

# Impact of Pleistocene Eustatic Fluctuations on Evolutionary Dynamics in Southeast Asian Biodiversity Hotspots

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Arni Sholihah, Erwan Delrieu-Trottin, Fabien L. Condamine, Daisy Wowor, Lukas Rüber, et al.. Impact of Pleistocene Eustatic Fluctuations on Evolutionary Dynamics in Southeast Asian Biodiversity Hotspots. Systematic Biology, 2021, 70 (5), pp.940-960. 10.1093/sysbio/syab006. hal-03370604

# HAL Id: hal-03370604 https://hal.science/hal-03370604v1

Submitted on 22 Nov 2021

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1	Impact of Pleistocene Eustatic Fluctuations on Evolutionary Dynamics in Southeast
2	Asian Biodiversity Hotspots
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22	Abstract
23	Pleistocene Climatic Fluctuations (PCF) are frequently highlighted as important
24	evolutionary engines that triggered cycles of biome expansion and contraction. While there is

ample evidence of the impact of PCF on biodiversity of continental biomes, the consequences

26 in insular systems depend on the geology of the islands and the ecology of the taxa inhabiting 27 them. The idiosyncratic aspects of insular systems are exemplified by the islands of the Sunda Shelf in Southeast Asia (Sundaland), where PCF-induced eustatic fluctuations had complex 28 29 interactions with the geology of the region, resulting in high species diversity and endemism. 30 Emergent land in Southeast Asia varied drastically with sea level fluctuations during the 31 Pleistocene. Climate-induced fluctuations in sea level caused temporary connections between 32 insular and continental biodiversity hotspots in Southeast Asia. These exposed lands likely 33 had freshwater drainage systems that extended between modern islands: the Paleoriver 34 Hypothesis. Built upon the assumption that aquatic organisms are among the most suitable 35 models to trace ancient river boundaries and fluctuations of landmass coverage, the present 36 study aims to examine the evolutionary consequences of PCF on the dispersal of freshwater 37 biodiversity in Southeast Asia. Time-calibrated phylogenies of DNA-delimited species were 38 inferred for six species-rich freshwater fish genera in Southeast Asia (Clarias, Channa, 39 Glyptothorax, Hemirhamphodon, Dermogenys, Nomorhamphus). The results highlight 40 rampant cryptic diversity and the temporal localization of most speciation events during the 41 Pleistocene, with 88% of speciation events occurring during this period. Diversification 42 analyses indicate that sea level-dependent diversification models poorly account for species proliferation patterns for all clades excepting Channa. Ancestral area estimations point to 43 Borneo as the most likely origin for most lineages, with two waves of dispersal to Sumatra 44 45 and Java during the last 5 Myr. Speciation events are more frequently associated with boundaries of the paleoriver watersheds, with 60%, than islands boundaries, with 40%. In 46 47 total, one-third of speciation events are inferred to have occured within paleorivers on a single 48 island, suggesting that habitat heterogeneity and factors other than allopatry between islands 49 substantially affected diversification of Sundaland fishes. Our results suggest that species 50 proliferation in Sundaland is not wholly reliant on Pleistocene sea-level fluctuations isolating

- populations on different islands. [Dispersal; diversification; eustatic fluctuations; freshwater
   fishes; insular systems; Milankovitch cycles; paleoenvironments; vicariance]
- 53

#### 54 INTRODUCTION

55 Pleistocene Climatic Fluctuations (PCF) are widely considered to be drivers of species 56 diversification and distribution patterns (Dodson et al. 1995; Haffer 1997; Lohman et al. 57 2011; de Bruyn et al. 2014) that contributed to the development of highly heterogeneous 58 patterns of species richness and endemism (Voris 2000; Nores 2004; Hubert and Renno 2006; 59 Pellissier et al. 2014). Temporally located between 2.58-0.012 million years ago (Ma) 60 (Walker et al. 2018), PCFs result from dynamic interactions between tectonic movements and 61 oscillations of Earth's orbit, leading to fluctuations of average temperature and global climate. 62 These Milankovitch cycles have impacted biomes through paleoenvironmental dynamics such 63 as eustatic fluctuations. This caused physical fragmentation of terrestrial and freshwater biotas 64 and shaped contemporary distribution patterns (Dodson et al. 1995; Gathorne-Hardy et al. 65 2002; Currie et al. 2004; Bird et al. 2005; Cannon et al. 2009; Beck et al. 2017). Climate 66 differences are frequently invoked to account for heterogeneous speciation and extinction 67 patterns in temperate and tropical biomes. For instance, the Late Pleistocene Hypothesis 68 pinpoints glacial cycles and associated sea-level fluctuations as the main drivers of increased 69 speciation in the Northern Hemisphere (Barraclough and Nee 2001; Wiens and Donoghue 70 2004; Mittelbach et al. 2007; Beck et al. 2017). In the tropics, repeated marine incursions and 71 lowland drying resulted in fragmentation of highlands (Haffer 1997; Nores 1999; Hubert and 72 Renno 2006) and shrinking of terrestrial biotas into refugia, leading to extinction of coastal 73 organisms (Condamine et al. 2015b) or the divergence of isolated populations across refugia 74 (Bates et al. 1998; Nores 1999; Hubert and Renno 2006; Condamine et al. 2015). Pleistocene Climatic Fluctuations are frequently considered to be a "species pump" that offered increased 75

76	opportunities of speciation through cycles of alternating species' ranges contraction and
77	populations' fragmentation during glacial maxima with dispersal and species' ranges
78	expansion during inter-glacial times (Esselstyn and Brown 2009; April et al. 2012; Brown et
79	al. 2013; Papadopoulou and Knowles 2015a, 2015b; Li and Li 2018). As such, PCFs created
80	opportunities for speciation in otherwise physically stable landscapes in the short-term. Over
81	the long-term, PCFs interacted with geology, resulting in intricate outcomes. Some of these
82	constitute model systems to explore the consequences of PCFs and associated sea-level
83	fluctuations on spatial biodiversity patterns (Weigelt et al. 2016). The Pleistocene Aggregate
84	Island Complex (PAIC) model, for instance, describes the species pump hypothesis on
85	islands, most notably in the Philippines, Caribbean and Mediterranean archipelagos (Esselstyn
86	and Brown 2009; Brown et al. 2013; Papadopoulou and Knowles 2015a, 2015b).
87	Understanding the impact of PCFs on diversity patterns is particularly challenging for the
88	implementation of science-based conservation in the most diverse and threatened biotas
89	globally <i>i.e.</i> biodiversity hotspots (Myers et al. 2000; Hoffmann et al. 2010).
90	The biodiversity hotspots in Southeast Asia occur in an area where Pleistocene
91	eustatic fluctuations interact with geology (Voris 2000; Woodruff 2010; Lohman et al. 2011),
92	including the landmasses of Sundaland (Java, Sumatra, Borneo and peninsular Malaysia).
93	Sundaland emerged during the early Cenozoic (ca. 66 Ma) as a promontory at the southern
94	end of Eurasia (Lohman et al. 2011). The isolation of Sundaland, however, is much more
95	recent (Fig. 1). Complex tectonic movements and subduction activities during the Miocene
96	triggered the formation of Borneo between 20 and 10 Ma (Figs. 1a & 1b) and the subsequent
97	emergence of Sumatra and Java between 10 and 5 Ma (Figs. 1b & 1c). From a geological
98	perspective, Sundaland's configuration has been stable for the past 5 Ma (Lohman et al. 2011;
99	Hall 2013). However, insular Sundaland was not completely separated from mainland
100	Southeast Asia until the Pliocene (5.33-2.58 Ma) (Hall 2009; Lohman et al. 2011). Upon

101 entering the early Quaternary (2.58 Ma), sea level dropped from 40 to 60 m below present 102 levels over a ca. 41 kyr period (Lisiecki and Raymo 2005; Miller et al. 2005; Cannon et al. 103 2009; Lohman et al. 2011; de Bruyn et al. 2014) forming land bridges among the islands of 104 Sundaland and the mainland (Fig. 1d, -60 m). Around 800 kyr, Sundaland experienced longer 105 glacial cycles of 100 kyr and lower sea level during eustasy (Lisiecki and Raymo 2005; Miller 106 et al. 2005) which enlarged land bridges and increased fragmentation during interglacial 107 times. During the Last Glacial Maximum (LGM) ca. 17 kyr, sea levels dropped to 120 m 108 below presents (Voris 2000; Sathiamurthy and Voris 2006) (Fig. 1d), resulting in the widest 109 landmass extension to date. At the end of the LGM, rising sea levels shrank terrestrial 110 habitats, and Sundaland biotas are currently considered to be refugial (Cannon et al. 2009; 111 Woodruff 2010; Lohman et al. 2011). Interactions between the geological history of 112 subduction and PCFs are expected to have significantly affected the diversification of 113 terrestrial and freshwater biodiversity on Sundaland (Voris 2000; Sathiamurthy and Voris 114 2006; Lohman et al. 2011; de Bruyn et al. 2013).

