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Development of a new method to estimate the incident solar flux on central receivers from deteriorated heliostats

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A B S T R A C T

This work proposes a new empirical direct methodology to estimate both the solar flux distribution and intensity on the surface of central receivers. In solar power tower plants with deteriorated heliostats, the numerical simulations to estimate the incident solar flux are not precise. Hence the thermal behaviour of the receivers cannot be determined. In those cases, direct measurement or semi-empirical methodologies are required to characterize the incident power on the receiver.

The new methodology proposed, named “Superposition method”, consists in the hourly characterization of the reflected solar beam of each individual heliostat by means of a pyrheliometer, a passive screen, a flux sensor, a camera and digital image analysis. According to the aiming strategy used during receiver operation, each individual solar flux distribution and intensity can be gathered to obtain the total incident radiant power on the solar receiver. This non-real-time method has the advantage of reproducing any solar flux distribution on the receiver at present and past time.

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1. Introduction

Concentrated Solar Power (CSP) is one of the most promising clean energy technologies in the modern society. While solar energy offers the highest renewable energy potential to our planet, CSP can provide dispatchable power in a technically and economically viable way by means of thermal energy storage and/or hybridization [1]. However, the knowledge of this kind of plant has to be improved to operate them in a safely way.

One of the main problems of this kind of plants is associated with the measurement of the incident radiant power on the receiver, without perturbing the power plant operation. The accurate evaluation of the incident solar flux is very important to manage the plant and to determine its performance. If the radiant power per unit area is not high enough the thermal load capacity decreases and the cost of the electricity increases; while if the radiant power per unit area is too high or is bad distributed it can damage the receiver.

Numerous numerical tools have been developed to estimate the incident solar flux during the receiver operation [2]. They can be divided into two main categories [3]: Montecarlo Ray Tracing and convolution methods. The first one consists in a statistical approach that traces a bundle of random rays from the sun, and it is characterized by a high computational cost. Codes as MIRVAL [4], SolTrace [5], Tonatiuh [6], Stral [7] and Solfast [8] belong to this category. The second category is an approximation methodology based on mathematical superposition and convolution of error cones, characterized by a low computational cost, being some of the most popular codes HFLCAL [9], DELSOL3 [10], UNIZAR and CAMPO [11,12], SPTflux [13], PSO [14] and ParHel [15].

Previous methodologies can be deficient when the heliostats are not well aligned, when they are imperfect or when some of their parameters are unknown. In these cases the validation of numerical models is a crucial step [16]. Several are the authors that have used experimental methodologies to validate the results of their numerical simulations: either by correcting the reflectivity of the heliostats [17], the tracking errors [18,19]; or the canting errors [20]. When validation of numerical models is not possible, it is preferable to use experimental methodologies. Röger et al. [21] classified the limited experimental methodologies in 5 groups, which has been summarized in Table 1:

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i) Indirect methods that use a white diffuse moving bar target placed in front of the receiver surface and a digital camera that record the flux brightness distribution, which is calibrated with a radiometer. This measurement principle was used since the end of the 1970s worldwide in different central receiver projects, e.g., Beam Characterization System (BCS) of Sandia [22], Flux Analysing System of EIR [23], heliostat and receiver measurement system (HERMES I + II, ProHERMES) of the German Aerospace Center (DLR) [24], and CSIRO [25]. This method is able to characterize the flux distribution of all the heliostats aiming the receiver at once. Being its main issue the degradation of the radiometer painting.

ii) Direct methods based on the previous procedure that use flux sensors mounting in the moving bar. MDF method [26] was the most renowned system of this type, which reported an accuracy of about 6%. However, it still had problems with the overheating and the degradation of the white Lambertian surface of the moving bar.

iii) Indirect methods that utilize a digital camera directly on the receiver surface. In this case, the intensities reflected by the images at the receiver surface are calibrated to obtain the incident solar flux on the receiver. The most popular method, named PHLUX method, was employed by Ho and Khalsa [27]. The uncertainties of this method are significantly, up to 20–40%.

iv) Indirect methods that use a stationary stripe-shaped target and sweeping the focus over a fixed target located close to the receiver. The stripe-shaped images, collected with a digital camera, are then merged to gain a composite flux image. Pacheco et al. [28] applied this method by splitting up the heliostats in groups, instead of moving the whole focus at once. Depending on the accuracy of the heliostat tracking, the number of heliostats involved, the spatial shift and the time gap the uncertainty of the flux density distribution reached was in the range from 3.6% to 9.1%.

v) The last direct methods consist in distribute stationary water cooled flux gauges in the aperture plane or on the receiver surface. The problem of this methodology is the moderate spatial resolution and the short lifetime of the flux gauges on high temperature receiver zones, limited to 6 months.

