

Sex and hatching order modulate the association between MHC-II diversity and fitness in early-life stages of a wild seabird

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1 TITLE

- 2 Sex and hatching order modulate the association between MHC-II diversity and fitness in early-life
- 3 stages of a wild seabird

4 RUNNING TITLE

5 MHC-II diversity and fitness in kittiwake chicks

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14 ABSTRACT

15 Genes of the major histocompatibility complex (MHC) play a pivotal role in parasite resistance, and 16 their allelic diversity has been associated with fitness variations in several taxa. However, studies 17 report inconsistencies in the direction of this association, with either positive, quadratic or no 18 association being described. These discrepancies may arise because the fitness costs and benefits of 19 MHC-diversity differ among individuals depending on their exposure and immune responses to 20 parasites. Here, we investigated in black-legged kittiwake (Rissa tridactyla) chicks whether associations 21 between MHC class-II diversity and fitness vary with sex and hatching order. MHC-II diversity was 22 positively associated with growth and tick clearance in female chicks, but not in male chicks. Our data 23 also revealed a positive association between MHC-II diversity and survival in second-hatched female 24 chicks (two eggs being the typical clutch size). These findings may result from condition-dependent 25 parasite infections differentially impacting sexes in relation to hatching order. We thus suggest that it 26 may be important to account for individual heterogeneities in traits that potentially exert selective 27 pressures on MHC-diversity in order to properly predict MHC-fitness associations.

28 KEYWORDS

Divergent allele advantage; fitness; heterozygote advantage; immunity; *lxodes uriae*; parasite mediated selection

31 INTRODUCTION

Identifying the genetic bases of fitness differences among individuals is a long-standing goal in
evolutionary biology (Chapman, Nakagawa, Coltman, Slate, & Sheldon, 2009; Ellegren & Sheldon,
2008; Merila & Sheldon, 1999). In this context, immune-related genes have drawn substantial
attention (Bateson et al., 2016; Froeschke & Sommer, 2005; Oliver, Telfer, & Piertney, 2009; Sepil,
Lachish, Hinks, & Sheldon, 2013) because parasite resistance often covaries with fitness in wild
populations (Asghar et al., 2015; Hamilton & Zuk, 1982; Moller, Arriero, Lobato, & Merino, 2009;

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38 Willink & Svensson, 2017). The major histocompatibility complex (MHC) is a cluster of genes coding for 39 cell surface proteins that are essential for the adaptive immune system. The MHC plays a critical role 40 in modulating self/non-self discrimination and in activating immune response against parasites 41 (Murphy & Weaver, 2017). In past decades, several studies reported associations between MHC allelic 42 diversity and fitness, but with some major inconsistencies. For instance, depending on the considered 43 species or population, either maximal or intermediate MHC-diversity was found to maximize fitness 44 (Bonneaud, Mazuc, Chastel, Westerdahl, & Sorci, 2004; Kalbe et al., 2009; Lenz, Mueller, Trillmich, & Wolf, 2013; Thoss, Ilmonen, Musolf, & Penn, 2011; Wegner, Reusch, & Kalbe, 2003). 45

46 The reported inconsistencies in the fitness consequences of MHC-diversity likely stem from 47 the variations in the trade-off between the different functions of the MHC. The fact that each MHC 48 protein can bind a limited set of antigens leads to the straightforward expectation that an increase in 49 MHC diversity increases the number of antigens recognized, thereby providing resistance to a wider 50 range of parasites (reviewed in Milinski, 2006). Higher MHC-diversity may also lead to more efficient 51 immune responses during infection (Behnke & Wahid, 1991; Doherty & Zinkernagel, 1975; McClelland, 52 Penn, & Potts, 2003). However, theoretical models have shown that high MHC-diversity can also incur 53 costs by limiting the potential for inducing an immune reaction (Nowak, Tarczyhornoch, & Austyn, 54 1992; Woelfing, Traulsen, Milinski, & Boehm, 2009; see also Migalska et al. 2019) or by increasing the 55 potential for autoimmune disorders (Borghans & De Boer, 2001).

Variation in the level of MHC-diversity maximizing fitness should be shaped by any selective pressure associated with changes in exposure to parasites, immune response strength or susceptibility to autoimmunity. Several studies have shown that MHC-diversity varies among species or populations according to key life-history traits. For instance, increased mean MHC-diversity has been associated with migratory behavior and female promiscuity, two traits that may increase exposure and/or reduce immune response to parasites (Gohli et al., 2013; Minias, Pikus, Whittingham, & Dunn, 2019;

62 Whittingham, Dunn, Freeman-Gallant, Taff, & Johnson, 2018; Winternitz et al., 2013). In contrast, 63 studies investigating interindividual variation in the fitness consequences of MHC-diversity within a 64 population are scarce, although there is extensive evidence for interindividual variations in these 65 potential selective pressures (i.e. exposure to parasites, immune response strength or susceptibility to 66 autoimmunity). For instance, it has been recently hypothesized that sex differences in the effects of 67 immunosuppressive sex hormones on the strength of immune response and on the susceptibility to 68 autoimmunity should result in different optima of MHC diversity between males and females (Roved, 69 Westerdahl, & Hasselquist, 2017). Specifically, the authors argued that males should benefit from 70 higher levels of MHC-diversity than females because sex hormones reduce immune activation in males 71 and increase susceptibility to autoimmunity in females. Accordingly, the association between MHC-72 diversity and reproductive success was positive in adult males but not in adult females in great reed 73 warblers (Acrocephalus arundinaceus) (Roved, Hansson, Tarka, Hasselquist, & Westerdahl, 2018). This 74 hypothesis may explain similar findings in other species (Huchard, Knapp, Wang, Raymond, & Cowlishaw, 2010; Sauermann et al., 2001; Schaschl et al., 2012). There may be other explanations for 75 76 why males benefit from higher levels of MHC-diversity than females. For instance, male-male contests 77 increase males' risk of wounds and thus infections (Huchard et al., 2010 and references therein), and 78 deplete males' energetic reserves, thereby possibly leading to less energy available for allocation to 79 immune functions (Schaschl et al., 2012 and references therein). While a few other studies investigated 80 sex-specific associations between MHC-diversity and fitness (Hablutzel et al., 2014; Jager et al., 2007; 81 Lenz, Eizaguirre, Scharsack, Kalbe, & Milinski, 2009), no studies have investigated whether other 82 individual traits may modulate these associations. Sex is not the only trait modulating exposure to 83 parasites or immune response strength. For instance, social status and personalities have been 84 associated with infection risks by influencing frequency and duration of interactions with conspecifics 85 (Boyer, Reale, Marmet, Pisanu, & Chapuis, 2010; Drewe, 2010; Habig & Archie, 2015).

