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Computational and robotic modeling reveal parsimonious combinations of interactions between individuals in schooling fish

Liu Lei^{1,2}, Ramón Escobedo², Clément Sire³, Guy Theraulaz^{2,*}

1 University of Shanghai for Science and Technology, Shanghai, China
2 Centre de Recherches sur la Cognition Animale, Centre de Biologie Intégrative,
Centre National de la Recherche Scientifique (CNRS), Université de Toulouse – Paul Sabatier (UPS), Toulouse, France
2 Laboratoire de Physicana Théorieme (CNRS) and Université de Toulouse – Paul

3 Laboratoire de Physique Théorique, CNRS and Université de Toulouse – Paul Sabatier, Toulouse, France

*guy.theraulaz@univ-tlse3.fr

Abstract

Coordinated motion and collective decision-making in fish schools result from complex 2 interactions by which individuals integrate information about the behavior of their neighbors. However, little is known about how individuals integrate this information 4 to take decisions and control their movements. Here, we combine experiments with 5 computational and robotic approaches to investigate the impact of different strategies for 6 a fish to interact with its neighbors on collective swimming in groups of rummy-nose tetra (Hemigrammus rhodostomus). By means of a data-based agent model describing the inter-8 actions between pairs of H. rhodostomus (Calovi et al., 2018), we show that the simple 9 addition of the pairwise interactions with two neighbors quantitatively reproduces the 10 collective behavior observed in groups of five fish. Increasing the number of interacting 11 neighbors does not significantly improve the simulation results. Remarkably, we find 12 that groups remain cohesive and polarized even when each agent only interacts with 13 only one of its neighbors: the one that has the strongest contribution to the heading 14 variation of the focal agent. However, group cohesion is lost when each agent only 15 interacts with its nearest neighbor. We then investigate by means of a swarm robotic 16 platform the collective motion in groups of five robots. Our platform combines the 17 implementation of the fish behavioral model and an engineering-minded control system 18 to deal with real-world physical constraints. We find that swarms of robots are able 19 to reproduce the behavioral patterns observed in groups of five fish when each robot 20 only interacts with its neighbor having the strongest effect on its heading variation, 21 whereas increasing the number of interacting neighbors does not significantly improve 22 23 the group coordination. Overall, our results suggest that fish have to acquire only a minimal amount of information about their environment to coordinate their movements 24 when swimming in groups. 25

Keywords: collective behavior; flocking; fish school; interaction networks; computa tional modeling; swarm robotics.

²⁸ Author Summary

How do fish combine and integrate information from multiple neighbors when swimming 29 in a school? What is the minimum amount of information about their environment 30 needed to coordinate their motion? To answer these questions, we combine experiments 31 with computational and robotic modeling to test several hypotheses about how individual 32 fish could combine and integrate the information on the behavior of their neighbors 33 when swimming in groups. Our research shows that, for both simulated agents and 34 robots, using the information of two neighbors is sufficient to qualitatively reproduce the 35 collective motion patterns observed in groups of fish. Remarkably, our results also show 36 that it is possible to obtain group cohesion and coherent collective motion over long 37 periods of time even when individuals only interact with their most influential neighbor, 38 that is, the one that exerts the most important effect on their heading variation. 39

40 Introduction

One of the most remarkable characteristics of group-living animals is their ability to 41 display a wide range of complex collective behaviors and to collectively solve problems 42 through the coordination of actions performed by the group members [1-3]. It is now well 43 established that these collective behaviors are self-organized and mainly result from local 44 interactions between individuals [4, 5]. Thus, to understand the mechanisms that govern 45 collective animal behaviors, we need to decipher the interactions between individuals, to 46 identify the information exchanged during these interactions and, finally, to characterize 47 and quantify the effects of these interactions on the behavior of individuals [6,7]. There 48 exists today a growing body of work that brought detailed information about the direct 49 and indirect interactions involved in the collective behaviors of many animal groups, 50 especially in social insects such as ants [8–11] and bees [12, 13]. 51

Recently, we introduced a new method to disentangle and reconstruct the pairwise interactions involved in the coordinated motion of animal groups such as fish schools, flocks of birds, and human crowds [14, 15]. This method leads to explicit and concise models which are straightforward to implement numerically. It still remains an open and challenging problem to understand how individuals traveling in groups combine the information coming from their neighbors to coordinate their own motion.

To answer this question, one first needs to identify which of its neighbors an individual 58 interacts with in a group, *i.e.*, which are its influential neighbors. For instance, does an 59 individual always interact with its nearest neighbors, and how many? Most models of 60 collective motion in animal groups generally consider that each individual is influenced by 61 all the neighbors located within some spatial domain centered around this individual [16, 62 17]. This is the case in particular of the Aoki-Couzin model [18, 19] and the Vicsek 63 model [20]. In the latter, each individual aligns its direction of motion with the average 64 direction of all individuals that are located within a fixed distance in its neighborhood. 65 Other models, more directly connected to biological data, consider that the interactions 66 between individuals are topological and that the movement of each individual in the 67 group only relies on a finite number of neighbors. This is in particular the case for the 68 work on starling flocks [21, 22] and on barred flagtails (Kuhlia mugil) [23]. In golden 69 shiners (*Notemigonus crysoleucas*), another work has sought to reconstruct the visual 70 information available to each individual [24]. In this species, it has been shown that a 71 model was best explaining the experimental data when all the neighboring individuals 72 that occupy an angular area on the retina of a focal fish that is greater than a given 73 threshold are taken into account. However, because of the cognitive load that is required 74 for an individual to constantly monitor the movements of a large number of neighbors, 75 it has been suggested that animals may focus their attention on a small subset of their 76

neighbors [25–27]. In a previous work, we found experimental evidences that support this
assumption. In groups of rummy nose tetras (*Hemigrammus rhodostomus*) performing
collective U-turns, we found that, at any time, each fish pays attention to only a small
subset of its neighbors, typically one or two, whose identity regularly changes [28].
However, we still ignore if the same pattern of interaction holds true when fish are
schooling, *i.e.*, when individuals are moving together in a highly polarized manner and
not performing some collective maneuver.

Then, one needs to determine how a fish integrates the information from its influential 84 neighbors. The most common assumption is that animals respond by averaging pairwise 85 responses to their neighbors (with added noise) [16-18]. However, existing work shows 86 that the integration of information might be much more complex. In golden shiners, 87 Katz et al. [29] have shown that the combined effect of two neighbors on a fish response 88 is close to averaging for turning, but somewhere between averaging and adding for speed 89 adjustments. This observation brings us back to an often neglected factor which is the 90 impact of the physical constraints imposed on a fish movement by their body. Fish 91 mainly achieve collision avoidance through the control of their speed and orientation 92 at the individual level. However, existing models seldom treat collision avoidance in a 93 physical way and most models assume that individuals move at a constant speed [6]. 94 This is the main reason why these models cannot be directly implemented in real physical 95 robotic systems [30]. 96

To better understand how individuals combine and integrate interactions with their 97 neighbors in a group of moving animals, we first analyze the dynamics of collective 98 movements in groups of five *H. rhodostomus* moving freely in a circular tank. Then, 99 we investigate different strategies for combining pairwise interactions between fish 100 and analyze their impact on collective motion. To do that, we use the data-driven 101 computational model developed by Calovi *et al.* [14] that describes the interactions 102 involved in the coordination of burst-and-coast swimming in pairs of *H. rhodostomus*, 103 and a swarm robotic platform that also allows us to investigate the impact of both 104 direction and speed regulation. Finally, we compare the predictions of the computational 105 and swarm robotics models with the experiments conducted under the same conditions 106 with groups of fish. Our results show that individuals do not need to integrate the 107 information about all their neighbors for coordination to emerge at the group level. 108 Indeed, if each fish interacts only with a single neighbor, the one having the strongest 109 effect on the heading variation of the focal fish, the group maintains its cohesion. Thus, 110 each individual must interact with a very small number of neighbors (*i.e.*, one or two), 111 provided they are those who exert the stronger influence on its own movement. 112

Results

We collect three sets of data corresponding to i) our experiments with N = 5 fish (*H. rhodostomus*), ii) our numerical simulations of the model derived in [14], and iii) our experiments using the robotic platform with N = 5 robots (see Fig. 1, S1 Video and S2 Video), from which we extract the trajectories of each individual (S3 Video). We characterize the collective behavior of fish, agents, and robots by means of five main quantities:

• the group cohesion C(t) at time t, which characterizes the effective radius of the group, and hence its compactness;

• the group polarization P(t), which quantifies the coordination of the headings of the individuals $(P(t) = 1, \text{ if all individuals are perfectly aligned; } P \sim 1/\sqrt{N}, \text{ if}$ the N individuals have uncorrelated headings, P becoming small only for large group size N, but being markedly lower than 1 for any $N \geq 5$; 126

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- the distance $r_{w}^{B}(t)$ of the barycenter *B* of the group from the wall of the tank, which is only small compared to the radius of the tank if individuals move together *and* along the wall of the tank;
- the relative orientation $\theta_{\rm w}^B(t)$ of the barycenter of the group with respect to the wall of the tank, which in particular characterizes whether the group is collectively swimming parallel to the wall of the tank (then, $|\theta_{\rm w}^B| \approx 90^\circ$);
- the counter-milling index Q(t), which measures the relative direction of rotation of individuals inside the group (around the barycenter) with respect to the direction of rotation of the group around the center of the tank (see S4 Video).

The Materials and Methods section and Figs. 2 and 3 provide the precise mathematical definition of these quantities. Moreover, we used the Hellinger distance (see Materials and Methods) between two probability distribution functions (PDF) in order to quantify the (dis)similarity between PDF obtained in fish experiments and the corresponding PDF obtained in the fish model (see Table 1) and in robot experiments (see Table 2)

H. rhodostomus presents a burst-and-coast swimming mode, where a fish suddenly 140 accelerates along a new direction ("kick"; see Fig. 1B, and S1 Video and S3 Video) 141 and then glides passively until the next kick, along an almost straight line, a gliding 142 phase during which the speed approximately decays exponentially [14]. The fish model 143 derived in [14] explicitly implements this swimming mode and returns as the main 144 information the new heading direction of the focal fish after each kick, which is controlled 145 by its environment (wall of the tank, another fish). The interaction between a fish 146 and the wall, and the interaction between two fish have been precisely extracted from 147 actual experiments with *H. rhodostomus* [14]. The original procedure for extracting the 148 interactions introduced in [14] exploited a large data set of ~ 300000 kicks for one-fish 149 trajectories (in tanks of 3 different radius) and ~ 200000 kicks for two-fish trajectories, 150 amounting effectively to a total of 70 hours of exploitable data. The measured interactions 151 were then directly implemented in the model, which is hence not just a phenomenological 152 model with mere guessed, albeit reasonable, interactions. Note however that the analysis 153 in [14] does not provide any insight about how these interactions are combined in groups 154 of more than two fish. 155

The interaction between two fish was shown to be a combination of a repulsive (at 156 short distance of order 1 BL – body length) and a long-range (in particular, compared to 157 zebrafish [15]) attractive interaction at larger distance, and of an alignment interaction 158 which tends to make the two fish align their heading direction. The attraction and 159 alignment interaction functions determine the new heading angle of the focal fish in 160 terms of the instantaneous relative state of the two fish, characterized by the distance 161 between them, the viewing angle with which the neighbor is perceived by the focal fish, 162 and their relative orientation (see Fig. 2). The additional change in heading angle due 163 to the repulsive interaction between a fish and the wall of a circular tank is expressed in 164 terms of the distance and relative angle of the fish to the wall (see Fig. 2). Finally, in 165 addition to the fish-wall and fish-fish interactions, the change in heading angle includes 166 a stochastic contribution describing the spontaneous fluctuations in the motion of the 167 fish. In [14], the model was shown to quantitatively reproduce many fine measurable 168 quantities in one-fish and two-fish experiments, ultimately producing a very precise 169 description of the motion of one or two fish. For the sake of completeness, the model 170 and its fish-wall and fish-fish interaction functions are summarized in the Materials and 171 Methods section (Eqs. (4–15); see [14] for a more detailed description and justification 172 of the model; see [14, 15] for the extraction procedure of the interactions). 173

When more than two fish are swimming in the tank (N > 2), the social pairwise interactions must be combined. In the framework of the fish model, it is natural to assume that the heading angle change of a focal fish is the sum of the pairwise contributions of some of its N-1 neighbors. The resulting interaction thus depend on two factors: the number k of considered neighbors and the strategy to select them.

We explore three different strategies of interaction between individuals and their 179 neighbors in groups of size N = 5, comparing actual fish experiments with the resulting 180 fish model and the swarm robotic platform (robots programmed with the fish model 181 and a control procedure to resolve collisions). The first strategy is based on the *distance*, 182 so that individuals interact with their k nearest neighbors, with k = 1, 2, 3. The second 183 strategy is a random strategy, where the k neighbors are randomly sampled among the 184 other N-1 individuals. Finally, the third strategy is based on the *influence*, defined 185 below, where the k selected neighbors are those having the largest influence on the focal 186 individual (as determined by the precise two-fish model of [14]). We also study the cases 187 where there is no interaction between individuals (k = 0), and where each individual 188 interacts with all its neighbors (k = 4). 189

The influence $\mathcal{I}_{ij}(t)$ of a neighbor j on a focal individual i at time t is defined as the 190 intensity of the contribution of this neighbor i to the instantaneous heading variation 191 of the focal individual i, as given by the firmly tested two-fish model of [14]. The 192 influence $\mathcal{I}_{ij}(t)$ depends on the relative state of the neighbor j with respect to the focal 193 individual i, determined by the triplet $(d_{ij}, \psi_{ij}, \phi_{ij})$, where d_{ij} is the distance between 194 individuals i and j, ψ_{ij} is the viewing angle with which i perceives j (i.e., the angle 195 between the velocity of i and the vector ij), and ϕ_{ij} is the difference of their heading 196 angles, a measure of the alignment between i and j (see Fig. 2). The influence $\mathcal{I}_{ij}(t)$ is 197 calculated by means of the analytical expressions of the pairwise interaction functions 198 derived in [14] for fish swimming in pairs, according to Eq. (9) in the Materials and 199 Methods section. 200

To prevent cognitive overload, a reasonable assumption is that individual fish filter the information from their environment and thus limit their attention to a small set of their most salient neighbors [25–27] (to be followed; or to be avoided, by moving away or by aligning their headings), making the notion of most influential neighbors quite natural.

The model for N > 2 fish thus proceeds as follows: at the time t when the agent i(i = 1, ..., N) performs a new kick, its change in heading angle is calculated by adding the effects of the wall and the spontaneous noise to the effects of the k neighbors selected among the other N - 1 individuals according to one of the three strategies presented above:

- by calculating the instantaneous distance between the focal individual i and each of its N-1 neighbors and selecting the k nearest neighbors (strategy 1; NEAREST);
 - by randomly sampling k individuals among the N-1 neighbors of i (strategy 2; RANDOM);
- by calculating the instantaneous influence $\mathcal{I}_{ij}(t)$ for each neighbor j of i and selecting the k neighbors with the largest influence (strategy 3; MOST INFLUENTIAL).

These interaction strategies explore different ways for an individual to focus its attention on the most relevant stimuli (*i.e.*, neighbors).

²¹⁹ Collective behavior in fish experiments

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Fish form cohesive groups with an average cohesion $C \approx 5 \text{ cm}$ (Fig. 4). They are highly polarized, with the 5 fish swimming almost in the same direction (large peak at $P \approx 1$ in the distribution of P; Fig. 5). In some instances, groups are observed in which one fish swims in the opposite direction to that of the other four, as shown by the small bump at $P \approx 0.6$ in Fig. 5. Indeed, in this situation, the polarization is close to $P \approx |1 + 1 + 1 + 1 - 1|/5 = 0.6$. Even less frequent are situations where two fish swim in the opposite direction to that of the other three, as shown by the very small bump near $P \approx |1 + 1 + 1 - 1 - 1|/5 = 0.2$. The density maps of polarization P with respect to cohesion C (panels labeled "FISH" in S1 Fig–S4 Fig) allow to visualize the correlations between both quantities, and will permit a comparison with the predictions of the fish model and the results of the robot experiments for the three interaction strategies considered here.

Groups of 5 fish rotate clockwise (CW) or counter-clockwise (CCW) along the tank wall for long periods and remain close to the border of the tank, the group barycenter being at a typical distance $r_{\rm w}^B \approx 7 \,\mathrm{cm}$ from the wall (Fig. 6). Therefore, the group swims almost always parallel to the nearest wall, with a relative angle to the wall of the heading of the barycenter close to $|\theta_{\rm w}^B| \approx 90^\circ$ (Fig. 7). In fact, the peak in the PDF of $|\theta_{\rm w}^B|$ is slightly below 90°, since the fish are more often going toward the wall than away from it [14].

