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ON RADIUS OF CONVERGENCE OF q -DEFORMED REAL NUMBERS

LUDIVINE LECLERE, SOPHIE MORIER-GENOUD, VALENTIN OVSIENKO, AND ALEXANDER VESELOV

ABSTRACT. We study analytic properties of “ q -deformed real numbers”, a notion recently introduced by two of us. A q -deformed positive real number is a power series with integer coefficients in one formal variable q . We study the radius of convergence of these power series assuming that q is a complex variable. Our main conjecture, which can be viewed as a q -analogue of Hurwitz’s Irrational Number Theorem, claims that the q -deformed golden ratio has the smallest radius of convergence among all real numbers. The conjecture is proved for certain class of rational numbers and confirmed by a number of computer experiments. We also prove the explicit lower bounds for the radius of convergence for the q -deformed convergents of golden and silver ratios.

1. INTRODUCTION

There is a famous result due to Hurwitz [7], which roughly claims that the golden ratio $\varphi = \frac{1+\sqrt{5}}{2}$ is the most irrational number. More precisely, for any real number $x \in \mathbb{R}$ one can define as the measure of irrationality the Markov constant $\mu(x)$, which is the infimum of c , for which the inequality $|x - \frac{p}{q}| < \frac{c}{q^2}$ holds for infinitely many integers p, q . Hurwitz’s Irrational Number Theorem claims that for every $x \in \mathbb{R}$

$$\mu(x) \leq \mu(\varphi) = \frac{1}{\sqrt{5}}$$

with equality holding only for x which are $\text{PSL}(2, \mathbb{Z})$ -equivalent to the golden ratio.

In this paper we discuss a possible q -analogue of this classical result. Namely, we consider the q -deformations (or “ q -analogues”) of real numbers, which have been recently introduced in [13, 14] and studied further in [11, 15, 9]. They have several nice properties and connections, including theory of Conway-Coxeter friezes and knot invariants.

For a rational $x = \frac{r}{s} > 0$ the q -deformation is a rational function

$$(1.1) \quad \left[\frac{r}{s} \right]_q = \frac{\mathcal{R}(q)}{\mathcal{S}(q)},$$

where $\mathcal{R}(q)$ and $\mathcal{S}(q)$ are coprime polynomials with positive integer coefficients both depending on r and s . When $x \geq 1$ is an irrational, the q -deformation of x is defined as a power series in q :

$$(1.2) \quad [x]_q = 1 + \varkappa_1 q + \varkappa_2 q^2 + \varkappa_3 q^3 + \dots$$

with coefficients $\varkappa_k \in \mathbb{Z}$. To obtain the series (1.2), one chooses an arbitrary sequence of rationals $(x_i)_{i \in \mathbb{Z}}$ converging to x . It turns out that the Taylor series of the rational functions $[x_i]_q$ stabilize, as i grows; see [14]. Moreover, the stabilized series depends only on x (and not on the approximating sequence of rationals). The series $[x]_q$ is defined as the stabilization of the Taylor series of $[x_i]_q$. It is unknown, in general, how to characterize the class of power series that represent q -deformed real numbers. One of the goals of this paper is to demonstrate that series arising in this context are not arbitrary.

We investigate analytic properties of q -deformed real numbers. Considering the parameter of deformation q as a *complex variable*, $q \in \mathbb{C}$, we study the radius of convergence, $R(x)$, of the series (1.2). In particular, we will show that the radius of the golden ratio $\varphi = \frac{1+\sqrt{5}}{2}$ is

$$R_\star := R(\varphi) = \frac{3 - \sqrt{5}}{2} = 0.381966\dots$$

We have the following conjecture, which can be considered as a possible q -analogue of the Hurwitz claim.

Conjecture 1.1. *For every real $x > 0$ the radius of convergence $R(x)$ of the series $[x]_q$ satisfies the inequality $R(x) \geq R_\star$ and the equality holding only for x which are $\text{PSL}(2, \mathbb{Z})$ -equivalent to φ .*

Conjecture 1.1 was checked by the extensive computer experiments. In this paper we get the following general results towards the proof of the conjecture.

Theorem 1.2. *For every rational number $x > 0$ the radius of convergence $R(x)$ of the series $[x]_q$ has the following lower bound*

$$R(x) > 3 - 2\sqrt{2} = 0.171572\dots$$

Theorem 1.3. *If all coefficients of the Hirzebruch-Jung continued fraction expansion of a rational number $x = \llbracket c_1, c_2, c_3, \dots, c_N \rrbracket$ are larger than 3, then the radius of convergence of $[x]_q$ is greater than R_\star .*

Our main analytical tool is the classical Rouché theorem (see [4, 19]). Note that in the rational case the radius of convergence of the Taylor series can also be understood as the radius of the maximal disc around 0 that contains no zeros of the polynomial $S(q)$ in the denominator of (1.1). We refer also to the recent work [17], where our technique was used to prove the conjecture for a very particular set of numbers (called metallic).

Conjecture 1.1 and Theorem 1.2 give a restriction for the series (1.2) that can appear as a q -deformed real number. However, it is quite clear that the radius of convergence is not the only condition. It would be interesting to obtain more information about this class of power series.

Note that the inverse $\rho = R^{-1}$ of the radius of convergence of power series (1.2) can be given by the standard formula (see e.g. [4]) $\rho(x) = \limsup_{n \rightarrow \infty} |\varkappa_n|^{\frac{1}{n}}$ and thus describes the growth of the coefficients \varkappa_n . Conjecture 1.1 can be reformulated in the form, more similar to Hurwitz, as the inequality

$$\rho(x) \leq \rho(\varphi) = \frac{3 + \sqrt{5}}{2} = \varphi^2$$

holding for every real x , and thus means that $[\varphi]_q$ has the fastest growth of the coefficients among all q -deformed real numbers. In other words, the series $[x]_q$ always “converges better” than $[\varphi]_q$, whenever x is not equivalent to φ . In the particular case where $x = \frac{r}{s}$ is rational, Conjecture 1.1 means that the polynomial $\mathcal{S}(q)$ in (1.1) has no roots q with $|q| < R_\star$.

