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1 **Contribution of the deep chlorophyll maximum to primary production, phytoplankton**  
2 **assemblages and diversity in a small stratified lake**

3

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11

12 *Abstract:*

13 This six-month study characterized the contribution of deep chlorophyll maximum (DCM)  
14 to lake phytoplankton diversity and primary production, in relation to stratification during the ice-  
15 free season. Phytoplankton and zooplankton dynamics were examined with environmental drivers  
16 in a small stratified lake that presents vertical gradients of light and nutrients. The phytoplankton,  
17 first composed of diatoms and chrysophyceae, shifted to cyanobacteria in mid-July. With  
18 stratification increase, surface nutrient limitation appeared to favour motile species characteristic of  
19 oligotrophic environments above a deep layer of filamentous cyanobacteria, fueled by the vertical  
20 nutrient fluxes from sediment. The DCM contributed on average to 33% (but up to 60%) of total  
21 production during the strongest summer stratification period. In late summer, as stratification was  
22 eroding, the vertical gradient of nutrients was reduced, but light attenuation with depth increased.  
23 Distinct assemblages were identified between surface and deep layer with shade-adapted species.  
24 The contribution of DCM was reduced to 10%. Zooplankton community varied in conjunction with  
25 phytoplankton and stratification. Our study demonstrates no benefit of DCM for taxonomic and  
26 functional diversity and a limited contribution to total production. The depths over which  
27 phytoplankton use separate spatial niches may be lesser in a six-meters-deep lake compared with  
28 deeper stratified lakes.

29

30 *Keywords:* stratification, phytoplankton, cyanobacteria, zooplankton, diversity, succession

31 *Abbreviated title:* DCM contribution to production and diversity

32

33

34 **Introduction**

35

36 Spatial environmental heterogeneity is essential to sustain diversity in ecosystems (White *et al.*,  
37 2010; Stein *et al.*, 2014). The spatial structuring of habitats leads to segregation of niches and  
38 provision of refuges, allowing a greater coexistence of species. It is well studied in terrestrial  
39 ecosystems (Tews *et al.*, 2004; Lundholm, 2009; Tamme *et al.*, 2010; Pigot *et al.*, 2016), as well as  
40 in rivers (French and Chambers, 1996; Palmer *et al.*, 2010; Heino *et al.*, 2013; Massicotte *et al.*,  
41 2014), and to a lesser extent in lakes (Longhi and Beisner, 2009; Ouellet Jobin and Beisner, 2014).  
42 In temperate lakes a strong spatial heterogeneity occurs each year when they become thermally  
43 stratified, leading to strong vertical gradients of temperature ( $> 10^{\circ}\text{C}$  change), dissolved oxygen,  
44 light and nutrient concentrations. Light decreases with depth dependent on wavelength (light  
45 colour), resulting in a structuring gradient for phytoplankton, both in terms of quantity and quality  
46 of light (Stomp, 2008). When internal nutrient loads of lakes are high, an inverse depth gradient of  
47 nutrients with releases via sediment mineralization often occurs simultaneously. Furthermore,  
48 thermal stratification itself leads to a gradient of water density with depth, allowing buoyant  
49 phytoplankton species to adjust their density to their optimal depth in terms of light and nutrients  
50 (Walsby, 1981). These trade-offs in light and nutrient gradients with consequences for  
51 phytoplankton community composition has been captured in the Algal Game (Klausmeier and  
52 Litchman, 2001). A consequence is the occurrence of deep chlorophyll maxima (DCM). DCMs are  
53 very common in stratified oligotrophic lakes, as soon as the epilimnion remains clear enough to  
54 allow photosynthetic activity in the metalimnion, sustained by upward nutrient fluxes from the  
55 hypolimnion (Fee, 1976). Deep lakes (maximum depth  $> 20$  m) are widely studied with respect to  
56 this phenomenon, whether in terms of DCM species composition or their formation (Straile, 2000;  
57 Saros *et al.*, 2005; Anneville *et al.*, 2005; Salmaso and Padisák, 2007; Winder *et al.*, 2008; Pomati  
58 *et al.*, 2012; Padisák *et al.*, 2003).

59 More recently, studies have demonstrated that DCMs can also occur in small, shallow stratified  
60 lakes with clear oligotrophic epilimnia (Nõges and Kangro, 2005; Camacho, 2006; Selmeczy *et al.*,  
61 2016), although these are less studied. Lakes with smaller surface area also possess a shorter fetch  
62 and thus less energy input from wind, leading to greater thermal stability, counteracting the effect of  
63 shallower depth. Four non-exclusive processes have been advanced to explain DCMs: the inverse  
64 depth gradient of nutrient and light, allowing only shade-adapted species at intermediate depth  
65 (Camacho, 2006), the sedimentation hypothesis, with a depth accumulation of sinking  
66 phytoplankton species along the density gradient (Cullen, 1982), the behavioural hypothesis with  
67 mobile phytoplankton accumulating at depth, and a higher relative zooplankton grazing in the  
68 epilimnion over deep layers (Pilati and Wurtsbaugh, 2003; Pinel-Alloul *et al.*, 2008). None of these

69 processes is dependent on the size of the lake and DCMs can theoretically also occur in small, but  
70 relatively deep lakes. DCMs may thus occur more widely than previously thought, and should  
71 increase with the re-oligotrophication of lakes (increased epilimnetic clarity) and climate warming  
72 (increased stratification), as has been observed in deep lakes (Jacquet *et al.*, 2005; Pomati *et al.*,  
73 2012).

74 While species composition of DCMs and the mechanisms causing their establishment have been  
75 widely studied, consequences of the presence of a DCM on phytoplankton assemblages and overall  
76 diversity across depths is less studied, particularly in smaller lakes. From productivity-diversity  
77 relationships, it is known that maintaining diversity is fundamental for biomass and production  
78 (Cardinale *et al.*, 2009; Korhonen *et al.*, 2011). DCMs segregate the phytoplankton community into  
79 a thin layer of a few tens of centimeters, and should have strong implications for the ecological  
80 functioning of lakes and for higher trophic levels (Fee, 1976; Yoshiyama *et al.*, 2009; Pannard *et*  
81 *al.*, 2011). Niche partitioning associated with vertical structuring of resources and microlayers may  
82 increase the species diversity at the scale of the water column and contribute to the maintenance of  
83 diversity as species are able to limit interspecific competition. Essentially, diversity is enhanced by  
84 taxa occupying separate niches defined by microlayers associated with different light intensities and  
85 nutrient concentrations. This could constitute one possible explanation for the Paradox of Plankton  
86 (Hutchinson, 1961). The objective of this study was to determine how the DCM shapes a lake  
87 phytoplankton community to influence the diversity and primary production levels observed. By  
88 examining through the ice-free season a small and moderately shallow stratified lake with an annual  
89 summer DCM, we characterized the spatial and temporal dynamics of the phytoplankton and  
90 zooplankton assemblages, in relation to stratification intensity.

91 In this study, we address four main hypotheses. First, we expect that it will be possible to  
92 distinguish the composition of the DCM as distinct from the surface water assemblage located just a  
93 few meters above and that this will be related to stratification. This is because surface plankton  
94 assemblages are likely to be controlled by the entrainment of deep waters with large wind events  
95 that enrich the epilimnion with phytoplankton cells and nutrients; without wind, settling surface  
96 species would be the main contributors to the DCM assemblage. Secondly, we hypothesize that a  
97 vertical structuring of assemblages should increase overall lake diversity. Specifically, we expect  
98 that beta and gamma diversities across depths increase when distinct assemblages are observed in  
99 the two layers. Third, we hypothesize that the surface phytoplankton assemblage will be  
100 photosynthetically active and growing, despite nutrient concentration limitation; but that the  
101 contribution of surface production will be less than that of the DCM. Finally, we expect that the

102 zooplankton community will change in conjunction with the presence of vertical structuring of  
103 phytoplankton community and with lake stratification.

104

105

## 106 **Methods**

107

### 108 *Study site*

109 Lake Bromont (45°16'N - 72°40'W) is a dimictic lake, located 80 km south-east of  
110 Montreal (QC, Canada). Despite its small surface area (0.45 km<sup>2</sup>), the lake is relatively deep for its  
111 size, with a mean depth of 4 m and a maximum one of 7.2 m due to a steep-sided basin. It has a  
112 volume of 10<sup>6</sup> m<sup>3</sup> and a catchment area of 23.47 km<sup>2</sup>. A DCM is observed normally each summer at  
113 about 5 ± 0.5 m (Pannard *et al.*, 2011). Three stations less than 500 m apart were sampled at  
114 different depths in the pelagic zone, equidistant from each other and from the littoral banks (Fig.  
115 S1). A higher variation associated with depth for phytoplankton was expected during summer  
116 stratification. The small size of the lake (< 0.5 km<sup>2</sup>), allowed us to consider a reduced effect of  
117 horizontal advection of water masses.

118

### 119 *Physical forcing*

120 Meteorological data for 2007 were obtained from the closest meteorological station located  
121 25 km to the southwest of the lake (Frelighsburg, QC; Environment Canada 2007), in particular  
122 solar radiation, wind speed, and direction, rainfall, and air temperature. A thermistor chain,  
123 composed of ten HOBO Temp Pro Loggers, were deployed from May to the end of September in  
124 2007 in the deepest part of the lake. The thermal structure was thus measured every 10 minutes  
125 from 1 m below the water surface to 0.5 m from the bottom.

126 The intensity of stratification of the water column was estimated as the potential energy in J  
127 m<sup>-3</sup> (*PE*); representing the quantity of energy needed to homogenize the entire water column  
128 (Simpson *et al.*, 1979).

129

### 130 *Field sampling and laboratory measurements*

131 Chemical and biological parameters were measured twenty times between May and October  
132 2007 at sampling intervals varying between 2 days and 2 weeks (Fig. 1), with more intensive  
133 sampling in August (7 sampling dates). Instantaneous PAR profiles were measured at the three  
134 stations at a 1-m interval. A submersible 4p quantum sensor (LICOR LI-193SA, Lincoln, NE,  
135 USA) was used for *in situ* light, while a 2p quantum sensor (LICOR LI-190SA) was used on boat

136 for incident light. The light extinction coefficient  $K$  was then calculated using Beer-Lambert law,  
137 while depth of the euphotic zone was calculated as the depth at which 1% of surface irradiance  
138 occurred. Dissolved oxygen (DO) and pH profiles were measured with a multiparameter probe (YSI  
139 6920; YSI Environmental, San Diego, CA, USA). Nutrient concentrations were measured at the  
140 three stations at  $2.5 \pm 0.5$  m and  $5 \pm 0.5$  m from the surface : total dissolved phosphorus (TDP) and  
141 nitrogen (TDN) were analyzed through colorimetric methods (Cattaneo and Prairie, 1995), as well  
142 as total particulate phosphorus and nitrogen (TPP and TPN respectively).

143

#### 144 *Phytoplankton biomass and diversity*

145 Phytoplankton was characterized at the three stations through fluorescence profiles (Fig. S1)  
146 of the four major spectral groups of phytoplankton measured with a Fluoroprobe (BBE Moldaenke  
147 GmbH, Kiel-Kronshagen, Germany): the GREENS (chlorophytes), the BLUE-GREENS  
148 (phycocyanin-containing cyanobacteria), the MIXED (cryptophyta and phycoerythrin-containing  
149 cyanobacteria) and the BROWNS (diatoms, dinoflagellates and chrysophytes) (Beutler *et al.*, 2002).  
150 To validate biomass measurements of the Fluoroprobe, chlorophyll *a* (Chl *a*) concentration was  
151 measured from water collected at 2.5 m and 5 m from surface, filtered, frozen and later analyzed in  
152 the lab using ethanol extraction and spectrophotometry (Sartory and Grobbelaar, 1984).

153 The community structure of Station A was characterized (Fig. S1) based on phytoplankton  
154 collected using a 1m integrated vertical bottle sampler at 2.5 m and 5 m depths. Aliquots were  
155 preserved in acid Lugol's solution. Identification and counting were performed using settling  
156 chambers and inverted microscope technique using the Utermöhl technique. At least 400 units  
157 (individual cells, filaments or colonies) were counted for each sample (Lund *et al.*, 1958).

158 Phytoplankton assemblages were also sampled weekly at 1m intervals from the 7<sup>th</sup> of September to  
159 11<sup>th</sup> of October. From the end of August until mid-September, two distinct DCM layers were  
160 observed in the Fluoroprobe profiles.

161 Phytoplankton biomasses were estimated from biovolumes based on size measurements  
162 during the study that enabled us to fit geometric shapes to each taxon (Hillebrand *et al.*, 1999).  
163 Species were then grouped together into the seven Morphologically Based Functional Groups  
164 (MBFG) (Kruk *et al.* 2010): group I contained small species with high Surface : Volume ratio  
165 (S:V), group II consisted of small siliceous flagellates, group III were filamentous species with  
166 large S:V, group IV were composed of medium size species without specializations, group V  
167 contained flagellates of medium to large size, group VI was composed of diatoms, and group VII  
168 consisted of large mucilaginous and low S:V colonies. Simpson's diversity was calculated based on

169 species biomasses and on the MBFG biomasses using the function *diversity* (Vegan package) in R  
170 Studio version 1.2.5019 (R Core Team, 2019).

