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▶ To cite this version:

Hélène Labach, Caroline Azzinari, Maxime Barbier, Cathy Cesarini, Boris Daniel, et al.. Distribution and abundance of common bottlenose dolphin (Tursiops truncatus) over the French Mediterranean continental shelf. Marine Mammal Science, In press, 10.1111/mms.12874. hal-03367127

HAL Id: hal-03367127 https://hal.science/hal-03367127

Submitted on 8 Oct 2021

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1 Distribution and abundance of common bottlenose dolphin (Tursiops truncatus) over the

2 French Mediterranean continental shelf

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22 Abstract

The common bottlenose dolphin (*Tursiops truncatus*) subpopulation in the Mediterranean is
listed as vulnerable by the International Union for Conservation of Nature. This species is
strictly protected in France and the designation of Special Areas of Conservation (SAC) is
required under the EU Habitats Directive (92/43/EEC). However, little information is
available about the structure and dynamics of bottlenose dolphins in French Mediterranean
waters. We collected photo-identification data over the whole French Mediterranean
continental shelf year round between 2013 and 2015. We sighted 151 groups of bottlenose
dolphins allowing the individual photo-identification of 766 animals. The encounter rate
distribution showed the presence of bottlenose dolphins over the whole continental shelf year
round. We estimated for the first time, using capture-recapture methods, the size of this
bottlenose dolphin population at 2,350 individuals (95% credible interval 1,827-3,135). Our
results were used in support of the designation of a new dedicated SAC in the Gulf of Lion
and provide a baseline for the bottlenose dolphin monitoring in the French Mediterranean

37

38 KEYWORDS

39 Abundance, bottlenose dolphin, capture-recapture, distribution, French Mediterranean Sea,40 photo-identification, *Tursiops truncatus*

41 Introduction

42 The common bottlenose dolphin (*Tursiops truncatus*, Montagu, 1821; hereafter bottlenose
43 dolphin) is considered as a regular species in the Mediterranean Sea (Reeves & Notarbartolo
44 di Sciara, 2006). It has been observed along most of the Mediterranean coast (Bearzi, Fortuna
45 & Reeves, 2009), most often over the continental shelf (Gannier, 2005; Gnone *et al.*, 2011;
46 Notarbartolo Di Sciara *et al.*, 1993), even though groups have also been observed offshore
47 (Laran *et al.*, 2016). Both resident populations and transient individuals have been reported
48 (Gnone *et al.*, 2011). The Mediterranean bottlenose dolphin subpopulation is genetically
49 differentiated from populations inhabiting the contiguous eastern North Atlantic and the Black
50 Sea and is structured into a Western and an Eastern population, corresponding to habitat
51 boundaries (Natoli, Birkun, Aguilar, Lopez & Hoelzel, 2005).
52 The Mediterranean bottlenose dolphin subpopulation is considered as vulnerable on the IUCN
53 (International Union for Conservation of Nature) Red List. It is listed in Annex II of the
54 Washington Convention on International Trade in Endangered Species, in Appendix II of the

55 Bern Convention for the Conservation of European Wildlife and Natural Habitats, in

56 Appendix II of the Protocol to the Barcelona Convention on Specially Protected Areas of

57 Mediterranean Importance (SPAMI), and is one of only two species of cetaceans listed in

58 Appendix II of the European Habitats Directive (92/43/CEE). It is also strictly protected in

59 France by the decree of July 1, 2011 prohibiting, among other things, the destruction,

60 capture, and intentional disturbance of marine mammals. In addition, the bottlenose dolphin is

61 the subject of a specific action plan under development by the Agreement on the Conservation

62 of Cetaceans of the Black Sea, Mediterranean Sea, and contiguous Atlantic Area

63 (ACCOBAMS).

64 In this context the population's conservation status, including population trends, needs to be 65 assessed. Population indicators (e.g., distribution, abundance) should be regularly evaluated 66 and compared with reference values through standardized long-term monitoring (Cairns,67 McCormick & Niederlehner, 1993; Dale & Beyeler, 2001).

