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## Early Jurassic paleoclimate in Southwest China and its implications for dinosaur fossil distribution

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# 1 **Early Jurassic paleoclimate in Southwest China and its** 2 **implications for dinosaur fossil distribution**

3  
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## 15 16 **Abstract**

17 Lufeng County in Southwest China is one of the most famous lagerstätten in which  
18 Early Jurassic dinosaurs can be found. The reason of burial for large body size  
19 dinosaur fossils at this site is still an enigma, although it could be attributed to either  
20 suitable habitats or good preservation conditions. Both of these factors are indirectly  
21 regulated by climatic conditions. Therefore, a quantitative reconstruction of the  
22 terrestrial paleoclimate of the Lufeng area during the Early Jurassic could help shed  
23 new light on this issue. In this study, we analysed the stable isotope compositions of  
24 oxygen and carbon ( $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$ ) in apatite phosphate and carbonate from the tooth

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4 25 enamel and compact bones of basal sauropodiform and *Sinosaurus* fossils. The  
5  
6 26 oxygen isotopes provided a mean air temperature (MAT) of  $\geq 21 \pm 3^\circ\text{C}$ , and the  
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8  
9 27 carbon isotopes allowed us to estimate a mean annual precipitation (MAP) of  $965 \pm$   
10  
11 28 460 mm/yr during the Early Jurassic in Lufeng County. These conditions correspond  
12  
13  
14 29 to a relatively arid tropical savanna climate hospitable to vertebrates life. We also  
15  
16  
17 30 compared the spatial relationship between the global distribution of dinosaur fossils  
18  
19 31 and climatically sensitive deposits during the Jurassic. The dinosaur fossil distribution  
20  
21 32 reveals a strong preference for arid regions. We therefore suggest that “savanna-like”  
22  
23 33 tropical conditions helped accommodate a large number of dinosaurs and preserve  
24  
25 34 their carcasses in the Lufeng area during the Early Jurassic.

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29  
30 35 **Keywords:** Early Jurassic; dinosaur fossils; Lufeng; stable isotope geochemistry;  
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32 36 apatite  
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## 35 36 37 38 38 **1 Introduction**

39  
40 39 Lufeng County, Yunnan Province, located in southwestern China, holds the main  
41  
42 40 sites of Early Jurassic vertebrate fossils (Fang et al., 2000; Galton & Upchurch, 2004).  
43  
44 41 In this region, several localities have yielded a rich and diverse dinosaur fauna,  
45  
46 42 including non-sauropodan basal sauropodomorphs (Sun & Cui, 1986; Wang, You &  
47  
48 43 Wang, 2017). At least 200 basal sauropodomorph dinosaur individuals belonging to  
49  
50 44 five genera have been excavated, which makes Lufeng County one of the most  
51  
52 45 famous lagerstätten containing Early Jurassic dinosaur fossils in the world (Barrett,  
53  
54 46 Upchurch, & Wang, 2005, 2007a; Langer, Bittencourt, & Schultz, 2010; Wang et al.,  
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3  
4 2017; Young, 1941a, 1941b, 1942, 1947, 1951 ; Zhang & Yang, 1995). In addition,  
5  
6  
7 48 other vertebrate fossils such as turtles, lizards, crocodylomorphs, therapsids and  
8  
9  
10 49 mammals, have also been found, indicating the high biodiversity and environmental  
11  
12 50 suitability in this region during the Early Jurassic (Chow & Hou, 1959; Chow, 1962;  
13  
14 51 Crompton & Sun, 1985; Dong, 1990; Hopson, 1964; Patterson & Olson, 1961;  
15  
16  
17 52 Rigney, 1963; Simmons, 1965; Sun & Cui, 1986; Wu, 1991; Wu & Chatterjee, 1993;  
18  
19  
20 53 Zhang & Cui, 1983). The fossils in Lufeng County are also famous for their  
21  
22 54 completeness and exceptional preservation conditions (Luo & Wu, 1994; Young,  
23  
24 55 1941a). The reason for this large-scale burial of well-preserved dinosaur fossils is of  
25  
26  
27 56 great interest; however, few studies on the subject have been conducted to address this  
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29  
30 57 issue. Both the living and burial environments for these vertebrates would be highly  
31  
32  
33 58 regulated or affected by the local paleoclimate. By using an ecological structure  
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36 59 analysis, previous studies have claimed that more arid environments tend to favour the  
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39 60 preservation of a greater proportion of large-bodied taxa and tend to lack taxa  
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41  
42 61 weighing less than 10 kg during the Late Jurassic (Noto & Grossman, 2010).  
43  
44  
45 62 Therefore, it is essential to reconstruct the paleoclimate in Lufeng County during the  
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47  
48 63 Early Jurassic to assess this possible taphonomic bias.

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49  
50 64 The stable oxygen and carbon isotope compositions of mineralized remains of  
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52  
53 65 vertebrates (i.e., teeth and bones) can be used to infer their ecological and living  
54  
55  
56 66 environmental conditions (Amiot et al., 2015, 2017; Cullen et al., 2020). The oxygen  
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58  
59 67 isotope composition of apatite phosphate ( $\delta^{18}\text{O}_p$ ) from terrestrial vertebrate bones and  
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61  
62 68 teeth is a function of the oxygen isotope composition of the animal's body water

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3  
4 69 ( $\delta^{18}\text{O}_{\text{bw}}$ ) as well as that of its body temperature (Amiot et al., 2006; Fricke & Rogers,  
5  
6  
7 70 2000; Kolodny, Luz, & Navon, 1983; Longinelli, 1984; Luz, Kolodny, & Horowitz,  
8  
9 71 1984). For most vertebrates, the  $\delta^{18}\text{O}_{\text{bw}}$  value is related to the  $\delta^{18}\text{O}$  value of ingested  
10  
11 72 water, mainly from plants and drinking water, which is ultimately derived from  
12  
13 73 meteoric water and modified by the animals' physiology and ecology (Kohn,  
14  
15 74 Schoeninger, & Valley, 1996; Straight, Barrick, & Eberth, 2004). The  $\delta^{18}\text{O}$  value of  
16  
17 75 meteoric water is influenced by temperature, humidity, and the amount of  
18  
19 76 precipitation (Dansgaard, 1964; Fricke & O'Neil, 1999; Grafenstein, Erlenkeuser,  
20  
21 77 Mueller, Trimborn, & Alefs, 1996). Therefore, the climate conditions under which the  
22  
23 78 dinosaurs lived could be recorded in the stable oxygen isotope composition of the  
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25 79 apatite phosphate in their skeletal records.  
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33 80 The carbon isotope composition of apatite carbonate ( $\delta^{13}\text{C}_c$ ) from terrestrial  
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35 81 vertebrate teeth or bones is related to the carbon isotope composition of ingested food,  
36  
37 82 with heavy isotope enrichment that varies depending on the digestive physiology of  
38  
39 83 the animal (Passey et al., 2005). For plant-eating vertebrates, the  $\delta^{13}\text{C}$  value of the  
40  
41 84 apatite carbonate in their teeth and bones reflects that of their plant diet ( $\delta^{13}\text{C}_{\text{leaf}}$ ). It is  
42  
43 85 thus possible to estimate the  $\delta^{13}\text{C}_{\text{leaf}}$  value from the  $\delta^{13}\text{C}_c$  values of plant-eating  
44  
45 86 vertebrate teeth or bones. Combined with the established relationship between the  
46  
47 87  $\delta^{13}\text{C}_{\text{leaf}}$  value of extant  $\text{C}_3$  plants and mean annual precipitation (MAP), MAP values  
48  
49 88 can be inferred from the apatite carbonate  $\delta^{13}\text{C}_c$  values of vertebrates (Amiot et al.,  
50  
51 89 2015). In this study, we apply these oxygen and carbon isotope proxies to dinosaur  
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53 90 teeth and bones from Lufeng County to reconstruct the Early Jurassic paleoclimatic  
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4 91 conditions in terms of mean air temperature and mean amount of precipitation.  
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6 92 In this study, we also examine the spatial relationships between the global  
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9 93 distribution of dinosaur fossils and climatically sensitive deposits to explore the  
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11  
12 94 climatic (arid and humid) preference of Jurassic dinosaurs. Proxies based on  
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14  
15 95 climatically sensitive deposits have been widely applied to estimate arid and humid  
16  
17 96 regimes (Boucot, Xu, & Scotese, 2013; Porada et al., 2016; Torsvik & Cocks, 2016;  
18  
19 97 William & Sascha, 2012; Zhang et al., 2016). For example, the formation of coal  
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22 98 needs abundant rainfall and usually develops under specific climatic conditions, such  
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24  
25 99 as in tropical rainforests or temperate forests (Craggs, Valdes, & Widdowson, 2012;  
26  
27 100 Izart et al., 2012; Utescher, Ashraf, Kern, & Mosbrugger, 2020). We believe that the  
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29  
30 101 combination of a local quantitative terrestrial paleoclimate reconstruction and the  
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33 102 analysis of the global qualitative spatial contrast between the global distribution of  
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36 103 dinosaur fossils and climatically sensitive deposits using ArcGIS software during the  
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38 104 Jurassic could help to shed new light on the enigma of the burial of large quantity of  
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40 105 dinosaur fossils.  
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## 44 45 46 107 **2 Geological setting**