We should mention that the analogy with the Diophantine analysis here cannot be extended to the celebrated Markov theorem [10], claiming that the set of all possible Markov constants $\mu = \mu(x) > \frac{1}{3}$ is discrete (see e.g. [1]). The situation might be more similar to the Lyapunov spectrum of Markov and Euclid trees, which fills the whole segment $[0, \ln \varphi]$, see [18].

The paper is organized as follows. In Section 2, we briefly recall the notion of q -rationals and q -irrationals. Following [9], we emphasize the role of the modular group $\text{PSL}(2, \mathbb{Z})$. We also give explicit formulas in terms of continued fractions.

In Section 3, we consider the important example of q -deformed golden ratio. Its approximation by the quotients of consecutive Fibonacci numbers leads to interesting “Fibonacci polynomials”. We prove that the roots of these polynomials belong to the annulus $R_0 < |q| < R_0^{-1}$, where $R_0 = 0.35320\dots$ is the real root of the cubic equation

$$R^3 + 2R^2 + 2R - 1 = 0.$$

This provides the lower estimate $R > R_0$ for the radius of convergence of the corresponding q -convergents.

In Section 4, we consider the q -version of the silver ratio $[\sqrt{2}]_q$ and the related “Pell polynomials”. We prove that the roots of these polynomials belong to the annulus $R_1 < |q| < R_1^{-1}$ where $R_1 = 0.43542\dots$ is the smallest positive root of the equation

$$R^4 - 2R^3 - 2R + 1 = 0.$$

Finally, in Section 5 we prove our Theorem 1.2 and Theorem 1.3.

2. DEFINITION AND EXPLICIT FORMULAS FOR q -REALS

We start with recalling an axiomatic definition of q -deformed rational numbers. For more equivalent definitions see [13, 15].

2.1. An axiomatic definition. Recall that every rational can be obtained from 0, by applying a sequence of the operations $x \mapsto x + 1$ and $x \mapsto -\frac{1}{x}$.

The following two recurrences (see [9]) suffice for calculation of q -rationals.

Definition 2.1. The q -deformation sends every rational number $x = \frac{r}{s}$ to a rational function in q

$$x \mapsto [x]_q = \frac{\mathcal{R}(q)}{\mathcal{S}(q)},$$

in such a way that the following two recurrent formulas are satisfied

$$(2.1) \quad [x+1]_q = q[x]_q + 1, \quad \left[-\frac{1}{x}\right]_q = -\frac{1}{q[x]_q}.$$

Recurrences (2.1) determine the q -deformation of a rational in a unique way, starting from the “initial” trivial deformation $[0]_q = 0$; see [15].

Example 2.2. The following examples are easy to obtain applying (2.1).

(a) The q -deformation of integers corresponds to the standard formulas of Euler and Gauss. For $n \in \mathbb{N}$, one has

$$[n]_q = 1 + q + q^2 + \cdots + q^{n-1}, \quad [-n]_q = -q^{-n} - q^{1-n} - q^{2-n} - \cdots - q^{-1}.$$

Both cases can be written as $[x]_q = \frac{1-q^x}{1-q}$, where x is an integer.

(b) Already the simplest example of $\frac{1}{2}$ shows that the q -deformation is not the quotient of the q -deformed integers appearing in numerator and denominator:

$$\left[\frac{1}{2}\right]_q = \frac{q}{1+q}, \quad \left[-\frac{1}{2}\right]_q = -\frac{1}{q(1+q)}.$$

The above expression $[x]_q = \frac{1-q^x}{1-q}$ is no longer true.

(c) The next examples

$$\left[\frac{5}{2}\right]_q = \frac{1+2q+q^2+q^3}{1+q}, \quad \left[\frac{5}{3}\right]_q = \frac{1+q+2q^2+q^3}{1+q+q^2}$$

illustrate the fact that the numerator and denominator in (1.1) depend simultaneously on r and s . Indeed, the “quantized 5” in the numerator depends on the denominator.

Remark 2.3. In the case of q -rationals, one also has the formula for the negation and inverse:

$$(2.2) \quad [-x]_q = -q^{-1}[x]_{q^{-1}}, \quad \left[\frac{1}{x}\right]_q = \frac{1}{[x]_{q^{-1}}}.$$

2.2. The action and a central extension of $\text{PSL}(2, \mathbb{Z})$. We briefly mention here a more conceptual way of understanding Definition 2.1. This observation will not be used in the sequel, and will be part of a separate work.

Recurrences (2.1) can be reformulated as a statement that “ q -deformation commutes with $\text{PSL}(2, \mathbb{Z})$ -action”. Indeed, recurrences (2.1) define an action of the modular group $\text{PSL}(2, \mathbb{Z})$ on q -deformed rationals. This action is given by fractional-linear transformations and is generated by the matrices

$$(2.3) \quad T_q = \begin{pmatrix} q & 1 \\ 0 & 1 \end{pmatrix}, \quad S_q = \begin{pmatrix} 0 & -1 \\ q & 0 \end{pmatrix}$$

which are q -deformations of the standard generators, T, S , of $\mathrm{PSL}(2, \mathbb{Z})$ corresponding to $q = 1$ in (2.3).

The relations $S^2 = \mathrm{Id}$ and $(TS)^3 = \mathrm{Id}$, defining $\mathrm{PSL}(2, \mathbb{Z})$, become

$$S_q^2 = q \mathrm{Id}, \quad (T_q S_q)^3 = q^3 \mathrm{Id},$$

The matrices T_q, S_q generate an interesting non-trivial *central extension* of $\mathrm{PSL}(2, \mathbb{Z})$, which is different from the braid group B_3 , yet contains it as a subgroup. The centre, $\{q^n \mathrm{Id} \mid n \in \mathbb{Z}\}$, of the extended modular group acts trivially of q -deformed rationals, so that one still has the well-defined action of $\mathrm{PSL}(2, \mathbb{Z})$.

Remark 2.4. (a) Let us stress on the fact that emergence of a central extension of the symmetry group is a usual situation in geometry; see [8]. However, the centre of the extended group usually acts trivially on quantized objects.