The issue is that nowadays, none of these procedures have been introduced in commercial power plants, since they are not fully developed to measure the solar flux distribution intercepted by the huge receiver surface area with an acceptable spatial resolution.

Note also that indirect methods need hypothesis to carry out the estimation of the solar flux distributions that can introduce further uncertainties. For example, the Power-On method proposed by Pacheco [29] to calculate the total incident power on the receiver neglects the influence of the power load in the heat losses, while PROHERMES [24] and MDF [26] estimates the solar flux distribution on the aperture of the cavity, assuming that it is equal to the flux distribution on the receiver surface.

The main goal of this work is to develop an empirical direct methodology to estimate the incident radiant power per unit area on a solar absorber. The experimental method proposed, named “Superposition method”, lies in the application of the superposition method on luminosity images of the reflected beam of the heliostats, using digital image analysis (DIA) and the measurement of a punctual flux sensor. Although this procedure is well known in numerical procedures, it has not been applied experimentally.

The method proposed can be considered a combination of the fourth and second methods described previously with several updates. The main novelty introduced is that it is a non-real-time method thanks to the creation of an hourly library of the different heliostats. Which is independent of the DNI and the day, using as storage variables the solar time and the concentration ratio. With this library, any combination of the available images can be used to reproduce a solar flux distribution on the receiver at present and past time.

This method could be applied when the numerical simulations are not able to accurately predict the incident radiant power due to the heliostat detriment. This study starts with a description of the plant and the receiver prototype in which it is applied. Secondly, the methodology developed for the estimation of the radiant power on the receiver has been explained. Finally, this new methodology has been verified and validated with several experiments carried out in Themis solar power tower.

<table>
<thead>
<tr>
<th>Method</th>
<th>Acquisition devices</th>
<th>Strength</th>
<th>Weakness</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>I Indirect</td>
<td>Diffuse moving bar target</td>
<td>High spatial resolution</td>
<td>Radiometer and target degradation</td>
<td>BCS</td>
</tr>
<tr>
<td></td>
<td>Digital camera</td>
<td>Short measurement time</td>
<td></td>
<td>EIR</td>
</tr>
<tr>
<td></td>
<td>Radiometer</td>
<td>High reliability</td>
<td>Mechanical problems of the target</td>
<td>HERMES</td>
</tr>
<tr>
<td>II Direct</td>
<td>Diffuse moving bar target</td>
<td>Good spatial resolution</td>
<td>Overheating</td>
<td>FATMES</td>
</tr>
<tr>
<td></td>
<td>Digital camera</td>
<td>Low interpolation errors</td>
<td>Target degradation</td>
<td>OBELIX</td>
</tr>
<tr>
<td></td>
<td>Gardon flux gauges</td>
<td>Better precision than method I (~6%)</td>
<td>No applicable in external receivers</td>
<td>MDF</td>
</tr>
<tr>
<td>III Indirect</td>
<td>Digital camera</td>
<td>Simply</td>
<td>High uncertainties (~20–40%)</td>
<td>PHLUX</td>
</tr>
<tr>
<td></td>
<td>Receiver surface</td>
<td>No mechanical parts</td>
<td>Spectral dependence of the receiver</td>
<td></td>
</tr>
<tr>
<td>IV Indirect</td>
<td>Stripe-shaped target close to the receiver</td>
<td>Cheap</td>
<td>Accuracy function of the number of heliostats</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Digital camera</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Flux sensor</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>V Direct</td>
<td>Flux gauges</td>
<td>No moving parts</td>
<td>Low spatial resolution</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Cooling system</td>
<td>Low uncertainties</td>
<td>High cost</td>
<td></td>
</tr>
</tbody>
</table>

