

219 **Rule** 
$$(//ax)$$

220

224

$$\frac{\boxed{/\!\!/ \Delta_1, B \vdash B} (/\!\!/ ax)}{/\!\!/ \Delta, \Gamma, B \vdash V} (/\!\!/, - \circ cut)$$

when no other premise exists, reduces to  $\frac{/\!/\Delta_2, \Gamma, B \vdash V}{/\!/\Delta, \Gamma, B \vdash V}$  (//W\*), Where (//W)\* stands for several applications of the (//W) rule.

223 Similarly,

$$\frac{\Pi_1 \cdots / \Delta_1, B \vdash B}{/ \Delta_1, B \vdash B} (/ ax) \qquad \Pi_t \cdots / \Delta_2, \Gamma, B \vdash V \qquad \cdots \Pi_n / (/ ax) = 0$$

reduces to 
$$\frac{\Pi_1 \cdots \Pi_t \cdots \qquad /\!\!/ \Delta_2, \Gamma, B \vdash V \qquad \cdots \Pi_n}{/\!\!/ \Delta, \Gamma, B \vdash V} (/\!\!/, \multimap cut)$$

In the pairing forest, the premise  $/\!/\Delta_1, B \vdash B$  is removed, and the paths in the forest are shortened accordingly, if necessary.

228 **Rules** 
$$(//sp)$$
,  $(m)$ 

$$\frac{S_1, \cdots, S_k}{\|\Delta_1, \Gamma \vdash B\|} (\|sp) - \frac{\|\Delta_2, \Lambda, B^n \vdash V}{\|\Delta_2, \Lambda, !B \vdash V} (m) \\ \frac{\|\Delta_1, !\Gamma \vdash !B\|}{\|\Delta_1, !\Gamma, \Lambda \vdash V} (m)$$

229

reduces to 
$$\frac{S_1^n, \cdots, S_k^n \quad /\!\!/ \Delta_1, \Gamma \vdash B \cdots \quad /\!\!/ \Delta_1, \Gamma \vdash B \quad /\!\!/ \Delta_2, \Lambda, B^n \vdash V}{\frac{/\!/ \Delta, \Gamma^n, \Lambda, \vdash V}{/\!/ \Delta, !\Gamma, \Lambda \vdash V}} (m)^*$$

230

Where  $S_1, \dots, S_k$  are the premises of the  $(//, -\infty cut)$  belonging to the pairing tree rooted in 231  $//\Delta_1, !\Gamma \vdash !B$ , and  $S_1^n, \cdots, S_k^n$  are n copies of these premises. Then, in the pairing forest, the 232 tree rooted in  $/\!/\Delta_1, !\Gamma \vdash !B$  is copied *n* times, and the pair  $(/\!/\Delta_1, !\Gamma \vdash !B) \rightarrow (/\!/\Delta_2, \Lambda, !B \vdash V)$ 233 is replaced by n pairs  $(/\!/\Delta_1, \Gamma \vdash B) \to (/\!/\Delta_2, \Lambda, B^n \vdash V)$ , one connected to each of the copies 234 above. 235

// ٨

**Rules**  $(\forall L)$ ,  $(\forall R)$ 236

237

$$\frac{\frac{/\!/\Delta_1, \Gamma \vdash U}{/\!/\Delta_1, \Gamma \vdash \forall \alpha U} (\forall R) - \frac{/\!/\Delta_2, \Lambda, U[V/\alpha] \vdash V}{/\!/\Delta_2, \Lambda, \forall \alpha U \vdash V} (\forall L)}{/\!/\Delta, \Gamma, \Lambda \vdash V} (\#, \multimap cut)$$

reduces to 
$$\frac{/\!\!/\Delta_1, \Gamma \vdash U[V/\alpha] /\!\!/\Delta_2, \Lambda, U[V/\alpha] \vdash V}{/\!\!/\Delta, \Gamma, \Lambda \vdash V} (/\!\!/, \multimap cut)$$

Rules (&L), (&R)230

240

$$\frac{/\!/\Delta_1, \Gamma \vdash A /\!/\Delta_1 \Gamma \vdash B}{/\!/\Delta_1, \Gamma \vdash A\&B} (\&R) \frac{/\!/\Delta_2, \Lambda, A \vdash V}{/\!/\Delta_2, \Lambda, A\&B \vdash V} (\&L_1) /\!/\Delta, \Gamma, \Lambda \vdash V (/\!/, \multimap cut)$$

reduces to  $\frac{/\!/\Delta_1, \Gamma \vdash A}{/\!/\Delta_2, \Gamma, \Lambda \vdash V} (/\!/, \multimap cut)$ , and, of course, the cut elimina-241

tion rule for  $(\&L_2)$ , (&R) follows a similar pattern. 242

In each of the cases above, for each path in the pairing forest modified by the elimination 243 case, the sum of the sizes of the sequents labelling the vertices along that path decreases 244 strictly. As a consequence, the procedure terminates in a finite number of steps. 245

#### 2 **Complexity Bounds** 246

Let us now show that the contraction discipline ensures that PSLL admits cut-elimination in 247 parallel polynomial time. The bounds are actually more straightforward than for SLL. 248

- ▶ **Definition 9.** Let  $\Pi$  be a PSLL proof, with conclusion sequent  $S = \Gamma \vdash V$ . We define 249
- The size |S| of S is the number of connectives in S. 250
- The size  $|\Pi|$  of  $\Pi$  is the number of nodes in the proof-tree. 251
- The depth of a node R in  $\Pi$  is the length of the path from S to R in  $\Pi$ ; the depth  $d(\Pi)$  of 252
- $\Pi$  is the maximal depth of its nodes. 253
- The rank  $r(\Pi)$  of  $\Pi$  is the maximal rank of its (m) rules. 254
- The degree d(f) of a formula f is the maximal nesting of its ! modalities. The degree -255
- d(S) of a sequent S is the maximal degree of its formulas. The degree  $d(\Pi)$  of a proof is 256
- the maximal degree of its sequents. 257
- PSLL proofs have bounded depth, and bounded number of (cut)-rules. 258

