



HAL
open science

The human gut microbiome and health inequities

Katherine Amato, Marie-Claire Arrieta, Meghan Azad, Michael Bailey, Josiane Broussard, Carlijn Bruggeling, Erika Claud, Elizabeth Costello, Emily Davenport, Bas Dutilh, et al.

► **To cite this version:**

Katherine Amato, Marie-Claire Arrieta, Meghan Azad, Michael Bailey, Josiane Broussard, et al.. The human gut microbiome and health inequities. *Proceedings of the National Academy of Sciences of the United States of America*, 2021, 118 (25), pp.e2017947118. 10.1073/pnas.2017947118 . hal-03369892

HAL Id: hal-03369892

<https://hal.science/hal-03369892v1>

Submitted on 8 Oct 2021

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1 The Human Gut Microbiome and Health Inequities

2
3 Katherine R. Amato^{1*}, Marie-Claire Arrieta², Meghan B. Azad³, Michael T. Bailey⁴, Josiane L.
4 Broussard⁵, Carlijn E. Bruggeling⁶, Erika C. Claud⁷, Elizabeth K. Costello⁸, Emily R.
5 Davenport⁹, Bas E. Dutilh^{10,11}, Holly A. Swain Ewald¹², Paul Ewald¹², Erin C. Hanlon¹³,
6 Wrenetha Julion¹⁴, Ali Keshavarzian¹⁵, Corinne F. Maurice¹⁶, Gregory E. Miller^{17,18}, Geoffrey A.
7 Preidis¹⁹, Laure Segurel²⁰, Burton Singer²¹, Sathish Subramanian^{22,23}, Liping Zhao^{24,25},
8 Christopher W. Kuzawa^{1,17}

9
10 ¹Department of Anthropology, Northwestern University, Evanston, USA

11 ² Departments of Physiology and Pharmacology, and Pediatrics, University of Calgary, Alberta,
12 Canada.

13 ³ Children's Hospital Research Institute of Manitoba, Department of Pediatrics and Child Health,
14 University of Manitoba, Winnipeg, Canada

15 ⁴ Center for Microbial Pathogenesis, Abigail Wexner Research Institute at Nationwide
16 Children's Hospital and Department of Pediatrics, The Ohio State University, Columbus, OH

17 ⁵ Department of Health and Exercise Science, Colorado State University, Fort Collins, Colorado,
18 USA

19 ⁶ Department of Pathology, Radboud University Medical Centre, Nijmegen, The Netherlands.

20 ⁷ Department of Pediatrics, The University of Chicago. Chicago, IL

21 ⁸ Department of Medicine, Stanford University School of Medicine, Stanford, CA 94305, USA

22 ⁹ Department of Biology, Huck Institutes of the Life Sciences, Institute for Computational and
23 Data Sciences, Pennsylvania State University, University Park, PA

24 ¹⁰ Theoretical Biology and Bioinformatics, Science for Life, Utrecht University, The
25 Netherlands.

26 ¹¹ Centre for Molecular and Biomolecular Informatics, Radboud university medical centre,
27 Nijmegen, the Netherlands

28 ¹² Department of Biology, University of Louisville, Louisville, KY 40292

29 ¹³ Department of Medicine, Section of Endocrinology, Diabetes & Metabolism, University of
30 Chicago, Chicago Illinois

31 ¹⁴ College of Nursing, Rush University, Chicago, USA

32 ¹⁵ Division of Digestive Diseases and Nutrition, Department of Internal Medicine, Rush
33 University Medical Center, Chicago, IL

34 ¹⁶ Microbiology & Immunology Department, McGill University, Montreal, QC Canada

35 ¹⁷ Department of Psychology, Northwestern University, Evanston, IL

36 ¹⁸ Institute for Policy Research, Northwestern University, Evanston, IL

37 ¹⁹ Department of Pediatrics, Section of Gastroenterology, Hepatology & Nutrition, Baylor
38 College of Medicine and Texas Children's Hospital, Houston, Texas, United States

39 ²⁰ Eco-anthropologie, Muséum national d'Histoire naturelle – CNRS – Université de Paris, Paris,
40 France. Current address : Laboratoire de Biométrie et Biologie Evolutive UMR5558, CNRS -
41 Université Lyon 1, Université de Lyon, Villeurbanne, France

42 ²¹ Emerging Pathogens Institute, University of Florida, Gainesville, FL

43 ²² Department of Medicine, Division of Gastroenterology, Massachusetts General Hospital,
44 Boston, MA, USA.

45 ²³ Broad Institute of MIT and Harvard, Cambridge, MA, USA.

46 ²⁴ State Key Laboratory of Microbial Metabolism, School of Life Sciences and Biotechnology,
47 Shanghai Jiao Tong University, Shanghai, China

48 ²⁵ Department of Biochemistry and Microbiology, School of Environmental and Biological
49 Sciences, Rutgers New Jersey Institute for Food, Nutrition, and Health, Rutgers University–New
50 Brunswick, New Brunswick, NJ

51 *corresponding author: katherine.amato@northwestern.edu

52

53 **Abstract:** Individuals who are minoritized as a result of race, sexual identity, gender, or
54 socioeconomic status experience a higher prevalence of many diseases. Understanding the
55 biological processes that cause and maintain these socially-driven health inequities is essential
56 for addressing them. The gut microbiome is strongly shaped by host environments and affects
57 host metabolic, immune, and neuroendocrine functions. The gut microbiome is thus an important
58 pathway by which differences in experiences caused by social, political, and economic forces
59 could contribute to health inequities. Nevertheless, few studies have directly integrated the
60 human gut microbiome into investigations of health inequities. Here we argue that accounting for
61 host-gut microbe interactions will improve our understanding and management of health
62 inequities, and that health policy must begin to consider human-microbiome interactions as
63 important pathways linking social environments to population health.

64

65 **1. Introduction**

66 Inequities in morbidity and mortality are a persistent challenge among populations in the
67 US and globally. Some of these disparities trace to underlying inequities related to
68 socioeconomic status (SES) (1). For example, in the US, men in the highest and lowest percentile
69 of income have an approximately 15 year difference in life expectancy (2). In addition to SES,
70 self-identified race, sexual identity, and gender status also are powerful predictors of many
71 health outcomes (3-5). For instance, controlling for SES, Black adults have triple the odds of
72 being diagnosed with diabetes compared to white adults (4), and LGBTQ adults are twice as
73 likely to report multiple risks for cardiovascular disease than heterosexual individuals (3).
74 Importantly, although racial health inequities are sometimes assumed to have biological
75 underpinnings, race is a social construct created to control access to power and resources; it has
76 no robust genetic or biological foundation (6-8). Therefore, traditional concepts of heritability or
77 ancestry cannot account for intergenerational patterns in health inequities. Instead, biological
78 patterns observed across minoritized populations are driven by the influences of social forces on
79 physiology and health (9). Personal experiences of racism and discrimination create chronic
80 stress that results in negative health outcomes (10). Similarly, discriminatory laws and policies
81 hinder access to resources like health care, employment, and education, and foster the
82 development of segregated neighborhoods with reduced access to fresh, unprocessed foods,
83 limited space for safe exercise, and increased exposure to noise or chemical pollutants (5, 10-12).

84 Knowledge of the importance of these environmental and social inequities in driving
85 disparities in health outcomes has stimulated investigations into the biological pathways that link
86 lived experiences to altered health. This research is critical for clarifying the causes of unequal
87 burdens of disease across demographic groups and is an important precursor to devising effective

88 strategies to reverse or ameliorate their effects. To date, much work in this area has focused on
89 the impact of chronic stressors, such as discrimination, on bodily systems like stress physiology
90 or inflammation that drive chronic disease risk (10, 13). Structural disparities in experiences that
91 influence nutrient intake, blood pressure regulation, or carcinogenic exposure similarly
92 contribute to health inequities in well-described ways (5, 10-12). This biological embodiment of
93 structural inequity is increasingly recognized as manifesting across multiple time scales: adults
94 exhibit biological symptoms of their current environments, but adverse early life environments
95 also can lead to persistent biological changes that increase adult risk for negative health
96 outcomes including coronary heart disease, stroke, metabolic disease, and osteoporosis (14).

97 Adding to this established literature, the recent rise of work on the gut microbiome
98 (GM)—the community of microbes that inhabits the human gastrointestinal tract—is revealing a
99 novel set of pathways through which environmental exposures could contribute to health
100 inequities. The composition and function of the GM is strongly shaped by host lifestyle and
101 environment (15), including the foods we eat (16), medications we take (17), types of houses we
102 live in (18), and people we spend time with (19). As these factors change with time, so does the
103 GM, making it a plastic component of human biology. As a result, the adverse environmental
104 effects of structural discrimination on the basis of SES, race, or gender/sexual identity are likely
105 to be reflected in the GM of minoritized populations (Fig. 1).

106 In turn, the GM contributes to myriad aspects of host biology. It confers protection from
107 pathogens through colonization resistance, influences host nutrition and metabolism, trains and
108 modulates immune function, and contributes to patterns of brain development and behavior (20-
109 24). As a result of these diverse effects, alterations to the GM during both infancy and adulthood
110 are recognized as leading to dysregulation of immune, metabolic and neuroendocrine processes

111 involved in a range of health disparities, including obesity, diabetes, atherosclerosis, asthma,
112 allergies, depression and anxiety (25-29). Although causality can be difficult to establish, a
113 growing list of studies now provide evidence that an altered GM is a causal factor in disease (28,
114 29). In addition, because some of the pathological states that result from an altered GM (e.g.
115 obesity, inflammation, diabetes) can have adverse effects on the gestational environment
116 experienced by the next generation and because microbes can be passed from parents to
117 offspring (30, 31), the GM is increasingly recognized as potentially influencing health in an
118 intergenerational fashion. These relationships raise the possibility that differences in the GMs of
119 minoritized populations both reflect patterns of structural inequities and amplify them by
120 negatively impacting health outcomes (Fig. 1).