115 Eustatic fluctuations in Sundaland not only altered land exposure over time but also 116 impacted the configuration of Sundaland's watersheds. During glacial maxima, sea levels 117 were especially low, allowing riverine watersheds to expand to newly exposed land that was 118 previously sea floor (Voris 2000; Sathiamurthy and Voris 2006; Lohman et al. 2011). These 119 temporary paleoriver systems connected Sundaland's rivers and created temporary linkages 120 between Sundaland and continental Southeast Asia. Particularly well-documented for the 121 Pleistocene LGM, the lower sea level created four large paleoriver systems that connected 122 insular rivers across Sundaland's landmasses (Kottelat et al. 1993; Voris 2000; Woodruff 123 2010) including the paleorivers of East Sunda (1 in Fig. 1d), North Sunda (2 in Fig. 1d), 124 Malacca Straits (3 in Fig. 1d) and Siam (4 in Fig. 1d). For freshwater-dependent organisms, 125 the existence of these paleoriver systems has been long considered to be one of the main

126 drivers of contemporary distribution patterns of freshwater organismal in the region (Kottelat 127 et al. 1993; Voris 2000; Lohman et al. 2011). Formally known as the Paleoriver Hypothesis, 128 the impact of paleorivers on freshwater species distribution has been investigated in 129 Hemibagrus nemurus (Dodson et al. 1995), viviparous halfbeaks (de Bruyn et al. 2013), 130 snakeheads (Tan et al. 2012), killifishes (Beck et al. 2017) and Macrobrachium shrimps (De 131 Bruyn et al. 2004). These studies detected some congruence between lineages distribution and 132 boundaries of paleoriver watersheds. However, the determinants of these correlations are still 133 debatable due to the limited number of taxa examined (Dodson et al. 1995; Lohman et al. 134 2011; Tan et al. 2012; de Bruyn et al. 2013; Beck et al. 2017). Furthermore, the ages of the 135 taxa in these studies were generally older than the Pleistocene, casting doubt on the role of 136 PCF in freshwater diversification (Dodson et al. 1995; Beck et al. 2017).

Here, we explore the evolutionary dynamics of species proliferation and spatial
patterns of speciation among Southeast Asian freshwater fishes to address the following
questions: (1) Did paleorivers fragment populations of widely distributed taxa? (2) Did
paleorivers enable dispersal between islands during glacial maxima? (3) Did PCFs impact the
pace of diversification?

142 From this set of initial questions, we derived several predictions made by the 143 Paleoriver Hypothesis regarding the evolution of species range boundaries during speciation 144 (e.g., congruence between species range distribution and boundaries of paleoriver watersheds) 145 and the timing of speciation (e.g. Pleistocene species pump) to address the evolutionary 146 response of Southeast Asian freshwater biotas to eustatic fluctuations. To test predictions 147 made by the Paleoriver Hypothesis, we compiled DNA sequences from widely distributed and 148 well-studied freshwater fish lineages with a variety of life history traits (Kottelat et al. 1993). 149 Our molecular phylogenetic dataset of 1,511 individuals was used to reconstruct the 150 phylogenetic relationships and estimate the divergence times of 110 morphological species

151 belonging to four of the most species-rich freshwater fish lineages in Southeast Asia: Channa 152 (30 morphological species), Zenarchopteridae (17 morphological species), Glyptothorax (50 153 morphological species), and *Clarias* (13 morphological species). Southeast Asian freshwater 154 fishes are poorly known taxonomically and cryptic species are common (de Bruyn et al. 2013; 155 Hubert et al. 2015; Lim et al. 2016; Beck et al. 2017; Nurul Farhana et al. 2018). Thus, all 156 macroevolutionary inferences recognize Molecular Operational Taxonomic Units (MOTUs) 157 delineated through DNA-based species delimitation methods (Blaxter et al. 2005; Ruane et al. 158 2014). Distribution ranges were characterized for these MOTUs and further used to explore 159 spatial and temporal patterns of diversification through ancestral state reconstructions and 160 birth-death modeling approaches.

161

### 162 MATERIALS AND METHODS

#### 163 Hypothesis Testing, Taxa Selection and Sampling

164 The Paleoriver Hypothesis (Kottelat et al. 1993; Voris 2000; Woodruff 2010; de 165 Bruyn et al. 2013) makes several predictions about the geography and timing of speciation. 166 First, the paleorivers might be expected to promote allopatric speciation between populations 167 of species that were once widespread or expanding. If so, range boundaries between sister 168 species that diverged during the Pleistocene might be expected to coincide with boundaries of 169 the paleoriver watersheds. Second, paleorivers might have enabled dispersal between different 170 islands, such as South Borneo and Java in the East-Sunda system during periods of low sea 171 level (Fig. 1d). This hypothesis predicts that species assemblages will be most similar within 172 paleoriver drainage basins, even when those basins span two or more present-day islands. 173 Assemblages between paleoriver drainages on the same present-day island are likely to be 174 markedly different in comparison. Finally, the interactions between geological history and 175 PCFs have intensified during the last 5 Ma with the elevation of Sundaland predicting

176 increased diversification. Estimating speciation events in different paleorivers would allow 177 testing of these hypotheses. However, different species interact with their environment in 178 different ways, which will further influence their dispersal and colonization abilities. Thus, 179 dispersal traits might be expected to influence the evolutionary responses of biotas to 180 environmental changes (Barraclough and Nee 2001; Currie et al. 2004; McPeek 2008; Hubert 181 et al. 2015; Burbrink et al. 2016; Liu et al. 2019). To explore the three predictions made by 182 the Paleoriver Hypothesis, we selected taxa conforming to the following requirements: (1) 183 widespread distribution of lineages across Southeast Asia including Sundaland, (2) 184 comprehensive sampling of described species within the taxon, (3) availability of nuclear and 185 mitochondrial sequences, and (4) varying life history traits such as body size and dispersal 186 ability.

187 Four lineages fulfilled these requirements: (1) the snakehead genus Channa 188 (Anabantiformes, Channidae) widely distributed in Asia (Conte-Grand et al. 2017), (2) the 189 walking catfish genus Clarias (Siluriformes, Clariidae) widely distributed in Asia (Pouyaud et 190 al. 2009), (3) the catfish genus Glyptothorax (Siluriformes, Sisoridae) widely distributed in 191 Asia (Kottelat et al. 1993; Jiang et al. 2011) and, (4) the halfbeak genera of Southeast Asia 192 Dermogenys, Hemirhamphodon and Nomorhamphus (Beloniformes, Zenarchopteridae) (de 193 Bruyn et al. 2013; Lim et al. 2016; Nurul Farhana et al. 2018). Both Clarias and Channa can 194 disperse easily. *Clarias* species, for instance, have a unique accessory air-breathing organ in 195 the upper part of the branchial cavity that allows survival in oxygen-poor water and on land 196 (Munshi 1961; Maina and Maloiy 1986). Meanwhile, Channa is a genus of air-breathing, 197 predatory freshwater fishes with an extended swim bladder, paired supra-branchial chambers, 198 and an interior labyrinth organ that enables them to live out of the water for several days. 199 Several species can move on land and burrow into mud during droughts to keep their bodies 200 wet (Kottelat et al. 1993; Berra 2001; Adamson et al. 2010; Serrao et al. 2014; Rüber et al.

201 2019). These capabilities make Clarias and Channa resilient to environmental variation, and 202 several species are even considered invasive outside their native range (Serrao et al. 2014; 203 Conte-Grand et al. 2017). The other genera have lower dispersal abilities. *Glyptothorax*, the 204 most species-rich genus in the catfish family Sisoridae, is smaller than *Clarias* and *Channa*, 205 and is not equipped with an air-breathing organ (Berra 2001). Distribution of *Glyptothorax* 206 species are mostly fragmented and confined within isolated mountain rapid streams (Ng and Kottelat 2016; Hutama et al. 2017). The healfbeak genera are viviparous with limited larval 207 208 dispersal abilities (de Bruyn et al. 2013; Nurul Farhana et al. 2018). Although these genera are 209 geographically widespread, their genetic lineages have more restricted distributions (Downing 210 Meisner 2001; Tan and Lim 2013; Lim et al. 2016; Nurul Farhana et al. 2018). Our dataset 211 comprised 2,211 sequences dataset from 1,511 individuals belonging to 110 nominal species 212 and representing four lineages. Outgroups were then selected for each group, ranging from 213 closely related to distantly related taxa following the phylogenetic classification of bony 214 fishes by Betancur-R et al. (2017). Outgroup selection was further refined according to 215 previously published molecular studies for Clariidae (Pouyaud et al. 2009), Sisoridae (Jiang et 216 al. 2011) and Channidae (Conte-Grand et al. 2017).

217

#### 218 Genetic Species Delimitation

Genetic studies have identified substantial cryptic diversity in Southeast Asian
freshwater fishes (Nguyen et al. 2008; Pouyaud et al. 2009; Hubert et al. 2015, 2019;
Dahruddin et al. 2017; Hutama et al. 2017; Nurul Farhana et al. 2018; Sholihah et al. 2020).
Cryptic diversity could lead to biases in phylogenetic reconstruction and diversification
analyses, particularly due to the overestimation of divergence age estimates in the absence of
the closest relatives (Esselstyn et al. 2009; Patel et al. 2011; Ruane et al. 2014). To avoid
these shortcomings, genetic species delimitation methods were used to define MOTUs.