**Lemma 10.** Let  $\Pi$  be a PSLL cut-free proof, of rank n, with conclusion sequent S of degree 259 d. The depth of  $\Pi$  is then bounded by  $O(|S|.n^d)$ . 260

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- **Lemma 11.** Let  $\Pi$  be a PSLL proof, of rank n, with conclusion sequent S of degree d.
- Then, on any path from S to an axiom in  $\Pi$ , there are at most  $O(|S|.n^d)$  ( $//, -\infty$  cut)-rules with cut-pairs of premises.
- 264 Combining these two lemmas, we obtain a bound on the depth of PSLL proofs.
- ▶ Lemma 12. Let  $\Pi$  be a PSLL proof, of rank n and degree d, with conclusion sequent S.Let M be the maximal size of its cut-formulas. Then, the depth of  $\Pi$  is  $O(M.|S|.n^{2d})$ .
- Lemma 13. Let R be a (∥, −∞ cut) rule with cut-pairs  $(S_1 = \Gamma_1 \vdash A_1) \rightarrow S, \cdots, (S_t = \Gamma_t \vdash A_t)$
- <sup>268</sup>  $\Gamma_t \vdash A_t \rightarrow S$  and cut-formulae  $A_1, \cdots, A_t$ . Assume moreover that
- each of the proof trees with conclusion  $S_i$ , for  $i = 1, \dots, t$ , ends with the PSLL rule with right principal formula  $A_i$ , and
- the proof tree with conclusion S ends with the t PSLL rules with left principal formula  $A_i$ , for  $i = 1, \dots, t$ .
- Then, the cut-elimination steps of Proposition 8 for the cut-pairs  $(S_1, S), \dots, (S_t, S)$  can be performed in parallel.
- PROOF. The cut-elimination steps of Proposition 8 act on distinct left sub-formulae of S, and distinct premises (other than S) of the  $(//, -\infty cut)$  rule R.
- ▶ Definition 14 (Parallel elimination of an innermost cut). Le  $\Pi$  be a PSLL proof. A ( $//, -\infty$  cut) rule R with cut-pairs is innermost in  $\Pi$  if there is no other ( $//, -\infty$  cut) rule with cut-pairs along any path from R to the axioms of  $\Pi$ .
- Let R be an innermost  $(\#, \multimap cut)$  rule in  $\Pi$ , and F(R) be the pairing forest. The parallel elimination of R is then the following procedure:
- 1. For any premise  $S = \Lambda \vdash B$  of R root in F(R), with cut-pairs  $(S_1 = \Gamma_1 \vdash A_1, S), \cdots, (S_t = \Gamma_t \vdash A_t, S)$ , perform the rule permutations of Lemma 7 such that S is conclusion of a proof tree with deep most rules the left rules with principal formulae  $A_1, \cdots, A_t$ , and
- 285 2. perform the rule permutations of Lemma 7 such that, for  $i = 1, \dots, t$ ,  $S_i$  is conclusion of 286 a proof tree ending with a right rule with principal formula  $A_i$ .
- **3.** perform in parallel the cut-elimination steps of Lemma 13 for all cut-pairs  $(S_i, S)$  for all roots S in F(R).
- 289 **4.** if R has at least one cut-pair left, go to step 1.

▶ Definition 15 (Innermost parallel cut-elimination). Let  $\Pi$  be a PSLL proof. The Innermost parallel cut-elimination procedure consists in applying in parallel, for all its innermost cuts, their parallel elimination, until no (//,  $-\infty$  cut) rule with cut-pairs remains.

The innermost parallel cut-elimination procedure ensures that the blow-up of the  $(//, -\infty cut)$  rules remains under control:

▶ Lemma 16. Let  $\Pi$  be a PSLL proof with conclusion S, degree d, and rank n. Let M be the maximal size of its cut-formulae and w the maximal indegree of its pairing forests. Then, the maximal indegree of the pairing forests of any proof  $\Pi'$  derived from  $\Pi$  by an innermost parallel partial evaluation is bounded by  $O(w.n^d + M)$ .

**Lemma 17.** Let  $\Pi$  be a PSLL proof with conclusion S, degree d, and rank n. Let M be the maximal size of its cut-formulae, and h the maximal height of its pairing forests. The parallel elimination of an innermost cut takes at most O(M.h) parallel steps.

PROOF. For each of the elimination steps of Proposition 8, for each path in the pairing
forest containing the cut-pair eliminated by this step, the sum of the sizes of the cut-formulae
along the path strictly decreases, hence the result.

We now have a parallel, polynomial time cut-elimination procedure: 305

**Theorem 18.** Let  $\Pi$  be a PSLL proof, of rank n and degree d, with conclusion sequent 306 S. Let M be the maximal size of its cut-formulae, and h the maximal height of its pairing 307 forests. Then, an innermost parallel cut-elimination strategy takes  $O(|S|.M.h.n^{2d})$  steps. 308

By Lemma 12, the depth of the proof-tree is at most  $O(M.|S|.n^{2d})$ : this bounds Proof. 309 applies therefore for the overall parallel time needed to parse the proof-tree and reach all 310 innermost  $(//, -\infty cut)$ -rules. These innermost  $(//, -\infty cut)$  rules belong to different branches 311 of the proof tree: they can therefore be eliminated safely in parallel. Each of these parallel 312 elimination steps takes at most O(M.h) steps. 313

By Lemma 11, the number of  $(//, -\infty cut)$ -rules with cut-pairs on any path in the proof 314 tree is bounded by  $O(|S|, n^d)$ : this bounds the number of times one needs to fully eliminate 315 the innermost  $(//, -\infty cut)$ -rules, hence the overall bound. 316

Note that, in Theorem 18, we have only counted the number of parallel cut-elimination 317 steps. Lemma 16 ensures moreover that, for each of these cut-elimination steps, the number 318 of rule permutations needed to compute it is also polynomially bounded. 319