(b) The matrix S_q arose in the context of quantum groups; see [3], while T_q can be viewed as a “standard” matrix connected to quantum integers. However, we did not find in the literature simultaneous appearance of T_q and S_q .

2.3. q -deformed continued fractions. Every rational number $\frac{r}{s} > 0$, where $r, s \in \mathbb{Z}_{>0}$ are coprime, has a standard finite continued fraction expansion

$$\frac{r}{s} = a_1 + \frac{1}{a_2 + \frac{1}{\ddots + \frac{1}{a_{2m}}}},$$

where $a_i \geq 1$ (except for $a_1 \geq 0$). It is usually denoted by $\frac{r}{s} = [a_1, \dots, a_{2m}]$. Note that, choosing an even number of coefficients, one removes the ambiguity $[a_1, \dots, a_n, 1] = [a_1, \dots, a_n + 1]$ and makes the expansion unique.

There is also a unique continued fraction expansion with minus signs, often called the Hirzebruch-Jung continued fraction:

$$\frac{r}{s} = c_1 - \frac{1}{c_2 - \frac{1}{\ddots - \frac{1}{c_N}}},$$

where $c_j \geq 2$ (except for $c_1 \geq 1$). The notation used by Hirzebruch is $\frac{r}{s} = \llbracket c_1, \dots, c_N \rrbracket$. The coefficients a_i and c_j of the above expansions are connected by the Hirzebruch formula; see, e.g., [6, 12] and Section 5.2.

Definition 2.5. (a) The q -deformed regular continued fraction is defined by

$$(2.4) \quad [a_1, \dots, a_{2m}]_q := [a_1]_q + \frac{q^{a_1}}{[a_2]_{q^{-1}} + \frac{q^{-a_2}}{[a_3]_q + \frac{q^{a_3}}{[a_4]_{q^{-1}} + \frac{q^{-a_4}}{\ddots}}}}}$$

where $[a]_q$ is the Euler q -integer.

(b) The q -deformed Hirzebruch-Jung continued fraction is

$$(2.5) \quad \llbracket c_1, \dots, c_N \rrbracket_q := [c_1]_q - \frac{q^{c_1-1}}{[c_2]_q - \frac{q^{c_2-1}}{[c_3]_q - \frac{q^{c_3-1}}{\ddots - \frac{q^{c_{N-1}-1}}{[c_N]_q}}}}$$

For every rational $\frac{r}{s}$ written in two different ways:

$$\frac{r}{s} = [a_1, \dots, a_{2m}] = \llbracket c_1, \dots, c_N \rrbracket,$$

the rational functions (2.4) and (2.5) coincide, and also coincide with the rational function $\left[\frac{r}{s}\right]_q$ provided by Definition 2.1; see [13].

2.4. Stabilization phenomenon, q -irrationals. The notion of q -deformed rational was extended to irrational numbers in [14]. Let $x \geq 1$ be an irrational number, and choose a sequence of rationals $(x_n)_{n \geq 1}$, converging to x . Consider the corresponding sequence of q -rationals $[x_1]_q, [x_2]_q, \dots$. It turns out that this sequence of rational functions also converge, but in the sense of formal power series.

Consider the Taylor expansions at $q = 0$ of the rational functions $[x_n]_q$, that, abusing notation, we also denote by $[x_n]_q$:

$$[x_n]_q = \sum_{k \geq 0} \varkappa_{n,k} q^k.$$

One has the following stabilization property.

Theorem 2.1 ([14]). (i) For every $k \geq 0$, the coefficients $\varkappa_{n,k}$ of the Taylor series of the functions $[x_n]_q$ stabilize, as n grows.

(ii) The limit coefficients, $\varkappa_k := \lim_{n \rightarrow \infty} \varkappa_{n,k}$, do not depend on the choice of the sequence of rationals, but only on the irrational number x .

The q -deformation, $[x]_q$, is the limit power series in q , which has the form (1.2) (i.e., the coefficient of degree 0 is equal to 1). Furthermore, the recurrence (2.1) also holds for the series $[x]_q$, and allows one to extend the q -deformation to the case of $x < 1$. In particular, if x is negative, the resulting series in q is a *Laurent series* (with integer coefficients):

$$[x]_q = -q^{-N} + \varkappa_{1-N} q^{1-N} + \varkappa_{2-N} q^{2-N} + \dots$$

where $N \in \mathbb{Z}_{>0}$ such that $-N \leq x < 1 - N$.

Remark 2.6. Let us mention that the stabilization phenomenon fails when a sequence of rationals $(x_n)_{n \geq 1}$, converges to another rational, cf. [14, 2].

3. CONVERGENCE RADIUS OF q -GOLDEN RATIO AND ROOTS OF THE FIBONACCI POLYNOMIALS

The simplest example of q -irrational is the q -deformation of the celebrated golden ratio, $\varphi = \frac{1+\sqrt{5}}{2}$. The series $[\varphi]_q$ is obtained as stabilized Taylor series of the q -deformed quotients of the consecutive Fibonacci numbers $\left[\frac{F_{n+1}}{F_n}\right]_q$ (see [13, 14]). We call the polynomials in the numerator and the denominator of these rational functions the *Fibonacci polynomials*.

We prove that the radius of convergence of $[\varphi]_q$ is $R_\star = \frac{3-\sqrt{5}}{2}$, and that all roots of the Fibonacci polynomials belong to the annulus bounded by the circles with the radius $R_\star = \frac{3-\sqrt{5}}{2}$ and $R_\star^{-1} = \frac{3+\sqrt{5}}{2}$. This result is in an accordance with Conjecture 1.1.