2. Themis power plant

To carry out this study a prototype solar absorber assembled in Themis solar power tower has been characterized. Themis solar plant consists of a northern solar field layout compound of 107 heliostats, see Fig. 1a. Each heliostat has an effective surface of 53.70 m² composed of 9 spherical mirror elements installed onto a parabolic supporting structure: eight main modules of 3.62 m × 1.794 m and one central module of 2.46 m × 0.828 m, see Fig. 1b. Each module is individually oriented such as its axis matches the normal to the parabola. In practice, all the modules of each heliostat possess the same focal length, which is close to the...
distance to the receiver aperture. The size, the curvature, the position and the orientation of these facets and the focal length of the supporting structure are known from the design specifications of each individual heliostat, whose initial error ranging was comprised between 0.5 and 2 mrad [30]. Then, the control of the heliostat field should provide the desired intensity and solar flux distribution at the focal volume. However, over the years the heliostats have been deteriorated and some of their facets are missed or broken, therefore nowadays they do not fulfil their initial specifications.

Themis tower is 100 m height and it is equipped with several experimental areas [32]. The receiver prototype consists of a silicon carbide high temperature absorber plate of 1200 mm length, 167 mm width and 28 mm of total thickness [33]. The plate is located at the back wall of a parallelepiped cavity inclined 35.9° with respect to the vertical axis. The cavity has a square aperture area of 1.2 m length and its depth is 1 m. The four lateral walls work as medium temperature absorbers, which preheats the pressurized air that feeds the receiver. The air enters in the high temperature absorber by the right side and exits by the left (see Fig. 2). As the high temperature absorber is small, to avoid overheating only 11 of the 107 heliostats in the field have been used, they have been highlighted in yellow in Fig. 1a.

3. Superposition method

Experimentations on the prototype receiver were carried out at Themis from April 2015 to May 2016. In those experiments different operational conditions were tested. A mass flowmeter and several thermocouples allowed to calculate the heat power absorbed by the heat transfer fluid. The estimated incident flux on the receiver, by means of a modified HFCAL simulation [33], resulted to be so high, giving a derisory thermal efficiency of the receiver that did not agree with the numerical study. Therefore, a new methodology to estimate the incident radiant power per unit area is required.

A new experimental methodology named “Superposition method” has been developed to determine the incident solar flux on the receiver surface for any hour, day and aiming strategy. The methodology proposed consists in two main phases. In the first phase, images of the reflected beam of each individual heliostat have been taken and processed to obtain the concentration ratio distribution of each heliostat on the receiver surface. In this first phase, a library of images and concentration ratio distributions of the different heliostats have been created. In the second phase the procedure to superpose the concentration ratio distributions of different heliostats have been explained. This procedure allows to reproduce the incident radiant power per unit area on the receiver surface for any hour, day and aiming strategy.

3.1. Image processing

Fig. 3 shows the schematic of the process described in the following subsections.

3.1.1. Image acquisition

This first phase consists on the characterization of the reflected beam of each heliostat. To do that, a passive screen of 1.4 m length and 1 m height is used. It is located 2.5 m under the cavity and with the same inclination angle. This screen is a solar flux qualification system coated with a white Lambertian paint. The homogeneity of its surface make it ideal to characterize the luminosity of the reflected beam of the heliostats. However, this screen is not refrigerated and only one heliostat can be focused on it at once.

On the ground, in the middle of the solar field, a high resolution CCD camera with adapted filters takes pictures of the beam which is reflected onto the passive screen. The camera is a Theta system SIS1-s28, with a resolution of 2.597 mm per pixel. Besides, the passive target was equipped in its central position with a Vatell flux sensor type TG9000-25, that allows to measure punctual solar flux...
intensities. The sensitive sensor consists in a disk of 4.76 mm diameter, equipped of a sapphire window that ensures a pure radiative measurement eliminating convection effects with an accuracy of ±3%. Theoretically, this central point of the screen should correspond to the peak flux of the heliostat beam. The data acquired by the flux sensor is read at the same instant of time in which the picture is taken and the direct normal irradiation (DNI) is acquired. To collect the meteorological data a meteorological station placed at the roof of Themis tower is employed. The station is equipped with a pyrheliometer that measures the DNI every passing minute with a precision of ±1 W/m².