171 For each sampling date, gamma diversity ( $\gamma$ ) was calculated as the total species richness,  
172 while alpha diversity ( $\bar{\alpha}$ ) corresponds to the mean number of species observed in each of the two  
173 layers. Beta diversity corresponds to the species richness change between surface and deep layers,  
174 compared with the average local diversity across all samples, and was calculated as follow:  $\beta =$   
175  $\gamma/\bar{\alpha} - 1$  (Tuomisto, 2010).

176

### 177 *Primary production*

178 To characterize the contribution of the different layers to the total primary production of the  
179 lake, the net primary production of oxygen (NP) and community respiration (R) were measured by  
180 changes in dissolved oxygen (DO) concentration (Carignan *et al.*, 1998), using a DO meter (YSI  
181 5100 and YSI 5905-W; YSI Environmental). Water from three depths (2, 3.5, and 5 m) were  
182 sampled using a 1m integrated vertical bottle sampler and divided across fifteen clear and six dark  
183 glass biochemical oxygen demand bottles (300 mL) per depth for incubation. *In situ* incubations  
184 were performed between 10:00 h and 15:00 h, with DO measurements taken initially and after  
185 incubation. The gross photosynthetic production (GPP) was calculated as the sum of the net  
186 photosynthetic production measured in light bottles and the respiration measured in dark bottles.  
187 We considered similar respiration between light and dark incubations. To determine the relative  
188 contribution of a specific layer to total production across the water column, GPP was then weighted  
189 by the volume of each layer (calculated from the hypsographic curve of the lake) divided by the  
190 total volume of the lake.

191

### 192 *Zooplankton*

193 Crustacean zooplankton were collected using a 30-L Schindler-Patalas sampler (61  $\mu\text{m}$   
194 mesh), at three depths (1 m, 3 m and 5 m from the surface) at the three stations. Zooplankton were  
195 preserved in 70 % ethanol, after being narcotised with club soda (Black and Dodson, 2003).  
196 Cladocera and copepod abundances were characterized under a stereoscopic microscope. The mean  
197 abundance over depth was used for the following of the study. Simpson's diversity was calculated  
198 on species abundances using the function *diversity* from Vegan package in R.

199

### 200 *Statistical Analyses*

201 To conduct the variation partitioning of the plankton assemblages in time and space, the  
202 function *varpart* from Vegan in R was used with time (day), depth and stations (either A, B or C) as

203 explanatory matrices for the response variable matrices consisting of either the phytoplankton  
204 spectral group biomasses or of the zooplankton species abundances. We also characterized  
205 covariation of the phytoplankton and zooplankton assemblages over time using co-inertia coupling  
206 of the principal component analyses (PCA; following Hellinger transformation on the plankton  
207 matrices) for the phytoplankton and zooplankton species abundances. In both cases. The  
208 significance of the co-inertia coupling was tested with a Monte Carlo permutation test.

209 To compare surface and deep phytoplankton assemblages, a correspondence Analysis (CA)  
210 was performed on Hellinger transformed data with the ADE4 package in R. We also tested the  
211 dissimilarity between the two assemblages for each period separately using a permutation analysis:  
212 Bray-Curtis dissimilarities were calculated on Hellinger transformed data followed by a non-  
213 parametric multivariate analysis of variance using the Adonis algorithm in VEGAN, following  
214 Rodríguez-Pérez et Green (Anderson, 2001; Rodríguez-Pérez and Green, 2012).

215 One-way Analysis of Variance (ANOVA) with a post-hoc Tukey test was used when  
216 applicable, to compare seasonal periods. Linear regressions were used to determine which abiotic  
217 and biotic factors affected the biomass of DCM species and zooplankton taxa. For each variable  
218 response, the final model was obtained by performing an automatic backward variable selection  
219 procedure based on the corrected Akaike Information Criterion (AICc). This criterion is  
220 recommended when the number of sampling sites (28 in our study) is relatively low compared to  
221 the number of predictors considered in the models (Burnham and Anderson, 1998; Read *et al.*,  
222 2018). This type of selection method does not involve sequentially dropping non-significant  
223 individual predictors, but rather aims at uncovering the most explicative model while maximizing  
224 parsimony. As a result, the variables of the final models are not necessarily all significant. To assess  
225 the validity of each model, the normality and the homoscedasticity of the residuals, as well as the  
226 absence of outliers were checked using diagnostic plots. To satisfy these requirements, response  
227 variables had to be systemically transformed using a logarithmic or a square-root function (see  
228 Table I).

229

230

## 231 **Results**

232

### 233 *Seasonal thermal structure and vertical resource gradients:*

234 To characterize the temporal stratification dynamics of the lake, we calculated the potential  
235 energy of the water column (energy to fully mix the water column) using temperature measured at  
236 different depths (Fig. 1a and 1b). The lake remained thermally stratified throughout the entire



237 summer, with a thick metalimnion reaching down to the bottom sediment (with no distinct  
238 hypolimnion). We now use the deep layer to refer to depths below the epilimnion, and surface layer  
239 for the epilimnion itself. Stratification intensity showed daily to weekly fluctuations associated with  
240 climatic forcing, with rapid increases controlled by solar radiation (not shown) and rapid decreases  
241 controlled by rainfall and cold fronts (Fig. 1a). With a few exceptions (31/05/2007, 09/07/2007 and  
242 24/08/2007), all rainfall greater than 2 mm led to a decrease in the potential energy (Fig. 1a) and a  
243 temperature homogenization of the surface layers up to 3.5 m (Fig. 1b). Water temperature  
244 displayed short term fluctuations of temperature, which increased with depth (Fig. 1b). While  
245 surface layer fluctuations were associated with diurnal cycles, the large hourly temperature  
246 fluctuations of in deep layer revealed the occurrence of internal waves, with first, second and third  
247 vertical modes, as demonstrated in a previous study (Pannard et al. 2011). Water temperatures at  
248 depth increased linearly over time ( $R^2 > 0.99$ ) between July 1<sup>st</sup> and the end of August, with the slope  
249 of the regression gradually decreasing with depth between 4 m and 5.5 m, reflecting the seasonal  
250 heat diffusion from the surface to the deep layer (Fig. 1b).

251 Stratification was associated with nutrient depletion in the epilimnion. Total dissolved  
252 phosphorus remained always  $< 0.26 \mu\text{mol P L}^{-1}$  over the entire water column, and even  $< 0.13 \mu\text{mol}$   
253  $\text{P L}^{-1}$  in the epilimnion from mid-June until August 28<sup>th</sup> (Fig. 1c). Total dissolved nitrogen remained  
254  $< 25 \mu\text{mol N L}^{-1}$  from mid-June, and was generally  $< 18 \mu\text{mol N L}^{-1}$  in the epilimnion (Fig. 1d). On  
255 the 4<sup>th</sup> of August, all nutrient concentrations increased in response to the high rainfall on the  
256 previous day (Fig. 1a,c,d). The euphotic depth reached 7-m at the beginning of August but began to  
257 erode in depth by mid-August (data not shown).

258  
259 *Partitioning the plankton' variability into pure vertical, horizontal, temporal and shared*  
260 *components:*

261 To define whether variables associated with time (Day), depth (surface and deep layer) or  
262 horizontal position (stations A, B, C) predominated in explaining plankton variation, variation  
263 partitioning was performed on the plankton assemblages (biomass of the phytoplankton spectral  
264 groups and zooplankton species abundances). At the seasonal timescale (May to October), time was  
265 the main component, as it explained most of the variation in the data for the two analyses:  $R^2_{\text{adjusted}}$   
266 was 24% for zooplankton species and 47% for the spectral groups (Fig. 2). During the stratified  
267 period (July-August), depth explained more than time for the phytoplankton assemblages ( $R^2_{\text{adjusted}}$   
268 = 49% vs. 33%; Fig. 2). The horizontal spatial component explained 2% of the zooplankton  
269 assemblage, but none for the phytoplankton spectral groups (Fig. 2). The three stations can thus be

270 considered similar in terms of phytoplankton assemblage structure, but with slightly greater  
271 abundances of zooplankton in station C, close to the outlet of the lake.

272

273 *Four planktonic assemblage seasonal succession periods:*

274 To highlight successional periods and covariation in planktonic assemblages, a co-inertia  
275 analysis on phytoplankton and zooplankton assemblages was performed (Fig. 3 – see Fig. S6 for  
276 detailed plots), revealing four common periods: the spring period P1 (02/05/2007 to 10/07/2007  
277 included) on the right of the plot, the early summer period P2 (24/07/2009 to 09/08/2007 included)  
278 at the top of the plot, the summer P3 (21/08/2007 to 07/09/2007 included) and the late summer  
279 period P4 (11/09/2007 to 11/10/2007 included). The shift from P1 to P2 (Fig. 3) corresponded to  
280 the strong summer increase in stratification (Fig. 1a), coupled with lower concentrations of  
281 dissolved phosphorus (DP) in the epilimnion (Fig. 1c) and a deep euphotic zone (ZE) reaching  
282 almost the bottom of the lake, as discussed above. The shift from P2 to P3 (Fig. 3) corresponded to  
283 a decrease in stratification intensity, so that P3 was thus intermediate in terms of stratification (Fig.  
284 1a). The euphotic depth also eroded from 6 to 4.5 m. P4 (Fig. 3) marked the return of autumn  
285 conditions and the mixing of the depth layers in late September. P1 (Fig. 3) was dominated by  
286 chrysophyceae and diatoms (phytoplankton) and the copepod *Mesocyclops edax* (zooplankton).  
287 Cyanobacteria on the left side of the plot, dominated for the rest of the summer (P2 to P4).  
288 Filamentous phytoplankton species, such as *Dolichospermum* (*D. solitaria* and *D. spiroides*) and  
289 *Aphanizomenon* (*A. flos-aquae* and *A. yezoense*), were associated on the second axis with the  
290 zooplankton *Diaphanosoma birgei* (cladocera) and *Skistodiatomus oregonensis* (copepod), in  
291 opposition to colonial phytoplankton species, such as *Woronichinia naegeliana* or *Coelosphaerium*  
292 and the cladoceran *Daphnia dubia* (Fig. 3). The second axis corresponded to a change in  
293 cyanobacterial succession, from *Aphanizomenon* and *Dolichospermum* (P2) to *Planktothrix* (P3)  
294 and finally *Woronichinia* (P4).

295

296 *Seasonal dynamics of the DCM and surface assemblages:*

297 From mid-June to the seasonal overturn in mid-September, phytoplankton biomass was  
298 concentrated in a DCM near the lake bottom (Fig. S2). Initially dominated by the BROWNS  
299 spectral group (Chrysophyceae and diatoms), the DCM shifted to the BLUE-GREENS and MIXED  
300 spectral groups mid-July until the autumn overturn (Fig. S2). In June the diatoms *Cyclotella* (*C.*  
301 *comta* and *C. glomerata*) and the flagellate chrysophyceae *Synura uvella* dominated the DCM,  
302 while the epilimnetic assemblage was largely dominated by *Cyclotella* only (Fig. S3). In mid-July,  
303 the flagellate *Cryptomonas erosa* developed in the surface and the deep layers. For the rest of the

304 summer, the DCM was dominated by phycocyanin-containing cyanobacteria, such as  
305 *Aphanizomenon* (*A. flos-aquae* and *A. yezoense*), *Dolichospermum* and *P. agardhii* (Fig. S3). The  
306 epilimnion was composed of small flagellates, such as *Uroglena americana*, *Mallomonas* (mainly  
307 *M. bipunctuata*, *M. heterospina*, *M. pseudocoronata* and *M. tonsurata*), *Cryptomonas* (mainly *C.*  
308 *borealis*, *C. erosa*, *C. lucens*, *C. marssoni* and *C. ovata*) and *Trachelomonas* (mainly *T. aculeata*, *T.*  
309 *dybowskii*, *T. intermedia*, *T. hispida*, *T. planctonica*) until August (Fig. S3). Picocyanobacteria,  
310 such as *Aphanothece* (*A. bachmannii* and *A. clathrata*), were also observed in the epilimnion. At the  
311 end of August, the BLUE-GREENs spectral profile highlighted the presence of a double DCM,  
312 which persisted for two weeks (Fig. S2), with *Aphanizomenon* (*A. flos-aquae* and *A. yezoense*) in  
313 the uppermost layer and *Planktothrix agardhii* in the deeper layer (Fig. S4).

314 To compare the species assemblages in the surface (S) and the deep layers (D) over time, a  
315 correspondence analysis (CA) was performed on stratified periods P2, P3 and P4 (Fig. 4). P2 was  
316 distributed along the first axis, with surface phytoplankton (in red and italicized on Fig. 4) mixed on  
317 the plot with deep phytoplankton (in red and boxed on Fig. 4). During P3, the deep assemblage (in  
318 blue and boxed on Fig. 4) separated from surface assemblage (in blue and italicized in Fig. 4) along  
319 the second axis. During P4, both assemblages were grouped on the top right part of the plot (in  
320 green on Fig. 4). The deep and surface assemblages thus remained similar, except during P3, during  
321 which *Planktolyngbya pseudospirulina*, *Planktothrix agardhii* and *Limnothrix rosea* species  
322 increased in abundance at depth (Fig. 4). Based on non-parametric multivariate analysis of  
323 variance, the surface assemblage significantly differed from the deep assemblage only during P3  
324 (pseudo-F = 4.44 ; P = 0.026), but not during P2 and P4 (pseudo-F = 0.957 ; P = 0.45 and pseudo-F  
325 = 1.13 ; P = 0.41 respectively). Thus, differentiation did not occur during the strongest stratification  
326 period (P2), but only afterwards (P3). The seasonal overturn and a mixing of the assemblages  
327 occurred during P4.