226	Several methods of species delineation have been developed, but each has pitfalls. Agreement
227	among different methods suggest robust delimitation (Kekkonen and Hebert 2014; Kekkonen
228	et al. 2015). Thus, we used four methods of species delimitation including a distance-based
229	method with Automatic Barcode Gap Discovery (ABGD, Puillandre et al. 2012), a network-
230	based method with Refined Single Linkage (RESL, Ratnasingham and Hebert 2013), and two
231	tree-based methods including Poisson Tree Processes (PTP, Zhang et al. 2013) and the
232	Generalized Mixed Yule Coalescent (GMYC, Pons et al. 2006). A final delimitation scheme
233	was then established based on a 50% consensus (Hubert et al. 2019). All sequences used were
234	aligned using MUSCLE (Edgar 2004) implemented in MEGA7 (Kumar et al. 2016) and
235	manually edited.
236	These four delimitation methods use different input data. We used sequence
237	alignments to carry out ABGD and RESL analyses. The ABGD analysis was performed
238	through the online platform using the K2P substitution model
239	(https://bioinfo.mnhn.fr/abi/public/abgd/abgdweb.html). The RESL algorithm was
240	implemented through BOLD systems version 4 (http://www.boldsystems.org, Ratnasingham
241	and Hebert 2007), using Barcode Index Numbers (BIN, Ratnasingham and Hebert 2013). We
242	used maximum likelihood (ML) phylogenetic trees for the PTP and GMYC analyses. For
243	PTP, the analyses were carried out on the web service ( <u>https://mptp.h-its.org/#/tree</u> ). Only the
244	single threshold version was used because preliminary analyses using the multiple threshold
245	version of PTP were too conservative compared to other methods ( <i>i.e.</i> too few species).
246	Maximum Likelihood phylogenies were reconstructed using RAxML (GUI 1.5) with the
247	GTR+I+ $\Gamma$ model, and 5000 non-parametric bootstrap (BP) replicates were computed using
248	RAxML-HPC Blackbox (Miller et al. 2010) with RAxML 8 (Stamatakis 2014). For GMYC,
249	the multiple thresholds function (mGMYC) was implemented with the R-package SPLITS
250	1.0-19 (Fujisawa and Barraclough 2013). For GMYC, ultrametric trees were reconstructed

251 using the *chronopl* function in the R-package *ape* 5.3 (Paradis et al. 2004; Paradis 2012; 252 Paradis and Schliep 2019). Time calibration was constrained with the widely accepted fish 253 substitution rate of 1.2% of genetic divergence per million years (Myr) for mitochondrial 254 protein-coding genes (Knowlton et al. 1992) and applied it to the maximum K2P distances, 255 computed using mitochondrial protein coding-genes only, between descendant clades of 256 selected nodes (Table 1). Reference nodes were selected to cover several levels of depth in the 257 phylogenies and to cover a substantial portion of the total species diversity in the entire 258 mitochondrial data set. A total of 13 nominal species were analyzed for *Clarias*, 50 nominal 259 species for Channa, 30 nominal species for Zenarchopteridae and 17 nominal species for 260 *Glyptothorax*.

261

#### 262 Phylogenetic Reconstruction and Dating

263 Bayesian phylogenetic reconstructions were implemented with StarBEAST2 package 264 (Ogilvie et al. 2017) of the BEAST2 suite (Heled and Drummond 2010; Bouckaert et al. 265 2014). This approach implements a mixed-model including a coalescent component within 266 species and a diversification component between species that allows accounting for variations 267 of substitution rates within and between species (Ho and Larson 2006). StarBEAST2 requires 268 the designation of species, which were determined using the consensus of our species 269 delimitation analyses. Best substitution models for each marker were estimated using 270 jModelTest2 0.1.10 (Guindon and Gascuel 2003; Darriba et al. 2015). Preliminary analyses 271 conducted on Zenarchopteridae indicated that estimating substitution model parameters 272 jointly with node ages and topologies led to non-converging MCMC with widely fluctuating 273 ages of the Most Recent Common Ancestor (MRCA). Hence, ML parameter estimates were 274 used for MCMC searches in StarBEAST2 with no further estimation of the substitution model 275 parameters. This analytical choice constrained the number of substitution models available in

276 StarBEAST2 and if the selected model was not supported in StarBEAST2, the next most 277 likely model from jModeltTest2 available in StarBEAST2 was used. An uncorrelated 278 lognormal clock model was applied alongside estimation of clock rates for all analyses. The 279 reference nodes calibrated for the species delimitation analyses were also used in the 280 Bayesian analyses (Table 1) and further used with a calibrated Yule model. Age calibration 281 was added under normal distribution priors, using a sigma of 1 in order to use wide 282 distributions (Table 1). Yule birthrate value for the analysis was generated using the R-283 package *ape* by applying a Yule function on each ultrametric tree generated using the 284 chronopl function for the GMYC delimitation. To yield enough statistical power (ESS values 285 reaching >200), multiple MCMC runs with 10 million pre-burnin generations each were 286 generated in parallel with tree states stored every 5,000 generations. Considering the number 287 of individuals sampled and molecular heterogeneity within each taxon, the length of the 288 MCMC was different for each group, ranging from 100 million for *Glyptothorax* to 250 289 million for Channa. The MCMC were run at least twice to check for the convergence of the 290 chains and reach ESS values > 200 for MRCA age and parameters of the diversification and 291 clock models. The statistics were visualized using Tracer 1.7.1 (Rambaut et al. 2018) to check 292 the outputs and to determine the best converging combination of burnin for each StarBEAST2 293 run. Independent runs were then combined to a single concatenated dataset using 294 LogCombiner 1.10.4 (Bouckaert et al. 2014) to compute the final Bayesian statistics and 295 sampled trees, with or without resampling (depending on the chain lengths). The maximum 296 clade credibility tree, age estimates and corresponding 95% highest posterior density (HPD) 297 were summarized using TreeAnnotator 1.10.4 (Bouckaert et al. 2014).

298 Molecular dating constitutes a crucial step in macroevolutionary inferences 299 (Condamine et al. 2015a) and divergence time estimates can widely vary according to 300 calibration methods and clock models (Ho and Larson 2006; Duchêne et al. 2014). We

301 estimated the impact of clock rates on MOTUs age estimates and diversification trends. For 302 each clade, we rescaled the StarBEAST2 maximum credibility tree according to an 303 assortment of molecular clocks ranging from 0.4% to 1.6% per Ma, with an increment of 304 0.2%, to cover a wide range of known mitochondrial substitution rates (Orti Meyer, A. 1997; 305 Hardman and Lundberg 2006; Read et al. 2006; Kadarusman et al. 2012). StarBEAST2 trees 306 were rescaled using the Alter/Transform Branch Lengths function in Mesquite 3.61 307 (Maddison and Maddison 2019) resulting in a total of seven trees computed for 0.4, 0.6, 0.8, 308 1.0, 1.2, 1.4 and 1.6% per Myr clocks for each group.

309

## 310 Diversification Rates Estimations

311 We first plotted lineages through time (LTT) for each taxon using the R-package ape 312 (Paradis and Schliep 2019) with confidence intervals computed with 1,000 random trees 313 sampled from the StarBEAST2 MCMC file (Heled and Drummond 2010; Bouckaert et al. 314 2014). Then, to test the hypothesis that speciation was linked to past environmental changes 315 (Condamine et al. 2013, 2019), we designed a ML framework including five types of 316 diversification models: constant-rate, time-dependent, temperature-dependent, sea-level-317 dependent, and diversity-dependent models. These models rely on the ML framework 318 originally developed by Morlon et al. (2011) and implemented in the R-package RPANDA 1.3 319 (Morlon et al. 2016). We accounted for missing species in the phylogeny by using a global 320 sampling fraction that is the ratio of sampled species diversity over the total described species 321 diversity for each clade. We designed and fit a total of 17 diversification models (Table S1). 322 We first fit two constant-rate models, considered as references for model comparison: one 323 with the speciation rate constant through time with no extinction (BCST) and another with 324 speciation and extinction rates constant through time (BCSTDCST). Second, we fit four time-325 dependent models: a model with only speciation rate varying through time and no extinction

326 (BtimeVar), a model with speciation rate varying through time and constant extinction 327 (BtimeVarDCST), a model with constant speciation and extinction rate varying through time (BCSTDtimeVar), and a model with speciation rate and extinction rate both varying through 328 329 time (BtimeVarDtimeVar). We then fit eight models with speciation and extinction rates 330 varying according to an external environmental variable of which four models had the 331 temperature curve, and four had the sea level curve as follows: two models with speciation 332 varying in function of the environmental variable (BtemperatureVar and Bsea-levelVar), two 333 models with speciation varying in function of the variable with constant extinction rate 334 (BtemperatureVarDCST and Bsea-levelVarDCST), four models with extinction rate varying 335 as a function of the variable and constant speciation rate (BCSTDtemperatureVar and 336 BCSTDsea-levelVar), and two models with both speciation and extinction rates varying as a 337 function of the variable (BtemperatureVarDtemperatureVar and Bsea-levelVarDsea-338 levelVar). All diversification analyses were performed independently for each the four fish 339 clades.