3.1. The q -deformed golden ratio. The q -deformation of the golden ratio, $\varphi = \frac{1+\sqrt{5}}{2}$, was considered in [14]. The series $[\varphi]_q$ can be written as an infinite continued fraction:

$$(3.1) \quad [\varphi]_q = 1 + \frac{q^2}{q + \frac{1}{1 + \frac{q^2}{q + \frac{1}{\ddots}}}} = 1 + \frac{1}{q^{-1} + \frac{1}{q^2 + \frac{1}{q^{-3} + \frac{1}{\ddots}}}}$$

The series starts as follows

$$\begin{aligned} [\varphi]_q &= 1 + q^2 - q^3 + 2q^4 - 4q^5 + 8q^6 - 17q^7 + 37q^8 - 82q^9 + 185q^{10} \\ &\quad - 423q^{11} + 978q^{12} - 2283q^{13} + 5373q^{14} - 12735q^{15} + 30372q^{16} \\ &\quad - 72832q^{17} + 175502q^{18} - 424748q^{19} + 1032004q^{20} \dots \end{aligned}$$

The sequence of coefficients in $[\varphi]_q$ coincides (up to the alternating sign) with the remarkable sequence A004148 of [16] called the ‘‘generalized Catalan numbers’’.

The series $[\varphi]_q$ is a solution of the functional equation

$$(3.2) \quad qX^2 = (q^2 + q - 1)X + 1,$$

which can be deduced from (3.1).

Proposition 3.1. *The radius of convergence of the series $[\varphi]_q$ is equal to R_\star .*

Proof. It follows from (3.2), that the generating function of $[\varphi]_q$ can therefore be written in radicals:

$$(3.3) \quad \text{GF}_{[\varphi]_q} = \frac{q^2 + q - 1 + \sqrt{(q^2 + 3q + 1)(q^2 - q + 1)}}{2q},$$

and the series $[\varphi]_q$ is the Taylor expansion of $\text{GF}_{[\varphi]_q}$ at $q = 0$. The number R_\star is the modulus of the smallest (i.e., closest to 0) root of the polynomials under the radical in (3.3). Indeed,

$$q^2 + 3q + 1 = (q + R_\star)(q + R_\star^{-1}),$$

and therefore the Taylor series of (3.3) converges for $|q| < R_\star$. \square

Remark 3.2. Observe that formula (3.1) has a certain similarity with the celebrated Rogers-Ramanujan continued fraction

$$R(q) = 1 + \frac{1}{1 + \frac{q}{1 + \frac{q^2}{1 + \frac{q^3}{\ddots}}}}$$

but the deformation (3.1) has very different properties.

3.2. The Fibonacci polynomials. The most natural choice of a sequence of rationals converging to φ is related to a quite remarkable and well-known sequence of polynomials.

Let F_n be the n^{th} Fibonacci number, the sequence of rationals $\frac{F_{n+1}}{F_n}$ converges to φ . Quantizing this sequence, one obtains a sequence of rational functions

$$(3.4) \quad \left[\frac{F_{n+1}}{F_n} \right]_q =: \frac{\tilde{\mathcal{F}}_{n+1}(q)}{\mathcal{F}_n(q)}.$$

3.3. Roots of the Fibonacci polynomials. Our next goal is to obtain the bounds for the absolute values of roots of the Fibonacci polynomials. For this we will use the following classical Rouché theorem (see [4, 19]).

Theorem (Rouché). *Let f and g be two functions of one complex variable q , holomorphic inside a disc D and continuous on the bound ∂D . Suppose that $|f(q)| > |g(q)|$ on ∂D , then f and $f + g$ have the same number of zeros inside D .*

Let $R_0 = 0.35320\dots$ be the positive root of the equation

$$(3.6) \quad R^3 + 2R^2 + 2R - 1 = 0.$$

Let D_0 be the disc and C_0 the circle with radius R_0 :

$$D_0 = \{q \in \mathbb{C}, |q| \leq R_0\}, \quad C_0 = \{q \in \mathbb{C}, |q| = R_0\}.$$

Theorem 3.1. *For every $n \in \mathbb{N}$ the roots of the Fibonacci polynomials $\mathcal{F}_n(q)$ and $\tilde{\mathcal{F}}_n(q)$ belong to the annulus*

$$R_0 < |q| < R_0^{-1}.$$

Proof. We need the following useful lemma.

Lemma 3.6. *For every positive integer n one has*

$$(3.7) \quad |[n]_q| \geq \frac{1 - R^n}{1 + R},$$

on a circle $C_R = \{q \in \mathbb{C} : |q| = R\}$ of any radius R .

Proof. Using the triangle inequality, one has

$$\left| [n]_q \right|_{C_R} = \left| \frac{1 - q^n}{1 - q} \right|_{C_R} \geq \frac{1 - |q|_{C_R}^n}{1 + |q|_{C_R}} = \frac{1 - R^n}{1 + R}.$$

□

Recurrence (3.5) can be rewritten as follows

$$(3.8) \quad \frac{\mathcal{F}_{n+2}(q)}{\mathcal{F}_n(q)} = [3]_q - q^2 \frac{\mathcal{F}_{n-2}(q)}{\mathcal{F}_n(q)}.$$

Lemma 3.7. *Assume that $\left| \frac{\mathcal{F}_{n-2}(q)}{\mathcal{F}_n(q)} \right|_{C_0} < \frac{1}{R_0}$, then $\left| \frac{\mathcal{F}_n(q)}{\mathcal{F}_{n+2}(q)} \right|_{C_0} < \frac{1}{R_0}$.*

Proof. Using the recurrence (3.8), one has

$$\left| \frac{\mathcal{F}_{n+2}(q)}{\mathcal{F}_n(q)} \right|_{C_{R_0}} \geq \left| [3]_q \right|_{C_0} - R_0^2 \left| \frac{\mathcal{F}_{n-2}(q)}{\mathcal{F}_n(q)} \right|_{C_0}.$$

Lemma 3.6 and the assumption imply that

$$\left| \frac{\mathcal{F}_{n+2}(q)}{\mathcal{F}_n(q)} \right|_{C_0} > \frac{1 - R_0^3}{1 + R_0} - R_0.$$

Since due to the definition of R_0 we have

$$\frac{1 - R_0^3}{1 + R_0} - R_0 = R_0,$$

this implies the claim. □

Observe that, for $n = 2$ we have $\frac{\mathcal{F}_0(q)}{\mathcal{F}_2(q)} = \frac{1}{[2]_q}$, and $\frac{\mathcal{F}_1(q)}{\mathcal{F}_3(q)} = \frac{1}{[3]_q}$. Using, once again, Lemma 3.6, we have $\left| \frac{\mathcal{F}_0(q)}{\mathcal{F}_2(q)} \right|_{C_0} < \frac{1}{R_0}$ and $\left| \frac{\mathcal{F}_1(q)}{\mathcal{F}_3(q)} \right|_{C_0} < \frac{1}{R_0}$. From Lemma 3.7 we conclude by induction that $\left| \frac{\mathcal{F}_{n-2}(q)}{\mathcal{F}_n(q)} \right|_{C_0} < \frac{1}{R_0}$ for all $n \geq 2$.