328

329 *Global diversity in the lake:*

330 Alpha (mean species richness) and gamma (total richness) were first calculated for each  
331 period and showed similar trends: a maximum diversity during P4 (seasonal overturn) and a  
332 minimum diversity during P3, although the differences between periods were not significant (Fig.  
333 5a,b). When comparing beta diversity associated with the vertically distributed depth-layers,  
334 diversity increased with time, also being maximal during P4 (Fig. 5c).

335 Whether estimated as functional or taxonomic diversity, the surface assemblage (S) was  
336 always significantly more diverse than the deep assemblage (D) until P4 (Fig. 5 d,e). In addition to  
337 cyanobacteria, several other functional groups contributed to the increasing functional diversity in

338 the epilimnion (S), as observed in the CA of the seven MBFG (Fig. 6). The CA, which represents  
339 74% of total inertia, distinguished groups II (small flagellates), V (medium and large flagellates)  
340 and VI (diatoms) from group III (filamentous cyanobacteria) on the first axis (Fig. 6b), with P1 and  
341 P3 situated at opposite extremes (Fig. 6a). The deep assemblage (D) was dominated by  
342 *Aphanizomenon*, *Dolichospermum* and *P. agardhii*, all from the same functional group (III), on the  
343 upper right quadrant of the CA (Fig 6). Group VII (mucilaginous colonies including both  
344 cyanobacteria and chlorophyceae) increased in the bottom portion of the plot (Fig. 6b), as did most  
345 of surface assemblages (Fig. 6a). The first axis thus separated spring (P1) from the rest of the  
346 summer, while the second axis separated the assemblages according to depth. Flagellates and  
347 mucilaginous colonies thus contributed to increased functional diversity in the epilimnion compared  
348 with the deep layer. The seasonal overturn (P4) increased both species diversity and richness (Fig.  
349 5a,b,e), without a corresponding increase in functional diversity (Fig. 5d). Zooplankton taxonomic  
350 diversity was at its lowest during the seasonal overturn (P4) (Fig. 5f).

351

#### 352 *Contributions to primary production:*

353 Primary production was measured at three depths for one to five dates per period (Fig. 7).  
354 The upper layer was the most productive compared to the deeper one during P1, P3 and P4, while  
355 the DCM was the most productive during P2. When the relative contribution to total primary  
356 production was calculated based on depth stratum volume, we observed a similar pattern for P1, P3  
357 and P4, with the upper layer contributing at least 50% of total production (Fig. 7). During P2,  
358 higher production in the DCM was compensated for by the larger water volume of the upper layer,  
359 so that the DCM contributed approximately 30% of the total production (Fig. 7). Despite a very low  
360 phytoplankton biomass in the epilimnion compared to the DCM, surface assemblage production  
361 contributed most during all summer owing to higher light availability associated with the larger  
362 epilimnetic volume. During P3, surface assemblage contributing much more (>90%) than the  
363 deeper layer to overall production (Fig. 7). Total production was the highest relative to the other  
364 periods during P3 across depths (Fig. S7).

365

#### 366 *Controlling factors of the DCM species:*

367 Cyanobacteria abundances were all positively explained by stratification intensity (PE) in  
368 the linear models (Table Ia). Abundance of the herbivorous zooplankton *Skistodiatomus*  
369 *oregonensis* was most related to variation in cyanobacteria, positively affecting epilimnetic species  
370 (*Aphanizomenon yezoense* and both *Dolichospermum*) and negatively affecting strictly deep-  
371 occurring species (*Planktothrix agardhii*). Several *Aphanizomenon* species were observed both in

372 the epilimnion in early summer and in the DCM in late summer (Fig. S3). The abundance of  
373 *Aphanizomenon flos-aquae* was negatively affected by temperature and by the concentration of  
374 dissolved nitrogen (DN), with a positive interaction between DN and temperature (Table Ia). *A.*  
375 *yezoense* was positively influenced by PE and its variation ( $\Delta$ PE indicating rapid warming or  
376 cooling), negatively by the concentration in dissolved phosphorus (DP), and with a positive  
377 interaction of effects of temperature and DP. Both *Dolichospermum* species were also observed in  
378 the epilimnion and in the DCM in July, with *D. spiroides* occurring earlier in season than *D.*  
379 *solitaria*. For *D. solitaria*, most of the environmental parameters were selected in the final model,  
380 but the coefficients were not significant, so the result was similar for *D. spiroides*. Abundances of  
381 both species were significantly and positively related to stratification (PE) and to abundances of the  
382 zooplankton *S. oregonensis* (Table Ia). *Planktothrix agardhii* occurred in the deep layer during P3,  
383 at the same time that DP and DN increased over the entire water column (Fig. 1c,d). Its abundance  
384 was positively related (in order of importance) to DN concentration, PE and to zooplankton  
385 abundances *D. dubia* and negatively related to *S. oregonensis*. Stratification was the main driver of  
386 cyanobacterial abundance and composition.

387

388 *Macrozooplankton dynamics:*

389 The three dominant herbivorous zooplankton species were all explained by three parameters:  
390 water temperature, biomass of the 'MIXED' spectral group and abundance of the predatory  
391 copepod *Mesocyclops edax* (Table Ib). Abundances increased with water temperature and with  
392 'MIXED' biomass, corresponding to cryptophyta, as no phycoerythrin-containing cyanobacteria  
393 were observed in our microscopic analyses. Abundances of two cyanobacterial species were also  
394 positively related to the abundances of two zooplankton species: *Aphanizomenon flos-aquae* with  
395 *Diaphanosoma birgei* and *Planktothrix agardhii* with *Daphnia dubia*. However, coefficients were  
396 very low, indicating a low contribution (Table Ib). *Daphnia dubia* was also negatively correlated to  
397 potential energy PE (Table Ib) as it experienced increasing abundances in the fall when overturn  
398 was occurring (Fig. S4).

399

400

## 401 **Discussion**

402

403 We addressed four main hypotheses in this detailed study of the vertical segregation of  
404 phytoplankton assemblages, the repercussions for the diversity and primary production, and the  
405 associated changes in zooplankton assemblages. In the study lake, which is moderately deep for its

406 size, the vertical temperature and resource gradients were strong and constrained the phytoplankton  
407 assemblages. We hypothesized that vertical structuring in phytoplankton assemblages would be  
408 controlled by lake stratification and that strong density stratification should reduce entrainment of  
409 deeper water and the incorporation of metalimnetic species into the epilimnion (Serra *et al.*, 2007).  
410 Different assemblages between the surface and the deep layers were expected, and predicted to  
411 result in increased beta diversity (along the vertical gradient) and in overall lake (gamma) diversity.  
412 We also expected the deep assemblage to contribute more to total primary production than the  
413 surface assemblage and that the zooplankton community would change in response to lake  
414 stratification and phytoplankton vertical structuring. We identified four periods of stratification  
415 intensity. Only one was associated with a vertical structuring of phytoplankton assemblages,  
416 without increase of either diversity or primary production. Instead, the period of maximal diversity  
417 corresponded to the seasonal transition. We first discuss the vertical structuring of phytoplankton  
418 assemblages in relation to stratification and resource gradients, followed by a discussion of the  
419 diversity and primary production responses.

420 We observed that surface and deep assemblages were similar during the strongest  
421 stratification period (P2), and then diverged into two distinct assemblages during P3, despite  
422 decreased stratification. Similar assemblages during strong stratification could result when the  
423 DCM represents an accumulation of surface-settling species (Cullen, 1982; Condie and Bormans,  
424 1997) and/or when a portion of species in the DCM become entrained by internal waves and deep  
425 water upwelling (Bormans *et al.*, 2004). Both contributions were observed in our study, during P1  
426 and P2 respectively. Indeed, until mid-July (P1), phytoplankton biomass was dominated by diatoms  
427 (especially *Cyclotella comta* and *C. glomerate*) in the epilimnion and in the deep layer. These small  
428 centric diatoms, would have been subject to sedimentation loss and accumulation in deeper waters  
429 (Fahnenstiel and Glime, 1983; Jackson *et al.*, 1990). Motile chrysophyta, with the spring species  
430 *Synura uvella* and *Dinobryon divergens*, also accumulated in the deep layer. *S. uvella* is also typical  
431 of small oligotrophic lakes and heterotrophic ponds, and may have accumulated at the bottom of the  
432 lake because of higher CO<sub>2</sub> levels (Reynolds, 2006) These species are also known to be mixotrophs  
433 (Reynolds, 2006) and able to benefit from likely higher bacterial populations at depth.

434 During P2, we observed the second period of similar deep and surface phytoplankton  
435 assemblages, in this case resulting from entrainment of deeper assemblages towards the surface.  
436 Recurrent internal waves associated with daily wind have been demonstrated in the study lake  
437 during the summer months (Pannard *et al.*, 2011). The DCM composition shifted to cyanobacteria  
438 at the end of July and for the rest of the summer, with dominance by *Aphanizomenon* (*A. flos-aquae*  
439 and *A. yezoense*) and *Dolichospermum* (*D. solitaria* and *D. spiroides*). *Aphanizomenon* and

440 *Dolichospermum* are representative of the functional group H1, in Reynolds' classification and are  
441 sensitive to poor light and low phosphorus concentrations (Reynolds *et al.*, 2002). Epilimnetic  
442 phosphorus concentrations remained low and consequently potentially limiting for growth at  $< 0.13$   
443  $\mu\text{mol P L}^{-1}$ ; most half-saturation constants for phosphorus uptake being above  $0.16 \mu\text{mol P L}^{-1}$   
444 (Reynolds, 2006; Edwards *et al.*, 2012; Bestion *et al.*, 2018). *Aphanizomenon* and *Dolichospermum*  
445 were able to develop large biomasses in the DCM, most likely due to the upward input of P and N  
446 from the anoxic sediment, possible as long as the euphotic zone reached the bottom of the lake. The  
447 contribution of internal loading compared with external loading has been already highlighted in our  
448 study lake (Planas and Paquet, 2016). A portion of the DCM species would have been entrained  
449 into the epilimnion during P2 owing to shear stress associated with the occurrence of internal waves  
450 (Pannard *et al.*, 2011). Anecdotally, we noted that cyanobacterial aggregates likely originating in the  
451 DCM were regularly observed floating at the lake's surface, disappearing a few days later as  
452 apparently low surface phosphorus concentrations precluded the growth of cyanobacteria in the  
453 epilimnion.

454 During P2, the DCM was thus a source of species for the surface layer phytoplankton  
455 assemblage and in particular, the filamentous cyanobacteria. However, small flagellated species,  
456 specific to the epilimnion continued to be observed, albeit at low abundances: *Cryptomonas* (*C.*  
457 *marssonii*, *C. erosa*), *Uroglena americana*, *Trachelomonas* (*T. intermedia*, *T. dybowskii*, *T.*  
458 *aculeate*), *Scourfieldia cordiformis*, *Erkenia subaequiciliata*, *Ochromonas globosa*, *Mallomonas*  
459 *acaroides*, and *Chlamydomonas* (*C. fusus*, *C. dinobryonii*). With a high surface : volume ratio,  
460 small species are known to tolerate low nutrient concentrations and their ability to perform flagellar  
461 movement reduces sinking loss (Reynolds, 2006). Their small cell size, buoyancy regulation and  
462 motility associated with flagella are all functional traits related to preventing sinking loss (Salmaso  
463 *et al.*, 2015). These species may have contributed to primary production in the surface layer,  
464 keeping relative surface production higher than deep water production as observed. Such species  
465 would likely also have benefitted the zooplankton assemblage: we observed a positive correlation  
466 between the biomass of the 'MIXED' spectral group and the abundances of the three herbivorous  
467 zooplankton. Finally, during the period of strong stratification (P2), surface nutrient limitation  
468 favoured the occurrence of oligotrophic surface species above deep filamentous cyanobacteria, the  
469 latter likely fueled by vertical nutrient fluxes from the anoxic sediments. However, it should be  
470 noted that the two phytoplankton assemblages were not significantly distinguishable statistically.  
471 Thus, stratification intensity may not have been sufficient to impede the vertical movement of DCM  
472 species (Abbott *et al.*, 1984) and the shear stress associated with internal waves may have further  
473 inhibited spatial segregation.

474 By mid-August, thermal stratification had decreased, following rainfall and wind events,  
475 thereby reducing vertical gradients of nutrients and light (P3). At this point in time, the two  
476 assemblages differed significantly with the development of shade-adapted species in the deep layer  
477 and the continued presence of the epilimnetic species from the P2 phase at the surface. Some  
478 picocyanobacteria (*Aphanothece clathrata*, *A. bachmannii*, *Aphanocapsa delicatissima*, *A. conferta*)  
479 were also observed in the epilimnion. Metalimnetic species are well described in the literature, in  
480 particular with respect to their chromatic adaptation to low light conditions and buoyancy regulation  
481 capacities (Dokulil and Teubner, 2000; Walsby, 2005). Here we observed *Planktothrix agardhii*,  
482 *Planktolyngbya pseudospirulina* and *Limnothrix* (*L. rosea* and *L. redekei*), which are known to  
483 tolerate highly light-deficient conditions and are all known to be metalimnetic in distribution  
484 (Reynolds, 2006). *Planktothrix rubescens*, a phycoerythrin species (the red *Planktothrix*), is known  
485 to accumulate in the metalimnion of deep lakes (Walsby and Schanz, 2002; Oberhaus *et al.*, 2007)  
486 where light is composed primarily of green wavelengths, thus forcing the species to use  
487 phycoerythrin or another red pigment (Stomp, 2008). In our study lake, the DCM was located at a  
488 depth of only five meters enabling dominance instead of the “green *Planktothrix*” (*Planktothrix*  
489 *agardhii*); this “green” species more commonly in turbid well-mixed waters (Mantzouki *et al.*,  
490 2016) where it can accumulate in DCMs (Utkilen *et al.*, 1985; Reichwaldt and Abrusan, 2007) at  
491 similar depths as the “red” species (Halstvedt *et al.*, 2007). At the end of P3, two distinct layers  
492 were observed within the DCM, with a peak of *Aphanizomenon* above a *Planktothrix* peak. Such a  
493 spatial segregation, with two peaks of *Aphanizomenon* above *Planktothrix rubescens*, has already  
494 been observed in a deep lake (69 m) and attributed to a spatial niche segregation of light and  
495 nutrients (Selmeczy *et al.*, 2016). The seasonal overturn during P4 ended this vertical structuring of  
496 assemblages.