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86 Here, we investigated whether differences in sex and hatching order are associated with 87 variation in the fitness consequences of MHC class-II diversity during the nestling stage in a wild 88 population of the monogamous black-legged kittiwakes (Rissa tridactyla). In kittiwakes, female and 89 second-hatched chicks are smaller, grow slower (Merkling et al., 2012; Vincenzi, Hatch, Mangel, & 90 Kitaysky, 2013; Vincenzi, Hatch, Merkling, & Kitaysky, 2015) and suffer more from sibling aggressions 91 (Delaunay, 2018; White, Leclaire, et al., 2010) than other chicks, suggesting that they are less 92 competitive for food and in poorer condition. In several other species, including birds, food shortage 93 and reduced condition have been linked to reduced immune responses via energy trade-offs 94 (Beldomenico & Begon, 2010; Brzek & Konarzewski, 2007) or chronic stress (Glaser & Kiecolt-Glaser, 95 2005). In addition, in kittiwakes, second-hatched chicks hatch from eggs containing increased levels of 96 androgens compared to first-hatched chicks (Benowitz-Fredericks, Kitaysky, Welcker, & Hatch, 2013; 97 Gasparini et al., 2007). This may lead to reduced immune responses as these sex hormones are known 98 to be immunosuppressive in other species (Klein & Flanagan, 2016; Smyth, Caruso, Davies, Clutton-Brock, & Drea, 2018). Thus, females and second-hatched chicks are predicted to be immunologically 99 100 disadvantaged compared to males and first-hatched chicks. Because individuals who have less efficient 101 immune response are hypothesized to be particularly advantaged by high MHC-diversity (Roved et al., 102 2017), we expect, the fitness of females and second-hatched chicks to be more dependent on MHC-103 diversity than the fitness of males and first-hatched chicks. We thus investigated whether the 104 association between fitness-related traits and MHC class-II diversity varied with sex and hatching 105 order. We tested several fitness-related traits, namely survival in the nest, growth rate and tick 106 infection during the nestling stage. Growth rate is an important component of fitness in kittiwakes 107 because faster growing chicks are more likely to recruit as breeders (Vincenzi et al., 2015). Ticks can 108 have strong deleterious effects on kittiwake chicks by reducing growth rate when food is scarce 109 (McCoy, Boulinier, Schjorring, & Michalakis, 2002), potentially leading to death in case of hyper-110 infestation (Chastel, Monnat, Lelay, & Balouet, 1987). Antigens contained in tick saliva are recognized by MHC class II molecules, which present them to T lymphocytes, thereby activating an immune
response that can reduce tick-feeding efficiency and eventually lead to tick detachment (Andrade,
Texeira, Barral, & Barral-Netto, 2005; Oliver et al., 2009; Owen, Nelson, & Clayton, 2010).

114 MATERIALS AND METHODS

115 Study site

116 The study was conducted during the 2007-2013 and 2016-2017 breeding seasons (May–August) on a 117 colony of black-legged kittiwakes nesting on an abandoned U.S. Air Force radar tower on Middleton 118 Island (59°26'N, 146°20'W), Gulf of Alaska. The 400 nest sites created on the upper walls of the tower 119 can be observed from inside the building through sliding one-way mirrors and birds are individually 120 identified using color and metal bands (Gill & Hatch, 2002). All nest sites were checked twice daily (9:00 121 and 18:00) to record laying, hatching and death events. We focused on two-eggs clutches, which is the 122 typical clutch size in this kittiwake population (range 1-3; Gill & Hatch, 2002). There is generally a two-123 day interval between laying of the first and second egg, which usually results in an asynchronous 124 hatching by a day or two (Coulson, 2011). On the day of laying, A- and B- eggs (first- and second-laid 125 eggs, respectively) were labeled individually with a non-toxic marker. Chicks were marked on the head 126 with similar markers for identification shortly after hatching.