340 We chose an exponential dependence with time, temperature or sea level, and 341 diversification rates because this exponential function is robust and can take a broad range of 342 shapes depending on the strength and direction of the dependence to the fitted variable. 343 Speciation rate ( $\lambda$ ) and extinction rate ( $\mu$ ) are parameterized by the set of following parameters: When speciation and extinction rates are exponential functions of the sea level 344 through time, we used the equations  $\lambda(S_{(t)}) = \lambda_0 \times e^{\alpha S(t)}$  and  $\mu(S_{(t)}) = \mu_0 \times e^{\beta S(t)}$ , where  $\lambda_0$ 345 and  $\mu_0$  are respectively the speciation rate and the extinction rate expected when sea level is at 346 347 0 meters. The variables  $\alpha$  (and  $\beta$ ) are coefficients that measure the strength and sign of the 348 relationship with sea level (e.g.  $\alpha > 0$  and  $\beta > 0$  indicate that speciation and extinction rates 349 increase with sea level high stands). Similar equations can be written for an exponential relationship between temperature through time and speciation and extinction rates:  $\lambda(T_{(t)}) =$ 350

351  $\lambda_0 + \alpha T_{(t)}$  or  $\lambda(T_{(t)}) = \lambda_0 \times e^{\alpha T(t)}$ , where T(t) is the temperature at time *t* and  $\lambda_0$  is the 352 speciation rate at the temperature of 0° C.

353 In addition, three diversity-dependent diversification models were fitted using a 354 maximum likelihood function with the R-package DDD 3.7 (Etienne et al. 2012). In the 355 diversity-dependent models, speciation rates or extinction rates vary as a function of the 356 number of lineages in the clade (Etienne et al. 2012). We took this function to be linear as 357 explained in Etienne et al. (2012). The diversity-dependent models are parameterized by  $\lambda_0$ and  $\mu_0$ , the speciation and extinction rates in the absence of a competing lineage, and K that is 358 359 the 'carrying capacity', and represents asymptotic clade size. All speciation and extinction 360 rates were constrained to be positive. The models were compared with the corrected Akaike 361 Information Criterion (AICc) and Akaike weight (AIC $\omega$ ). The model with the lowest AICc 362 and highest AIC $\omega$  was considered to be the best fitting model for the phylogeny. This model 363 selection analysis was repeated for each of the seven dated trees based on different clock rate 364 hypotheses (0.4% to 1.6% per Ma) for the groups departing from a constant diversification 365 model and related to sea level or temperature.

366

#### 367 Ancestral States Estimations

368 To infer the influence of Sundaland paleoriver and island systems on the 369 diversification of Southeast Asian freshwater fishes, we estimated the ancestral areas of origin for each clade using the species trees obtained from StarBEAST2. Ancestral area estimations 370 371 were performed with the R-package BioGeoBEARS 1.1 (Matzke 2013) using two sets of 372 geographic delimitations based on: (1) paleorivers, and (2) islands. Then, geographic patterns 373 of speciation were recorded as follows: (1) no dispersal, sister species co-occur within the 374 same paleoriver and the same island; (2) dispersal between islands within a paleoriver 375 forming sister species on different islands within the same paleoriver; (3) dispersal between

376 paleorivers within the same island forming sister species on different paleorivers within the 377 same islands; and (4) dispersal between islands and between paleorivers forming sister 378 species on different paleorivers and different islands. Ancestral area estimations involving 379 paleorivers were based on the following geographic areas (Fig. 1): (1) the North Sunda river 380 system, (2) the East Sunda river system, (3) the Malacca Straits river system, (4) the Siam 381 river system, (5) the Bangka-Belitung, (6) the China-South Asia, (7) the Mekong and 382 Irrawaddy river system, (8) the Northern Borneo and (9) the Philippines. Ancestral area 383 estimations involving islands were based on the following areas: (1) Borneo, (2) Java-Bali, 384 (3) Sumatra, (4) Bangka-Belitung, (5) China-South Asia, (6) Mainland Southeast Asia, (7) 385 Philippines, and (8) Sulawesi. The occurrence of each MOTU in the areas were compiled in a 386 presence/absence matrix for each taxon and served as input data together with the species 387 tree. The range distribution of each MOTU from both approaches is provided in Table S2. 388 Ancestral area estimations were then carried out using 6 models: Dispersal-Extinction 389 Cladogenesis (DEC) and DEC+J (Matzke 2014); ML version of Dispersal-Vicariance 390 analysis (DIVALIKE) and DIVALIKE+J; Bayesian biogeographical inference model 391 (BAYAREALIKE) and BAYAREALIKE+J (Van Dam and Matzke 2016). The inclusion of 392 the parameter J has been recently criticized from a conceptual and statistical perspective (Ree 393 and Sanmartín 2018). The formalization of founder-effect speciation as "jump dispersal" has 394 been developed for insular systems to account for divergence of a new lineage in a new 395 geographic area established by colonization without an intermediate widespread ancestor 396 (Clark et al. 2008; Ree and Sanmartín 2018). Considering the biogeographic scenario of 397 Sundaland and the insularity of the system, jumping dispersal cannot be discarded *a priori* 398 from a conceptual perspective and several studies have previously highlighted the importance 399 of founder-effect speciation in insular systems (de Bruyn et al. 2013; Condamine et al. 2015b; 400 Beck et al. 2017). In fact, the Paleoriver Hypothesis predicts that sea level low stands enabled

401 jump dispersal among islands through temporary land bridges. Models of ancestral area
402 estimation including the J parameters were thus considered here and the best-fit model was
403 estimated using the AICc.

404

405 **Results** 

#### 406 Phylogenetic Reconstruction and Species Delimitation

407 A total of 2,211 sequences from 1,511 individuals were mined from GenBank and 408 BOLD, representing 110 nominal species (Table S2). The alignment for the genus Clarias 409 consisted of 5,322 base pairs (bp), including 3,093 bp from the mitochondrial genome (16S, 410 COI and Cytb) and 2,229 bp from the nuclear genome (RAG1, RAG2), for 146 individuals 411 belonging to 13 morphological species. The alignment for the genus Channa comprised of 412 2,856 bp, including 2,076 bp from the mitochondrial genome (16S, COI, Cytb) and 780 bp 413 from the nuclear genome (RAG1), for 891 individuals belonging to 30 morphological species. 414 The alignment for the genera Dermogenys, Hemirhamphodon and Nomorhamphus consisted 415 of 4,109 bp, including 984 bp from the mitochondrial genome (COI, Control Region) and 416 3125 bp from the nuclear genomes (DP5, DP14, DP21, DP35, DP37, HP5, HP54, HPR56), 417 for 266 individuals belonging to 17 morphological species. The alignment for the genus 418 *Glvptothorax* data consisted of 2,776 bp, including 1,869 bp for the mitochondrial genome (COI, Cytb) and 907 bp for the nuclear genome (RAG2), for 208 individuals belonging to 50 419 420 morphological species. All alignments are available in TreeBASE (TB2:S26912). 421 Substitution models for each marker are provided in Table S3 (Online supplementary

material). The four ML phylogenetic reconstructions generally provided well-supported
clades with most internal nodes supported by BP > 80 except within the genus *Channa* (Fig.
2, Fig. S1). For the genus *Clarias*, Asian species constitute a monophyletic group (Fig. 2A,
clades II + III) separated from the African species (Fig. 2A, clade I), with *Clarias gariepinus*

426	(Burchell 1822) as sister to all remaining species. All species are monophyletic except <i>C</i> .
427	nieuhofii Valenciennes 1840, which is recovered as polyphyletic with three distinct lineages
428	(Fig. S1). For the genus Glyptothorax, the inferred tree is imbalanced with continental species
429	constituting a stem group at the root of the tree (Fig. 2B, Fig. S1) and Sundaland species
430	constituting a monophyletic group (Fig. 2B, clade I). All species are monophyletic, except G.
431	platypogonides (Bleeker 1855) and G. fuscus Fowler 1934, which are polyphyletic (Fig. S1).
432	The monophyly of the Zenarchopterid genera Hemirhamphodon (Fig. 2C, clade I)
433	Nomorhamphus (Fig. 2C, clade II), and Dermogenys (Fig. 2C, clade III) is recovered with a
434	sister-relationship between Dermogenys and Nomorhamphus (Fig. 2C, clades II + III). All
435	species are monophyletic, except Nomorhamphus megarrhamphus (Brembach 1982), which
436	is paraphyletic (Fig. S1). For the genus Channa, internal relationships are poorly supported,
437	but four main clades are observed (Fig. 2D, clades I to IV). All Channa species are
438	monophyletic, except Channa gachua (Hamilton 1822), which is polyphyletic and includes at
439	least three lineages distinctly associated to other species, and C. lucius (Cuvier 1831) and C.
440	marulius (Hamilton 1822), which are paraphyletic (Fig. S1).
441	As expected, different species delimitation analyses yielded variable number of
442	MOTUs (Table S2): (1) 47 Clarias using mGMYC, 32 using PTP, 7 using RESL and 24
443	using ABGD, resulting in a consensus of 29 MOTUs, (2) 107 Glyptothorax using mGMYC,
444	58 using PTP, 58 using RESL and 72 using ABGD, resulting in a consensus of 61 MOTUs,
445	(3) 48 Zenarchopteridae using mGMYC, 27 using PTP, 35 using RESL and 49 using ABGD,
446	resulting in a consensus of 43 MOTUs, and (4) 521 Channa using mGMYC, 120 OTUs using
447	PTP, 57 using RESL and 133 using ABGD resulting in a consensus of 120 MOTUs. The
448	consensus delimitation scheme resulted in between 1.22 (Glyptothorax) and 4.00 (Channa)
449	more MOTUs than morphological species (Table S2).