121 Despite these potentially important links, and calls for attention to them (32, 33), few
122 studies have directly investigated the role of the GM in health inequities. Here, we aim to explore
123 the potential that this work holds, and to encourage the integration of the GM into future studies
124 of health disparities. After briefly summarizing concepts of GM health, we review evidence from
125 past studies linking the GM to health inequities. We then outline the probable effects of
126 environmental disparities on GM composition and function and discuss known contributions of
127 the GM to nutrition and metabolic diseases, asthma, cognitive development, and mental illness.
128 We also consider the potential role of the GM in COVID-19 morbidity and mortality. We
129 conclude by explaining how the plasticity and responsiveness of the GM makes it a particularly
130 useful lever for interventions and examine both the opportunities and challenges for using GM
131 research to inform health policies aimed at reducing the burden of health inequities.

132

133 **2. GM ecology and health**

134 Many specific microbial mechanisms of disease are still not fully understood, and there
135 are no known microbes that are present in all healthy people or absent from all unhealthy people.
136 As a result, identifying 'healthy' and 'unhealthy' GM states is difficult. However, because the GM
137 is an ecological community of thousands of interacting microbial taxa, ecological theory
138 provides valuable guiding principles. Importantly, the most influential GM impacts on host
139 health are likely to be emergent community functions that result from the complex ecological
140 interactions of multiple microbial taxa. As a result, a change in the relative abundance of a single
141 microbial taxon may or may not affect community function depending on the composition of the
142 rest of the community (34). Instead, the relative abundances of multiple interacting microbial
143 taxa, as well as the stability of the overall community across time and its resilience to
144 disturbance, more strongly shape GM function and, ultimately, host health (35).

145 Because basic ecological theory posits that more complex ecological communities are
146 also more stable and resilient, GM diversity is often used as a proxy for health (36). However, as
147 observed in the infant gut, reduced GM diversity does not always have adverse effects on the
148 community or host health (37). Therefore, concepts such as modularity, or interconnectedness, of
149 the GM are also useful for describing GM community structure and associated impacts on hosts
150 (35). Additionally, identifying keystone microbial taxa or functions that promote modularity
151 and/or disproportionately contribute to emergent community function can provide important
152 insight into host health (34).

153

154 **3. Evidence linking GM to health inequities**

155 To date, the majority of studies of the human GM have had a narrow biomedical focus or
156 describe broad population-level trends in response to environmental variation. Few studies have

157 assessed GM variation across demographics likely to experience structural inequities, and only a
158 handful have attempted to link socially-attributed variation in the GM to host health (38-42).
159 Nevertheless, the existing literature provides growing evidence that the social and environmental
160 gradients known to predict and contribute to health inequities also predict GM community traits
161 (see Table 1). For example, across globally diverse populations, different measures of SES have
162 been associated with distinct GM traits in both adults (42-44) and children (45-49)(but see (50)).
163 Similarly, the GM consistently varies with race (e.g. Asian, Black, Hispanic, white) and/or
164 ethnicity/ancestry (Arapaho, Cheyenne, Dutch, Ghanaian, Moroccan) in adults (38, 39, 41, 51)
165 and children (46, 47, 52, 53).

166 Three studies in particular have provided strong evidence linking structural inequities to
167 GM variation in the context of SES. One of the first studies in this area demonstrated that after
168 adjustment for demographic and lifestyle confounders, neighborhood SES in Chicago explained
169 12-25% of the variation in adult GM composition (43). Specifically, participants in a higher-SES
170 neighborhood had greater GM diversity and altered relative abundances of key bacterial taxa. A
171 larger subsequent study in the UK likewise reported a positive association between neighborhood
172 SES and GM diversity. Importantly, this association was observed in a discordant-twin analysis,
173 which minimizes the possibility of the main effects being confounded by shared genetic or
174 family influences (44). This paper also found that individual SES was positively correlated with
175 GM diversity, and the relative abundances of some bacterial taxa. Finally, a study of 14 districts
176 in China showed that the relative abundances of taxa accounting for 38.8% of the GM varied in
177 response to personal yearly income and spending (42).

178 Despite the important contributions of these papers, however, most studies of GM
179 patterns in minoritized populations do not operationalize structural inequities, and small sample

180 size reduces power to disentangle common co-variates. Further, while race and ethnicity/ancestry
181 are often used interchangeably, they are distinct concepts that interact differently with biology.
182 While some ethnic groups are minoritized, their shared ancestry may lead to genetic differences
183 that contribute to patterns in health inequities in addition to the social experiences of racism and
184 discrimination. In contrast, biological patterns across racial groups primarily reflect the impact of
185 racism and discrimination. As a result of these weaknesses and inconsistencies, the relative
186 importance of personal experiences of racism and discrimination versus structural impacts on
187 environments for the GM remains largely unknown. Similarly, the scale (i.e. household,
188 neighborhood, and beyond) at which structural inequities might affect the GM is unclear.
189 Nonetheless, the existing literature demonstrates that the same social gradients that predict
190 disparities in major classes of disease also predict variation in the GM. These relationships
191 underscore the likely role of the GM in mediating socially-driven health disparities.

192

193 **4. Potential pathways to disparities in the GM**

194 Population differences in GM communities are established in response to a combination
195 of factors that include intergenerational transmission during infancy as well as ongoing effects of
196 environment and lifestyle factors from infancy into adulthood. As a result, structurally imposed
197 differences in lifestyle and environmental factors can preclude the establishment of appropriate
198 GM communities as early as birth. Infants are typically first exposed to microbes during labor
199 and birth via contact with the maternal vaginal and fecal microbiome (37, 54, 55). Cesarean
200 births are more frequent in low-SES and minoritized populations (56), and babies born via
201 cesarean section exhibit altered GM developmental trajectories during the first year of life as a

202 result of lower maternal microbial input compared to vaginal delivery, along with increased
203 exposure to antibiotics (57).

204 Practices such as skin-to-skin contact and breastfeeding offer further opportunities for
205 microbial exchange that may promote the establishment of keystone GM taxa and functions (57,
206 58). Breast milk is a source of probiotic bacteria, as well as of prebiotic oligosaccharides that
207 help foster the establishment and growth of beneficial microbes in the infant gastrointestinal tract
208 (59). Mothers in low-SES or minoritized populations may engage in less skin-to-skin contact and
209 shift from breastmilk to formula earlier as a result of maternal work pressure or lack of relevant
210 health information (60, 61). At three months, breastfed babies have a distinct GM compared to
211 formula fed babies, including lower microbial diversity and increased relative abundances of
212 beneficial microbes (62, 63). The combined loss of protective microbial factors in breastmilk and
213 increased exposure to waterborne pathogens and toxins [e.g., the Flint, Michigan water
214 contamination crisis (64)] may place children at higher risk for negative alterations in the GM.

215 As infants mature, GM composition stabilizes, and by approximately three years of age,
216 the GM resembles that of an adult (62). Both before and after this age, a number of factors are
217 known to influence the GM. Close physical proximity to other people and/or household animals
218 leads to increased GM similarity in both adults and infants (19, 65). Hygiene, sanitation, and
219 medical practices can impact the GM, often by disrupting community composition and reducing
220 diversity (66, 67). Finally, although environmental factors appear to play the strongest role in
221 shaping the human GM (15), host genotype has been associated with variation in a subset of the
222 GM (68, 69).

223 Diet has one of the largest known impacts on the GM, altering GM composition on
224 timescales from hours to years (16, 70). Specifically, high-fat, low-fiber diets that tend to be

225 more geographically and economically accessible to low-SES and minoritized families (71) have
226 been shown to reduce GM diversity and negatively alter GM function (16, 70, 72). Increased
227 time spent indoors and reduced exposure to outdoor environmental microbes is also believed to
228 reduce GM diversity (73, 74), and low-SES and minoritized populations generally have less
229 access to safe, outdoor green space compared to higher SES groups (75). Various forms of sleep
230 disruption alter the GM (76, 77), which puts individuals with unusual sleep-wake cycles like
231 shift workers, who are often disproportionately from minoritized populations (78), at risk for
232 altered GM composition and associated diseases. Finally, low-SES and minoritized populations
233 experience high levels of chronic stress (10, 11), which can result in altered GM composition,
234 such as reduced relative abundance of lactobacilli (79-83).

235

236 **5. Pathways through which the GM can contribute to health outcomes**

237 Determining the GM's contribution to health inequities in human populations, and
238 harnessing this information to inform policy, will require strong evidence that variations in the
239 GM exert a causal impact on specific health outcomes. Here, we explore the potential role of the
240 GM in shaping health conditions with known disparities, including undernutrition, metabolic
241 diseases, asthma, neurodevelopmental and mood disorders, and COVID-19.

242

243 *Child Undernutrition*

244 Child undernutrition affects more than 50 million individuals under five years of age,
245 contributes to nearly half of all global child deaths (84), and is most common in low-SES and
246 minoritized populations (85). Severe cases are surprisingly refractory to recommended
247 nutritional-based therapies, with long-term sequelae that include stunting, decreased earning

248 potential, impaired vaccine responses, and increased long-term risks of obesity, metabolic
249 syndrome, and cognitive deficits (86).

250 Undernutrition is believed to have multiple biological causes, including both macro- and
251 micronutrient deficiencies. In low resource settings, infection by enteropathogens that decrease
252 nutrient absorption and assimilation while simultaneously increasing immune energy needs is a
253 primary cause of undernutrition (87). As a result, inequities in undernutrition are commonly
254 associated with structural variation in sanitation and availability of safe, treated water as well as
255 maternal, prenatal, and perinatal factors affecting the function of the immune and endocrine
256 systems (88). However, other mechanisms may also be at work. For example, the GM influences
257 the establishment of enteropathogens by reducing their success via competitive exclusion or
258 pathogen-defense functions (24). Therefore, variation in early life GM development as a result of
259 the factors outlined above could dictate susceptibility to infection and its sequelae. The
260 inflammation resulting from infection can further alter the GM, increasing risk of future infection
261 and further impairing other aspects of physiology (89). Even in the absence of active infection at
262 the time of sampling, undernourished children have GMs with reduced diversity and altered
263 composition (90-92). These GMs can causally impair growth when introduced into germ-free
264 mice (90, 91). Importantly, growth impairment can be ameliorated in both mice and piglets
265 through the use of prebiotic foods (93) and probiotic administration of *Lactobacillus plantarum*
266 (94).

