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1 **Chronology and Provenance of the Yichang Gravel Layer deposits in**
2 **the Jiangnan Basin, Middle Yangtze River Valley, China:**
3 **Implications for the Timing of Channelization of the Three Gorges**
4 **Valley**

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22 **Abstract** : The Three Gorges Valley, linking the upper and the middle-lower Yangtze
23 River areas is a key area for understanding the geomorphological evolution of the
24 Yangtze River valley, especially the timing of its formation, which remains
25 controversial and occurred following the authors to from the pre-Miocene to Late
26 Pleistocene periods. The 100 m thick Yichang Gravel Layer (YGL), extending over an
27 area $>100 \text{ km}^2$, is immediately observed downstream of the Three Gorges Valley in
28 the middle Yangtze River Basin also named Jiangnan Basin. Studying heavy minerals
29 assemblage and chronology of the YGL deposits can shed lights not only on the
30 geological origin of the sediments and the morphological evolution of the Three
31 Gorges Valley, but also the formation of the Yangtze River Valley. In this study, 18
32 sediment samples carried out from six representative sections of the unconsolidated
33 YGL and 2 samples from the underlying Neogene and Paleogene bedrocks were
34 analyzed in order to examine their heavy mineral assemblage compositions. These
35 assemblages were compared with modern Yangtze River sediments sampled in
36 Yichang area (n=5) and present-day sediments from other rivers of the Jiangnan Basin
37 (n=6) to further identify the sediment provenance of the YGL. The statistic results
38 indicate that the heavy minerals identified the YGL dominated by zircon, epidote,
39 titanite, hematite, limonite and ilmenite, are similar to the assemblages observed in
40 the 5 modern Yangtze River sediment samples but differ obviously from both

41 underlying bedrock assemblages, containing kyanite, garnet, rutile and monazite, and
42 other modern river sediments (Jiangnan Basin), including hornblende, chlorite,
43 tourmaline, rutile, anatase, spinel and garnet). In addition, 8 sandy samples from the
44 YGL were dated by Electron Spin Resonance (ESR) method using Ti-Li center,
45 showing a deposition age ranging between 1123 ± 139 and 734 ± 183 ka. Based on these
46 observations, we propose that the YGL sediments derived from the upper Yangtze
47 River Basin above the Three Gorges Valley, indicating that the Three Gorges Valley
48 was channelized before 1.12 Ma ago. This result is consistent with previous
49 provenance tracing studies of continuously deposited late Cenozoic sediment from
50 central Jiangnan Basin (since 3.0 Ma), which also constrains the incision time of the
51 Three Gorges to ~ 1.1 Ma.

52

53 **Keywords:** Heavy mineral provenance tracing; Quartz Electron Spin Resonance
54 (ESR) dating; Yichang Gravel Layer; Three Gorges Valley; the Yangtze River Basin

55

56 **1. Introduction**

57 The Yangtze River, is the most important river linking the Tibetan Plateau and
58 the East China Sea (Fig. 1A). The Yangtze River, and its tributaries, delivers large
59 volumes of water, sediments and associated chemical compounds from their
60 headwater regions to its middle–lower reaches and the East China Sea. The river has

61 hence significantly influenced the evolution of the sedimentary system in its drainage
62 basin and greatly affected the geomorphology, climate, and ecological environment of
63 the whole East Asia (Zhang et al., 2014). It explains why the formation and evolution
64 of the present-day Yangtze River has been a matter of great interest since the
65 beginning of the 20th century (Lee, 1924). After a debate of more than 100 years, the
66 First Bend near Shigu city on the SE Tibetan Plateau and the Three Gorges area,
67 which links the upper and middle reaches of the river, have become recognized as two
68 key areas for understanding the history of the geomorphological development of the
69 Yangtze River (Fig. 1B; Clift et al., 2006; Xiang et al., 2007; Jia et al., 2010; Wang et
70 al., 2013; Gu et al., 2014).

71 Recently, many studies, in particular on river terrace dating, bedrock uplift and
72 denudation history, and surveys of the regional geology and geomorphologic
73 evolution have been realized in order to establish the timing of Three Gorges Valley
74 incision, yet estimated vary markedly. This timing remains strongly debated, with
75 suggestions ranging between the Paleogene and Neogene periods (Clark et al., 2004;
76 Richardson et al., 2010; Zheng et al., 2013), the early Pleistocene (Li et al., 2001;
77 Kazumi, 2005; Yang et al., 2006; Xiang et al., 2007; Zhang et al., 2008; Kong et al.,
78 2009; Wang et al., 2010; Gu et al., 2014), and the late Quaternary times (0.15–0.20
79 Ma; Gong et al., 1997; Brookfield et al., 1998; Chen et al., 2009). Until today, there is
80 no consensus regarding the formation time of the Three Gorges Valley (Gu et al.,
81 2014).

82 River terrace system can be used to directly reconstruct the capture history of the
83 Three Gorges. However, strong tectonic activity in this area results in poor terrace
84 system development and later geological processes destroyed the terrace depositional
85 systems. In addition, the terrace sediments, consisting mainly in coarse detrital
86 materials, are difficult to date. The terrace sediment system observed in the canyon
87 area formed after the gorge incision, meaning that the dating results obtained on
88 Yangtze River terraces are much younger than the estimates of the timing of Three
89 Gorges incision. Furthermore, surveys of regional geology and geomorphological
90 evolution are usually not sufficient to provide definitive age estimates.