127 DNA collection and sexing

128 Chicks were sexed molecularly using DNA extracted from eggshells, tissues from embryos (in case of 129 pre-hatching death) or blood collected from the metatarsal vein (see Merkling et al., 2012 for a 130 detailed sexing protocol). A few chicks (n = 18) were sexed *a posteriori* when they came back to the 131 colony as adults (identified with a numbered metal ring). We used DNA extracted from a blood sample 132 collected on adults with a syringe or capillaries from the brachial vein to determine sex using the same 133 molecular method as for chicks (Merkling et al., 2012). The sex of a few adults (n = 4) was determined

- using sex-specific behavior (i.e. copulation and courtship feeding during the prelaying period; Danchin,
- 135 1991; Jodice, Lanctot, Gill, Roby, & Hatch, 2000).
- 136 Molecular analysis of major histocompatibility complex
- 137 *MHC genotyping*

138 The DNA samples were used to amplify 258 bp fragments (218 bp excluding primers) of the exon 2 of 139 the black-legged kittiwake MHC class-IIB. We used the MHC class-IIB specific primers (forward: 5' 140 GCACGAGCAGGGTATTTCCA and reverse: 5' GTTCTGCCACACACTCACC) designed by Leclaire et al. 141 (2014), which amplify at least two MHC class-IIB loci. These loci are presumed to be functional as 142 shown by signs of positive selection, by the absence of stop codon or frame shift mutations in the 143 translated alleles and by at least three alleles being transcribed in each of the two individuals studied 144 (Leclaire et al., 2014). To discriminate samples after sequencing, the 5' end of both forward and reverse 145 primers included a combination of two different 8 bp tags. The PCR amplification was performed in 20 146 μl mixtures containing 2 μl of extracted DNA, 0.5 μM of each primer, 1x AmpliTaq Gold[®] 360 Master 147 Mix (Applied Biosystems, Foster City (CA) USA) and 3.2 μg of bovine serum albumin (Roche Diagnostics, 148 Basel, Switzerland). The PCR program consisted of 10 min initial denaturation at 95°C, followed by 30 149 cycles of 30 s denaturation at 95°C, 30 s annealing at 57°C and 1 min 30 s extension at 72°C. A final 150 elongation step was run at 72°C for 7 min. Amplicons were then purified using the MinElute PCR 151 Purification protocol (Qiagen, Hilden, Germany). Amplicons were sequenced in two runs with an 152 Illumina MiSeq platform, using the 2 × 300 bp protocol (Fasteris SA, Plan-les-Ouates, Switzerland). We included PCR blank controls, as well as unused tag combinations, in the sequenced multiplex to detect 153 154 and withdraw potential mistagging biases (Esling, Lejzerowicz, & Pawlowski, 2015).

Amplicon sequences were analyzed with ampliSAS, a three-step pipeline that consists of read demultiplexing, unique sequence clustering, and erroneous sequence filtering (Sebastian, Herdegen, Migalska, & Radwan, 2016). First, the Illumina data were filtered to remove low-quality sequences 158 (sequences with Phred scores less than 50). Sequences were then clustered using the default ampliSAS 159 parameters for Illumina sequences (substitution errors: 1%, indel errors: 0.001%, minimum frequency 160 with respect to dominant: 25%), and sequences that were potential chimeras or that had less than 3% 161 frequency were discarded. We discarded samples with a depth inferior to 500 reads. Considering the 162 larger set of samples analyzed in the two MiSeq runs (n = 2064 samples, including 699 samples that 163 were part of this study), the reproducibility of genotype between the two runs (n = 25 DNA samples 164 that were split and processed in independent PCRs) was 100%. After processing, we had an average (± 165 s.d.) of 4735 ± 846 reads per individual (range: 509–5000 reads). We obtained 83 different MHC class-II 166 alleles. All detected alleles were of the same length. The number of alleles per individual was $3.38 \pm$ 167 0.83 (mean \pm s.d.; range: 1–7), suggesting the presence of at least 4 functional loci.

168 Chick MHC-II diversity

169 Several measures of MHC-diversity have been used across studies (Lenz et al., 2013; Radwan et al., 170 2012; Sepil, Lachish, & Sheldon, 2013). The most widely used proxy of MHC-diversity is the number of 171 different MHC alleles possessed by an individual (e.g. Huchard et al., 2010; Kalbe et al., 2009; Roved et al., 2018). This measure relates to the "heterozygote advantage" (or overdominance) hypothesis. This 172 173 hypothesis posits that heterozygous individuals should have a selective advantage over homozygous 174 individuals, because they have a higher number of different MHC molecules, and thus can bind a higher 175 number of antigens (Doherty & Zinkernagel, 1975). Wakeland et al. (1990) later proposed the 176 "divergent allele advantage" hypothesis, which posits that, in heterozygous individuals, those with 177 higher degree of functional divergence between alleles should have a selective advantage because 178 their MHC molecules can bind a broader range of antigens. Several studies on a single MHC locus have 179 thus used the functional divergence between two alleles to estimate MHC-diversity (Pierini & Lenz, 180 2018). Studies considering several MHC loci and using functional divergence to estimate MHC diversity 181 are scarce. A few of them used the average functional divergence between all alleles and considered

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182 that individuals with higher mean divergence should be advantaged (Lenz, Wells, Pfeiffer, & Sommer, 183 2009; Schwensow, Eberle, & Sommer, 2010). However, the "divergent allele advantage" is expected 184 to work in concert with the "heterozygote advantage" (Wakeland et al., 1990) and, when considering 185 several loci, this estimate of MHC-diversity is, therefore, unlikely to be strongly correlated with the 186 range of antigens bound by all alleles together. A few studies have thus used the degree of divergence 187 over all loci to estimate MHC-diversity (Grieves, Gloor, Bernards, & MacDougall-Shackleton, 2019; Huchard, Baniel, Schliehe-Diecks, & Kappeler, 2013; Leclaire et al., 2019; Radwan et al., 2012). This 188 189 measure is expected to estimate the range of antigens recognized by MHC molecules, regardless of 190 whether a high range is due to the possession of many alleles that are somewhat divergent or to the 191 possession of a few alleles that are very divergent. In our study, we decided, therefore, to estimate 192 MHC-II diversity as the degree of divergence between alleles over all loci.