267

268 *Diseases related to overnutrition*

269 More than half of the world's adult population is overweight or obese, and the related
270 conditions of diabetes and cardiovascular disease are now the leading causes of death globally

271 (95). The rise of these conditions has been particularly rapid in minoritized populations (96), and
272 it is unclear why individuals and populations vary in susceptibility when faced with similar diets
273 and environments (95). Factors such as stress and sleep disruption, cesarean births, and early life
274 antibiotics have been implicated (97-100).

275 The GM is one potentially important pathway for understanding these relationships. In
276 general, studies associate an altered, low diversity GM with increased risk for obesity and
277 diabetes (28, 101), and the GMs of obese human individuals can causally induce obesity in mice
278 (28). These effects may operate via multiple mechanisms, including excess host-accessible
279 energy production by microbes in the form of short-chain fatty acids (SCFAs), alteration of host
280 metabolic programming via production of SCFAs and other metabolites, and promotion of host
281 inflammation (28, 102, 103). Disparities in environmental factors that result in these GM traits
282 could therefore contribute to disparities in metabolic disease. While many of the environmental
283 factors described earlier could play important roles in affecting the GM in this context, high-fat,
284 low-fiber diets that can be prevalent in minoritized populations in settings like the US (71)
285 consistently result in GM signatures that resemble those typical of obesity and metabolic disease
286 (72).

287 *Asthma*

288 Asthma affects approximately 14% of children worldwide with incidence increasing by
289 50% every decade (104). Asthma disproportionately impacts low-SES, minoritized, and urban
290 populations in middle- and high-income countries (105), with more than 80% of deaths occurring
291 in these populations. In addition to its role in mortality, the impact of asthma includes wide-
292 ranging factors like days lost from school and interference with physical exercise (106).
293 Although genetic susceptibility contributes to asthma pathogenesis in some populations, it only

294 explains a minority of cases (107). Instead, asthma prevalence and severity are linked to a range
295 of environmental factors including reduced exposure to outdoor environments, animals, and
296 helminthic infections, increased incidence of viral and bacterial infections, increased antibiotic
297 exposure, cesarean birth, and formula feeding (107-111).

298 There is accumulating evidence for a role of the GM as mediator between these
299 environmental factors and asthma morbidity. Microbial alterations have been observed in the
300 airways of individuals with asthma (112, 113), and infant GM signatures can be used to predict
301 asthma risk later in life (29, 114, 115). For example, *Faecalibacterium*, *Lachnospira*, *Veillonella*,
302 and *Rothia* are negatively associated with future asthma development in 3-month-old infants
303 (29), and supplementation of these bacteria to germ-free mice colonized with asthma-associated
304 stool samples ameliorates airway inflammation (29). While additional research is needed,
305 research to date has linked asthma morbidity in children to GM-mediated impacts on immune
306 function development and inflammatory responses (109, 116).

307

308 *Preterm birth and neurodevelopmental trajectories*

309 Despite technology-enabled increases in the survival of extremely preterm (<28 weeks)
310 infants in the U.S., cognitive outcomes in these individuals are often severely impaired (117,
311 118). Preterm babies born into low-SES families and/or minoritized populations often have
312 poorer cognitive outcomes (119). While a number of factors, including access to early life
313 education (120), likely contribute to these patterns, variation in inflammatory markers in infant
314 serum is a key area of interest (121, 122).

315 The GM could play a key role in mediating the relationship between preterm neuro-
316 developmental outcomes and inflammation. Research in mice has demonstrated that the GM

317 links key immune and neurological pathways in infancy (22), and the composition of the human
318 GM has been associated with neurodevelopmental status at one year of age (123). Additionally,
319 gnotobiotic mice colonized with the GM from human preterm infants experience systemic
320 inflammation, as well as alterations in myelination, neuronal number, and neurotransmission
321 pathways (124). No research to our knowledge has directly linked specific environmental
322 disparities to pre-term neurodevelopmental outcomes. However, if disparities in the
323 environmental factors outlined above affect either the maternal or infant GM, it could alter infant
324 inflammatory profiles, which in turn have well-established effects on cognitive development
325 (125). Additionally, the parental ability to engage with infants in the neonatal intensive care unit
326 via skin-to-skin contact and/or breastfeeding, as a result of professional or personal demands, or
327 infant health status, may also result in disparities in infant microbial exposures.

328

329 *Mental health*

330 Mental illness is recognized as one of the largest causes of morbidity globally (126).
331 Depression is the leading cause of disability worldwide, and approximately half of those
332 diagnosed with depression also suffer from anxiety simultaneously (127). Individuals belonging
333 to minoritized populations as well as individuals with reduced economic resources are
334 disproportionately impacted by these conditions (1, 128), and experiential and behavioral factors
335 such as stress and diet are considered among the strongest influences on conditions like
336 depression and anxiety (129, 130).

337 The GM is emerging as a potentially important mediating pathway for mental illness. In
338 both humans and rodents, individuals with symptoms of depression have distinct GM
339 compositions compared with individuals without symptoms (131, 132), and a depressive

340 phenotype can be induced in rats using a fecal transfer from depressed patients (133).
341 Conversely, probiotics and prebiotics have been shown to ameliorate depressive symptoms in
342 both animal models and humans (134, 135). These relationships are likely associated with the
343 ability of the GM to influence the metabolism of host neurotransmitters and hormones including
344 serotonin, dopamine, GABA, ACTH, and glucocorticoids (136, 137). There is also evidence
345 from mice that gut microbes can directly influence nervous system functioning through
346 interactions with sensory neurons, including the vagus nerve that connects the gut to the brain
347 (138, 139). As a result, the roles of diet and stress in mental health are likely mediated, at least in
348 part, through the GM (140), and disparities in mental illness likely reflect disparities in diet and
349 stress that impact the GM.

350

351 *The role of the GM in infectious disease and the COVID-19 pandemic*

352 The COVID-19 global pandemic caused by coronavirus SARS-CoV-2 represents one of
353 the most recent and acute examples of health inequities. Although all populations are susceptible
354 to the disease, Black and Latino populations in the U.S. are exhibiting higher infection and
355 mortality rates compared to their white and Asian counterparts (141-143). These disparities are
356 likely due to a combination of factors including limited opportunities to engage in isolating
357 behaviors to reduce exposure, increased probability of underlying comorbidities perpetuated by
358 structural inequities such as obesity, cardiovascular disease, and diabetes, and reduced access to
359 healthcare (141-143).

360 Although there is still much to learn about this virus and its interactions with hosts, it is
361 likely that the GM influences COVID-19 susceptibility and outcomes (144). To begin with,
362 many of the underlying comorbidities that increase risk of morbidity and mortality from COVID-

363 19 appear to be shaped by host-microbe interactions, as described previously. Additionally, since
364 data from mice demonstrate that the GM trains the immune system and affects host responses to
365 other respiratory viruses such as the influenza virus (145, 146), it is similarly likely to play a
366 role, either directly or indirectly via effects on other disease sequelae, in moderating host
367 immune responses to SARS-CoV-2. For example, COVID-19 mortality rates appear to be
368 strongly influenced by host susceptibility to out-of-control inflammatory responses, and the GM
369 can directly influence these responses (147, 148). Similarly, COVID-19 can infect the gut as well
370 as the respiratory tract (149), allowing for direct interactions between the GM and virus-infected
371 cells..

372

373 **6. Importance of early life and intergenerational GM dynamics on health**

374 The studies reviewed above show that a range of environmental and lifestyle factors at various
375 points in the lifecycle can influence the GM in ways that influence risk for multiple disease end
376 points. Although this work confirms that the GM exhibits responsiveness and plasticity to
377 changing environments throughout life, GM community establishment during infancy is likely to
378 be particularly important given emerging evidence that it is not only which microbial taxa and
379 genes that are established, but when in the lifecycle, that matters to the long-term disposition of
380 immune, metabolic, and neurological states (20, 150, 151). For example, mice that are not
381 exposed to key microbial strains during early life do not develop appropriate immune and
382 nervous system function, even if they are exposed to those microbes later (151, 152). Likewise,
383 mice exposed to low-dose antibiotics during early life exhibit altered metabolism and immune
384 function even after their GM returns to its original state (153). Intergenerational GM dynamics
385 also appear to be important. Mice fed a low fiber diet lose fiber-dependent GM taxa cumulatively

386 across generations (154). Some studies in mice also suggest that mothers may pass on
387 inflammatory bowel disease, metabolic disease risk, or stress phenotypes to their infants via the
388 GM (97, 155, 156). Therefore, to the extent that findings in mice apply to humans, the
389 determinants of GM composition and its impact on health may not be limited to a single
390 generation.

391

392 **7. Leveraging the GM to address health inequities**

393 The growing evidence that the GM is a link between social environments and diseases
394 characterized by marked disparities points to new levers that could be harnessed to help
395 ameliorate their effects. However, key gaps remain that must be addressed before the GM can be
396 effectively used to guide interventions. For example, current studies describe either the
397 relationship between the social dynamics of SES/race/gender identity and the GM, or the
398 relationship between the GM and health. No study simultaneously and empirically assesses
399 SES/race/gender identity, the GM, and health outcomes to determine what facets of health
400 inequities are actually mediated by the GM and the relative importance of the GM versus other
401 potential mediating pathways. Similarly, inequality manifests at multiple levels of organization,
402 exposing people to neighborhood, household, workplace, and individual factors that can alter the
403 GM. However, no GM study has quantitatively examined the relative importance of exposures at
404 these different levels or the extent to which they interact with each other. Not only does this gap
405 limit scientific understanding of personal versus structural drivers of health inequities, but it
406 inhibits the development of effective interventions.

407 To begin to fill these gaps, future studies should engage a multidisciplinary approach that
408 melds GM research with fields like epidemiology and the social sciences (32) to strengthen study

409 design and quantitative assessment of inequities. For example, SES is measured differently
410 across many of the existing studies and often targets a narrow range of factors such as income
411 and education (Table 1). Similarly, in studies of race and gender/sexual identity, the role of
412 experiences with racism and discrimination—either through personal encounters or structural
413 inequities—has not yet been addressed directly. The social sciences can provide critical guidance
414 in these areas. Additionally, the declining costs of GM sequencing will facilitate larger sample
415 sizes and higher resolution microbial data to leverage epidemiological approaches to tease apart
416 the independent effects of multiple environmental determinants. Quasi-experimental approaches
417 (e.g. discordant twin or adoption studies), interventions (e.g. randomized cash-transfer and
418 housing-voucher experiments), and controlled animal model experiments (e.g. social dominance
419 challenges) currently used to study health inequities outside the microbiome context can also be
420 used to further strengthen causal inference.