497

498 We expected species richness to increase with vertical structuring of phytoplankton  
499 assemblages, associated with the vertical resource gradient. The exploitation of the resource  
500 gradients through the use of motility traits (flagella versus gas vacuoles) can reduce spatial overlap  
501 between species, and sometimes lead to greater taxonomic and functional diversities (Beisner and  
502 Longhi, 2013; Ouellet Jobin and Beisner, 2014). Instead, in our study, species richness did not  
503 increase with vertical structuring of phytoplankton assemblages, but rather decreased during P3.  
504 During this time light availability decreased in the deepest layer, resulting in more selective  
505 environmental conditions and thus reduced diversity. Only shade-adapted species, such as  
506 *Planktothrix*, *Planktolyngbya* and *Limnothrix*, were able to develop in deep layer during P3. Small,  
507 motile species adapted to oligotrophic conditions increased surface taxonomic and functional



508 diversities, from P1 to P3. When focusing on functional diversity calculated with the seven  
509 morphologically based functional groups (MBFG) (Kruk et al. 2010) instead of the two hundred  
510 species of the entire community, the summer epilimnetic assemblages (P1, P2 & P3) were thus the  
511 most diverse with the presence of almost all groups, while the deep layer was dominated by  
512 filamentous cyanobacteria. The seasonal overturn (P4) mixed the surface and deep assemblages and  
513 was demonstrated to be the most diverse period for phytoplankton assemblages in taxonomic  
514 diversity, but without a corresponding increase in functional diversity. The erosion of thermal  
515 stratification led to epilimnetic increases in phosphorus availability and favoured the return of fall  
516 species, such as *Woronichinia naegeliana*. This seasonal disturbance enabled the highest alpha, beta  
517 and gamma diversities, favouring coexistence of late summer and fall species.

518  
519 We also expected the DCM to contribute significantly to the overall lake production,  
520 especially in a relatively shallow and small lake. The vertical distribution of phytoplankton  
521 biomass was typical of a clear vertically stratified oligotrophic lake (Fee, 1976; Cullen, 1982), with  
522 a deep chlorophyll maxima (DCM). During summer (P1 to P3), epilimnetic biomass remained very  
523 low ( $< 12 \mu\text{g chl}a \text{ L}^{-1}$ ) in contrast to the deep layer biomass ( $> 200 \mu\text{g chl}a \text{ L}^{-1}$ ). It appears that the  
524 clear epilimnion allowed light to reach the deep layer. The control of DCM by stratification and  
525 light is already well described (Abbott *et al.*, 1984; Leach *et al.*, 2018), with vertical gradient in  
526 nutrients and light explaining DCM dynamics (Fee, 1976; Klausmeier and Litchman, 2001). During  
527 the most strongly stratified period, one could have expected a larger production by the DCM  
528 compared with the clear epilimnion, even when production is weighted by the volume of the two  
529 layers (based on data from a bathymetric map performed the same year). Because the area of the  
530 epilimnetic layer was larger, the volume of this layer is much bigger than that of the deep layer and  
531 this compensated for the concentrated deep layer production. Despite high deep layer primary  
532 production during P2, surface production integrated over the epilimnion was systematically higher.  
533 This epilimnetic production could have fueled zooplankton assemblage production, favouring  
534 competitive species able to grow at low resource concentrations and on small flagellates. The  
535 relative contribution to total production of the shade-adapted DCM species was even lower during  
536 P3 ( $<10\%$ ) than during P2, and could be considered negligible for lake primary production. There  
537 the occurrence of a DCM with a distinct phytoplankton assemblage did not lead to increases in  
538 diversity and its contribution to overall primary production remained limited.

539  
540 Four periods were highlighted in the plankton assemblage compositions, differing mainly  
541 according to stratification intensity and surface dissolved phosphorus availability. Ice cover on the

542 study lake thawed in early May, but with rapid heating was already stratified by mid-May. It  
543 remained thermally stratified throughout the summer, with recurrent internal waves occurring every  
544 day (Pannard *et al.*, 2011). Other than the fall species, *Woronichinia naegeliana*, the abundances of  
545 cyanobacteria were all explained by stratification intensity, measured as potential energy.  
546 Stratification is known to be a critical driver of cyanobacteria blooms, either directly or through  
547 stratification-induced internal nutrient loading (Huber *et al.*, 2012). The four phytoplankton-  
548 determined periods were also reflected in the zooplankton assemblages. *Mesocyclops edax*  
549 characterized P1 (spring), with diatoms and chrysophyceae. *Diaphanosoma birgei* characterized P2  
550 with *Dolichospermum* and *Aphanizomenon* during high stratification. *Daphnia dubia* showed the  
551 greatest biomass during P4 (overturn), co-occurring with mucilaginous phytoplankton species.  
552 Phytoplankton-zooplankton covariations were the result of common control factors, such as  
553 stratification and temperature, and of trophic interactions. Here, all the herbivorous species were  
554 explained by temperature, the abundance of the predator *M. edax* and the biomass of cryptophyceae  
555 prey. Temperature can have a direct effect on zooplankton growth (Shuter and Ing, 1997), and an  
556 indirect effect via stratification (Thackeray *et al.*, 2006). Disentangling the effects of biotic and  
557 abiotic factors and prioritizing them are not possible in observational field studies such as ours  
558 without further experimental manipulation. Experiments have shown that warmer temperatures  
559 accelerate *Daphnia* grazing and growth, but not growth of phytoplankton which are more controlled  
560 by depth stratification, through light availability (Berger *et al.*, 2006). We observed that the  
561 cryptophyceae occurred at greater biomass in the epilimnion than in the deep layer. Zooplankton,  
562 such as *Diaphanosoma* may feed selectively on cryptophyceae and it has been demonstrated that  
563 grazing rates by small zooplankton especially, is not reduced in presence of filamentous  
564 cyanobacteria (Kirk and Gilbert, 1992). *Daphnia* are also able to consume short filaments of  
565 *Planktothrix* (Reynolds, 2006) and in line with this, we observed that *Daphnia* abundances were  
566 correlated to *Planktothrix* by the linear models (although with a very small coefficient).

567  
568 The major aim of this study was to determine whether the vertical resource gradient in a  
569 small oligotrophic lake could lead to a spatial segregation of phytoplankton assemblages with  
570 benefits for overall depth-integrated diversity and production. The small size of the lake studied  
571 allowed us to ignore horizontal heterogeneity and horizontal advection. Our lake displayed a DCM,  
572 a common feature of deeper oligotrophic lakes and implying a vertical segregation of phytoplankton  
573 biomass, but our study demonstrated no benefit at the scale of the lake of the presence of this DCM  
574 for primary production and diversity, whether functional or taxonomic. Instead, phytoplankton  
575 diversity was favoured by mixing during the seasonal transition associated with succession. This

576 suggests that a shallower lake depth might negatively affect spatial segregation, although a previous  
577 multi-lake snapshot survey (MLSS) suggested that vertical spatial overlap of phytoplankton spectral  
578 groups is rather controlled by stratification, the optical depth (size of the light niche) and the lake  
579 trophy (Beisner and Longhi, 2013). Compared to deeply stratified lake, the depth over which spatial  
580 segregation of phytoplankton could occur in our shallow lake is reduced, potentially increasing the  
581 overlap in competing spectral groups, with negative consequences for diversity (Ouellet Jobin and  
582 Beisner, 2014). Stratification, which is controlled by climatic forcing, is the prerequisite for DCM  
583 establishment. Light availability is also directly controlled by climate, as well as vertical nutrient  
584 fluxes which depend on wind forcing (MacIntyre et al., 2006). It is thus expected that the dynamics  
585 of DCM will change from year to year. However, the properties of the DCM can be well predicted  
586 from the euphotic depth and DOC concentration for DCM depth, and from lake size and maximum  
587 depth for DCM thickness (Leach et al., 2018). These parameters are quite stable between years,  
588 with some exceptions. An increase in turbidity due to glacial clay or volcanic ashes for instance  
589 make DCMs shallower (Modenutti et al., 2013). In another exception, a DCM does not occur each  
590 summer in Lake Erie despite strong stratification; depending on meteorological forcing and  
591 resuspension events (Bramburger and Reavie, 2016; Lick et al., 1994). Finally, light seems to be the  
592 main driver of DCM properties (biomass and depth), more so than stratification or phosphorus in  
593 southern lakes from Antarctica to the tropics (Burnett et al., 2006; Leach et al., 2018; Sanful et al.,  
594 2019)

595

596

## 597 **Conclusion**

598 In this study, we demonstrated a low contribution of the DCM to lake phytoplankton  
599 diversity and primary production, in a small but relatively deep lake (maximum depth of 7.2 m).  
600 The DCM production represented on average 33% of total production during the strongest summer  
601 stratification period, during which surface and deep assemblages were the same. When surface and  
602 deep assemblages diverged, the taxonomic and functional diversity at the scale of the water column  
603 decreased. The distinct surface and deep phytoplankton assemblages were within three meters of  
604 each other. Such lakes with intermediate depth represent good opportunity to study strong vertical  
605 clines over a small scale, which may allow both the niche partitioning but also facilitate the mobile  
606 species migration among habitats

607

## 608 **Authors' contributions**

609 A.P., D.P. and B.E.B. designed the study. A.P. and M.J. collected the data. A.P. assembled and  
610 analyzed the data. P.LN. performed the linear models. A.P., B.E.B., D.P., P.LN and M.B. wrote the  
611 manuscript.

612

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809 **Tables**

810 Table I: Summary statistics for the final regression models for (a) cyanobacteria abundances and (b) zooplankton abundances. Phytoplankton species  
 811 are *A.f.* (*Aphanizomenon flos-aquae*), *A.y.* (*Aphanizomenon yezoense*), *D.so.* (*Dolichospermum solitaria var planctonica*), *D.sp.* (*Dolichospermum spiroides*), *P.a.*  
 812 (*Planktothrix agardhii*) and *W.e.* (*Woronichinia egelia*). Zooplankton species are *D.d.* (*Daphnia dubia*), *D.b.* (*Diaphanosoma bergei*) and *S.o.* (*Skistodiatomus*  
 813 *oregonensis*). For each (a) and (b), the initial global models (top table) and their statistical parameters (bottom table) are shown. Bolded estimates  
 814 indicate those that were significant at  $P < 0.05$ . For each species, the real value of estimates has no meaning, as it depends on the data  
 815 transformation, but the relative value gives the proportional control of each factor over the biomass of the species. The Shapiro–Wilk normality test  
 816 (SWN test) on residuals are shown. Parameters are Day, Layer (deep versus surface), PE (potential energy),  $\Delta$ PE (daily variation in PE),  $T^\circ$  (water  
 817 temperature), Light, DP (dissolved phosphorus), DN (dissolved nitrogen, abundances of zooplankton *D.d.*, *D.b.* and *S.o.*, biomasses of dominant  
 818 cyanobacteria and biomass of the MIXED spectral group.