193 We used the Faith's diversity index to estimate MHC-II diversity as the degree of divergence of 194 alleles across all loci (as in Grieves et al., 2019 and Leclaire et al., 2019). We first translated MHC-II DNA 195 sequences into amino acid sequences, and considered DNA sequences as functionally identical if they 196 had the same amino-acids in the peptide-binding regions (PBRs; inferred from Leclaire et al., 2014). 197 Non-PBR sites were characterized by a low nucleotide diversity and codons with no significant excess 198 of non-synonymous substitutions (Leclaire et al., 2014). We obtained a total of 68 functional alleles. 199 The mean number of functional alleles per individual was 3.31 ± 0.78 (mean \pm s.d.; range: 1-7; 200 supporting information Figure S1) and did not significantly vary among years (Kruskal–Wallis, U = 4.89, 201 df = 8, p = 0.77; supporting information Figure S2). Then, following the approach of Schwensow et al. 202 (2007), we described the chemical binding properties of each amino acid in the PBRs with the 203 Sandberg's five physico-chemical descriptors (z-descriptors; Sandberg, Eriksson, Jonsson, Sjostrom, & 204 Wold, 1998). Following an approach adapted from Strandh et al. (2012), we used this Sandberg matrix 205 to construct an alternative maximum-likelihood phylogenetic tree with "Rcontml" in the R package 206 Rphylip (Revell & Chamberlain, 2014). This tree represents clusters of functionally-similar MHC-II 207 sequences (see supporting information Figure S3) and was used as a reference to calculate the 208 functional diversity of an individual as the minimum total length of all the branches required to span 209 its MHC-II alleles (i.e. Faith's phylogenetic diversity; Faith, 1992) with the R function "pd" in the picante 210 R package (Kembel et al., 2010). In other words, for each additional allele, only the part of the peptide-211 binding characteristics that is not shared with other alleles is summed. Chick Faith's MHC-II diversity 212 varied from 0.89 to 9.81 (mean \pm s.d.: 6.01 \pm 1.19; supporting information Figure S4) and did not 213 significantly vary among years (Kruskal–Wallis, U = 4.24, df = 8, p = 0.83; supporting information Figure 214 S5).

215 For comparison with Faith's MHC-II diversity, we also carried out analyses on the association 216 between fitness traits and the number of functional MHC-II alleles or the mean MHC-II divergence. We 217 calculated the number of functional MHC-II alleles as the number of amino acid PBR sequences per 218 individual. To calculate mean MHC-II divergence, we used the Sandberg matrix to compute the 219 Euclidean distance between all possible pairs of functional alleles (Lenz, Wells, et al., 2009) with the R 220 function "distance" in the philentropy R package (Drost, 2018). Then for each individual, we calculated 221 MHC-II divergence as the sum of Euclidean distances between each pair of alleles possessed by this 222 individual, divided by the number of allele pairs. It was thus not possible to calculate MHC-II divergence 223 for chicks carrying only one MHC-II allele (n = 2 individuals). Chick functional MHC-II divergence varied 224 from 6.57 to 21.93 (mean \pm s.d.: 17.32 \pm 1.79; Figure S6) and did not significantly vary among years 225 (Kruskal–Wallis, U = 10.22, df = 8, p = 0.24; Figure S7).

226 Chick fitness parameters

227 Survival

To record disappearance and death, all nests were checked twice daily (9:00 and 18:00) throughout
 the season until we left the study site (August 15th).

230 Morphological measurements and growth

Chicks were measured every 5 days from hatching to the age of 35 days. We measured body mass to
the nearest 0.1 g using an electronic scale, tarsus length to the nearest 0.1 mm with a caliper and wing
length to the nearest 1 mm with a wing ruler.

234 We estimated body mass and size growth rates over 35 days by calculating the maximum slope 235 of a logistic growth curve between morphological measures and age (Merkling et al., 2012) using the 236 grofit package in R (Kahm, Hasenbrink, Lichtenberg-Frate, Ludwig, & Kschischo, 2010). Chick size was 237 estimated by taking the scores of the first principal component analysis on wing and tarsus length at 238 0, 5, 10, 15, 20, 25, 30 and 35 days together. Because such measurement necessarily excludes chicks 239 that were not measured up to 35 days old, we also estimated growth rates over the first 10 days by 240 calculating the slope of the linear regression between the morphological measures and age (Merkling 241 et al., 2014; Merkling et al., 2016).

242 Tick infection

For each chick hatched in the 2008, 2009 and 2010 breeding seasons, we recorded the number of attached ticks (*Ixodes uriae*) every 5 days from 5 to 30 days through visual examination and palpation (Danchin, 1992). *I. uriae* is the only tick species known to infect kittiwakes on Middleton Island (BM Williams, personal communication). Ticks generally start to feed on 5-day-old chicks and parasitism may continue until fledging (Boulinier & Danchin, 1996).

248 Statistical analysis

249 Sample size

In all statistical analyses, eggs were excluded when their handling for other experimental purposes could have affected fitness. Because our study aimed at investigating the effect of chick (or embryo) sex on MHC-II-fitness relationships, we excluded unsexed chicks (or embryos) from the analyses (n = 94 individuals). These filters, together with the fact that tick infections were checked only over 3 years, led to different sample sizes for each fitness parameter: 17 non-hatched embryos and 429 chicks were
used for survival analyses, 680 chicks for the analyses on condition and size at hatching, 292 chicks for
growth rate analyses over the first 10 days, 209 chicks for growth rate analyses over 35 days and 138
chicks for tick infection analyses.