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49 108 Lufeng Basin, Yunnan Province, Southwest China (25.15 °N, 102.08 °E) was  
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52 109 located between 30° N and 40° N during the Early Jurassic (Huang, 2005) (Figure 1).  
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54  
55 110 It is a back-arc basin caused by the subduction-collision of the Yangtze Block during  
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57 111 the Late Triassic-Jurassic (Chen, Hu, Qu, & Wu, 2011). The sedimentary sequence  
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60 112 preserved in the Lufeng Basin comprises the Lower Jurassic Lufeng Formation (the

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4 113 Shawan and Zhangjia'ao members), the Middle Jurassic Chuanjie and Laoluocun  
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6 114 members, and the Upper Jurassic Madishan and Anning members, from bottom to top  
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8  
9 115 (Fang et al. 2000; Huang, 2005; Figure 2). The fine-grained sediments of the Lufeng  
10  
11 116 Formation contain a large number of Early Jurassic dinosaur fossils. It is characterized  
12  
13 117 by red and purple-red fine-grained sediments with small amounts of interlayer  
14  
15 118 limestone (Figure 2), which represents a shallow lacustrine environment. The  
16  
17 119 widespread paleosol carbonate nodules suggest an arid or semiarid climate during the  
18  
19 120 deposition of the Lufeng Formation. Starting at the beginning of the 1940s, numerous  
20  
21 121 dinosaur fossils, as well as footprints, have been collected in Lufeng Basin. These  
22  
23 122 dinosaur fossils are relatively well preserved and densely distributed, and the Lufeng  
24  
25 123 Formation includes for the sauropodomorphs *Lufengosaurus* (Barrett et al., 2005;  
26  
27 124 Young 1941a, 1951), *Yunnanosaurus* (Barrett et al. 2007b; Young 1942),  
28  
29 125 *Jingshanosaurus* (Zhang & Yang, 1995), and *Xixiposaurus* (Sekiya, 2010), an  
30  
31 126 unnamed basal sauropod (= "Yizhousaurus"; Chatterjee et al., 2010), and some  
32  
33 127 theropods (e.g., Hu, 1993; Wu, Currie, Dong, Pan, & Wang, 2009) and ornithischians  
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35 128 (e.g., Irmis & Knoll 2008). .  
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### 130 **3 Material and methods**

#### 131 **3.1 Material**

132 In this study, two teeth and two bones collected from the Lufeng Formation were  
133 analysed<sup>1</sup> (Table 1). The studied samples consist of two bones (LFB01 and LFB02)

<sup>1</sup> The fossils were donated by the Lufeng Dinosaurian Museum.



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4 134 and one tooth (LFT06) of a basal sauropodiform and one tooth (ZGT09) of the  
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6 135 theropod *Sinosaurus triassicus*. The tooth of the herbivorous basal sauropodiform  
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8  
9 136 shows a typical “flat and blunt leaves” shape since its primary function was grinding,  
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11  
12 137 cutting, or shearing (Galton, 1985; Figure 3). The tooth of the carnivore *Sinosaurus*  
13  
14 138 (Dinosauria: Theropoda) has typical predatory/scavenger characteristics with fine  
15  
16  
17 139 serrations at the edges (Xing et al., 2013).

18  
19 140 The well-preserved hard parts of the teeth and compact bones were powdered by  
20  
21  
22 141 using a dental drill. For each bone, powders drilled from different locations were  
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24  
25 142 mixed. For each tooth, the powder from the apex and cervix were collected and  
26  
27  
28 143 analysed separately.

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## 31 32 145 **3.2 Methods**

### 33 34 35 146 **3.2.1 Measurements of oxygen and carbon isotope compositions of apatite** 36 37 38 147 **phosphate and carbonate**

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43 149 Apatite powders from dinosaur teeth and bones were treated following the  
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46 150 protocol described in Lécuyer (2004). This protocol consists of the isolation of  
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49 151 phosphate ( $\text{PO}_4^{3-}$ ) from apatite as silver phosphate ( $\text{Ag}_3\text{PO}_4$ ) crystals using acid  
50  
51  
52 152 dissolution and an anion-exchange resin. For each sample, 20-30 mg of enamel  
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55 153 powder was dissolved in 2 mL of 2 M HF. The  $\text{CaF}_2$  residue was separated by  
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58 154 centrifugation and the solution was neutralized by adding 2.2 mL of 2 M KOH.  
59  
60 155 Amberlite™ anion-exchange resin beads were added to the solution to isolate the

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4 156  $\text{PO}_4^{3-}$  ions. After 24 h, the solution was removed, and the resin was rinsed and eluted  
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6  
7 157 with 27.5 mL of 0.5 M  $\text{NH}_4\text{NO}_3$ . After 4 h, 0.5 mL of  $\text{NH}_4\text{OH}$  and 15 mL of an  
8  
9 158 ammonia solution of  $\text{AgNO}_3$  were added, and the solutions were placed in a  
10  
11  
12 159 thermostatic bath at 70°C for 7 h, allowing the precipitation of  $\text{Ag}_3\text{PO}_4$  crystals. The  
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14  
15 160 oxygen isotope compositions of the silver phosphate crystals were measured using a  
16  
17 161 high temperature elemental analyser equipped with “purge and trap” technology  
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19  
20 162 interfaced in continuous flow mode to an isotopic ratio mass spectrometer at the  
21  
22 163 Laboratoire de Géologie de Lyon (UMR 5276, Université Claude Bernard Lyon 1).  
23  
24  
25 164 For each sample, 5 aliquots of 300  $\mu\text{g}$  of  $\text{Ag}_3\text{PO}_4$  were mixed with 300  $\mu\text{g}$  of pure  
26  
27 165 graphite powder loaded in silver foil capsules. Pyrolysis was performed at 1450°C  
28  
29  
30 166 with a glassy carbon reactor using a varioPYROcube™ Elemental Analyser interfaced  
31  
32 167 in continuous flow mode with an Isoprime™ isotopic ratio mass spectrometer. The  
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35 168 measurements were calibrated against silver phosphate precipitated from NBS120c  
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38 169 (natural Miocene phosphorite from Florida), as well as against NBS127 (barium  
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41 170 sulfate precipitated using seawater from Monterey Bay, California, USA). The value  
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43  
44 171 of NBS120c was fixed at 21.7‰ (V-SMOW; Vienna Standard Mean Ocean Water)  
45  
46 172 according to [Lécuyer, Grandjean, O'Neil, Capetta, and Martineau. \(1993\)](#), and that of  
47  
48  
49 173 NBS127 set at the value of 9.3‰ V-SMOW ([Hut, 1987](#)) for the correction of the  
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52 174 instrumental mass fractionation during CO isotopic analysis. The silver phosphate  
53  
54 175 precipitated from the standard NBS120c along with the silver phosphate samples  
55  
56 176 derived from the fossil bioapatites was repeatedly analysed ( $\delta^{18}\text{O}_p = 21.7 \pm 0.1\text{‰}$ , n =  
57  
58  
59 177 4) to ensure that no isotopic fractionation occurred during the wet chemistry. Data are  
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4 178 reported as  $\delta^{18}\text{O}$  values vs. V-SMOW (in ‰  $\delta$  units).  
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6 179 Approximately 10 mg of enamel, or bone powder was pre-treated according to  
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8  
9 180 the procedure of Koch, Tuross, and Fogel. (1997). To remove organic matter and  
10  
11 181 dibasic carbonates, the powder was cleaned with a 2% NaOCl solution followed by  
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13  
14 182 being treated using a 0.1 M acetic acid solution. Each treatment lasted for 24 h. The  
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16  
17 183 samples were rinsed five times with distilled water. Afterward, at Laboratory for  
18  
19 184 Environment Isotope Geochemistry, Institute of Geology and Geophysics, Chinese  
20  
21 185 Academy of Sciences, the samples were analysed using a Thermo Finnigan Gasbench  
22  
23 186 II coupled with MAT253 continuous flow isotope ratio mass spectrometer, following  
24  
25 187 a procedure adapted from the methods outlined in Spoetl and Vennemann (2003).  
26  
27 188 Five drops of 100% orthophosphoric acid were added and the samples were allowed  
28  
29 189 to react at 72°C in helium for 1 h. After that, 10 measurements of the isotopic  
30  
31 190 composition of the resulting carbon dioxide. The measured carbon and oxygen  
32  
33 191 isotopic compositions were normalized relative to the NBS-19 calcite standard with  
34  
35 192 the addition of calcite CO<sub>2</sub>-carbonate acidic fractionation factors. The reproducibility  
36  
37 193 of the carbon and oxygen isotope compositions of the carbonate apatite was better  
38  
39 194 than  $\pm 0.1\text{‰}$  and  $\pm 0.2\text{‰}$ , respectively. The carbon and oxygen isotopic compositions  
40  
41 195 are reported relative to the V-PDB and V-SMOW, respectively (in ‰  $\delta$  units).  
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### 52 53 197 **3.2.2 Data compilation of dinosaur fossils and climatically sensitive deposits**