In France, the monitoring program set up for the implementation of the European Marine
Strategy Framework Directive (2008/56/EC; MSFD) recommends specific monitoring of
resident coastal populations of marine mammal species using photo-identification, including
bottlenose dolphins. Bottlenose dolphins can be identified individually from their natural
markings, and the resulting longitudinal sighting histories of individuals can be analyzed with
capture-recapture (CR) models (Hammond, 2009; Hammond, Mizroch & Donovan, 1990;
Rosel *et al.*, 2011) to estimate population abundance and survival. Photo-identification data
have been used widely to monitor populations of bottlenose dolphins (e.g. Defran & Weller,
1999; Gnone *et al.*, 2011; Karczmarski & Cockcroft, 2014; Louis *et al.*, 2015; Shane, Wells,
Würsig & Odell, 1986).

78 In French Mediterranean waters, several studies on bottlenose dolphins have been conducted
79 since the 1990s, and many of them were based on photo-identification (Bompar, Dhermain &
80 Ripoll, 1994; Dhermain, Ripoll, Bompar, David & Di Meglio, 1999; Labach, Dhermain &
81 Dupraz, 2015; Labach, Dhermain, Dupraz & Colombey, 2011; Ripoll *et al.*, 2001). The
82 knowledge of the population structure, ecology, and dynamics remains poor and unequal, in
83 part because these studies were limited to small areas over short periods.

84 In this study, we conducted the first large-scale bottlenose dolphin photo-identification survey
85 in French Mediterranean waters. Standardized photo-identification data were collected
86 throughout the French Mediterranean continental shelf year round over two years through a
87 standard protocol by a network of organizations. The objectives of our study were to evaluate
88 the distribution of bottlenose dolphins and to provide the first population abundance estimate
89 over the French continental shelf.

91 Methods

92

93 Study area

94 The French Mediterranean waters contain high diversity and a richness of habitats and seabed. 95 The Gulf of Lion, from the Spanish border to Marseille, is a vast continental shelf limited to 96 the north by a sandy and lagoon coastline and to the south by a broad slope cut by numerous 97 canyons. The Corso-Liguro-Provençal basin (Riviera and west coast of Corsica) presents a 98 rocky coastline prolonged by a narrow continental shelf quickly giving way to an abrupt 99 slope, cut by deep canyons. To the east of Corsica, the reliefs are shallower with a wider 100 continental shelf. The Corso-Liguro-Provençal basin and the Gulf of Lion are highly 101 productive areas, attracting a high diversity of species (D'ortenzio & Ribera Dalcaì, 2009). 102 The study area covers the continental shelf of the French Mediterranean waters between the 103 coast and the 500 m isobath, bounded by the Spanish border to the west, the Italian border to 104 the east, and includes the whole Corsican coastline (Fig. 1). The overall study area covers 105 24,481 km² and was divided into three regions based on geographic and topographic 106 characteristics: Gulf of Lion (14,731 km²), Riviera (2,866 km²), and Corsica (6,884 km²). To 107 ensure homogeneous sampling over the whole study area, each region was divided into 108 subregions of similar area, covering on average $2,500 (+-500) \text{ km}^2$ (4 in Gulf of Lion, 2 in 109 Riviera and 3 in Corsica) and assigned to 5 local organizations associated with marine 110 mammal monitoring (BREACH, CARI, EcoOcéan Institut, GECEM, and Parc naturel 111 régional de Corse) according to their location and usual study areas, with each organization 112 covering one or two subregions.