421 As evidence linking the GM to the embodiment of structural inequities in minoritized
422 populations amasses, it will open up new opportunities for intervention to ameliorate or reverse
423 health inequities. Although much basic research remains to be done, we imagine that future
424 interventions will take multiple forms that could work in complementary ways to reduce the
425 disproportionate societal burden of many common diseases. Specifically, we believe that the
426 development of interventions should include a combination of research-based therapies and
427 policy updates that use a biomedical approach to target known keystone GM traits that
428 disproportionately contribute to host health as well as an ecological approach to support the
429 development and maintenance of stable, resilient GM communities (Fig. 2).

430

431 *Targeted biomedical interventions*

432 Targeted GM interventions for specific diseases are receiving growing attention. For
433 example, *Lactobacillus* and *Bifidobacterium* probiotics are being used in multiple clinical trials
434 as a treatment for depression with mixed outcomes (157), and fecal transplants are a highly
435 effective therapy for *C. difficile* infection (158). Nevertheless, substantial research is necessary
436 before these approaches can be routinely implemented. Even in the relatively simple case of
437 probiotics, the microbial taxa of interest are only established in the gut in a subset of people, and
438 it remains unclear whether there are durable health benefits (159, 160). Efforts may need to focus
439 on keystone GM taxa that have large positive effects on their host, such as *Bifidobacterium*
440 *longum* subsp. *infantis* in breastmilk (161). Alternatively, researchers should look past microbial
441 taxonomy to identify specific microbial genes, proteins or metabolites that are associated with
442 particular beneficial or detrimental effects (162).

443 While these personalized treatments and interventions have the potential to transform our
444 ability to treat many of the diseases in which disparities are observed, the current practices
445 surrounding their development and distribution limit their power to substantially alter patterns of
446 health inequity. First, most GM biomedical research targets relatively homogenous populations
447 that consist largely of affluent adults of European descent and does not consistently account for
448 the rich cultural and environmental contexts in which people and their GMs exist. Given the
449 extent to which structural forces, acting through ecological, behavioral, and experiential factors,
450 shape the GM, this narrow approach will result in emerging therapies that will have untested
451 utility in populations experiencing the highest burdens of GM-mediated disease. Challenges with
452 generalizability in the GM field more broadly underscore this risk. For instance, research from
453 China has demonstrated that predictive models of health designed using the GM of one
454 population with specific cultural and environmental traits cannot easily be translated to another

455 (163). Therefore, GM research must incorporate knowledge from the health inequities field and
456 begin to account for cross-population differences in the context of both basic research and
457 applied clinical trials. Federal policies that prioritize funding for the development of targeted GM
458 therapies for populations experiencing disproportionate burdens of disease would advance these
459 efforts.

460 Additionally, targeted GM therapies are likely to be distributed through channels
461 constrained by unequal access, such as health care or prescription medications, which are already
462 recognized as important drivers of population health disparities. As a result, populations with the
463 greatest potential to benefit from these interventions may be less likely to have access to them.
464 Here, transformative policy interventions that strive for universal access to emerging health
465 technologies, and to healthcare more generally, will be essential for ensuring that new GM
466 developments reach the populations that would most benefit from them.

467

468 *Ecologically-inspired interventions*

469 To complement targeted GM therapies, ecologically-inspired interventions that support
470 the development and maintenance of a stable, resilient GM community may be important tools
471 for leveraging the GM to address health inequities. Specifically, policy interventions should be
472 designed to counteract structurally-induced disparities in environments and behaviors that affect
473 the development of robust GM communities in minoritized populations. While some policies,
474 such as antibiotic stewardship programs and improvements to water and sewage infrastructure,
475 already are informed by knowledge of microbial impacts on health (164, 165), most health
476 policies do not integrate goals motivated by an understanding of host-environment-GM
477 interactions. Explicitly integrating the GM into both new and existing policy at local, state and

478 federal levels is likely to fill critical gaps and substantially improve associated health outcomes.
479 Continued research is necessary to optimize these approaches by identifying keystone GM traits
480 and determining the most effective pathways for promoting stable and resilient GM ecologies
481 (166). Nevertheless, our current knowledge of the factors promoting diverse, interconnected GM
482 ecologies, particularly during early life, provide an important foundation upon which new policy
483 perspectives can be built as the field advances (Fig. 1).

484 Breastfeeding is an excellent example of an area in which our current understanding of
485 the GM can be used to improve health policy. In many global settings, breastfeeding rates tend to
486 be lower among low-SES or minoritized populations (60, 61). Programs to support breastfeeding
487 for mothers have the potential to reduce health inequities through multiple mechanisms (167),
488 including by facilitating the development of stable and resilient GM communities in infants. In
489 the US, many workplace efforts to facilitate breastfeeding provide space and resources for
490 mothers to express milk. While this practice partially facilitates infant GM development through
491 the provision of breastmilk, it also reduces physical contact between mothers and infants, which
492 may alter microbial transmission patterns. It is similarly unclear whether freezing, thawing, and
493 reheating breastmilk affects microbes and other bioactive human milk components essential for
494 the normal development of the infant GM (58). Recent movements in the U.S. to guarantee a
495 minimum period of paid maternal leave, reflecting policies implemented in nearly all other high
496 income countries, could substantially diminish risks for developing a range of conditions that
497 have been linked to reduced breastfeeding and altered GM ecology, including obesity, diabetes
498 and asthma (167).

499 Similarly, policies aimed at reducing health disparities by improving access to affordable,
500 non-processed foods could be tailored to maximize beneficial impacts on the GM. Existing

501 nutritional policies tend to emphasize the nutritive importance of lean protein sources and fresh
502 produce and do not recognize the role of food in shaping GM ecology. However, as one
503 example, high-fiber diets are strongly associated with diverse, interconnected GM communities
504 (70, 168, 169). This information should be used to update policy and improve access to high-
505 fiber, ‘microbe-friendly’ foods through food banks and food supplement programs such as WIC
506 in the U.S. The feasibility of such efforts would be enhanced by the design of fresh food
507 production and delivery systems that are more efficient, flexible, and resilient to disruption than
508 those currently in use (170, 171).

509

510 **6. Conclusion**

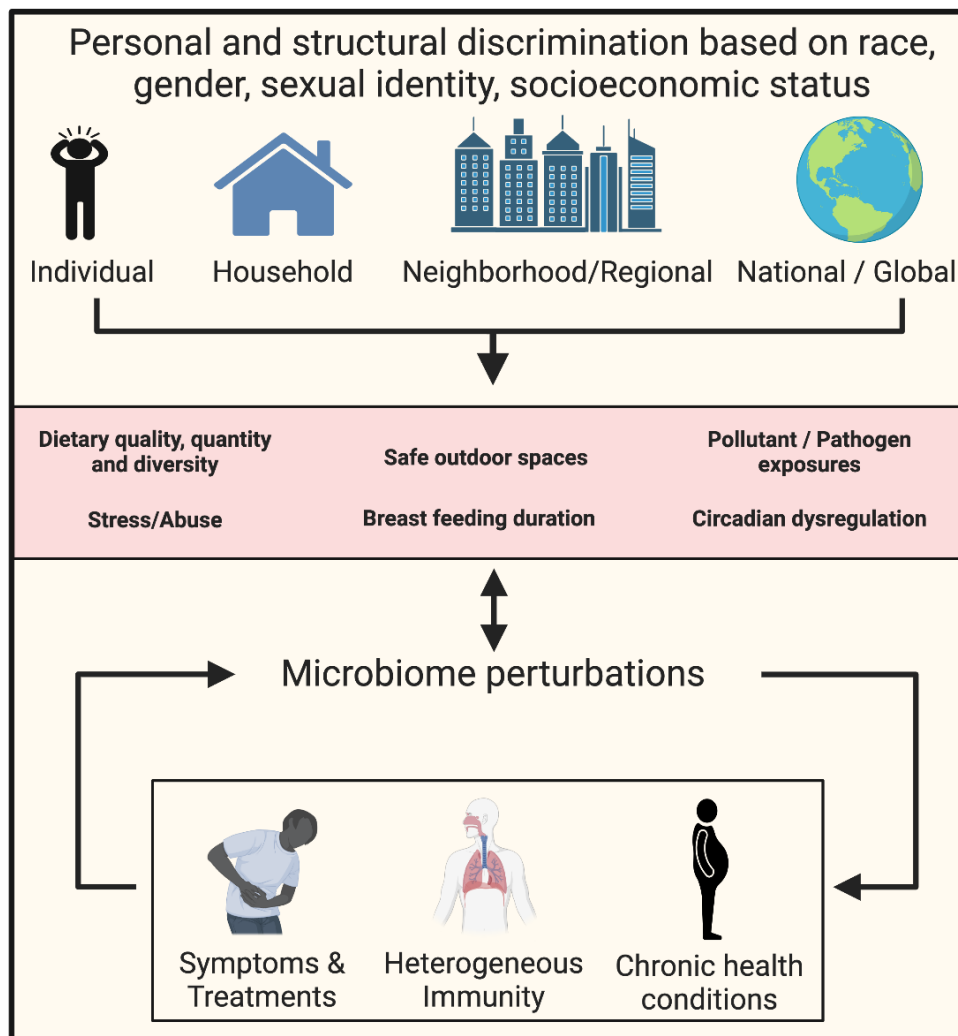
511 Although there are many biological systems through which socially-determined
512 differences in environments lead to health inequities, the GM represents an important set of
513 pathways that have yet to be fully explored. Given its sensitivity to myriad environmental factors
514 as well as its prominent role in shaping host physiology and health, the GM is likely to both
515 respond to and perpetuate the structural inequities created by racism and other forms of
516 discrimination. Because the environments that drive GM composition are modifiable, the GM
517 represents an important new tool for mitigating the impact of structural inequities and their
518 downstream health consequences. In this context, both biomedical approaches targeting
519 individual GM taxa and functions, as well as ecological approaches promoting the maintenance
520 of stable and resilient GM communities, should be combined with policy interventions aimed at
521 equalizing access to resources, environmental exposures, and disease risk and adopting an anti-
522 racist stance in healthcare. Achieving this goal will require collaborations between GM
523 researchers and those in fields specializing in the assessment of social environments and their

524 impacts on health, including epidemiology and health-focused fields in the social sciences (172,
525 173), as well as medical doctors, nurses, and policy makers that can put key findings into
526 practice.