91 Over the last two decades, tracing the sediment source has become an effective
92 method to reconstruct river evolution and various approaches, such as geochemical
93 and mineralogical analyses as well as environmental magnetism study, have been
94 applied to trace the provenance of the Yangtze River Basin sediments (Clift et al.,
95 2006; Yang et al., 2006; Xiang et al., 2007; Zhang et al., 2008; Huang et al., 2009;
96 Kong et al., 2009; Jia et al., 2010; Richardson et al., 2010; Wang et al., 2010; Zheng et
97 al., 2013; Gu et al., 2014; Wang et al., 2014; Zhang et al., 2014, 2017). However, most
98 of those studies focused only on the middle–lower reaches or the delta area of the
99 Yangtze River, far from the Three Gorges; consequently, typical river depositional
100 systems directly related to the Three Gorges area have not yet been investigated.

101 Recently, electron spin resonance (ESR) dating has been successfully applied on
102 fluvial sediments, using among others titanium (Ti) centers (Rink et al., 1997, 2007;
103 Lin et al., 2006; Beerten et al., 2007; Tissoux et al., 2007; Voinchet et al., 2007, 2015;

104 Yin et al., 2007; Liu et al., 2010, 2015; Duval et al., 2017; Grün et al., 2018; Richter
105 et al., 2019; Voinchet et al., 2019). Direct ESR dating of the Jiangnan Basin sediments
106 could help to constrain the formation time of the Three Gorges Valley. In addition,
107 because a diversity of mineral species is found in the sediments and the factors
108 affecting mineral assemblages are well understood, heavy mineral analysis offers a
109 high-resolution approach to determining the origin of sand and hence sedimentary
110 provenance (Morton et al., 1994, 1999; Garzanti and Ando, 2007; Yang et al., 2009;
111 Ando et al., 2012; Hauptvogel et al., 2012).

112 The Yichang Gravel Layer (YGL) in the Jiangnan Basin, located just
113 downstream of the Three Gorges, is considered as the most informative deposit for
114 elucidating the incision timing of the Three Gorges (Fig. 1B-C; Xiang et al., 2007;
115 Zhang et al., 2017). In this study, we used heavy mineral assemblages of the Yichang
116 Gravel Layer to constrain the sediment source. Additionally, ESR dating with Ti–Li
117 center was carried out to identify deposition age of the YGL. Finally, we discuss the
118 implications of our results for the timing of the Three Gorges incision.

119 *Fig. 1*

120 **2. Geographical and geological background**

121 **2.1 The Yangtze River**

122 The Yangtze River is the longest Asian river with 6380 km long, more than 700
123 tributaries and a catchment area of 1.8×10^6 km² (Fig. 1B and 2). The Yangtze River
124 spans the regional structure of China with three-grade relief terraces and its course can

125 be divided into three parts: the upper reaches, from its spring in the Qinghai Province
126 to the city of Yichang; the middle reaches, between Yichang and the city of Hukou;
127 and the lower reaches, from Hukou city to the East China Sea (Fig. 2; Shao et al.,
128 2012). The river runs through several large catchment basins, including the Sichuan
129 Basin, Jiangnan Basin, Dongting Lake, Poyang Lake, and Taihu Lake, from the
130 headwaters east to the estuary. The upper Yangtze River Valley, especially the
131 mountainous regions in the Jinshajiang river and Jialingjiang river basins, experiences
132 the strongest soil erosion and has the highest sediment production of any river system,
133 up to 1000–1500 ton/km²·a (Yang et al., 2009).

134 Geologically, the Yangtze River flows mainly on the Yangtze Craton
135 surrounded by several geotectonic units (Fig. 2). The major tributaries of the upper–
136 middle Yangtze River drainage areas run through regions with distinct tectonic
137 histories and source bedrocks (Zhang et al., 2014). The upper Jinshajiang Valley
138 contains hence metapsammites and metapelites, carbonate rocks, and acidic igneous
139 rocks, notably intermediate–acidic rocks that were formed during the Miocene–
140 Pliocene Himalayan orogeny. Paleozoic carbonate rocks and E'meishan Basalt are the
141 major source rocks in the upper Yangtze River valley, each occupying an area of about
142 300×10³ km². The middle and lower reaches of the Yangtze River are characterized by
143 Paleozoic sedimentary rocks, Mesozoic intermediate–acidic igneous rocks, and
144 Quaternary detrital sediments. The Mesozoic Yanshanian igneous rock belt crops out
145 extensively along the main Yangtze River, whereas older low-to- medium-grade
146 metamorphic rocks occur sporadically in the Poyang Lake Basin and Taihu Lake area

147 (Yang et al., 2009).

148 *Fig. 2*

149 **2.2 The Three Gorges area and the Jiangnan Basin**

150 The Yangtze River which runs first through the Three Gorges and then through
151 the Jiangnan Basin, which as the first outlet of the Three Gorges contains the most
152 important deposits for research on the incision onset and timing of the Three Gorges
153 (Zhang et al., 2017). It is located and corresponds to an important catchment area, in
154 which large amounts of material are deposited from the upper Yangtze reaches, with a
155 deposition timing considered as quite continuous during the late Cenozoic or forming
156 a continuous upper Cenozoic succession (Zhang et al., 2008). Hence, the Jiangnan
157 Basin provides ideal conditions to reconstruct the evolution of the Yangtze River.

158 **2.3 Study area and sections**

159 The Yichang Gravel Layer deposits, which form an inverted triangle shape
160 mainly in the western Jiangnan Plain immediately downstream of the Three Gorges,
161 occur over an area of more than 100 km² (Fig. 1C). Because of its wide distribution
162 and key geographical location, many representative sections of the Yichang Gravel
163 Layer are critical for research of the stratigraphic succession, geomorphological
164 evolution, and paleoenvironmental changes of the middle Yangtze River Basin,
165 especially concerning on the incision history of the Three Gorges. Field investigations
166 have demonstrated that the unconsolidated Yichang Gravel Layer directly
167 unconformably covers following the zones either Neogene or Paleogene hard
168 bedrocks and is recovered by the middle Pleistocene “vermicular red earth” (Deng et

169 al., 2018). The Neogene Duodaoshi formation is composed by lacustrine deposits, the
170 Paleogene Pailoukou formation is composed by fluvio-lacustrine deposits. Li et al.
171 (2001) showed that there are seven terraces well developed along the eastern Sichuan
172 Basin and the Three Gorges, date from early to late Pleistocene (1.16 ~ 0.01 Ma). And
173 the chronostratigraphic evidence and diagnostic sediments are generally comparable
174 in spatial and temporal from the Sichuan Basin, through the Three Gorges, to the
175 Jiangnan Basin in their study.