113

114 Data collection

115 The objective of the survey was to conduct four days of boat-based survey effort per season
116 within each subregion. We defined the survey effort as the length (in km) of track actively
117 traveled, prospecting the area with naked eyes by three observers. Surveys were conducted
118 only in favorable weather conditions (Beaufort scale 0-3 and good visibility). Seasons were
119 defined as spring (March 22-June 21), summer (June 22-September 21), autumn (September
120 22-December 21), and winter (December 22-March 21). This survey design was conducted
121 twice between 2013 and 2015 using small sailing and motor boats. Routes were planned on a
122 variable basis, according to weather conditions, to optimize the study area sampling coverage.
123 All partners applied a standard common protocol using a digital application for the data
124 collection specifically designed with Cybertracker (https://www.cybertracker.org/),
125 systematically recording survey tracks with a GPS receiver. When we encountered a group of
126 bottlenose dolphins, we recorded the position of first contact, group size, and composition
127 along with the group's main activity. We defined a group as all dolphins seen with naked eye
128 during the sighting. The estimated group size is the estimated number of individuals observed
129 or photo-identified when the latter figure is greater than the estimated one.

130 Whenever possible, we took photographs with a digital reflex camera of both sides of the

131 dorsal fins of all individuals of the group, regardless of their markings.

132

133 Photo-identification

We identified individuals using natural marks: scars, nicks, and scratches on their dorsal fins
(Würsig & Jefferson, 1990; Würsig & Würsig, 1977). The best photographs of each side of
each individual at every encounter were selected and scored according to their quality (Q1 for
good, Q2 for medium, and Q3 for bad) and the distinctiveness of animals (D1 for wellmarked, D2 for moderately marked and D3 for poorly marked) (Berrow, O'Brien, Groth,
Foley & Voigt, 2012; Ingram, 2000) to generate catalogs of identified dolphins. Catalog and

associated data were incorporated into a common database which was uploaded onto the
international web database INTERCET (http://www.intercet.it/). Each partner compared its
own catalog with all the others compiled within the project, and a global matching was
conducted by one additional scientist, ensuring that matching was conducted by at least three
people, minimizing bias due to false positive and negative matching. The matching process
led to three regional catalogs and one global one. A matrix of sighting histories was
associated with each catalog gathering all the sighting data and information of all the dolphins
identified. For the analyses, we used only medium and good quality photographs (quality
scores = 1 or 2) of moderately and well-marked individuals (distinctiveness score = 1 or 2).
The proportion of moderately and well-marked individuals was evaluated as the number of
individuals identified during the project, considering each side (right or left side) separately,
that scored D1 or D2 (removing all pictures scored D3) among individuals identified by at
least one photograph scored Q1 or Q2 (removing all pictures scored Q3) (see also the
Abundance estimation section).

154

155 Distribution

156 We calculated the encounter rate (ER) as the number of sightings per km of effort traveled in
157 each region and within each 5'x5' cell of the Marsden grid WGS 84. All maps and spatial
158 analyses were done in R 3.5.0 (R Core Team, 2018).

159

160 Abundance estimation

161 To estimate the abundance of bottlenose dolphins occurring within the study area, we fitted
162 CR models to the photo-identification data (Hammond *et al.*, 1990). We defined a capture
163 when an individual was identified using photo-identification, and a recapture as the resighting
164 of an individual already identified during the project. Because some individuals were sighted

165 both in Gulf of Lion and in the Riviera during the study period, we performed three separate
166 analyses, corresponding to the sightings made in the Gulf of Lion, in the Riviera, and along
167 the continental coast (Gulf of Lion plus Riviera). We did not pursue CR analyses with the
168 Corsican sightings because of insufficient recaptures (Table1).

We used Bayesian closed population models (McClintock, 2015) to estimate abundance while accounting for a capture probability less than one. We considered the eight seasons as our capture occasions. The main assumptions underlying closed population CR models are 1) the population is demographically closed (i.e., natality and mortality events do not occur) during the study period; 2) all individuals are correctly identified at each capture occasion and 3) the marks are considered permanent.