527
528 **6. Acknowledgements** CK and KRA thank the HCEO global working group for supporting a
529 workshop that catalyzed this collaboration. Other acknowledgements are in supplemental
530 material.

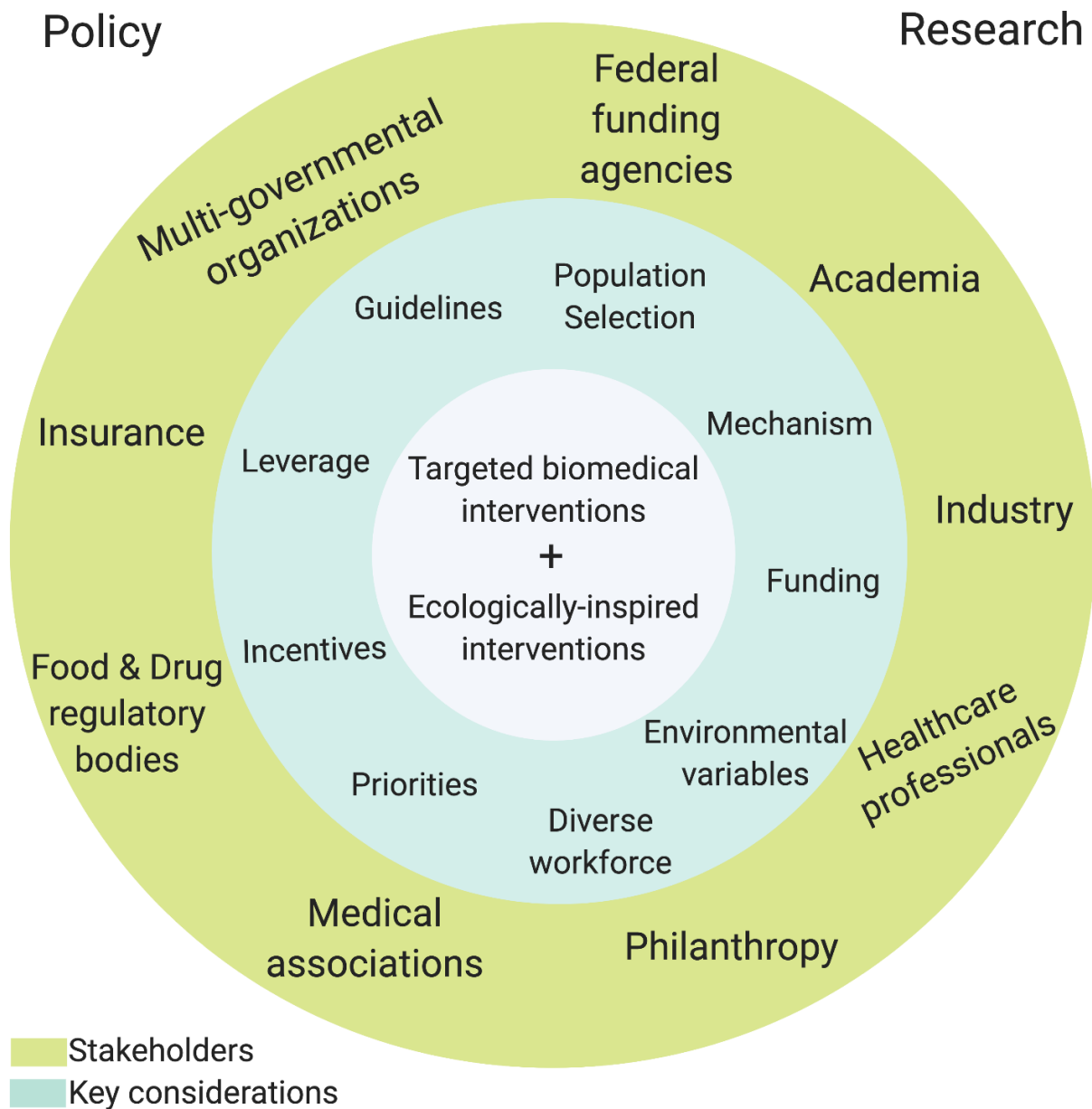
531

532 Figure 1. Experiences of discrimination across multiple scales are likely to affect the structure
 533 and function of the microbiome through a variety of pathways across multiple life stages. Given
 534 links between the microbiome and metabolism, immunity, and nervous system function,
 535 microbiome perturbations incited by discrimination can result in negative health outcomes.
 536 These include acute symptoms, various chronic diseases, and heterogenous immunity to
 537 pathogens including respiratory viruses. Behaviors and treatments associated with these factors
 538 can feed back to further alter the microbiome, creating a positive feedback cycle. (Created with
 539 BioRender.com)
 540



541

542 Figure 2. The gut microbiome represents a potential target for interrupting health inequities via
 543 complementary approaches that integrate both research and policy. These approaches include
 544 targeted biomedical interventions that aim to introduce key microbial taxa or functions into an
 545 altered microbiome and ecologically-inspired interventions that support the environmental
 546 conditions favoring the development of a stable and resilient microbiome community. A variety
 547 of stakeholders and key considerations for addressing structural inequities operate within both
 548 the policy and research toolkits that contribute to these interventions. Although often considered
 549 separately, they are interrelated. We list some key examples here. (Created with BioRender.com)
 550
 551



552

553 **References**

554

- 555 1. A. Case, A. Deaton, *Deaths of Despair and the Future of Capitalism* (Princeton
556 University Press, 2020).
- 557 2. R. Chetty *et al.*, The association between income and life expectancy in the United
558 States, 2001-2014. *Jama* **315**, 1750-1766 (2016).
- 559 3. K. J. Conron, M. J. Mimiaga, S. J. Landers, A population-based study of sexual
560 orientation identity and gender differences in adult health. *American journal of*
561 *public health* **100**, 1953-1960 (2010).
- 562 4. K. B. Wilson, R. J. Thorpe Jr, T. A. LaVeist, Dollar for dollar: racial and ethnic
563 inequalities in health and health-related outcomes among persons with very high
564 income. *Preventive medicine* **96**, 149-153 (2017).
- 565 5. Z. D. Bailey *et al.*, Structural racism and health inequities in the USA: evidence and
566 interventions. *The Lancet* **389**, 1453-1463 (2017).
- 567 6. J. Benn Torres, Anthropological perspectives on genomic data, genetic ancestry, and
568 race. *Am J Phys Anthr* **171**, 74-86 (2020).
- 569 7. C. C. Gravlee, How race becomes biology: embodiment of social inequality. *Am J Phys*
570 *Anthr* **139**, 47-57 (2009).
- 571 8. M. Yudell, D. Roberts, R. DeSalle, S. Tishkoff, Taking race out of human genetics.
572 *Science* **351**, 564-565 (2016).
- 573 9. N. Krieger, Stormy weather: Race, gene expression, and the science of health
574 disparities. *American Journal of Public Health*. **95**, 2155-2160 (2005).
- 575 10. C. J. P. Harrell *et al.*, Multiple pathways linking racism to health outcomes. *Du Bois*
576 *review: social science research on race* **8**, 143 (2011).
- 577 11. D. R. Williams, J. A. Lawrence, B. A. Davis, Racism and health: evidence and needed
578 research. *Annual review of public health* **40**, 105-125 (2019).
- 579 12. L. Kcomt, Profound health-care discrimination experienced by transgender people:
580 rapid systematic review. *Social work in health care* **58**, 201-219 (2019).
- 581 13. E. Chen, G. E. Miller, Socioeconomic status and health: mediating and moderating
582 factors. *Annual Review of Clinical Psychology* **9**, 723-749 (2013).
- 583 14. P. D. Gluckman, M. A. Hanson, C. Cooper, K. L. Thornburg, Effect of in utero and early-
584 life conditions on adult health and disease. *New England Journal of Medicine* **359**,
585 61-73 (2008).
- 586 15. D. Rothschild *et al.*, Environment dominates over host genetics in shaping human
587 gut microbiota. *Nat* **555**, 210-215 (2018).
- 588 16. L. A. David *et al.*, Diet rapidly and reproducibly alters the human gut microbiome.
589 *Nat* **505**, 559-566 (2014).
- 590 17. M. J. Blaser, Antibiotic use and its consequences for the normal microbiome. *Science*
591 **352**, 544-545 (2016).
- 592 18. D. M. Keohane *et al.*, Microbiome and health implications for ethnic minorities after
593 enforced lifestyle changes. *Nature Medicine* **26**, 1089-1095 (2020).
- 594 19. A. A. Lane *et al.*, Household composition and the infant fecal microbiome: The
595 INSPIRE study. *Am J Phys Anthr* **169**, 526-539 (2019).
- 596 20. Z. Al Nabhani, G. Eberl, Imprinting of the immune system by the microbiota early in
597 life. *Mucosal Immunology*, 183-189 (2020).
- 598 21. J. F. Cryan *et al.*, The microbiota-gut-brain axis. *Physiol Rev* **99**, 1877-2013 (2019).