819

820 (a) cyanobacteria

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Species	(Intercept)	Day	Layer (deep)	PE	$\Delta$ PE	$T^\circ$	light	DP	$T^\circ$ :DP	DN	$T^\circ$ :DN	TN:TP	<i>D.d.</i>	<i>D.b.</i>	<i>S.o.</i>
<i>A.f.</i>	<b>44.22</b>	-0.05		0.29		<b>-1.53</b>				<b>-140.64</b>	<b>6.64</b>				
<i>A.y.</i>	9.65	<b>0.05</b>	-1.03	<b>0.49</b>	<b>2.49</b>	-0.51	-0.01	<b>-3.07</b>	<b>0.16</b>	25.76	-2.41	-0.07		<b>-0.35</b>	<b>0.19</b>
<i>D.so.</i>	8246.34		-1201.83	<b>330.10</b>		-411.15	-17.45	1126.90	-67.21	-53637.62	2644.38	50.40		-86.06	<b>89.19</b>
<i>D.sp.</i>	<b>-13.40</b>	<b>0.06</b>	-1.41	<b>0.39</b>											<b>0.12</b>
<i>P.a.</i>	<b>-16684.29</b>	<b>59.49</b>	2038.71	<b>497.93</b>	-1552.12		-28.96	-580.91		<b>21613.22</b>			<b>128.91</b>		<b>-253.19</b>
<i>W.e.</i>	654.84	<b>11.98</b>	<b>-805.62</b>			<b>-122.49</b>									

822

Species	Transformation	$R^2$	$R^2$ (adjusted)	AICc	Shapiro test	LLik	DF	Residual Dev.
<i>A.f.</i>	log	0.54	0.44	141.76	0.98 (0.90)	-61.08	22	2.42
<i>A.y.</i>	log	0.94	0.89	115.17	0.97 (0.58)	-22.58	14	0.77
<i>D.so.</i>	sqrt	0.71	0.51	493.71	0.97 (0.47)	-220.85	16	852.79
<i>D.sp.</i>	log	0.48	0.39	124.62	0.97 (0.57)	-54.313	23	1.86
<i>P.a.</i>	sqrt	0.75	0.63	523.36	0.96 (0.37)	-242.43	18	1737.55
<i>W.e.</i>	sqrt	0.52	0.46	418.19	0.97 (0.70)	-202.73	24	364.49

823  
 824 (b) zooplankton  
 825

Species	(Intercept)	Layer (deep)	PE	temperature	TP	<i>Mesocyc edax</i>	<i>Aphanizomenon flos-aquae</i>	<i>Aphanothece</i>	<i>Dolichospermum</i>	<i>Planktothrix agardhii</i>	MIXED
<i>D.d.</i>	-1.64		-0.07	0.13		0.12				6.03E-09	0.05
<i>D.b.</i>	-8.85	0.85		0.46	-0.01	0.50	2.05E-08		-3.37E-08		0.14
<i>S.o.</i>	-1.33			0.08		0.15		3.10E-10			0.03

826  
 827

Species	Transformation	R <sup>2</sup>	R <sup>2</sup> (adjusted)	AICc	Shapiro test	LLik	DF	Residual Dev.
<i>D.d.</i>	log	0.61	0.52	15.12	0.96 (0.32)	2.24	22	0.25
<i>D.b.</i>	sqrt	0.87	0.83	64.05	0.95 (0.16)	-18.03	20	0.54
<i>S.o.</i>	log	0.82	0.79	-17.07	0.96 (0.38)	16.54	23	0.15

828

829 Table II: summary statistics for the dominant phytoplankton and zooplankton abundances depending on periods in surface and bottom.

(a)	surface								bottom							
	period 1		period 2		period 3		period 4		period 1		period 2		period 3		period 4	
	Species	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	mean ±stand. dev.	
<i>Aphanizomenon flos-aquae</i>	10 17	300 540	41 60	21 21	0 0	9 13	89 197	3 5								
<i>Aphanizomenon yezoense</i>	460 754	1 865 1 517	2 707 1 688	406 291	96 166	10 879 8 945	468 901	712 1 033								
<i>Aphanocapsa conferta</i>	7 12	195 306	298 222	2 4	0 0	194 198	41 52	2 4								
<i>Aphanocapsa delicatissima</i>	262 454	1 968 2 001	64 105	0 0	0 0	21 612 38 365	682 1 102	618 892								
<i>Aphanothece bachmannii</i>	37 51	264 353	26 068 21 662	27 239 31 893	2 186 3 511	26 372 24 381	39 905 22 664	12 411 13 039								
<i>Aphanothece clathrata</i>	0 0	740 1 152	2 233 3 833	2 700 4 443	1 702 2 716	2 085 3 842	23 698 51 873	10 648 12 670								
<i>Botryococcus braunii</i>	4 834 7 150	6 540 9 380	26 770 24 874	47 804 53 581	2 789 3 981	20 664 17 802	6 754 9 648	26 467 44 970								
<i>Chroococcus sp.</i>	53 727 17 621	50 015 67 749	138 642 139 148	61 639 48 394	32 257 6 894	89 910 171 385	49 576 38 092	121 148 112 782								
<i>Coelosphaerium kuetsingianum</i>	0 0	41 828 69 063	140 151 215 882	16 28	0 0	23 416 22 421	28 202 39 968	7 529 13 041								
<i>Cyanodiction imperfectum</i>	0 0	384 777	360 785	0 0	0 0	681 1 022	210 469	0 0								
<i>Desmerella brachycalyx</i>	57 46	52 79	207 239	142 142	16 24	53 78	297 102	422 438								
<i>Dictyosphaerium pulchellum</i>	701 921	204 300	16 835 11 218	33 195 11 093	1 179 1 922	2 373 3 294	33 156 23 550	8 242 8 596								
<i>Dolichospermum flos aquae</i>	0 0	3 438 4 924	14 826 33 153	159 154 345 103	0 0	320 715	0 0	67 258 99 224								
<i>Dolichospermum solitaria var. planctonica</i>	51 60	43 75	203 213	0 0	96 96	127 240	116 97	163 65								
<i>Dolichospermum spiroides</i>	6 11	7 16	0 0	13 22	0 0	10 22	0 0	0 0								
<i>Limnothrix rosea</i>	2 3	0 0	0 0	11 19	46 63	2 5	3 693 5 500	0 0								
<i>Merismopedia tenuissima</i>	0 0	0 0	34 76	0 0	0 0	0 0	864 1 484	195 218								
<i>Microcystis wesenbergii</i>	22 38	460 789	341 329	342 560	540 935	343 324	12 051 26 948	17 561 23 797								
<i>Planktolyngbya pseudospirulina</i>	172 187	69 79	4 754 4 872	3 112 2 304	5 397 7 201	8 829 9 274	51 624 30 500	3 297 2 457								
<i>Planktothrix agardhii</i>	1 1	0 0	905 1 999	0 0	550 953	4 10	14 226 18 827	224 388								
<i>Snowella septentrionalis</i>	0 0	0 0	0 0	256 443	0 0	0 0	1 007 2 251	3 557 4 346								
<i>Woronichinia naegeliana</i>	0 0	124 165	676 591	5 716 2 245	23 39	298 291	682 320	823 724								

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(b)

	period 1		period 2		period 3		period 4	
	mean	±stand. dev.	mean	±stand. dev.	mean	±stand. dev.	mean	±stand. dev.
<i>Diaphanosoma birgei</i>	22	13	1357	938	479	213	110	36
<i>Skistodiaptomus oregonensis</i>	698	699	819	459	720	415	634	145
<i>Daphnia dubia</i>	1384	1837	679	657	1758	754	3352	2305
<i>Eubosmina coregoni</i>	131	126	1	2	6	6	4	2
<i>Daphnia ambigua</i>	0	0	147	88	214	61	420	37
<i>Mesocyclops edax</i>	1021	1159	99	70	113	54	75	35

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## Figures

Figure 1: Time series of (a) rainfall (histogram) and potential energy of the water column (black curve), (b) temperature at different depths measured every ten minutes, (c) dissolved total phosphorus and (d) dissolved total nitrogen. Slopes of the daily increase in temperature depending on time (Day) are shown in (b) at 4.5, 5.0 and 5.5 m depth during the stratification. Regression coefficients are  $0.055 \cdot \text{Day}$  for S1,  $0.085 \cdot \text{Day}$  for S2, and  $0.104 \cdot \text{Day}$  for S3, with all  $R^2 > 0.99$ .

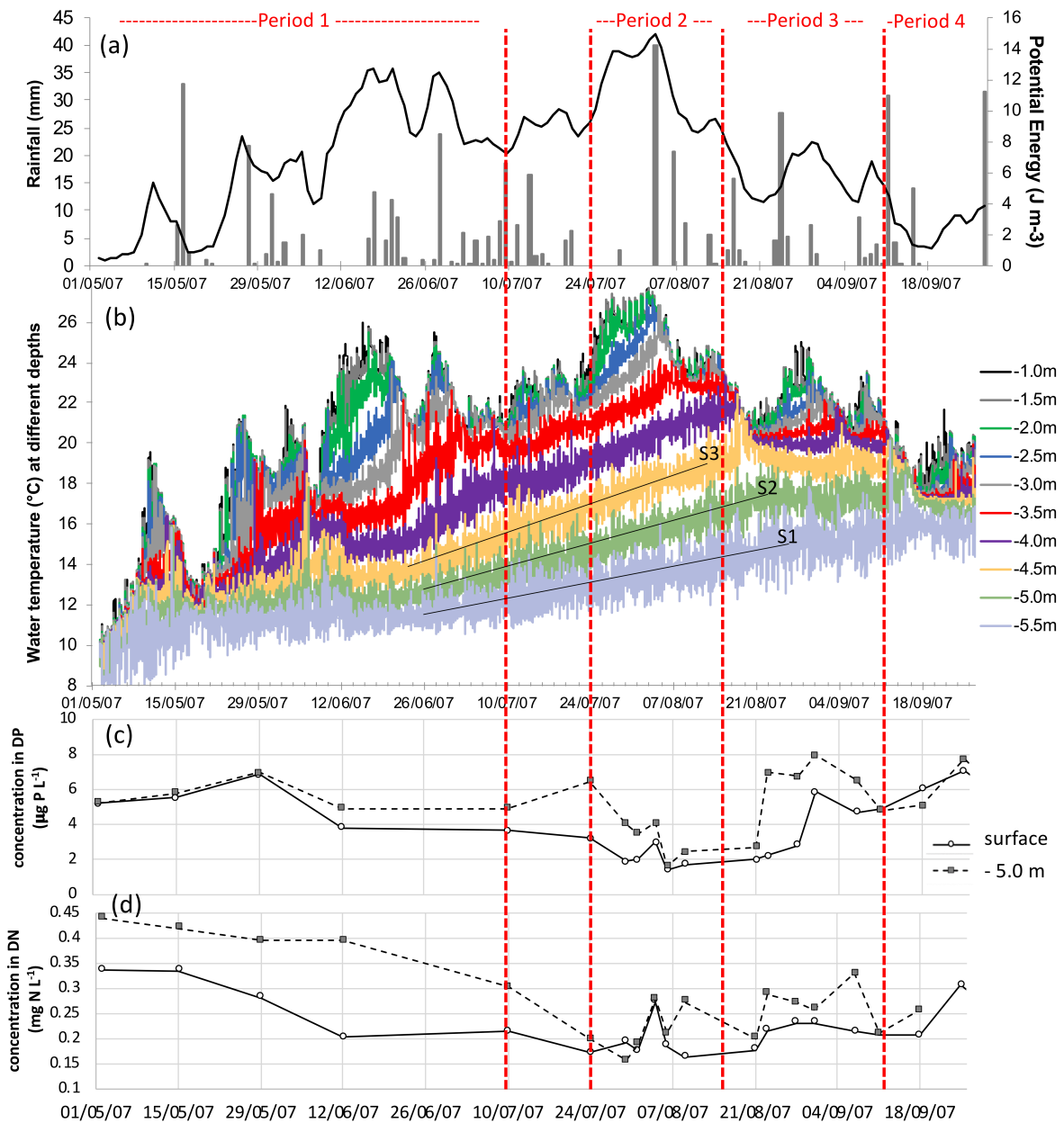


Figure 2: Variance partitioning of spectral phytoplankton groups and of zooplankton community structure by: station (A, B and C), depth (epilimnion and deep layer) and time (Day). The top row shows the results from across the ice-free season (top row), while the bottom row shows results restricted to time points in the stratification period of July and August only. The number of observations (n) is indicated below each plot.

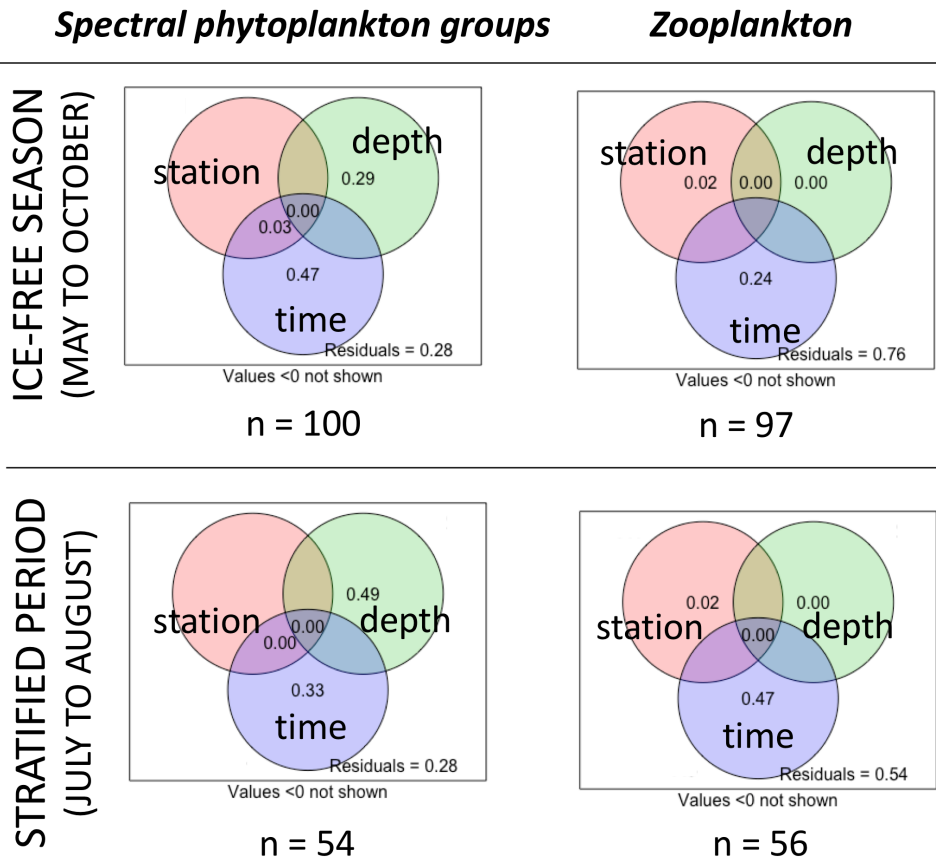




Figure 3: Sample plot of the co-inertia analysis performed on the PCA of the phytoplankton assemblage and the PCA performed on the zooplankton assemblage. The most dominant taxa are underlined for phytoplankton and in italic for zooplankton. Time periods (P1 to P4) are grouped by their barycenter, with periods seen from zooplankton (blue points) and from phytoplankton (grey squares). Detailed plots of co-inertia with all plankton taxa are shown in Fig. S4.