175 To fit CR models, we used the package multimark (McClintock 2015) in R (R CoreTeam, 176 2018), which implements Markov Chain Monte Carlo (MCMC) simulations. We performed 177 an analysis for each data set, that is the Gulf of Lion, the Riviera, and the continental coast 178 (Gulf of Lion plus Riviera). For each analysis, we fitted eight models, including a model with 179 constant detection probability (M0), a model with time variation in the detection probability 180 (Mt), a model with heterogeneity in the detection probability (Mh) under the form of an 181 individual random effect, a model with behavioral response in the detection probability (Mb), 182 and combinations of these effects (Mbt, Mht, Mbh, Mbht). To determine the model best 183 supported by the data, we calculated posterior model probabilities (Barker & Link 2013). 184 More precisely, we relied on an extension of the standard Bayes theorem where the posterior 185 distribution of all parameters is now defined over both the parameter and model space. In 186 addition to posterior summaries for parameters (abundance and detection probabilities), we also obtained the posterior probability for each model obtained as the proportion of the time 187 188 the MCMC simulations spend in each model. Because we used only moderately- and well-189 marked individuals (assumed to be adults) in the CR analyses, the abundance, including

190 poorly marked individuals (juveniles and neonates), was obtained by correcting the CR
191 abundance by the proportion of moderately and well-marked individuals (Williams, Dawson a
192 Slooten, 1993) estimated as :

193 $\Delta = nQD12/nQ12$ where Δ is the proportion of moderately and well-marked individuals, 194 nQ12 is the number of individuals identified after removing bad quality photos (Q3) and 195 nQD12 is the number of individuals identified after removing bad quality photos (Q3) and 196 poorly marked individuals (D3) evaluated on the global data set (all captures included) for 197 each data set. We estimated this proportion for left- and right-side photographs then 198 calculated the average of these two proportions for each data set. The standard error was 199 obtained with the formula $\hat{p} (1 - \hat{p}) / n$ for a proportion estimate \hat{p} where *n* is the sample size. 200 In practice, the Bayesian approach using MCMC made it easy to propagate the uncertainty in 201 the proportion of moderately and well-marked individuals. We divided each MCMC value 202 drawn in the posterior distribution of abundance by a random draw from a normal distribution 203 with mean Δ and standard deviation the standard error, therefore providing the posterior 204 distribution of the corrected CR abundance. We reported posterior means and 95% credible 205 intervals for abundance and detection probabilities.

206

207 Results

208 Survey effort

209 We traveled 21,464 km in survey effort. The distribution of the effort between the 3 regions 210 was heterogeneous with a high coverage of Riviera but low coverage of Corsica and the 211 offshore areas of Gulf of Lion. Summer was the best prospected season, autumn and winter 212 being less prospected in the three regions (Fig. 2).

213

214 Distribution

215 We sighted bottlenose dolphins across the study area in all seasons (Fig. 3). Global ER was
216 higher in Corsica (0.012) than in Gulf of Lion (0.007) and in Riviera (0.003) (Table 2). In
217 Riviera, ER appeared higher in spring (Fig. 3), while in Gulf of Lion and Corsica, ER
218 appeared higher in summer (Fig. 3).

219

220 Sightings and photo-identification

We sighted 151 groups of bottlenose dolphins during the project. Group size was highly
variable in the three regions, mean group size was similar in Riviera (15.7 SD 10.3) and Gulf
of Lion (16.6 SD 13.2) and lower in Corsica (5.3 SD 4.5) (Table 2).

After photos scoring and sorting, 766 different moderately and well-marked dolphins were
identified on the basis of good and medium quality photos (Table 2), of which 30% were
observed more than once during the project. The percentage of individuals recaptured was
higher in Gulf of Lion (29%) than in Riviera (19%) and in Corsica (18%). Six individuals
were sighted in both the Gulf of Lion and Riviera, while no recaptures were made between
continental and Corsican coast.

230

231 Abundance estimates

We excluded 15% of the 1,705 photographs from the analyses because of their low quality
(Q3). The proportion of moderately- and well-marked individuals was 0.73 in Riviera (SE =
0.02), 0.84 (SE = 0.01) in Gulf of Lion and 0.86 (SE = 0.01) in the whole continental coast
(Riviera and Gulf of Lion). Many dolphins (68% in continental coast) were seen only once.
The maximum number of captures was 6 for two dolphins (Table 1).
The model best supported by the Gulf of Lion and whole continental coast data included

238 heterogeneity and temporal variation in the detection probability (posterior probability = 0.70