176 The typical sequence of the Yichang Gravel Layer, more than 100 m thick, can
177 be observed on three successive sections, which are from the oldest to the youngest
178 the Lijiayuan Section, the Yunchi Section, and the Shanxiyao Section (Fig. 3) and
179 represent a continuous detrital deposition phase. At the bottom of the sequence, the
180 ~21 m thick Lijiayuan Section is at an elevation ranging from 69 to 90 m asl and the
181 size of the gravel clasts becomes larger upwards, from 2–5 cm at the base to 5–30 cm
182 at the top, while the sand lenses become increasingly thinner, the basal sand lens
183 being more than 10 m thick; the Yunchi Section is about 60 m thick between 92 and
184 152 m asl, and it is mainly constituted by gravel layers with some sand lenses; finally
185 the Shanxiyao Section, about 20 m thick with an elevation comprises between 152
186 and 173 m asl, consists mainly of thick gravel layers with some thin sand lenses. The
187 sedimentary facies and depositional sequences of the Yichang Gravel Layer are
188 summarized in Fig. 3.

189 *Fig. 3*

190 **3. Sampling, methods, and experiments**

191 **3.1 Sampling**

192 Eight sand lenses along the Yichang Gravel Layer sequence were sampled for
193 ESR dating, as shown in Fig. 3. Before sampling, we horizontally removed a
194 20-cm-thick surface layer at each sampling site, and collected the sample in the
195 middle part of each sand lens.

196 The resetting of the ESR Ti-Li signal in quartz prior to their deposits plays a key
197 role in the accuracy of the results. Thus, in order to evaluate the efficiency of the
198 zeroing (or bleaching) process in modern sediments, we have also collected and
199 analyzed four scattered surface samples (indexed YCXD01, YCXD02, YCXD03, and
200 YCXD04) from the present-day Yangtze River floodplain in the Yichang area.

201 In addition, to constrain the sediment provenance of the Yichang Gravel Layer
202 deposits, 18 sediments were sampled from six typical localities, Miaopu, Jichanglu,
203 Luyanchong, Shanxiyao, Yunchi, and Baiyangdu sections, for heavy mineral analyses
204 (Fig. 4; Table 1; Kang et al., 2014). Furthermore, two samples were also collected
205 from the underlying bedrocks, one in the Neogene Duodaoshi Formation (sample
206 DDS-1) and one in the Paleogene Pailoukou Formation (sample PLK-1), also for
207 heavy mineral examination (Table 1).

208 *Fig. 4*

209 *Table 1*

210

211 **3.2 Heavy mineral analysis**

212 The experimental procedure for heavy mineral analysis was as follows. First, the
213 samples were dried and a 1-kg quantity was taken from each sample. These 1-kg
214 samples were immersed in clear water for 48 hours, then sieved by compression and
215 water elutriation to obtain the 0.125–0.063 mm fraction (Morton and Hallsworth,
216 1994; Morton et al., 2011). The samples were separated and weighed with an
217 electronic balance after drying (accuracy 0.0001 g). Second, a 10-g sample was
218 obtained by division for bromoform separation (density 2.89 g/cm³) of the light and
219 heavy minerals while flushing repeatedly with alcohol. After drying under a constant
220 temperature of 60 °C, the portion of the sample containing the heavy minerals was
221 weighed to obtain the heavy mineral content. Third, in the heavy mineral sample, 10
222 fields of view were selected stochastically using the strip method under a stereoscopic
223 microscope for mineral identification, using the mean value to reduce error. Several
224 samples were ground into slices for confirmation of mineral identifications under a
225 polarizing microscope. More than 600 grains were identified for every heavy mineral
226 sample and the percentage of each type of heavy mineral was calculated. As the heavy
227 mineral samples were concentrates of the same size fraction, the particle percentage
228 can be used to represent the volume percentage. Finally, as the proportion of each
229 heavy mineral was known, the weight of each mineral could be calculated.

230 **3.3 Electron spin resonance (ESR) dating**

231 **3.3.1 Sample preparation**

232 In the present study, we have used the Ti–Li centers of quartz for sand ESR

233 dating of the Yichang Gravel Layer. Sample preparation followed the method
234 described by Liu et al. (2010, 2015). Each sample was treated with 30% H₂O₂ for 24
235 hours to remove organic material, then washed and cleaned with distilled water. The
236 samples were then treated with 40% HCl for 24 hours to remove carbonates, then
237 again washed and cleaned with distilled water. Heavy minerals were removed by
238 heavy liquid separation (2.7 g/cm³) and magnetic minerals by mechanical magnetic
239 selection. The remaining part of the sample was attacked with 40% HF for 40 minutes
240 to remove feldspars and etch quartz grain, then treated again with 40% HCl for more
241 than 4 hours to remove fluorides created by the HF attack, rinsed with distilled water
242 to neutralize the acid and dried at low temperature (43 °C) for more than 24 hrs. X-ray
243 diffraction analyses revealed that the quartz content of the eight samples was
244 comprised after preparation between 97.19% and 99.99%.