450 The final Bayesian analyses as implemented in StarBEAST2 were performed using an 451 MCMC of length required to reach convergence (ESS > 200). For *Clarias*, two parallel runs 452 were run with a burnin of 65.01 million generations and 15 million generations, respectively, 453 and both runs were concatenated into a 220-million-generation dataset of 44,000 sampled 454 trees. For *Glyptothorax*, three parallel runs were launched with burnins of 5, 10 and 5 million 455 generations, respectively, and then concatenated into a 280-million-generation dataset with 456 56,000 sampled trees. For Channa, four parallel runs were used with burnins of 182.5, 27.52, 457 47 and 43 million generations, respectively, and concatenated into a 700-million-generation 458 dataset with 35,000 sampled trees. For Zenarchopteridae, four parallel runs were used with 459 burnin of 70, 50, 38 and 60 million generations, respectively, and concatenated into a 182-460 million-generation dataset with 18,200 sampled trees. The StarBEAST2 chronograms were 461 largely congruent with the topologies estimated with the ML approach (Fig. S2). The ages of 462 the MRCA vary between clades with a MRCA dated at 7.09 Ma (95% HPD = 4.49-10.31)463 Ma,) for *Clarias*, 7.1 Ma (95% HPD = 4.51-9.54 Ma) for *Glyptothorax*, 12.63 Ma (95% HPD 464 = 8.24-18.68 Ma) for Zenarchopteridae, and 14.35 Ma (95% HPD = 10.54-18.41 Ma) for 465 Channa (Fig S2). For Clarias, the MRCA of the Asian species is dated around 6.37 Ma (95% 466 HPD = 3.99-9.19 Ma) and the Zenarchopteridae genera are dated around 9.88 Ma (95% HPD 467 = 6.02-14.70 Ma) for *Hemirhamphodon*, 5.88 Ma (95% HPD = 4.02-8.09 Ma) for 468 Dermogenys, and 3.90 Ma (95% HPD = 2.14-5.94 Ma) for Nomorhamphus.

469

# 470 Diversification Rates

471 Most of the MOTUs delineated in the consensus scheme originated in the Pleistocene 472 with divergence time estimates younger than 2.5 Myr (Fig. 3). More than half (53%) of the 473 morphological species and 88% MOTUs are younger than 2.5 Myr (Fig. 3A). The LTT plots 474 indicate that 84% of extant fish diversity occurred during the Pleistocene. On Sundaland,

475 Pleistocene speciation peaks during sea level low stands with 88% of speciation events 476 inferred during the last 2.5 million years and 76% during the last 1.5 million years. This peak 477 of Pleistocene speciation is observed for a wide range of clock rates, including some of the 478 slowest clock rate hypothesis with 72% and 57% of speciation events during the last 2.5 and 479 1.5 Myr, respectively, for the 0.6% per million years (Fig. S3). Faster rates yielded a higher 480 percentage of young MOTUs. The 1.6% per Myr rate yielded 93% and 83% of MOTUs 481 younger than 2.5 and 1.5 Myr, respectively. The only exception was observed for the 0.4% 482 per million years with 60% and 38% of the MOTUs younger than 2.5 and 1.5 Myr, 483 respectively.

484 Likelihood scores and AIC<sub>w</sub> for 17 diversification models indicate clade-specific 485 patterns of diversification (Table S4). For *Clarias* and *Glyptothorax*, the constant-rate 486 speciation model is the most likely according to AIC<sub>o</sub>, respectively 0.267 and 0.212, with 487 high speciation rates ( $\lambda$ ) of 0.4556 and 0.5444, respectively. For Zenarchopteridae, three 488 models are equally likely, including: (1) the time-dependent speciation model (AIC $\omega$  = 0.145, 489  $\lambda_0 = 0.432$ ) with a speciation increasing through time ( $\alpha = -0.0971$ ); (2) the constant-rate 490 speciation model (AIC $\omega$  = 0.119,  $\lambda_0$  = 0.3316); and (3) the temperature-dependent speciation 491 model (AIC $\omega$  = 0.116,  $\lambda_0$  = 0.5132) with speciation increasing as temperatures cooled ( $\alpha$  = -492 0.1444). While diversification patterns of the previous three groups show no dependency on 493 sea-level eustasy, the most likely model for Channa diversification through time is the sealevel-dependent speciation model (AIC $\omega$  = 0.415,  $\lambda_0$  = 0.2279) with speciation increasing as 494 495 sea level dropped ( $\alpha = -0.0182$ ). These clade-dependent diversification patterns are reflected 496 by the heterogeneous trends of speciation rates through time for the four lineages (Fig. 3B), 497 including constant speciation rates through time for *Glyptothorax* and *Clarias*, a sea-level 498 dependent speciation rate for *Channa*, and a time-dependent speciation rate for 499 Zenarchopteridae. The dependency of Channa diversification on sea level eustasy was

recovered for all clock rates except for the two slowest clocks (Table S5). The most likely model for the 0.4% per Myr rate includes a positive relationship between speciation and sea level, speciation increased as sea level increased ( $\alpha = 0.0119$ ). The most likely model for the 0.6% per Myr clock includes a positive relationship between extinction rates and sea levels eustasy, extinction increased when sea level increased ( $\beta = 0.0351$ ).

505

# 506 Geography of Diversification

507 Ancestral area estimations with models incorporating the J parameter were more likely 508 for all groups on islands or paleorivers than models lacking that parameter (Table S6). The 509 DEC+J model is the most likely and was further used in subsequent analyses. Most 510 colonization was initiated before the Pleistocene and in Borneo (the North Sunda and East 511 Sunda paleoriver systems, Fig. 4), while several subsequent colonizations happened through 512 Sumatra, and Java is typically the last island colonized. This pattern was observed within 513 Clarias, with a first vicariance event inferred between Borneo and the Southeast Asian 514 mainland at 6.37 Ma (95% HPD = 3.99-9.19 Ma) and associated with the first split in the 515 Asian *Clarias* (Fig. 4A, clade II vs clade III), the colonization of Sumatra happening 516 subsequently twice from Borneo (Fig. 4A, clade III). The same pattern is observed for 517 Glyptothorax with a vicariance inferred between Borneo and mainland around 3.64 Ma (95% 518 HPD = 2.34-5.17 Ma; Fig. 4B, clade I). Different patterns are observed for Zenarchopteridae 519 (Fig. 4C) and Channa (Fig. 4D), indicating that the islands of Sundaland were colonized 520 multiple times.

Most speciation events are inferred to happen within islands, as exemplified by the diversification of three of the four lineages (*Clarias*, *Glyptothorax* and Zenarchopteridae) in Borneo and Sumatra (Figs. 4A, 4B and 4C) or Java for *Channa* (Fig. 4D). The same trend is observed for paleorivers; most speciation events are inferred to occur within them (Fig. 4).

525 Four major geographic patterns of speciation were identified: (1) sister species occupy the 526 same paleoriver on the same island, e.g. Glyptothorax platypogon 1-4 from Java (East Sunda 527 River System), (2) sister species occupy the same paleoriver on different islands, *e.g.* 528 Glyptothorax robustus (Boeseman 1966) 1-4 from Java and Sumatra (East Sunda River 529 System), (3) sister species occupy different paleorivers within the same island, as in G. 530 amnestus (North Sunda) and G. fuscus 4 (Malacca Strait), and (4) sister species occupy 531 different islands and different paleorivers e.g. Clarias pseudoleiacanthus Sudarto, Teugels 532 and Pouyaud 2003 (North Sunda in Borneo) and C. leiacanthus Bleeker 1851 (Malacca Strait 533 in Sumatra).

534 In total, 66.3 % of the inferred speciation events involve dispersal either between 535 islands or between paleorivers (Table 2). In Sundaland, 59.2% of speciation events occurred 536 within island (40.8% between islands) while 39.8% of speciation events occurred within 537 paleorivers (60.2% between paleorivers). These trends are observed for all groups except 538 Zenarchopteridae, in which speciation events within islands represent 81.7% and speciation 539 within paleorivers 63.6% (Table 2). The proportion of speciation events within or between 540 islands is stable through time for speciation resulting from dispersal between paleorivers (Fig. 541 5A). However, this pattern was not observed for Zenarchopteridae (Fig. 5B), where speciation 542 was primarily between islands. In *Glyptothorax*, most speciation events between paleorivers 543 involve dispersal between islands (Fig. 5E). Within paleorivers, speciation events involving 544 dispersal between islands are scarce for all groups (Figs. 5 B, C, D, E).