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55 198 Using the Paleobiology Database (<https://paleobiodb.org/>), a dataset of Jurassic  
56  
57 199 dinosaur fossil sites was compiled (Appendix 1). This study focuses on the burial  
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4 200 environments of fossil bones, excluding dinosaur eggs and tracks in this data  
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6  
7 201 compilation. These fossil sites are classified into two groups according to their  
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9 202 stratigraphic age (i.e., Lower-Middle Jurassic and Upper Jurassic). The paleolatitude  
10  
11 203 and paleolongitude for each site provided by the database were also used.

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14 204 Climatically sensitive deposit markers corresponding to two time slices, the  
15  
16 205 Early-Middle Jurassic and the Late Jurassic were compiled from the Lithologic Atlas  
17  
18 206 according to Boucot et al. (2013). In our compilation, evaporites, gypsums, and  
19  
20 207 calcretes were regarded as arid indicators, while coals seam were regarded as humid  
21  
22 208 indicators. The paleolatitude and paleolongitude during the Early-Middle Jurassic  
23  
24 209 (180 Ma) and Late Jurassic (150 Ma) for each deposit are calculated by using  
25  
26 210 PointTracker software.

27  
28 211 Each locality was plotted on a paleogeographic map (180 and 150 Ma  
29  
30 212 reconstructions) using PALEOMAP PaleoAtlas raster mapping in ArcGIS software.  
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## 35 214 **4 Results**

### 36 215 **4.1 Oxygen and carbon isotope compositions of apatite phosphate and carbonate**

37 216 For the basal sauropodiforms, the  $\delta^{18}\text{O}_p$  values ranged from 15.9‰ to 20.5‰  
38 217 with an average value of  $17.6 \pm 0.2$ ‰, and the  $\delta^{13}\text{C}_c$  values ranged from -10.6‰ to -  
39 218 8.7‰, with an average value of  $-9.5 \pm 0.1$ ‰. For the *Sinosaurus* specimens, the  $\delta^{18}\text{O}_p$   
40 219 values ranged from 17.0‰ to 20.4‰, with an average value of  $18.7 \pm 0.2$ ‰, and the  
41 220  $\delta^{13}\text{C}_c$  values ranged from -7.8‰ to -7.1‰, with an average value of  $-7.4 \pm 0.1$ ‰ (Table  
42 221 1).

222

## 223 4.2 The distribution of dinosaur fossils and climatically sensitive deposits

224 In our database, 594 fossil sites and 829 climatically sensitive deposit markers  
225 were compiled (Appendix 1; Figure 4).

226 For the Early-Middle Jurassic, 408 dinosaur fossil sites and 591 climatically  
227 sensitive deposit markers were compiled. The arid zones occurred in southern North  
228 America, most of South America, and the majority of Africa and the Persian Gulf  
229 region. There were also scattered arid areas in South Asia. Humid belts are prominent  
230 at higher latitudes of the Northern Hemisphere and in Australia in the Southern  
231 Hemisphere. The dinosaur fossils are mostly distributed at middle and low latitudes,  
232 including in southern North America and in the shallow continental shelves of South  
233 America and Africa, with scattered fossil sites in central Asia. However, at mid- and  
234 high latitudes, dinosaur fossils are scarce. We noticed that the majority of dinosaur  
235 fossil sites were associated with arid climatic markers, especially in southern North  
236 America and northern South America.

237 For the Late Jurassic, 186 dinosaur fossil sites and 238 climatically sensitive  
238 deposit sites were collected. A Northern Hemisphere arid belt that extends from  
239 eastern North America through southern Russia, Central Asia, and parts of  
240 southwestern and northern China to southern England can be observed. This arid belt  
241 is also a major zone for dinosaur fossil occurrences. The humid zone is mainly located  
242 in South America, Australia, the Indian Peninsula, and southern South America, in a  
243 state of dissimilarity with most dinosaur fossil sites. The dinosaur fossils are mainly

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4 244 distributed in middle and lower latitudes, especially at the regions near the equator  
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6 245 (Figure 4).  
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## 12 247 **5 Discussion**

### 13 14 15 248 **5.1 Preservation of dinosaur teeth and bone stable isotope compositions**

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17  
18 249 The stable oxygen and carbon isotope compositions of vertebrate remains can be  
19  
20 250 altered by the diagenetic conditions (e.g., fracturing, fluid circulation, and  
21  
22 251 metamorphism) and chemical properties (e.g., temperature, pH, and Eh) of the burial  
23  
24 252 environment. Diagenetic alteration mainly occurs through the dissolution-  
25  
26 253 reprecipitation mechanism, which can be either microbially mediated or induced by  
27  
28 254 mineral-fluid interactions (Lécuyer, Grandjean, & Sheppard, 1999; Zazzo, Lécuyer, &  
29  
30 255 Mariotti, 2004a). Compared to other vertebrate remains, enamel is more reliable and  
31  
32 256 prone to resisting diagenetic alteration as a result of its larger and more densely  
33  
34 257 packed apatite crystals, lower organic matter content, and lower porosity (Fricke &  
35  
36 258 Pearson, 2008; Stanton-Thomas & Carlson, 2004). In addition, it has been shown that  
37  
38 259 compact bone can also be a reliable material used to archive original isotopic  
39  
40 260 compositions (Kohn & Cerling, 2002; Longinelli, 1984; Luz et al., 1984; Tütken,  
41  
42 261 Pfretzschner, Vennemann, Sun, & Wang, 2004).  
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51 262 In the case of equilibration with diagenetic fluids, it would be expected that the  
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53 263 isotopic compositions of different taxa would tend to homogenize towards the value  
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55 264 of the diagenetic source. Lécuyer et al. (2003) suggested that the original information  
56  
57 265 could be altered if the difference between the isotopic values of different taxa is less  
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4 266 than 1‰. In this study, the difference between isotopic values of the basal  
5  
6 267 sauropodiform and *Sinosaurus* is larger than 1‰, which suggests that our samples  
7  
8  
9 268 were not homogenized during burial.