**Example 19.** Let us consider the following derivation proof, corresponding to the application 320 of a function to two arguments, of types A and B respectively, in a curryfied fashion, with 321 atomic resulting type C. Since the strategy is innermost, the four premises in the tree are 322 conclusions of (cut)-free derivation trees. 323

$$\underbrace{\frac{ \left\| \Delta_{1}, \Gamma, A, B \vdash C \right\|}{ \left\| \Delta_{1}, \Gamma, A \vdash B \multimap C \right\|} (\multimap R)}_{\left\| \Delta_{1}, \Gamma \vdash A \multimap B \multimap C \right\|} (\multimap R) \underbrace{ \left\| \Delta_{3}, \Phi \vdash A \right\|}_{\left\| \Delta_{2}, \Phi, \Lambda, \Theta, A \multimap B \multimap C \vdash C \right\|} \left\| \Delta_{6}, \Theta, C \vdash C \right\|}_{\left\| \Delta_{4}, \Lambda, \Theta, B \multimap C \vdash C \right\|} ( \left\| \multimap L \right)}_{\left\| \Delta_{1}, \Gamma \vdash A \multimap B \multimap C \right\|} ( \left\| \Box_{1}, \nabla \Box_{1}, \nabla \Box_{1}, \nabla \Box_{2}, \Phi, \Lambda, \Theta, A \multimap B \multimap C \vdash C \right\|}_{\left\| \Delta_{1}, \Gamma \vdash A \multimap B \multimap C \vdash C \right\|} ( \left\| \Box_{1}, \nabla \Box_{1},$$

One parallel (//cut) elimination step exhibits the application of the first argument, of type A, 325

$$\underbrace{ \frac{/\!/\Delta_1, \Gamma, A, B \vdash C}{/\!/\Delta_1, \Gamma, A \vdash B \multimap C} (\multimap R) }_{/\!/\Delta_3, \Phi \vdash A} \underbrace{ \frac{/\!/\Delta_5, \Lambda \vdash B}{/\!/\Delta_4, \Lambda, \Theta, B \multimap C \vdash C} (/\!/ \multimap L) }_{/\!/\Delta_4, \Lambda, \Theta, B \multimap C \vdash C} (/\!/ \operatorname{cut})$$

And a second one exhibits the application of the second argument, of type B. 327

$$\frac{/\!\!/\Delta_1, \Gamma, A, B \vdash C \qquad /\!\!/\Delta_3, \Phi \vdash A \qquad /\!\!/\Delta_5, \Lambda \vdash B \qquad /\!\!/\Delta_6, \Theta, C \vdash C}{/\!\!/\Delta, \Gamma, \Phi, \Lambda, \Theta \vdash C} (/\!\!/ cut)$$

The premise  $/\!\!/\Delta_6, \Theta, C \vdash C$  is the root of the pairing forest, and the atomic type C is elimin-329 ated first. 330

$$\frac{/\!/\Delta_1, \Gamma, A, B \vdash C /\!/\Delta_3, \Phi \vdash A /\!/\Delta_5, \Lambda \vdash B}{/\!/\Delta, \Gamma, \Phi, \Lambda, \Theta \vdash C} (/\!/cut)$$

331

3

328

Finally, the two arguments types 
$$A$$
 and  $B$  are then eliminated in parallel, with elimination  
steps corresponding to the substitution of the corresponding values in the function term in  
the Curry-Howard isomorphism, as detailed in the next section.

#### 3 A Parallel Polynomial Time Type Assignment for $\lambda$ -calculus 335

#### 3.1 Parallel Soft Types 336

We take insipiration from the STA type assignment of Gaboardi and Ronchi [7]. We add the 337 parallel modalities in a restricted way, as follows. 338

▶ Definition 20 (Parallel Soft types (PSTA)). In the following,  $\alpha$ ,  $\beta$ , etc stand for base type variables, A, B, C, etc stand for types with linear output, and  $\sigma$ ,  $\tau$ , etc stand for PSTA types. PSTA types are given by the following grammar:

$$A, B, C := \alpha \mid \sigma \multimap A \mid \forall \alpha A \mid A \& B$$

343  $\sigma, \tau, \rho, \mu, \nu := A \mid !\sigma \mid \# \sigma$ 

<sup>344</sup> A PSTA Typing context is a set of type assignments  $x : \sigma$ , where x is a variable and  $\sigma$  a <sup>345</sup> PSTA type. A PSTA Typing judgment is  $\Gamma \vdash M : \sigma$ , where  $\Gamma$  is a PSTA Typing context, M <sup>346</sup> is a  $\lambda$ -term, and  $\sigma$  is a PSTA type.

#### 347 3.2 Typing Rules

<sup>348</sup> Our PSTA typing rules are the following.

$$\frac{}{ \mathscr{M}\Delta, \ x: \ A \vdash x: \ A} (\mathscr{M}Id) \qquad \frac{}{\mathscr{M}\Delta, \ \Gamma \vdash M: \ \sigma} (\mathscr{M}Sp) \qquad \frac{}{\Gamma \vdash M: \ A} (\forall R) \\ \Gamma, \ x_0: \ \tau, \cdots, x_n: \ \tau \vdash M: \ \sigma \qquad (m) \qquad \Gamma \vdash M: \ \sigma_1 \qquad \Gamma \vdash M: \ \sigma_2 \quad (\alpha R)$$

$$\frac{\Gamma, x : !\tau \vdash M[x/x_0, \cdots, x/x_n] : \sigma}{\Gamma, x : A[B/\alpha] \vdash M : \sigma} (\forall L) \qquad \qquad \frac{\Gamma \vdash M : \sigma_1 \otimes \sigma_2}{\Gamma, x_1 : \tau \vdash M : \sigma} (\#D)$$

$$\frac{\Gamma, x: \forall \alpha A \vdash M: \sigma}{\Gamma, x: \tau_1 \vdash M: \sigma} (\forall L) \qquad \qquad \frac{\Gamma, x: //\tau \vdash M[x/x_1]: \sigma}{\Gamma, x: \tau_1 \And \tau_2 \vdash M[x/x_1]: \sigma} (\& L_1) \qquad \qquad \frac{\Gamma, x: //\tau \vdash M[x/x_1]: \sigma}{\Gamma, x: \tau_1 \And \tau_2 \vdash M[x/x_2]: \sigma} (\& L_2)$$

$$\overset{353}{-} \frac{\Gamma, \ x: \ \sigma \vdash M: \ A}{\Gamma \vdash \lambda x.M: \ \sigma \multimap A} (\multimap R) \quad \frac{/\!\!/ \Delta_1, \ \Gamma \vdash M: \ \tau \quad /\!\!/ \Delta_2, \ \Lambda, \ x: \ \tau \vdash N: \ \sigma}{/\!\!/ \Delta, \ \Gamma, \ \Lambda, \ \vdash N[M/x]: \ \sigma} (/\!\!/ cut)$$