We also find a collective pattern where individual fish rotate around the barycenter B239 of the group in a direction which is *opposite* to the direction of rotation of the group around 240 the center T of the tank (see Fig. 3 and S4 Video). We call this collective movement a 241 counter-milling behavior, and define the instantaneous degree of counter-milling Q(t) as 242 a measure in [-1, 1] of the intensity with which both rotation movements are in opposed 243 directions (see the Materials and Methods section for the precise mathematical definition 244 of Q(t) and its general interpretation). When Q(t) < 0, the fish rotate around their 245 barycenter B in the opposite direction to that of the group around T (counter-milling), 246 while when Q(t) > 0, the fish rotate in the same direction around B as the group rotates 247 around T (super-milling). Fig. 8 shows that the fish exhibit a counter-milling behavior 248 much more frequently than a super-milling behavior. Counter-milling behaviors result 249 from the fact that fish located at the front of the group have to reduce their speed as 250 they get closer to the wall of the tank. Fish located at the back of the group (that 251 are generally farther from the wall [14]) move faster and outrun the slowing down fish, 252 ultimately relegating them to the back of the group. This process gives rise to the 253 rotation of individual fish around the group center, in the opposite direction to the one 254 that the group displays around the tank (Fig. 3). This collective behavior resembles a 255 coordinated swimming by relays which is nevertheless due to simple physical constraints, 256 as already reported on wolf-packs hunting preys moving in circles [31]. 257

²⁵⁸ Simulation results of the computational model

259 Collective motion in a circular tank

Panels (ABC) of Figs. 4–8 show the probability distribution functions for our 5 quantifiers 260 as obtained in numerical simulations of the fish model. The panels correspond respectively 261 to the strategy in which agents interact with their k nearest neighbors (A), with 262 k neighbors chosen randomly (B), and with k neighbors selected according to their 263 influence on the focal agent (C). For these three strategies (NEAREST; RANDOM; MOST 264 INFLUENTIAL), we have considered all the possible values of the number of interacting 265 neighbors, k = 1, 2, 3, together with the case where there is no interaction between 266 agents (k = 0) and the case where each agent interacts with every other agent (k = 4). 267 For comparison purposes, we have rescaled the distance corresponding to the model 268 by a factor $\lambda_{\rm M} = 0.87$. This value is the minimizer of the l_1 -norm of the difference 269 between the PDF of group cohesion for fish data, and the PDF of group cohesion for the 270 simulation data produced by the model when using the strategy involving the k = 2 most 271 influential neighbors. Noticeably, the fact that the value of $\lambda_{\rm M}$ is close to 1 indicates 272 that the model produces a quite satisfactory quantitative approximation to the data of 273 real fish. This rescaling procedure only affects the PDF of C and r_{w}^{B} , and not the PDF 274

of P, $\theta_{\rm w}^B$, and Q (3 quantities invariant by a change of distance scale).

When k = 0, there is no interaction between agents and, as expected, one does not 276 observe any compact group: individuals turn independently around the tank remaining 277 close and parallel to the wall (as expected for fish swimming alone [14]). Their position 278 and rotation direction along the walls are uncorrelated, and the individuals are scattered 279 along the border (cohesion peaked around $C \approx 18 \,\mathrm{cm}$; $r_{\mathrm{w}}^B \approx 15 \,\mathrm{cm}$), with an almost flat 280 PDF for θ^B_{w} (random orientation of the barycenter with respect to the wall). This results 281 in a bell-shaped probability distribution function PDF for the polarization P, vanishing 282 at P = 1 (Figs. 4–7). 283

For k = 1, whatever the strategy used to select the interacting neighbor (the nearest 284 one; a randomly selected one; the most influential one), the dynamics immediately 285 reveals that interactions are at play, with groups becoming more cohesive (Fig. 4) and 286 more polarized (Fig. 5) than for k = 0. Yet, the NEAREST strategy still leads to a very 287 broad PDF of the group cohesion C, with a substantial weight near the maximal value 288 of $C \sim 20$ cm obtained for k = 0, indicating that the group often breaks into parts. For 289 the RANDOM and MOST INFLUENTIAL strategies, the weight at large distance in the PDF 290 of C is absent, but the PDF are still broader than in fish experiments. As confirmed by 291 the Hellinger distance quantifier (see Table 1 and Materials and Methods), the MOST 292 INFLUENTIAL strategy clearly leads to the sharper distribution of C (peaked around 293 $C \approx 6.5$ cm, compared to $C \approx 10$ cm for the RANDOM strategy). The next section will 294 show that, contrary to the NEAREST strategy, the MOST INFLUENTIAL strategy with 295 k = 1 can lead to compactness of the group even for larger groups (N = 6-70) moving 296 in an *unbounded* domain. As for the group polarization P (Fig. 5), the three strategies 297 lead to a PDF clearly peaked near $P \approx 0.9$ (and a smaller peak near $P \approx 0.6$; see above), 298 yet certainly not as peaked near P = 1 as the PDF for fish experiments. Again, the 299 MOST INFLUENTIAL strategy leads to the best agreement with fish experiments (see 300 Table 1), although the difference between strategies is not as marked as for the group 301 cohesion. For the three strategies, the barycenter of the group is closer to the border 302 and moves more parallel to the wall (Figs. 6 and 7). Counter-milling is obtained for 303 the three strategies with comparable PDF (Fig. 8; see also S5 Fig), quite similar to the 304 one obtained in fish experiments (we will see that the agreement unfortunately worsens 305 when increasing k; see Table 1). Polarization vs cohesion density maps confirm that the 306 NEAREST and RANDOM strategies are insufficient to convey the necessary information to 307 reach the degree of cohesion and polarization (and their correlation) observed in groups 308 of fish (S1 Fig, S2 Fig). The MOST INFLUENTIAL strategy density maps for k = 1 already 309 present the main features of the fish experiments, despite a still too broad spreading 310 in the (C, P) plane. Overall, for k = 1, the MOST INFLUENTIAL strategy gives rise to 311 significantly better results than the NEAREST and RANDOM strategies (see Table 1). 312

For k = 2, the three strategies lead to a collective behavior in much better agreement 313 with the fish experiments (see Table 1). In particular, the NEAREST strategy now system-314 atically leads to compact groups, with a PDF of the group cohesion C (Fig. 4) similar 315 to the one obtained for the RANDOM strategy (both peaked around $C \approx 6.5 \,\mathrm{cm}$). The 316 MOST INFLUENTIAL strategy produces a PDF in good agreement with fish experiments 317 (both sharply peaked around $C \approx 5 \,\mathrm{cm}$). The PDF of the polarization is now sharply 318 peaked at P = 1 for the three strategies, with a slightly lower level of polarization for 319 the RANDOM strategy compared to the two others (see Fig. 5 and Table 1). Like in the 320 case k = 1, the distance and alignment of the group with respect to the wall are better 321 recovered for the NEAREST strategy (Figs. 6 and 7; Table 1), the two other strategies 322 leading to slightly broader PDF but much narrower compared to the case k = 1. The 323 counter-milling Q is enhanced for the three strategies compared to the case k = 1 and 324 appears stronger than for fish experiments (Fig. 8). The deterioration of the model 325 results for the counter-milling compared to k = 1 and experiments suggests that the 326

internal structure of a fish group is more rigid than predicted by the model, actual fish 327 behaving closer to particles rotating on a vinyl record (see the interpretation of Q in 328 Materials and Methods). Compared to the case k = 1, where they were particularly far 329 from the experimental maps, polarization vs cohesion density maps for the NEAREST 330 and RANDOM strategies and k = 2 show a correlation between P and C in much better 331 agreement with experiments (S1 Fig, S2 Fig). The MOST INFLUENTIAL strategy results, 332 already fair for k = 1, also improve. The NEAREST strategy leads to the best agreement 333 with experiments in the representation of S1 Fig, while the MOST INFLUENTIAL strategy 334 leads to the best results in the representation of S2 Fig. 335

When interacting with k = 3 neighbors, the results are almost identical for the 336 three strategies because neighbors are the same a high percentage of the time. For two 337 (respectively, three) given strategies, the selected neighbors are exactly the same 25% of 338 the time (respectively, 6.25%); they have at least 2 neighbors in common 75% of the 339 time (respectively, 93.75%); there is always at least one neighbor in common. Interacting 340 with the 3 nearest neighbors instead of 2 only improves the group cohesion (see Table 1 341 and Fig. 4), while using the 3 most influential ones, instead of 2, does not improve 342 significantly any of the measures, including density maps (S1 Fig, S2 Fig). As already 343 noted for k = 2, the counter-milling remains too pronounced compared to experiments 344 for the three strategies and k = 3 (see Fig. 8 and S5 Fig). 345

Finally, interacting with k = 4 neighbors does not significantly change the results obtained for k = 3 (see Figs. 4–8 and Table 1).

348 Collective motion of 5 agents in an unbounded domain

The model allows us to simulate the condition where agents are swimming in an unbounded domain by removing the interaction with the wall. This condition is particularly interesting to assess the impact of the confinement of the agents due to the arena on group cohesion and polarization.

Figs. 9 and 10 show respectively the time evolution of group cohesion and polarization 353 for the MOST INFLUENTIAL strategy (Panels AD) and the NEAREST strategy (Panels BE), 354 and for k = 1 to 4. Despite the absence of confinement due to the wall, all the strategies 355 except the one that consists in interacting only with the nearest neighbor (k = 1) allow 356 the group to remain cohesive and polarized for more than 2.5 hours ($\approx 10^4$ kicks) in 357 numerical simulations (see Figs. 9ABC and 10AB). When agents only interact with their 358 most influential neighbor, the group is highly cohesive ($C \approx 0.1 \,\mathrm{m}$, Fig. 9A), but less 359 than in the arena ($C \approx 0.07$ m, Fig. 4C). However, the polarization is higher when the 360 group swim in an unbounded domain (mean of $P \approx 0.93$, Fig. 10A) in comparison to 361 the arena (mean of $P \approx 0.78$, Fig. 5C). Therefore, the confinement due to the arena 362 reinforces the group cohesion and weakens the group polarization, which still remains at 363 a high level for the MOST INFLUENTIAL strategy. 364

However, when agents only interact with their first nearest neighbor, the group disintegrates very quickly and then diffuses, with $C^2(t)$ growing linearly with the time t(Fig. 9C), and P(t) oscillating around 0.6 (Fig. 10B). Compact groups are recovered for the NEAREST strategy with k = 2, 3, but the MOST INFLUENTIAL strategy systematically leads to more cohesive and more polarized groups (Fig. 9AB).

In order to better understand to what extent the group cohesion depends on the interaction strategy and/or on the long-range nature of the attraction [14], we have also simulated the model by truncating the attraction interaction between two agents *i* and *j* when their distance d_{ij} is greater than a cut-off distance d_{cut} : $F_{Att}(d_{ij}) = 0$, if $d_{ij} > d_{cut}$, where F_{Att} is defined in Eq. (10) of the Materials and Methods section. When d_{cut} decreases below some critical value d_{cut}^* , we expect that the group will break and that the agents will ultimately freely diffuse, illustrating the importance of the range of the attraction interaction to ensure the cohesion of the group (see Fig. 9DE) and Fig. 10DE).

For the MOST INFLUENTIAL strategy with k = 1, the group remains highly cohesive 379 380 and 4, d_{cut}^* is found to be slightly smaller than for k = 1 ($d_{\text{cut}}^* \approx 0.8 \,\text{m}$; Fig. 9D). For 381 the NEAREST strategy with k = 2 (the group is never cohesive for k = 1, even for 382 $d_{\rm cut} = \infty$; see above), we find $d^*_{\rm cut} \approx 3.5 \,\mathrm{m}$ (Fig. 9E), much higher than for k = 1 in the 383 MOST INFLUENTIAL strategy. Here, we clearly see that even at a smaller k, the MOST 384 INFLUENTIAL strategy is much more effective than the NEAREST strategy in ensuring 385 the cohesion of the group, for finite-range attraction cut-off at $d_{\rm cut}$. For k=3, the 386 NEAREST strategy leads to a critical cut-off $d_{\rm cut}^* \approx 0.9$, of the same order as for the MOST 387 INFLUENTIAL strategy (for k = 3, the involved neighbors are often the same for both 388 strategies; see above). 389

In conclusion, for groups of 5 agents in an unbounded domain, we have shown that the MOST INFLUENTIAL strategy leads to a highly cohesive and polarized group for all k = 1, 2, 3, provided the range of the attraction is not too small ($d_{\rm cut} > 0.8 \,\mathrm{m}$). For the NEAREST strategy, the group is never cohesive for k = 1, and a much larger range of the attraction ($d_{\rm cut} > 3.5 \,\mathrm{m}$) is required to ensure the cohesion of the group for k = 2.

³⁹⁵ Collective motion of larger groups in an unbounded domain

For agents moving in an unbounded domain, we have simulated the model with the MOST 396 INFLUENTIAL strategy with k = 1, for groups of N = 6 to 70 individuals starting initially 397 in a compact configuration (see Fig. 10C). The group remains highly cohesive for all sizes 398 (up to N = 70), with a group cohesion of order $C \sim 0.1 \,\mathrm{m}$. The polarization remains 399 high (P > 0.7) in groups of size $N \leq 20$, and decreases as the group size increases. This 400 suggests a smooth cross-over between a schooling phase up to moderate group sizes 401 $N \sim 20$, and a more disordered swarming phase for larger N. In fact, for the largest 402 values of N investigated, schooling periods are also observed, alternating with periods 403 of collective milling, resulting de facto in a reduced polarization of the group. The 404 occurrence of the swarming, schooling, and milling phases as a function of the model 405 parameters (group size N, strategy to select the interacting neighbors, intensity and 406 range of the attraction/alignment interactions...) will be studied in a future work, as it 407 has been previously done for the species Kuhlia mugil [32] (a species displaying a smooth 408 swimming mode, instead of a burst-and-coast swimming mode). 409

When agents only interact with their nearest neighbor, groups larger than N = 5410 disperse immediately and a larger number of neighbors k must be taken into account to 411 preserve some degree of cohesion. We have also simulated larger groups $(N = 6, \ldots, 26;$ 412 N even) with k = 1 to N - 1 for the NEAREST strategy. The results of S7 Fig (and 413 Fig. 10F, in the particular case N = 20 show that each agent must interact at least 414 with $k \sim N/2$ nearest neighbors in order to obtain a degree of cohesion similar to the 415 one observed for the MOST INFLUENTIAL strategy with k = 1. Moreover, once k > N/2, 416 groups become less cohesive as the number of nearest neighbors taken into account by 417 agents increases. In fact, for N > 6 and whatever the value of k, the NEAREST strategy 418 always leads to less cohesive groups (S7 FigA) than for the MOST INFLUENTIAL strategy 419 with k = 1, for which $C \sim 0.1 \,\mathrm{m}$. 420

⁴²¹ The simulation results also show that for the NEAREST strategy with k < 7, the ⁴²² degree of polarization decreases with the group size. Moreover, the polarization reaches ⁴²³ a maximum for $k \sim N/2$ until $N \leq 14$. For larger groups, interacting with more than ⁴²⁴ k = 7 nearest neighbors reduces the degree of polarization, which becomes smaller as k⁴²⁵ increases (see S7 FigB and the particular case of N = 20 in Fig. 10F).

426 Collective behavior in swarm robotics experiments

We now present the results of a series of experiments with N = 5 robots exploiting the 427 three interaction strategies considered in the fish model. The robots are programmed 428 to reproduce the model behavior (Eqs. (4-15) in Materials and Methods), with model 429 parameters adapted to the different spatial and temporal scales of the robotic experi-430 mental setup (see S1 Table). In addition, robots operate a control procedure designed to 431 resolve collisions with the wall, and most importantly, with other robots (see Materials 432 and Methods). Indeed, contrary to point particle agents in the fish model or to real fish 433 swimming in shallow water (a truly 3D environment), robots moving on a strictly 2D 434 setup cannot physically cross each other. The robots hence combine a behavioral model 435 and an engineering-minded control system to deal with real-world physical constraints. 436 Our swarm robotic platform provides a concrete implementation of these two elements 437 and understanding their interplay and their combined impact on the collective behavior 438 of robots is certainly one of the main motivation of the experiments presented here. 439

Panels (DEF) of Figs. 4–8 show the results of the robotic experiments performed in the same conditions as those studied with the model, including the case where robots do not interact with each other (k = 0) and the case where each robot interacts with all its neighbors (k = 4). Counter-milling in robots is illustrated in S6 Fig, and the density maps of cohesion and polarization are shown in S3 Fig and S4 Fig. The robotic platform and the monitoring of a swarm of 5 robots in motion are shown in S2 Video.