245 **3.3.2 Gamma-ray irradiation**

246 Each quartz sample was divided into 14 aliquots for irradiation. Irradiation was
247 performed using the ⁶⁰Co source of the Peking University laboratory, with a dose rate
248 of 42.25 Gy/min. Thirteen of the 14 aliquots of each sample were irradiated, at doses
249 of 100, 200, 400, 800, 1200, 1600, 2000, 2500, 3000, 5000, 6000, 7000, and 9000 Gy.
250 The 14th aliquot was not irradiated and the its ESR intensity represents the natural
251 signal recorded by the quartz sample along its geological history.

252 **3.3.3 ESR measurement**

253 ESR measurements were carried out on a BRUKER EPR041XG X-band
254 spectrometer cooled to 77 K with liquid nitrogen in a finger Dewar at the State Key

255 Laboratory of Earthquake Dynamics, Institute of Geology, China Earthquake
256 Administration. ESR measurements of Ti–Li centers were realized with a microwave
257 power of 5 mW and a modulation amplitude of 0.16 mT. The Ti–Li center intensity
258 was measured from the top of the peak at $g = 1.979$ to the bottom at $g = 1.913$ (Rink
259 et al., 2007; Liu et al., 2010). For each sample, readings were obtained at six angles,
260 and the average value was calculated to reduce the difference caused by the
261 anisotropy of quartz.

262 **3.3.4 Dose rate evaluation**

263 The environmental dose rate during the burial period was calculated from the
264 present-day concentrations of uranium (U), thorium (Th), and potassium (K) of each
265 sediment sample (Aitken, 1998). U and Th contents were obtained using a thick
266 source Daybreak 530 Model alpha counter. The potassium oxide content was
267 determined by atomic absorption. The water content was measured as 8 ± 5 wt%.

268 During field investigation, we observed that vermicular red earth covered the top
269 of the Shanxiyao section. The thickness of this regional formation is known as higher
270 than 30 m, but in recent decades, the vermicular red earth has been removed by local
271 people to make bricks. We consider therefore in this study that the effect of cosmic
272 dose was negligible for the YGL samples.

273 **3.3.5 Equivalent dose calculation**

274 In the literature several mathematical functions have been proposed to fit the
275 growth curve of the ESR intensities according to the doses (Yokoyama et al., 1985;
276 Duval et al., 2015a, 2015b, 2016; Voinchet et al., 2018), in the present work, the

277 equivalent doses of the YGL quartz were determined by fitting the ESR data set with
278 an exponential function (Yokoyama et al., 1985) with Microcal OriginPro 8 software.
279 This choice is justified by the fact that, the added maximal dose being lower or equal
280 to 10000 Gy. The growth curve is uniformly increasing and the use of another
281 function taking into account a decrease at high dose is not necessary.

282 The fitting function (Liu et al., 2015) is expressed by:

$$283 \quad I = I_{\text{sat}} \times (1 - \exp(-\lambda \times (D + D_E)))$$

284 where I is the intensity of the ESR signal of a sample irradiated at the dose D ,

285 I_{sat} is the saturation intensity,

286 λ is the coefficient of sensitivity of the sample,

287 D_E is the equivalent dose.

288 **4. Results**

289 **4.1 Heavy mineral assemblages**

290 Eighteen species of heavy minerals (i.e., with a density greater than 2.89 g/cm³)
291 were detected in Yichang Gravel Layer deposits and underlying bedrocks (Fig. 5;
292 Table 2). Overall, the samples collected from various sections of the Yichang Gravel
293 Layer display similar heavy mineral assemblages (Fig. 5A-F; Kang et al., 2014).
294 Zircon, epidote, hematite, limonite, titanite, ilmenite and magnetite are the main
295 heavy minerals observed in the YGL deposits, the four first species being the most
296 common. It seems indicate that the sediments sampled on the different sections of the
297 Yichang Gravel Layer have the same source, supplying material of consistent

298 composition. On the other hand, the bedrock formations, Duodaoshi formation and
299 Pailoukou formation, show heavy mineral assemblages that are markedly different
300 from those of the Yichang Gravel Layer, sediments (Fig. 5G-H). Hence, zircon,
301 kyanite, leucoxene, rutile, monazite, ilmenite and magnetite are the dominant heavy
302 minerals of the Neogene Duodaoshi Formation, whereas the main heavy minerals of
303 the Paleogene Pailoukou Formation are zircon, apatite, tourmaline, garnet, leucoxene,
304 rutile, ilmenite and magnetite. Notably, kyanite, garnet, rutile, monazite and magnetite
305 can be used as diagnostic minerals of the bedrock formations, whereas epidote, and
306 fragments of E'meishan basalt detritus are found only in the Yichang Gravel Layer
307 deposits.

308 *Fig. 5*

309 *Table 2*

310 In addition, the proportions of heavy mineral species in the assemblages differ
311 between the underlying bedrock formations and the Yichang Gravel Layer deposits.
312 Seven groups of heavy mineral species were hence characterized (Fig. 5). Zircon
313 (group 1) and ilmenite (group 6) are both the main heavy mineral in Yichang Gravel
314 Layer and bedrocks, however zircon content is significantly lower in Yichang Gravel
315 Layer (4.4-16%) than in the bedrocks (12-24%) while the ilmenite average
316 percentages are similar in the both units (27%). The percentage of Group 2 (apatite,
317 tourmaline and kyanite) varied between 0.8% and 4.8% into Yichang Gravel Layer
318 and between 5.8% and 10.6% in the bedrocks. Epidote (group 3) percentage are much
319 higher in Yichang Gravel Layer (3.2-30.6%) than in bedrocks (0-0.4%). Group 4 is

320 constituted by garnet, leucoxene, rutile and monazite. Group 4 percentages are
321 ranging from 5.7% to 16.5% and between 35.3% and 46.8% in Yichang Gravel Layer
322 and bedrock, respectively. Finally, Hematite and limonite (forming group 5) represent
323 a percentage of 15-26% in Yichang Gravel Layer while magnetite (Group 7) show
324 important concentration in the bedrock with a proportion of 40%.