3.1.2. Digital image analysis (DIA)

The raw images taken by the CCD camera are treated with a DIA using the free software “ImageJ”. This process allows to obtain the luminosity of the reflected beam of the images. For each picture two different surfaces are selected to characterize the average luminosity in the horizontal axis. The raw image of Figs. 4 and 5 represents the reflected beam of heliostat B10 the 30/03/2016 at 11:22 h (civil time).

Firstly, the luminosity has been characterized in the central line of the target (blue solid line in raw image of Fig. 4), which crosses the flux sensor. The luminosity is obtained in grayscale level; whose range goes from 0 to 255. Analysing this central line and not only the region scrutinized. Nevertheless, they have been corrected (black solid line in Fig. 4). Once the signal has been treated, it is possible to link the flux measurement with a greyscale value (yellow dashed line in Fig. 4).

Secondly, the luminosity has been characterized in a rectangular section of the target (green dashed line in raw image of Fig. 5). This section corresponds to the equivalent area of the high temperature absorber. The average luminosity of that section has been converted to the grayscale level. In this case, the anomalous points still appear, but they are much lower as it corresponds to a lower area in the region scrutinized. Nevertheless, they have been corrected (black solid line) as in Fig. 4. Then, it is possible to use the prior relationship between the grayscale and the flux intensity.

3.1.3. Data conversion

In this step it is required to convert the pixels to meters and the grayscale level to flux intensity. For the first transformation, the resolution of the camera has been used. Besides, the position of the flux sensor (maximum peak) has been selected as the origin of coordinates. For the second transformation, a linear relationship has been assumed between the grayscale level and the flux intensity. The relationship, seen in Fig. 4, has been used to transform the grayscale signal of Fig. 5 to flux intensity, as is plotted in Fig. 6.

The flux intensity depends on the DNI, thus it is preferable to work with concentration ratio, which is defined as the instantaneous flux intensity over the corresponding DNI. Solid green line of Fig. 6 illustrates the concentration ratio distribution on the central area of the target for heliostat B10 at the same day an hour than Figs. 4 and 5. In those figures it can be observed that the grayscale level does not reach the 0 value. The reflected beam spot is larger than the target size, thus heliostat B10 has spillage losses and the concentration distribution obtained from the image is incomplete. An extrapolation at the beginning and end of the signal has been applied to reach the null concentration ratio (solid black line in Fig. 6).

It must be highlighted that the reflected beam is not a perfect ellipse, which reveals some canting and alignment errors of this heliostat. Moreover, the maximum value is slightly displaced to the left with respect to the flux sensor position (x = 0 m), which reflects also a tracking defect of heliostat B10.

3.1.4. Library creation

The concentration ratio distribution of each heliostat varies along the year, thus only one picture of each heliostat is not enough to characterize its behaviour. This variation is more pronounced in non-aligned heliostats than in heliostats at perfect conditions. Fig. 7 portrays an example of this variation. It shows several iso-flux images, obtained from the raw images [30], of the reflected beam of heliostat B10 along a sunny day. It can be observed that at 10 h the heliostat seems to be on perfect conditions, however at first hours

![Fig. 3. Schematic of the image processing.](image-url)
in the morning its shape is irregular and in the afternoon there are two focus points (maximums) instead of one.

To solve this problem a library of images of each heliostat has to be created. Fig. 8a represents the concentration ratio distribution of heliostat B10 for two different days at the same solar hour, while Fig. 8b depicts the concentration ratio distribution of the same heliostat at different hours of a sunny day. In Fig. 8 it can be seen that the annual concentration ratio distribution remains almost constant when the solar hour is fixed, however it varies considerably with the time variation. Therefore, it can be assumed that the library is independent on the day but not on the solar hour.

To complete the library is recommendable to have more than one image per hour of each heliostat. It permits to do reliable weighted averages between several concentration ratio distributions of a heliostat to obtain its approximate behaviour at any time.

Besides, it is recommendable to take these photos at different days along the year to reduce the errors in the characterization of the heliostat, as it minimises the effect of the reflectivity variation caused by the weather conditions or the cleaning of the heliostat.