239 and 0.78 respectively), while the model best supported by the Riviera data was the one

considering heterogeneity, a behavioral response and temporal variation in the detection
probability (posterior probability = 1). Detection probabilities varied between 0.02 (0.01-0.03)
and 0.16 (0.11-0.22) for Gulf of Lion, between 0.07 (0.01-0.19) and 0.44 (0.19-0.68) for
Riviera, and between 0.02 (0.02-0.03) and 0.15 (0.11-0.19) for the whole continental coast.
Abundance (corrected by the proportion of moderately- and well-marked individuals) of
population was estimated at 223 (152-385) individuals in Riviera, 2,231 (1,590-3,175) in Gulf
of Lion and 2,350 (1,827-3,135) along the whole continental coast.

247

248 Discussion

Our study provides the first large-scale dedicated photo-identification survey for the
bottlenose dolphin in the French Mediterranean waters. We demonstrate the power of a
collaborative and coordinated survey to study a mobile species at population's scale. Our
results show that the whole continental shelf is frequented by bottlenose dolphins, including
the entire Gulf of Lion, all year round and provides the first abundance estimate of bottlenose
dolphins frequenting the French continental shelf of Riviera and Gulf of Lion.
The effort of 21,464 km covered 87% of the study area. We found heterogeneity in this effort,
mainly between Corsica and the continental coast, which we explain by a later start of the
survey in Corsica and difficult survey conditions during the study. The results obtained in

258 Gulf of Lion and Riviera show that the survey effort is sufficient to provide consistent

259 estimates of abundance and distribution.

260 The global encounter rate (0.007) was higher than the encounter rates obtained with the 261 program "Surveillance Aérienne de la Mégafaune Marine" (SAMM) (0.0041 in winter and 262 0.0028 in summer) (Laran *et al.*, 2016). This difference could be explained by the fact that 263 this comprehensive aerial survey of marine megafauna conducted by the French Biodiversity 264 Agency in 2011 and 2012 over the whole French Exclusive Economic Zone (EEZ),

265 encompasses continental shelf, slope, and oceanic waters (Laran *et al.*, 2016). Another likely 266 reason might be that aerial surveys consistently have lower encounter rates than boat-based 267 surveys because of the order of magnitude difference in survey speed. The ER in Riviera 268 (0.003) and in Corsica (0.012) were also higher than the maximum ER obtained by Gnone *et* 269 *al.* (2011) between 1994 and 2007 in Provence (ER = 0.0006) and in Corsica (ER = 0.0086), 270 which suggest an increase in dolphin abundance in these two regions.

271 The distribution of ER showed that bottlenose dolphins were present over the entire French 272 Mediterranean continental shelf all year round. The higher ER in summer in the Gulf of Lion 273 and Corsica was consistent with the results of the SAMM survey, which despite showing 274 higher ER in winter than summer in the global EEZ, also showed contrasting seasonal 275 distributions, with encounters concentrating in coastal areas of the Gulf of Lion and Corsica in 276 the summer (Laran et al., 2016). These results suggest a seasonal migration of bottlenose 277 dolphins between offshore waters in winter to coastal waters in summer, especially in Gulf of 278 Lion and Corsica. The sighting of dolphins both in Riviera and Gulf of Lion also points 279 towards some eastward and westward movements. No movement between the continental 280 areas and Corsica was observed during the project. In previous studies (Gnone et al., 2011), 5 281 individuals were identified both in Corsica and the continental coast, highlighting that some 282 dolphins perform long distance travels. The high percentage of dolphins captured only once 283 (70%) during the project can be explained by the short period and the large study area of the 284 project decreasing the recapture probability. It may also highlight that an important proportion 285 of bottlenose dolphins sighted over the French Mediterranean continental shelf are transient 286 animals coming from remote areas, as suggested by the seasonal differences in the ER and the 287 movements identified, whereas other animals are resident, as suggested by sightings of some 288 individuals all year round for more than 20 years (authors unpublished data). Pursuing photo-289 identification at this scale over the long term will allow further exploration of and