325 **4.2 Electron Spin Resonance (ESR) chronology**

326 **4.2.1 ESR signal intensity in present-day sediments**

327 The ESR Ti–Li intensity curves of the quartz extracted from the present-day
328 Yangtze River sediments are smooth and flat, showing no peak within red rectangle
329 (Fig. 6). This result indicates that the Ti–Li signal is completely bleached by sunlight
330 exposure in quartz extracted from the present-day Yangtze River sediments. As the
331 quartz grains extracted from sand lenses of the Yichang Gravel Layer were
332 transported and deposited in a manner similar to the modern floodplain sediments, we
333 estimate that the Ti–Li center signal intensity of the quartz was also zeroed prior to
334 deposition.

335 *Fig. 6*

336 **4.2.2 ESR dating of the Yichang Gravel Layer**

337 The ESR growth curves of the eight samples collected from the Yichang Gravel
338 Layer are displayed Fig. 7. The quartz ESR signal intensities significantly increased
339 with increasing gamma ray dose. From stratigraphically lowest to highest sections, the
340 equivalent doses (D_e) of quartz grains sampled from Lijiayuan (LJY01, LJY02),

341 Yunchi (YC01, YC02, YC03 and YC04) and Shanxiyao sections (SXY01, and SXY02)
342 were 2919 ± 362 , 2531 ± 330 , 2527 ± 389 , 2634 ± 621 , 2472 ± 364 , 2478 ± 320 , 2206 ± 281 ,
343 and 2230 ± 555 Gy, respectively. As the environmental dose rates are quite
344 homogeneous for the YGL sediments, the depositional ages determined for the eight
345 sand lens (Table 3) are older for the Lijiayuan sediments (1123 ± 139 ka, LJY01;
346 1105 ± 144 ka, LJY02), than those obtained for Yunchi section (1098 ± 169 ka, YC01;
347 1045 ± 246 ka, YC02; 1043 ± 154 ka, YC03; 983 ± 127 ka, YC04) and Shanxiyao
348 sections (865 ± 110 ka, SXY01; 734 ± 183 ka, SXY02), respectively. We can observe, in
349 addition, that the De values and ESR ages are mainly in good stratigraphical order and
350 they does not show inversion within error ranges.

351 *Table 3*

352 *Fig. 7*

353 **5. Discussion**

354 **5.1 Provenance of the Yichang Gravel Layer**

355 **5.1.1 Comparison between YGL heavy mineral assemblage and present-day** 356 **fluvial sediment**

357 To identify the source of the Yichang Gravel Layer sediments, the heavy mineral
358 assemblages of the Yichang Gravel Layer deposits was compared with those of the
359 underlying bedrocks, present-day Yangtze fluvial sediments in the Yichang area, other
360 rivers sediments in the Jiangnan Basin as well as with published heavy mineral
361 assemblage results (Fig. 8 and Fig. 9) (Yang, 1988; Xiang et al., 2007; Kang et al.,

362 2009; Yang et al., 2009). The statistic results show that the heavy mineral assemblage
363 of the Yichang Gravel Layer sediments is similar to that of present-day Yangtze
364 fluvial sediments, but significantly differs from that of the underlying bedrock
365 formations and from modern sediments carried out from other river floodplains in the
366 Jiangnan Basin, such as Qingjiang River, Zhanghe River, Jushui River, Manao River
367 and Hanjiang Rivers (Fig. 9). These results seem to indicate that the main source of
368 the Yichang Gravel Layer sediments was the upper Yangtze River Basin and the
369 contribution of rivers in Jiangnan Plain seems very limited. In fact, only the large
370 volume of water resulting from the incision of the Three Gorges seems to be able to
371 produce such a widespread thick accumulation of gravels, the water flows of the other
372 rivers in Jiangnan Plain being too low to form a such huge gravel alluvial fan. In
373 addition, these results are consistent with the conclusions derived from both the
374 studies of magnetic parameters (Zhang et al., 2008) and Pb isotopic compositions of
375 detrital K-feldspars (Zhang et al., 2017).

376 *Fig. 8*

377 *Fig. 9*

378 **5.1.2 Relationship between heavy mineral assemblage and source bedrock**

379 Because the heavy mineral characteristics of a sediment are inherited mainly
380 from the geological source and are unlikely to change with weathering and
381 transportation, heavy mineral assemblages have long been regarded as sensitive
382 indicators of the sediment source (Morton et al., 1999; Garzanti et al., 2005, 2006;

383 Garzanti and Ando, 2007; Yang et al., 2009; Hauptvogel and Passchier, 2012). Thus,
384 comparison of principle minerals of YGL sediments with those recognized in the
385 different bedrocks outcropping inside the upper Yangtze River Basin allows us to
386 constrain the provenance of the YGL materials.

387 The main heavy mineral species identified in the gravel layers in the Yichang
388 area (zircon, apatite, tourmaline, sphene, leucoxene, rutile and monazite) are common
389 minerals in igneous rocks, especially in intermediate to acidic granites. Epidote and
390 garnet are sourced mainly from metamorphic rocks, whereas hematite, limonite,
391 ilmenite, and magnetite are derived from a variety of rocks.