3.2. Superposition procedure

In the second phase the average concentration ratio distribution of the individual heliostats has to be gathered in order to obtain the incident thermal power on the receiver.

Firstly, the solar hour of the analysis has to be fixed, since it is required to obtain the most adequate concentration ratio distributions from the library. For each heliostat a weighted average between concentration ratio distributions closer in time has to be done, the resultant of this operation is used in the next steps. Based on the amount of data available three images, for each heliostat, were chosen enough to minimise the uncertainties due to time gap, atmospheric conditions and season (day of the year) with a reasonable increment of the computational cost. However, the number of averaged images could be reduced if not enough data are available or increased if there are too many available pictures close to the hour of study.

Secondly, the aiming strategy has to be selected. In the prototype receiver, 11 heliostats aimed to 7 different points in the same horizontal line, as shown in Fig. 9. The origin of coordinates corresponds to the central position of the high temperature absorber. Therefore, the resultant concentration ratio of each heliostat has to be displaced in the horizontal position the corresponding distance given by Fig. 9. As the passive screen and the high temperature absorber are relatively close, the variations on the reflected beam when the heliostats aim to the target or to the high temperature absorber can be neglected. Pacheco et al. [28] examined the effect of focus variation due to spatial shift and time gap during experiments carried out in an external receiver. They determined that this error is greater for heliostats in the rows closer to the tower and

![Fig. 5. Raw image of the reflected beam of heliostat B10 the 30/03/2016 at 11:22 h.](image)

![Fig. 6. Concentration ratio distribution of the heliostat B10 in the central section of the passive screen the 30/03/2016 at 11:22 h.](image)

![Fig. 7. Isoflux images of the reflected beam of heliostat B10 at different hours of a sunny day.](image)

![Fig. 8. Distribution of the average concentration ratio for heliostat B10 at (a) two different days at the same hour, and at (b) the same day at different hours.](image)
lower for the heliostats at the edges. This error increases with the separation between the receiver and the target and the modification of the interception plane. However, they pointed that this error is relatively small (around 2%) compared to other errors as: variation of the atmospheric conditions, camera linearity and variations in the white target properties.

Fig. 10 (bottom) represents the resultant concentration ratio of each individual heliostat once the aiming strategy has been applied. The horizontal axis represents the length of the high temperature absorber (1.2 m). It can be observed that the selected aiming strategy has important spillage losses, especially in the inlet of the absorber (right side). Fig. 10 (top) portrays the sum of the individual concentration ratio presented in Fig. 10 (bottom). The incident solar flux on the high temperature absorber is calculated multiplying the total concentration ratio by the instantaneous DNI at the desired moment.

4. Verification of the method

To verify the main assumptions made in Superposition method a set of experiments were carried out after dismantling the high temperature absorber. A rigid insulation panel of compacted Al₂O₃ fibers 1 m × 0.2 m × 0.05 m has been assembled in the absorber position. As it has a homogeneous colour, it can be used as a passive target in the back of the cavity. A Vatell flux sensor was installed in the center of the insulation panel to measure the punctual incident flux, see Fig. 11.

Images of the back of the cavity were taken with the CCD camera when different number of heliostats were focused on the insulation panel. Independently on the number of heliostats used, each working heliostat focus to its respective point indicated in Fig. 9. To the raw images of the reflected beams on the insulation panel the same DIA than in the passive screen images has been applied. In this case, the grayscale level takes into account the effect (shadows) of the cavity lateral walls.

In Fig. 12 the incident flux distributions obtained in the insulation panel, when 4, 8 and 11 heliostats were focused to the back of the cavity, have been compared with the results of the Superposition method at the same hour. It is observed that with the three aiming strategies the solar flux distribution obtained in the insulation panel agrees well with the method proposed. The radiant power per unit area is slightly underestimated with the Superposition method (about 2.5%) and the differences are constant with the number of heliostats focused. These small differences can be attributed to: the effect of the lateral walls of the cavity, which is only considered in the insulation panel; the variation of the aiming position (passive screen or back of the cavity), and a possible wrong estimation of the averaged reflected beam of the individual heliostats due to the lack of information on the library at certain hours.