10  
11 269 Based on a summary of the isotopic values of the teeth and bones of modern  
12  
13  
14 270 vertebrates, [Rey, Amiot, and Fourel \(2017\)](#) proposed a method to distinguish  
15  
16  
17 271 vertebrate remains that have preserved their stable oxygen isotope composition of  
18  
19  
20 272 apatite. First, inorganic carbonate precipitated from diagenetic fluids would lead to a  
21  
22 273 carbonate content in apatite higher than 13.4%, as the naturally occurring apatite-  
23  
24  
25 274 bound carbonate content of extant vertebrates does not exceed this value ([Rey et al.,](#)  
26  
27 275 [2017](#)). Second, microbially-mediated diagenetic alteration of apatite phosphate leads  
28  
29  
30 276 to a difference between  $\delta^{18}\text{O}_p$  and  $\delta^{18}\text{O}_c$  exceeding 14.7‰ ([Vennemann, Hegner,](#)  
31  
32 277 [Cliff, & Benz, 2001](#); [Zazzo, Lécuyer, Sheppard, Grandjean, & Mariotti, 2004b](#); Figure  
33  
34  
35 278 5). In this study, all the  $\delta^{18}\text{O}_p - \delta^{18}\text{O}_c$  values were lower than 14.7‰ and the carbonate  
36  
37  
38 279 contents of our samples were less than 13.4% (Figure 3). Therefore, we suggest that  
39  
40  
41 280 our samples have at least partially maintained their original oxygen and carbon  
42  
43  
44 281 isotope compositions and could be interpreted in terms of the paleoecology and living  
45  
46 282 environment of the Early Jurassic dinosaurs in Lufeng County.

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48 283

## 49 50 284 **5.2 Early Jurassic terrestrial paleoclimate in Lufeng County**

### 51 52 285 **5.2.1 Temperature and precipitation**

53  
54  
55  
56 286 The  $\delta^{18}\text{O}$  value of meteoric water ( $\delta^{18}\text{O}_w$ ), which constitutes a major source of  
57  
58  
59 287 drinking water for terrestrial vertebrates can be used to calculate the mean air  
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4 288 temperature using a modern relationship relating the mean  $\delta^{18}\text{O}_w$  value of  
5  
6  
7 289 precipitation to the mean annual air temperature (MAAT), such as the relationship  
8  
9 290 proposed in [Amiot et al. \(2004\)](#):

$$12 \quad \delta^{18}\text{O}_w = 0.49(\pm 0.03) \times T - 14.18(\pm 0.52) \quad (1)$$

13  
14 292 with T being the MAAT value in °C. Using the phosphate-water oxygen isotope  
15  
16  
17 293 fractionation equation established for extant vertebrates, it is possible to estimate the  
18  
19 294  $\delta^{18}\text{O}_w$  value of meteoric water from the  $\delta^{18}\text{O}_p$  value of apatite phosphate. For  
20  
21  
22 295 dinosaurs, the  $\delta^{18}\text{O}$  value of meteoric water ( $\delta^{18}\text{O}_w$ ) could be estimated using the  
23  
24  
25 296 present-day relationships established between birds, the closest living relatives to  
26  
27  
28 297 dinosaurs, and meteoric water (equation 2; [Amiot et al., 2017](#)); this estimation has  
29  
30 298 been recently tested on Cretaceous dinosaurs ([Amiot et al., 2020](#)).

$$32 \quad \delta^{18}\text{O}_w = 1.119(\pm 0.04) \times \delta^{18}\text{O}_p - 24.222(\pm 0.644) \quad R^2 = 0.98 \quad (2)$$

33  
34  
35 300 In this study, the average  $\delta^{18}\text{O}_p$  value is  $18.2 \pm 0.1\%$ . Using equation 1, the  
36  
37  
38 301 average  $\delta^{18}\text{O}_w$  value is  $-3.9 \pm 0.94\%$ . Assuming that the meteoric water cycle of the  
39  
40  
41 302 Early Jurassic did not differ drastically compared with the modern cycle, the modern  
42  
43  
44 303 relationship between the mean annual air temperature (MAAT) and  $\delta^{18}\text{O}_w$  value  
45  
46  
47 304 (equation 2) can be applied to provide a temperature value for the Early Jurassic in  
48  
49 305 Lufeng County of  $21 \pm 3^\circ\text{C}$  (Table 2).

50  
51 306 According to [Diefendorf, Mueller, Wing, Koch, and Freeman. \(2010\)](#), a  
52  
53  
54 307 significant relationship exists between the average  $\delta^{13}\text{C}$  values of  $\text{C}_3$  plants and the  
55  
56  
57 308 local mean annual precipitation (equation 3):

$$58 \quad \log_{10}(\text{MAP}) = 0.0802(\pm 0.0102) \times \Delta^{13}\text{C}_{\text{leaf}} + 1.3726(\pm 0.1875); \quad R^2 = 0.44 \quad (3)$$

59  
60



1  
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4 310 with  $\Delta^{13}\text{C}_{\text{leaf}} = (\delta^{13}\text{C}_{\text{atm}} - \delta^{13}\text{C}_{\text{leaf}}) / (1 + \delta^{13}\text{C}_{\text{leaf}}/10^3)$ . To estimate an average  $\delta^{13}\text{C}$   
5  
6  
7 311 value for local plants, the  $\delta^{13}\text{C}_c$  value of apatite carbonate from the teeth and bones of  
8  
9 312 herbivorous dinosaurs can be used by applying the carbonate-diet  $^{13}\text{C}$  enrichment  
10  
11 313 established for plant-eating animals. Using the carbon isotope compositions of the  
12  
13  
14 314 plant-derived organic matter of the sediment bearing dinosaur teeth, [Tütken \(2011\)](#)  
15  
16  
17 315 estimated an apatite-diet  $^{13}\text{C}$ -enrichment close to 16‰ for sauropods. Although their  
18  
19 316 phylogenetic position is still debated, basal sauropodiforms are believed to be the  
20  
21  
22 317 ancestors of sauropods ([Barrett et al., 2005](#)). Therefore, we assume in this study that  
23  
24  
25 318 the carbon isotope fractionation between local plants and basal sauropodiform apatite  
26  
27  
28 319 is 16‰. In this way, the inferred  $\delta^{13}\text{C}$  values of the diet (plants) of basal  
29  
30  
31 320 sauropodiform range from -28.8‰ to -23.8‰, which is consistent with the  $\delta^{13}\text{C}$   
32  
33  
34 321 values of modern  $\text{C}_3$  plants (-20‰ to -35‰). The carbon isotope composition of  
35  
36  
37 322 atmospheric  $\text{CO}_2$  ( $\delta^{13}\text{C}_{\text{atm}}$ ) can also be estimated using the relationship established  
38  
39  
40 323 between the  $\delta^{13}\text{C}$  value of oceanic carbonates and that of atmospheric  $\text{CO}_2$  ([Passey et](#)  
41  
42  
43 324 [al., 2002](#)):

$$325 \quad \delta^{13}\text{C}_{\text{atm}} = \delta^{13}\text{C}_{\text{oceanic carbonates}} - 7.9\text{‰}$$

44  
45  
46 326 Because the  $\delta^{13}\text{C}_{\text{oceanic carbonate}}$  value was approximately 2 ‰ during this time  
47  
48  
49 327 period ([Morettini et al., 2002](#)), the estimated  $\delta^{13}\text{C}_{\text{atm}}$  value was approximately -5.9‰  
50  
51  
52 328 during the Early Jurassic. Using equation 3, the calculated Early Jurassic MAP in  
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54  
55 329 Lufeng County was  $965 \pm 460$  mm.

56  
57  
58 330 It is worth noting that the difference in oxygen isotopes between the apex and the  
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61 331 cervix of the *Sinosaurus* tooth is 3.4‰ (ZGT09-1A and ZGT09-2A; Figure 6). We

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4 332 assume that this difference may be attributed to seasonal variations in temperature or  
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6  
7 333 precipitation. Due to the subtropical paleolatitude of the Lufeng area during the Early  
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9 334 Jurassic, such a change may be affected by both seasonal changes in the precipitation  
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11  
12 335 regime as well as by variations in temperature, as the wet season being characterized  
13  
14 336 by lower water  $\delta^{18}\text{O}$  values as a result of the elevated amount of precipitation (the so-  
15  
16  
17 337 called amount effect; Amiot et al., 2009; Dansgaard, 1964). However, temperature  
18  
19 338 may overprint this tendency by raising the  $\delta^{18}\text{O}$  values of meteoric water during the  
20  
21  
22 339 warmest months, leading to either a large seasonal amplitude in  $\delta^{18}\text{O}$  values if the hot  
23  
24  
25 340 season coincides with the dry season, or a small amplitude if the warm season  
26  
27  
28 341 coincide with the rainy season.