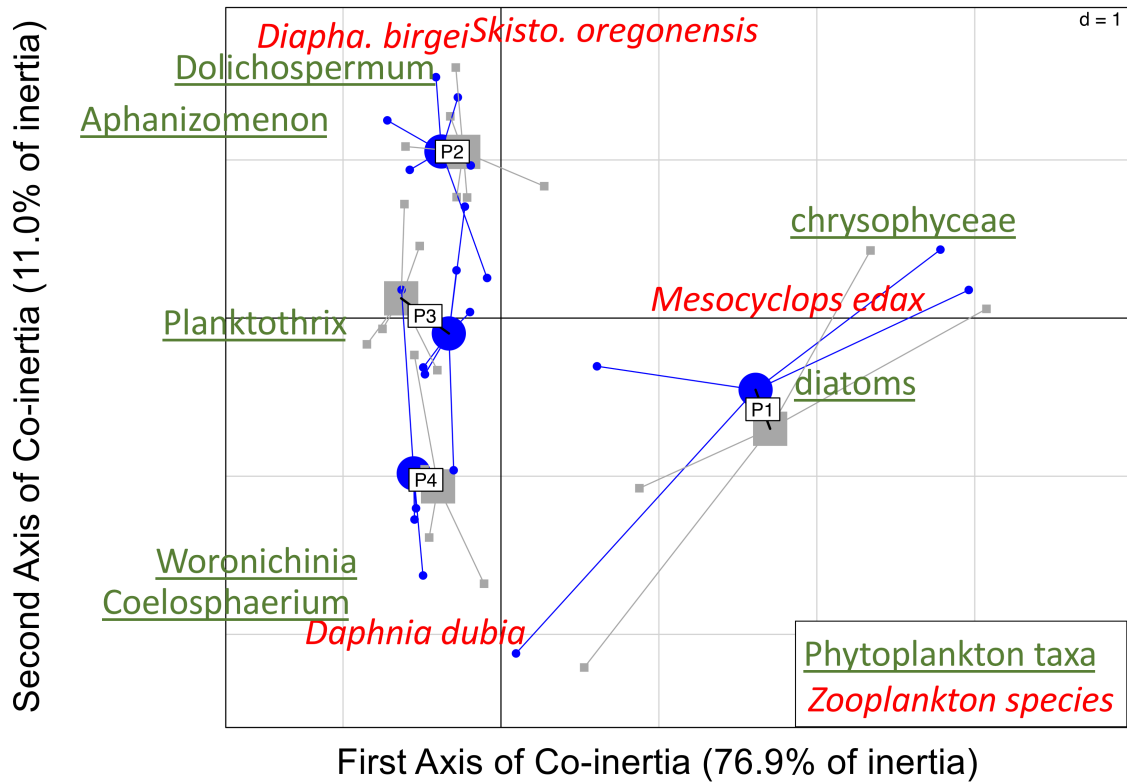


Figure 4: Correspondence analyses (CA) of the phytoplankton assemblages, from surface (S) and deep layer (D) during periods P2, P3 and P4. Plot (a) shows samples by date from the CA with B assemblages (names in framed) and S assemblages (names in italic). Periods are indicated by names in red, in blue and in green for P2, P3 and P4 respectively. In (b), the CA plot with species is shown (only the most contributing species are indicated). The black dotted arrow shows the divergence of B assemblage during P3.

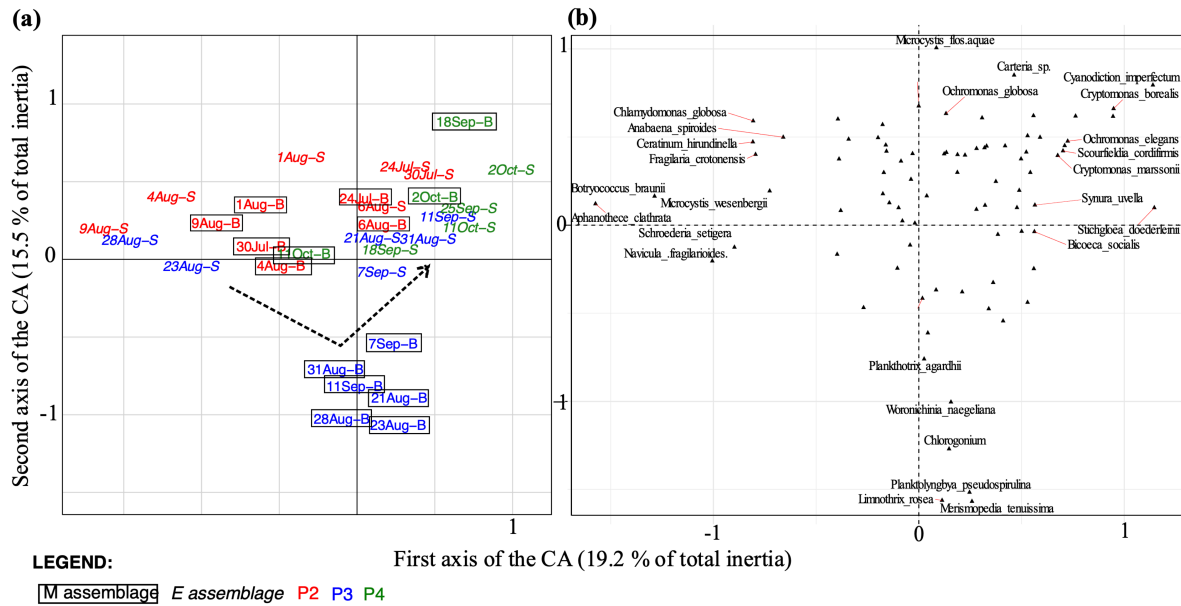


Figure 5: Boxplots of (a) mean alpha ( $\bar{\alpha}$ ) and (b) gamma ( $\gamma$ ) diversities, as well as (c) beta diversity ( $\beta = \gamma/\bar{\alpha} - 1$ ) by time period, for surface (S) and deep layer (D). Simpson diversity indices are calculated using (d) biomasses of the seven MBFG by period, (e) biomasses of phytoplankton species and (f) abundances of zooplankton species. Similar results are observed with Shannon and Evenness indices. Boxplots show the median, first and third quartiles, with minimum and maximum values at both ends. Boxes within each period that have different letters indicated are significantly different ( $p < 0.05$ ).

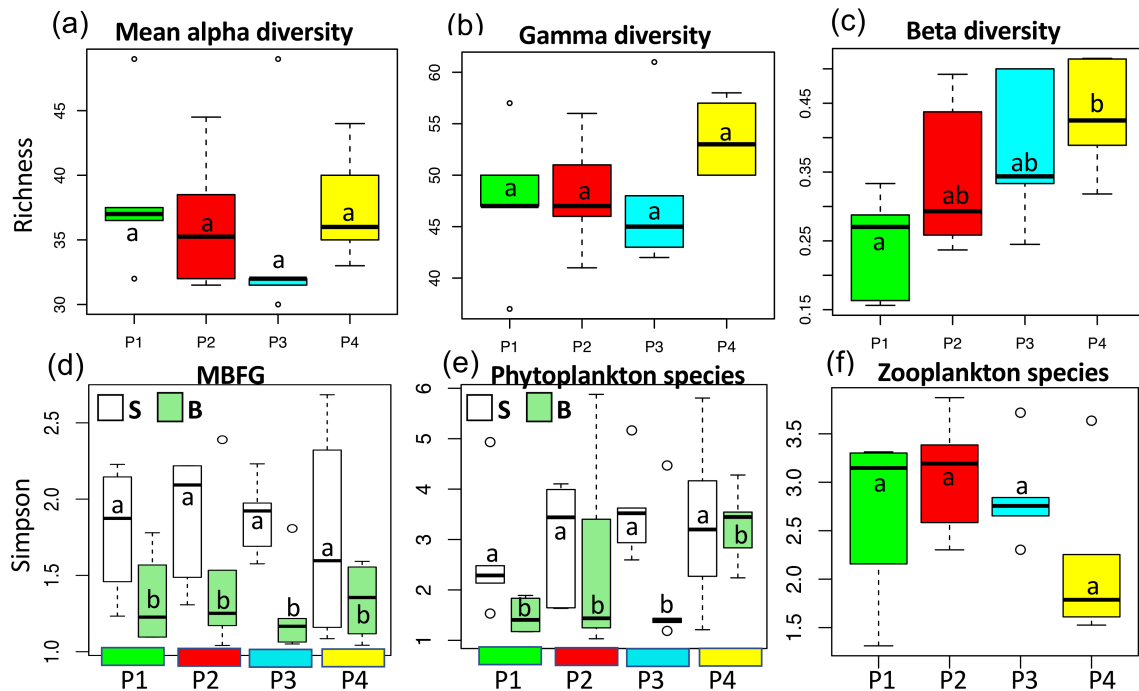


Figure 6: CA performed on the seven Morphologically Based Functional Groups (MBFG) of phytoplankton (Kruk et al. 2010), with (a) plot of the samples and (b) plot of the MBFG.

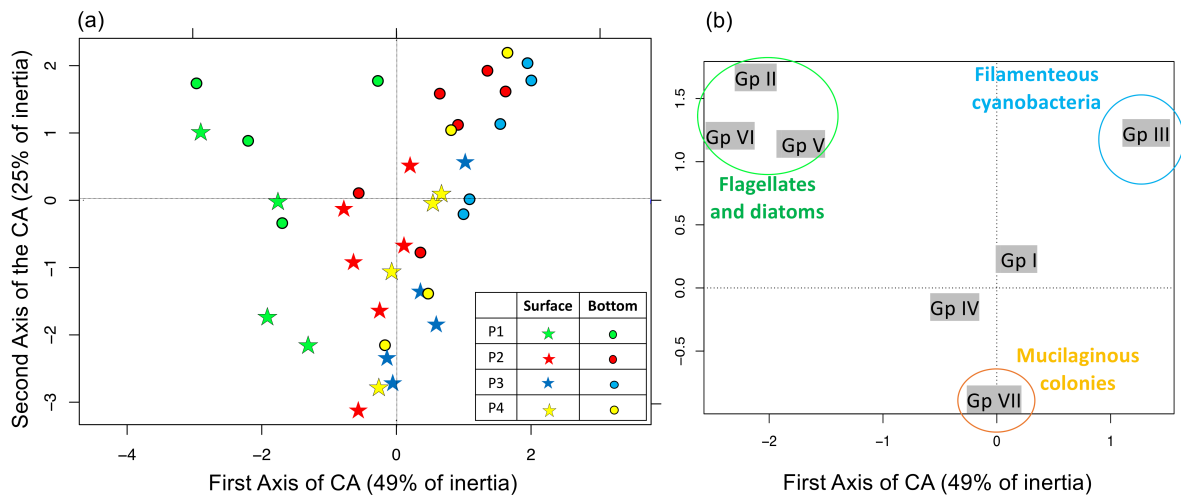
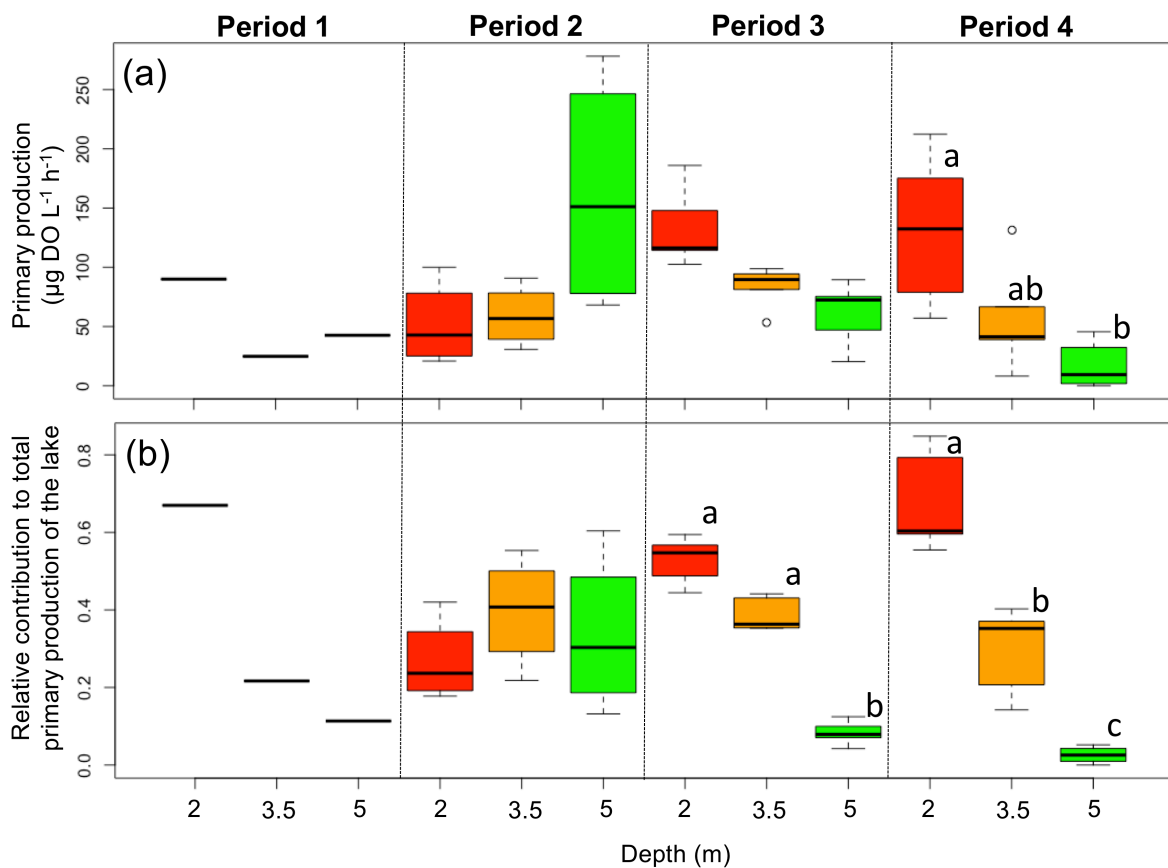


Figure 7: Boxplots of (a) gross primary production (GPP) in the epilimnion (2m and 3.5m depths) and the deep layer (5m depth) and (b) relative contributions to the total production of the lake. Boxplots show the median, first and third quartiles, with minimum and maximum values at both ends. Sampling occurred on one date in P1, four dates in P2 and five dates in P3 and P4. Boxes within each period that have different letters indicated are significantly different ( $p < 0.05$ ).