- 599 22. G. N. Pronovost, E. Y. Hsiao, Perinatal interactions between the microbiome,
600 immunity, and neurodevelopment. *Immunity* **50**, 18-36 (2019).
- 601 23. A. Visconti *et al.*, Interplay between the human gut microbiome and host
602 metabolism. *Nat Comm* **10**, 1-10 (2019).
- 603 24. A. Leshem, T. Liwinski, E. Elinav, Immune-microbiota interplay and colonization
604 resistance in infection. *Molecular Cell* (2020).
- 605 25. J. A. Foster, K. A. McVey Neufeld, Gut-brain axis: How the microbiome influences
606 anxiety and depression. *Cell* **36**, 305-312 (2013).
- 607 26. R. A. Koeth *et al.*, Intestinal microbiota metabolism of L-carnitine, a nutrient in red
608 meat, promotes atherosclerosis. *Nature Medicine* **19**, 576-585 (2013).
- 609 27. N. Larsen *et al.*, Gut microbiota in human adults with type 2 diabetes differs from
610 non-diabetic adults. *PLoS One* **5**, e9085 (2010).
- 611 28. P. J. Turnbaugh *et al.*, An obesity-associated gut microbiome with increased capacity
612 for energy harvest. *Nat* **444**, 1027-1031 (2006).
- 613 29. M.-C. Arrieta *et al.*, Early infancy microbial and metabolic alterations affect risk of
614 childhood asthma. *Sci Transl Med* **7**, 307ra152-307ra152 (2015).
- 615 30. R. M. Reynolds, J. Labad, C. Buss, P. Ghaemmaghami, K. Räikkönen, Transmitting
616 biological effects of stress in utero: implications for mother and offspring.
617 *Psychoneuroendocrinology* **38**, 1843-1849 (2013).
- 618 31. P. Ferretti *et al.*, Mother-to-infant microbial transmission from different body sites
619 shapes the developing infant gut microbiome. *Cell host & microbe* **24**, 133-145. e135
620 (2018).
- 621 32. J. B. Dowd, A. Renson, "Under the Skin" and into the Gut: Social Epidemiology of the
622 Microbiome. *Current epidemiology reports* **5**, 432-441 (2018).
- 623 33. K. Findley, D. R. Williams, E. A. Grice, V. L. Bonham, Health disparities and the
624 microbiome. *Trends in microbiology* **24**, 847-850 (2016).
- 625 34. S. Banerjee, K. Schlaeppli, M. G. van der Heijden, Keystone taxa as drivers of
626 microbiome structure and functioning. *Nature Reviews Microbiology* **16**, 567-576
627 (2018).
- 628 35. D. Gonze, K. Z. Coyte, L. Lahti, K. Faust, Microbial communities as dynamical systems.
629 *Curr Opin Microbiol* **44**, 41-49 (2018).
- 630 36. E. Dikongué, L. Ségurel, Latitude as a co-driver of human gut microbial diversity?
631 *BioEssays* **39**, 1600145 (2017).
- 632 37. P. Ferretti *et al.*, Mother-to-infant microbial transmission from different body sites
633 shapes the developing infant gut microbiome. *Cell host & microbe* **24**, 133-145. e135
634 (2018).
- 635 38. M. Deschasaux *et al.*, Depicting the composition of gut microbiota in a population
636 with varied ethnic origins but shared geography. *Nature medicine* **24**, 1526-1531
637 (2018).
- 638 39. M. C. Ross *et al.*, 16S gut community of the Cameron County Hispanic Cohort.
639 *Microbiome* **3**, 7 (2015).
- 640 40. C. P. Neff *et al.*, Fecal microbiota composition drives immune activation in HIV-
641 infected individuals. *EBioMedicine* **30**, 192-202 (2018).
- 642 41. K. Sankaranarayanan *et al.*, Gut microbiome diversity among Cheyenne and Arapaho
643 individuals from western Oklahoma. *Current Biology* **25**, 3161-3169 (2015).

- 644 42. Y. He *et al.*, Linking gut microbiota, metabolic syndrome and economic status based
645 on a population-level analysis. *Microbiome* **6**, 1-11 (2018).
- 646 43. G. E. Miller *et al.*, Lower neighborhood socioeconomic status associated with
647 reduced diversity of the colonic microbiota in healthy adults. *PLoS One* **11**,
648 e0148952 (2016).
- 649 44. R. C. Bowyer *et al.*, Socioeconomic status and the gut microbiome: a TwinsUK cohort
650 study. *Microorganisms* **7**, 17 (2019).
- 651 45. A. I. Amaruddin *et al.*, The bacterial gut microbiota of schoolchildren from high and
652 low socioeconomic status: A study in an urban area of makassar, indonesia.
653 *Microorganisms* **8**, 961 (2020).
- 654 46. C. W. Chong *et al.*, Effect of ethnicity and socioeconomic variation to the gut
655 microbiota composition among pre-adolescent in Malaysia. *Scientific reports* **5**,
656 13338 (2015).
- 657 47. A. M. Levin *et al.*, Joint effects of pregnancy, sociocultural, and environmental factors
658 on early life gut microbiome structure and diversity. *Scientific reports* **6**, 1-16
659 (2016).
- 660 48. J. D. Galley, M. Bailey, C. K. Dush, S. Schoppe-Sullivan, L. M. Christian, Maternal
661 obesity is associated with alterations in the gut microbiome in toddlers. *PLoS One* **9**,
662 e113026 (2014).
- 663 49. J. E. Flannery *et al.*, Gut Feelings Begin in Childhood: the Gut Metagenome Correlates
664 with Early Environment, Caregiving, and Behavior. *Mbio* **11** (2020).
- 665 50. S. Gschwendtner *et al.*, Early life determinants induce sustainable changes in the gut
666 microbiome of six-year-old children. *Scientific reports* **9**, 1-9 (2019).
- 667 51. A. W. Brooks, S. Priya, R. Blekhman, S. R. Bordenstein, Gut microbiota diversity
668 across ethnicities in the United States. *PLoS Biol* **16** (2018).
- 669 52. J. C. Stearns *et al.*, Ethnic and diet-related differences in the healthy infant
670 microbiome. *Genome medicine* **9**, 32 (2017).
- 671 53. J. E. Sordillo *et al.*, Factors influencing the infant gut microbiome at age 3-6 months:
672 findings from the ethnically diverse Vitamin D Antenatal Asthma Reduction Trial
673 (VDAART). *Journal of Allergy and Clinical Immunology* **139**, 482-491. e414 (2017).
- 674 54. E. Rackaityte *et al.*, Viable bacterial colonization is highly limited in the human
675 intestine in utero. *Nature Medicine*, 1-9 (2020).
- 676 55. M. Yassour *et al.*, Natural history of the infant gut microbiome and impact of
677 antibiotic treatment on bacterial strain diversity and stability. *Sci Transl Med* **8**,
678 343ra381-343ra381 (2016).
- 679 56. J. K. Edmonds, R. Yehezkel, X. Liao, T. A. M. Simas, Racial and ethnic differences in
680 primary, unscheduled cesarean deliveries among low-risk primiparous women at an
681 academic medical center: a retrospective cohort study. *BMC pregnancy and
682 childbirth* **13**, 168 (2013).
- 683 57. M. B. Azad *et al.*, Impact of maternal intrapartum antibiotics, method of birth and
684 breastfeeding on gut microbiota during the first year of life: a prospective cohort
685 study. *BJOG: An International Journal of Obstetrics & Gynaecology* **123**, 983-993
686 (2016).
- 687 58. M. G. Dominguez-Bello, F. Godoy-Vitorino, R. Knight, M. J. Blaser, Role of the
688 microbiome in human development. *Gut* **68**, 1108-1114 (2019).

- 689 59. M. B. Azad *et al.*, Human milk oligosaccharide concentrations are associated with
690 multiple fixed and modifiable maternal characteristics, environmental factors, and
691 feeding practices. *The Journal of nutrition* **148**, 1733-1742 (2018).
- 692 60. T. D. Hinson, A. C. Skinner, K. H. Lich, D. L. Spatz, Factors that influence breastfeeding
693 initiation among African American women. *Journal of Obstetric, Gynecologic &*
694 *Neonatal Nursing* **47**, 290-300 (2018).
- 695 61. N. C. Nickel *et al.*, Have we left some behind? Trends in socio-economic inequalities
696 in breastfeeding initiation: a population-based epidemiological surveillance study.
697 *Canadian Journal of Public Health* **105**, e362-e368 (2014).
- 698 62. C. J. Stewart *et al.*, Temporal development of the gut microbiome in early childhood
699 from the TEDDY study. *Nat* **562**, 583-588 (2018).
- 700 63. J. D. Forbes *et al.*, Association of exposure to formula in the hospital and subsequent
701 infant feeding practices with gut microbiota and risk of overweight in the first year
702 of life. *JAMA pediatrics* **172**, e181161-e181161 (2018).
- 703 64. M. G. Craft-Blacksheare, Lessons learned from the crisis in flint, Michigan regarding
704 the effects of contaminated water on maternal and child health. *Journal of Obstetric,*
705 *Gynecologic & Neonatal Nursing* **46**, 258-266 (2017).
- 706 65. S. J. Song *et al.*, Cohabiting family members share microbiota with one another and
707 with their dogs. *eLife* **2**, e00458 (2013).
- 708 66. J. V. Ribado *et al.*, Household triclosan and triclocarban effects on the infant and
709 maternal microbiome. *EMBO molecular medicine* **9**, 1732-1741 (2017).
- 710 67. G. Falony *et al.*, Population-level analysis of gut microbiome variation. *Science* **352**,
711 560-564 (2016).
- 712 68. W. Turpin *et al.*, Association of host genome with intestinal microbial composition in
713 a large healthy cohort. *Nat Genet* **48**, 1413 (2016).
- 714 69. E. R. Davenport *et al.*, Genome-wide association studies of the human gut
715 microbiota. *PLoS One* **10**, e0140301 (2015).
- 716 70. C. De Filippo *et al.*, Impact of diet in shaping gut microbiota revealed by a
717 comparative study in children from Europe and rural Africa. *PNAS* **107**, 14691-
718 14696 (2010).
- 719 71. J. L. Zagorsky, P. K. Smith, The association between socioeconomic status and adult
720 fast-food consumption in the US. *Economics & Human Biology* **27**, 12-25 (2017).
- 721 72. J. E. Bisanz, V. Upadhyay, J. A. Turnbaugh, K. Ly, P. Turnbaugh, Diet induces
722 reproducible alterations in the mouse and human gut microbiome. *Available at SSRN*
723 *3330558* (2019).
- 724 73. J. G. Mills *et al.*, Urban habitat restoration provides a human health benefit through
725 microbiome rewilding: the Microbiome Rewilding Hypothesis. *Restoration ecology*
726 **25**, 866-872 (2017).
- 727 74. M. I. Roslund *et al.*, Biodiversity intervention enhances immune regulation and
728 health-associated commensal microbiota among daycare children. *Science advances*
729 **6**, eaba2578 (2020).
- 730 75. A. V. Diez Roux, Investigating neighborhood and area effects on health. *American*
731 *journal of public health* **91**, 1783-1789 (2001).
- 732 76. V. A. Poroyko *et al.*, Chronic Sleep Disruption Alters Gut Microbiota, Induces
733 Systemic and Adipose Tissue Inflammation and Insulin Resistance in Mice. *Sci Rep* **6**,
734 35405 (2016).