356

As exemplified in the subject reduction property, typing an application term (MN) is done with the  $(// \rightarrow L)$  rule. In the typing rules above, we also add the following side conditions:

<sup>360</sup> Parallel types occur only with negative polarity in the typing judgments,

In rules (//cut) and  $(// \multimap L)$ , the domain of contexts  $\Gamma$  and  $\Lambda$  are disjoint, and finally

In rules (//cut) and  $(// \multimap L)$ , the side condition  $S_P : //\Delta_1 \subsetneq //\Delta, //\Delta_2 \subsetneq //\Delta$  holds.

Moreover, we also define a generalized  $(//, -\circ cut)$  rule similar to that of PSLL, with the appropriate nesting of substitutions for all (cut) and  $-\circ$  pairs of terms.

These rules being literal translations of that of PSLL, the rule permutations, and (cut)elimination steps of PSLL apply to PSTA.

The grammar of our types, and the typing rules, together with the side conditions above, ensure that sharing does not occur in our typing system. More precisely, we have

**Proposition 21.** Let  $\Pi$  be a typing derivation with conclusion  $\Gamma \vdash M : !\sigma$ . Then, the context  $\Gamma$  is  $/\!\!/\Delta$ , ! $\Lambda$ .

#### A corollary of Proposition 21 is

► Corollary 22. Any typing derivation with conclusion  $/\!/\Delta$ ,  $!\Gamma \vdash M : !\sigma$  ends with a  $(/\!/Sp)$ , (m),  $(/\!/D)$ ,  $(/\!/W)$  or a  $(/\!/cut)$ . Moreover, in this context, the rules (m),  $(/\!/D)$ , and  $(/\!/W)$ and can be commuted to the top (since the premise needs to have a modal context as well), and the derivation can w.l.o.g. be considered to end with a  $(/\!/Sp)$  or a  $(/\!/cut)$ -rule.

<sup>376</sup> From the absence of sharing, we derive

Proposition 23. PSTA enjoys the subject reduction property: if  $\Gamma \vdash M : \sigma$  and  $M \rightarrow_{\beta} M'$ , then  $\Gamma \vdash M'$ .

<sup>379</sup> PROOF. By structural induction on the cut-type  $\sigma$  of the term  $\lambda y.P$  in the redex  $(\lambda y.P Q)$ . <sup>380</sup> The terms M and M' can be written as  $M = N[(x Q)/z][\lambda y.P/x]$  and M' = N[P[Q/y]/z]. <sup>381</sup> Two cases arise:

382 **1.**  $\sigma = \tau \rightarrow A$ . The derivation is

$$\underbrace{\frac{/\!/\Delta_1,\ \Gamma_1,\ y:\ \tau\vdash P:\ A}{/\!/\Delta_1,\ \Gamma_1\vdash\lambda y.P:\ \tau\to A}}_{/\!/\Delta_2,\ \Gamma_1,\ \Gamma_2,\ \Gamma_3,\ X:\ \tau\to A\vdash N[(x\ Q)/z]:\ \sigma} (/\!/ \multimap L)$$

<sup>384</sup> Cut elimination yields then the following derivation tree

$$\frac{/\!\!/\Delta_1,\ \Gamma_1,\ y:\ \tau\vdash P:\ A \quad /\!\!/\Delta_3,\ \Gamma_2,\ \vdash Q:\ \tau \quad /\!\!/\Delta_4,\ \Gamma_3,\ z:\ A\vdash N:\ \sigma}{/\!\!/\Delta,\ \Gamma_1,\ \Gamma_2,\ \Gamma_3\vdash N[P[Q/y]/z]:\ \sigma}\,(/\!\!/cut)$$

which proves the subject reduction property. If  $\sigma = \forall \alpha \tau$  or  $\sigma = \tau \& \tau'$ , the cut-elimination steps eventually reduce to the case above.

288 2.  $\sigma = !\tau$ . By Proposition 21, and modulo rule permutations the derivation is

$$\frac{ \frac{}{} \Delta_1, \ \Gamma_1 \vdash \lambda y.P: \ \tau}{\frac{}{} \frac{}{} \Delta_1, \ \Gamma_1 \vdash \lambda y.P: \ \tau}{\left( \frac{}{} \Delta_2, \ \Gamma_2, \ \cdots \ x_i: \ \tau \cdots \vdash N[\cdots (x_i \ Q)/z_i \cdots]: \ \sigma}{\frac{}{} \frac{}{} \frac{}{} \Delta_2, \ \Gamma_2, \ x: \ !\tau \vdash N[(x \ Q)/z_1, \cdots, (x \ Q)/z_n]: \ \sigma}{\left( \frac{}{} \frac{}{} \Delta_2, \ \Gamma_2, \ x: \ !\tau \vdash N[(x \ Q)/z_1, \cdots, (x \ Q)/z_n]: \ \sigma}{\left( \frac{}{} \frac$$

<sup>390</sup> Cut elimination yields then the following derivation tree

n copies

$$\overset{391}{=} \frac{\overbrace{\cdots /\!\!/} \Delta_1, \ \Gamma'_i \vdash \lambda y.P_i: \ \tau \cdots }{/\!/\Delta_2, \ \Gamma_2, \ \cdots \ x_i: \ \tau \cdots \vdash N[\cdots (x_i \ Q)/z_i \cdots]: \ \sigma}_{(// cut)} \frac{}{/\!/\Delta, \Gamma'_1, \cdots, \Gamma'_n, \ \Gamma_2 \vdash N[(x_1 Q)/z_1, \cdots, (x_n Q)/z_n][\lambda y.P_1/x_1, \cdots, \lambda y.P_n/x_n]: \ \sigma}_{(// cut)} (// cut) }{/\!/\Delta, !\Gamma_1, \ \Gamma_2 \vdash N[(x_1 \ Q)/z_1, \cdots, (x_n \ Q)/z_n][\lambda y.P_1/x_1, \cdots, \lambda y.P_n/x_n]: \ \sigma} (m)$$

and the induction hypothesis applies to the n cut-types  $\tau$ .