In particular, we have that

$$(3.9) \quad |[3]_q|_{C_0} \geq \frac{1 - R_0^3}{1 + R_0} > R_0 > R_0^2 \left| \frac{\mathcal{F}_{n-2}(q)}{\mathcal{F}_n(q)} \right|_{C_0}.$$

Let us assume now that $\mathcal{F}_{n-2}(q)$ and $\mathcal{F}_n(q)$ have no zeros inside D_0 . Since the cyclotomic polynomial $[3]_q = q^2 + q + 1$ has no roots inside D_0 , then from (3.8) and (3.9) by the Rouché theorem, we conclude that $\mathcal{F}_{n+2}(q)$ also has no roots inside D_0 . The induction completes the proof of the statement that the polynomials $\mathcal{F}_n(q)$ have no zeros inside D_0 .

The proof of the same statement for the polynomials $\tilde{\mathcal{F}}_n(q)$ is similar, since $\tilde{\mathcal{F}}_n(q)$ also satisfy (3.5). This follows from the recurrent formulas

$$\begin{aligned} \tilde{\mathcal{F}}_{2\ell+1} &= \tilde{\mathcal{F}}_{2\ell} + q^2 \tilde{\mathcal{F}}_{2\ell-1}, \\ \tilde{\mathcal{F}}_{2\ell+2} &= q \tilde{\mathcal{F}}_{2\ell+1} + \tilde{\mathcal{F}}_{2\ell}, \end{aligned}$$

proved in [13].

Finally, since the polynomials $\mathcal{F}_n(q)$ and $\tilde{\mathcal{F}}_n(q)$ are mirror of each other, this implies that these polynomials have no roots outside the disc with the radius R_0^{-1} . Theorem 3.1 is proved. \square

The computer calculations shows that the roots of the Fibonacci polynomials actually lie in the smaller annulus

$$R_\star < |q| < R_\star^{-1},$$

but we cannot prove this yet. This was claimed in the earlier preprint version of this work (and repeated in [17]), but our proof was incorrect.

4. $[\sqrt{2}]_q$ AND ROOTS OF THE PELL POLYNOMIALS

The second example we consider is $\sqrt{2}$, known also as silver ratio. The slight modification, $\sqrt{2} + 1$, has some tiny advantages, so we will often work with it; note that $\sqrt{2} + 1$ is approximated by the quotients of consecutive *Pell numbers* $\frac{P_{n+1}}{P_n} = \underbrace{[2, 2, \dots, 2]}_n$.

We show that the convergence radius of the series $[\sqrt{2}]_q$ and $[\sqrt{2} + 1]_q$ is equal to $R_{\sqrt{2}} := R(\sqrt{2})$,

$$(4.1) \quad R_{\sqrt{2}} = \frac{1 + \sqrt{2} - \sqrt{2\sqrt{2} - 1}}{2} \approx 0.53101,$$

and that the roots of the polynomials in the numerators and denominators of $\left[\frac{P_{n+1}}{P_n} \right]_q$ belong to the annulus bounded by the circles with radius R_1 and R_1^{-1} , with $R_1 \approx 0.43542$.

4.1. The series $[\sqrt{2}]_q$ and $[\sqrt{2} + 1]_q$. These series are related by $[\sqrt{2} + 1]_q = q [\sqrt{2}]_q + 1$ (cf. (2.1)) and obviously have the same convergence radius; we prefer to perform the calculations for $[\sqrt{2} + 1]_q$.

The q -deformation $[\sqrt{2} + 1]_q$ is given by the infinite 2-periodic continued fraction

$$(4.2) \quad \left[\sqrt{2} + 1 \right]_q = 1 + q + \frac{q^4}{q + q^2 + \frac{1}{1 + q + \frac{q^4}{q + q^2 + \frac{1}{\ddots}}}}$$

see [14]. This is the q -deformed classical continued fraction expansion $\sqrt{2} + 1 = [2, 2, 2, 2, \dots]$.

The series $[\sqrt{2} + 1]_q$ satisfies the following functional equation:

$$(4.3) \quad qX^2 - (q^3 + 2q - 1)X - 1 = 0,$$

readily obtained from (4.2), and can be calculated from it recursively:

$$\begin{aligned} [\sqrt{2} + 1]_q &= 1 + q + q^4 - 2q^6 + q^7 + 4q^8 - 5q^9 - 7q^{10} + 18q^{11} + 7q^{12} - 55q^{13} + 18q^{14} \\ &\quad + 146q^{15} - 155q^{16} - 322q^{17} + 692q^{18} + 476q^{19} - 2446q^{20} + 307q^{21} \\ &\quad + 7322q^{22} - 6276q^{23} - 18277q^{24} + 33061q^{25} + 33376q^{26} - 129238q^{27} - 10899q^{28} \dots \end{aligned}$$

see Sequence A337589 of [16] for the coefficients of this series.