- 735 77. R. M. Voigt *et al.*, Circadian disorganization alters intestinal microbiota. *PLoS One* **9**,
736 e97500 (2014).
- 737 78. S. Jehan *et al.*, Sleep health disparity: the putative role of race, ethnicity and
738 socioeconomic status. *Sleep medicine and disorders: international journal* **2**, 127
739 (2018).
- 740 79. M. Bailey, C. L. Coe, Maternal separation disrupts the integrity of the intestinal
741 microflora in infant rhesus monkeys. *Dev Psychobiol* **35**, 146-155 (1999).
- 742 80. K. Suzuki, R. Harasawa, Y. Yoshitake, T. Mitsuoka, Effect of crowding and heat stress
743 on intestinal flora, body weight gain, and feed efficiency of growing rats and chicks.
744 *Nippon Juigaku Zasshi* **45** (1983).
- 745 81. T. L. Carson *et al.*, Associations Between Race, Perceived Psychological Stress, and
746 the Gut Microbiota in a Sample of Generally Healthy Black and White Women: A
747 Pilot Study on the Role of Race and Perceived Psychological Stress. *Psychosom Med*
748 **80**, 640-648 (2018).
- 749 82. A. Bharwani *et al.*, Structural & functional consequences of chronic psychosocial
750 stress on the microbiome & host. *Psychoneuroendocrinology* **63**, 217-227 (2016).
- 751 83. J. D. Galley *et al.*, Exposure to a social stressor disrupts the community structure of
752 the colonic mucosa-associated microbiota. *BMC Microbiol* **14**, 189 (2014).
- 753 84. W. H. O. United Nations Children's Fund , International Bank for Reconstruction, D.
754 T. W. Bank, Levels and trends in child malnutrition: Key findings of the 2019 edition
755 of the joint child malnutrition estimates. (2019).
- 756 85. C. Bommer, S. Vollmer, S. Subramanian, How socioeconomic status moderates the
757 stunting-age relationship in low-income and middle-income countries. *BMJ global*
758 *health* **4**, e001175 (2019).
- 759 86. K. G. Dewey, K. Begum, Long-term consequences of stunting in early life. *Maternal &*
760 *child nutrition* **7**, 5-18 (2011).
- 761 87. R. L. Guerrant, M. D. DeBoer, S. R. Moore, R. J. Scharf, A. A. Lima, The impoverished
762 gut—a triple burden of diarrhoea, stunting and chronic disease. *Nature reviews*
763 *Gastroenterology & hepatology* **10**, 220 (2013).
- 764 88. D. J. Corsi, I. Mejía-Guevara, S. Subramanian, Risk factors for chronic undernutrition
765 among children in India: Estimating relative importance, population attributable
766 risk and fractions. *Social Science & Medicine* **157**, 165-185 (2016).
- 767 89. L. Rigottier-Gois, Dysbiosis in inflammatory bowel diseases: the oxygen hypothesis.
768 *The ISME journal* **7**, 1256-1261 (2013).
- 769 90. D. M. Dinh *et al.*, Longitudinal analysis of the intestinal microbiota in persistently
770 stunted young children in South India. *PLoS One* **11** (2016).
- 771 91. M. I. Smith *et al.*, Gut microbiomes of Malawian twin pairs discordant for
772 kwashiorkor. *Science* **339**, 548-554 (2013).
- 773 92. S. Subramanian *et al.*, Persistent gut microbiota immaturity in malnourished
774 Bangladeshi children. *Nat* **510**, 417-421 (2014).
- 775 93. J. L. Gehrig *et al.*, Effects of microbiota-directed foods in gnotobiotic animals and
776 undernourished children. *Science* **365**, eaau4732 (2019).
- 777 94. M. Schwarzer *et al.*, *Lactobacillus plantarum* strain maintains growth of infant mice
778 during chronic undernutrition. *Science* **351**, 854-857 (2016).
- 779 95. M. Blüher, Obesity: global epidemiology and pathogenesis. *Nature Reviews*
780 *Endocrinology* **15**, 288 (2019).

- 781 96. P. H. Bryant, A. Hess, P. G. Bowen, Social determinants of health related to obesity.
782 *The Journal for Nurse Practitioners* **11**, 220-225 (2015).
- 783 97. A. F. Schulfer *et al.*, The impact of early-life sub-therapeutic antibiotic treatment
784 (STAT) on excessive weight is robust despite transfer of intestinal microbes. *The*
785 *ISME journal* **13**, 1280-1292 (2019).
- 786 98. J. Blustein *et al.*, Association of caesarean delivery with child adiposity from age 6
787 weeks to 15 years. *International Journal of Obesity* **37**, 900-906 (2013).
- 788 99. K. Tamashiro, R. Sakai, C. Shively, I. Karatsoreos, L. Reagan, Chronic stress,
789 metabolism, and metabolic syndrome. *Stress* **14**, 468-474 (2011).
- 790 100. K. I. Proper *et al.*, The relationship between shift work and metabolic risk factors: a
791 systematic review of longitudinal studies. *American Journal of Preventive Medicine*
792 **50**, e147-e157 (2016).
- 793 101. V. K. Ridaura *et al.*, Gut microbiota from twins discordant for obesity modulate
794 metabolism in mice. *Science* **341**, 1069-1070 (2013).
- 795 102. P. D. Cani *et al.*, Metabolic endotoxemia initiates obesity and insulin resistance.
796 *Diabetes* **56**, 1761-1772 (2007).
- 797 103. K. M. Utzschneider, M. Kratz, C. J. Damman, M. Hullarg, Mechanisms linking the gut
798 microbiome and glucose metabolism. *The Journal of Clinical Endocrinology &*
799 *Metabolism* **101**, 1445-1454 (2016).
- 800 104. L. R. Dugas *et al.*, Decreased microbial co-occurrence network stability and SCFA
801 receptor level correlates with obesity in African-origin women. *Scientific reports* **8**,
802 17135 (2018).
- 803 105. G. A. Network (2018) Global Asthma Report 2018.
- 804 106. G. Ferrante, S. La Grutta, The burden of pediatric asthma. *Frontiers in pediatrics* **6**,
805 186 (2018).
- 806 107. G. W. Wong, C. M. Chow, Childhood asthma epidemiology: insights from comparative
807 studies of rural and urban populations. *Pediatr Pulmonol* **43**, 107-116 (2008).
- 808 108. A. Klopp *et al.*, Modes of Infant Feeding and the Risk of Childhood Asthma: A
809 Prospective Birth Cohort Study. *J Pediatr* **190**, 192-199 e192 (2017).
- 810 109. M. C. Arrieta, L. T. Stiemsma, N. Amenyogbe, E. M. Brown, B. Finlay, The intestinal
811 microbiome in early life: health and disease. *Front Immunol* **5**, 427 (2014).
- 812 110. S. L. Russell *et al.*, Early life antibiotic-driven changes in microbiota enhance
813 susceptibility to allergic asthma. *EMBO Rep* **13**, 440-447 (2012).
- 814 111. D. W. Denning, B. R. O'Driscoll, C. M. Hogaboam, P. Bowyer, R. M. Niven, The link
815 between fungi and severe asthma: a summary of the evidence. *Eur Respir J* **27**, 615-
816 626 (2006).
- 817 112. P. R. Marri, D. A. Stern, A. L. Wright, D. Billheimer, F. D. Martinez, Asthma-associated
818 differences in microbial composition of induced sputum. *J Allergy Clin Immunol* **131**,
819 346-352 e341-343 (2013).
- 820 113. Q. Zhang *et al.*, Airway Microbiota in Severe Asthma and Relationship to Asthma
821 Severity and Phenotypes. *PLoS One* **11**, e0152724 (2016).
- 822 114. J. Stokholm *et al.*, Maturation of the gut microbiome and risk of asthma in childhood.
823 *Nat Commun* **9**, 141 (2018).
- 824 115. M. C. Arrieta *et al.*, Associations between infant fungal and bacterial dysbiosis and
825 childhood atopic wheeze in a nonindustrialized setting. *J Allergy Clin Immunol* **142**,
826 424-434 e410 (2018).

