

Functional Heterogeneity of Mammalian IFITM Proteins against HIV-1

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5	Federico Marziali, Mathilde Delpeuch, Anuj Kumar, Romain Appourchaux, Jérémy Dufloo ¹ ,
6	Kevin Tartour ² , Lucie Etienne, Andrea Cimarelli§
7	
8	Centre International de Recherche en Infectiologie (CIRI)
9	Univ Lyon,
10	Inserm, U1111,
11	Université Claude Bernard Lyon 1,
12	CNRS, UMR5308,
13	ENS de Lyon,
14	46 Allée d'Italie-69007 Lyon-France
15	
16	
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18	
19	1. Present address: Institut Pasteur, Paris, France
20	2. Present address: IGFL, Lyon, France
21	
22	§ Correspondent footnote: correspondence should be addressed to Andrea Cimarelli, CIRI,
23	46 Allée d'Italie, 69364 Lyon, France. E-mail: acimarel@ens-lyon.fr
24	
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27 ABSTRACT

IFITMs are a family of interferon-inducible proteins that inhibit a broad range of viruses by
interfering with viral to cellular membrane fusion. The antiviral activity of IFITMs is highly
regulated by several post-translational modifications and by a number of protein domains
that modulate steady-state protein levels, trafficking and antiviral effectiveness.

Taking advantage of the natural diversity existing among IFITMs of different animal species, we have compared twenty-one IFITMs for their ability to inhibit HIV-1 at two steps: during virus entry into cells (target cell protection) and during the production of novel virion particles (negative imprinting of virion particles' infectivity).

We found a high functional heterogeneity among IFITM homologs with respect to both 36 antiviral modalities, with IFITM members that exhibit enhanced viral inhibition, while others 37 have no ability to block HIV-1. These differences could not be ascribed to known regulatory 38 domains and could only be partially explained through differential protein stability, implying 39 the existence of additional mechanisms. Through the use of chimeras between active and 40 inactive IFITMs, we demonstrate that the cross-talk between distinct domains of IFITMs is 41 42 an important contributor of their antiviral potency. Finally, we identified murine IFITMs as natural variants competent for target cell protection, but not for negative imprinting of virion 43 particles' infectivity, suggesting that the two properties may, at least in principle, be 44 uncoupled. 45

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50 AUTHOR SUMMARY

51 IFITMs are broad viral inhibitors capable of interfering with both early and late phases of the replicative cycle of many different viruses. By comparing twenty-one IFITM proteins issued 52 from different animal species for their ability to inhibit HIV-1, we have identified several 53 that exhibit either enhanced or impaired antiviral behavior. This functional diversity is not 54 driven by differences in known domains and can only be partly explained through differential 55 protein stability. Chimeras between active and inactive IFITMs point to the cross-talk 56 between individual IFITM domains as important for optimal antiviral activity. Finally, we 57 show that murine IFITMs are not capable of decreasing the infectivity of newly-produced 58 HIV-1 virion particles, although they retain target cell protection abilities, suggesting that 59 these properties may be in principle disconnected. 60

Overall, our results shed new light on the complex layers of regulation of IFITM proteinsand enrich our current understanding on these broad antiviral factors.

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67 INTRODUCTION

The interferon-induced transmembrane proteins (IFITMs) are a family of membrane-bound 68 proteins that play an important role in innate immune responses, due to an exquisite ability 69 to inhibit a large spectrum of viruses (1). 70 Members of this family present a highly similar structural organization characterized by an 71 intramembrane domain (IMD, previously referred to as TM1), an intracellular loop (CIL), a 72 transmembrane domain (TMD, previously referred to as TM2) and N and C-termini of 73 variable length and regulatory functions (2, 3, 4). 74 By virtue of this organization, IFITMs are localized in endo-lysosomal vesicles, plasma 75 membrane and Golgi, and display a heterogeneous distribution influenced by both membrane 76

dynamics and specific protein domains. As such, while the intracellular distribution of human
IFITM1 is skewed towards the plasma membrane, the presence of endocytic signals at the
longer N-terminus of human IFITM2/3 confer them an higher endosomal/lysosomal
localization (5–8) (9, 10)(11).

81 IFITMs present at least two peculiar features that distinguish them from many antiviral 82 factors. First, they can inhibit a broad spectrum of viruses. Second, by acting on viral-tocellular membrane fusion, they can interfere with two distinct phases of the replicative cycle 83 84 common to most viruses: (i) during the step of virus entry in target cells (property defined as target cell protection and historically the first associated to IFITM inhibition (2, and reviewed 85 in 4), and (ii) by triggering the production of novel virion particles of decreased infectivity 86 87 (property that we refer to as the negative imprinting of virion particles' infectivity, 12–15). In target cells, very recent studies have visually shown how IFITMs lead to the sequestration 88

of incoming virion particles in endosomes and enhance their trafficking to lysosomes fordegradation (17–19).

Instead, in infected cells undergoing active virion production, IFITMs lead the production of 91 novel virion particles that incorporate IFITMs and exhibit decreased infectivity (13–15, 20). 92 93 Although whether the physical incorporation of IFITMs in virion particles is required for this effect remains to be formally demonstrated, the presence of IFITMs during the virion 94 assembly process leads to virions with a reduced propensity to undergo membrane fusion, 95 similarly to what has been described during infection of target cells (14, 15, 19, 21–23), as 96 well as in more recent functions associated to the biology of IFITMs (24-26). In the case of 97 target cell protection, the membrane fusion defect has been proposed to be due to the direct 98 rigidification of membranes in which IFITMs are inserted and oligomerize (21, 23, 27). 99 Whether the same mechanism applies to membrane fusion inhibition in IFITM-containing 100 101 virion particles remains unclear.