characterizing residency patterns. In their study, Gnone *et al.* (2011) identified two
subpopulations inside the Pelagos Sanctuary coinciding with the national boundaries between
French and Italian territories. The identification of distinct units and the characterization of
connections between them along the French continental coast is the object of ongoing work
using population genetic and social structure analyses based on photo-identification and
biopsy data collected during the present study. Sharing photo-identification catalogs and
associated metadata through the INTERCET platform will make possible the characterization
of bottlenose dolphin movements and social structure at wider Mediterranean scale. The
higher percentage of poorly marked individuals in Riviera (27%) suggests a higher percentage
of immature dolphins in this region than in Gulf of Lion (16%).

The robust estimation of abundance relies on the validation of CR model assumptions. The wo-year sampling period and the fact that newborns were observed in the study area suggest that assumption 1 of the capture-recapture model is likely to have been violated. We expect little underestimation bias in the abundance estimates because of birth occurring during the study, as we considered adults only, and they have high survival probability. Mortality occurring during the study could also lead to abundance overestimation, nevertheless we expect little bias considering the relative short period for this long-lived species and the high survival probability. Assumptions 2 and 3 are ensured by the fact that only moderately and well-marked individuals with medium and good-quality photographs were included in the analysis. Also, if the marks evolve, the short sampling period would allow recognition of the animals.

311 The population abundance along the continental coast was higher than the estimates of the
312 only previous census dedicated to bottlenose dolphins in the same area, which estimated
313 based on observed count (not corrected by imperfect detection) the number of bottlenose
314 dolphins between 200 and 209 in the Gulf of Lion and 16 in Provence (Ripoll *et al.*, 2001).

These figures are not inconsistent with our abundance estimates which accounted for
imperfect detection by correcting the observed counts by the estimated detection probability.
Our abundance estimates are coherent with the results obtained from the program SAMM
with the distance sampling methodology, which estimated the absolute abundance of
bottlenose dolphins in the Gulf of Lion at 63 (95% CI 17-241) in winter and at 1,331 (95% CI
466-3,805) in summer and over the continental slope inside the French EEZ waters and
including some Italian and Spanish waters at the eastern and western borders at 1,795 (95%
CI 769-4,190) in winter and 10 (95% CI 3-30) in summer (Laran *et al.*, 2016).
Further efforts should be implemented to complete the survey planned for this study in
Corsica in order to provide consistent estimates for this region also.

325

326 Implications for conservation

327 Our study provides an operational framework as well as a baseline for the implementation of a

328 long-term large-scale monitoring of bottlenose dolphin population in the French

329 Mediterranean waters. We therefore recommend pursuing the monitoring initiated in this

330 study for the long-term, taking into consideration the evaluation of the efficacy of the survey

331 design, on which we're currently working, to allow the identification of trends in the

332 population as required for the surveillance program of the MSFD.

333 We shared the data on the international webGIS platform INTERCET

334 (http://www.intercet.it/), which will allow enlarging the study of this species beyond French

335 boundaries to the basin and Mediterranean scale.

336 The results of our study, together with those from the SAMM survey (Laran et al., 2016), led

337 to an update of the Mediterranean bottlenose conservation status in the national IUCN Red

338 List, which was changed from "vulnerable" in 2009 to "near threatened" in 2017 because of

339 the improved knowledge. They also contributed to the update of the Mediterranean

340 subpopulation status initiated in 2020. Our demonstration of the presence of bottlenose

341 dolphins in the entire Gulf of Lion led France to submit the designation of a dedicated
342 offshore SAC encompassing the whole Gulf of Lion continental shelf beyond the territorial
343 waters and to the recognition of this area as an important marine mammal area (IMMA) for
344 bottlenose dolphins (https://www.marinemammalhabitat.org/imma-eatlas/). Our results will
345 also contribute to updating the ACCOBAMS bottlenose dolphin conservation plan.