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30 342

### 31 32 343 **5.2.2 Climatic zone characterization**

33  
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35 344 According to the paleoclimate classification proposed by Zhang et al. (2016),  
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37  
38 345 Lufeng County had a tropical savanna climate during the Early Jurassic. Few  
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40  
41 346 freshwater bivalves are found in individual areas, characterized by thick-shelled types  
42  
43  
44 347 adapted to warm environments belonging to the *Eolamprotula-Cuneopsis-Psilunio*  
45  
46  
47 348 faunal assemblage. In addition, pollen data *Classopollis* was observed to be of high  
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49  
50 349 content, reflecting an arid climate (Deng et al., 2017). Today, this climate dominates  
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52  
53 350 many low latitude regions such as parts of the African continent, the northern parts of  
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55  
56 351 South America, Australia, and parts of Asia (e.g., India). The average annual rainfall  
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58  
59 352 amount in this climate zone is relatively low, varying between 800 and 1,600 mm and  
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353 decreasing with increasing latitude (Leong, 1995). Based on modern observation, the

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4 354 climate in tropical savannas shows alternating arid and humid seasons called wet and  
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6 355 dry climates due to the influence of trade winds. There is a huge difference in  
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9 356 precipitation between summer and winter. In summer, onshore winds bring rain, and  
10  
11  
12 357 in winter, offshore winds keep the savanna arid. In the driest months of winter, the  
13  
14 358 precipitation amount is less than 60 mm (Leong, 1995). The average monthly  
15  
16  
17 359 temperature is  $>18^{\circ}\text{C}$  throughout the year, and temperatures are higher during the  
18  
19  
20 360 rainy season. The temperatures are  $25\text{-}30^{\circ}\text{C}$  during the rainy season and  $20\text{-}25^{\circ}\text{C}$   
21  
22 361 during the arid season (Leong, 1995).

23  
24 362 Under such climate conditions, the natural vegetation in tropical savannas  
25  
26  
27 363 primarily consists of tall grasses and short deciduous trees. These trees shed their  
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29  
30 364 leaves during periods of drought to reduce the loss of water by transpiration. They  
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32  
33 365 also tend to have wide trunks that can store additional water to help them survive  
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35  
36 366 during prolonged drought. Local vertebrate species richness is about almost the same  
37  
38  
39 367 level between tropical savannas and tropical forests (Murphy, Andersen, & Parr,  
40  
41  
42 368 2016). For terrestrial animals, large size influences their movement in dense forests,  
43  
44  
45 369 therefore the open environment of the savannas is more conducive to large animal  
46  
47  
48 370 species. As a result, the savanna climate accommodates a wide range of animal sizes  
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50  
51 371 and high biodiversity (Noto & Grossman, 2010). It can be inferred that the Lufeng  
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53  
54 372 area, similarly to the current African savanna, was highly rich in biodiversity. Indeed,  
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56  
57 373 in addition to dinosaur fossils, mammals and other vertebrates are abundant (Chow  
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60 374 and Hou, 1959; Chow, 1962; Crompton & Sun, 1985; Dong, 1990; Hopson, 1964;  
375 Patterson & Olson, 1961; Rigney, 1963; Simmons, 1965; Sun & Cui, 1986; Wu 1991;

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4 376 Wu & Chatterjee, 1993; Zhang & Cui, 1983). A climatic environment similar to a  
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6  
7 377 tropical savanna might account for the high diversity of fossil species in Lufeng.  
8  
9 378 Besides, open environment like savannas are more suited for larger animals.  
10  
11 379 Therefore, the Lufeng County might have a greater proportion of large-bodied taxa  
12  
13  
14 380 like basal sauropodiform and lack anything smaller than 10 kg due to its savanna-like  
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16  
17 381 paleoenvironment (Noto & Grossman, 2010).  
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20 382

### 21 22 383 **5.3 Spatial relationships between dinosaur fossils and climatic regimes**

23  
24  
25 384 Gardner et al. (2016) suggested that warm and humid conditions were beneficial  
26  
27 385 to avian fossil burial after evaluating avian fossils from the Jurassic and Cretaceous.  
28  
29  
30 386 Most of the bodies suffered weathering and then underwent a long period of  
31  
32 387 petrification. Warm and humid temperatures are conducive to the preservation of  
33  
34  
35 388 intact avian fossils, which contradicts the common view that drought is better for  
36  
37  
38 389 fossil preservation. Therefore, it is necessary to test the wet and dry distribution of  
39  
40 390 Jurassic dinosaur fossils.

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42  
43 391 To evaluate the spatial relationship between dinosaur fossils and climatically  
44  
45 392 sensitive deposits, standard deviational ellipses (SDEs) of the dinosaur fossils, arid  
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47  
48 393 indicators, and humid indicators are drawn for each time slice (Figure 7). An SDE is a  
49  
50  
51 394 graphical representation of the standard deviation along the X and Y axes, centred on  
52  
53 395 the average geometric data for all positions. In two-dimensional space, the point  
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55  
56 396 distribution has two directions: (1) centralization (centralized trend) and (2) spread  
57  
58  
59 397 (dispersion). The central tendency and dispersion refer to the diffusion of the average  
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4 398 centre bounded by an ellipse (Rahmaniati et al., 2014). The purpose is to provide a  
5  
6 399 summary of the trend and to check whether the dispersion of the data is biased against  
7  
8  
9 400 the distribution points. The rotation and intersection areas, as well as the distance of  
10  
11 401 the ellipse gravity centres between the fossils and humid/arid indicators are obtained,  
12  
13  
14 402 aiming to describe the tendency of fossils distributed among different climate  
15  
16  
17 403 conditions. A smaller rotation, a larger intersection area, and a shorter distance from  
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19  
20 404 the centre of gravity represent a stronger correlation, and vice versa.

21  
22 405 The following comparisons are based on arid zone vs. dinosaur occurrence and  
23  
24 406 humid zone vs. dinosaur occurrence, and for ease of reading we abbreviate the former  
25  
26  
27 407 as A-D and the latter as H-D. If we represent a difference in value, we use D(A-D) for  
28  
29  
30 408 the difference value between the arid zone and dinosaur occurrence and D(H-D) for  
31  
32  
33 409 the difference value between the humid zone and dinosaur occurrence.

34  
35 410 In Figure 8, the SDE rotation angles of D(A-D) and D(H-D) are 9.57 and 4.78  
36  
37 411 degrees during the Early-Middle Jurassic, respectively, and -8.83 and -7.93 degrees  
38  
39  
40 412 during the Late Jurassic, respectively. This suggests that the distribution of dinosaur  
41  
42  
43 413 fossils is consistent with the climate regimes and does not deviate from the general  
44  
45  
46 414 direction of the climate regimes. During the Early-Middle Jurassic, the distances  
47  
48  
49 415 between the ellipse gravity centres of D(A-D) and D(H-D) are  $3.3E+6$  and  $5.6E+6$ ,  
50  
51  
52 416 respectively. During the Late Jurassic, these distances are  $4.5E+5$  and  $4.6E+6$ ,  
53  
54  
55 417 respectively. During the Jurassic, dinosaur fossils had a closer spatial relationship to  
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57  
58 418 the arid regime, which suggests that an arid climate is conducive to the preservation of  
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60 419 the dinosaur fossils. The intersection area of the SDE shows that 81% of the arid

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4 420 regime and 47% of the humid regime contained dinosaur fossils from the Early-  
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6 421 Middle Jurassic, while the shares are 85% and 38% for the Late Jurassic. This  
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8  
9 422 suggests that sites with arid climates would have a higher possibility of yielding  
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11 423 dinosaur fossils.