IFITMs are regulated by numerous post-translational modifications (palmitoylation, 102 methylation, phosphorylation and ubiquitination) that control their intracellular levels, their 103 pattern of distribution in cells and ultimately their antiviral activities (5, 6, 10). In this respect, 104 human IFITM2 and IFITM3 exhibit long N-termini containing two juxtaposed regulatory 105 domains: a PPxY domain that serves as a docking site for the E3-ubiquitin ligase neural 106 precursor cell expressed developmentally down-regulated protein 4 (NEDD4) and a Yxxo 107 domain (where ϕ stands for bulky amino acid residues) that directs Adaptor protein 2 (AP2) 108 complex-mediated endocytosis (5, 6, 10) and these activities can be modulated by the kinase 109 Fyn through phosphorylation of the tyrosine residue common to both domains (5, 28). In 110 contrast, relatively little is known about regulatory domains in human IFITM1 that lacks the 111

above-mentioned domains due to a shorter N-terminus and possesses instead a longer Cterminal tail. A single study reported the existence of a non-canonical dibasic sequence
(KRxx) at the IFITM1 C-terminus that triggers AP3-mediated endocytosis and lysosomal
targeting (8). This domain appears however unique to human IFITM1.

While several studies have examined the antiviral properties of individual animal IFITM molecules (albeit essentially during target cell protection) (29–35)(11), none has so far compared the antiviral properties of a large number of animal IFITMs in a single homogeneous setup, comparison that may reveal differences in terms of species specificity and that may point to IFITM domains involved in protein stability, intracellular distribution, antiviral potency and so forth.

Using a homogeneous setting, we have compared here 21 different animal IFITM proteins 122 123 for their antiviral activities against HIV-1 in human cells in terms of target cell protection and negative imprinting of virion particles. Our findings highlight a remarkable heterogeneity 124 in the action of these proteins against HIV-1, with homologs that have either completely lost 125 126 their ability to inhibit the virus, while others on the contrary can do it more efficiently. The antiviral activities of the different IFITMs cannot simply be ascribed to the presence or 127 absence of known regulatory domains in the N terminus of IFITMs that clearly distinguish 128 IFITM2/3 molecules from IFITM1s and it can only be partially explained through protein 129 stability, suggesting the existence of additional layers of regulation. The phenotype of 130 131 chimeras obtained between antiviral and non-antiviral IFITMs highlights the importance of a cross-talk between individual domains for optimal antiviral activity. Finally, while past 132 results from our lab failed to dissociate the two antiviral properties ascribed to IFITMs (20), 133 134 we herein identify murine IFITMs as molecules intrinsically deficient in their ability to

mediate the negative imprinting of HIV-1 virions, while maintaining their effect during target
cell protection. Thus, these results indicate for the first time that these two properties may be,
at least in principle, uncoupled.

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139 **RESULTS**

140 Description of the mammalian IFITMs used in this study. To benefit from the natural variability existing among IFITMs, twenty different IFITM proteins derived from a wide 141 range of mammalian species were selected, based on cells availability and successful cloning 142 and compared to an identically HA-tagged human IFITM3 (H3), the antiviral functions of 143 which have been well characterized with respect to HIV-1 (Fig. 1A) (14-16, 36, 37). For 144 clarity's purposes, the IFITM genome reference nomenclature was maintained, despite the 145 caveat that this may be misleading, because some are from poorly annotated genomes and 146 *IFITM* gene family annotations do not follow its evolutionary history. Two referenced 147 IFITM1-like genes were also included in our analysis (Canis Lupus, D (dog), D1La and 148 D1Lb), along with murine (Mus musculus, M) IFITM6 and IFITM7 (Fig. 1A for a schematic 149 representation of the genomic organization of IFITMs used here). At a general level, the 150 151 percentage identity across the mammal IFITMs analyzed here was high with a minimum of 152 50% for the most divergent members (M6 and M7) (Fig. 1B, for alignment purposes human IFITM1 and IFITM2 were also included). A major difference between human IFITM1 and 153 154 IFITM2/3 is the presence in the former of a shorter N-terminus devoid of regulatory 155 sequences that play important roles in IFITM trafficking and stability and of a longer C 156 terminus that contributes to its antiviral properties, at least in human IFITM1.

A simple survey of the lengths of the N and C termini among the mammalian IFITMs tested
here indicates that while the N-terminus appears homogeneous in IFITM2/3-like and
IFITM1-like members (53 to 55 and 31-32 amino acids, respectively), the C-terminus varies
to a greater extent from 1 amino acid in M1 to 18 in M2 (Fig. 1C). In addition, a number of
IFITM molecules present combinations of either long N and C termini (B3, P2 and P3, M2,
D3, C3 and G3) or short N and C ones (M1 and R1).

163 All IFITM2/3-like members exhibited the adjacent PPxY and Yxx Φ domains important for 164 NEDD4 and AP2 recruitment respectively (6, 28), with the sole exception of the rabbit 165 IFITM3 protein (R3) that presented only the former. Lastly, while the Nter regions of 166 mammalian IFITMs present enough homology to allow their good alignment, the Cter 167 regions exhibit very little sequence homology between the selected species (Fig. 1C).

168

169 Next, the intracellular distribution of the different IFITMs was examined by confocal 170 microscopy upon ectopic transfection in HEK293T cells (Fig. 2). Several IFITMs exhibited a punctuate intracellular staining similar to H3 (B3, P2, P3, M2, M3, M6, G3, D3), in some 171 172 cases marked by accrued perinuclear accumulation (C3, R3 and to a lower measure M7) 173 compatible with their accumulation in the Golgi. Instead, higher plasma membrane 174 distribution was observed for the remaining members (B1, B2, P1, M1, D1 and D1La, D1Lb, C1 and R1). Overall, the different IFITM proteins display a heterogeneous distribution 175 176 skewed towards either the plasma membrane or internal membranes, as described for their 177 human counterparts (4, 20). The only exception was B2 that, despite its nomenclature, displays both an IFITM1-like plasma membrane distribution and sequence features. 178