**Supplementary data:**

Figure S1: Bathymetric map of the study site, with the three stations A, B, C located in the pelagic area.

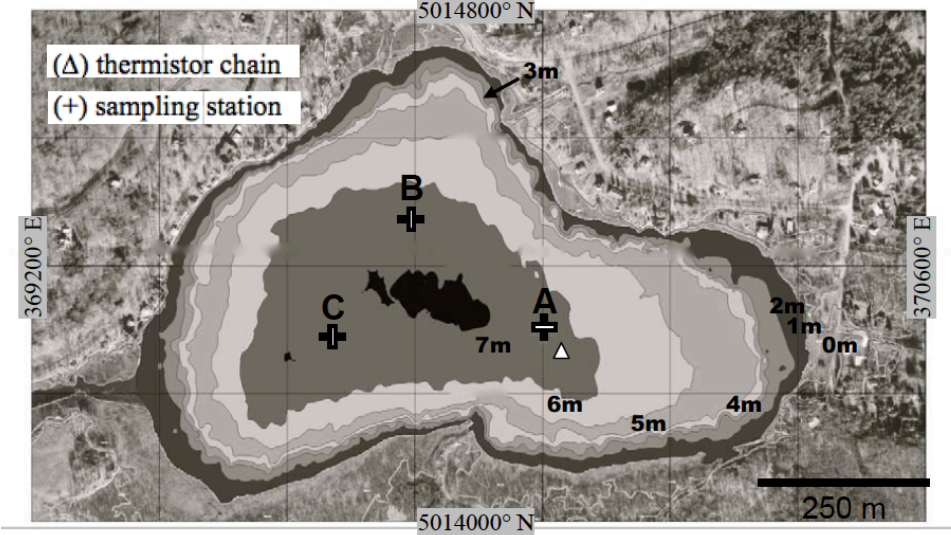


Figure S2: Biomasses of the four spectral groups, with (a) the GREENS (chlorophyceae), (b) the BLUE-GREENS (phycocyanin-containing cyanobacteria), (c) the BROWNS (diatoms, dinoflagellates and chrysophyceae) and (d) the MIXED group (cryptophyceae and phycoerythrin-containing cyanobacteria; the latter of which were never observed in our lake). Colour intensity scales are shown on the right and were adapted to the biomass of the group. Stars at the top indicated dates for which detailed assemblage data are shown (Fig. S3).

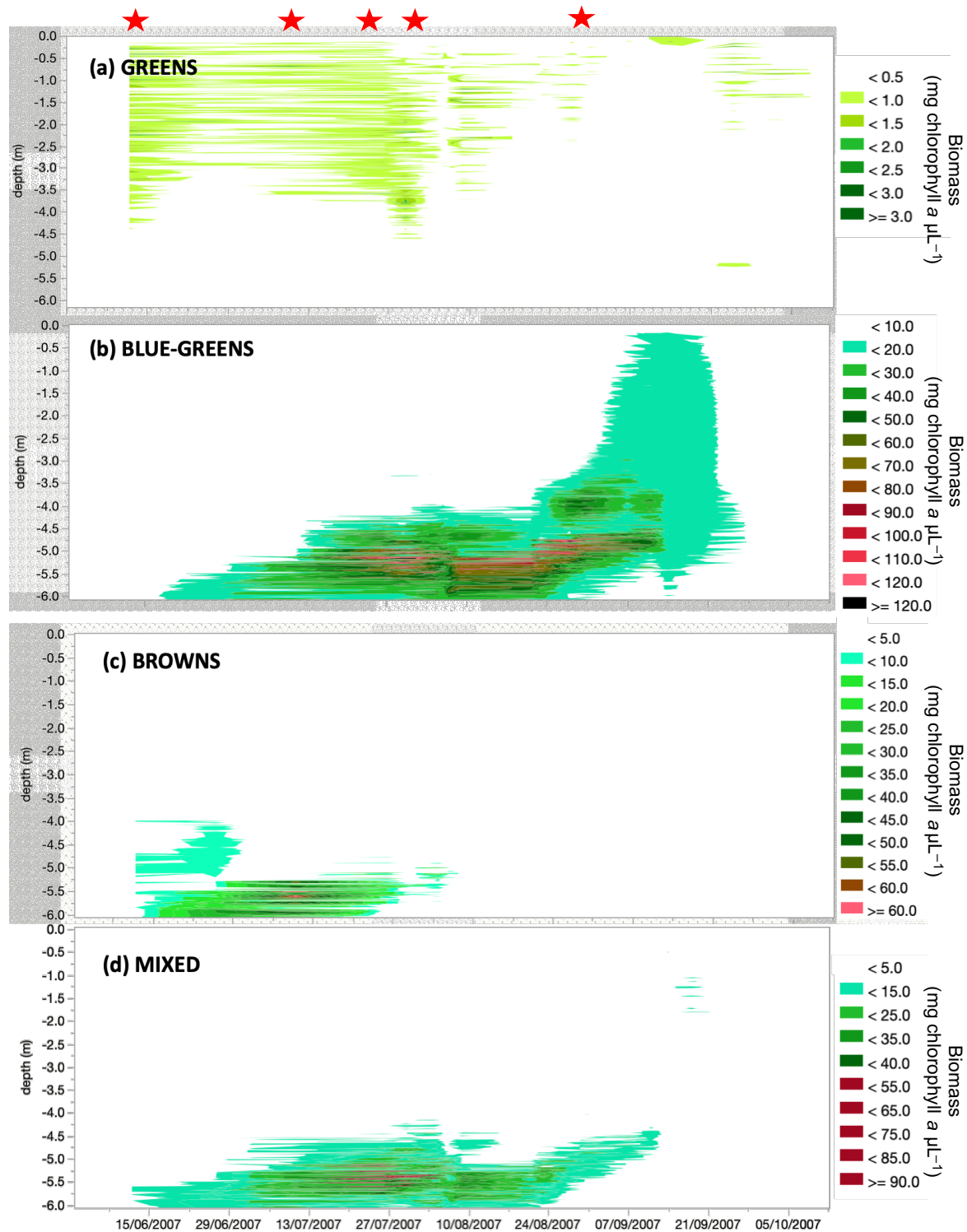


Figure S3: Composition at the genus level of the phytoplankton assemblages in the epilimnion and in the deep layer, over five dates showed by asterisks on Fig. S2. Data are expressed as percent of total biovolume ( $\text{mm}^3 \text{L}^{-1}$ ).

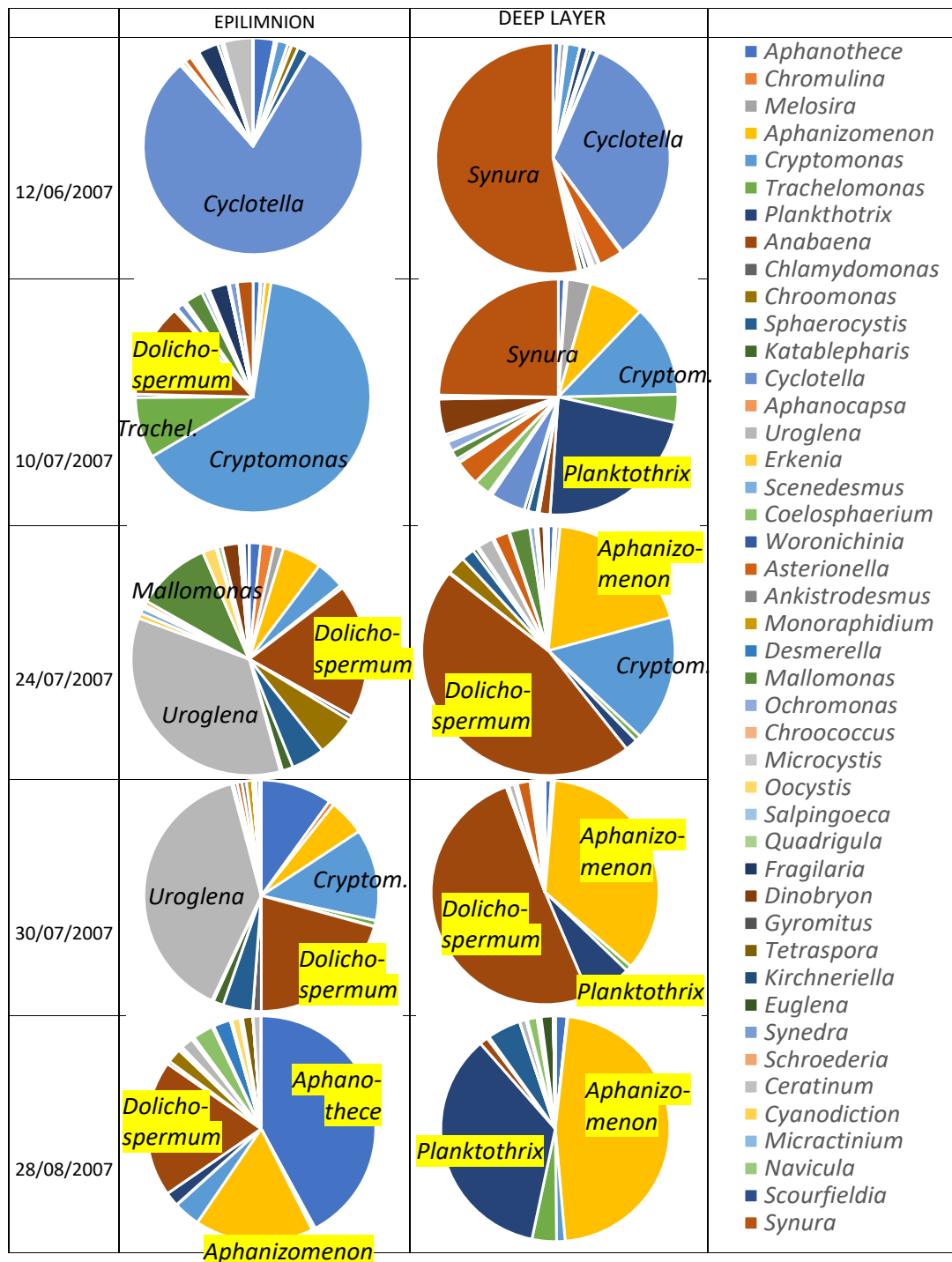


Figure S4: Vertical profile of the dominant cyanobacteria genus measured on September 7<sup>th</sup> 2007.

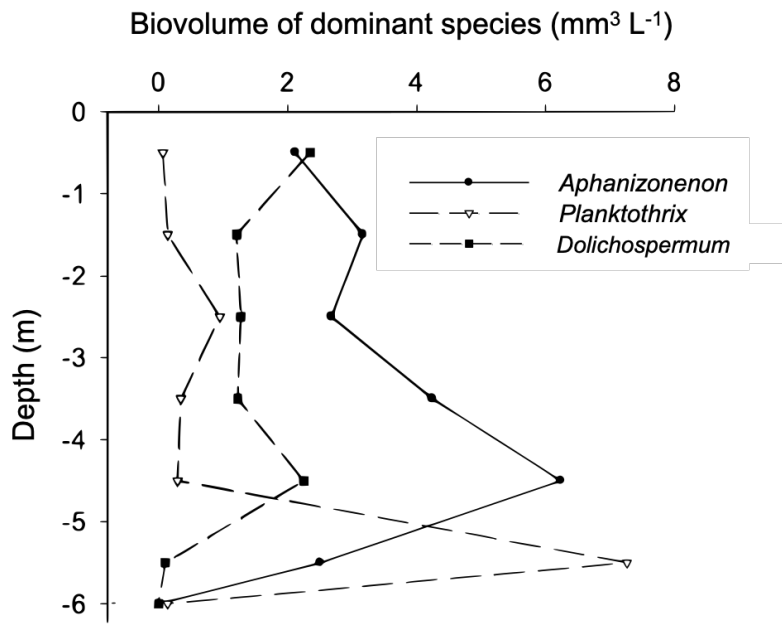




Figure S5: Time series of zooplankton abundances for the dominant species.