258 Model selection

259 We used an AICc-based information-theoretic approach to test how Faith's MHC-II diversity was 260 associated with fitness-related traits (Burnham & Anderson, 2004; Burnham, Anderson, & Huyvaert, 261 2011). For each fitness-related trait, we built a set of candidate models corresponding to biologically 262 plausible hypotheses explaining the response variable. Each set of candidate models also included a 263 null model (intercept only) and when a model included an interaction, we always considered an 264 additive model (i.e. without the interaction). We selected the best models based on their $\Delta AICc$ (i.e. 265 the difference between the AICc of a given model and the AICc of the best model) by keeping every 266 model with $\Delta AICc \leq 4$. This cut-off can be considered as conservative and retains the true best model 267 with an approximate 95% confidence (Richards, 2005). Using these best models, we computed natural 268 model-averaged parameter estimates, standard errors and 95% confidence intervals without 269 shrinkage; i.e. parameter estimates of each variable were averaged using only the models with ΔAICc 270 < 4 in which they appear (Nakagawa & Freckleton, 2011). Model selection and averaging were 271 conducted using the MuMIn package (Bartoń, 2018) and based on maximum likelihood estimation (see 272 supporting information for an outline of all models). All statistical analyses were performed with R 273 3.5.2 (R Core Team, 2018).

For each fitness-related trait, we built a set of models that included Faith's MHC-II diversity, the square of Faith's MHC-II diversity, sex, hatching order and two- and three-way interactions between sex, hatching order and Faith's MHC-II diversity. We standardized continuous variables in all analyses and checked for collinearity issues. We detected a significant association between sex and

Faith's MHC-II diversity at hatching (see results), and thus ran separate models for male and female chicks for all analyses. We included clutch identity (ID), pair ID and year as random effects in the models and checked for normal distribution of random effects using the best model in model selection. The pair ID random effect was however removed from models because associated variance estimates were virtually zero.

283 Survival at the nest

We tested for the effect of Faith's MHC-II diversity on chick survival at the nest using Cox proportional hazard mixed-effect models in the R package *coxme* (Therneau, 2018). We considered chick survival between 0 and 35 days (i.e. before fledging; Coulson & White, 1958; Maunder & Threlfall, 1972). Sixteen chicks younger than 35 days (mean \pm s.d. : 30.6 ± 4.3 days old) were still alive when we left the study site. To be confident that survivors included in analyses fledged after our departure, we excluded those sixteen chicks because chicks' likelihood to fledge is very high once they reach 35 days old (Barrett & Runde, 1980; Merkling et al., 2014).

291 Body condition and size at hatching and growth

We used linear mixed models (LMMs) in the *Ime4* R package (Bates, Machler, Bolker, & Walker, 2015) to test for the effects of Faith's MHC-II diversity on body condition and size at hatching and on body mass and size growth rates. Chick size at hatching was included in the model built for body mass at hatching, which can thus be interpreted as size-adjusted body mass, or body condition (Garcia-Berthou, 2001). We checked for normality and homoscedasticity of residuals using the best model in model selection.

298 Tick infection

We investigated the association between Faith's MHC-II diversity and three variables linked to tick infection. First, we determined whether Faith's MHC-II diversity was associated with the probability

301 and the timing of first infection by ticks during the nestling stage (between 5 and 30 days old; n = 138 302 chicks) by fitting Cox proportional hazard mixed-effect models in the R package coxme (Therneau, 303 2018). Chicks that were not infected by ticks during this period were right-censored (n = 28). Second, 304 we focused on quantitative resistance by testing whether Faith's MHC-II diversity was associated with 305 the maximum number of ticks chicks had during the nestling period (n = 110 chicks, excluding those 306 without ticks) using zero-truncated models with a Poisson distribution using the *qlmmTMB* R package 307 (Brooks et al., 2017). If models with a zero-truncated Poisson distribution were overdispersed, we 308 compared their fit to the fit of models with zero-truncated negative binomial 1 and zero-truncated 309 negative binomial 2 distributions. We pooled the values over 15 to "15+" to reduce overdispersion (i.e. 310 four chicks had more than 15 ticks). Finally, we determined whether Faith's MHC-II diversity was 311 associated with the probability and the timing of tick clearance during the nestling stage (n = 86 chicks) 312 by fitting Cox proportional hazard mixed-effect models in the R package *coxme* (Therneau, 2018). 313 Chicks without ticks (n = 28) or discontinuously infected by ticks (n = 24) were excluded from this analysis. Chicks that still had ticks at the end of the observation period were right-censored. We 314 315 removed the clutch ID random effect from models because associated variance estimates were virtually zero. 316

317 Comparison of MHC measures

To test the association between fitness-related traits and the number of functional MHC-II alleles or MHC-II divergence, we used the same statistical approach as for Faith's MHC-II diversity. MHCdivergence is theoretically not related to the number of alleles but, in our dataset, there was a negative correlation between these measures (Pearson correlation, t = -5.67; r = 0.21; p < 0.001; n = 697, as in Roved, 2019). Including both measures into the same models leads to VIF values > 5, indicating collinearity issues (Zuur, Ieno, & Elphick, 2010). We therefore carried out separate analyses for the number of functional MHC-II alleles and MHC-II divergence. Because most chicks had 3 or 4 alleles in the restricted dataset used for tick analyses, we transformed the "number of MHC-II alleles" in a binary variable with number of MHC-II alleles \leq 3 or \geq 4 for analyses on ticks. The square of number of MHC-II alleles and the interactions that included this variable were thus removed from the corresponding models. Full models including either Faith's MHC-II diversity, MHC-II divergence or number of MHC-II alleles were compared according to the Akaike information criterion (AICc) to explore the relative importance of these three different MHC-measures.

331 **RESULTS**

332 Sex-difference in Faith's MHC-II diversity

There was a significant association between sex and Faith's MHC-II diversity at hatching (t-test: t = -2.75; p = 0.006; n = 680 chicks) and at 35 days old (t-test: t = -2.10; p = 0.037; n = 209 chicks). At hatching and at 35 days-old, male chicks were less MHC-II diverse than female chicks (mean \pm s.d.: males at hatching: 5.90 \pm 1.48; males at 35 days old: 5.98 \pm 1.20; females at hatching: 6.14 \pm 1.31; and females at 35 days old: 6.30 \pm 1.15).