Mammalian IFITMs display a heterogeneous behavior with respect to their ability to 180 protect target cells from infection and to negatively imprint HIV-1 virion particles 181 infectivity. To date, animal IFITM antiviral activities have been mostly evaluated in 182 homologous host-species-virus settings; i.e. in cells of the same species and against viruses 183 184 specific for that species (29, 30, 38–41), making a direct comparison of their antiviral potency difficult. Here, we aimed at comparing the antiviral behavior of the different IFITMs in a 185 single cellular setting and to this end, both target cell protection and negative imprinting of 186 virion's infectivity abilities of the different IFITMs were assessed against HIV-1. Target cell 187 protection was evaluated by challenging CD4/CXCR4- HEK293T cells expressing the 188 different IFITMs with a NL4-3-Envelope HIV-1 GFP-coding virus, prior to flow cvtometry 189 analysis two days post-infection (Fig. 3A). Negative imprinting of virion particles was 190 determined by producing HIV-1 viruses in cells expressing the different IFITMs and by using 191 purified and normalized amounts of virion particles to challenge target cells (Fig. 3A). 192 Transfections were carried out with DNA levels that allowed a comparable IFITM expression 193 with IFN-stimulated primary cells, at least as appreciated for human IFITMs, as precedently 194 described in (14, 20). The same DNA levels were then used for the remaining IFITM 195 orthologs. Upon ectopic expression, the steady-state levels of the different IFITMs varied 196 considerably following WB analyses with few members either barely detectable or detectable 197 only upon overexposure (as M6, M7 and D3, Fig. 3B and see below Fig. 4 for a quantification 198 by intracellular flow cytometry). In the case of HIV-1 virions produced in the presence of 199 IFITMs, the levels of virion-associated IFITM proteins mirrored their intracellular levels of 200 expression, in agreement with our previous mutagenesis study conducted on human IFITM3 201 (14, 20). As we and others already documented (14–16, 20), IFITM expression exerted a 202

203 minor but detectable effect on the extent of virion released (Fig. 3B), so that subsequent
204 experiments made use of exo-RT normalized virions.

Under the conditions used here, H3 expression in target cells induced a two-fold protection 205 206 of cells from HIV-1 infection (Fig. 3C, white bars for target cell protection results). Several mammalian IFITMs exhibited antiviral activities comparable to the ones of H3 (in particular 207 B3, P1, M2, M3, G3 and R3), while others displayed enhanced inhibitory capacities (ten-fold 208 209 reduction for B1, B2, D1La and R1; four to eight-fold reduction for P2, P3, M1 and D1Lb). Interestingly, several IFITMs were found to display no discernable antiviral effect during 210 target cell protection (C1, C3, D1, D3, M6 and M7). While this could be foreseen for the 211 most divergent IFITM members (specifically M6 and M7 that no data has so far linked to 212 213 IFN responses), the lack of antiviral activities in the remaining IFITM members was 214 unexpected.

Next, the different IFITMs were tested for their ability to alter the infectivity of normalized 215 HIV-1 virions (Fig 3C, blue bars). As expected, H3 drove the production of virions exhibiting 216 217 a three-fold decrease in infectivity over a single round of infection assay. In addition, several IFITMs behaved as H3 (B2, B3, P1, P2, P3, D1La, R1 and R3). In stark contrast, a more 218 drastic phenotype (six to ten-fold reduction in infectivity) was observed for B1, G3 and 219 220 D1Lb, while no antiviral activity was detected for C1, C3, D1, D3, M1, M2, M3, M6 and M7. Lastly, the expression of M2, M6 and D3 exerted a positive effect on the infectivity of 221 virion particles. 222

At a general level, a strong positive correlation was observed between the ability of individual IFITMs to interfere with the infectivities measured in target cell protection and negative imprinting of virions (Fig 3D; R=0,77, p-value<0.0001), suggesting a strong interdependence between these two activities, as previously suggested (20). The only exceptions were noted
in murine IFITMs M1, M2 and M3 proficient in target cell protection, but lacking detectable
effects on the infectivity of newly-formed HIV-1 virions. Overall, the results obtained here
highlight the heterogeneous behavior of animal IFITMs with respect to their antiviral
properties, as assessed in a unique cellular and viral setting.

231

The intracellular levels of the different mammalian IFITMs is an important, but not unique parameter of their antiviral properties.

234 The antiviral potency of IFITM proteins is influenced by a number of factors and among 235 them those governing the protein's steady-state levels (6, 11, 16, 20). To determine whether protein stability (at least at steady state), could relate to the antiviral behavior of the IFITMs 236 237 examined here, we used intracellular staining and flow cytometry analysis (Fig. 4A for representative panels and 4B for cumulative data). When thus analyzed and compared to 238 human IFITM3, the intracellular levels of accumulation of IFITMs varied from 2-fold higher 239 240 to 4-fold lower. Variations spanned animal clade division in that IFITMs within one species could present increased or decreased steady state levels. The intracellular levels of IFITM 241 expression (as assessed by the mean fluorescent intensity, MFI) was inversely correlated with 242 243 the infectivities measured in both target cell and negative imprinting, indicating that IFITMs present at higher levels were generally more antiviral (Fig. 4C, r=-0.7601; p=0.0001 and r=-244 0.54; p=0.01, respectively). This correlation was however lower for the negative imprinting 245 of virion particles defect, indicating that this property is less reliant on the levels of IFITMs 246 in cells, than target cell protection. Overall, this analysis indicates that protein stability at 247 steady state is an important parameter of the antiviral properties of IFITMs in agreement with 248 data present in the literature. 249

250

251 To assess the contribution of protein levels to the lack of antiviral activity during target cell protection, property more influenced by the intracellular levels of IFITMs, increasing doses 252 of D1 and C1 were used in a comparison with other IFITMs exhibiting antiviral activity 253 254 (D1Lb and R1, respectively). To this end, target cells transfected with different amounts of 255 IFITM-coding DNAs were challenged with WT HIV-1, prior to flow cytometry analysis two days later (Fig. 5A). Protein levels were quantified by densitometry following WB, as this 256 yielded similar results than intracellular staining and flow cytometry analyses, under the 257 conditions used here. 258

Increasing doses of D1 did not significantly alter virion infectivity and when D1 was 259 260 expressed at similar levels than D1Lb the different antiviral behavior was still significant 261 (Fig. 5A). A similar behavior was observed upon the expression of increasing doses of C1, 262 although in this case we were unable to achieve a notable increase in C1 protein levels. To 263 circumvent this issue, we used a reciprocal setup in which a fixed dose of C1 was compared 264 to decreasing levels of R1 (Fig. 5B). In this case, despite the fact that the antiviral activity of 265 R1 was gradually lost upon dilution, R1 was still able to inhibit viral infection when expressed at similar levels than C1. Altogether, these results indicate that protein stability is 266 267 important, but is not the sole contributor of the observed differences in the antiviral behavior 268 of IFITMs.