392 According to geological maps (Fig. 2; China Geological Survey, 2004),
393 meso-acidic granite occurs mainly within the Jinshajiang, Yalongjiang, Minjiang, and
394 upper Jialingjiang drainage basins and the Huangling anticline, all located west of the
395 Three Gorges area. Ultrabasic and basic gabbros are common in the E'meishan
396 mountain area and the Shennongjia area. Intermediate–acidic extrusive rocks and
397 pyroclastic rocks are widely distributed within the Sichuan Basin and the western
398 Shennongjia area. Metamorphic rocks are exposed mainly in the Panzhihua area and
399 the Minjiang, upper Jialingjiang, and Wujiang drainage basins. Sedimentary rocks are
400 common around the Yangtze River Basin. Furthermore, vanadium–titanium–
401 magnetite deposits crop out widely in the Panzhihua area of the upper Jinshajiang
402 drainage basin. There are scattered occurrences of magnetite in the Minjiang drainage
403 basin, and hematite and limonite are widely distributed in the Three Gorges area and
404 the upper Wujiang drainage basin (Zhao et al., 2004; Wang and Zhang, 2008). These

405 occurrences of iron minerals were the sources of the high hematite and ilmenite
406 concentrations in the Yichang Gravel Layer causing the main sources of the heavy
407 minerals in the Yichang Gravel Layer are rocks in the upper Yangtze River Basin.

408 The E'meishan basalt, which occupies an area of $\sim 300 \times 10^3 \text{ km}^2$, is the only
409 large volcanic igneous province in China (Xiao et al., 2003; Yang et al., 2009).
410 Accordingly, the E'meishan basalt has been regarded as a characteristic rock of the
411 Yangtze River Basin. Xiang et al. (2007) indicated that material from the E'meishan
412 basalt does not occur in the Yichang Gravel Layer, but that fresh basaltic clasts are
413 present in T4 and T5 terraces. For these authors, the presence of such basaltic clasts
414 originating from an area to the west of the Three Gorges in Pleistocene terraces, but
415 not in the Yichang Gravel Layer deposits indicate that the YGL material source is
416 located at the east of the Three Gorges rather than from the upper Yangtze River Basin.
417 Thus, previous workers assumed that the terraces were formed by the Yangtze River
418 system after the river had cut through the gorges. However, E'meishan basalt detritus
419 were recently found in the Yichang Gravel Layer sediments and in core samples of
420 contemporaneous sediments from the Jiangnan Basin (Yang et al., 2014).
421 Consequently, based on our mineralogical data, we further propose that the materials
422 in the Yichang Gravel Layer were derived from the upper Yangtze River Basin. This
423 finding might indicate that the incision of the Three Gorges occurred before the
424 deposition of the Yichang Gravel Layer.

425 **5.2 Chronology of the YGL and its implication for the channelization timing of**

426 **the Three Gorges Valley**

427 Field works showed that the sediment widely overlying the Yichang Gravel
428 Layer in the Jiangnan Plain corresponds to Vermicular Red Earth. Previous studies
429 showed that this formation accumulated from early middle Pleistocene (Liu et al.,
430 2008; Deng et al., 2018). As the Yichang Gravel Layer deposition zone is located
431 immediately after and below the outlet of the Three Gorges and as our analyses
432 indicate that the YGL sediment is sourced mainly from the upper Yangtze River Basin,
433 the timing of deposition of the Yichang Gravel Layer could provide a direct constraint
434 on the timing of incision of the Three Gorges. Thus, evidence of the beginning of the
435 deposits indicate the already formation of the Three Gorges Valley. From our ESR
436 dating results, we propose that the layer was deposited between 1123 ± 139 ka and
437 734 ± 183 ka. Thus, the Three Gorges was incised by the Yangtze River during the late
438 Lower Pleistocene, before 1.12 Ma.

439 **5.3 Evidence from the late Cenozoic (since 3.0 Ma) sedimentation in the central**
440 **Jiangnan Basin**

441 Based on our provenance interpretation and chronological results obtained on the
442 Yichang Gravel Layer sediments, a drainage evolution model of the Yangtze River
443 can be proposed (Fig. 10). In this model, we cannot give details prior to the early
444 Pleistocene because the Yichang Gravel Layer does not go deep enough in western
445 hilly area of Jiangnan Basin, leading to a stratigraphic gap between the Yichang
446 Gravel Layer and the underlying Neogene bedrock. However, our results clearly

447 demonstrate that the Three Gorges was incised before 1.12 Ma. This proposal is
448 supported by the data derived from the study of the Zhoulao Core (Core ZL, Fig. 8)
449 obtained from central Jiangnan Basin close to the Yichang Gravel Layer (Zhang et al.,
450 2008).

451 The magnetic stratigraphic framework of the 300.49 m long Core ZL established
452 by Zhang et al. (2008) (Fig. 11) indicates the record of the Brunhes-Matuyama
453 boundary (ca. 0.78 Ma) at 81 m depth while the Matuyama-Gauss boundary (ca. 2.58
454 Ma) is recorded at 250 m. This late Cenozoic (since 3.0 Ma B.P.) sedimentation
455 record in central Jiangnan Basin seems continuous, without erosional hiatus (Zhang et
456 al., 2008).

457 *Fig. 10*

458 In previous studies, many provenance indicators had been applied to trace
459 sediment provenance of the Core ZL to constrain the formation of the Three Gorges,
460 Fig. 11. Magnetic parameter analysis of the Core ZL (Zhang et al., 2008) showed that
461 the contents of coarse materials and stable magnetic minerals both increased
462 significantly above about 110 m depth. Meanwhile, the magnetic susceptibility of the
463 sediments, their saturation isothermal remanent magnetization and the magnetic
464 susceptibility values of anhysteretic remanent magnetism increased suddenly, at the
465 same depth, indicating an important adjustment event in water system of the Jiangnan
466 Plain, and a great change of the sedimentary environment and material composition.
467 Combined with the paleomagnetic framework, Zhang et al. (2008) proposed that the
468 channelization timing of the Three Gorges Valley probably occurred between 1.17 and