338 Survival at the nest

Among 430 monitored eggs, 142 chicks (33%) died before reaching 35 days of age. In single-sex models, the interaction between Faith's MHC-II diversity and hatching order was significant only in females (estimate ± s.e. = -0.58 ± 0.28, 95% CI: -1.13, -0.04; supporting information Tables S1, S2). Mortality significantly decreased with increasing Faith's MHC-II diversity in female B-chicks, but not in female Achicks, while we detected no significant effect of Faith's MHC-II diversity on mortality in males (Figures 1, **S8**).

345 Body condition and size at hatching

Body condition at hatching was not significantly associated with Faith's MHC-II diversity (Tables S3,
S4). Although there was a negative trend in males, size at hatching was not significantly associated

with Faith's MHC-II diversity (females: estimate ± s.e. = 0.01 ± 0.06, 95% CI: -0.10, 0.12; males: estimate
± s.e. = -0.10 ± 0.05, 95% CI: -0.20, 0.01; Tables S5, S6).

350 Growth

351 In females only, body mass growth rate (females: estimate \pm s.e. = 0.26 \pm 0.09, 95% CI: 0.08, 0.43; 352 males: estimate ± s.e. = -0.001 ± 0.08, 95% CI: -0.15, 0.15; Tables S7, S8, Figure 2A) and body size 353 growth rate over the first 10 days (females: estimate \pm s.e. = 0.24 \pm 0.09, 95% CI: 0.06, 0.42; males: 354 estimate \pm s.e. = 0.06 \pm 0.07, 95% CI: -0.08, 0.20; Tables S9, S10, Figure 2B) were significantly and 355 positively associated with Faith's MHC-II diversity. There was also a significant effect of the interaction 356 between the square of Faith's MHC-II diversity and hatching order on body size growth rate in females 357 (estimate \pm s.e. = 0.26 \pm 0.11, 95% CI: 0.05, 0.47; Table S9). However, this interaction became nonsignificant after removing one A-female with high Faith's MHC-II diversity and moderate size growth 358 359 rate (estimate \pm s.e. = 0.20 \pm 0.13, 95% CI: -0.06, 0.46; Table S11). Similar results were obtained for 360 growth rates over 35 days (Tables S12-S15; Figure S9).

361 Tick infection

362 In single-sex models, there was no significant association between Faith's MHC-II diversity and the age 363 of first infection by ticks (females: estimate \pm s.e. = -0.15 \pm 0.14, 95% CI: -0.43, 0.12; males: estimate \pm 364 s.e. = -0.10 ± 0.14, 95% CI: -0.37, 0.17; Tables S16, S17) or the maximum number of ticks (females: 365 estimate ± s.e. = -0.29 ± 0.22, 95% CI: -0.73, 0.15; males: estimate ± s.e. = -0.01 ± 0.26, 95% CI: -0.51, 366 0.51; Tables S18, S19). However, in females only, tick clearance was positively and significantly 367 associated with Faith's MHC-II diversity (females: estimate ± s.e. = 0.54 ± 0.20, 95% CI: 0.14, 0.94; males: estimate ± s.e. = -0.07 ± 0.21, 95% CI: -0.49, 0.34; Tables S20, S21). The more females were 368 369 MHC-II diverse, the more likely and the faster they were to clear ticks (Figures 3, 4). There was no 370 significant effect of any other parameter on these three response variables (Tables S16-S21).

371 Comparison of MHC measures

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372 Faith's MHC-II diversity was significantly and positively correlated with both the number of functional 373 MHC-II alleles (Pearson correlation, t = 8.90; r = 0.32; p < 0.001; n = 699) and MHC-II divergence 374 (Pearson correlation, t = 2.89; r = 0.11; p = 0.004; n = 697). No effects of functional MHC-II allele number 375 or mean MHC-II divergence on fitness traits were detected except for a sex-specific effect of allele 376 number on tick infection, with females, but not males, possessing three MHC-II alleles or less being 377 more likely to get infected than females with four MHC-II alleles or more (see supporting information 378 for more details). There was also a weak effect of the square of MHC-II divergence on body mass 379 growth rate, with chicks harboring intermediate MHC-II divergence growing faster than those with low 380 or high MHC-II divergence (see supporting information for more details). For fitness traits associated 381 with Faith's MHC-II diversity, full models including Faith's MHC-II diversity had lower AICc than similar 382 models including MHC-II divergence or MHC-II allele number (Table S22). This suggests that Faith's 383 MHC-II diversity is a better predictor of these different fitness traits than MHC-II divergence or the 384 number of MHC-II alleles in kittiwake chicks.

385 **DISCUSSION**

386 Although fitness advantages have been associated with different levels of MHC-II diversity in a wide 387 range of species and populations (Bonneaud et al., 2004; Brouwer et al., 2010; Lenz et al., 2013; Thoss 388 et al., 2011; Wegner et al., 2003), only a handful of studies have investigated the possibility that 389 individuals within a population might benefit from different levels of MHC-II diversity. Yet, individuals 390 can differ greatly in exposure and immune responses to parasites, leading to the expectation that they 391 might not benefit from MHC-II diversity the same way. In this study, we investigated whether, in 392 kittiwake chicks, MHC-II-fitness associations depended upon sex and hatching order, two factors 393 expected to modulate exposure and immune response to parasites. As expected, we detected positive 394 associations between MHC-II diversity and female chick survival, but only in second-hatched female 395 chicks. In contrast, no association between MHC-II diversity and survival was detected in male chicks.