269

Elements described as crucial for IFITM antiviral activities are largely conserved
 among mammalian IFITMs. To investigate potential amino acid differences or
 evolutionary paths between the mammalian IFITMs with and without antiviral activities, we

performed comparative genetic and phylogenetic analyses. Benefiting from the natural 273 274 variations existing among mammalian IFITMs, we grouped members according to their nonantiviral (C1, D1, C3 and D3, only members devoid of both antiviral activities were grouped 275 here) and antiviral (the remaining ones) behavior, omitting M6 and M7 from the analysis due 276 277 to their higher divergence (Fig. 6A and 6B). No single common amino acid change was able 278 to discriminate IFITMs according to their antiviral behavior. Indeed, most IFITM members displayed three conserved cysteines (C71, 72, 105; amino acid positions relative to H3) 279 important for palmitoylation and membrane association (7, 42), with the exception of C71 280 replaced by phenylalanine in antiviral D1La and D1Lb IFITMs. Most IFITMs also displayed 281 four conserved phenylalanines (F63, F67, F75, F78) reported as relevant for antiviral 282 functions (43, 44), Residues F75 and F78 were previously proposed to mediate IFITM3 283 oligomerization, but this was not the case when examined in living cells (45) (46). Therefore, 284 these four phenylalanines are important functional determinants for unknown reasons. The 285 only exceptions found in our analysis were the F75 and F78 residues that were replaced by 286 isoleucine and tyrosine in C1 and M1, M2 and M3, respectively. Moreover, IFITMs possess 287 several lysines that can be ubiquitinated, one specific to the long Nter of IFITM2/3-like 288 proteins and three others shared by all IFITMs (K83, K88 and K104) (6, 47). Of these, K83 289 and K88, but not K104 were strictly conserved among mammalian IFITMs, despite the fact 290 that ubiquitination of K104 together with K83 is required for a recently-identified scaffolding 291 property of IFITM3 involved in B cell signaling (26). Remarkably, in none of the positions 292 293 mentioned above and described in the literature to modulate the antiviral properties of IFITMs a strict correlation existed between antiviral and non-antiviral IFITMs. Furthermore, 294 residues described as forming an amphipathic helix in the IMD of human IFITMs 295 (VWSLFNTL/I/VF) (48), as well as a very recently identified GxxxG motif with crucial 296

relevance in membrane rigidification (27), were also conserved among all mammalian
IFITMs tested. Finally, the "non-antiviral" IFITMs did not group together on a phylogenetic
analysis (Fig. 6C), suggesting that they do not share a common ancestor and that the absence
of antiviral activity is not due to an ancient single event.

301 Therefore, the main elements previously described as crucial for the antiviral activity of

302 IFITMs are largely conserved among mammalian IFITMs, indicating that additional ones are

303 likely to contribute to the fine regulation of their overall antiviral behavior.

304

Chimeras between active and inactive IFITM homologues highlight the importance of 305 306 the cross-talk between individual domains to finely tune antiviral behavior. To identify the domain(s) at the basis of the distinct behavior of the different IFITMs, we generated 307 chimeric IFITM proteins in which domains of the antiviral R1 were inserted into the non-308 antiviral C1 either individually, or in combination (Fig. 7A). Contrarily to other individual 309 domains, the Cter of R1 (C1-C_{R1}) promoted a substantial increase in protein accumulation at 310 steady state and its combination with the TMD domain (C1-(TMD+C)_{R1}) further increased 311 it (Fig. 7B and 7C). The different chimeras did not exhibit gross changes in their intracellular 312 313 distribution after confocal microscopy analysis, with the exception of the C1-TMD_{R1} chimera 314 that appeared to have lost the plasma membrane distribution typical of IFITM1-like proteins 315 (Fig. 7D). Interestingly, when cells expressing the different chimeras were challenged with 316 HIV-1 in target cell protection, a single chimera was able to exhibit significant antiviral activity (C1-(TMD+C)_{R1}, Fig. 7E), indicating the importance of the cross-talk between the 317 318 TMD and the Cter domains for the antiviral activity.

A similar approach was used to engineer chimeras between D1 and D1Lb, respectively 319 inactive and active against HIV-1 (Fig. 8A). Also in this case, the Cter of D1Lb (D1-C_b) was 320 able to substantially rescue the low protein expression levels of D1. However, in this case it 321 322 was the combination of the Cter with the Nter domains (D1-(N+C)_b) that yielded the highest 323 increase in protein levels (Fig. 8B and 8C). No gross modifications of the intracellular 324 distributions of the different chimeras were observed with the exception of the $D1-C_b$ and the D1-(TMD+C)_b chimeras that exhibited higher perinuclear accumulation than WT (Fig. 8D). 325 When the chimeras were tested for their ability to mediate target cell protection against HIV-326 1, we again found that the cross-talk between the TMD and the Cter was important for the 327 antiviral activity (Fig. 8E). Interestingly, in this case the combination between the Nter and 328 329 the IMD domains was also important to restore full antiviral activities. These results indicate that cross-talks between individual domains are important for optimal antiviral activity with 330 the combination between the TMD and the Cter being important for both chimeric 331 332 configurations examined, while the one between Nter with IMD and Cter with TMD being important only in the case of the D1/D1Lb chimera. 333 Finally, the antiviral chimeras were also tested for their ability to mediate the negative 334

Finally, the antiviral chimeras were also tested for their ability to mediate the negative imprinting of virion particles infectivity. For this, HIV-1 virions produced in cells ectopically expressing the IFITMs were used to challenge target cells (Fig. 9A for a schematic presentation of the chimeras and the experimental setup). All chimeric forms of IFITMs decreased HIV-1 virions' infectivity, with C1-(TMD+C)_{R1} exerting an antiviral effect largely comparable to the parental R1 homologue and the D1-(N+IMD)_b and D1-(TMD+C)_b chimeras still antiviral despite the fact that the latter appeared less potent than D1Lb (Fig. 9B). Hence, the domain crosstalks showed above as relevant for target cell protection activityplays an important role also during negative imprinting of virions infectivity.