469 1.12 Ma ago. Heavy mineral analysis from the Core ZL (Kang et al., 2009) revealed
470 that at about 110 m the sedimentation is related to a river with a mineralogical
471 signature similar to the modern Yangtze River. Pyroxenes contents of the Core ZL
472 significantly changed at about 104 m (Yang et al., 2012) and trace element
473 characteristics (Yuan et al., 2012) indicated that values of several proxies (Σ REE, Y,
474 Th, U, Th/Sc, Co/Th, La/Sc, Cr/Cu) became smaller above and upwards about 125 m
475 depth, which has been interpreted as evidence that the Three Gorges was incised 1.25
476 Ma ago. After these studies, another works including bulk Nd isotopic analysis (Shao
477 et al., 2012), detrital zircon U–Pb geochronology (Wang et al., 2010), Pb isotopic
478 compositions of detrital K-feldspars (Zhang et al., 2017) and muscovite $^{40}\text{Ar}/^{39}\text{Ar}$
479 chronology (Sun et al., 2018) were also performed to trace the sediment provenance
480 of the ZL Core. All those studies constrained the formation timing of the Three
481 Gorges during the early Quaternary, providing hence solid evidence for supporting
482 our results.

483 *Fig. 11*

484 **5.4 Paleography evolution of the Three Gorges Valley and Jiangnan Basin**

485 Our provenance interpretation and chronology results of the Yichang Gravel
486 Layer, allow the proposal of a reconstruction for the valley paleography evolution of
487 the Three Gorges and Jiangnan Basin in the upper-middle Yangtze River. Wang et al.
488 (2018) considered that the Three Gorges is a drainage-divide during Late Cretaceous
489 to Eocene (Ca. 100 Ma to Ca. 50 Ma) but Pb isotope compositions of detrital

490 K-feldspars of Neogene bedrock indicate that Jiangnan Basin was still fed by local
491 sources, like Huangling Massif, but not by the paleo-upper Yangtze River Basin
492 deposits (Zhang et al., 2017). Combined with our ESR results, we propose that the
493 incision time of the Three Gorges is older than 1.12 Ma, these previous results
494 indicate that it is younger than late Neogene, (Fig. 10).

495 Our drainage evolution model shows that before the Three Gorges capture,
496 sediments coming from the east of the Three Gorges were east-shed into the Jiangnan
497 Basin, whereas sediments from the west of the Three Gorges and paleo- upper
498 Yangtze River Basin were drained into the Sichuan Basin (Fig. 10A and B). Lots of
499 the sediment, predecessor materials of the Yichang Gravel Layer, were then deposited
500 in Sichuan Basin. After the Three Gorges incision, part of these sediments were
501 carried pass the Three Gorges, and accumulated in the western part of the Jiangnan
502 Basin to formed Yichang Gravel Layer (Fig. 10C and D). Thus far, the middle and
503 lower Yangtze linked together to form the present-day Yangtze River Basin.

504 This interpretation of the incision of the Three Gorges is at odds with the post
505 middle Pleistocene formation models (Zhao et al., 1996; Gong and Chen, 1997; Xiang
506 et al., 2007; Wang et al., 2010; Chen et al., 2009) and ante Miocene formation models
507 (Clark et al., 2004; Clift et al., 2008; Richardson et al., 2010; Zheng et al., 2013;
508 Wang et al., 2018). However, our proposal of drainage evolution model is clearly
509 consistent with many studies, suggesting that the Three Gorges incision time was of
510 early Pleistocene age (Li et al., 2001; Fan et al., 2004; Xie et al., 2006; Yang et al.,
511 2006; Ma et al., 2007; Shu et al., 2008; Zhang et al., 2008; Huang et al., 2009; Kong

512 et al., 2009; Jia et al., 2010; Li et al., 2010; Gu et al., 2014).

513 **6. Conclusions**

514 The analyze of the heavy mineral assemblages of sediments sampled on six
515 representative sections of the Yichang Gravel Layer indicate that the heavy mineral
516 assemblages of the Yichang Gravel Layer are similar to those of the tributaries,
517 mainstream and source bedrocks of the upper Yangtze River Basin, but are markedly
518 different from those of rivers and underlying bedrocks in the Jiangnan Basin. Thus,
519 the material of the Yichang Gravel Layer was sourced mainly from the upper Yangtze
520 River Basin, west of the Three Gorges.

521 The results of 8 ESR Ti–Li center dating analyses constrain the deposition timing
522 of the Yichang Gravel Layer between 1123 ± 139 and 734 ± 183 ka, in good agreement
523 with the results of field lithostratigraphical investigations. From these results, we
524 propose that the Three Gorges was incised before 1.12 Ma ago. This result is
525 consistent with the provenance tracing studies of the contemporaneous sediments
526 from central Jiangnan Basin.

527

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531

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Table 1. Details of samples used in heavy mineral analysis of the Yichang Gravel Layer and the underlying

bedrock

No.	Section	Samples	Location and elevation			
			Latitude (N)	Longitude (E)	Elevation (m)	
1	Miaopu	SWMP-1, SWMP-2	30°41'78.3"	111°18'12.7"	130±5	
2	Jichanglu	JCL-1, JCL-2, JCL-3	30°25'26.5"	111°27'20.6"	110±5	
3	Luyanchong	LYC-1, LYC-2	30°32'44.8"	111°26'32.2"	110±5	
4	YGL	Shanxiyao	SXY-1, SXY-2; SXY-3, SXY-4	30°28'53.4"	111°27'38.76"	155±5
5		Yunchi	YC-1, YC-2, YC-3, YC-4	30°28'52.3"	111°27'30.12"	92±5
6	Baiyangdu	BYD-1, BYD-2, BYD-3	30°25'78.4"	111°30'66.8"	87±5	
7	Underlying bedrock	Duodaoshi Formation	DDS-1	30°34'01.79"	111°24'16.85"	104±5
8		Pailoukou Formation	PLK-1	30°22'20.59"	111°26'01.13"	47±5

Table 2. Heavy mineral species and percentage content (%) of the Yichang Gravel Layer and its bedrocks