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High MHC-II diversity was also associated with faster growth and tick clearance in female chicks only.
Our results suggest, therefore, that female chicks, especially those hatched in second position, benefit
from maximal levels of MHC-II diversity while male chicks do not.

399 Several underlying mechanisms may explain the sex- and hatching rank-dependent effects of 400 MHC-II diversity on fitness in kittiwake chicks. Because high MHC-II diversity provides resistance to a 401 wider range of parasites and is associated with more efficient immune response, the fitness benefits 402 associated with high MHC-II diversity in female chicks, particularly when hatched in second position, 403 might be explained by weaker immune responses and/or higher exposure to parasites compared to 404 males and A-chicks. Decreased immune response may result from higher levels of immunosuppressive 405 sex hormones, such as androgens (Klein & Flanagan, 2016). Although higher levels of androgens have 406 been found in the yolk of B-eggs compared to A-eggs in kittiwakes (Benowitz-Fredericks et al., 2013; 407 Gasparini et al., 2007), sex-differences in circulating levels of androgens have not been investigated in 408 kittiwake chicks. However, female chicks have been found to have higher levels of androgens than 409 male chicks in several other species (Fargallo, Martinez-Padilla, Toledano-Diaz, Santiago-Moreno, & 410 Davila, 2007 and references therein). Non-exclusively, weaker immune responses might also result 411 from a trade-off between immunity and other competing functions that require metabolic resources 412 (Zuk & Stoehr, 2002). In kittiwakes, female- and B-chicks are smaller (Merkling et al., 2012), in poorer 413 condition (this study; see supporting information Tables S3-S4, S23, S24; Figure S10) and suffer more 414 from sibling aggression than other chicks (Delaunay, 2018; White, Leclaire, et al., 2010) suggesting that 415 they might be less competitive for food, and thus have a lower amount of resources to allocate to 416 immune functions (Beldomenico & Begon, 2010; Beldomenico et al., 2008). Interestingly, when 417 restricting our MHC-II-fitness analyses to broods where one egg did not hatch, thereby excluding the role of competition and aggression between siblings in driving MHC-II-fitness associations, we did not 418 419 find any significant effect of MHC-II diversity on survival in female B-chicks (n = 49 females) or on 420 growth rate (n = 34 females) or tick clearance (n = 14 females) in female chicks (supporting information

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Tables S25-S28). Although these results must be taken with caution because of the reduced sample
size, they suggest that sibling interactions are a potential driver of sex- and rank-specific effects of
MHC-II diversity on fitness in this species.

424 A surprising finding of our study was that female chicks had, on average, a higher MHC-II 425 diversity than male chicks at hatching (mean \pm s.d.: 6.14 \pm 1.31 vs. 5.90 \pm 1.48). Yet, females and males 426 should exhibit the same level of MHC-diversity as they share the genetic architecture of the MHC (i.e. 427 MHC genes are located on autosomes; Murphy & Weaver, 2017). Sex-difference in mean MHC-428 diversity has already been reported in humans, rats and mice, with increased MHC-diversity in 429 newborn males compared to newborn females (Dorak, Lawson, Machulla, Mills, & Burnett, 2002, and 430 references therein). Proposed mechanisms include selective fertilization, egg resorption and embryo 431 loss (Dorak et al., 2002). Selective fertilization and embryo loss have been associated with both the 432 degree of MHC-similarity between parents (Lenz, Hafer, Samonte, Yeates, & Milinski, 2018; Ober, 433 Hyslop, Elias, Weitkamp, & Hauck, 1998; Wedekind, Chapuisat, Macas, & Rulicke, 1996; Zhu, Wan, 434 Zhang, & Fang, 2019) and with the sex of the embryo (or the sex chromosome of the gametes; Navara, 435 2018). However, whether they can be affected by these two parameters in interaction remains largely 436 unknown. In our dataset, female hatchlings were still more MHC-II diverse than males when we 437 considered only clutches with no egg loss (mean \pm s.d.: 6.20 \pm 1.12 vs 5.93 \pm 1.15; t-test: t = -2.55; p = 438 0.01; n = 448 chicks), suggesting that the sex-difference in hatchlings MHC-II diversity is not triggered 439 by a sex-specific effect of MHC-II diversity on post-laying embryo mortality. Regardless of the 440 underlying mechanism, MHC-II-similar parents, that are more likely to produce chicks with low MHC-441 II diversity (Setchell, Abbott, Gonzalez, & Knapp, 2013), might benefit from avoiding the fitness costs 442 associated with the production of low MHC-II diverse daughters.

443 While our results are consistent with a direct effect of MHC-II on fitness-related traits, some 444 methodological limitations and alternative explanations must be acknowledged. First, we amplified

445 exon 2 of the MHC-II because it codes for the majority of amino acids that form the peptide-binding 446 groove in model species like humans (Brown et al., 1993; Saper, Bjorkman, & Wiley, 1991) and because 447 it has been the focus of most MHC research in non-model avian species (Minias, Pikus, Whittingham, 448 & Dunn, 2018). However, both exon 2 and exon 3 encode the peptide binding grooves on MHC-II 449 molecules. Second, we cannot rule out the possibility that variation at other genes may partly explain 450 our results. For instance, MHC-II alleles might be in linkage disequilibrium with other MHC genes (e.g. 451 MHC class I genes), owing to the compact architecture of the avian MHC (Hess & Edwards, 2002). Third, 452 our results may possibly be explained by a broader effect of inbreeding on fitness, as variations at MHC 453 genes can be correlated with genome-wide genetic variation depending on the life history, the 454 dispersal ability and the breeding system of the study species (Sommer, 2005). Several studies 455 reported sex-specific effects of inbreeding on fitness related traits (Billing et al., 2012; T. Coulson, 456 Albon, Slate, & Pemberton, 1999; Rioux-Paquette, Festa-Bianchet, & Coltman, 2011). In hihis 457 (Notiomystis cincta), the loss of inbred female embryos at a very early stage was proposed to explain 458 increased heterozygosity in females later in the development (Brekke, Bennett, Wang, Pettorelli, & 459 Ewen, 2010). When testing for an association between MHC-II diversity and an estimate of genome-460 wide diversity (i.e. standardized heterozygosity; Coltman, Pilkington, Smith, & Pemberton, 1999) in a 461 collection of 614 adults for which we had both MHC-II and microsatellite data (nine microsatellite loci; 462 see Pineaux et al., 2019 for details), we found however no significant correlation (Pearson correlation: 463 t = 0.27; r = 0.01; p = 0.79; supporting information Figure S11). In future, these potential effects on 464 fitness could be disentangled by studies including multiple MHC genes and other immune genes 465 alongside a better measure of overall inbreeding status.