#### **393** 3.3 A Parallel, Polynomial Time Evaluation Strategy

**Theorem 24.** Let T be a  $\lambda$ -term, typable in PSTA. Then, T normalizes in polynomial parallel time.

<sup>396</sup> Proof.

385

<sup>397</sup> The proof follows from Theorem 18: cut-elimination in parallel polynomial time, and <sup>398</sup> subject-reduction, induce a parallel polynomial number of  $\beta$ -reduction steps for the term. <sup>399</sup> The overall complexity bound is however a bit more subtle: while PSTA type derivations <sup>400</sup> have exponential size and polynomial depth, the corresponding right-hand side  $\lambda$ -terms may <sup>401</sup> have syntactic trees of exponential depth as well. Performing the substitutions for each <sup>402</sup>  $\beta$ -reduction step in parallel polynomial time requires then to use an appropriate, polynomial <sup>403</sup> space representation of the terms: the explicit representation is clearly unsuitable.

- 404 Let us first introduce some definitions and observations.
- 405 Let T be a  $\lambda$ -term. Its Böhm-like tree B(T) is defined as follows:
- 406 1. If T is a variable x, B(T) is a single vertex labelled with x.
- 407 2. If T is an abstraction  $\lambda x.U, B(T)$  is obtained adding B(U) as a leftmost child of a root

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**3.** If T is an application UV, B(T) is obtained by adding B(V) as a new rightmost child of the root of B(U).

<sup>411</sup> Clearly, a Böhm-like tree B(T) uniquely defines a term T. Therefore, in the sequel we identify

the two notions, and focus on the computation of the Böhm-like tree of the normal-form of a
given term.

Let T be a  $\lambda$ -term, typable in PSTA with a typing derivation  $\Pi$ . We define the *pseudo*derivation  $D(\Pi)$  associated to  $\Pi$  as the tree obtained from  $\Pi$  by removing all right-hand side  $\lambda$ -terms (while keeping the corresponding type).

417 Then, the following observations hold.

- <sup>418</sup> 1. In each typing judgment in  $\Pi$  (and therefore in  $D(\Pi)$ ), the typing context contains type <sup>419</sup> assignments for variable terms only.
- <sup>420</sup> **2.** Erasing the variable names in the contexts of  $D(\Pi)$  (while keeping the corresponding types) yields a PSLL proof  $L(\Pi)$ , with types as formulae,
- 422 **3.** All right-hand side  $\lambda$ -terms in  $\Pi$  are uniquely determined by  $D(\Pi)$ , and finally,
- 423 4. The variable type assignments in  $D(\Pi)$  are preserved by the subject-reduction property: 424 If  $T_1$  is a  $\lambda$ -term with PSTA type derivation  $\Pi_1$ ,  $T_1 \rightarrow_{\beta} T_2$ , and  $\Pi_2$  is the type derivation 425 of  $T_2$  obtained by the subject reduction steps of Proposition 23, then the variable type
- assignments in  $D(\Pi_1)$  and  $D(\Pi_2)$  coincide.

As a consequence, the following reduction strategy holds: from a  $\lambda$ -term T with PSTA 427 typing derivation  $\Pi$ , perform the innermost parallel cut-elimination strategy on  $L(\Pi)$ , while 428 keeping the variable type assignments given by  $D(\Pi)$ . The observations above ensure that 429 the pseudo derivation  $D(\Pi')$  thus obtained is that of the typing derivation  $\Pi'$  of the normal 430 form T' of T. The additional information stored in the contexts of  $D(\Pi')$  (the variable 431 names) takes polynomial space (polynomially many variable names among an exponential 432 number of possible names), and the reduction can be performed in parallel polynomial time. 433 It remains to show how to compute the normal form T' from its pseudo-derivation  $D(\Pi')$ , in 434 parallel polynomial time. We do this by actually computing a succinct representation of its 435 Böhm-like tree B(T'). 436

Let  $D(\Pi)$  be the pseudo-derivation of a PSTA derivation  $\Pi$ , with corresponding term T with Böhm-like tree B(T). A first observation is the following: For any typing judgment  $\Gamma \vdash t : \sigma$  in  $\Pi$ , if the explicit substitution [M/x] (respectively [yM/x]) occurs in t, then there exists a judgment  $\Gamma' \vdash M : \sigma'$  above in  $\Pi$ . Since  $\Pi$  has polynomial depth, and polynomial indegree by Lemma 16, the substitution term M can then be described in polynomial space by the path from the conclusion of  $\Pi$  to this typing judgment  $\Gamma' \vdash M : \sigma'$ .

- For each typing judgment  $\Gamma \vdash t : \sigma$  in  $\Pi$ , we associate to the right-hand term the following:
- 444 1. the path p from the conclusion of  $\Pi$  to this judgment, and
- 2. the list s(p) of explicit substitutions occurring along p, computed as follows:

assume p chooses the rightmost premise N in a  $(//, -\infty cut)$  rule R (i.e. the premise p' s.t. R has no (cut) or  $-\infty$ -pair  $p' \to p''$ ): this cut-rule introduces a polynomial number of substitutions  $[M_i/x_i]$  (or  $[y_iM_i/x_i]$ ) in its conclusion term. Then, we add to s(p)the pairs  $(p_i, x_i)$  (or  $(y_ip_i, x_i)$ ), where  $p_i$  is the path to the corresponding premise with right hand term  $M_i$ .

<sup>451</sup> = assume p passes through a (m) (//D) or  $(\&L_i)$ . Then, we add to s(p) the pairs  $(x, x_i)$ . <sup>452</sup> Clearly, for a path p, the list s(p) has polynomial size, and can be computed in polynomial <sup>453</sup> time. Now, for a given path p, the computation of the corresponding vertex v(p) in B(T)<sup>454</sup> proceeds co-recursively on  $D(\Pi)$  as follows:

455 if p is conclusion of a (//ax) rule, v(p) is a leaf in B(T), with label x.