Despite the fact that the spatial and temporal scales of the robotic platform have 446 been scaled at best to correspond to that of the fish experiments (in particular, 4×4 cm 447 square robots in an area of radius $R = 42 \,\mathrm{cm}$ vs elongated fish of typical length $3 \,\mathrm{cm}$ 448 swimming in a tank of radius $R = 25 \,\mathrm{cm}$, the border and other robots have a stronger 449 effect on a focal robot at short distance. Indeed, as explained above, the collision 450 avoidance protocol (see Materials and Methods) induces effective interactions between 451 the robots that have a longer range than the interactions between fish. In addition, the 452 square shape of the robot also makes them effectively bigger than if they were elongated 453 like fish. Hence, the rescaling of distances as measured in robot experiments is necessary 454 to be able to compare the different spatial distributions in fish and robot experiments, 455 although it does not affect polarization, counter-milling, or angular distributions. As a 456 result, we found a much smaller scaling factor than in model simulations: $\lambda_{\rm R} = 0.35$. 457 Note that once the optimal scaling factor is determined, it is kept fixed in all considered 458 situations (strategy to select the interacting neighbors and their number k). From now, 459 all distances in the robot experiments mentioned in this section are hence expressed 460 after rescaling to be comparable to corresponding distances in the fish experiments. 461

When k = 0, robots move independently from each other when they are sufficiently 462 far from each other, and tend to remain dispersed along the border of the arena (S5 463 Video). The group cohesion is weak (cohesion peaked at $C \sim 12 \,\mathrm{cm}$; Fig. 4DEF), and 464 the distance of the barycenter to the wall is large $(r_{\rm w}^B \sim 12 \,{\rm cm}; {\rm Fig. 6DEF})$. Robots are 465 relatively more cohesive and closer to the wall compared to the fish model for k = 0 due 466 to volume exclusion effects (two colliding robots can end up going in the same direction 467 as a result of the control procedure) and because the confining effects of the border of 468 the area are stronger in robots than in agents (see also S3 Fig and S6 Fig). Robots are 469 not polarized, as already observed in the fish model simulations for the same condition 470 k = 0 (Panels DEF in Figs. 5). 471

Interacting only with k = 1 nearest neighbor does not allow robots to coordinate their motion and move as a coherent group (see S6 Video). Panel (D) of Figs. 4–8 (cohesion; polarization; distance to the wall; angle with respect to the wall; counter-milling) show that the results for k = 1 are similar to those obtained for k = 0, with a marginal improvement of the group cohesion and polarization. On the other hand, when the robots interact with their most influential neighbor (S7 Video), the group is highly

cohesive $(C \sim 6.5 \text{ cm}; \text{ Fig. 4F})$ and highly polarized (large peak at P = 1 in Fig. 5F). 478 The robots collectively move close to the border $(r_{\rm w}^B \sim 7 \,{\rm cm}; {\rm Fig. 6F})$. Counter-milling is 479 also clearly visible (Fig. 8F, S7 Video and S6 Fig). Moreover, for the RANDOM strategy 480 with k = 1, the results are somewhat intermediate between those for the NEAREST and 481 MOST INFLUENTIAL strategies, in terms of cohesiveness, polarization, and counter-milling 482 (see Panel E in Figs. 4, 5, 8 respectively, and S8 Video). The similarity of the density 483 maps of cohesion and polarization with those found in fish experiment is the highest for 484 the MOST INFLUENTIAL strategy compared to the other two strategies (S3 Fig and S4 485 Fig). Overall, and as confirmed by the Hellinger distances listed in Table 2, the MOST 486 INFLUENTIAL strategy with k = 1 produces highly cohesive and polarized robot groups 487 leading to a qualitative agreement with fish experiments, whereas the NEAREST strategy 488 does not even lead to any significant group coordination. 489

Extending the interaction to the k = 2 nearest neighbors reinforces group coordination 490 (S9 Video): groups are more cohesive (the peak in the PDF of C decreases from around 491 $10 \,\mathrm{cm}$ for k = 1, to 7 cm), and simultaneously more polarized (S3 Fig). However, the 492 polarization remains weak compared to fish experiments, and even compared to the 493 MOST INFLUENTIAL strategy for k = 1: the PDF of P has a wide region of high values 494 centered in $P \approx 0.85$ and is not peaked at P = 1 (Fig. 5D). The high peak at P = 0.6495 reveals that situations in which groups of 4 robots move in the same direction while the 496 fifth robot moves in the opposite direction are quite frequent. Wide groups (C > 8 cm,497 Fig. 4D) moving far from the border $(r_w^B > 9 \text{ cm}, \text{ Fig. 6D})$ are still frequent, and 498 counter-milling is still barely visible (S6 Fig). On the other hand, interacting with the 499 two most influential neighbors definitively produces patterns that are similar to those 500 observed in fish experiments, especially if we consider the polarization, where the peak 501 at P = 1 clearly narrows and doubles its height (Fig. 5F and S10 Video), although the 502 improvement with respect to the MOST INFLUENTIAL strategy with k = 1 is small, or 503 even negligible, if we consider the counter-milling index (Fig. 8F). Again, the RANDOM 504 strategy with k = 2 leads to an overall much better agreement with fish experiments 505 than the NEAREST strategy with k = 2 (see Hellinger distances between PDF in Table 2). 506 Except for the weaker polarization, the results for the RANDOM strategy are similar to 507 the ones obtained for the MOST INFLUENTIAL strategy with k = 2 (see Table 2 and S11 508 Video). 509

For k = 3, the results for the NEAREST strategy (see S12 Video) improve drastically 510 and are in comparable agreement with fish experiments as the results for the RANDOM 511 strategy (S13 Video), and on par with those for the MOST INFLUENTIAL strategy for 512 k = 1, 2 (see Table 2). For the NEAREST and RANDOM strategies (sharing 2, and often 513 3, common neighbors for k = 3), groups are highly cohesive (Fig. 4DE) and polarized 514 (Fig. 5DE), with a narrower PDF of C than in fish experiments, pointing to the robot 515 groups having less internal fluctuations than fish groups. Accordingly, the PDF of r_w^B 516 (Fig. 6DE) is peaked at the same value as in fish experiments, $r_{\rm w}^B \approx 5.5$ cm, but is again 517 narrower, with much less weight at distances $r_{\rm w}^B > 8 \,{\rm cm}$. The PDF of $\theta_{\rm w}^B$ (Fig. 6DE) is in 518 good agreement with fish experiments, and counter-milling is clearly obtained (S6 Fig). 519 When robots interact with k = 4 neighbors (S14 Video), the results are very similar to 520 the case k = 3 within the non negligible statistical fluctuations due to our shorter robot 521 experiments compared to the fish experiments and fish model simulations. 522

In conclusion, many of the results of the robotic experiments are qualitatively similar to those found in the simulations of the model, despite the robots being submitted to real-world physical constraints. Yet, for robots, the MOST INFLUENTIAL strategy with k = 1 is found to lead to cohesive and polarized groups (like in the model), while the NEAREST strategy with k = 1 does not lead to any significant group coordination (weaker coordination for the model in a confining domain, but no cohesive groups in an unbounded domain).

530 Discussion

Collective motion involving the coherent movements of groups of individuals is primarily 531 a coordination problem. Each individual within a group must precisely adjust its 532 behavior to that of its neighbors in order to produce coordinated motion. Determining 533 how these relevant neighbors are selected at the individual scale is therefore a key 534 element to understand the coordination mechanisms in moving animal groups. Previous 535 experimental works on fish and birds have identified interacting neighbors using short-536 term directional correlations [17,34] or anisotropy of the position of the nearest neighbors 537 [21]. In a starling flocks (Sturnus vulgaris), each bird coordinates its motion with a finite 538 number of closest neighbors (typically seven), irrespective of their distance [21]. However, 539 in fish schools, experimental studies suggest that each individual only interacts with a 540 smaller number of influential neighbors. For instance, in the mosquitofish (Gambusia 541 holbrooki), each fish mostly interacts with a single nearest neighbor [35]. In the rummy 542 nose tetra (*Hemigrammus rhodostomus*) during collective U-turns [28, 36], the analysis 543 of directional correlations between fish suggests that each fish mainly reacts to one or 544 two neighbors at a time [28]. These results are in line with theoretical works that have 545 suggested that, instead of averaging the contributions of a large number of neighbors, 546 as suggested by many models [18–20, 23, 37, 38], individuals could pay attention to only 547 a small number of neighbors [25–28,39]. This mechanism would overcome the natural 548 cognitive limitation of the amount of information that each individual can handle [33]. 549

Here, we addressed this question in groups of five *H. rhodostomus* swimming in a circular tank. This species of fish is of particular interest because of its tendency to form highly polarized groups and its burst-and-coast swimming mode [14], which allows us to consider that each fish adjusts its heading direction at the onset of each bursting phase, that is labeled as a "kick". Just before these brief accelerations, a fish integrates and filters the information coming from its environment and picks its resulting new heading.

In our experiments, groups of five fish remain highly cohesive, almost perfectly polarized, and swim along and close to the wall of the tank, keeping the same direction of rotation for very long periods [36]. Fish groups also display a remarkable counter-milling collective behavior where individual fish rotate around the group barycenter in the opposite direction to that of the group in the tank, so that individuals alternate their positions at the front of the group.

Based on a previous work in which we have reconstructed and modeled the form of the 562 interactions of *H. rhodostomus* fish swimming in pairs [14], we analyzed three strategies 563 for combining the pairwise interactions between a focal fish and a number k = 1 to 3 of 564 its neighbors by means of a computational model and a robotic platform. In the NEAREST 565 strategy, neighbors are selected according to their distance to the focal individual. In 566 the RANDOM strategy, neighbors are randomly chosen, and in the MOST INFLUENTIAL 567 strategy, neighbors are selected according to the intensity of their contribution to the 568 heading variation of the focal individual. The impact of these strategies on the resulting 569 collective behavior was then measured and analyzed by means of five quantities: group 570 cohesion, group polarization, distance and relative orientation of the barycenter with 571 respect to the border of the tank, and counter-milling index. 572

Our results suggest that when individuals (agents or robots) interact with a minimal number of neighbors, namely two, a group of individuals is able to reproduce the main characteristics of the collective movements observed in the fish experiments.

In the simulations of the model for N = 5, when the agents are interacting with a single neighbor, this immediately leads to the formation of groups. Whatever the strategy used to select a neighbor, the quantities used to quantify group behavior show that the exchange of information with a single neighbor leads agents to get closer to each other, at least temporarily for the NEAREST strategy. However, whatever the strategy considered, cohesion, polarization, and counter-milling are still weak compared to fish experiments, although the MOST INFLUENTIAL strategy convincingly leads to the best group coordination for k = 1.

The simulations of the model in an unbounded domain show that group cohesion is 584 maintained over long periods of time when agents only interact with their most influential 585 neighbor, provided the attraction range is above a critical threshold distance. However, 586 when agents only interact with their nearest neighbor, this systematically leads to the 587 diffusive dispersion of the group. For groups of size up to N = 70, interacting with the 588 most influential neighbor leads to compact groups, while one needs to consider typically 589 at least $\sim N/2$ nearest neighbors to achieve the same result for the NEAREST strategy. 590 Therefore, the cohesion of the group observed in the arena is not a merely consequence 591 of the confinement of the agents, but mainly results from the higher quality of the 592 information provided by the influential neighbors in comparison to the one provided by 593 the nearest neighbors. 594

Then, when agents acquire more information about their environment (k=2), all 595 the interaction strategies implemented in the model give rise to collective behaviors that 596 are in qualitative agreement with those observed in the experiments with fish, and a 597 quantitative agreement is even reached for some quantities characterizing group behavior 598 (see Table 1). When agents collect even more information about their environment 599 (*i.e.*, when they pay attention to k = 3 neighbors), the agreement with fish experiments 600 is not improved if the neighbors are chosen according to their influence. However, 601 groups become more cohesive and polarized when the agents interact with their nearest 602 neighbors. Yet, for k = 3, the three strategies lead to comparable results, which is 603 consistent with the facts that two strategies have necessarily at least two common 604 neighbors for groups of five individuals. Note that for k = 2 and k = 3, and for all 605 three strategies, the intensity of the counter-milling is larger in the model than in fish 606 experiments, suggesting that the internal structure of real fish groups is more rigid than 607 predicted by the model. 608

In summary, the simulation results clearly indicate that group behaviors similar to those observed in fish experiments can be reproduced by our model, provided that individuals interact with at least two of their neighbors at each decision time and no clear gain is obtained when agents interact with a third additional neighbor. When only one interacting neighbor is considered, the MOST INFLUENTIAL strategy leads to the best group coordination, which even survives when the group moves in an unbounded domain.

By implementing the behavioral fish model and the same local interaction strategies 616 in our robotic platform, we also investigated the impact of the physical constraints and 617 the collision avoidance protocol based on speed control on the group behavior. The 618 MOST INFLUENTIAL strategy is much more efficient than the two other strategies to 619 ensure group cohesion and polarization (see Table 2). Remarkably, and as already 620 observed in the model simulations, even when robots only interact with their most 621 influential neighbor, the group remains highly cohesive and polarized, and close to the 622 border. By contrast, when robots only interact with their nearest neighbor, they are 623 not able to exhibit any kind of coordinated behavior. Everything happens as if pairwise 624 interactions between robots were screened by the effect induced by the collision avoidance 625 protocol: the distributions of the group cohesion, the polarization, and the distance 626 of the barycenter of the group to the border of the tank are almost identical to those 627 obtained with the null model, in which no interaction exists between robots except for 628 collision avoidance. When robots interact with two neighbors, the agreement with the 629 results of fish experiments is improved, but it is only when robots interact with three 630 nearest neighbors that the NEAREST strategy produces highly cohesive and polarized 631 groups. 632

⁶³³ Overall, and even more convincingly than in the case of the fish model, the MOST

⁶³⁴ INFLUENTIAL strategy leads to the best overall agreement with fish experiments for k = 1⁶³⁵ and k = 2, even producing strongly coordinated groups for k = 1. Compared to the ⁶³⁶ case of the fish model, the NEAREST strategy does not lead to any significant group ⁶³⁷ coordination for k = 1, and only to moderately cohesive and polarized groups for k = 2, ⁶³⁸ yet being even less efficient than the RANDOM strategy. The robot collision avoidance ⁶³⁹ protocol induces a strong effective repulsion between close neighbors, which screens the ⁶⁴⁰ behavioral interactions for the strategy based on these nearest neighbors.

In vertebrates, and in particular in fish, the midbrain and forebrain networks are 641 carrying out computation in parallel to process the visual information and select the 642 most salient stimuli that are the focus of attention. The midbrain network continuously 643 monitors the environment for behaviorally relevant stimuli [40]. This is a primary site 644 where the information about the neighbors is filtered for cognitive decision. Then, the 645 forebrain network selects those stimuli on which the fish focuses its attention. The 646 interaction strategies that we have investigated in this work correspond to the different 647 ways for an individual to focus its attention on the stimuli (*i.e.*, its relevant neighbors). 648 Our results show that each fish interacts with one or two neighbors that are the most 649 salient, a process which reduces the amount of information that needs attention. 650

In conclusion, each individual must acquire a minimal amount of information about the behavior of its neighbors for coordination to emerge at the group level, thus allowing individuals to avoid information overload when they move in large groups [33].

654 Materials and Methods

Fish experiments

Ethics statement. Our fish experiments have been approved by the Ethics Committee
 for Animal Experimentation of the Toulouse Research Federation in Biology N° 1 and
 comply with the European legislation for animal welfare.

Study species. Rummy-nose tetras (*Hemigrammus rhodostomus*) were purchased from Amazonie Labège (http://www.amazonie.com) in Toulouse, France. Fish were kept in 1501 aquariums on a 12:12 hour, dark:light photoperiod, at $25.2 \,^{\circ}\text{C} \,(\pm 0.7 \,^{\circ}\text{C})$ and were fed *ad libitum* with fish flakes. The average body length of the fish used in these experiments is $31 \,\text{mm} \,(\pm 2.5 \,\text{mm})$.

Experimental setup. We used a rectangular experimental tank of size 120×120 cm, 664 made of glass, supported by a structure of metal beam 20 cm high. A plywood plate 665 was interposed between the mesh and the basin to dampen the forces exerted on the 666 glass basin by its own weight and water. This structure also enables the attenuation 667 of vibrations. The setup was placed in a chamber made by four opaque white curtains 668 surrounded by four LED light panels to provide an isotropic lighting. A circular tank of 669 radius R = 250 mm was set inside the experimental tank filled with 7 cm of water of 670 controlled quality (50%) of water purified by reverse osmosis and 50% of water treated 671 by activated carbon) heated at 24.9 °C (± 0.8 °C). Reflection of light due to the bottom 672 of the experimental tank is avoided thanks to a white PVC layer. 673

Each trial started by placing groups of N = 5 fish randomly sampled from the breeding tank into the circular tank. Fish were let for 10 minutes to habituate before the start of the trial. A trial then consisted of one hour of fish freely swimming in the circular tank with experimenters out of the room. Fish trajectories were recorded by a Sony HandyCam HD camera filming from above the setup at 25 Hz (25 frames per second) in HDTV resolution (1920×1080p). We performed 11 trials with groups of N = 5 fish, and for each trial, we used different fish taken from the breeding tank.

681 Swarm robotic platform

Robots. We used a swarm robotic platform composed by small compact mobile robots that we named "Cuboids", a name chosen in reference to the first realistic computer program that simulated the flocking behavior in birds and the schooling behavior in fish, called "Boids", developed in 1986 by Craig Reynolds [41]. The Cuboids robots were specifically designed by us for this experiment.