- 827 116. S. L. Bridgman, A. L. Kozyrskyj, J. A. Scott, A. B. Becker, M. B. Azad, Gut microbiota
828 and allergic disease in children. *Ann Allergy Asthma Immunol* **116**, 99-105 (2016).
- 829 117. B. J. Stoll *et al.*, Neonatal outcomes of extremely preterm infants from the NICHD
830 Neonatal Research Network. *Pediatrics* **126**, 443-456 (2010).
- 831 118. F. A. Carter, M. E. Msall, Long-term functioning and participation across the life
832 course for preterm neonatal intensive care unit graduates. *Clinics in perinatology* **45**,
833 501-527 (2018).
- 834 119. F. A. Carter, M. E. Msall, Health disparities and child development after prematurity.
835 *Pediatric annals* **46**, e360-e364 (2017).
- 836 120. M. G. Welch *et al.*, Family nurture intervention in the neonatal intensive care unit
837 improves social-relatedness, attention, and neurodevelopment of preterm infants at
838 18 months in a randomized controlled trial. *Journal of Child Psychology and*
839 *Psychiatry* **56**, 1202-1211 (2015).
- 840 121. J. W. van der Burg *et al.*, The role of systemic inflammation linking maternal BMI to
841 neurodevelopment in children. *Pediatr Res* **79**, 3-12 (2016).
- 842 122. P. L. Smith, H. Hagberg, A. S. Naylor, C. Mallard, Neonatal peripheral immune
843 challenge activates microglia and inhibits neurogenesis in the developing murine
844 hippocampus. *Dev Neurosci* **36**, 119-131 (2014).
- 845 123. A. L. Carlson *et al.*, Infant Gut Microbiome Associated With Cognitive Development.
846 *Biol Psychiatry* 10.1016/j.biopsych.2017.06.021 (2017).
- 847 124. L. Lu *et al.*, Transcriptional modulation of intestinal innate defense/inflammation
848 genes by preterm infant microbiota in a humanized gnotobiotic mouse model. *PLoS*
849 *One* **10**, e0124504 (2015).
- 850 125. T. M. O'Shea *et al.*, Inflammation-initiating illnesses, inflammation-related proteins,
851 and cognitive impairment in extremely preterm infants. *Brain, Behav, Immun* **29**,
852 104-112 (2013).
- 853 126. D. Vigo, G. Thornicroft, R. Atun, Estimating the true global burden of mental illness.
854 *The Lancet Psychiatry* **3**, 171-178 (2016).
- 855 127. W. H. Organization (2017) Depression and other common mental disorders: global
856 health estimates. (World Health Organization).
- 857 128. S. Wallace, J. Nazroo, L. Bécares, Cumulative effect of racial discrimination on the
858 mental health of ethnic minorities in the United Kingdom. *American Journal of Public*
859 *Health* **106**, 1294-1300 (2016).
- 860 129. S. E. Quirk *et al.*, The association between diet quality, dietary patterns and
861 depression in adults: a systematic review. *BMC psychiatry* **13**, 175 (2013).
- 862 130. H. Van Praag, Can stress cause depression? *Progress in Neuro-Psychopharmacology*
863 *and Biological Psychiatry* **28**, 891-907 (2004).
- 864 131. H. Jiang *et al.*, Altered fecal microbiota composition in patients with major
865 depressive disorder. *Brain, Behav, Immun* **48**, 186-194 (2015).
- 866 132. M. Yu *et al.*, Variations in gut microbiota and fecal metabolic phenotype associated
867 with depression by 16S rRNA gene sequencing and LC/MS-based metabolomics.
868 *Journal of pharmaceutical and biomedical analysis* **138**, 231-239 (2017).
- 869 133. J. R. Kelly *et al.*, Transferring the blues: depression-associated gut microbiota
870 induces neurobehavioural changes in the rat. *Journal of psychiatric research* **82**, 109-
871 118 (2016).

- 872 134. A. Burokas *et al.*, Targeting the microbiota-gut-brain axis: prebiotics have anxiolytic
873 and antidepressant-like effects and reverse the impact of chronic stress in mice.
874 *Biological psychiatry* **82**, 472-487 (2017).
- 875 135. A. Kazemi, A. A. Noorbala, K. Azam, M. H. Eskandari, K. Djafarian, Effect of probiotic
876 and prebiotic vs placebo on psychological outcomes in patients with major
877 depressive disorder: A randomized clinical trial. *Clin Nutr* **38**, 522-528 (2019).
- 878 136. M. Vodicka *et al.*, Microbiota affects the expression of genes involved in HPA axis
879 regulation and local metabolism of glucocorticoids in chronic psychosocial stress.
880 *Brain Behav Immun* **73**, 615-624 (2018).
- 881 137. J. M. Yano *et al.*, Indigenous bacteria from the gut microbiota regulate host serotonin
882 biosynthesis. *Cell* **161**, 264-276 (2015).
- 883 138. J. A. Bravo *et al.*, Ingestion of *Lactobacillus* strain regulates emotional behavior and
884 central GABA receptor expression in a mouse via the vagus nerve. *PNAS* **108**, 16050-
885 16055 (2011).
- 886 139. P. Forsythe, J. Bienenstock, W. A. Kunze, Vagal pathways for microbiome-brain-gut
887 axis communication. *Adv Exp Med Biol* **817**, 115-133 (2014).
- 888 140. J. R. Kelly *et al.*, Breaking down the barriers: the gut microbiome, intestinal
889 permeability and stress-related psychiatric disorders. *Frontiers in cellular*
890 *neuroscience* **9**, 392 (2015).
- 891 141. M. W. Hooper, A. M. Nápoles, E. J. Pérez-Stable, COVID-19 and racial/ethnic
892 disparities. *Jama* (2020).
- 893 142. A. van Dorn, R. E. Cooney, M. L. Sabin, COVID-19 exacerbating inequalities in the US.
894 *Lancet (London, England)* **395**, 1243 (2020).
- 895 143. J. T. Chen, N. Krieger, Revealing the unequal burden of COVID-19 by income,
896 race/ethnicity, and household crowding: US county versus zip code analyses. *Journal*
897 *of Public Health Management and Practice* **27**, S43-S56 (2020).
- 898 144. J. Lederberg, Infectious history. *Science* **288**, 287-293 (2000).
- 899 145. K. H. Antunes *et al.*, Microbiota-derived acetate protects against respiratory
900 syncytial virus infection through a GPR43-type 1 interferon response. *Nat Comm* **10**,
901 1-17 (2019).
- 902 146. K. C. Bradley *et al.*, Microbiota-driven tonic interferon signals in lung stromal cells
903 protect from influenza virus infection. *Cell reports* **28**, 245-256. e244 (2019).
- 904 147. Y. Belkaid, T. W. Hand, Role of the microbiota in immunity and inflammation. *Cell*
905 **157**, 121-141 (2014).
- 906 148. Y. Yang *et al.*, Exuberant elevation of IP-10, MCP-3 and IL-1ra during SARS-CoV-2
907 infection is associated with disease severity and fatal outcome. *MedRxiv* (2020).
- 908 149. W. Wang *et al.*, Detection of SARS-CoV-2 in different types of clinical specimens.
909 *Jama* **323**, 1843-1844 (2020).
- 910 150. J. A. Griffiths, S. K. Mazmanian, Emerging evidence linking the gut microbiome to
911 neurologic disorders. *Genome medicine* **10**, 98 (2018).
- 912 151. T. Olszak *et al.*, Microbial exposure during early life has persistent effects on natural
913 killer T cell function. *Science* **336**, 489-493 (2012).
- 914 152. N. Sudo *et al.*, Postnatal microbial colonization programs the hypothalamic-
915 pituitary-adrenal system for stress response in mice. *J Physiol* **558**, 263-275 (2004).
- 916 153. L. M. Cox *et al.*, Altering the intestinal microbiota during a critical developmental
917 window has lasting metabolic consequences. *Cell* **158**, 705-721 (2014).

- 918 154. E. D. Sonnenburg *et al.*, Diet-induced extinctions in the gut microbiota compound
919 over generations. *Nat* **529**, 212-215 (2016).
- 920 155. H. M. Tun *et al.*, Roles of birth mode and infant gut microbiota in intergenerational
921 transmission of overweight and obesity from mother to offspring. *JAMA pediatrics*
922 **172**, 368-377 (2018).
- 923 156. E. Jasarevic, C. D. Howard, A. M. Misic, D. P. Beiting, T. L. Bale, Stress during
924 pregnancy alters temporal and spatial dynamics of the maternal and offspring
925 microbiome in a sex-specific manner. *Sci Rep* **7**, 44182 (2017).
- 926 157. R. T. Liu, R. F. Walsh, A. E. Sheehan, Prebiotics and probiotics for depression and
927 anxiety: a systematic review and meta-analysis of controlled clinical trials.
928 *Neuroscience & Biobehavioral Reviews* (2019).
- 929 158. F. E. Juul *et al.*, Fecal microbiota transplantation for primary *Clostridium difficile*
930 infection. *New England Journal of Medicine* **378**, 2535-2536 (2018).
- 931 159. J. Suez *et al.*, Post-antibiotic gut mucosal microbiome reconstitution is impaired by
932 probiotics and improved by autologous FMT. *Cell* **174**, 1406-1423. e1416 (2018).
- 933 160. N. Zmora *et al.*, Personalized gut mucosal colonization resistance to empiric
934 probiotics is associated with unique host and microbiome features. *Cell* **174**, 1388-
935 1405. e1321 (2018).
- 936 161. H. A. Swain Ewald, P. W. Ewald, Focus: Ecology and Evolution: Natural Selection, The
937 Microbiome, and Public Health. *The Yale journal of biology and medicine* **91**, 445
938 (2018).
- 939 162. T. Chen *et al.*, Green Tea Polyphenols Modify the Gut Microbiome in db/db Mice as
940 Co-Abundance Groups Correlating with the Blood Glucose Lowering Effect.
941 *Molecular nutrition & food research* **63**, 1801064 (2019).
- 942 163. Y. He *et al.*, Regional variation limits applications of healthy gut microbiome
943 reference ranges and disease models. *Nature medicine* **24**, 1532-1535 (2018).
- 944 164. R. J. Patrick, Uneven access to safe drinking water for First Nations in Canada:
945 Connecting health and place through source water protection. *Health & place* **17**,
946 386-389 (2011).
- 947 165. S. P. Luby *et al.*, Effects of water quality, sanitation, handwashing, and nutritional
948 interventions on diarrhoea and child growth in rural Bangladesh: a cluster
949 randomised controlled trial. *The Lancet Global Health* **6**, e302-e315 (2018).
- 950 166. M. R. Orr, K. M. Kocurek, D. L. Young, Gut microbiota and human health: insights
951 from ecological restoration. *Q Rev Biol* **93**, 73-90 (2018).
- 952 167. W. H. Organization (2019) Breastfeeding and family-friendly policies: advocacy
953 brief. (World Health Organization).
- 954 168. E. C. Deehan, J. Walter, The fiber gap and the disappearing gut microbiome:
955 Implications for human nutrition. *Cell* **27**, 239-242 (2016).
- 956 169. E. D. Sonnenburg, J. L. Sonnenburg, Starving our microbial self: The deleterious
957 consequences of a diet deficient in microbiota-accessible carbohydrates. *Cell Metab*
958 **20**, 779-786 (2014).
- 959 170. M. G. Bublitz *et al.*, Food access for all: Empowering innovative local infrastructure.
960 *Journal of Business Research* **100**, 354-365 (2019).
- 961 171. M. S. Wetherill, K. C. White, H. K. Seligman, Nutrition-focused food banking in the
962 United States: a qualitative study of healthy food distribution initiatives. *Journal of*
963 *the Academy of Nutrition and Dietetics* **119**, 1653-1665 (2019).

- 964 172. A. Benezra, Race in the Microbiome. *Science, Technology, & Human Values*,
965 0162243920911998 (2020).
966 173. A. Benezra, J. DeStefano, J. I. Gordon, Anthropology of microbes. *PNAS* **109**, 6378-
967 6381 (2012).
968