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14 424 Overall, the results of the SDEs showed that an arid climate seems to provide  
15  
16 425 better conditions than does a humid climate for fossil preservation on a global scale.  
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19 426

## 20 21 22 427 **Conclusion**

23  
24  
25 428 The oxygen and carbon isotope compositions of dinosaur apatites recovered from  
26  
27 429 Southwest China suggested that the MAT was  $21 \pm 3^\circ\text{C}$  and the MAP was  $965 \pm 460$   
28  
29 430 mm during the Early Jurassic. The inferred warm and dry climatic condition  
30  
31 431 corroborates the tropical savannas landscape in the region as revealed by pollen and  
32  
33 432 bivalves data in previous study. The tropical savannas climate indicates that the  
34  
35 433 Lufeng county may develop with a distinct humid and arid season in the Early  
36  
37 434 Jurassic. We also compared the spatial distribution of global dinosaur fossils with that  
38  
39 435 of climatically sensitive deposits during the Jurassic. It showed that the dinosaur  
40  
41 436 fossils had a strong preference for distributing over arid regions. Therefore, we  
42  
43 437 suggest that relatively arid tropical savannas favoured the accommodation of a large  
44  
45 438 number of dinosaurs and the preservation of such a high number of carcasses in the  
46  
47 439 Lufeng area during the Early Jurassic. This study provides for the first time a  
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49 440 quantitative climatic condition under which the dinosaurs lived in the Lufeng county.  
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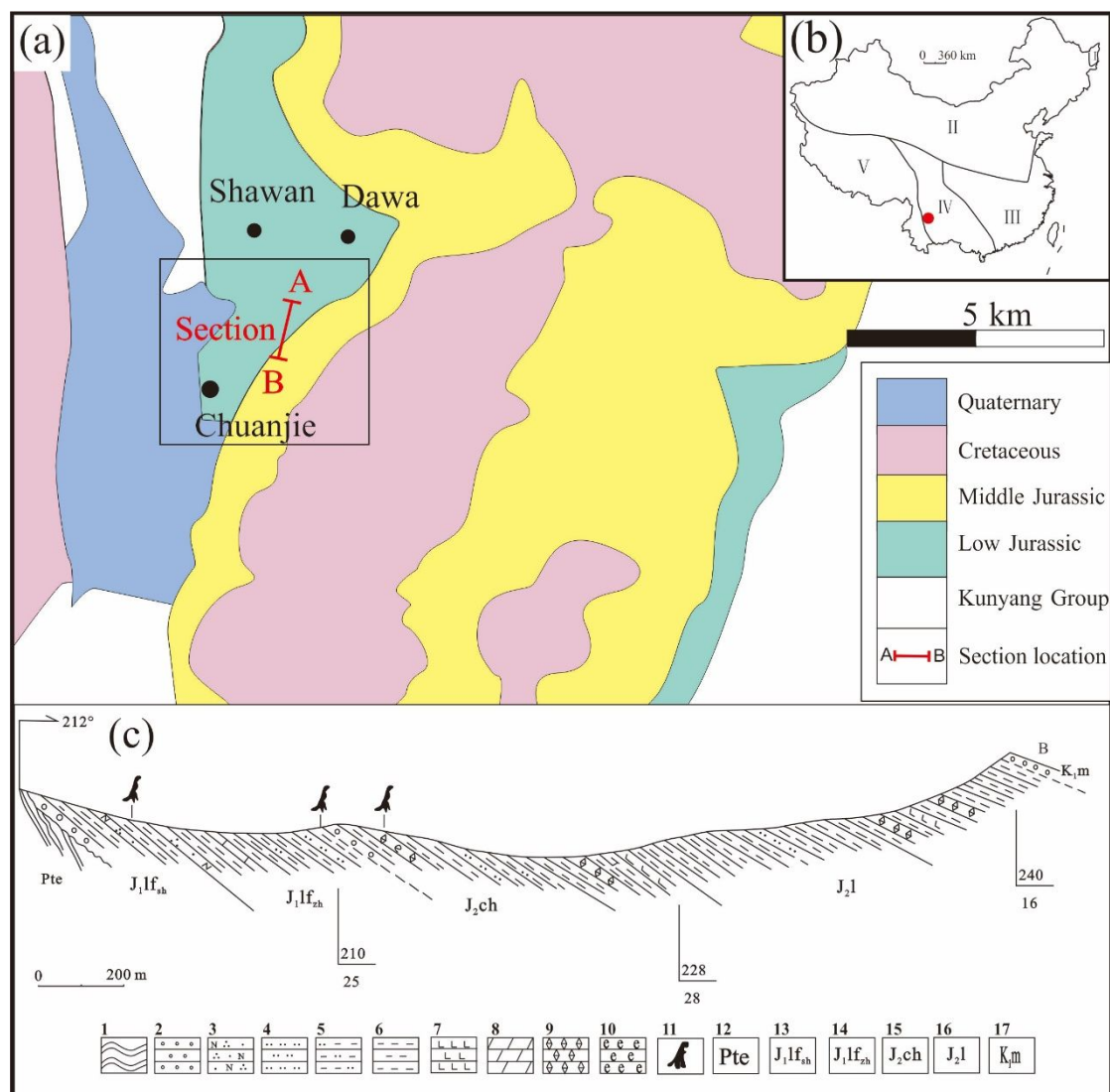
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679 **Figure Captions**

680

681 **Figure 1 Schematic geological map of the Lufeng Basin.** (a) The geological setting of the Lufeng Basin. (c) The

682 study section from A to B. 1 Killas; 2 Basal conglomerates; 3 Arkosic sandstone; 4 Siltstone; 5 Argillaceous

683 siltstones; 6 Mudstone; 7 Calcareous mudstones; 8 Marlstone; 9 Micritic limestones; 10 Bioclastic limestone; 11

684 Dinosaur fossils; 12 Kunyang group; 13 Shawan member in Low Jurassic; 14 Zhangjiaao member in Low Jurassic;

685 15 Chuanjie member in Middle Jurassic; 16 Laoluocun member in Middle Jurassic; 17 Matoushan Formation in

686 Cretaceous. Modified after Wang et al. (2017) and Fang et al. (2000). (b) The Jurassic climatic zones in China,

687 modified after Deng et al. (2007). (I) Wushuli warm-cool climatic region in Eastern Heilongjiang, (II) North