Cuboids have a square basis of 40×40 mm, they are 60 mm high and weigh 50 g 687 (Fig. 11). We now describe the elements of a Cuboid (numbers between parentheses 688 refer to labels in Fig. 11). Each robot is equipped with two differential wheels (7) 689 driven by small DC motors (13). The small belts (9) connect wheels to the DC motors, 690 which can drive the robot with a maximum speed of $50 \,\mathrm{mm/s}$. The two wheels are 691 mounted on a central axis (6). An IEEE 802.11n/WIFI module (8) with a range of 692 approximately 200 m is used for communication network between robot and a wireless 693 router. A Li-Poly rechargeable battery (15) provided energy for about 6 hours in our 694 experimental conditions. In addition, a coil (12) located under the robot, can be used 695 to charge the robot wirelessly while it is working. The charging circuit is located on 696 the side board (11). The robot bottom hosts a 32-bit, 168 MHz ARM microprocessor 697 STM32F4 (14), which can provide multi control loops with the time duration up to 698 2 ms. Besides, another 8-bit microcontroller PIC18F25k22 is mounted on the top sensor 699 board (1), which controls a LCD screen (16) to display information and a 3-colors 700 LED (17). The microprocessor communicates with the microcontroller by 4 copper 701 bars (4), which can simultaneously provide power and communication bus. 702

Each Cuboid also has several sensors to measure the relative positions of other robots 703 in its neighborhood and to send and receive messages from these robots. Within a 704 sensing range of about 20 cm, a robot can send messages (infrared signals) by the center 705 IR transmitter (3). There are two IR receivers (2) on both sides of the robots, which 706 can determine the distance of a neighboring robot that transmits the infrared signal. 707 From the two distance values provided by the IR receivers, the angle with which this 708 neighboring robot is perceived by the focal robot can be calculated by triangulation. 709 Furthermore, the relative position of the neighboring robot to the focal one can be 710 711 computed by the information of the distance and the angle of perception acquired before. On the other side, the IR signal also carries a short message that includes information 712 on robot ID, orientation angle, speed and states. The heading of a Cuboid is measured 713 by a motion tracking sensor MPU-9250 (18). This device consists of a 3-Axis gyroscope, 714 a 3-Axis accelerometer, and a 3-Axis magnetometer. Hence, the MPU-9250 is a 9-axis 715 Motion Tracking device that also combines a Digital Motion Processor. With its I2C bus 716 connected with PIC18F25K22, the MPU-9250 can directly provide complete 9-axis 717 Motion Fusion output to the microcontroller. These sensing and local communication 718 devices have not been used in the experiments that have been done in a supervised 719 mode. 720

Experimental platform. The robotic experimental setup consisted of a circular 721 arena of radius $420 \,\mathrm{mm}$ resting on a $1 \times 1 \,\mathrm{m}$ square flat surface with a camera (Basler 722 piA2400-17gc) mounted on the top (see Fig. 12). The setup was placed in a chamber 723 made by 3 opaque wooden boards and 1 white curtain. 2 LED light panels provide a 724 diffused lighting. A circular cardboard wall of radius R = 420 mm delimited the border 725 of experimental platform. The floor of the experimental platform was made with a rough 726 wooden board that prevented the reflections of light. A computer is connected to the 727 camera to supervise the actions of the robots in the arena, and to perform the necessary 728 image processing to track each robot and compute in real time its position (x, y) and 729 heading angle ϕ . 730

The loop cycle of the imaging process module is 300 ms, a limit imposed by the

camera updating speed. A tracking software (Robots ID Tracker) based on the Kalman 732 filter technology, is then used to assign the location data to the right robots on a shorter 733 time scale (every 20 ms). These data are used in real time to control the reaction 734 of each robot in its changing environment, and are also stored in the computer for 735 off-line *a posteriori* trajectory analysis. Thanks to the high precision of our tracking 736 system, we are able to compute in real time and for each robot i the quantities that 737 characterize its instantaneous state with respect to its environment: the distance and 738 relative orientation to the wall $r_{w,i}$ and $\theta_{w,i}$, and the distance, relative angular position, 739 and relative orientation with respect to each neighbor j, d_{ij}, ψ_{ij} and ϕ_{ij} , respectively 740 (Fig. 2). All this information is used to compute the output of the interactions of a 741 robot with its local environment by means of an Object-Oriented Programming software 742 developed by us. Then, we compute the result of the mathematical model that controls 743 the robot behavior, which combines the interactions with the obstacles and with the 744 other robots, and generates the control signals dispatched in a distributed way to each 745 individual robot through a WIFI communication router (HUAWEI WS831). 746

Although the robot has its own sensors to ensure it autonomous control and movements, in this work, we used a remote-control mode. This is because our goal was to compare the performances between the software simulation and the robot experiment with the same computational model and the same local information input (see the Hardware In Loop simulation in Fig. 13; [42]). There exists a real time control loop that ensures the safe movement of each robot and which help a robot to rotate towards the new target place and move straight to the target place.

Fig. 13 (red and blue boxes) shows the "hardware in loop" (HIL) simulation used to 754 control the Cuboids robots. The HIL simulation integrates the robots hardware into 755 the distributed control loops of the platform computer software. As such, it differs 756 from a traditional software simulation, being a semi-real one. Compared with pure 757 theoretical simulations "in silico" (*i.e.*, the software simulation box in Fig. 13), the HIL 758 simulation integrates both the hardware constraints (*i.e.*, the mechanical constraints 759 of the robots, the time delay of the control loop which includes the shooting by the 760 camera, the time of calculation and sending orders by the WIFI router) and those that 761 result from the movement of the robots in a physical environment, in particular the need 762 to avoid collision with obstacles and other robots (see the blue box in Fig. 13). The 763 main difference between the HIL simulation and the software simulation is the real time 764 control of the behavior of each robot, which is achieved by the Motion Control and Real 765 *Time Control* modules (see the red box in Fig. 13). 766

The Motion Control mechanism includes two motion patterns: the first one is a
 Spot rotation, which means that the robot rotates around its center by means of wheels
 differential driving. The speed control of the two wheels is described by the following
 equation:

$$V_{\mathrm{R},i} = -V_{\mathrm{L},i} = p_t \,\delta\phi_{\mathrm{c}i},$$

where $V_{\mathrm{R},i}$ and $V_{\mathrm{L},i}$ are the speeds of the right and left wheels of the robot respectively, p_t is a constant factor of proportionality, and $\delta\phi_{\mathrm{c}i}$ is the real-time value of the heading variation which is determined by the Real Time Control module. The other motion $p_{\mathrm{r}4}$ pattern of the robot is *Moving straight*. When this happens, the speeds of the left and right wheels are the same and we have:

$$V_{\mathrm{R},i} = V_{\mathrm{L},i} = p_m \, l_{\mathrm{c}i}$$

where p_m is a constant factor of proportionality and l_{ci} is the value of kick length which is also determined by the Real Time Control module.

The Real Time Control module ensures the safe movement of robots. This module first converts the decision of the Computational Model $(\delta \phi_i(t_{\text{dec}}), l_i(t_{\text{dec}}))$ at the ⁷⁸⁰ last decision time t_{dec} into a real-time decision that is then performed by the robot, ⁷⁸¹ $(\delta\phi_{ci}(t_{dec}), l_{ci}(t_{dec})), t > t_{dec}$. The Algorithm below provides the details of the Real ⁷⁸² Time Control module.

=	
A	Algorithm of the Real Time Control module
I	nput:
	Computational Model decision: $(\delta \phi_i(t_{\text{dec}}), l_i(t_{\text{dec}}))$.
0	utput:
	Real-time decision: $(\delta \phi_{ci}(t_{dec}), l_{ci}(t_{dec}))$
	1. $\delta \phi_{ci}(t) = \phi_i(t_{dec}) + \delta \phi_i(t_{dec}) - \phi_{ci}(t), \ t > t_{dec}.$
	2. $l_{ci}(t) = l_i(t_{dec}) - \sqrt{\left(x_{ci}(t) - x_i(t_{dec})\right)^2 + \left(y_{ci}(t) - y_i(t_{dec})\right)^2}$.
	3. If $ \delta\phi_{ m ci}(t) >\delta\phi_{ m Threshold},$ then
	Do Spot rotation for Motion Control in real-time.
	else
	Do Moving straight for Motion Control in real-time.
	4. If $ \delta\phi_{ m ci}(t) < \delta\phi_{ m Threshold}$ and $l_{ m ci}(t) < l_{ m Threshold}$, then
	the target is reached;
	Goto Compute state (computational model) for a new decision.
	5. If the path is not free, then
	Do Obstacle Avoidance procedure.
	6. End

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Implementation of the behavioral model in the robots. We use the LabVIEW 805 object-oriented programming (OOP) tool to design the distributed control software 806 for the Cuboids robots (Fig. 13). It first establishes independent memories for each 807 robot as an agent to store real time information, such as robot ID, location and heading 808 $(x_{ci}(t), y_{ci}(t), \phi_{ci}(t))$ at time t, and real time decision $(\delta \phi_{ci}(t), l_{ci}(t))$. We design a state 809 machine control structure to implement the HIL simulation control for each robot. With 810 the new speed control command determined by the *Motion Control* module, the actuators 811 of the robot are controlled wirelessly by the WIFI signals sent by the computer. The 812 robot controls its wheels to move towards the new target place while LED colors display 813 the state of the robot. 814

Robots use a constant kick length $l_i(t_{dec})$ of around 8 cm, that is, twice the body length of a robot, which corresponds to the mean kick length measured in experiments with five fish. Using a constant straight step also allows to check if the new target place can be reached or not, in particular, to prevent the case where the agent could be intercepted by another agent, in which case the distance traveled by the agent will be shorter than $l_i(t_{dec})$.

The state machine control structure for an individual robot includes two main states: COMPUTE state and MOVE state (Fig. 14). The robots are programmed to perform a burst-and-coast movement mimicking the swimming mode of fish. When a robot is in the COMPUTE state at time t_{dec} , the computational model determines a new decision $\delta\phi_i(t_{dec}), l_i(t_{dec}))$ (see hereafter and [14] for the description of the model; the model 838

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parameter for the robots are listed in S1 Table). After that, the robot switches to the 826 MOVE state and adjusts its wheels to move towards the decision place in real time 827 thanks to the Motion Control and Real Time Control modules. Since other robots are 828 moving around asynchronously, the robot must avoid these dynamic obstacles while 829 being in the MOVE state. To prevent collisions between robots, we designed and 830 implemented an obstacle avoidance protocol. When no valid targets can be generated 831 during the COMPUTE state (due to the impediment imposed by nearby robots), the 832 robot generates a valid target place by means of a scanning method and, alternatively, 833 just moves back over a short distance. However, this circumstance rarely occurs in our 834 experiments (except in the absence of behavioral interactions, k = 0; see S5 Video). 835

We describe below the two states and the additional procedures used to avoid collisions 836 with dynamical obstacles. 837

- COMPUTE State: This state generates a new decision $(\delta \phi_i(t_{dec}), l_i(t_{dec}))$ for the focal robot by means of the computational model, which is programmed in MATLAB. In this state, the robot takes the information about its local environment $(r_{w,i}, \theta_{w,i})$ and selects the neighbors to be taken into account corresponding to the current local interaction strategy. Then, the robot computes the variation of its heading angle $\delta \phi_i(t_{\text{dec}})$ that, combined with the kick length $l_i(t_{\text{dec}})$, determines a new target place. The location of the new target is then checked and validated by the OOP software so as to avoid any collision with static obstacles, before the robot switches to the MOVE state (see Fig. 14). While a robot is in the COMPUTE State, the white LED light is turned on.
- MOVE State: In this state the robot evaluates whether its heading angle ϕ_{ci} is aligned with the new pace target. If the deviation $\delta \phi_{ci}$ is too large, the robot first rotates towards the target and then moves straight until it reaches the target, thanks to the *Motion Control* module. Then, when the robot successfully reaches the target, it returns to the COMPUTE state to determine a new target. While a robot is in the MOVE State, the green LED light is turned on.
- Obstacle Avoidance Protocol: This procedure is triggered as soon as the target 854 path of the focal robot i crosses the safety zone of another robot j. The safety 855 zone is a circular area around a robot of diameter of 80 mm. In this case, the 856 focal robot i first stops and computes whether it can continue moving or not, 857 according to the information it has about the distance d_{ij} and relative angular position ψ_{ij} of the neighboring robot. If the focal robot has the moving priority 859 (determined by a large value of the angle of perception, $|\psi_{ij}| > 90^\circ$, meaning that 860 the robot is a temporary leader [14]), or if the distance is larger than the diameter 861 of the circle of security $(d_{ij} > 80 \text{ mm}, \text{ meaning that the robot } j \text{ is far enough}),$ 862 the moving condition is satisfied and the focal robot i successfully switches back 863 into the MOVE state. If not, it repeatedly checks the values d_{ij} and ψ_{ij} until the 864 moving condition is satisfied. If the focal robot cannot go back into the MOVE 865 state within 3 seconds, it toggles to the COMPUTE state to determine a new 866 target. 867
 - No Valid Target Procedure: This procedure is triggered when the robot is in the COMPUTE state and cannot generate a valid target place within 3 seconds. In this situation, the robot scans the local environment from its front to the nearest neighbor located at one of its sides. If there exists a free space for generating a target place, the robot toggles to the MOVE state. If, after scanning, no free space is available for moving, the robot moves back over a predefined distance of 80 mm (approximately two robot body lengths) and then toggles to the COMPUTE state to determine a new target place.

In the robotic experiments, we performed one experiment for each combination of interactions with about 8000 kicks in average for all the 5 robots. The duration time of experiments performed for each condition was the following:

- Interacting with k = 1, 2 and 3 nearest neighbors: 61, 62 and 63 min respectively.
- Interacting with k = 1, 2 and 3 randomly chosen neighbors: 65, 128 and 48 min respectively.
- Interacting with k = 1 and 2 most influential neighbors: 68 and 82 min respectively.
- Interacting with k = 0 and k = 4 neighbors: 150 min in both cases.

⁸⁸⁴ Data extraction and preprocessing

Fish data were extracted from videos recorded during 11 sessions along 11 days in 2013, by means of idTracker software version 2.1 [43], producing 11 data files with the position (in pixels) of each fish in each frame, with a time step of $\Delta t = 0.04$ s (corresponding to images taken with a frequency of 25 fps). Data were located in a rectangle of size [471.23, 1478.48] × [47.949, 1002.68] containing the circular tank of diameter 50 cm. The conversion factor from pixels to meters is 0.53×10^{-3} m/pix. The origin of coordinates T(0,0) is set to the center of the tank (Fig. 1).

We found that trajectory tracking was satisfactorily accurate. However, fish were 892 often misidentified, making impossible the direct use of the data provided by the tracking 893 system. We thus implemented a procedure of identity reassignment that provided us 894 with the proper individual trajectories. In short, the procedure is a sorting algorithm 895 where fish identities are successively reassigned in such a way that the coordinates of 896 each fish at the next time step are the closest ones to the coordinates they had at the 897 previous time. That is, the fish i at time t is assigned the coordinates of fish j at time 898 $t + \Delta t$ that minimize the distance covered by the 5 fish. 899

Data were then grouped in a single file, counting 1.077.300 times, *i.e.*, almost 12 hours 900 where the position of each fish is known. Then, times where at least one fish freezes 901 were removed. Fish often remain stationary. We considered that a fish is at rest when 902 the distance covered in 60 frames is smaller than 30 pixels, that is, when the mean speed 903 is smaller than $6.6 \,\mathrm{mm/s}$ during at least 2.4 seconds. We discarded more than half of 904 the data using this procedure (around 5.5 hours of data remaining). We then extracted 905 the continuous sequences lasting at least 20 seconds, obtaining 293 sequences for a total 906 duration of around 3h 10mn. 907

Fish trajectories were then segmented according to the burst-and-coast typical behavior of this species [14] (see Fig. 1C). We used a time window of 0.2 s to find the local minima of the velocity. These points are used to define the onset of a kick event. We detected 60312 kicks, which means that a fish makes in average around 1 kick/s.

In [14], no statistically meaningful left/right asymmetry in the trajectories of single 912 fish (~ 300000 kicks recorded) or pairs of fish (~ 200000 kicks recorded) was observed. 913 Hence, for any observed trajectory, the mirror trajectory (that is the same one, but 914 as observed from the bottom of the tank instead of from the top) would have exactly 915 the same probability to be observed. Assuming the absence of left/right asymmetry for 916 groups of 5 fish (as observed for 1 and 2 fish), leads to the same conclusion. Groups 917 of 5 fish (as well as groups of 5 model fish or 5 robots) rotate clockwise (CW) or 918 counter-clockwise (CCW) around the center of the tank for long periods (collective 919 U-turns in groups of 2-20 fish have been studied in [36]). Therefore, for the much shorter 920 present fish (and especially robots) experiments compared to [14] (60312 recorded kicks, 921 instead of \sim 500000), one would observe an artificial asymmetry (groups turning more 922 often CW than CCW, or the opposite) only due to the lack of statistical sampling of the 923

rare collective direction changes. In order to avoid this artificial asymmetry, for each 924 set of 5 trajectories (fish and robots), we have added the mirror set (the trajectories 925 as seen from the bottom of the tank). Again, this procedure is perfectly sound once 926 the absence of left/right asymmetry observed in very long 1- and 2-fish experiments is 927 reasonably assumed to hold in our present 5-fish experiments (the model and its version 928 implemented in robots have obviously no left/right asymmetry, per construction). Note 929 that only the distribution of θ^B_{w} is affected by this symmetrization procedure, and not 930 the distributions of group cohesion, polarization, distance to the wall, counter-milling 931 index (the latter being a relative quantity), which are invariant by the mirror symmetry. 932

To calculate the heading angle of a fish at time t, we considered that the direction of motion is well approximated by the velocity vector of the fish at that time t. The heading angle $\phi(t)$ of a fish is thus given by the angle that its velocity $\vec{v} = (v_x, v_y)$ makes with the horizontal line, that is,

$$\phi(t) = \text{ATAN2}\left(v_y(t), v_x(t)\right). \tag{1}$$

Positive angles are measured in counter-clockwise direction and ATAN2 returns a value in $(-\pi, \pi]$. The components of the velocity are estimated with backward finite differences, $i.e., v_x(t) = (x(t) - x(t - \Delta t))/\Delta t$ and $v_y(t) = (y(t) - y(t - \Delta t))/\Delta t$.