Proposition 4.1. *The radius of convergence of the series $[\sqrt{2}]_q$ and $[\sqrt{2} + 1]_q$ is equal to $R_{\sqrt{2}}$.*

Proof. The generating function of the series can be deduced from (4.3):

$$\text{GF}_{[\sqrt{2}+1]_q} = \frac{q^3 + 2q - 1 + \sqrt{(q^4 + q^3 + 4q^2 + q + 1)(q^2 - q + 1)}}{2q}.$$

The radius (4.1) is equal to the modulus of the root of the polynomial $q^4 + q^3 + 4q^2 + q + 1$ closest to zero. \square

4.2. The Pell polynomials. The irrational $[\sqrt{2} + 1]_q$ can be approximated by the quotient of the consecutive Pell numbers: $\frac{P_{n+1}}{P_n} = \underbrace{[2, 2, \dots, 2]}_n$. We define the *Pell polynomials* via

$$\left[\frac{P_{n+1}}{P_n} \right]_q =: \frac{\tilde{\mathcal{P}}_{n+1}(q)}{\mathcal{P}_n(q)}.$$

The polynomials $\tilde{\mathcal{P}}_n(q)$ and $\mathcal{P}_n(q)$ are of degree $2n - 3$, and, similarly to the Fibonacci polynomials, are the mirrors of each other: $q^{2n-3}\tilde{\mathcal{P}}_n(q^{-1}) = \mathcal{P}_n(q)$.

Proposition 4.2. *The polynomials $\mathcal{P}_n(q)$ are determined by the recurrence*

$$(4.4) \quad \mathcal{P}_{n+2} = \binom{4}{2}_q \mathcal{P}_n - q^4 \mathcal{P}_{n-2},$$

where $\binom{4}{2}_q = 1 + q + 2q^2 + q^3 + q^4$ is the Gaussian q -binomial, and the initial conditions

$$(\mathcal{P}_0(q) = 0, \mathcal{P}_2(q) = 1 + q) \quad \text{and} \quad (\mathcal{P}_{-1}(q) = \mathcal{P}_1(q) = 1).$$

Proof. Recurrence (4.4) follows from the formulas

$$\begin{aligned} \mathcal{P}_{2\ell+1} &= (q + q^2) \mathcal{P}_{2\ell} + \mathcal{P}_{2\ell-1}, \\ \mathcal{P}_{2\ell+2} &= (1 + q) \mathcal{P}_{2\ell+1} + q^4 \mathcal{P}_{2\ell}, \end{aligned}$$

proved in [13]. \square

From the lemma by induction we can conclude that $\left| \frac{\mathcal{P}_{n-2}(q)}{\mathcal{P}_n(q)} \right|_{C_0} < \frac{1}{R_1}$ and thus

$$(4.8) \quad \left| \binom{4}{2}_q \right|_{C_1} > (1 - R_1^3)(1 - R_1) = R_1^3 + R_1 > R_1^4 \left| \frac{\mathcal{P}_{n-2}(q)}{\mathcal{P}_n(q)} \right|_{C_1}.$$

Assume that $\mathcal{P}_{n-2}(q)$ and $\mathcal{P}_n(q)$ have no zeros inside C_1 . Since $\binom{4}{2}_q = (q^2 + 1)(q^2 + q + 1)$ has no roots inside D_1 , then due to (4.8) we can apply Rouché theorem to conclude that $\mathcal{P}_{n+2}(q)$ also has no roots inside D_1 . The induction completes the proof of this statement for all $\mathcal{P}_n(q)$.

The proof that the polynomials $\tilde{\mathcal{P}}_n(q)$ have no roots inside D_1 is analogous since $\tilde{\mathcal{P}}_n(q)$ also satisfy (4.4). Theorem 4.1 then follows from the fact that $\mathcal{P}_n(q)$ and $\tilde{\mathcal{P}}_n(q)$ are mirrors of each other. \square

Computer experiments show that for $n \leq 45$, the roots of the polynomials $\mathcal{P}_n(q)$ and $\tilde{\mathcal{P}}_n(q)$ belong to the smaller annulus $R_{\sqrt{2}} < |q_r| < R_{\sqrt{2}}^{-1}$, see Figure 1.

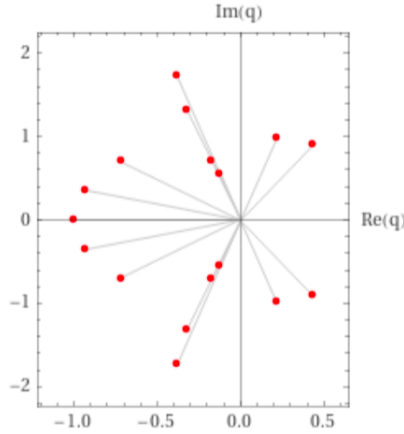


FIGURE 1. Roots of $\mathcal{P}_{10}(q)$: the smallest root modulus is 0.5668..., and the largest 1.8832...

Remark 4.5. In the earlier preprint version of this work we claimed that this is true for all n , however the proof was incorrect. Moreover, according to our computer calculations, the minimal roots of $\mathcal{P}_{47}(q)$ have absolute values 0.52883..., which is smaller than $R_{\sqrt{2}}$, while the maximal absolute value is 1.88796..., which is greater than $R_{\sqrt{2}}^{-1}$. We are not sure whether these computer results are reliable, so this has to be studied further. We also mention that Ren [17] has proved similar claim for the convergents of metallic numbers $[m, m, m, \dots]_q$ with $m = 3, 4$.

5. PROOF OF THEOREMS 1.2 AND 1.3

5.1. Proof of Theorem 1.2. Let x be a rational, choose the rational approximations of x by the convergents of the Hirzebruch-Jung continued fraction: $\frac{r_n}{s_n} = \llbracket c_1, c_2, c_3, \dots, c_n \rrbracket$, see Section 2.3, and consider the q -deformation

$$(5.1) \quad \frac{\mathcal{R}_n(q)}{\mathcal{S}_n(q)} := \left[\frac{r_n}{s_n} \right]_q.$$

Recall that the coefficients of the Hirzebruch-Jung continued fraction are at least 2.

Let $R_2 = 3 - 2\sqrt{2} = (\sqrt{2} - 1)^2$ and C_2 and D_2 be the circle and the disc with radius R_2 , respectively. To prove that the radius $R(x)$ of convergence of $[x]_q$ is larger than R_2 , it would be enough to prove that for all n the polynomial $\mathcal{S}_n(q)$ in the denominator of (5.1) has no roots inside D_2 .