688 China warm-temperate humid climatic region, (III) Southeast China tropic-subtropical humid climatic region, (IV)

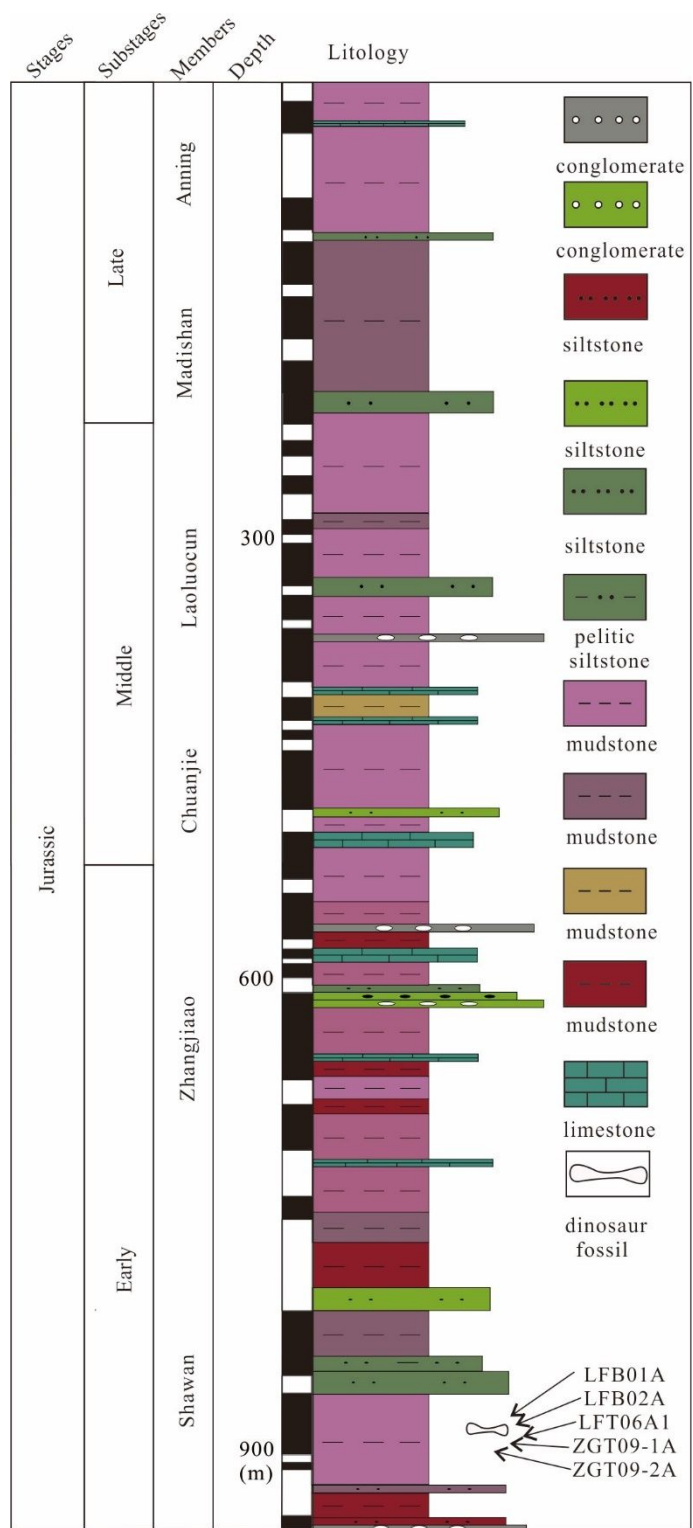


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689 Southwest China tropic-subtropical semi-arid and semi-humid climatic region, (V) Tibetwestern Yunnan tropical

690 oceanic arid climatic region.

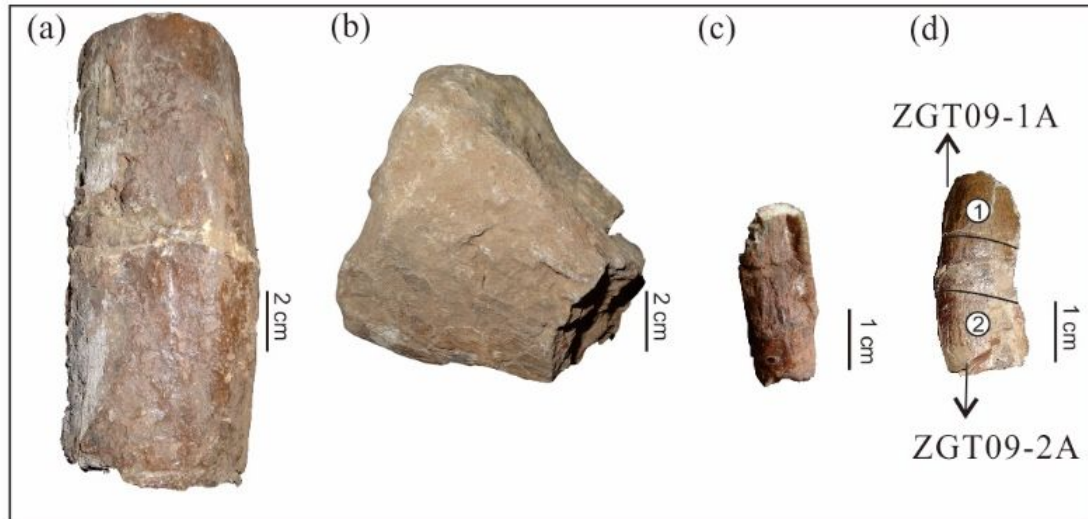
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692 **Figure 2 Lithological column of the Lufeng Basin.** The samples were recovered from the lower part of the  
 693 Shawan Member.

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695

696 **Figure 3 Teeth and bones analysed in this study.** (a-b) The basal sauropodiform dinosaur bones; (c) The basal697 sauropodiform dinosaur tooth; (d) The *S.triassicus* tooth.

698

**Table 1 The oxygen isotope compositions of dinosaurs' phosphate and carbonate.**

Sample ID	Material	Taxa	Ecology <sup>a</sup>	$\delta^{18}\text{O}_p$ (‰, VSMOW)		$\delta^{13}\text{C}_{\text{CO}_3}$ (‰, VPDB)		$\delta^{18}\text{O}_{\text{CO}_3}$ (‰, VSMOW)		$\text{CaCO}_3$ (Wt%)
				$\delta^{18}\text{O}_{\text{cor}}$	SD	$\delta^{13}\text{C}_{\text{CO}_3}$	SD	$\delta^{18}\text{O}_{\text{CO}_3}$	SD	
LFB01A	bone	basal sauropodiform	herb.	15.9	0.1	-10.6	0.1	19.9	0.1	3.1
LFB02A	bone	basal sauropodiform	herb.	16.4	0.1	-9.2	0.1	21.5	0.1	2.1
LFT06A1	teeth crown	basal sauropodiform	herb.	20.5	0.2	-8.7	0.1	23.7	0.1	2.6
ZGT09-1A	teeth apex	Sinosaurus	carn.	20.4	0.2	-7.8	0.1	27.2	0.1	12.1
ZGT09-2A	teeth cervix	Sinosaurus	carn.	17.0	0.1	-7.1	0.1	21.0	0.1	2.6

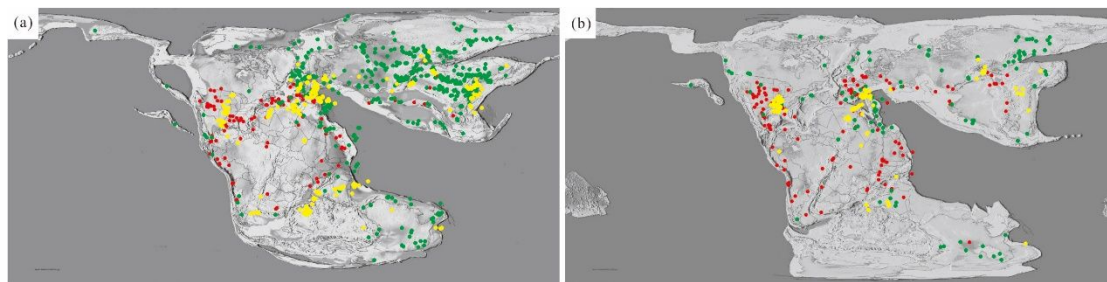
699 <sup>a</sup> *herb.* Herbivorous, *carn.* Carnivorous.

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**Table 2 The mean values of  $\delta^{18}\text{O}_w$  and MAT in Lufeng Locality**