The robot trajectories were extracted with a custom-made tracking software based on Kalman filter and pattern recognition technology [44]. Data were recorded every $\Delta t = 0.04$ s, and trajectories were then subjected to the same treatment.

943 Computational model

Hemigrammus rhodostomus displays a "burst-and-coast" swimming behavior characterized by sequences of sudden speed increases called "kicks", each followed by a quasi-passive
deceleration and gliding period along a near straight line until the next kick (S1 Video,
S3 Video). The decisions of the fish to change their heading are considered to occur
exactly at the onset of the accelerations/kicks [14].

We use the same model for the agents in the simulations and to control the decisions of the robots in the experiments, albeit with different parameters to accommodate for the different spatial and temporal scales in the two cases (see S1 Table).

The new vector position \vec{u}_i^{n+1} of an agent *i* (fish or robot) at time step n+1 is determined by the following discrete decision model:

$$\vec{u}_i^{n+1} = \vec{u}_i^n + l_i^n \, \vec{e} \, (\phi_i^{n+1}), \tag{2}$$

$$\phi_i^{n+1} = \phi_i^n + \delta \phi_i^n,\tag{3}$$

where l_i^n is the kick length of this agent at time step n + 1 (*i.e.*, the distance traveled until the next kick), $\vec{e}(\phi_i^{n+1})$ is the unitary vector pointing in the new direction of angle ϕ_i^{n+1} , and $\delta\phi_i^n$ is the heading variation of the agent at time step n + 1, resulting from the decision process of the agent (Fig. 1C).

The kick length is sampled from the bell-shaped distribution of kick lengths obtained 958 in our experiments of fish swimming in pairs [14], whose mean value is l = 7 cm. When 959 the new computed position of the agent would be outside of the tank, a new kick length 960 is sampled from the distribution. The typical speed of fish right after a kick was found 961 to be $v_0 \sim 14 \,\mathrm{cm/s}$, and the speed was then found to decay exponentially during the 962 gliding phase, with a relaxation time $\tau_0 = 0.8 \,\mathrm{s}$ (a feature implemented in the model 963 in [14]). The duration of the time step n+1 is thus determined by the length of the 964 kick and the peak speed of the fish [14]. 965

⁹⁶⁶ The variation of the heading angle from one kick to another is sum of the variations

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⁹⁶⁷ induced by the environment of the agent, that is,

$$\delta\phi_i^n = \delta\phi_{\mathbf{w},i}^n + \delta\phi_{\mathbf{R},i}^n + \sum_{\langle j,i\rangle} \delta\phi_{ij}^n,\tag{4}$$

where $\delta \phi_{\mathbf{w},i}^n$ is the angular variation caused by static obstacles (the wall of the fish tank or the border of the robot platform), $\delta \phi_{\mathbf{R},i}^n$ is a random Gaussian white noise reflecting the spontaneous fluctuations in the motion of the fish, and $\delta \phi_{i,j}^n$ is the angular variation induced by the social interaction of the focal agent *i* with its neighbor *j*. The notation $\langle j, i \rangle$ indicates that the sum is performed over the interacting neighbors *j* of *i*, whose identity depends on the interaction strategy considered.

Each contribution to the angle variation can be expressed in terms of decoupled functions of the instantaneous state of the agents, that is, the distance and relative orientation to the wall $r_{\rm w}$ and $\theta_{\rm w}$, and the distance d, viewing angle ψ , and relative alignment ϕ between the focal fish and its considered neighbor (see Fig. 2A). The derivation of these functions is based on physical principles of symmetry of the angular functions and a sophisticated reconstruction procedure detailed in Calovi *et al.* [14] for the case of *H. rhodostomus* and in [15] for the general case of animal groups.

For completeness, we show these functions in S8 Fig and present here their analytical expressions with the parameter values necessary to reproduce the simulations.

• The repulsive effect of the wall is a centripetal force that depends only on the distance to the wall $r_{\rm w}$ and the relative angle of the heading to the wall $\theta_{\rm w}$. Assuming that this dependence is decoupled, *i.e.*, $\delta\phi_{\rm w}(r_{\rm w}, \theta_{\rm w}) = F_{\rm w}(r_{\rm w}) O_{\rm w}(\theta_{\rm w})$, we have:

$$F_{\rm w}(r_{\rm w}) = \gamma_{\rm w} \exp\left[-\left(\frac{r_{\rm w}}{l_{\rm w}}\right)^2\right], \quad O_{\rm w}(\theta_{\rm w}) = \beta_{\rm w} \sin(\theta_{\rm w}) \left(1 + 0.7\cos(2\theta_{\rm w})\right), \quad (5)$$

where $\gamma_{\rm w} = 0.15$ is the intensity of the force $(F_{\rm w}(0) = \gamma_{\rm w})$, $l_{\rm w} = 0.06$ m is the range of the wall repulsion, and $\beta_{\rm w} = 1.9157$ is a normalization constant of the angular function $O_{\rm w}(\theta_{\rm w})$, so that the mean of the squared function in $[-\pi, \pi]$ is equal to 1, that is, $(1/2\pi) \int_{-\pi}^{\pi} O_{\rm w}^2(\theta) d\theta = 1$. All angular functions are normalized in this way, in order to allow the direct comparison of their shape in the different interactions. These parameter values are those used in the model simulations. They also appear in S1 Table, together with the values used in the experiments with robots.

• The intensity of the stochastic spontaneous variation of heading $\delta \phi_{\rm R}$ depends on the distance to the wall $r_{\rm w}$, and decreases as the fish gets closer to the wall and becomes constrained by the boundary of the tank:

$$\delta\phi_{\rm R}(r_{\rm w}) = \gamma_{\rm R} \left(1 - \alpha \exp\left[-\left(\frac{r_{\rm w}}{l_{\rm w}}\right)^2 \right] \right) g,\tag{6}$$

where $\gamma_{\rm R} = 0.45$, $\alpha = 2/3$, and g is a random number sampled from a standard normal distribution (zero mean; unit variance). Random variations are minimal at the border, where $r_{\rm w} = 0$, $\delta\phi_{\rm R} = \gamma_{\rm R}(1-\alpha)g$, and become larger as the individual moves away from the border, *i.e.*, as $r_{\rm w}$ grows. Far from the border, the exponential goes to zero and $\delta\phi_{\rm R} = \gamma_{\rm R}g$.

• The interaction between agents can be decomposed into two terms of attraction and alignment which depend only on the relative state of both interacting agents:

$$\delta\phi_{ij}(d_{ij},\psi_{ij},\phi_{ij}) = \delta\phi^{ij}_{\text{Att}} + \delta\phi^{ij}_{\text{Ali}},\tag{7}$$

$$= \delta \phi_{\text{Att}}(d_{ij}, \psi_{ij}, \phi_{ij}) + \delta \phi_{\text{Ali}}(d_{ij}, \psi_{ij}, \phi_{ij}), \qquad (8)$$

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where the relative state of fish j with respect to fish i is given by d_{ij} , the distance between them; ψ_{ij} , the viewing angle with which fish i perceives fish j; and $\phi_{ij} = \phi_j - \phi_i$, the difference between their heading angle.

We then define the *influence* $\mathcal{I}_{ij}(t)$ of a neighbor j on a focal individual i as the absolute contribution of this neighbor to the instantaneous heading change of the focal individual $\delta \phi_i(t)$ in Eq. (4), that is, for $j = 1, \ldots, N, j \neq i$:

$$\mathcal{I}_{ij}(t) = \left| \delta \phi_{\text{Att}}^{ij}(t) + \delta \phi_{\text{Ali}}^{ij}(t) \right|. \tag{9}$$

This precise definition is central to the implementation of the MOST INFLUENTIAL interaction strategy involving the k most influential neighbors of a given focal fish i (*i.e.*, the k neighbors with the largest influence $\mathcal{I}_{ij}(t)$)

Following [14], we assume that both the attraction and the alignment functions $\delta \phi_{Att}^{ij}$ and $\delta \phi_{Ali}^{ij}$ can be decomposed as the product of three functions that each depend on only one of the three variable determining the relative state of the two fish. Thus, for the attraction interaction, we have $\delta \phi_{Att}(d_{ij}, \psi_{ij}, \phi_{ij}) = F_{Att}(d_{ij}) O_{Att}(\psi_{ij}) E_{Att}(\phi_{ij})$, where

$$F_{\rm Att}(d) = \gamma_{\rm Att} \left(\frac{d}{d_{\rm Att}} - 1\right) \frac{1}{1 + (d/l_{\rm Att})^2},\tag{10}$$

$$O_{\rm Att}(\psi) = \beta_{\rm Att} \sin(\psi) \Big(1 - 0.33 \cos(\psi) \Big), \tag{11}$$

$$E_{\text{Att}}(\phi) = \lambda_{\text{Att}} \left(1 - 0.48 \cos(\phi) - 0.31 \cos(2\phi) \right).$$
(12)

Here, $d_{\text{Att}} = 3 \text{ cm}$ is the distance at which the short-range repulsion of individual 1018 collision avoidance balances the long-range repulsion, $\gamma_{Att} = 0.12$ is the intensity 1019 of the interaction, and $l_{\rm Att} = 20 \, {\rm cm}$ characterizes the range where attraction is 1020 maximum. The angular functions O_{Att} and E_{Att} are respectively normalized with 1021 $\beta_{\rm Att} = 1.395$ and $\lambda_{\rm Att} = 0.9326$. As already mentioned when describing the 1022 interaction with the wall, the three functional forms defined in (10-12) and the 1023 numerical values of the coefficients have been extracted from experimental data by 1024 means of a sophisticated procedure based on physical principles of symmetry of 1025 the angular functions [14, 15]. The names of the angular functions stand precisely 1026 for their parity (Odd/Even). 1027

In the alignment, we have $\delta \phi_{Ali}(d_{ij}, \psi_{ij}, \phi_{ij}) = F_{Ali}(d_{ij}) E_{Ali}(\psi_{ij}) O_{Ali}(\phi_{ij})$, where

$$F_{\rm Ali}(d) = \gamma_{\rm Ali} \left(\frac{d}{d_{\rm Ali}} + 1\right) \exp\left[-\left(\frac{d}{l_{\rm Ali}}\right)^2\right],\tag{13}$$

$$E_{\rm Ali}(\psi) = \beta_{\rm Ali} \Big(1 + 0.6 \cos(\psi) - 0.32 \cos(2\psi) \Big), \tag{14}$$

$$O_{\rm Ali}(\phi) = \lambda_{\rm Ali} \sin(\phi) \Big(1 + 0.3 \cos(2\phi) \Big), \tag{15}$$

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with
$$d_{Ali} = 6 \text{ cm}, l_{Ali} = 20 \text{ cm}, \gamma_{Ali} = 0.09, \beta_{Ali} = 0.9012, \lambda_{Ali} = 1.6385$$

The parameter values are those derived in [14] for the simulation model when fish swim in pairs and are summarized in S1 Table (fish model and robots). More details regarding the model, including the extraction of the above interaction functions, can be found in [14].

Computational model in an unbounded domain. Model simulations of agents
 swimming in an unbounded domain were carried out by removing the interaction with

the wall (*i.e.*, by setting $\gamma_{\rm w} = 0$; the rest of parameter values being those given in S1 Table).

We have considered the MOST INFLUENTIAL and NEAREST interaction strategies, that 1038 is, paying respectively attention to the k most influential neighbors or to the k-nearest 1039 neighbors, for k = 1, 2, 3, and 4, and the case where agents do not interact with each 1040 other (k = 0). Group cohesion and polarization are averaged over a large number of 1041 simulation runs n: $\langle C(t) \rangle = (1/n) \sum_{i=1}^{n} C_i(t)$, where $C_i(t)$ is the group cohesion at time t 1042 in the *i*-th run. We used n = 1000. The duration of each simulation was sufficiently 1043 long to produce a total number of 10^4 kicks per run among the 5 agents (~ 2.7 hours). 1044 A second series of simulations was carried out to produce 5×10^4 kicks (~ 13.5 hours), 1045 finding the same qualitative results. Initial conditions of each run were always different, 1046 with all agents located at less than R = 25 cm (the radius of the arena) from the origin 1047 of coordinates. 1048

We first analyzed the impact on group cohesion and polarization (Fig. 9 and Fig. 10) 1049 of reducing the attraction range in groups of N = 5 agents by truncating the attraction 1050 intensity function $F_{\rm Att}$ when the neighbor is at a distance $d_{ij} > d_{\rm cut}$ from the focal agent: 1051 $F_{\text{Att}}(d_{ij}) = 0$, if $d_{ij} > d_{\text{cut}}$. For each value of d_{cut} , the mean cohesion was calculated as 1052 the average over the last 10% of kicks over the 1000 runs carried out to obtain $\langle C(t) \rangle$, and 1053 this, for both considered strategies and each value of k. When $d_{\rm cut}$ is sufficiently large, 1054 the attraction range is sufficiently long and $\langle C(t) \rangle$ is close to the value corresponding to 1055 the mean cohesion of the group when $F_{\rm Att}$ is not truncated. When $d_{\rm cut}$ is smaller than 1056 a critical cut-off d_{cut}^* , the attraction range is too short and the agents simply diffuse, 1057 with $\langle C(t) \rangle \sim t$ growing linearly in time Fig. 9. 1058

We then analyzed the group cohesion and polarization (Fig. 10 and S7 Fig) i) in 1059 large groups of $N = 6, \ldots, 70$ agents for the MOST INFLUENTIAL strategy with k = 1, ii1060 in a group of size N = 20, for different values of the number of nearest neighbors k with 1061 which agents interact, and *iii*) in groups of size $N = 5, \ldots, 26$, where agents interact with 1062 their k nearest neighbors, for all the values of k between 1 and N-1, except for N=22, 1063 24 and 26, where we limited the simulations to the interval of interest $k = 8, \ldots, 12$. For 1064 each combination of group size N and number of neighbors k considered, the number of 1065 simulations, their duration, and the averaging procedure were the same as the ones used 1066 in the analysis of the groups of size N = 5. 1067

¹⁰⁶⁸ Quantification of the collective behavior

We characterize the collective behavioral patterns by means of five observables quantifying the behavior of the group in the tank and the behavior of individuals inside the group. We first write the coordinates of the position $\vec{u}_B = (x_B, y_B)$ and the velocity $\vec{v}_B = (v_x^B, v_y^B)$ of the barycenter *B* (center of mass) of the group with respect to the reference system of the tank:

$$x_B(t) = \frac{1}{N} \sum_{i=1}^{N} x_i(t), \quad v_x^B(t) = \frac{1}{N} \sum_{i=1}^{N} v_x^i(t), \tag{16}$$

with similar expressions for $y_B(t)$ and $v_y^B(t)$. The heading angle of the barycenter is then given by $\phi_B = \text{ATAN2}(v_y^B, v_x^B)$.

The barycenter defines a system of reference in which the relative position and velocity of a fish, that we denote with a bar, are such that $\bar{x}_i = x_i - x_B$ and $\bar{v}_x^i = v_x^i - v_x^B$ (same expressions for the *y*-components). In the reference system of the barycenter, the angle of the position of a fish is given by $\bar{\theta}_i = \text{ATAN2}(\bar{y}_i, \bar{x}_i)$, so the relative heading in this reference system is $\bar{\phi}_i = \text{ATAN2}(\bar{v}_y^i, \bar{v}_x^i) \neq \phi_i - \phi_B$. We can thus define the angle of incidence of a fish with respect to a circle centered at the barycenter as $\bar{\theta}_w^i = \bar{\phi}_i - \bar{\theta}_i$. The angle $\bar{\theta}_w^i$ is the equivalent to the angle of incidence to the wall θ_w^i that we use in the reference system of the tank, and serves to measure the angular velocity of a fish
 with respect to the barycenter, in the reference system of the barycenter.

¹⁰⁸⁵ The five observables used to quantify the behavior of a group are defined as follows:

1086 1. Group cohesion $C(t) \in [0, R]$:

$$C(t) = \sqrt{\frac{1}{N} \sum_{i=1}^{N} \|\vec{u}_i - \vec{u}_B\|^2},$$
(17)

where $\|\vec{u}_i - \vec{u}_B\|$ is the distance from fish *i* to the barycenter *B* of the *N* fish.

Low values of C(t) correspond to highly cohesive groups, while high values of C(t) (in particular, comparable to the radius of the tank) imply that individuals are spatially dispersed.

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2. Group polarization $P(t) \in [0, 1]$:

$$P(t) = \frac{1}{N} \left\| \sum_{i=1}^{N} \vec{e}_i(t) \right\|,$$
(18)

where $\vec{e}_i = \vec{v}_i / \|\vec{v}_i\| = (\cos(\phi_i), \sin(\phi_i))$ is the unit vector in the direction of motion 1092 of the individual fish, given by its velocity vector \vec{v}_i . 1093 A value of P close to 1 would mean that the N individual headings are aligned 1094 and point in the same direction, while a value of P close to 0 would mean that the 1095 N vectors point in different directions, but can also mean that vectors are collinear 1096 and with opposite direction (e.g., for N even, half of the vectors point North, the 1097 other half point South) so that they cancel each other. Similarly, when N = 5 and 1098 two normalized velocity vectors cancel each other (e.q., when 4 fish swim in the)1099 same direction \vec{e} and one fish swims in the opposite direction $-\vec{e}$) would give rise

same direction \vec{e} and one fish swims in the opposite direction $-\vec{e}$) would give rise to a resultant vector of norm $P = (4 \times 1 - 1)/5 = 3/5 = 0.6$, and if two pairs of fish cancel each other, then $P = (3 \times 1 - 2 \times (-1))/5 = 1/5 = 0.2$.