- <sup>456</sup> if p is conclusion of a ( $/\!/Sp$ ), ( $\forall R$ ), ( $\forall L$ ), ( $/\!/W$ ) or (& R) rule, with premise p', then v(p)<sup>457</sup> is v(p').
- 458 if p is conclusion of a (m), (//D) or  $(\&L_i)$  rule with premise p', two cases arise:
- 459 **1.** v(p') is labelled  $x_i$ : then,  $(x/x_i)$  belongs to s(p). In that case v(p) is labelled x, and 460 its successors are those of v(p').
- 461 **2.** otherwise, v(p) is v(p').
- <sup>462</sup> if p is conclusion of a  $(\multimap R)$  rule with premise p', v(p) is an inner node labelled  $\lambda x$ , with <sup>463</sup> left successor node p'.
- if p is conclusion of a  $(\#, \multimap cut)$ -rule R: let p' be its rightmost premise. Then, three cases arise:

466 **1.** v(p') is labelled x, (p'', x) belongs to s(p'): then, v(p) is obtained from v(p'') by adding 467 to its root the successor vertices of v(p').

468 **2.** v(p') is labelled x, (yp'', x) belongs to s(p'): then, v(p) is a vertex labelled y, with 469 right successor v(p'').

470 **3.** otherwise, v(p) is v(p').

Performing the procedure above in parallel for all paths in  $D(\Pi)$  provides then a succinct description of B(T) in parallel polynomial time.

### **4**73 **4 Completeness of PSTA**

- We now prove that PSTA is complete for the class FPAR of functions computable in parallel, polynomial time. In order to do so, we first encode parallel, polynomial time recursive functions with substitutions, à la Leivant and Marion [16], and then use them to simulate the computation of a P-uniform family of boolean circuits of polynomial depth. Extending these encodings to the setting of algebraic complexity amounts then simply to replace the base type **B** by a base type for the underlying algebraic structure (e.g. real numbers), and to provide the type of the algebraic constants and operations in the typing context.
- 481 First, PSTA captures (obviously) STA.

Lemma 25. Let Π be a SLL proof of degree d and rank n, with conclusion Γ ⊢ A of size s. Let  $W_{\Pi}$  be its weight, as defined in [13]. Then, any path in from the conclusion of Π to an axiom contains at most  $s + W_{\Pi}(1)$  ( $\multimap$  L) rules, and at most  $W_{\Pi}(1).n^d$  (cut) rules.

**Corollary 26.** Let  $\Pi$  be a SLL proof of degree d and rank n, with conclusion  $\Gamma \vdash A$  of size s. Then, there exists a PSLL proof  $\Pi'$  with conclusion  $\Delta, \Gamma \vdash A$ , of degree d and rank n.

487 PROOF. Take  $\Delta = !^{d} / A_{1}, \dots, !^{d} / A_{k}$ , with  $k = W_{\Pi}(1) + s$ , for any  $A_{1}, \dots, A_{k}$ .

<sup>488</sup> An immediate consequence is that all  $\lambda$ -terms typable in STA are also typable in PSTA, <sup>489</sup> with the same rank and degree. As a consequence, following [7], Theorem 19, we immediately <sup>490</sup> have that PSTA is complete for FPTIME. This allows us to prove its FPAR completeness <sup>491</sup> more easily. Denote by **B** the STA (hence PSTA) type for booleans, **L** the STA type for <sup>492</sup> binary strings, and **N** the STA type for Church Integers.

The following lemma allows us to encode some sort of polynomial recursion with substitutions a la Leivant and Marion [16] in PSTA.

Lemma 27. Assume we have the following sequential, polynomial time functions, with
 PSTA type derivations:

<sup>497</sup> • op with derivation  $\Pi_{op}$  with conclusion  $\Gamma_{op} \vdash op : \mathbf{L} \multimap \mathbf{L} \multimap \mathbf{L} \multimap \mathbf{L}$ .

<sup>498</sup> =  $\mathbf{s}_1$  and  $\mathbf{s}_2$  with derivation  $\Pi_i$ , for  $i \in \{1, 2\}$ , with conclusion  $\Gamma_i \vdash \mathbf{s}_i : \mathbf{L} \multimap \mathbf{L}$ .

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and, for any univariate polynomial P of degree d, a function  $\overline{P}$ , encoding the church 499 integer P(|L|) for a binary list L, with derivation  $\Pi_{\overline{P}}$  with conclusion  $\Gamma_{\overline{P}} \vdash \overline{P} :!^d \mathbf{L} \multimap \mathbf{N}$ . 500 We now consider the following recursive function with substitutions, on binary lists: f(v) =501  $op(v, f(\mathbf{s}_1(v)), f(\mathbf{s}_2(v)))$ . Moreover, we assume that on any input v, the recursive computation 502 of f reaches a fixed point after P(|v|) steps. Then, f(v) is PSTA definable with degree d. 503

Proof. Following a similar encoding in [6], each recursion step in the computation 504 of f is encoded by the following function  $\text{Step} = \lambda h \lambda v \text{.op } v$   $(h (\mathbf{s}_1 v)) (h (\mathbf{s}_2 v))$ . Let 505  $\mathbf{L2} = (\mathbf{L} \multimap \mathbf{L}) \multimap \mathbf{L} \multimap \mathbf{L}$ , and  $\Gamma = \Gamma_{op}, \Gamma_1, \Gamma_2, (X : //A)^2, h : //(\mathbf{L} \multimap \mathbf{L}), v : //\mathbf{L}$  Then, Step 506 admits a PSTA proof derivation  $\Pi_{\text{Step}}$  with conclusion  $\Gamma \vdash \text{Step} : L2$ . 507