346

347 Acknowledgements

We acknowledge MAVA Foundation, the French Biodiversity Agency and the Pelagos
Sanctuary, who financially supported the GDEGeM project and also thank Fondation de
France for funding through the INTERACT project. We thank all the partners for their
involvement in the data collection: BREACH, CARI Corse, EcoOcéan Institut, GECEM, and
Parc naturel régional de Corse, as well as all the people who participated to the survey. We
also acknowledge Guido Gnone and Michela Bellingeri for their support in the use of the
INTERCET platform.

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463 Tables

464

465 Table 1. Distribution of individuals per number of captures.

	1	2	3	4	5	6	Total
Corsica	78	16	0	1	0	0	95
Riviera	79	9	5	3	1	0	97
Gulf of Lion	411	100	51	15	1	2	580
Continental coast	458	123	61	21	6	2	671

466

467 Number of moderately- and well-marked individuals identified (on the basis of good and

468 medium quality photos) 1, 2, 3, etc. times in each data set.

469 Continental coast refers to Riviera plus Gulf of Lion

470

471 Table 2: Sightings and photo-identification of bottlenose dolphins

	Sightings	Encounter rate	counter rate Mean Group size Identified		Recaptured	
			(SD)	individuals	individuals	
Corsica	41	0.012	5.3 (4.5)	95	17 (18%)	
Riviera	18	0.003	15.7 (10.3)	97	18 (19%)	
Gulf of Lion	92	0.007	16.6 (13.2)	580	169 (29%)	
Global	151	0.007	13.6 (12.5)	766	230 (30%)	

472

473 Number of sightings, encounter rates, mean group size and standard deviation (SD), number

474 of moderately- and well-marked individuals identified on the basis of good and medium

475 quality photos, and number of recaptured individuals (sighted more than once) in each region.

477 Figure legends

478

479 Figure 1: Study area (in light blue) encompassing the French Mediterranean continental shelf
480 in north-western Mediterranean Sea. The Pelagos sanctuary boundaries appear in dark blue.
481 The bathymetry is also displayed on the map.

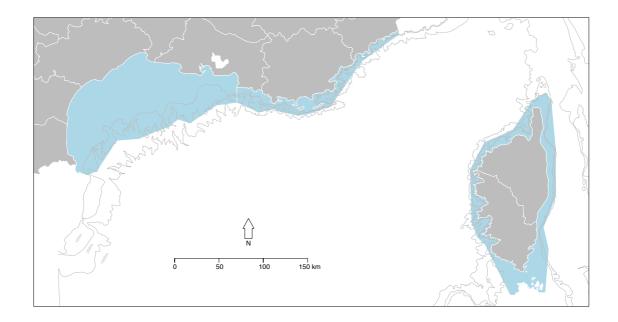
482

483 Figure 2: Seasonal distribution of survey effort (number of kilometers actively traveled per

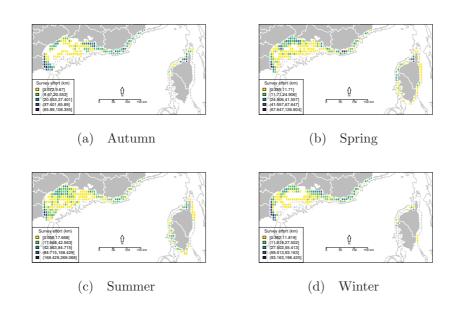
484 5'x5' cell) between 2013 and 2015 over the French Mediterranean continental shelf.

485

486 Figure 3: Seasonal distribution of bottlenose dolphins over French Mediterranean waters487 between 2013 and 2015. Encounter rates (number of sightings/km) per 5'x5' cell.

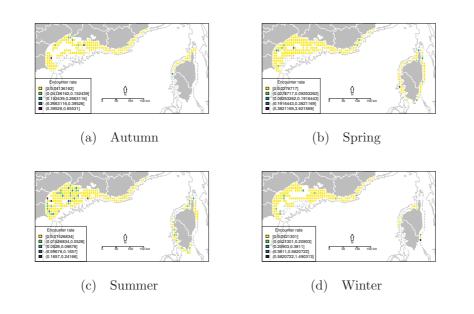


490 Fig. 1





493 Fig. 2





496 Fig. 3