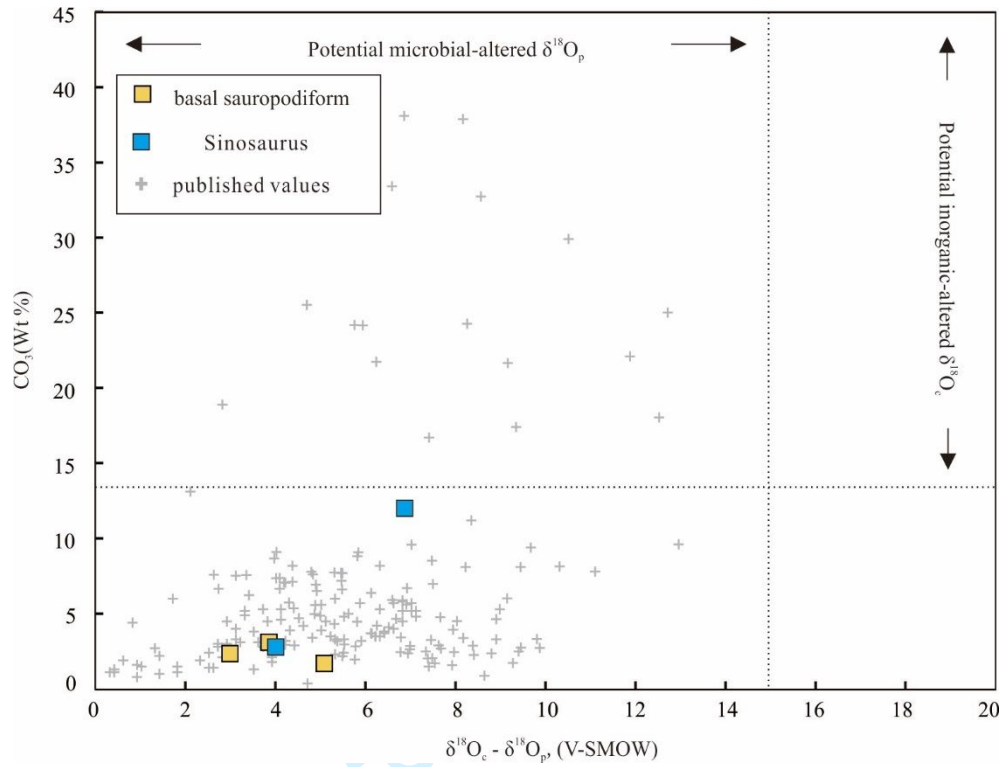
Taxa	$\delta^{18}\text{O}_{\text{PO}_4}$ (‰, VSMOW)		$\delta^{18}\text{O}_{\text{PO}_4}$ (‰, VSMOW)		Estimated $\delta^{18}\text{O}_w$ (‰, VSMOW)		MAT (°C)	
	Mean	SD	Mean	SD	Mean	SD	Mean	SD
basal sauropodiform dinosaur	17.6	0.2	18.2	0.3	-3.9	±0.7	21	±0.8
S.triassicus	18.7	0.2						

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703 **Figure 4. The distributions of dinosaur fossils and climatically sensitive sediments during the (a) Early -**  
704 **middle Jurassic and (b) Late Jurassic.** The yellow dots represent the dinosaur fossil sites. The red and green dots  
705 represent the arid and humid climatically sensitive deposits, respectively.

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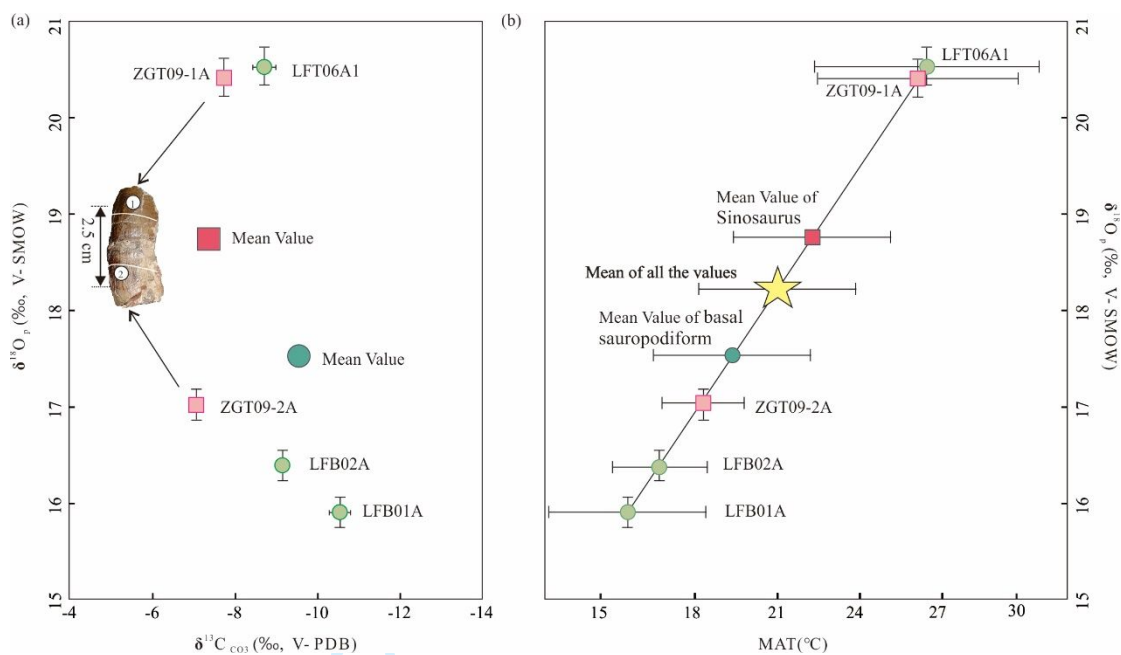
706

707 **Figure 5 The assessment of the preservation condition.** The  $\delta^{18}\text{O}_c - \delta^{18}\text{O}_p$  differences between teeth and bones

708 plotted against the structural carbonate content (wt %) of apatite. Modified after Amiot et al. (2015) and Rey et al.

709 (2017).

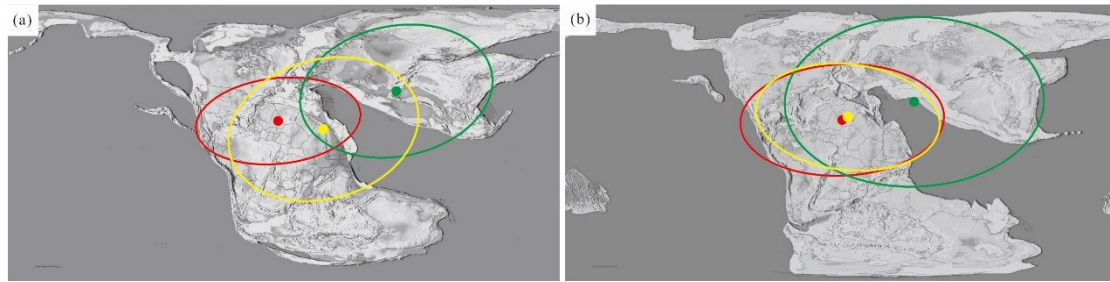
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712 **Figure 6 The stable isotope compositions of the dinosaur fossils.** (a) The mean  $\delta^{18}O_p$  (‰, VSMOW) vs  $\delta^{13}C_{CO_2}$   
 713 (‰, VPDB) values. (b) The temperature vs  $\delta^{18}O_p$  (‰, VSMOW). The red squares represent Sinosaurus tooth, the  
 714 green circles represent the basal sauropodomorphs, and the yellow star represents the mean MAT.

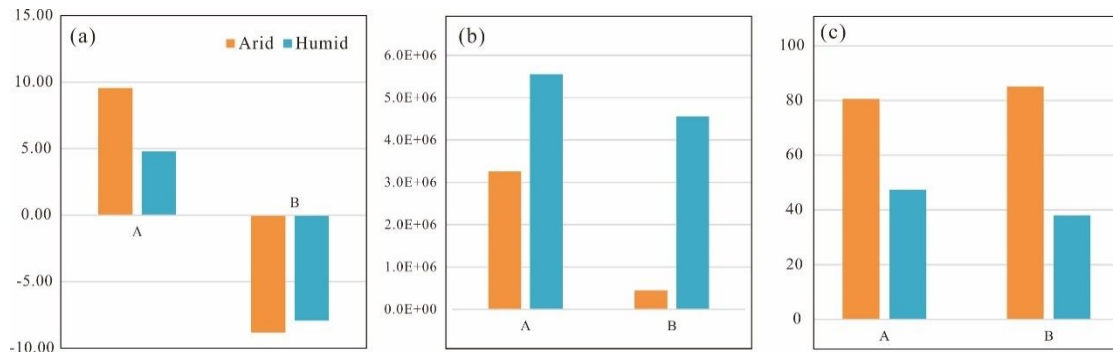




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716 **Figure 7 The standard elliptic and center of gravity of dinosaur and climatic proxies. (a) Early-middle**  
717 **Jurassic and (b) Late Jurassic.** The yellow elliptic represents dinosaur data. The red elliptic represents the arid  
718 climatic data and the green represents humid climatic data. The corresponding colored circles represent the  
719 position of the center of elliptic gravity.

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**Figure 8 Summarizes the bar chart of SDE spatial analysis in Jurassic.** (a) Bar chart of SDE rotation of D(A-D) and D(H-D). (b) Bar chart of the distance between the SDE gravity of A-D and H-D. (c) Bar chart of percentage between the intersection area of A-D and H-D.

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