343

344 Murine IFITM3 is a natural IFITM variant devoid of negative imprinting of HIV-1 345 virion particles ability. An important result highlighted from our study is the fact that despite being proficient in target cell protein, murine IFITM 1, 2 and 3 seem not to affect the 346 infectivity of newly-produced HIV-1 virion particles. To determine whether this could be 347 dependent on insufficient levels of expression, HIV-1 virions were produced in the presence 348 of increasing doses of M3, prior to virion normalization and challenge of target cells. Even 349 under conditions of increased doses of M3 (Fig. 10A), a consistent lack of antiviral effects 350 was noted suggesting a true deficiency in negative imprinting of HIV-1 virion's infectivity, 351 352 at least under the experimental conditions used here.

353 As mentioned above, we noticed that F78 (numbering with respect to human IFITM3, see Fig 6) is commonly substituted by tyrosine in murine IFITMs (M1, M2 and M3) that lack 354 355 negative imprinting of virion particles abilities. As such, we substituted this residue back to phenylalanine (Y78F) in the context of M3 and tested its ability to impair the infectivity of 356 newly-produced virion particles, using the same experimental setup. However, no change in 357 358 infectivity could be measured when virion particles were produced in the presence of increasing levels of the M3 Y78F mutant (Fig. 10B), indicating that this residue does not 359 play an important role in this setting. 360

Overall, these results indicate that murine IFITM3 is a natural variant impaired in their ability to negatively imprint the infectivity of newly-produced virion particles, at least in the case of HIV-1 and also further highlight that protein stability is not the sole contributor of the observed differences in the antiviral behavior of IFITMs. 365

366

367 **DISCUSSION**

In this study, we have taken advantage of the natural variability existing between a large 368 panel of mammalian IFITMs to compare their potency against HIV-1 in a single experimental 369 system through expression in human cells. In doing so, we have uncovered IFITM homologs 370 that exhibit either enhanced, or completely absent, ability to affect both target cell protection 371 and negative imprinting of HIV-1 virions infectivity. Interestingly despite few exceptions, 372 the domains described in previous studies as important for either post-translational 373 modifications, multimerization or overall antiviral properties are conserved across all the 374 IFITMs tested here independently of their antiviral behavior, pointing to the existence of 375 376 additional determinants for the antiviral activities of IFITMs. In this respect, the results obtained with our chimeras indicate that one such determinant may be the cross-talk between 377 individual IFITM domains. 378

379 A general distinction between human IFITM1 and IFITM2/3 proteins is the reciprocal presence of long C and N termini, respectively. Long Nter are indeed well maintained in 380 mammalian IFITM2/3 that also exhibit a strict conservation of the NEDD4 and AP2 381 recruitment domains with one sole exception (R3). However, the Cter appears more 382 heterogeneous, and long C-termini are in some cases present in combination with long Nter 383 384 in IFITM2/3 molecules (B3, P1, P2, M3, D3, C3 and G3). Whether this latter endows such molecules with additional regulatory features with respect to those described for their human 385 counterparts remains unknown. Relatively few studies have examined the functions of the 386 387 Cter of IFITM proteins and have been essentially focused on human IFITM1 in which a regulatory dibasic KRxx domain has been shown to regulate association to AP3 (8). However, this domain is absent in all the remaining IFITMs, so that how and whether the Cter regulates more commonly the behavior and properties of IFITM1-like proteins remain unclear. In this respect, it is of interest that M1 and R1 present very short N and C-termini which may indicate them as *core* minimal IFITM homologs devoid of some of the multiple regulatory possibilities described for other IFITMs.

It is evident from our comparative analysis that no common specific residue or described 394 domain can discriminate active from inactive IFITMs. A recent report highlighted the 395 importance of the polymorphism of residues (P/W/T/F/Y) in the rates of palmitovlation on 396 adjacent cysteines (C71/C72) and in the restriction capacity of bat IFITMs (33) and another 397 determined the functional relevance of a polymorphism within the AP2 binding site of 398 African green monkeys IFITM3 alleles (I22) (49). While variations at these residues do not 399 explain the antiviral behavior of the IFITM tested here, these findings raise the possibility 400 that additional polymorphism may finely tune IFITM functions, possibly in a species-specific 401 402 context.

Previous work from a number of laboratories including ours has highlighted the importance
of the intracellular levels of accumulation for the antiviral effects of human IFITM3 (14–16,
20, 36). However, the results obtained with two non-antiviral IFITM proteins (C1 and D1),
as well as with chimeras clearly indicate that protein levels are not the sole determinant of
antiviral behavior, pointing to the existence of additional layers of regulation.

With the caveat that we have not examined the dynamic distribution of the different IFITMs and that for a given mutation it is difficult to completely separate effects on protein levels from those on antiviral behavior, the results we have obtained with two sets of chimeras indicate that the cross-talk between two distinct domains (in particular the TMD and the Cter)

is an important modulator of antiviral functions. While the Cter and TMD domains in R1 are
very different from those in C1, they exhibit only four and five amino acid changes between
D1 and D1Lb, respectively. Yet, the transfer of the Cter and TMD domains is sufficient to
restore antiviral functions. The fact that the combination of Nter and Cter can also exert
similar effects in the context of the D1/D1Lb but not of the C1/R1 chimera suggests that such
cross-talks are likely to be different according to the specific IFITM examined, probably as
a result of species-specific adaptations.

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At present the reasons for the absence of antiviral activities by some IFITM molecules remain 420 421 unclear and, in terms of evolution, it is entirely possible that this has resulted from a loss of 422 antiviral activity that has been compensated by other IFITM members of a given species not tested here. However, an alternative, and not mutually exclusive, possibility we favor is that 423 certain IFITMs may be subjected to host species-specific regulation and/or exhibit optimal 424 activity against viruses naturally encountered in the same species. In this respect, the feline 425 C1 and C3 IFITMs examined here are poorly expressed in human cells and inactive against 426 HIV-1, but they may exhibit better stability and antiviral properties in a feline cell-virus 427 context. 428

To support this contention, it is interesting to note that murine IFITMs (M1, M2 and M3) were found in this study to inhibit HIV-1 during target cell protection, but not to alter the infectivity of newly-formed HIV-1 virion particles. While on one hand this finding is important because it suggests for the first time that these two properties may be dissociated, on the other it raises the possibility that murine IFITM3 may have been optimized for activity against viruses of its own species. The latter would be in agreement with a recent study reporting that murine IFITM3 is capable of interfering with the production of infectious virion particles of the murine leukemia virus (MLV) (50). Thus, these results highlight the
possibility that species-specific adaptations may have shaped the optimal antiviral activity of
a given IFITM against viruses of the same species.