Due to the technical difficulty of the plant operation further verifications were not possible. With the available data it can be pointed that, despite the approximations made, Superposition method is able to obtain a good approximation of the solar flux distribution and intensity that falls upon the high temperature absorber. Moreover, it has been tested that Superposition method can be adapted easily to different aiming strategies and hours since the heliostats have been individually characterized.

4.1. Uncertainty considerations

The uncertainty of the proposed Superposition method depends on:
- The accuracy of heliostat tracking: which needs to be corrected using the white target before focusing the heliostats to the receiver.
- The number of heliostats involved in the test: although in previous subsection it has been seen that the accuracy is independent of the heliostat number.
- The time gap between the heliostat characterization and the tests on the receiver: this effect has been corrected with the library creation and the solar flux distribution average.
- Variation of the atmospheric conditions and mirrors cleanliness: this effect is also minimized averaging solar flux distributions of each heliostat.
- The spatial shift between the white screen and the receiver and effect of the lateral walls of the cavity: comparing two consecutive images (time gap lower than a minute) of the reflected beam of heliostat B12 on the white screen and on the insulation panel, the differences find between both flux distributions is 2.7%.
- Uncertainties of CCD camera and the flux sensor that can be assumed around 3%.
- Painting deterioration of the target and insulation panel: The target is frequently cleaned and the insulation panel was used during a few number of experiments to avoid that problems.

Therefore, the error of the proposed method is similar to the one obtained by Pacheco et al. [28] being lower than 10%, which is acceptable for experimental results.

5. Validation

In this section the Superposition method has been validated using flux measurements carried out in the back of the cavity before installing the receiver prototype. A metallic cooled panel was assembled in the back wall of the cavity (Fig. 13a); it possesses 32 holes distributed by its entire surface, but only 12 of which are sited on the high temperature absorber location. In these 12 holes, different Vatell cooled flux sensors were installed. Fig. 13b schematizes the cooled panel and portrays the aiming strategy described in Fig. 9. Besides, the intensity of the solar flux measured in each of the 12 holes for the 7th of January of 2015 at 16:11 h (civil time) have been shown in the red rectangles. These 12 measures give the distribution of incident radiant power per unit area on the high temperature absorber surface (red circles in Fig. 14).

Fig. 14 displays the solar flux distribution and intensity on the high temperature absorber position, during the 7th of January of 2015 at 14:19 h solar time (16:11 h civil time) measured in the cooled panel and calculated using Superposition method. The distribution of radiant power per unit area is similar in both cases. Therefore, the assumption of proportionality between the grayscale level and the flux intensity is correct.
flux measured on the cooled panel presents slope variations close to the edges due to the radiative heat exchange with the lateral walls of the cavity; effect that is not considered in the Superposition method. Overall it can be concluded that the Superposition method constitutes a good approximation for the flux density calculation.

6. Conclusions

In this study, a new empirical direct methodology named “Superposition method” has been developed to determine the distribution and intensity of the solar flux that falls upon a central receiver surface. The methodology is especially useful when the heliostats are deteriorated and the numerical simulations are not able to predict the incident solar flux. This methodology has been verified and validated on a high temperature absorber installed in the back of a cavity on Themis solar power tower, whose heliostats are far from the nominal conditions.

“Superposition method” characterizes separately each heliostat to gather the individual flux distributions using digital image analysis. The method requires creating an hourly library to characterize each heliostat. Once the library is well defined it is a non-real-time method that allows to predict the solar flux distribution and intensity on the high temperature absorber at any moment with minimum grade of error. Characterizing the heliostats individually permits to test different aiming strategies without excessive effort. Besides, to minimising the error the authors encourage to extend the library always that it was possible, to adapt it to the new conditions of the heliostats.

The strength of the proposed experimental methodology compared to others of the literature is the time independence that allows to estimate solar flux distributions using extra measurements, the good spatial resolution and the low cost. Characterizing the heliostats individually permits to test different aiming strategies without excessive effort. Besides, to minimising the error the authors encourage to extend the library always that it was possible, to adapt it to the new conditions of the heliostats.

Moreover, the creation of the library can be a good starting point to develop further strategies to control problematic heliostats and to introduce the heliostat deterioration parameters in numerical models.

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