545

#### 546 **DISCUSSION**

#### 547 Diversification of Southeast Asian Aquatic Biotas

548The "Late Pleistocene Hypothesis" (Barraclough and Nee 2001; Wiens and Donoghue5492004; Mittelbach et al. 2007; Beck et al. 2017) and the "Pleistocene Species Pump

550 Hypothesis" (Esselstyn and Brown 2009; Brown et al. 2013; Papadopoulou and Knowles 551 2015a, 2015b; Li and Li 2018) suggest that sea-level fluctuations accelerated diversification 552 of insular biotas. However, we found poor support for these hypotheses and signature of sea-553 level fluctuations on diversification was apparent in only one of the four fish groups studied 554 here. The genus *Channa* is the only group in which diversification rate was associated with 555 sea-level fluctuations: its speciation rate increased as sea level dropped. This translates into 556 increasing speciation rates through time since sea levels generally decreased through the 557 Pleistocene (Miller et al. 2005). Hence, the genus *Channa* had the highest speciation rate of 558 all studied taxa (Fig. 3B). The superior dispersal ability and environmental adaptability of 559 *Channa* compared to the other taxa may enable them to colonize many different types of 560 environments. With the closure of dispersal routes between islands during sea level 561 highstands, gene flow was reduced, thereby increasing the probability of divergence between 562 populations, eventually leading to speciation. Such speciation events likely occurred 563 repeatedly over short time intervals (glaciation periods). Hence, the genus *Channa* is the only 564 taxon we studied that supports the "Pleistocene Species Pump" hypothesis. 565 On the contrary, the diversification of the other clades does not support this 566 hypothesis. Both silurid groups (Clarias and Glyptothorax) diversified at a constant rate 567 despite different life history strategies. *Glyptothorax* had a higher speciation rate than *Clarias*, 568 which might be related to the tendency of *Glyptothorax* to occupy hilly streams that are more 569 isolated (Hutama et al. 2017). The dispersal abilities of *Clarias* likely limited allopatric 570 speciation. Such habitat specificity for Glyptothorax lineages could have (1) inhibited inter-571 island dispersal during low seastand since the freshwater corridors between islands were 572 unsuitable, as well as (2) facilitated isolation and *in situ* diversification due to restricted gene 573 flow (Hubert et al. 2015; Hutama et al. 2017), hence generating high, constant speciation. In

the same way, none of the diversification trends for Zenarchopteridae were directly related tosea-level fluctuations.

576 Diversification trends show no tendency to decline following a diversity-dependent 577 diversification (DDD) model (Seehausen 2007; Rabosky and Lovette 2008; Seehausen et al. 578 2008). The DDD model is based on the assumption that biotas are bounded by the carrying 579 capacities of the environment, either in terms of habitat diversity (Kisel et al., 2011; 580 Phillimore & Price, 2008; Schluter, 2000) or the total number of individuals that can be 581 sustained (Alonso et al., 2006; Hubbell, 2001). This model predicts that diversification rates 582 decelerate through time as a consequence of (1) declining speciation rates due to the 583 increasing occupation of available niches by proliferating species (Schluter 2000; Gavrilets 584 and Vose 2006; Hubert et al. 2015), or (2) increasing extinction rate through time over 585 constant speciation rate as a consequence of the increasing importance of stochastic 586 demographic dynamics within proliferating species, which are presumed to have reduced 587 population sizes compared to their parental species (Alonso et al. 2006; Rabosky and Lovette 588 2008; Hubert et al. 2015). Here, however, we found no trend for declining diversification 589 rates in any taxa. Two of the four clades have rates that increase through time (Fig. 3B). The 590 high diversity of freshwater habitats in Sundaland likely provides a diversity of niches to 591 sustain elevated and/or constant diversification. Water chemistry is widely diverse in tropical 592 systems (Guyot 1993) and Sundaland is no exception, with water types ranging from clear 593 and alkaline waters in karsts (Clements et al. 2006) and turbid sediment-rich waters of the 594 floodplains to the humic acid-rich waters of the peat swamps (Ng et al. 1994; Giam et al. 595 2012). The large number of fish MOTUs distributed among multiple paleorivers and sister 596 MOTUs diversifying within the same paleoriver seems consistent with the existence of such 597 high diversity of habitat/niche diversity within paleorivers. However, documented examples 598 of ecological speciation within freshwater rivers are scarce (Sullivan et al. 2002; Nolte et al.

599 2005; Alter et al. 2017). Most cases have been discovered in ancient lakes or other lentic 600 water bodies (Nagel and Schluter 1998; Verheyen et al. 2003; Barluenga and Meyer 2004; 601 Herder et al. 2006; Landry et al. 2007). Zenarchopteridae and Clarias species are distributed 602 across a wide range of aquatic habitats, with some Clarias and Hemirhamphodon species 603 occupying acidic waters of peat swamps while other *Clarias* and *Dermogenys* species occupy 604 clear waters and floodplains (Pouyaud et al. 2009; Lim et al. 2016; Nurul Farhana et al. 2018). 605 However, inspection of the phylogenies reconstructed here suggests that transitions between 606 different types of aquatic habitats are scarce; peat swamp and floodplain-associated species of 607 Clarias grouped in distinct clades. This trend suggests that adaptive divergence across 608 freshwater habitats is limited in the groups studied here. By contrast, landscape fragmentation 609 by geology has been widely document in the area (Nguyen et al. 2008; Lim et al. 2016; 610 Dahruddin et al. 2017; Hutama et al. 2017; Nurul Farhana et al. 2018; Hubert et al. 2019). 611 Habitat diversity within paleorivers might be expected to have interacted with the 612 availability of dispersal routes among paleorivers, which was affected by sea-level 613 fluctuations (Brown et al. 2013). Similar trends have also been reported for the Pleistocene 614 Aggregate Island Complex (PAIC) model in the Philippines archipelago. Brown et al (Brown 615 et al. 2013) suggested an alternative "nested PAIC model" considering the interplay of the 616 external sea level fluctuations causing cycles of island connection-isolation and changing 617 local habitat diversity within an island. Due to both its paleoriver and archipelagic terrain, 618 Sundaland is likely comparable to the Philippines with extensive and heterogeneous 619 freshwater habitats (Brown et al., 2013; Esselstyn & Brown, 2009; Papadopoulou & Knowles, 620 2015b, 2015a). Frequent regional intermediate disturbance associated with PCF likely 621 impacted biotic interactions among Southeast Asian freshwater fish species and probably 622 triggered a reshuffling of their ecological communities, which may explain the higher species 623 richness than expected under a DDD model.

624

#### 625 Diversification Mostly Occurred Within Islands and Paleorivers

626 Our ancestral area estimations demonstrate that diversification frequently resulted 627 from long-distance dispersal followed by in situ diversification (founder-effect speciation) as 628 suggested by the high likelihood of the models with the J parameter (Matzke 2014). This 629 pattern has been previously proposed through detection of trans-island dispersal of freshwater 630 fishes (Dodson et al. 1995; Pouyaud et al. 2009; Adamson et al. 2010; Tan et al. 2012; Tan 631 and Lim 2013; de Bruyn et al. 2013; Hubert et al. 2015, 2019; Lim et al. 2016; Beck et al. 632 2017; Dahruddin et al. 2017; Hutama et al. 2017; Nurul Farhana et al. 2018). The 633 establishment of taxa from mainland Asia during the Miocene and Pliocene supports the pre-634 Pleistocene colonization hypothesis (Dodson et al. 1995; de Bruyn et al. 2013; Hendriks et al. 635 2019). These results agree that regional shallow seas only started to dry out during the 636 Pliocene, offering the possibility for dispersal of freshwater ancestors from mainland Asia. 637 During these periods, Borneo was certainly more connected to mainland Southeast Asia than 638 Sumatra and Java (Figs. 1B & 1C), and Borneo probably played a role in the initial diversity 639 build-up of Sundaland biotas (de Bruyn et al. 2013, 2014). From our inferences, Sumatra and 640 Java were first colonized from Borneo around 2.96 Ma via the North Sunda river system and 641 around 1.18 Ma via the East Sunda river system, respectively. Thus, our study reinforces the 642 conclusion of De Bruyn et al. (2014) that Borneo was the origin of most insular Sundaland 643 freshwater fish diversity.

Although the fish lineages we studied were present in Sundaland before the
Pleistocene, most of the MOTUs delimited here result from Pleistocene diversification events
that are expected to be affected by PCF (Esselstyn et al. 2009). Looking at the geography of
speciation for each group, we estimated that speciation of *Clarias* and *Channa* involved more
dispersal events compared to *Glyptothorax* and Zenarchopteridae, probably due to higher

649 dispersal ability (Kottelat et al. 1993; Berra 2001; Downing Meisner 2001; Pouyaud et al. 650 2009; Adamson et al. 2010; Jiang et al. 2011; Tan and Lim 2013; Serrao et al. 2014; Lim et 651 al. 2016; Ng and Kottelat 2016; Conte-Grand et al. 2017; Hutama et al. 2017; Nurul Farhana 652 et al. 2018). The dispersal patterns and absence of decline in the diversification rates suggest 653 that land bridges and the subsequent Pleistocene eustatic fluctuations drove further in situ 654 diversification with the interplay of the insular and paleoriver watersheds boundaries, as well 655 as habitat heterogeneity, which likely conditioned dispersal. Episodes of sea level rise during 656 interglacial periods might be expected to have fragmented Sundaland. Rising seas created 657 refugial insular areas in Borneo, Sumatra and Java. These saltwater barriers may have driven 658 further within-island diversification, explaining the numerous *in situ* diversification events 659 after long-distance dispersals in each of the four lineages. Yet, nearly half of Sundaland 660 Pleistocene speciation events involve dispersal between different islands. One possible 661 explanation is to consider the restored interconnectivity of both Sundaland land bridges and 662 paleoriver systems during Pleistocene glacial periods. Considering cooler and drier climate 663 during Pleistocene glaciations, it has been proposed that savanna and seasonal forest corridors 664 expanded through the interior of Sundaland, notably in East Sunda (Heaney 1992; Bird et al. 665 2005). Such inter-island bridges might be not easily penetrable by evergreen forest-dependent 666 taxa (Heaney 1992; Gorog et al. 2004; Bird et al. 2005; Pouyaud et al. 2009; Wurster et al. 667 2019). For example, despite its exemplary ability to disperse, *Clarias* was more likely to 668 speciate within an island (55.6%) than between islands (44.4%), which is supported by the 669 general phylogenetic division of the genus between black-water lineages and white-clear 670 water lineages. Black water lineages of *Clarias* are unlikely to switch habitats, inhibiting 671 them to easily penetrate freshwater habitats within the savanna/seasonal forest corridors 672 during glaciation periods (Pouyaud et al. 2009). Zenarchopteridae was the lineage least likely to disperse between islands (18.3 %), most probably due to the poor dispersal ability of these 673