In conclusion, our study highlights a broad functional heterogeneity among mammalians
IFITMs against HIV-1 and indicates that the cross-talk between IFITM domains finely tunes
the antiviral properties of the different IFITMs, adding a novel layer of complexity to the

- 442 regulation of the activities of these broad antiviral factors.
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445 MATERIAL AND METHODS

Cell culture, plasmids and antibodies. HEK293T epithelial cell line ATCC (CRL-3216) 446 447 and HeLa P4/P5 expressing the CD4 receptor along with the CXCR4 and CCR5 co-receptors were cultured in complete DMEM supplemented with 10% FCS. IFITMs were amplified by 448 PCR from cDNAs obtained from relevant animal cell lines (cell lysates were kind gifts of the 449 450 CelluloNet facility of the SFR-Biosciences in Lyon Gerland or of Frederick Arnaud at the IVPC, Lyon, France): MBDK, Bos Taurus; PK(15), Sus scrofa; RK15, Orvctolagus 451 cuniculus; TIGMEC, Capra hircus; CRFK, Felis catus. Murine and canine IFITMs were 452 obtained by gene synthesis (Genewiz). All IFITMs were cloned as BamH1/NotI fragments 453 into a pcDNA-HA vector. The species abbreviations used in this manuscript are: H= Homo 454 455 sapiens; M= Mus musculus; G= Capra hircus; D= Canis lupus familiaris; C= Felis catus; P= Sus scrofa; B= Bos Taurus; R= Oryctolagus cuniculus. Retrieved sequences were strictly 456 identical to the following gene ID numbers: H3, 10410; M1, 68713; M2, 80876; M3, 66141; 457 458 M6, 213002; M7, 74482; G3, 102180655; D1, 483397; D1La, 475935; D1Lb, 483396; D3,

606890; C1, 101086846; C3, 101100838; P1, 100127358; P2, 100620056; P3, 100518544; 459 460 B1, 353510; B2, 615833; B3, 777594; R1, 103347593; R3, 100353245, D1/D1Lb and C1/R1 chimeras were obtained by gene synthesis (Genewiz). CD4, CCR5 and CXCR4-coding 461 plasmids were obtained from the AIDS Reagent and Reference Program of the NIH (cat. 462 Numbers: 158, 3325, 3326, respectively, respectively). The anti-HA (H3663) and anti- α -463 Tubulin (T8203) antibodies were purchased from SIGMA. The anti-HIV-1 Gag and anti-464 HIV-1 Env antibodies were similarly obtained from the AIDS Reagent and Reference 465 Program of the NIH (clone 183-H5C and cat. number 288, respectively). 466

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Virus production, purification, normalization and infections. Standard single-round of
infection vectors were produced by transient calcium phosphate transfection with DNAs
coding for: a mini viral genome bearing GFP (pRRL-CMV-GFP); the Gag and Gag-Pol
structural proteins (8.2); the NL4-3 Env and the HIV-1 Rev protein (Rev) (4:4:1 and 0.5 μg
respectively for a 10cm plate), as described in (13, 14).

The target cell protection properties of the different IFITMs were assessed by using the above-mentioned virus at a multiplicity of infection (MOI) comprised between 0.1 and 0.2 on HEK293T cells ectopically transfected thirty-six hours earlier with DNAs coding CD4, CXCR4 and the different IFITMs (0.2: 0.2 and 1 µg, respectively).

To assess the negative imprinting of virion infectivity abilities of IFITMs, HIV-1-derived
lentiviral particles were produced in the presence of IFITMs by calcium phosphate DNA cotransfection of HEK293T cells using Gag-Pro-Pol, viral GFP genome, Env, Rev and IFITMs
or pcDNA control plasmid (using 4: 4: 0.5: 0.5: 3 μg each for a 10-cm dish plate), as
previously described (13, 14, 20). We have already shown that in the case of human IFITMs,

these levels were similar to those observed for the endogenous form in primary dendritic cellsstimulated with type I interferon.

In this case, forty-eight hours after transfection, cell-free supernatants were syringe-filtered
(0.45 μm) and virion particles were purified by ultracentrifugation through a 25% sucrose
cushion (w/v) for two hours at 110.000g. Virion pellets were then resuspended in PBS for
further analyses and normalized by exogenous-reverse transcriptase (exo-RT), as described.
Infections were carried out on HeLaP4/P5 cells with normalized amounts of virions for 2-4
hours and the extent of infection was assessed three days later by flow cytometry.

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Immunofluorescence and confocal microscopy. HEK293T cells growing on glass 491 492 coverslips coated with poly-L-Lysine 0.01% were transfected with plasmids coding animal 493 IFITMs with Lipofectamine 3000, according to the manufacturer's instructions (Invitrogen, LifeTechnologies). Twenty-four hours later, cells were fixed with PFA (3.7%), 494 495 permeabilized with Triton 0.1% in PBS and incubated first with the primary anti-HA antibody overnight (at a 1/100 dilution), then with a secondary antibody conjugated to 496 497 Alexa488 (Molecular Probes, LifeTechnologies; #A21202; 1/1000 dilution). Coverslips 498 were stained with a DAPI-containing solution (1/10000 dilution in PBS) and mounted using 499 the anti-quenching solution Fluormount G (Southern Biotech). Fluorescent confocal images 500 were collected using a Zeiss LSM 880 AiryScan confocal microscope and pictures were 501 analyzed with the ImageJ software.

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503 Intracellular staining and flow cytometry analysis. HEK293T cells expressing the 504 different IFITMs were permeabilized with the Cytofix/Cytoperm plus kit (cat: n°554715, BD), according to the manufacturer's instructions, before incubation with a phycoerythrinconjugated anti-HA antibody (Miltenyi Biotec, #130-092-257) and flow cytometry analysis.
The mean fluorescent intensity of HA-positive cells was then determined using the FlowJo
software.