Indeed,  $\Pi_{\text{Step}}$  is 508

$$\frac{A_{\mathsf{op}} \qquad A_{1} \qquad A_{2} \qquad A_{\mathsf{s}}1 \qquad A_{\mathsf{s}}2 \qquad A_{\mathsf{v}}1 \qquad A_{\mathsf{v}}2 \qquad A_{\mathsf{v}}\mathbf{1}}{\Gamma, h: \mathbf{L} \multimap \mathbf{L}, v: \mathbf{L} \vdash \mathsf{op} \ v \ (h \ (\mathbf{s}_{1} \ v)) \ (h \ (\mathbf{s}_{2} \ v)): \mathbf{L}}{\Gamma \vdash \mathsf{Step}: \mathbf{L}2} \ (/\!/ cut)$$

where 
$$A_{op}$$
 is  $\frac{\prod_{op}}{\Gamma_{op} \vdash op : \mathbf{L} \multimap \mathbf{L} \multimap \mathbf{L}}$ ,  $A_i$  is  $\frac{\prod_i}{\Gamma_i \vdash \mathbf{s}_i : \mathbf{L} \multimap \mathbf{L}}$ ,

$$A_{\mathbf{s}}i \text{ is } \frac{\overline{v: \mathbf{L} \vdash v: \mathbf{L}} (//Id)}{X: //A, \mathbf{t}_{i}: \mathbf{L} \multimap \mathbf{L}, v: \mathbf{L} \vdash \mathbf{t}_{i}: \mathbf{L}} (//Id)}{X: //A, \mathbf{t}_{i}: \mathbf{L} \multimap \mathbf{L}, v: \mathbf{L} \vdash \mathbf{t}_{i}: \mathbf{L}} (//D)$$

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$$A_{\mathbf{V}}\mathbf{1} \text{ is } \underbrace{\frac{\overline{v: \mathbf{L} \vdash v: \mathbf{L}} (//Id)}{X: //A, h: \mathbf{L} \multimap \mathbf{L}, v: \mathbf{L} \vdash x: \mathbf{L}} (//Id)}_{X: //A, h: \mathbf{L} \multimap \mathbf{L}, v: \mathbf{L} \vdash h v: \mathbf{L}} (//D)^{2}$$

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<sup>516</sup> 
$$A_{\mathbf{V}}2$$
 is  $\frac{v: \mathbf{L} \vdash v: \mathbf{L}}{X: //A, h: \mathbf{L} \multimap \mathbf{L}, v: \mathbf{L} \vdash h: \mathbf{L}} (//Id) \xrightarrow{(//Id)}_{x: \mathbf{L} \vdash h: \mathbf{L}} (//Id)$ ,

and  $A_{step}$  is 518

<sup>519</sup>
$$\frac{\overline{V_1: \mathbf{L} \vdash V_1: \mathbf{L}} (//Id) \quad \overline{x_1: \mathbf{L} \vdash x_1: \mathbf{L}} (//Id) \cdots \quad \overline{x_3: \mathbf{L} \vdash x_3: \mathbf{L}} (//Id)}{X: //A, V_1: \mathbf{L}, V_2: \mathbf{L}, V_3: \mathbf{L}, \mathsf{op}: \mathbf{L} \multimap \mathbf{L} \multimap \mathbf{L} \multimap \mathbf{L} \vdash \mathsf{op} \ V_1 \ V_2 \ V_3: \mathbf{L}} (//, \multimap cut)$$

The value f(v) is reached after P(|v|) recursion steps. It is given by Value v, where 520  $\texttt{Value} = \lambda v.((\overline{P} \ v) \ \texttt{Step} \ \lambda y.y) \ v). \ \text{Let} \ \Gamma' = \Gamma_{\texttt{op}}, \Gamma_1, \Gamma_2, (X \ : /\!\!/ A)^5, h \ : /\!\!/ (\mathbf{L} \ \multimap \ \mathbf{L}), v \ : h \ :$ 521  $/\!\!/ \mathbf{L}, \Gamma_{\overline{P}}, v :: !^{d}\mathbf{L}$ . Then, Value admits a PSTA proof derivation  $\Pi_{\text{Value}}$  with conclusion  $\Gamma' \vdash$ 522 Value:  $\mathbf{L} \to \mathbf{L}$ . Indeed, let  $\mathbf{L3} = (\mathbf{L2} \to \mathbf{L2})$ . Recall that  $\mathbf{N} = \forall \alpha ! (\alpha \to \alpha) \to \alpha \to \alpha$  and 523 consider the following proof derivations. 524

$$\overset{525}{\underbrace{ \begin{array}{c} \Pi_{\overline{P}} v: \\ \Pi_{\overline{P}} \\ \underbrace{ \Pi_{\overline{P}} \\ \Gamma_{\overline{P}} \vdash \overline{P} : !^{d} \mathbf{L} \multimap \mathbf{N} \end{array}}_{(x: /\!/A, \overline{P} : !^{d} \mathbf{L} \vdash v : !^{d} \mathbf{L} \\ \underbrace{ (/\!/Id) \\ x: /\!/A, \overline{P} : !^{d} \mathbf{L} \multimap \mathbf{N}, v : !^{d} \mathbf{L} \vdash \overline{P} v : \mathbf{N} \\ (x: /\!/A)^{2}, \Gamma_{\overline{P}}, v : !^{d} \mathbf{L} \vdash \overline{P} v : \mathbf{N} \end{array}} } \underbrace{ (/\!/Id) \\ (/\!/u) \\ (/\!/cut) }$$