Note that uncorrelated headings would lead to $P \sim 1/\sqrt{N}$, which becomes small only for large group size N, but which is markedly lower than 1 for any $N \ge 5$.

1105 3. Distance of the barycenter to the wall $r_{w}^{B}(t) \in [0, R]$:

$$r_{\rm w}^B(t) = R - \sqrt{\left(x_B(t)\right)^2 + \left(y_B(t)\right)^2},$$
(19)

Note that when the individuals move in a cohesive group, $r_{\rm w}^B$ is typically of the same order as the mean distance of agents to the wall $\langle r_{\rm w} \rangle = (1/N) \sum_{i=1}^{N} r_{\rm w}^i$. When the group is not cohesive, $r_{\rm w}^B$ is of order of the radius of the tank.

4. Relative angle of the barycenter heading to the wall $\theta_{w}^{B}(t) \in [-\pi, \pi]$:

$$\theta_{\mathbf{w}}^{B}(t) = \operatorname{ATAN2}\left(v_{y}^{B}(t), v_{x}^{B}(t)\right).$$
(20)

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When the group swims along the wall $\theta^B_w(t) \approx \pm \pi/2$ (*i.e.*, $\theta^B_w(t) \approx \pm 90^\circ$).

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5. Index of collective counter-milling and super-milling $Q(t) \in [-1, 1]$:

$$Q(t) = \left(\frac{1}{N}\sum_{i=1}^{N}\sin(\bar{\theta}_{\mathbf{w},i}(t))\right) \times \operatorname{SIGN}\left(\frac{1}{N}\sum_{i=1}^{N}\sin(\theta_{\mathbf{w},i}(t))\right)$$
(21)

$$= \Gamma_B(t) \times \operatorname{SIGN}(\Gamma(t)).$$
(22)

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A group of fish rotating around the center of the tank with a rotation index $\Gamma(t)$ (defined in Eq. (22); similar to an angular momentum) would display a countermilling behavior if the individual fish also rotate around the barycenter of the group and both directions of rotation are opposite. The first sum between parentheses in Eq. (21) is the index of rotation of the fish with respect to the barycenter of the group, denoted by $\Gamma_B(t)$ in Eq. (22). Multiplying by the sign of $\Gamma(t)$ means that when Q(t) < 0, both directions are opposite and the fish exhibit a *collective counter-milling behavior*, while when Q(t) > 0, both rotations are in the same direction and the fish exhibit a *collective super-milling behavior*.

Thus, a group of 5 individuals turning around the center of the tank in a rigid formation that always points North, like the fingertips of the hand when cleaning a window, would correspond to a perfect counter-milling behavior. On the other hand, a situation where individuals rotate around the center of the tank as if they were fixed to a vinyl record, so that trajectories are perfect circles and individuals far from the center of the tank move faster than those close to the center, would correspond to a zero-milling state. Actual groups of fish present an intermediate behavior between these two situations, with a clear bias towards negative values of Q(t) (see Fig. 3 for fish, S4 Video for robots, and Fig. 8 for fish, model fish, and robots).

Collective behavior is thus quantified by means of the probability density functions 1131 of these quantities. In addition, density maps are presented in order to illustrate the 1132 correlations between the polarization P and the group cohesion C in fish experiments, 1133 model simulations, and robot experiments (S1 Fig-S4 Fig). We consider two normaliza-1134 tions: i) with the total number of data, to highlight the significant regions of the map 1135 and neglect the regions where the data are scarce (S1 Fig for the fish model, and S3 1136 Fig for robot experiments); ii with the total number of data in a given range of the 1137 polarization, so that each row in the map is a PDF of C for a given P (S2 Fig for the 1138 fish model, and S4 Fig for robot experiments). Spatial distances in the model and robot 1139 experiments are rescaled with the respective scaling factor $\lambda_{\rm M} = 0.87$ and $\lambda_{\rm R} = 0.35$ to 1140 allow for a direct comparison of our two spatial quantifiers (C and $r_{\rm w}^B$) with the results 1141 of fish experiments (the three other quantifiers P, θ_{w}^{B} , and Q are not affected by this 1142 rescaling). 1143

¹¹⁴⁴ Quantifier for the similarity of probability distribution functions

In the Results section, we qualitatively compare the probability distribution functions (PDF) of the group cohesion, polarization, distance to the wall, angle with respect to the wall, and counter-milling index featured in Figs. 4–8, for the 3 interaction strategies (NEAREST; RANDOM; MOST INFLUENTIAL), and for k = 1, 2, 3 interacting neighbors (as well as the cases k = 0 – no interaction – and k = 4).

Here, we consider the Hellinger distance D(F|G) [45,46] to precisely quantify the "similarity" of two PDF F(x) and G(x) for the same observable x (one of the 5 listed above that we have considered):

$$D(F|G) = \frac{1}{2} \int \left(\sqrt{F(x)} - \sqrt{G(x)}\right)^2 dx = 1 - \int \sqrt{F(x)} \sqrt{G(x)} \, dx,$$
(23)

where we have used the normalization of the PDF, $\int F(x) dx = \int G(x) dx = 1$, to obtain the last equality. The first definition of D(F|G) makes clear that it measures the overall difference between F(x) and G(x), while the second equivalent definition has a nice interpretation in terms of the *overlap* of both PDF. Indeed, the second definition measures the distance from unity of the scalar product of $\sqrt{F(x)}$ and $\sqrt{G(x)}$ seen as vectors of unit Euclidean norm (a consequence of the normalization, $\int \sqrt{F(x)}^2 dx = 1$). The Hellinger distance is zero if and only if F(x) = G(x), and it always satisfies $D(F|G) \leq 1$. The upper bound D(F|G) = 1 is reached whenever the supports of the two PDF are not intersecting, so that $F(x) \times G(x) = 0$, for all values of x. In practice, a value of $D(F|G) \geq 0.1$ points to the two PDF being markedly dissimilar.

Of course, using the Hellinger distance is an arbitrary choice and other distances (like the Kolmogorov-Smirnov distance) could lead to slightly different relative distances/errors, but would not change our conclusions when the PDF are markedly different. In particular, the fact that the MOST INFLUENTIAL strategy is the strategy for k = 1 leading to the best agreement with fish experiments would be recovered by any meaningful quantifier.

We have computed the Hellinger distance between PDF measured in fish experiments and the corresponding PDF measured in the fish model simulations (Table 1) and in robots experiments (Table 2), hence providing a more precise, albeit not unique, quantification of their similarity.

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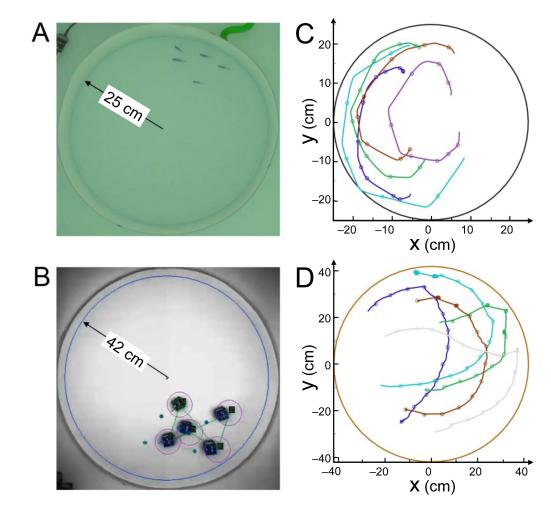


Fig 1. Experimental setups and tracking. (A) Experiments with 5 fish swimming in a tank of radius $R_{\text{fish}} = 25 \text{ cm.}$ (B) 5 robots running in a platform of radius $R_{\text{robot}} = 42 \text{ cm.}$ (C) Individual fish trajectories over 4 seconds. The circles represent the onset of bursts, when speed is minimum. (D) Individual trajectories in one robotic experiment over 24 seconds. The circles indicate the decisions of the robots to select a new target place, when individual speed is minimum.

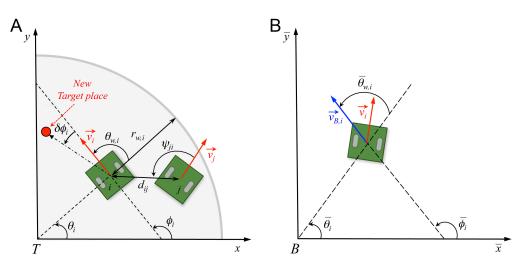


Fig 2. Angles and reference systems. (A) Distances, angles, and velocity vectors of agents i and j in the absolute reference system centered in T(0,0). Positive values of angles are fixed in the anticlockwise direction. θ_i is the position angle of agent i with respect to T and the horizontal line; $r_{w,i}$ is the distance of agent i from the nearest wall; ϕ_i is the heading angle of agent i, determined by its velocity vector \vec{v}_i ; $\theta_{w,i}$ is the relative angle of agent i with the wall; d_{ij} is the distance between agents i and j; ψ_{ij} is the viewing angle with which agent i perceives agent j, *i.e.*, the angle between the velocity of i and the vector \vec{ij} (we show the angle $\psi_{ji} \neq \psi_{ij}$ with which j perceives i, for the sake of readability of the figure); $\phi_{ij} = \phi_j - \phi_i$ is the difference of heading between agents i and j, and $\delta\phi_i$ is the variation of heading of agent i. (B) Relative reference system centered in the barycenter of the group $B(x_B, y_B)$. Relative variables are denoted with a bar. Angle $\overline{\theta}_{w,i} = \overline{\phi}_i - \overline{\theta}_i$ is the angle of incidence of the relative speed of agent i with respect to a circle centered in B.

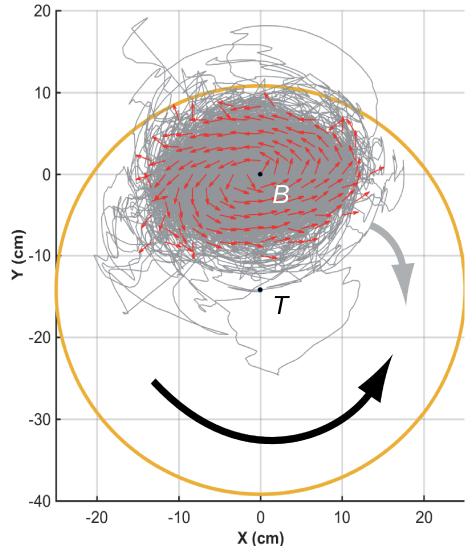


Fig 3. Counter-milling in fish experiments. Individual fish (small red arrows) turn counter-clockwise (CCW) around their barycenter, here located at B(0,0), while the fish group rotates clockwise (CW) around the center of the tank, located at T(0, -14) in the reference system of the barycenter. Red arrows (of same length) denote relative fish heading, gray lines denote relative trajectories, and large orange circle denotes the average relative position of the border of the tank. The wide black arrow shows the direction of rotation of individual fish with respect to B (CCW), opposite to the wide gray arrow showing the direction of rotation of the group with respect to T (CW).

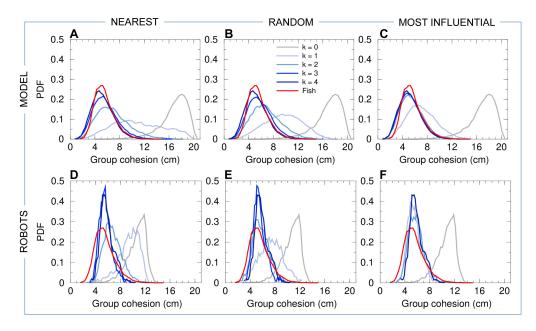


Fig 4. Group cohesion. Probability density functions (PDF) of the group cohesion C for the experiments with 5 fish (red line in all panels), model simulations (panels ABC), and experiments with 5 robots (panels DEF), compared to the corresponding null models (k = 0, no interaction between individuals) in both simulations and robots (gray line in all panels). Distances have been rescaled by $\lambda_{\rm M} = 0.87$ for the model simulations, and by $\lambda_{\rm R} = 0.35$ for the robot experiments. The intensity of blue is proportional to the number of neighbors interacting with a focal individual (agent or robot), from k = 1 (light blue) to k = 4 (dark blue). Interaction strategies involve the k NEAREST neighbors (panels AD), k RANDOM neighbors (panels BE), and the k MOST INFLUENTIAL neighbors (panels CF).

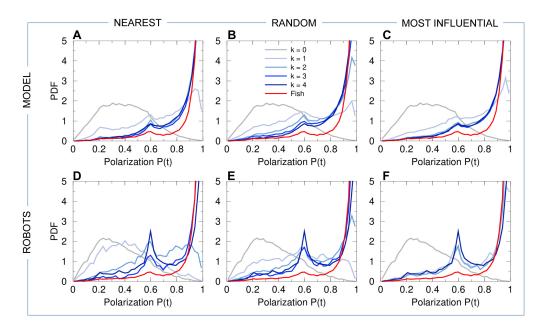


Fig 5. Group polarization. PDF of the group polarization P for fish experiments (red line in all panels), model simulations (panels ABC), and robot experiments (panels DEF), compared to the corresponding null models (k = 0, no interaction between individuals) in both simulations and robots (gray line in all panels). Curves for agents (fish model and robots) are in blue and gray, depending on the value of k (see legend in panel B). Interaction strategies involve the k NEAREST neighbors (panels AD), k RANDOM neighbors (panels BE), and the k MOST INFLUENTIAL neighbors (panels CF).

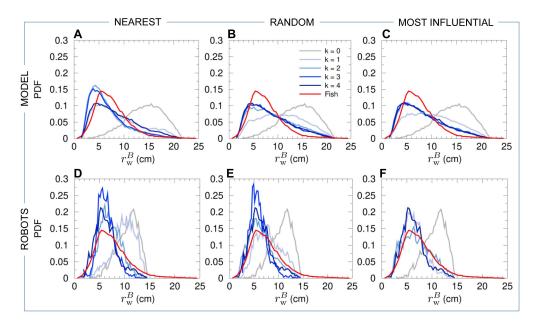


Fig 6. Distance of the barycenter of the individuals to the wall. PDF of the distance r_w^B of the barycenter of the individuals from the wall for fish experiments (red line in all panels), model simulations (panels ABC), and robot experiments (panels DEF), compared to the corresponding null models (k = 0, no interaction between individuals) in both simulations and robots (gray line in all panels). Distances have been rescaled by $\lambda_M = 0.87$ for the model simulations, and by $\lambda_R = 0.35$ for the robot experiments. Curves for agents (fish model and robots) are in blue and gray, depending on the value of k (see legend in panel B). Interaction strategies involve the k NEAREST neighbors (panels AD), k RANDOM neighbors (panels BE), and the k MOST INFLUENTIAL neighbors (panels CF).

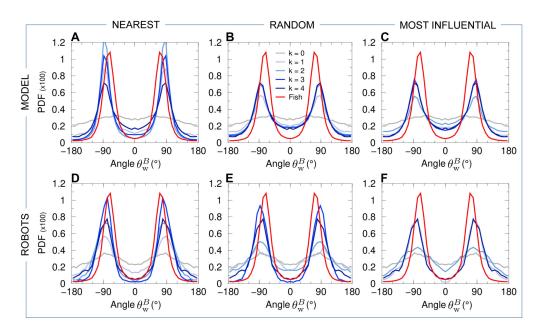


Fig 7. Relative angle of the heading of the barycenter of the group with the wall. PDF of the relative angle θ_w^B of the heading of the barycenter of the group with the wall for fish experiments (red line in all panels), model simulations (panels ABC), and robot experiments (panels DEF), compared to the corresponding null models (k = 0, no interaction between individuals) in both simulations and robots (gray line in all panels). Curves for agents (fish model and robots) are in blue and gray, depending on the value of k (see legend in panel B). Interaction strategies involve the kNEAREST neighbors (panels AD), k RANDOM neighbors (panels BE), and the k MOST INFLUENTIAL neighbors (panels CF).

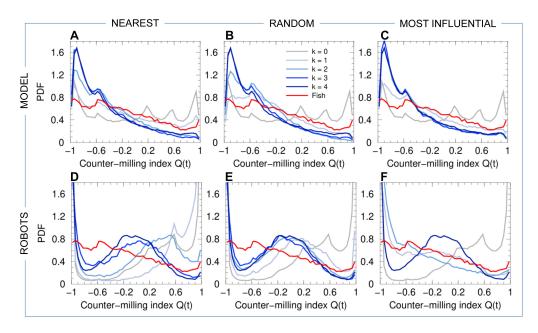
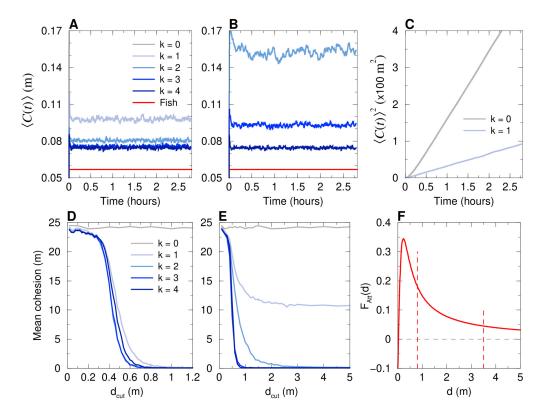
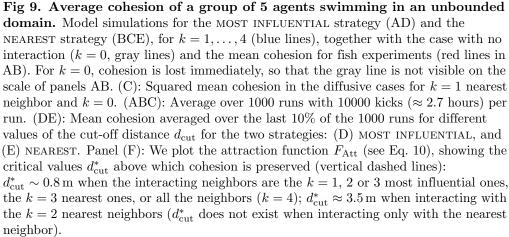


Fig 8. Counter-milling index. PDF of the counter-milling index Q for fish experiments (red line in all panels), model simulations (panels ABC), and robot experiments (panels DEF), compared to the corresponding null models (k = 0, no interaction between individuals) in both simulations and robots (gray line in all panels). Curves for agents (fish model and robots) are in blue and gray, depending on the value of k (see legend in panel B). Interaction strategies involve the k NEAREST neighbors (panels AD), k RANDOM neighbors (panels BE), and the k MOST INFLUENTIAL neighbors (panels CF).