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510 Sequence analyses and phylogenetics. Sequence alignment of the various IFITMs were performed using amino acid sequences with PRANK -F with a gap rate of 0.005 and minor 511 512 adjustments (51). The N-/C-termini of the selected mammalian IFITMs are highly variable 513 (low homology) and are the result of complex evolutionary histories. They aligned very 514 differently depending on the alignment method and gap parameters. All in all, only the central 515 portion of IFITMs is enough homologous and can be correctly aligned. Visualization in Figure 6 was produced using Geneious (Biomatters, Inc.), with color-coding according to 516 517 polarity, and logo plots. Phylogenetics in Figure 6 were performed using PhyML with JTT + G + I as a model and aLRT for node support (52, 53). The sequence alignment in fasta format 518 519 and the phylogenetic in nwk format available tree are at https://doi.org/10.6084/m9.figshare.13365893 520 and

- 521 <u>https://doi.org/10.6084/m9.figshare.13365893</u>, respectively.
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523 Statistical analysis. One-Way Anova, one-tail Student t tests or Pearson's correlation

524 coefficient were used, as indicated in the legend to figures.

- 526 **Data Availability.** All relevant data are within the paper.
- 527

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705 FIGURE LEGENDS

Figure 1. Presentation of the animal IFITMs used here. A) Schematic genomic 706 organization of IFITMs. Black boxes indicate IFITMs that have been functionally analyzed 707 here. Given that the anti-HIV-1 activities of human IFITM1, 2 and 3 have been well 708 characterized, only human IFITM3 was used here as functional standard for the remaining 709 IFITMs. B) Identity matrix of the different IFITMs. C) Comparison of the N and C termini 710 711 of animal IFITMs. Left, Species cladogram. Right, Comparison of N and C terminal sequences of selected IFITMs. Nter sequences were aligned as described in Methods, while 712 the Cter sequences have little sequence homology and are shown here as sequence list. 713

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Figure 2. Confocal microscopy analysis of animal IFITMs. HEK293T cells ectopically transfected with DNAs coding the indicated IFITMs were fixed and analyzed by immunofluorescence and confocal microscopy analyses. The pictures are representative of the major distribution pattern observed by examining >50 positive cells per construct.

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720 Figure 3. Mammalian IFITMs exhibit a broad functional heterogeneity in their ability to inhibit HIV-1 during either target cell protection or negative imprinting of virion 721 722 particles infectivity. A) Schematic representation of the experimental system used here. To 723 assess the activity of IFITMs in mediating the protection of target cells from infection, 724 HEK293T cells were transiently transfected with plasmids coding CD4, CXCR4 and the 725 indicated IFITMs. Cells were challenged 36 hours later with a GFP coding NL4-3-bearing HIV-1 vector for a single round of infection assay. The extent of infection was assessed by 726 727 flow cytometry. To determine the ability of IFITMs to affect the infectivity of newly-

produced virion particles, HEK293T cells were instead transfected with DNAs coding the 728 729 HIV-1 virus in addition to IFITMs. The amount of virion particles released in the supernatant was determined by exo-RT assay after ultracentrifugation through a 25% sucrose cushion. 730 Virion infectivity was assessed after exo-RT normalization on HeLaP4 cells that stably 731 732 express both CD4 receptor and CXCR4 co-receptor. The extent of infection was again assessed by flow cytometry. B) WB panels display representative results obtained when 733 IFITMs were expressed in target cells (cell lysates, upper panels) or in virion-producing cells 734 (purified virion particles, lower panels). C) Infectivities measured during target cell 735 protection and negative imprinting of virion particles (avg, SEM n> 4; one-way Anova tests 736 737 between the indicated conditions: only statistically significant p values are color-coded). D) Correlation between the two antiviral properties for each IFITM molecule: *, p<0.0001 738 following a Pearson's correlation coefficient analysis. 739

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Figure 4. The steady state levels of the different IFITM proteins indicate a correlative, 741 not absolute, trend between antiviral phenotype and levels of expression of IFITMs. 742 Intracellular staining was used to measure the intracellular levels of IFITMs by flow 743 cytometry in function of their MFI. A and B) Representative histograms and cumulative 744 variations in the MFIs, in this case normalized to human IFITM3 (grey in the panels of A 745 present staining of negative controls). Graphs present avg and SEM obtained from 3 746 independent experiments. C) Correlations between the antiviral effects of IFITMs and their 747 intracellular accumulation levels. *: p=0.0001 (left) and p=0.01 (right), following a Pearson's 748 correlation coefficient analysis between the indicated conditions. 749

Figure 5. The phenotypic differences observed among selected IFITM molecules are 751 752 also governed by their intrinsic behavior and not only by protein stability. Increasing doses of the non-functional D1 and C1 IFITMs were compared to a fixed dose (starting from 753 equimolar to a 4-fold excess) of antiviral D1Lb and R1, respectively in target cell protection. 754 755 IFITM expressing cells were either analyzed by WB or challenged prior to flow cytometry 756 analysis three days later. IFITM levels were in this case measured by densitometry following WB, as this vielded comparable results than intracellular staining and FACS. B) as in A, but 757 using decreasing doses of the antiviral R1 molecule, over a fixed dose of the non-functional 758 C1. Graphs present avg and SEM of at least 4 independent experiments and WB panels 759 present typical results obtained. *: p<0.05, following a one-tail Student t test between the 760 761 indicated conditions.

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Figure 6. Anti-viral and non-antiviral IFITMs do not present obvious distinguishing 763 marks. A and B) Amino acid alignment of the tested IFITMs (A) and corresponding logo 764 plots (B) for the "Antiviral" and "Non-antiviral" (D3, C3, C1, and D1; highlighted in grey) 765 IFITMs, as described in this study. Grey underlines strictly non-antiviral IFITMs. 766 Visualization was performed with Geneious (Biomatters, Inc.), with color-coding according 767 to polarity. In panel A, H3 is set as the reference sequence; dots correspond to residues similar 768 to the reference sequence, while hyphens correspond to gaps. Numbering is according to H3 769 (human IFITM3) in panel A, and to the consensus sequence of the alignment in panel B. C) 770 771 Phylogenetic tree of the IFITMs tested here. Node supports are aLRT. The scale bar represents number of amino acid substitutions per site. 772