We use the same strategy as before based on the recurrence relations and Rouché theorem. Formula (2.5) implies that the polynomial $\mathcal{S}_n(q)$ satisfy the recurrence

$$(5.2) \quad \mathcal{S}_{n+1}(q) = [c_{n+1}]_q \mathcal{S}_n(q) - q^{c_n-1} \mathcal{S}_{n-1}(q),$$

with the initial values $\mathcal{S}_0(q) = 0$ and $\mathcal{S}_1(q) = 1$, that we rewrite as follows

$$(5.3) \quad \frac{\mathcal{S}_{n+1}(q)}{\mathcal{S}_n(q)} = [c_{n+1}]_q - q^{c_n-1} \frac{\mathcal{S}_{n-1}(q)}{\mathcal{S}_n(q)}.$$

By the Rouché theorem, it suffices to prove that, for every n , the polynomial $[c_{n+1}]_q = \frac{1-q^{c_{n+1}}}{1-q}$ dominates the second summand of the right-hand-side of (5.3), when restricted on the circle C_2 :

$$(5.4) \quad \left| [c_{n+1}]_q \right|_{C_2} > R_2^{c_n-1} \left| \frac{\mathcal{S}_{n-1}(q)}{\mathcal{S}_n(q)} \right|_{C_2}.$$

Since for every positive integer c the polynomial $[c]_q$ has no roots in D_2 , we will then argue by induction that $\mathcal{S}_{n+1}(q)$ also has no roots in D_2 .

To prove (5.4), we will need the following inductive step.

Lemma 5.1. *If $\left| \frac{\mathcal{S}_{n-1}(q)}{\mathcal{S}_n(q)} \right|_{C_2} < \frac{1}{R_2^{\frac{1}{2}}}$, then $\left| \frac{\mathcal{S}_n(q)}{\mathcal{S}_{n+1}(q)} \right|_{C_2} < \frac{1}{R_2^{\frac{1}{2}}}$.*

Proof. Using the recurrence (5.3), one has

$$\left| \frac{\mathcal{S}_{n+1}(q)}{\mathcal{S}_n(q)} \right|_{C_2} \geq \left| [c_{n+1}]_q \right|_{C_2} - R_2^{c_n-1} \left| \frac{\mathcal{S}_{n-1}(q)}{\mathcal{S}_n(q)} \right|_{C_2}.$$

Using Lemma 3.6 and inductive assumption we have

$$\left| \frac{\mathcal{S}_{n+1}(q)}{\mathcal{S}_n(q)} \right|_{C_2} > \frac{1 - R_2^{c_{n+1}}}{1 + R_2} - R_2^{c_n - \frac{3}{2}}.$$

We need to show that

$$\frac{1 - R_2^{c_{n+1}}}{1 + R_2} - R_2^{c_n - \frac{3}{2}} \geq R_2^{\frac{1}{2}},$$

or equivalently

$$1 - R_2^{c_{n+1}} - R_2^{c_n - \frac{1}{2}} - R_2^{c_n - \frac{3}{2}} - R_2^{\frac{3}{2}} - R_2^{\frac{1}{2}} \geq 0.$$

Since $c_i \geq 2$ it is enough to check that for $R = R_2$

$$1 - R^2 - 2R^{\frac{3}{2}} - 2R^{\frac{1}{2}} \geq 0.$$

But $1 - R^2 - 2R^{\frac{3}{2}} - 2R^{\frac{1}{2}} = (1 + R)(1 - R - R^{\frac{1}{2}}) = 0$ when $R^{\frac{1}{2}} = \sqrt{2} - 1 = R_2^{\frac{1}{2}}$. □

Lemmas 3.6 and 5.1 imply that the inequality (5.4) is guaranteed if

$$\frac{1 - R_2^{c_{n+1}}}{1 + R_2} \geq R_2^{c_n - \frac{3}{2}},$$

which is equivalent to

$$1 - R_2^{c_{n+1}} - R_2^{c_n - \frac{1}{2}} - R_2^{c_n - \frac{3}{2}} \geq 0.$$

Using once again $c_i \geq 2$ it is enough to check that $1 - R_2^2 - R_2^{\frac{3}{2}} - R_2^{\frac{1}{2}} \geq 0$, which is obvious since $1 - R_2^2 - R_2^{\frac{3}{2}} - R_2^{\frac{1}{2}} = R_2^{\frac{3}{2}} + R_2^{\frac{1}{2}}$. This completes the proof of Theorem 1.2.

5.2. A special class of continued fractions. Now we prove Theorem 1.3, which claims that if the coefficients of the Hirzebruch-Jung continued fraction expansion a rational number $x = \llbracket c_1, c_2, c_3, \dots, c_N \rrbracket$ satisfies

$$(5.5) \quad c_i \geq 4,$$

then the radius of convergence of $[x]_q$ is greater than $R_\star = \frac{3-\sqrt{5}}{2}$.

Let us reformulate the inequality (5.5) in terms of the coefficients of the regular continued fraction expansion $x = [a_1, a_2, a_3, \dots]$. Recall the formula [6] expressing the coefficients of the Hirzebruch-Jung continued fraction:

$$x = \llbracket a_1 + 1, \underbrace{2, \dots, 2}_{a_2-1}, a_3 + 2, \underbrace{2, \dots, 2}_{a_4-1}, a_5 + 2, \dots, a_{2n-1} + 2, \underbrace{2, \dots, 2}_{a_{2n}-1}, \dots \rrbracket$$

In other words, the coefficients with odd indices, a_{2m-1} become $a_{2m-1} + 2$ (except for a_1 that produces $a_1 + 1$ in the Hirzebruch-Jung continued fraction), and coefficients with even indices, a_{2m} produce an $(a_{2m} - 1)$ -tuple of 2's. Inequality (5.5) becomes:

$$\begin{cases} a_{2m-1} & \geq 2, \\ a_{2m} & = 1, \end{cases}$$

starting from some N .

5.3. Proof of Theorem 1.3. The proof goes along the same lines as that of Theorem 1.2. Let C_\star be the circle with radius R_\star . It suffices to prove that for every n the polynomial $\mathcal{S}_n(q)$ in (5.1) has no roots inside C_\star .