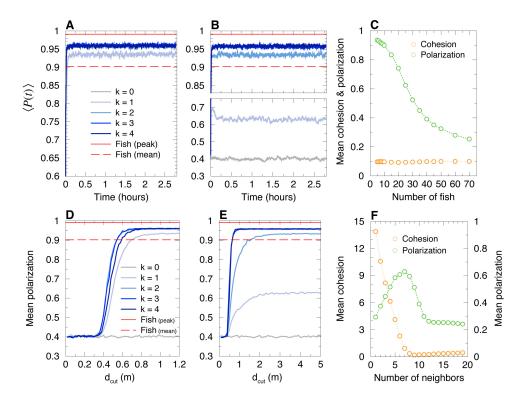


Fig 10. Average polarization of groups of 5 agents, and mean cohesion and polarization in larger groups (N = 5, ..., 70), when agents are swimming in an unbounded domain. For N = 5, model simulations for the MOST INFLUENTIAL strategy (AD) and the NEAREST strategy (BE), for k = 1, ..., 4 (blue lines), together with the case with no interaction (k = 0, gray lines) and the mean polarization for fish experiments (red lines). Panel (C): Mean cohesion and polarization in large groups (N = 5, ..., 70) for the MOST INFLUENTIAL strategy (k = 1). Panel (F): Mean cohesion and polarization in a group of size N = 20 as a function of the number k of nearest neighbors with which focal individuals interact. The minimum of the cohesion is reached at k = 9, and the maximum of the polarization at k = 7.

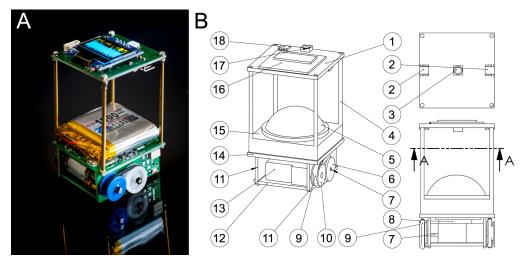


Fig 11. Cuboid robots. (A) Photograph of a Cuboid robot. Credits to David Villa ScienceImage/CBI/CNRS, Toulouse, 2018. (B) Design structure of Cuboid robot; A-A represents a cutaway view.

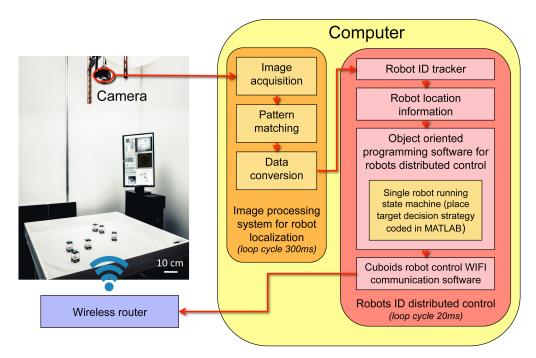


Fig 12. Structure of Cuboids swarm platform. Two main parts: the physical hardware and the control software. The hardware consists of a square platform. A camera mounted on the top of it monitors the movements of Cuboids robots, which are controlled in a distributed way by a wireless router. The software processes the image acquired by the camera, then computes the actions to be performed by each robot, and finally sends the control signals to the robots via the wireless router. Credits to David Villa ScienceImage/CBI/CNRS, Toulouse, 2018.

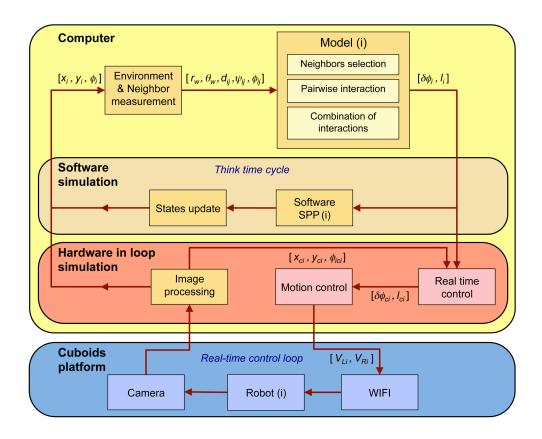


Fig 13. Software simulation and Hardware in Loop (HIL) simulation

(from [42]). The structure of HIL is an extension of the software simulation, which consists of two extra parts: 1) a computer software (Image Processing, Motion Control, and Real Time Control modules) and 2) a physical hardware (Robot, camera and wireless router). In the software simulation, the Environment & Neighbor Measurement module converts the global position of a robot or a particle (x_i, y_i, ϕ_i) in the SPP software into local information $(r_{w,i}, \theta_{w,i})$ and $(d_{ij}, \psi_{ij}, \phi_{ij})$. Then the computational model generates a new kick decision in the form of heading variation and kick length $(\delta \phi_i, l_i)$. This new decision $(\delta \phi_i, l_i)$ is then directly sent to the SPP(i) software. Once the state has been updated, a new global position is provided by the SPP(i) software (brown box) or the Hardware in loop simulation (red box). By contrast, the HIL simulation includes hardware, *i.e.*, robots, camera and WIFI router (blue box). Furthermore, each robot i is controlled in real time by three more software modules running in the computer, which are the Image Processing, Motion Control, and Real Time Control modules (red box). The Image Processing module computes the global position of each robot $(x_{c,i}, y_{c,i}, \phi_{c,i})$ from the information provided by the camera in real time. Then, the Real Time Control module converts the model decision $(\delta \phi_i, l_i)$ into a real time decision in the robot $(\delta \phi_{c,i}, l_{c,i})$, which are the heading variation and kick length to perform the decision based on its real time position $(x_{c,i}, y_{c,i}, \phi_{c,i})$. Finally, the Motion Control software generates left and right wheel motors speed control $(V_{\mathrm{L},i}, V_{\mathrm{R},i})$ for each robot to achieve its decision $(\delta \phi_{\mathrm{c},i}, l_{\mathrm{c},i})$. Each robot receives these motor commands by WIFI signals, and performs the corresponding movements that are monitored by the camera.

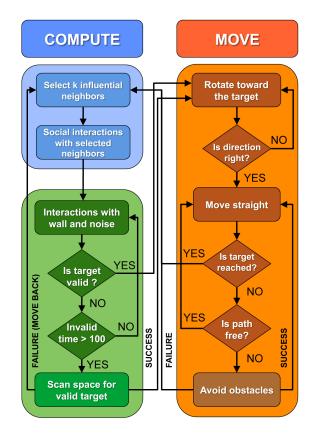


Fig 14. Flow chart of robot states machine. At any time a robot can be in one of the two following states: (1) the COMPUTE state for choosing a new target place, and (2) the MOVE state to reach the target place. In the COMPUTE state, the robot first selects influential neighbors, then it computes the pairwise influence of each neighbor, and finally it adds all influences to generate a new target place. Then, this new target place is validated to avoid collisions with the wall or another robot. If a valid target place. If the scanning method cannot find a valid target, the robot moves back over a distance of 80 mm and starts again the COMPUTE state. When a valid target place has been found, the robot suitches into the MOVE state. The robot first rotates toward the target and then, moves straight to it. If another running neighbor blocks the path, the robot uses a procedure to avoid the obstacles.

STRATEGY		C	P	$r^B_{\rm w}$	$\theta^B_{\rm w}$	Q	$\langle \mathrm{All} \rangle$
	k = 0	0.909	0.532	0.341	0.145	0.023	0.390
NEAREST	k = 1	0.369	0.178	0.034	0.041	0.003	0.125
	k = 2	0.065	0.049	0.032	0.033	0.020	0.040
	k = 3	0.013	0.026	0.027	0.032	0.037	0.027
RANDOM	k = 1	0.310	0.223	0.095	0.068	0.009	0.141
	k = 2	0.061	0.103	0.037	0.059	0.037	0.059
	k = 3	0.012	0.062	0.028	0.048	0.038	0.038
MOST	k = 1	0.078	0.150	0.067	0.048	0.006	0.070
INFLU-	k = 2	0.011	0.051	0.025	0.080	0.033	0.040
ENTIAL	k = 3	0.016	0.038	0.027	0.042	0.036	0.032
	k = 4	0.014	0.042	0.024	0.044	0.030	0.031

Table 1. Model simulations vs fish experiments. Distance D(Fish | Model) between the probability distribution function (PDF) of the 5 observables used to quantify the collective motion in the fish model and the corresponding PDF obtained in fish experiments. We list the results for the 3 different interaction strategies implemented in the fish model and the associated value of k for the number of interacting neighbors. The last column $\langle \text{All} \rangle$ corresponds to the average of the 5 corresponding distances, an arbitrary but reasonable global quantifier to assess the overall agreement of a given condition with the results of fish experiments. For k = 1, the MOST INFLUENTIAL strategy gives significantly better results than the two other strategies and already leads to a fair agreement with fish experiments.

STRATEGY		C	P	$r_{ m w}^B$	$\theta^B_{ m w}$	Q	$\langle \mathrm{All} \rangle$
	k = 0	0.604	0.561	0.238	0.114	0.170	0.337
NEAREST	k = 1	0.418	0.486	0.158	0.070	0.239	0.274
	k = 2	0.111	0.249	0.063	0.042	0.093	0.112
	k = 3	0.066	0.039	0.083	0.036	0.026	0.05
RANDOM	k = 1	0.140	0.343	0.040	0.107	0.065	0.139
	k = 2	0.019	0.141	0.035	0.080	0.029	0.061
	k = 3	0.056	0.063	0.095	0.042	0.025	0.056
MOST	k = 1	0.045	0.089	0.050	0.042	0.011	0.047
INFLUENTIAL $k = 2$		0.028	0.050	0.031	0.088	0.024	0.044
	k = 4	0.078	0.080	0.040	0.053	0.038	0.058

Table 2. Swarm robotics experiments vs fish experiments. Distance D(Fish | Robots) between the probability distribution function (PDF) of the 5 observables used to quantify the collective motion of the robots and the corresponding PDF obtained in fish experiments. We list the results for the 3 different interaction strategies implemented in the fish model and the associated value of k for the number of interacting neighbors. The last column $\langle \text{All} \rangle$ corresponds to the average of the 5 corresponding distances, an arbitrary but reasonable global quantifier to assess the overall agreement of a given condition with the results of fish experiments. For k = 1, the MOST INFLUENTIAL strategy gives significantly better results than the two other strategies and already leads to a fair agreement with fish experiments.

¹²⁹⁵ Supporting Information

1296 S1 Video. Collective movements in rummy-nose tetra (*Hemigrammus rhodos-*1297 tomus). A typical experiment with a group of 5 fish swimming in a circular tank of 1298 radius 250 mm.

S2 Video. Collective motion in a group of 5 robots. Each robot interacts with
 its most influential neighbor. The video is accelerated 9 times. Total duration: 7.15
 minutes.

S3 Video. Tracking and analysis output. The small circles superimposed on
 the trajectories represents the kicks performed by the fish when the speed reaches its
 maximum value.

S4 Video. Counter milling behavior in a group of 5 fish. Top: Typical experiment with a group of 5 fish in a circular arena of radius 250 mm. The video is accelerated 6 times. Total duration 1.3 minutes. Bottom: Relative movement of fish with respect to the barycenter of the group, represented by the black arrow on top video and a black disk on the bottom video. Fish turn counter-clockwise around the tank and clockwise with respect to the barycenter.

S5 Video. Swarm robotics experiment without any social interaction be-1311 tween the robots (k = 0) and only obstacle avoidance behavior is at play. 1312 Top: Typical experiment with a group of 5 robots in a circular arena of radius 420 mm, 1313 captured by the top camera. The border of the arena is represented by the red circle. 1314 Purple circles represent the individual robot safety area, of diameter 8 cm. Small green 1315 dots in front of robots indicate their next target place. The video is accelerated 6 times. 1316 Total duration: 6 minutes. Bottom: Relative movement of the robots with respect to the 1317 barycenter of the group. The barycenter is represented by the black disk and remains 1318 oriented to the right. Robots are represented by colored disks with their identification 1319 number in the center. The small circle at the front of a robot indicates its heading. The 1320 arrows represent the interactions between robots. Arrow direction indicates the identity 1321 (color) of the robot that exerts its influence on the robot to which the arrow points. The 1322 small dots in front of the robots represent the next target places. 1323

S6 Video. Swarm robotics experiment where robots interact with the k = 11324 nearest neighbor. Top: Typical experiment with a group of 5 robots in a circular 1325 arena of radius 420 mm, captured by the top camera. The border of the arena is 1326 represented by the red circle. Purple circles represent the individual robot safety area, of 1327 diameter 8 cm. Small green dots in front of robots indicate their next target place. The 1328 video is accelerated 6 times. Total duration: 6 minutes. Bottom: Relative movement of 1329 the robots with respect to the barycenter of the group. The barycenter is represented 1330 by the black disk and remains oriented to the right. Robots are represented by colored 1331 disks with their identification number in the center. The small circle at the front of 1332 a robot indicates its heading. The arrows represent the interactions between robots. 1333 Arrow direction indicates the identity (color) of the robot that exerts its influence on 1334 the robot to which the arrow points. The small dots in front of the robots represent the 1335 next target places. 1336

¹³³⁷ S7 Video. Swarm robotics experiment where robots interact with the k = 1¹³³⁸ most influential neighbor. Top: Typical experiment with a group of 5 robots in a ¹³³⁹ circular arena of radius 420 mm, captured by the top camera. The border of the arena is

represented by the red circle. Purple circles represent the individual robot safety area, of 1340 diameter 8 cm. Small green dots in front of robots indicate their next target place. The 1341 video is accelerated 6 times. Total duration: 6 minutes. Bottom: Relative movement of 1342 the robots with respect to the barycenter of the group. The barycenter is represented 1343 by the black disk and remains oriented to the right. Robots are represented by colored 1344 disks with their identification number in the center. The small circle at the front of 1345 a robot indicates its heading. The arrows represent the interactions between robots. 1346 Arrow direction indicates the identity (color) of the robot that exerts its influence on 1347 the robot to which the arrow points. The small dots in front of the robots represent the 1348 next target places. 1349

S8 Video. Swarm robotics experiment where robots interact with k = 11350 randomly selected neighbor. Top: Typical experiment with a group of 5 robots in a 1351 circular arena of radius 420 mm, captured by the top camera. The border of the arena is 1352 represented by the red circle. Purple circles represent the individual robot safety area, of 1353 diameter 8 cm. Small green dots in front of robots indicate their next target place. The 1354 video is accelerated 6 times. Total duration: 6 minutes. Bottom: Relative movement of 1355 the robots with respect to the barycenter of the group. The barycenter is represented 1356 by the black disk and remains oriented to the right. Robots are represented by colored 1357 disks with their identification number in the center. The small circle at the front of 1358 a robot indicates its heading. The arrows represent the interactions between robots. 1359 Arrow direction indicates the identity (color) of the robot that exerts its influence on 1360 the robot to which the arrow points. The small dots in front of the robots represent the 1361 next target places. 1362

S9 Video. Swarm robotics experiment where robots interact with the k = 21363 **nearest neighbors.** Top: Typical experiment with a group of 5 robots in a circular 1364 arena of radius 420 mm, captured by the top camera. The border of the arena is 1365 represented by the red circle. Purple circles represent the individual robot safety area, of 1366 diameter 8 cm. Small green dots in front of robots indicate their next target place. The 1367 video is accelerated 6 times. Total duration: 6 minutes. Bottom: Relative movement of 1368 the robots with respect to the barycenter of the group. The barycenter is represented 1369 by the black disk and remains oriented to the right. Robots are represented by colored 1370 disks with their identification number in the center. The small circle at the front of 1371 a robot indicates its heading. The arrows represent the interactions between robots. 1372 Arrow direction indicates the identity (color) of the robot that exerts its influence on 1373 the robot to which the arrow points. The small dots in front of the robots represent the 1374 next target places. 1375

Swarm robotics experiment where robots interact with the k = 2S10 Video. 1376 most influential neighbors. Top: Typical experiment with a group of 5 robots in a 1377 circular arena of radius 420 mm, captured by the top camera. The border of the arena is 1378 represented by the red circle. Purple circles represent the individual robot safety area, of 1379 diameter 8 cm. Small green dots in front of robots indicate their next target place. The 1380 video is accelerated 6 times. Total duration: 6 minutes. Bottom: Relative movement of 1381 the robots with respect to the barycenter of the group. The barycenter is represented 1382 by the black disk and remains oriented to the right. Robots are represented by colored 1383 disks with their identification number in the center. The small circle at the front of 1384 a robot indicates its heading. The arrows represent the interactions between robots. 1385 Arrow direction indicates the identity (color) of the robot that exerts its influence on 1386 the robot to which the arrow points. The small dots in front of the robots represent the 1387 next target places. 1388