674 mostly ovoviviparous fishes (de Bruyn et al. 2013). Inter-island dispersal seems to be 675 correlated not only with dispersal ability, but also with habitat specificity (Heaney 2007). 676 The substantial proportion of founder-effect speciation between islands coupled with 677 dispersal between paleoriver systems and of speciation between paleorivers argues in favor of 678 the importance of habitat heterogeneity/diversity in the diversification of Sundaland 679 freshwater fishes. If paleoriver systems act as dispersal channels, within or between islands, 680 one can also argue that the borders of both paleorivers and insular systems might not be as 681 clearly delineated as previously supposed, due to biological aspects including the difference 682 in vegetation cover and/or physical aspects such as island geomorphology (Pouyaud et al. 683 2009; Brown et al. 2013; Hutama et al. 2017). The Bangka-Belitung islands are located at the 684 boundary between North Sunda and East Sunda river systems. We found no clear signal of its 685 affiliation with either of the two paleorivers. Similarly, borders between the two river systems 686 also could not be recovered strictly by biogeographic estimates in Lampung (eastern Sumatra) 687 and the southwestern part of Borneo, probably because the topography of these lowlands 688 facilitates gene flow between them. Notable examples for the indistinct boundaries between 689 the North Sunda and East Sunda paleorivers are exemplified by: (1) the existence of sister 690 lineages of G. platypogonides 1 and G. stibaros (Borneo), (2) dispersal during cladogenesis 691 between the G. pictus and G. major groups in Borneo, and (3) the trans-paleoriver 692 distributions of *Clarias leiacanthus* (Sumatra) and *C. meladerma* 1 (Sumatra and Borneo). 693 The physical island geomorphology could also contribute to re-arrangements of paleoriver 694 watersheds limits through headwater capture events among paleorivers through time. The 695 Bornean part of the North Sunda and East Sunda river systems share the same headwater area 696 in the center of the island.

Habitat fragmentation by mountainous terrain has been identified as a major
geomorphological driver of *in situ* diversification in Java resulting in abundant cryptic

699 diversity (Dahruddin et al. 2017; Hutama et al. 2017; Hubert et al. 2019). The existence of a 700 single paleoriver system may have driven ancestral lineages on the island to diversify further 701 within isolated pockets of riparian environment. This suggests that extant freshwater fish 702 diversity in Java resulted from high rampant in situ diversification rather than immigration 703 from elsewhere (Nguyen et al. 2008; Pouyaud et al. 2009; Hubert et al. 2015, 2019; Kusuma 704 et al. 2016; Dahruddin et al. 2017; Hutama et al. 2017). In situ diversification within islands 705 are found in all lineages studied here (e.g. *Glyptothorax platypogon*, *G. robustus*, 706 Dermogenvs pusilla, and Channa gachua; Fig. 4, Fig. S2, Table S2).

707

# 708 Robustness of the Inferences, Limits and Perspectives

709 For all four taxa under study, we found that tree topologies are congruent with 710 previously published phylogenetic hypotheses (Pouyaud et al. 2009; Jiang et al. 2011; de 711 Bruyn et al. 2013; Conte-Grand et al. 2017). Both ML and Bayesian analyses retrieved similar 712 and robust topologies, and each genus was found to be monophyletic, including the three 713 genera of Zenarchopteridae, with Nomorhamphus being the sister group of Dermogenys and 714 *Nomorhamphus* + *Dermogenys* as the sister group of *Hemirhamphodon*, supporting Meisner 715 (2001) and Lovejoy et al. (2004). Minor differences concern the monophyly of Dermogenys, 716 well supported now, contrary to previous studies (de Bruyn et al. 2013), and likely resulting 717 from the incorporation of multiple outgroups here. For *Clarias*, our analysis supports the 718 monophyly of Asian Clarias as previously suggested (Pouyaud et al. 2009). By contrast, ML 719 phylogenetic reconstruction (Fig. 2A, Fig. S1) and the Bayesian species tree (Fig. 4A, Fig. 720 S2) support the reciprocal monophyly of black water species (Clade II) and non black-water 721 species (Clade III). Only Clarias microstomus Ng 2001 and C. planiceps Ng 1999 departed 722 from this general trend. For *Glyptothorax*, differences with the reference phylogeny (Jiang et 723 al. 2011) are only due to a recent revision of Sundaland *Glyptothorax* species that led to the

revision and description of new taxa (Ng and Kottelat 2016), that have been incorporated here 724 725 as well as the addition of supplementary sequences (Hutama et al. 2017). Our findings are 726 consistent with the occurrence of two distinct clades in Sundaland (Fig. 2B, Fig. 4B, Fig. S1-727 S2) as suggested earlier (Jiang et al. 2011). Finally, the species tree of *Channa* recovered here 728 is consistent with the eight species groups previously recognized (Rüber et al. 2019). Our 729 results differ from previous studies in placing the C. punctata group and C. gachua group in 730 early-diverging position instead of the C. micropeltes group and C. lucius group (Conte-731 Grand et al. 2017; Rüber et al. 2019). 732 At the MOTUs level, several nominal species are not monophyletic, which is probably 733 due to practical taxonomic limitations in which different lineages with no apparent 734 morphological differences might have been lumped together into the same nominal species as 735 in the polyphyletic *Clarias nieuhofii* (as previously observed by Pouyaud et al. 2009), 736 *Glyptothorax platypogonides* and *Channa gachua*, as well as the paraphyletic *Nomorhamphus* 737 megarrhamphus or several *Glyptothorax* species (Jiang et al. 2011; Ng and Kottelat 2016). 738 These results are consistent with recent findings about the existence of high levels of cryptic 739 diversity among Sundaland freshwater fishes (Nguyen et al. 2008; Pouyaud et al. 2009; 740 Hubert et al. 2015, 2019; Conte-Grand et al. 2017; Dahruddin et al. 2017; Hutama et al. 2017; 741 Sholihah et al. 2020) which further points to the necessity of using genetic delimitation 742 methods for subsequent macroevolutionary analyses of complex biotas (Esselstyn et al. 2009; 743 Patel et al. 2011; Ruane et al. 2014; Hubert et al. 2015, 2019; Hutama et al. 2017). The 744 remarkable number of MOTUs recovered from nominal species (252 MOTUs vs. 110 745 morphological species) calls for more detailed taxonomic works on these taxa (Pouyaud et al. 746 2009; Hutama et al. 2017). 747 The divergence times we estimated using a molecular clock approach agree with

748 (*Hemirhamphodon*, *Dermogenys*, *Nomorhamphus*) or are younger than (*Clarias* and *Channa*)

749	previous estimates based on geological and fossil calibrations. We also provided the first
750	time-calibrated phylogeny for Glyptothorax. We found similar divergence times for
751	<i>Hemirhamphodon</i> (9.88 Ma, 95% HPD = 6.02-14.70 Ma, vs. 11.2 Ma, 95% HPD = 6.7-16
752	Ma; de Bruyn et al. 2013) and for the <i>Dermogenys</i> + <i>Nomorhamphus</i> clade (6.28 Ma, 95%
753	HPD = 4.28-8.66 Ma, vs. 13.3 Ma, 95% HPD = 8-18 Ma; de Bruyn et al. 2013). Younger
754	divergence estimates are found in Clarias and Channa: we estimated the Asian Clarias clade
755	to be 6.37 Ma (95% HPD = 3.99-9.19 Ma) while Pouyaud et al. (2009) dated the divergence
756	at 33.4 Ma. In the same way, we found a younger divergence time for Channa (14.35 Ma,
757	95% HPD = 10.54-18.41 Ma) than a previous estimate (28 Ma, 95% HPD = 24-32 Ma; Rüber
758	et al. 2020). A potential cause of these discrepancies is likely our use of a mixed
759	coalescent/diversification model here for the Bayesian species trees reconstruction that
760	accounts for the heterogeneity of absolute substitution rates within and between species (Ho
761	and Larson 2006). Within species, clock rates can be considerably higher than between
762	species because observed substitution rates are close to mutation rates, genetic drift being
763	later responsible for the loss of haplotypes leading to stationary substitution rates that are
764	most commonly used in phylogenetic reconstructions and molecular age estimates (Ho and
765	Larson 2006). Along the same lines, the use of alternative clock rates moderately impacted
766	our main results: (1) most MOTUs originated during the Pleistocene regardless of the clock
767	rate used; (2) diversification model selection was consistent across a wide range of clock
768	rates. Furthermore, this study is based on genetic recognition of MOTUs instead of
769	morphological species; the high proportion of cryptic diversity found here was not properly
770	accounted for by the previous phylogenetic reconstructions (Pouyaud et al. 2009; de Bruyn et
771	al. 2013). It can be expected that such a difference in taxon sampling has impacted absolute
772	age estimates. The general concordance in the distribution through time of MOTUs age

among taxa with different life history traits and origins argue in favor of the robustness of theage estimates established here.