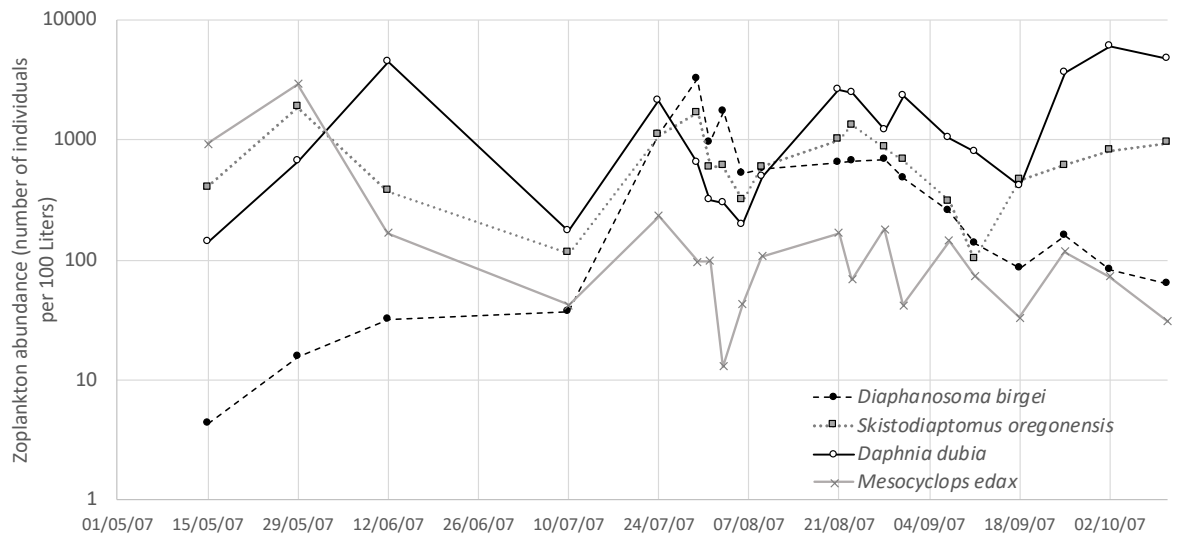


Figure S6: Co-inertia analysis performed on the PCA of the phytoplankton assemblage (left biplot) and on the PCA of the zooplankton community (right plot). In both cases, data were averaged across depth and Hellinger transformed. Significance was determined by a permutation test (RV coeff=0.739;  $p < 0.001$ ).

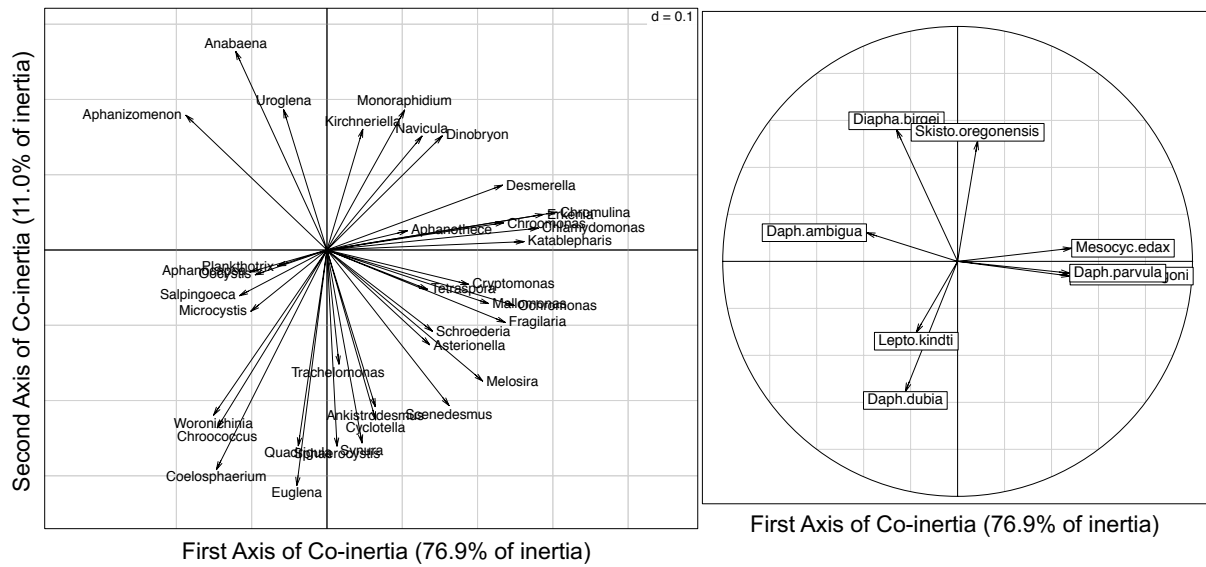


Figure S7: Average gross photosynthetic production (GPP) integrated across the water column by time period. Differences were not significant.

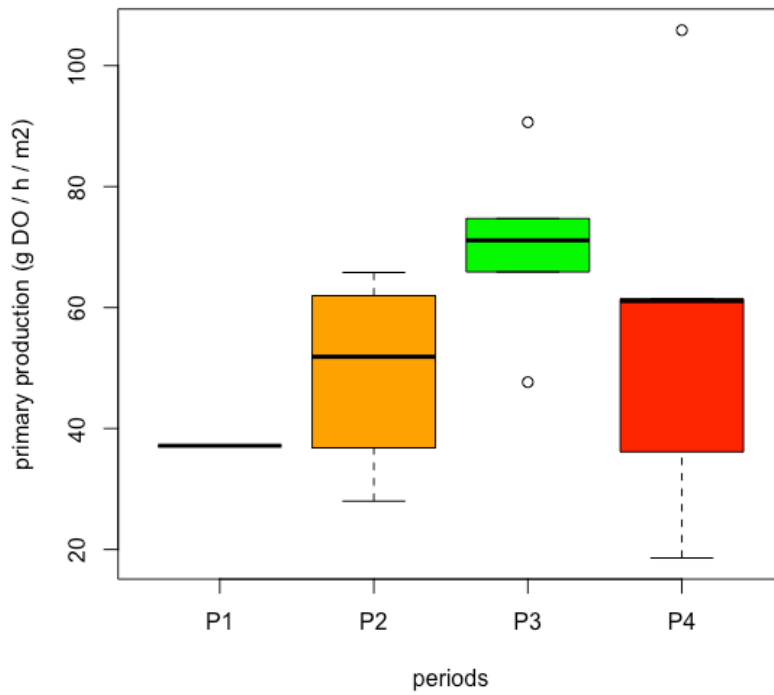


Table S1: List of phytoplankton taxa (Cyan Cyanobacteria, Crypt Cryptophyceae, Diat Diatoms, Eugl Euglenophyceae, Dino Dinoflagellates, Chlor Chlorophyceae, Chrys Chrysophyceae)

group	genus species	authority	abbreviations	group	genus species	authority
Cyan	<i>Dolichospermum solitaria</i> var. <i>planctonica</i>	Komarek			<i>Tabellaria fenestrata</i>	Lyngbye
	<i>Dolichospermum spiroides</i>	Klebs	<i>Dolichospermum</i>		<i>Tabellaria flocculosa</i>	Roth
	<i>Dolichospermum flos-aquae</i>	(Lyngbye) Brebisson		Eugl	<i>Euglena acus</i>	Ehrenberg
	<i>Aphanizomenon flexuosum</i>	Komarek			<i>Euglena minuta</i>	Prescott
	<i>Aphanizomenon flos-aquae</i>	Ralfs			<i>Euglena pisciformis</i>	Klebs
	<i>Aphanizomenon gracile</i>	Lemmermann	<i>Aphanizo</i>		<i>Euglena viridis</i>	Ehrenberg
	<i>Aphanizomenon yezoense</i>	M. Watanabe			<i>Phacus caudatus</i>	Hubner
	<i>Aphanocapsa conferta</i>	W. & G.S. West	<i>Aphanoca</i>		<i>Trachelomonas volvocina</i>	Ehrenberg
	<i>Aphanocapsa delicatissima</i>	W. & G.S. West		Dino	<i>Ceratinum hirundinella</i>	(Mueller) Schrank
	<i>Aphanothece bachmannii</i>	Komárková-Legnerová		Chlor	<i>Ankistrodesmus falcatus</i>	(Corda) Ralfs
	<i>Aphanothece clathrata</i>	W. & G.S. West	<i>Aphanoth</i>		<i>Chlamydomonas fusus</i>	Ehrenberg
	<i>Aphanothece clathrata brevis</i>	Bachmann			<i>Chlamydomonas globosa</i>	Snow
	<i>Chroococcus dispersus</i>	(Keissler) Lemmermann			<i>Elakatothrix gelatinosa</i>	Wille
	<i>Chroococcus minimus</i>	(Keissler) Lemmermann			<i>Gyromitus cordiformis</i>	Skuja
	<i>Chroococcus minutus</i>	(Kuetzing) Naegeli			<i>Kirchneriella lunaris</i> var. <i>dianae</i>	(Kirchner) Moebius
	<i>Chroococcus prescottii</i>	Drouet & Daily			<i>Micractinium pusillum</i>	Fresenius
	<i>Coelosphaerium kuetsingianum</i>	Naegeli			<i>Monoraphidium contortum</i>	Pascher & Korshikov
	<i>Cyanodiction imperfectum</i>	Cronberg & Weibull			<i>Monoraphidium dybowskii</i>	(Woloszyrska) Hindak & Kom.
	<i>Limnothrix rosea</i>	Utermöhl	<i>Limnoth</i>		<i>Oocystis submarina</i> var. <i>variabilis</i>	Skuja
	<i>Lyngbia limnetica</i>	Lemmermann			<i>Quadrigula lacustris</i>	Chodat
<i>Merismopedia tenuissima</i>	Lemmermann		<i>Salpingoeca frequentissima</i>		Zacharias	
<i>Microcystis flos-aquae</i>	(Wittrock) Kirchner	<i>Microcys</i>	<i>Scenedesmus ecomis</i>		(Ralfs) Chodat	
<i>Microcystis wesenbergii</i>	Komarek		<i>Scenedesmus incrassatulus</i>		Bohlin	
<i>Oscillatoria tenuis</i>	Agardh		<i>Schroederia setigera</i>		(Schroeder) Lemmermann	
<i>Plankthotrix agardhii</i>	Gomont	<i>Plankto</i>	<i>Scourfieldia cordiformis</i>	Takeda		
<i>Planktolyngbya pseudospirulina</i>	Pascher	<i>Planktol</i>	<i>Sphaerocystis schroeteri</i>	Chodat		
<i>Snowella septentrionalis</i>	Komarek		<i>Tetraspora gelatinosa</i>	(Wahlb.) Agardh		
<i>Woronichinia naegeliana</i>	Unger	<i>Woronichinia</i>	Chrys	<i>Bicoeca socialis</i>	Lauterborn	
Crypt	<i>Cryptomonas borealis</i>	Skuja		<i>Chromulina elegans</i>	Doflein	
	<i>Cryptomonas erosa</i>	Ehrenberg		<i>Chromulina miktoplankton</i>	Pascher	
	<i>Cryptomonas marssonii</i>	Skuja		<i>Desmerella brachycalyx</i>	Skuja	
	<i>Chroomonas nordstedtii</i>	Hansgirg		<i>Dinobryon divergens</i>	Imhof	
	<i>Chroomonas acuta</i>	Utermöhl		<i>Erkenia subaequiciliata</i>	Skuja	
	<i>Katablepharis ovalis</i>	Skuja		<i>Mallomonas acaroides</i>	Perty	
Diat	<i>Asterionella formosa</i>	Hassall		<i>Mallomonas tonsurata</i>	Telling	
	<i>Cyclotella comta</i>	(Ehrenberg) Kuetzing		<i>Ochromonas elegans</i>	Doflein	
	<i>Cyclotella glomerata</i>	Bachmann		<i>Ochromonas globosa</i>	Skuja	
	<i>Fragilaria crotonensis</i>	Kitton	<i>Salpingoeca frequentissima</i>	(Zacharias) Lemmermann		
	<i>Melosira italica</i> var. <i>subarctica</i>	Ehrenberg	<i>Stichgloea doederleinii</i>	(Schmidle) Wille		
	<i>Rhizosolenia longiseta</i>	Zacharias	<i>Synura uvella</i>	Ehrenberg		
	<i>Synedra acus</i> var. <i>radians</i>	Ktitzing	<i>Uroglena americana</i>	Catkins		

1 Table S2: dominant macrozooplankton species during summer 2007 in Lake Bromont.  
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<b>Genre</b>	<b>Espèce</b>	<b>Authority</b>	<b>Suborder</b>	<b>diet</b>
<i>Diaphanosoma</i>	<i>birgei</i>	Korinek	Cladocera	herbivorous
<i>Daphnia</i>	<i>dubia</i>	Herrick	Cladocera	herbivorous
<i>Skistodiaptomus</i>	<i>oregonensis</i>	Lilljeborg	Copepoda	herbivorous
<i>Ceriodaphnia</i>	<i>sp.</i>		Cladocera	herbivorous
<i>Bosmina (Eubosmina)</i>	<i>coregoni</i>		Cladocera	herbivorous
<i>Acanthocyclops</i>	<i>(vernalis)</i>	Fischer	Copepoda	predator
<i>Leptodora</i>	<i>kindtii</i>	Focke	Cladocera	predator
<i>Mesocyclops</i>	<i>edax</i>	Forbes	Copepoda	predator

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