Swarm robotics experiment where robots interact with k = 2S11 Video. 1389 randomly selected neighbors. Top: Typical experiment with a group of 5 robots in 1390 a circular arena of radius 420 mm, captured by the top camera. The border of the arena 1391 is represented by the red circle. Purple circles represent the individual robot safety area, 1392 of diameter 8 cm. Small green dots in front of robots indicate their next target place. 1393 The video is accelerated 6 times. Total duration: 6 minutes. Bottom: Relative movement 1394 of the robots with respect to the barycenter of the group. The barycenter is represented 1395 by the black disk and remains oriented to the right. Robots are represented by colored 1396 disks with their identification number in the center. The small circle at the front of 1397 a robot indicates its heading. The arrows represent the interactions between robots. 1398 Arrow direction indicates the identity (color) of the robot that exerts its influence on 1399 the robot to which the arrow points. The small dots in front of the robots represent the 1400 next target places. 1401

S12 Video. Swarm robotics experiment where robots interact with the k = 31402 **nearest neighbors.** Top: Typical experiment with a group of 5 robots in a circular 1403 arena of radius 420 mm, captured by the top camera. The border of the arena is 1404 represented by the red circle. Purple circles represent the individual robot safety area, of 1405 diameter 8 cm. Small green dots in front of robots indicate their next target place. The 1406 video is accelerated 6 times. Total duration: 6 minutes. Bottom: Relative movement of 1407 the robots with respect to the barycenter of the group. The barycenter is represented 1408 by the black disk and remains oriented to the right. Robots are represented by colored 1409 disks with their identification number in the center. The small circle at the front of 1410 a robot indicates its heading. The arrows represent the interactions between robots. 1411 Arrow direction indicates the identity (color) of the robot that exerts its influence on 1412 the robot to which the arrow points. The small dots in front of the robots represent the 1413 next target places. 1414

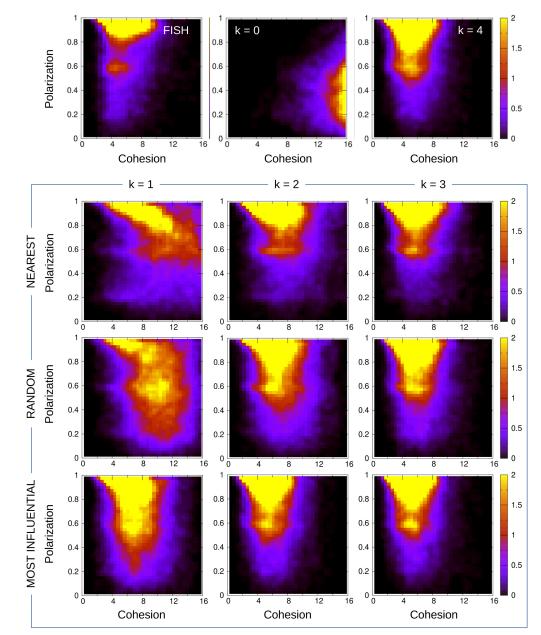
S13 Video. Swarm robotics experiment where robots interact with k = 31415 randomly selected neighbors. Top: Typical experiment with a group of 5 robots in 1416 a circular arena of radius 420 mm, captured by the top camera. The border of the arena 1417 is represented by the red circle. Purple circles represent the individual robot safety area, 1418 of diameter 8 cm. Small green dots in front of robots indicate their next target place. 1419 The video is accelerated 6 times. Total duration: 6 minutes. Bottom: Relative movement 1420 of the robots with respect to the barycenter of the group. The barycenter is represented 1421 by the black disk and remains oriented to the right. Robots are represented by colored 1422 disks with their identification number in the center. The small circle at the front of 1423 a robot indicates its heading. The arrows represent the interactions between robots. 1424 Arrow direction indicates the identity (color) of the robot that exerts its influence on 1425 the robot to which the arrow points. The small dots in front of the robots represent the 1426 next target places. 1427

S14 Video. Swarm robotics experiment where robots interact with all their 1428 **neighbors** (k = 4). Top: Typical experiment with a group of 5 robots in a circular 1429 arena of radius 420 mm, captured by the top camera. The border of the arena is 1430 represented by the red circle. Purple circles represent the individual robot safety area, of 1431 diameter 8 cm. Small green dots in front of robots indicate their next target place. The 1432 video is accelerated 6 times. Total duration: 6 minutes. Bottom: Relative movement of 1433 the robots with respect to the barycenter of the group. The barycenter is represented 1434 by the black disk and remains oriented to the right. Robots are represented by colored 1435 disks with their identification number in the center. The small circle at the front of 1436 a robot indicates its heading. The arrows represent the interactions between robots. 1437

Arrow direction indicates the identity (color) of the robot that exerts its influence on
the robot to which the arrow points. The small dots in front of the robots represent the
next target places.

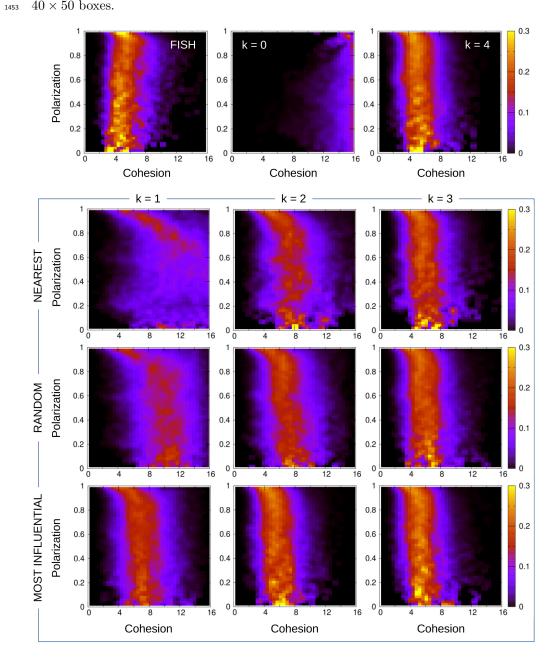
S1 Fig. Density maps of the polarization vs cohesion for fish and model simulations, normalized with the total number of data.

¹⁴⁴³ Density maps are shown for fish experiments (FISH panel) and for the 11 strategies ¹⁴⁴⁴ considered in the model simulations. The color intensity corresponds to the number of ¹⁴⁴⁵ data in each box normalized with the total number of data in the grid (\times 1000). We ¹⁴⁴⁶ used 40 \times 50 boxes.



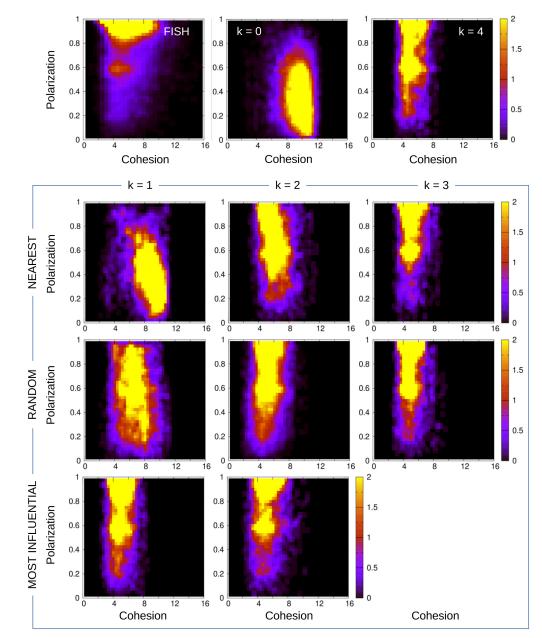
Density maps of polarization vs cohesion for fish and model simu-S2 Fig. 1447 lations, normalized with the number of data per range of polarization. 1448 Density maps are shown for fish experiments (FISH panel) and for the 11 strategies 1449 considered in the model simulations. The color intensity corresponds to the number of 1450 data in each box normalized with the number of data per interval of polarization, *i.e.*, 1451 each row is the PDF of the cohesion for a range of values of the polarization. We used 1452

 40×50 boxes.



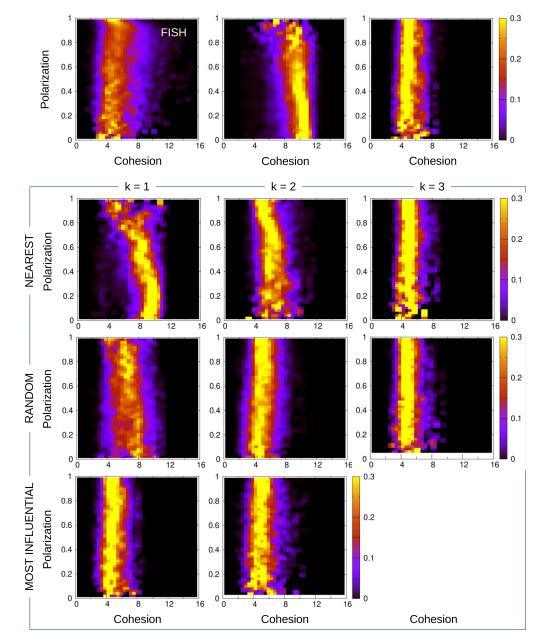
S3 Fig. Density maps of polarization vs cohesion for fish and robotic swarm, normalized with the total number of data.

¹⁴⁵⁶ Density maps are shown for fish experiments (FISH panel) and for the 10 strategies ¹⁴⁵⁷ considered in the robot experiments. The color intensity corresponds to the number of ¹⁴⁵⁸ data in each box normalized with the total number of data in the grid ($\times 1000$). We ¹⁴⁵⁹ used 40 \times 50 boxes.

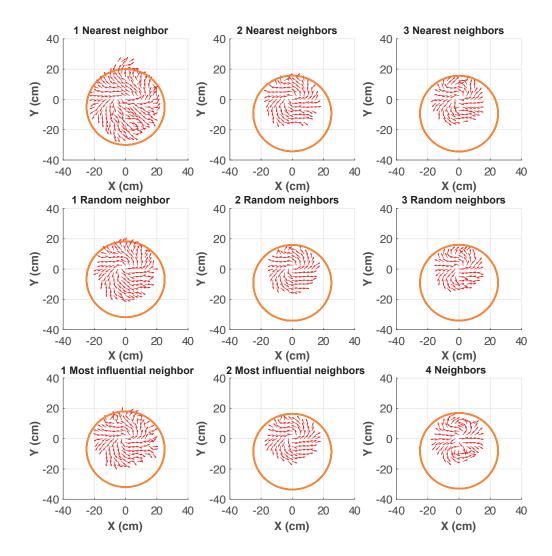


S4 Fig. Density maps of polarization vs cohesion for fish and robotic swarm, normalized with the number of data per range of polarization.

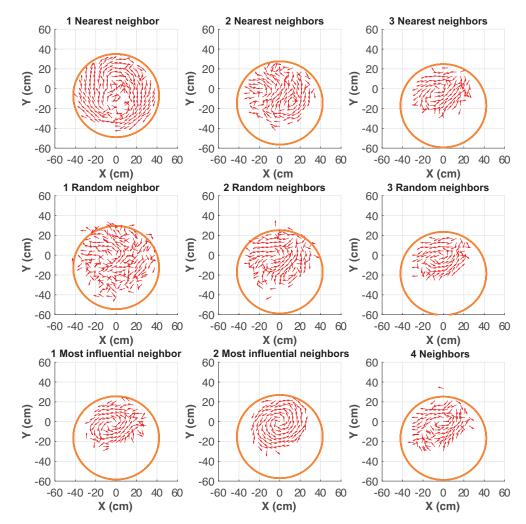
¹⁴⁶² Density maps are shown for fish experiments (FISH panel) and for the 10 strategies ¹⁴⁶³ considered in the robot experiments. The color intensity corresponds to the number of ¹⁴⁶⁴ data in each box normalized with the number of data per interval of polarization, *i.e.*, ¹⁴⁶⁵ each row is the PDF of the cohesion for a range of values of the polarization. We used ¹⁴⁶⁶ 40×50 boxes.



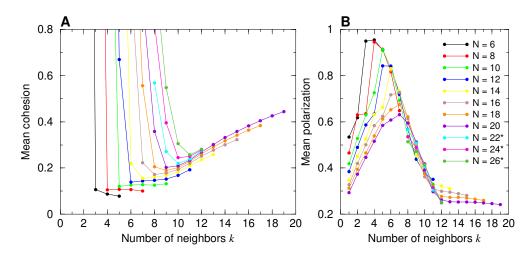
¹⁴⁶⁷ **S5 Fig. Counter-milling in model simulations.** Red arrows represent the velocity ¹⁴⁶⁸ field of agents in the reference system of the barycenter of the group, here located at ¹⁴⁶⁹ coordinates (0,0). Orange circle denotes the average relative position of the border of ¹⁴⁷⁰ the arena with respect to the barycenter. The cases where agents interact with the k = 3¹⁴⁷¹ most influential neighbors (statistically identical to the case where k = 4) and where ¹⁴⁷² agents do not interact (k = 0) are not shown.



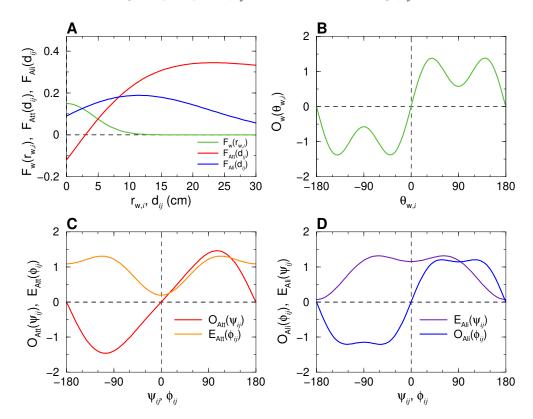
¹⁴⁷³ S6 Fig. Counter-milling in robotic swarm experiments. Red arrows represent ¹⁴⁷⁴ the velocity field of robots in the reference system of the barycenter of the group, here ¹⁴⁷⁵ located at coordinates (0, 0). Orange circle denotes the average relative position of the ¹⁴⁷⁶ border of the arena with respect to the barycenter. The cases where robots interact with ¹⁴⁷⁷ the k = 3 most influential neighbors (statistically identical to the case where k = 4) and ¹⁴⁷⁸ where robots do not interact (k = 0) are not shown.



S7 Fig. Average cohesion and polarization for group sizes N = 5, ..., 201479 (N even) when each individual interacts with its k nearest neighbors, for 1480 $k = 1, \dots N - 1$. Mean cohesion (A) and mean polarization (B) as a function of k. 1481 Cohesion values are scaled with $\lambda_M = 0.87$. In panel (A), high values of the cohesion for 1482 small values of k with respect to the group size N are not shown (vertical lines grow up 1483 to 20 m in our simulations as the individuals diffuse independently of each other). In 1484 (B), the values of k for N = 22, 24 and 26 (marked with an asterisk in the legend) are 1485 limited to the interval of interest [8, 12]. 1486



Interaction functions with the wall and between individuals, ex-S8 Fig. 1487 tracted from experiments of fish swimming in pairs [14]. (A) Intensity of the 1488 repulsion from the wall $F_{w}(r_{w,i})$ (green) as a function of the distance to the wall $r_{w,i}$, 1489 and intensity of the attraction $F_{\text{Att}}(d_{ij})$ (red) and the alignment $F_{\text{Ali}}(d_{ij})$ (blue) between 1490 fish i and j as functions of the distance d_{ij} separating them. (B) Normalized odd angular 1491 function $O_{w}(\theta_{w,i})$ modulating the interaction with the wall as a function of the relative 1492 angle to the wall $\theta_{w,i}$. (C) Normalized angular functions $O_{Att}(\psi_{ij})$ (odd, in red) and 1493 $E_{\text{Att}}(\phi_{ij})$ (even, in orange) of the attraction interaction, and (D) $O_{\text{Ali}}(\phi_{ij})$ (odd, in blue) 1494 and $E_{Ali}(\psi_{ij})$ (even, in violet) of the alignment interaction between agents i and j, as 1495 functions of the angle of perception ψ_{ij} and the relative heading ϕ_{ij} . 1496



Parameter	\mathbf{Symbol}	Model	Robots
Intensity of heading random fluctuations	$\gamma_{ m R}$	0.45	0.1
Fluctuations reduction factor when close to wall	α	0.67	1
Intensity of wall repulsion	$\gamma_{ m w}$	0.15	0.79
Range of wall repulsion (cm)	l_{w}	6	11
Intensity of attraction/repulsion	$\gamma_{ m Att}$	0.12	0.18
Range of attraction between individuals (cm)	l_{Att}	20	37
Distance of balance of attraction/repulsion (cm)	d_{Att}	3	18
Intensity of alignment	$\gamma_{ m Ali}$	0.09	0.04
Range of alignment between individuals (cm)	$l_{ m Ali}$	20	37
Distance of alignment (cm)	$d_{\rm Ali}$	6	5
Average duration between successive kicks (s)	au	0.5	1.3
Mean length between two successive kicks (cm)	l	7	7.4
Typical individual velocity in active period (cm/s)	\mathbf{v}_0	14	3.75
Relaxation time (s)	$ au_0$	0.8	0.9

Table S1. Values and units of the parameters for model simulations and robotexperiments.