This study underscores the importance of considering traits that are expected to shape an individual's exposure and immune responses to parasites when predicting the association between MHC-diversity and fitness. Sex-specific effects of MHC-diversity on fitness have been mostly studied in polygynous species, with a positive association between MHC-diversity and survival or reproductive

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470 success found in adult males (Huchard et al., 2010; Roved et al., 2018; Sauermann et al., 2001; Schaschl 471 et al., 2012). Here, we provide evidence for sex-specific associations between MHC-II diversity and 472 fitness in the early life of a monogamous species, thus calling for further research in species or 473 populations with differing life-history strategies. A recent study in adult Leach's storm-petrels 474 (Oceanodroma leucorhoa), a monogamous seabird, found a positive association between MHC-475 diversity and reproductive success in adult females but not in males (Hoover et al., 2018). The underlying explanation was that males avoided low MHC-diverse females during mate choice but it 476 477 was unclear whether reduced reproductive success of low MHC-diverse females also partly resulted 478 from a direct, detrimental effect of reduced female quality on offspring viability. For instance, female 479 petrels may be more likely to suffer from sexually transmitted infections than males, as shown in 480 kittiwakes (Van Dongen et al., 2019; White, Mirleau, et al., 2010), thereby explaining sex-specific 481 effects of MHC-diversity on fitness. Future investigations of sex-specific associations between MHC-II 482 diversity and post-fledging survival or reproductive success in kittiwakes represent an interesting 483 avenue to explore whether the benefits of high MHC-II diversity found in female chicks persist in adult 484 females. Importantly, ignoring early-life stages may yield only a partial picture of how MHC affects 485 fitness if selection removes the less fit genotypes early in life, leaving only relatively high-quality 486 individuals that survived long enough to be sampled. Such biased picture may in turn lead to a 487 misunderstanding of the evolution of reproductive strategies.

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846 DATA ACCESSIBILITY

- 847 Data are available on Open Science Framework. Pineaux, M., et al. (2020). MHC-II fitness associations
- 848 in kittiwakes. Open Science Framework. doi:10.17605/OSF.IO/DN5Y8.
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850 AUTHOR CONTRIBUTIONS

- 851 MP, PB and SL conceived and designed the study. ED, MP, PB, SL and TM collected the data. MP, SL
- and TM carried out the molecular analyses. SAH is responsible of the long-term monitoring on
- 853 Middleton Island and gave access to the study area. MP and TM performed the statistical analyses. MP
- wrote the manuscript and all authors contributed comments. All authors gave final approval for
- 855 publication.

856 FIGURES



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Figure 1. Chick survival probability during the nestling stage according to functional MHC-II diversity 858 859 for female A- (n = 107), female B- (n = 96), male A- (n = 128) and male B-chicks (n = 99). Although functional MHC-II diversity was analyzed as a continuous variable (see text), it is displayed here as a 860 861 categorical variable for illustrative purposes. We categorized MHC-II diversity in four groups using quartiles of the whole data set used for survival analyses (n = 430). The colors and line types represent 862 863 MHC-II diversity, with red corresponding to the first (dashed line) and second (dotted line) quartiles (i.e. low MHC-II diversity) and pink to the third (dotted line) and fourth (dashed line) quartiles (i.e. high 864 865 MHC-II diversity). See supporting information (Figure S10) for a different display (heatmap).

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Figure 2. Growth rate of (A) chick body mass and (B) chick body size over the first 10 days according to 869 870 functional MHC-II diversity in females (n = 131, in orange) and males (n = 160, in blue). Chick size was estimated by taking the scores of the first principal component analysis on wing and tarsus length. 871 872 Growth rate was calculated as the slope of a linear regression between morphological measures and 873 age (see methods for more details). One male with a very low MHC-II diversity (i.e. 0.88) has been 874 removed to improve clarity of the figure (see Figure S12 for a figure including this male). Removing this 875 male from analyses did not change the results. Regression lines were derived from single-sex models 876 including functional MHC-II diversity of chicks as a fixed effect. Random effects (year and clutch ID) 877 were not considered in the models used for graphic representations. Shaded areas represent 878 confidence intervals.



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Figure 3. Probability of chicks to be infected according to infection duration and functional MHC-II diversity for females (n = 44) and males (n = 42). Although functional MHC-II diversity was analyzed as a continuous variable (see text), it is displayed here as a categorical variable for illustrative purposes. We categorized MHC-II diversity in four groups using quartiles of the whole data set used for tick analyses (n = 86). The colors and line types represent MHC-II diversity, with red corresponding to the first (dashed line) and second (dotted line) quartiles (i.e. low MHC-II diversity) and pink to the third (dotted line) and fourth (dashed line) quartiles (i.e. high MHC-II diversity).







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