$$\begin{array}{c} {}^{527} & \Pi_s: \\ \\ {}^{528} & \underbrace{\Pi_{\overline{P}\;v} \; !\Pi_{\texttt{Step}} \; !\Pi_{\texttt{Step}} \; \underbrace{ !\texttt{Step}: !\texttt{L2} \vdash !\texttt{Step}: !\texttt{L2} \; x: !\texttt{L2} \vdash x: !\texttt{L2}}_{X: \; /\!\!/A, \; (\overline{P}\;v): \; \texttt{L3}, !\texttt{Step}: !\texttt{L2} \vdash \overline{P}\;v\; \texttt{Step}: \texttt{L2}}_{(\forall L)} \\ \\ \hline \\ \frac{\Pi_{\overline{P}\;v} \; !\Pi_{\texttt{Step}} \; \underbrace{\Pi_{\texttt{Step}} \; X: \; /\!\!/A, \; (\overline{P}\;v): \; \texttt{L3}, !\texttt{Step}: !\texttt{L2} \vdash \overline{P}\;v\; \texttt{Step}: \texttt{L2}}_{X: \; /\!\!/A, \; (\overline{P}\;v): \; \texttt{N}, !\texttt{Step}: !\texttt{L2} \vdash \overline{P}\;v\; \texttt{Step}: \texttt{L2}}_{(\forall L)} \\ \hline \\ \hline \\ \hline \\ \hline \\ \Gamma_{\mathsf{op}}, \Gamma_1, \Gamma_2, \; (X: \; /\!\!/A)^3, \; h: \; /\!\!/(\texttt{L} \multimap \texttt{L}), \; v: \; /\!\!/\texttt{L}, \; \Gamma_{\overline{P}}, \; v: !!^d \texttt{L} \vdash \overline{P}\;v\; \texttt{Step}: \; \texttt{L2}}_{(/\!\!/cut)} \end{array}$$

529 Π<sub>sl</sub>:

$$\frac{\lambda y.y: \mathbf{L} \multimap \mathbf{L} \vdash \lambda y.y: \mathbf{L} \multimap \mathbf{L} \quad f: \mathbf{L} \multimap \mathbf{L} \vdash f: \mathbf{L} \multimap \mathbf{L}}{X: /\!\!/ A, \overline{P} \; v \; \texttt{Step} : \mathbf{L} 2 \vdash \overline{P} \; v \; \texttt{Step} \; \lambda y.y: \mathbf{L} \multimap \mathbf{L}} (/\!\!/ \multimap L)$$

$$\frac{\Pi_s}{\Gamma_{\mathsf{op}}, \Gamma_1, \Gamma_2, (X: /\!\!/ A)^4, h: /\!\!/ (\mathbf{L} \multimap \mathbf{L}), v: /\!\!/ \mathbf{L}, \Gamma_{\overline{P}}, v: !\!^d \mathbf{L} \vdash \overline{P} \; v \; \texttt{Step} \; \lambda y.y: \mathbf{L} \multimap \mathbf{L}} (/\!\!/ \multimap L)$$

and finally  $\Pi_{Value}$ :

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$$\frac{ \begin{matrix} v: \mathbf{L} \vdash v: \mathbf{L} & z: \mathbf{L} \vdash z: \mathbf{L} \\ \overline{X: /\!\!/ A, \overline{P} v \operatorname{Step} \lambda y. y: \mathbf{L} \multimap \mathbf{L}, v: \mathbf{L} \vdash (\overline{P} v \operatorname{Step} \lambda y. y) v: \mathbf{L} \\ \hline (/\!\!/ cut) \\ \hline \frac{\Gamma', v: \mathbf{L} \vdash (\overline{P} v \operatorname{Step} \lambda y. y) v: \mathbf{L} \\ \overline{\Gamma'} \vdash \operatorname{Value} : \mathbf{L} \multimap \mathbf{L} \\ \hline \end{matrix} (\multimap R)$$

**534** ► **Theorem 28.** *PSTA is complete for FPAR.* 

PROOF. Using the usual encodings for binary strings, booleans, integers and pairs, we use Lemma 27 to prove our completeness result. Let g be a function computed in FPAR. For the sake of simplicity let us assume that g outputs a single boolean. Then, there exists a *P*-uniform family C of succinctly described boolean circuits, of polynomial depth, computing g. More precisely, there exist a univariate polynomial p, and polynomial time functions and, or, node, input,  $s_1$  and  $s_2$  such that:

<sup>541</sup> On any input  $x = x_1, \dots, x_n$  of size  $n, g(x_1, \dots, x_n)$  is computed by a boolean circuit <sup>542</sup>  $C_n$  of depth p(n), with output node t.

For each node s in  $C_n$ , there exists a binary list  $n_s$ , encoding a path from t to s in  $C_n$ , of length less than p(n). Each node will be identified by these paths (there may be several paths for a given node).

and (x, y) (respectively or (x, y), resp. not(x, y)) is true if the path y encodes a and (resp. or, resp. not) node of  $C_{|x|}$ , and false otherwise.

input(x, y) is  $(x_i, true)$  if y encodes the  $i^{th}$  input node of  $C_{|x|}$ , and (0, false) otherwise.

 $\mathbf{s}_{49} = \mathbf{s}_1(y) = 0.y$  encodes a path to the left parent of the node encoded by y, if it exists.

 $\mathbf{s}_{2}(y) = 1.y$  encodes a path to the right parent of the node encoded by y, if it exists.

<sup>551</sup> Define now  $f(x, y) = op(x, y, f(x, s_1(y)), f(x, s_1(y)))$ , where y denotes a path in  $C_{|x|}$ , and <sup>552</sup>  $op(x, y, v_1, v_2)$  computes, using the functions defined above, the boolean value of the node y <sup>553</sup> in  $C_{|x|}$ , provided  $v_1$  and  $v_2$  are the boolean values of its two parents nodes. Then, Lemma 27 <sup>554</sup> applies: f is definable in PSTA, and recursively computes the value of all nodes in  $C_{|x|}$ . The <sup>555</sup> output g(x) is then given by  $f(x, \epsilon)$ , where  $\epsilon$  is the empty binary list.

#### **556 5 Concluding Remarks**

In this paper we have only investigated one of the many possible choices for the way the 557 parallel ( $//, -\infty cut$ ) rule allows contraction on // formulas, and allows its distribution among 558 the premises of the cut, and we have applied this approach to one example (STA) of linear 559 typing system. Among the questions now worth investigating are the following: Is it possible 560 to tune differently the side condition of the (//, - cut)-rule to capture other complexity 561 classes? Such obvious candidates are the classes  $NC^{i}$ , which we could hope to capture 562 by taking a fully linear  $(//, -\infty cut)$ -rule (for ensuring sequential polynomial time), with an 563 additional side condition ensuring parallel polylogarithmic time cut-elimination. Is it also 564 possible to use this approach on type systems capturing other sequential complexity classes, 565 for instance Logspace [17, 15], and to obtain other interesting results? 566

## 10:16 Parallelism in Soft Linear Logic

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