775 Finally, several issues can be highlighted that warrant further studies. First, although 776 the samples generally cover a broad range of localities around Sundaland, we identify 777 sampling gaps in large areas, including Sumatra for all taxa and in Peninsular Malaysia for 778 *Clarias.* Second, lack of COI sequences deposited in BOLD limited the application of RESL 779 algorithm and designation of Barcode Index Numbers (BINs) for older datasets (Clarias and 780 *Channa*). While there was no significant challenge from missing BINs during species 781 delimitation, more complete BIN would increase confidence in the species delimitation 782 results. The subfamily Rasborinae (Brittan 1972; Sholihah et al. 2020) could be an additional 783 biological model to assess the evolutionary history of Sundaland freshwater fishes. It has 784 multiple species-rich genera of small size (Liao et al. 2011; Tan and Armbruster 2018). 785 Lastly, the constant diversification rates through time inferred for two genera might result 786 from inadequate sampling. It has also been suggested that the DDD model might be biased toward spurious detection of early explosive speciation dynamics resulting from the 787 788 underestimation of the actual number of evolutionary lineages created by taxonomic and 789 sampling bias (Barraclough and Nee 2001; Rabosky and Lovette 2008). Alternatively, 790 constant diversification rates have been a recurrent findings in tropical biomes (Esselstyn et 791 al. 2009; Patel et al. 2011). In this case, we have emphasized our effort to avoid clade-specific 792 taxonomic hypotheses (Esselstyn et al. 2009) by utilizing species delimitation methods for all clades. We have detected numerous cryptic lineages in all taxa which might explain the 793 794 constant diversification rate found here, as proposed by Patel et al. (2011) to explain the 795 constant diversification rates of Neotropical Pteroglossus.

796

797 CONCLUSIONS

798 Our results indicate that the diversification and biogeography of freshwater fishes on 799 Sundaland are not solely dependent on Pleistocene sea-level fluctuations and associated 800 paleoriver systems, but are also affected by: (1) pre-Pleistocene geological history of 801 Sundaland, both at the origin of the development of paleoriver systems and responsible for 802 ancient speciation events among paleorivers; (2) the idiosyncratic effects of island boundaries 803 and the extent of paleorivers on diversification in each system; (3) ecology of the 804 paleoenvironments (Heaney 2007) and the (re)emerged paleorivers that were running through 805 the land bridges of Sundaland through the Pleistocene (Heaney 1992; Bird et al. 2005; Slik et 806 al. 2011); (4) the geomorphology of emerged and currently submerged Sundaland land 807 masses, creating indistinct borders among paleoriver systems; and (5) different evolutionary 808 responses of different groups with specific life history traits. Furthermore, it has been 809 suggested recently that the subsidence of Sundaland may have triggered the merge of 810 Sundaland landmasses during glacial times only 400,000 years ago, implying that eustatic 811 fluctuations prior to that period only marginally impacted the extent of emerged lands 812 (Husson et al. 2020). Although, not in line with the initial framework used here for testing the 813 Paleoriver Hypothesis, our observations concerning the significant effect of pre-Pleistocene 814 geological settlement and paleorivers arrangement on biodiversity are in agreement with this 815 recent finding. Finally, our findings propose new perspectives on the biogeography of 816 Sundaland freshwater biotas and open new questions about the interplay between geology and 817 paleoecology during dispersal and colonization of Sundaland islands. Riverine organisms 818 have constrained dispersal routes, whereas terrestrial organisms do not. As such, our studies 819 warrant new researches on the biogeography of terrestrial rainforest biotas of Sundaland. 820

### 821 ACKNOWLEDGEMENTS

822	This paper is part of the doctoral study of AS, funded by a full scholarship of the
823	Indonesian Endowment Fund for Education (LPDP) from the Indonesian Ministry of Finance
824	The authors thank Hari Sutrisno and Cahyo Rahmadi as well as the staff of the Zoology
825	division of the Research Centre for Biology (Indonesian Institute of Sciences) for hosting AS
826	during the first year of her PhD. We thank David J. Lohman and one anonymous reviewer for
827	the relevant comments on earlier versions of the manuscript. This publication is ISEM
828	publication number 2020-230 SUD.
829	
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#### 1230 FIGURE AND TABLE CAPTIONS

1232 levels and associated paleorivers. Reconstructions of historical land and sea distribution

1

1233 during the Neogene, depicting Sundaland during the early Miocene (A), late Miocene (B) and

Figure 1. Paleogeographic maps of Sundaland in the last 20 million years Pleistocene sea

1234 early Pliocene (C) (modified from Lohman et al. 2011). The Paleoriver systems in Southeast

1235 Asia are shown (D), depicting East Sunda (1), North Sunda (2), Malacca strait (3), Siam (4)

1236 river systems of Sundaland and the Mekong river system of IndoBurma. Lands exposed

1237 during -60 and -120m sea level decreases are illustrated in D. The cumulative number of

sampling locations for each group within the paleoriver systems is provided (E) with color

1239 codes as follows: orange for *Clarias*, green for *Glyptothorax*, light blue for Zenarchopteridae

1240 and rose for *Channa*; and grey circles indicate that no sample was obtained from those areas.

1241

Figure 2. Maximum likelihood trees and species delimitation for (A) *Clarias*, (B) *Glyptothorax*, (C) Zenarchopteridae and (D-E) *Channa*. For each clade, MOTUs delimited according to GMYC, PTP, BIN and ABGD (yellow), and the 50 percent consensus scheme of delimitation (red) are shown. Node circles represent bootstrap proportions and nodes numbering corresponds with the calibration nodes in Table 1. Major clades are labeled on theright.

1248

1249 Figure 3. Diversification through time of Sundaland freshwater fishes. Panel A shows the 1250 lineages through time (LTT) plot with confidence intervals collected from 1000 randomly 1251 sampled trees along the StarBEAST2 MCMC, superimposed with the total number of 1252 nominal species and MOTUs through time (0.5 Myr class). Panel B shows the speciation rate 1253 through time for each group, based on the most likely model of diversification (constant for 1254 Clarias and Glyptothorax, time-exponential for Zenarchopteridae, sea level-dependent for 1255 Channa). Panel C shows the sea level fluctuations over the last 15 Myr (adapted from Miller 1256 et al. 2005).

1257

Figure 4. Panels a to d show the Bayesian maximum credibility trees for (A) *Clarias*, (B) *Glyptothorax*, (C) Zenarchopteridae and (D) *Channa* as well as the ancestral area reconstructions for each group, based on islands (left) and paleorivers (right). Panels E to F show exemplar specimens for (E) *Clarias*, (F) *Glyptothorax*, (G) Zenarchopteridae and (H) *Channa* with their relative size (scale bar = 1 cm).

1263

Figure 5. Geographic pattern of speciation in Southeast Asian freshwater fishes. The plots show the numbers of speciation events associated to the four geographic patterns of speciation as follows: between islands and within paleorivers, between islands and between paleorivers, within islands and within paleorivers, and within islands and between paleorivers. Each bar shows the number of speciation events for each category for (A) all groups (cumulative), (B) Zenarchopteridae, (C) *Channa*, (D) *Clarias* and (E) *Glyptothorax*.

Table 1. Reference nodes and associated time calibrations for each group. Node numbering is
as in Figure 2. Age estimates result from the Bayesian StarBEAST2 analyses and parameter
distributions represent median age, 5 percent and 95 percent quantiles for each reference
nodes

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Table 2. Summary statistics of geographic patterns of speciation events for *Clarias*, *Glyptothorax*, Zenarchopteridae and *Channa* inferred using ancestral area estimation by
BioGeoBEARS.

1279

#### 1280 SUPPLEMENTARY MATERIALS

1281 Figure S1. Maximum Likelihood trees for *Clarias* (A), *Glyptothorax* (B), *Beloniformes* (C)

and *Channa* (D) including tip labels as in Table S2 (online supplementary material)

1283

1284 Figure S2. StarBEAST2 species trees for *Clarias* (A), *Glyptothorax* (B), Beloniformes (C)

and *Channa* (D) including tip labels as in Table S2 (online supplementary material)

1286

Figure S3. Lineage-through time plots (A) and species age distributions (B) according to varying substitution rate hypotheses (clock rates ranging from 0.4 to 1.8 % divergence per million years) for *Clarias*, *Glyptothorax*, Beloniformes and *Channa* 

1290

Table S1. Description of the 17 diversification models tested for *Clarias*, *Glyptothorax*,
Beloniformes and *Channa*, including acronym, rate variation, number of parameters and
parameters involved (see material and methods section for details)

- Table S2. List of the DNA sequences compiled in the present study including systematic (order, family, genus, species and updated species names), specimen number assigned, locality, geographic distribution (locality, island-based and palaeodrainage-based), GenBank accession numbers, DNA-based species delimitation (GMYC, PTP, BIN, ABGD), OTU numbers and phylogenetic status (ingroup, outgroup)
- 1300

Table S3. Most likely substitution models for each molecular marker within each group,selected using jModelTest

- 1303
- Table S4. Likelihood scores for each diversification model for each group. Description ofeach model can be found in Table S1
- Table S5. Likelihood scores for each diversification model for each of the seven clock ratehypotheses (0.4% to 1.6% per million years) for *Channa*.
- 1308
- 1309 Table S6. Log likelihood scores and Akaike Information Criterion values for DEC, DEC+J,

1310 DIV, DIV+J, BAY and BAY+J as calculated using BioGeoBEARS for island-based and

1311 paleoriver-based geographic areas



Fig. 1



Fig. 2



Fig. 3