We need to prove that for every n the polynomial $[c_{n+1}]_q = \frac{1-q^{c_{n+1}}}{1-q}$ dominates the second summand of the right-hand-side of the recursion (5.3), when restricted on the circle C_\star :

$$(5.6) \quad \left| [c_{n+1}]_q \right|_{C_\star} > R_\star^{c_n-1} \left| \frac{\mathcal{S}_{n-1}(q)}{\mathcal{S}_n(q)} \right|_{C_\star}.$$

We argue by induction and will need the following.

Lemma 5.2. *If $\left| \frac{\mathcal{S}_{n-1}(q)}{\mathcal{S}_n(q)} \right|_{C_\star} < \frac{1}{R_\star}$, then $\left| \frac{\mathcal{S}_n(q)}{\mathcal{S}_{n+1}(q)} \right|_{C_\star} < \frac{1}{R_\star}$.*

Proof. Using (5.3) and lemma 3.6 we have

$$\left| \frac{\mathcal{S}_{n+1}(q)}{\mathcal{S}_n(q)} \right|_{C_\star} > \frac{1 - R_\star^{c_{n+1}}}{1 + R_\star} - R_\star^{c_n-2},$$

which we claim to be larger than R_\star . Indeed,

$$\frac{1 - R_\star^{c_{n+1}}}{1 + R_\star} - R_\star^{c_n-2} - R_\star = \frac{1 - R_\star^{c_{n+1}} - R_\star^{c_n-2} - R_\star^{c_n-1} - R_\star - R_\star^2}{1 + R_\star}.$$

Since $c_i \geq 4$ for all i , we have

$$\frac{1 - R_\star^{c_{n+1}} - R_\star^{c_n-2} - R_\star^{c_n-1} - R_\star - R_\star^2}{1 + R_\star} \geq \frac{1 - R_\star^4 - R_\star^3 - 2R_\star^2 - R_\star}{1 + R_\star} = \frac{14 - 36R_\star}{1 + R_\star},$$

because $R_\star^2 = 3R_\star - 1$, and so $R_\star^3 = 8R_\star - 3$, and $R_\star^4 = 21R_\star - 8$. One can check that $14 - 36R_\star > 0$, which implies the lemma. \square

From this lemma by induction we have that for all n

$$R_\star^{c_n-1} \left| \frac{\mathcal{S}_{n-1}(q)}{\mathcal{S}_n(q)} \right|_{C_\star} < R_\star^{c_n-2}.$$

We claim that

$$R_{\star}^{c_n-2} < \frac{1 - R_{\star}^{c_{n+1}}}{1 + R_{\star}}.$$

Indeed, under our assumptions

$$1 - R_{\star}^{c_{n+1}} - R_{\star}^{c_n-1} - R_{\star}^{c_n-2} > 1 - R_{\star}^4 - R_{\star}^3 - R_{\star}^2,$$

which is positive. This means that

$$\left| [c_{n+1}]_q \right|_{C_{\star}} \geq \frac{1 - R_{\star}^{c_{n+1}}}{1 + R_{\star}} > R_{\star}^{c_n-2} > R_{\star}^{c_n-1} \left| \frac{\mathcal{S}_{n-1}(q)}{\mathcal{S}_n(q)} \right|_{C_{\star}},$$

and we can apply Rouché theorem to complete the proof. \square

Remark 5.3. Note that using the adopted approach we cannot improve the assumption (5.5). Indeed, assuming $c_i \geq 3$ in Lemma 5.2, leads in the proof to the quantity $3 - 8R_{\star}$, which is negative.

6. MISCELLANEOUS EXPERIMENTS

Multiple computer experiments show that the situation in the q -deformed case is very different from classical Markov theory [1].

Example 6.1. For $\sqrt{3}$, one has: $\sqrt{3} = [1, \overline{1, 2}] = [\overline{2, 4}]$. The generating function of the series $[\sqrt{3}]_q$ is

$$\text{GF}_{[\sqrt{3}]_q} = \frac{q^3 + q^2 - q - 1 + \sqrt{q^6 + 2q^5 + 3q^4 + 3q^2 + 2q + 1}}{2q^2},$$

see [14]. The absolute value of the minimal root of the polynomial under the radical is

$$R_{\sqrt{3}} := R(\sqrt{3}) \approx 0.527756 \dots$$

which is between R_{\star} and $R_{\sqrt{2}}$:

$$R_{\star} < R_{\sqrt{3}} < R_{\sqrt{2}}.$$

This example demonstrates, that, unlike classical Markov theory [1], the series corresponding to $\sqrt{2}$ “converges better” than that of $\sqrt{3}$.

Let us also give another interesting example, which is the third “badly approximated” number in Markov theory, after φ and the “silver ratio” $\sqrt{2}$.

Example 6.2. The number $\alpha = \frac{9+\sqrt{221}}{10} = [\overline{2, 2, 1, 1}]$, sometimes called “bronze ratio”, is the third most irrational number [1]. In this case, the radius of convergence can be calculated explicitly in radicals. More precisely, the radius of convergence of $\left[\frac{9+\sqrt{221}}{10} \right]_q$ is

$$R_{\text{bronze}} := R(\alpha) = \frac{1 + \sqrt{13} - \sqrt{2(\sqrt{13} - 1)}}{4} \approx 0.58069 \dots$$

Indeed, a direct computation gives

$$\left[\frac{9+\sqrt{221}}{10} \right]_q = \frac{q^6 + 2q^5 + 3q^4 + 3q^3 + q^2 - 1 + \sqrt{(q^4 + 3q^3 + 5q^2 + 3q + 1)(q^6 + 2q^5 + 3q^4 + 5q^3 + 3q^2 + 2q + 1)(q^2 - q + 1)}}{2q(q^3 + 2q^2 + q + 1)}$$

Note that $221 = 13 \cdot 17$, the factors under the radical are q -versions of these numbers. Quite remarkably, the polynomial under the radical is a palindrome polynomial (for a general result; see [9]). The radius of convergence is equal to the absolute value of the minimal root of the polynomial under the radical, which can be found explicitly.

We wonder if for other quadratic irrationals the radius of convergence is an algebraic number of degree 2^n (like in the theory of ruler-and-compass construction) as it was for φ , $\sqrt{2}$, and for the above example, but we have no explicit formulas in general.

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