Figure 7. A chimera approach between C1 and R1 IFITM proteins identifies the 774 775 importance of the cross-talk between the TMD and the Cter domains for optimal antiviral activity. A) Schematic representation of the chimeras used. B and C) Western blot 776 analysis and densitometric quantification following ectopic DNA transfection in HEK293T 777 778 cells. D) Representative images of the intracellular distribution of the different chimeras obtained by confocal microscopy. E) Chimeras were tested in target cell protection by 779 challenging HEK293T cells expressing the indicated chimeras thirty-six hours post DNA 780 transfection with GFP-coding NL4-3-bearing HIV-1. The extent of infection was measured 781 two days later by flow cytometry. The graphs present avg and SEM of at least 4 independent 782 experiments and WB panels present typical results obtained. *: p<0.05, following a One-way 783 Anova test between the indicated conditions. 784

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Figure 8. D1/D1Lb chimeras further stresses the importance of the cross-talks between 786 individual IFITM domains for optimal antiviral activity. As in the legend to figure 7. A) 787 Schematic representation of the chimeras used. B and C) Western blot analysis and 788 densitometric quantification following ectopic DNA transfection in HEK293T cells. D) 789 Representative images of the intracellular distribution of the different chimeras obtained by 790 confocal microscopy. E) Chimeras were tested in target cell protection by challenging 791 HEK293T cells expressing the indicated chimeras thirty-six hours post DNA transfection 792 with GFP-coding NL4-3-bearing HIV-1. The extent of infection was measured two days later 793 794 by flow cytometry. The graphs present avg and SEM of at least 4 independent experiments and WB panels present typical results obtained. *: p<0.05, following a One-way Anova test 795 between the indicated conditions. 796

Figure 9. Chimeras between C1/R1 and D1/D1Lb that are active for target cell protection conserve their ability to negatively imprint HIV-1 virions infectivity. A) Experimental scheme and chimeras tested here. B) Virions were produced in the presence of the indicated chimeras, normalized and used to challenge target cells. Infectivity was determined forty-eight hous later by flow cytometry. The graph presents avg and SEM of 3 independent experiments. *: p<0.05, following a One-way Anova test between the indicated conditions.

Figure 10. Murine IFITM3 intrinsically lacks the ability to negatively modulate the 806 infectivity of newly-produced HIV-1 virion particles. A) Increasing doses of human and 807 murine IFITM3s (H3 and M3, respectively) were used to produce HIV-1 particles and to 808 determine whether M3 would hinge on HIV-1 virions infectivity. The panels and the graph 809 810 present typical results obtained (n=3, avg and SEM). *: p<0.05, following a One-Way Anova test between the indicated conditions. B) The Y78F substitution was introduced in M3 and 811 the mutant was tested for its ability to negatively imprint newly-produced HIV-1 virions. The 812 panels and the graph present typical results obtained (n=3, avg and SEM). No statistically 813 significant difference was observed between control and mutant. 814

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Domains PPxV (Nedd4)		N-Term.	C-Term.
$\mathbf{Y}_{\mathbf{X}\mathbf{X}\mathbf{\phi}} (AP2)$	H3 H2 H1	MNHTVQTFFSPVNSGQ PPNYEML KEEHEVAVLG-APHNPAPPTSTVIHIRSETSVPDH MNHIVQT-FSPVNSGQ PPNYEML KEEQEVAMLG-VPHNPAPPMSTVIHIRSETSVPDH 	IFQAYG VVQAQR GSVTVYHIMLQIIQEKRGY
	- M2 M1 R3	MNITSQAFTTAASGGGPPNTERIREETEVAEMG-AFHGSASVRTTVINMFREVSVPDH MSHNSQAFLST-NAGL PPSYETI KEEYGVTELG-EPSNSAVVRTTVINMFREVSVPDH MPKEQQEVVVLG-SPHISTSATATTINMP-EISTPDH MSNVSKTFFSTASTSRTPN YEEL REEHQIAVLG-APH-SAAVASTVINVHSEVSVPDH	TSVVVFQSFAQRTPHSGF R SSAAIFHAISP
	- B3 - B2 - B1	SAAVASTVINVHSEVSVPDH MNRTSQPLFTGAHGAV PP AYEVLKEEHEVAVLG-APQSQAPLTTTVINIRSDTAVPDH NLKEENEVAVLG-APQSQAPVTTTVINIPRENSVPDH NL-EEHEVAVLG-APQSQAPLTTTVINIRSDTAVPDH	SSAAIFHAISP GSLMIVQAVSELMQNYGGH VYMAAYETALRISRHGGH VYMAAYETALRISRHGGH
	G3 P3 P2 P1	MNCTSQPLFTGAHGAV PP GYEVLKEEHEVAVLG-APQSPAPVTTTVINIRSDTAVPDH MNCASQPFFTGAHGG- PPTYEML KEEHEVAVLG-APQTSAPVATTVINIRSETSVPDH MNCASQPFFTGAHGG- PPTYEML KEEHEVAVLG-APQTSAPVATTVINIRSETSVPDH MI-KSQH - - M DG-LG-APQTSAPVATTVITIPRETSVPDH	GSLMIVQAILELIQNYGGH GSLVIFQAVLQLIKDYRGY VYITAYQMLERAKSNRGY VYITAYHMLERAKSNRGY
	D3 D1 D1La	MSRAPRPLLPGARAAG PPTYEML KEEHEVVVLGGAPQSAAPATTTVINIRGDTVVPDH MDQDQYKVPETG-APQSMVPVTTTVINIRSDTVVPDH M-QNKVDVRG-APLSTAPATTTVINVPVETVVPDH	GSLVIFETVSEMVKHYGGS AFGAAYWALLQVMQERSRYH AYAAAYGALLQAMQESGRYH
Species relationships	- C3 - C1	M-QNKVDVRG-ALLSTAPATTTVINVPEETVVPDH MNRNAQPFLPGTHTGV PP TYEMLKEEHEVAVLG-GPQSPAPMATTVINIQTETSVPDH GPQSSAPMATTVINIQTETSVPDH	AYAAAYGALLQVMQESGRYH GSLVVFQAISEMMKGYGGY AYLTAYSIILRVMQNSRGHH

100

80

60

Identity (%)

Marziali et al. Figure 2





A H3 **B1 B2 B3 P2 P1 P3 M3** M6 / **M7 M1** M2 n **D1 G3** D1La D1Lb D3 **C1 C3 R1 R3** $Counts \rightarrow$ ww Fluorescent intensity→





A



В





0.98 0.96

D1La