Sampling section	Sample No.	zircon	apatite	tourmaline	sphene	kyanite	epidote	hornblende	pyroxene	garnet	leucoxene	rutile	monazite	anatase	Hematite and limonite	ilmenite	magnetite	allanite	detrital
Luyanchong section	LYC01	11.89	▲	0.71	1.56	▲	5.47	0	0	0.89	6.38	2.07	0	0.89	5.37	47.17	14.96	0	2.64
	LYC02	4.43	0.09	0.79	0.87	0	29.67	▲	▲	0.30	4.07	0.12	0	0.99	35.94	5.85	3.85	0.49	12.54
Miaopu section	MP01	5.04	1.64	1.87	0.32	1.33	3.98	▲	0	1.99	14.1	0.42	0	0.36	16.82	49.72	0.94	▲	1.48
	MP02	15.23	3.70	1.12	0.50	0	2.35	0	▲	0.28	3.76	2.3	0	2.82	30.78	35.54	1.1	0	0.53
Jichanglu section	JCL01	19.41	0.88	1.54	1.70	0	6.80	0	▲	0.97	11.9	3.38	0	0.97	31.53	7.98	0	▲	12.94
	JCL02	11.26	0.37	0.80	0.35	0	18.65	0	▲	1.01	7.25	0.71	0	1.01	6.1	33.35	2.62	▲	16.52
	JCL03	18.18	0.41	0.72	0.80	0	6.37	0	0	1.81	3.72	3.17	0	1.82	6.56	44.87	8.21	0	3.37
Yunchi section	YC01	2.25	0.92	0.40	1.77	0	20.43	5.05	0.88	2.02	2.07	1.18	0	1.01	41.48	3.57	1.31	0.5	12.77
	YC02	9.75	0.08	0.35	0.77	0	6.92	0	0	0.09	1.79	0.20	0	1.75	51.71	5.15	18.1	0.09	3.25
	YC03	3.36	0.55	0.80	0.88	▲	42.41	0.25	0.26	2.01	4.12	0.70	0	▲	4.85	33.18	2.6	0.2	2.24
	YC04	2.33	0.95	0.67	1.84	0	52.45	0	▲	2.09	6.44	0.24	0	▲	5.05	19.74	2.71	▲	4.67
Shanxiyao section	SXY01	8.87	4.09	0.10	0.56	0.11	8.80	0.10	0.11	1.28	12.9	0.75	0	0.38	32.38	22.99	0.2	▲	6.39
	SXY02	1.96	▲	0.07	0.56	0	6.97	0	▲	1.76	7.23	0.31	0	0.09	29.78	25.97	21.67	▲	4.19
	SXY03	13.73	3.48	3.04	▲	0.19	0.53	▲	0.18	0.20	8.45	1.68	0	6.86	31.83	23.03	0.78	0.25	5.05
	SXY04	2.15	0.88	▲	0	▲	13.53	▲	▲	2.89	27.62	1.12	0	▲	40.65	2.27	0	0	4.29
Baiyangdu section	BYD01	4.27	0.82	0.35	2.37	1.04	9.91	▲	0.79	2.70	2.08	1.84	0	▲	24.5	43.34	0.29	0	5.20
	BYD02	6.07	1.02	0.15	0.79	0.52	10.25	0.16	▲	1.50	5.20	2.19	0	0.37	23.62	45.91	0	0	2.24
	BYD03	3.00	2.45	0.43	0.79	0.33	7.10	0.37	▲	0.45	0.92	1.05	0	2.70					
Pailoukou	PLK01	23.89	0	0.12	1.03	5.61	0.37	0	0.12	0.85	8.11	10.77	15.54	0.59	3.76	29.25	39.48	0	10.03

Formation																			
Duodaoshi Formation	DDS01	11.89	5.03	4.01	1.95	1.60	0	0	0	16.62	20.96	9.19	0	0.74	1.15	25.71	39.44	0	10.57

▲ indicates that the mineral occasionally appeared in bulk sample. The YGL data were cited from Kang et al. (2014).

Table 3. ESR dating results of quartz Ti–Li centers from the Yichang Gravel Layer, Jiangnan Basin

Sample No.	Depth (m)	U (ppm)	Th (ppm)	K ₂ O (%)	WT (%)	Environmental dose rate (Gy/ka)	Equivalent dose (Gy)	Age (ka)
SXY02	4	2.32	4.61	1.78	8±5	3.04	2230±555	734±183
SXY01	19	1.33	4.35	1.68	8±5	2.55	2206±281	865±110
YC04	40	0.878	5.82	1.66	8±5	2.52	2478±320	983±127
YC03	51	1.05	4.71	1.56	8±5	2.37	2472±364	1043±154
YC02	63	1.28	4.69	1.63	8±5	2.52	2634±621	1045±246
YC01	75	0.944	4.87	1.51	8±5	2.30	2527±389	1098±169
LJY02	83	1.08	5.53	1.37	8±5	2.29	2531±330	1105±144
LJY01	99	1.52	4.63	1.62	8±5	2.60	2919±362	1123±139

Figure 1. Location of the Yangtze River Basin and distribution of the Yichang Gravel Layer. A). The Yangtze River Basin acts as a linkage between the Tibetan Plateau and East China Sea; B). key capture points of the Yangtze River Basin: the First Bend (black circle) and the Three Gorges (red rectangle); and location of the Jiangnan Basin at the outlet of the Three Gorges; C). distribution of the Yichang Gravel Layer (dashed line) and locations of the studied sections.

Figure 2. Map showing the bedrock and geological blocks in the Yangtze River Basin.

Figure 3. Elevation, lithological sequence, ESR sample locations, and field photographs of the studied sections.

Figure 4. Sedimentary logs of the sampling sites in the Yichang Gravel Layer, with the locations of individual samples marked (modified from Kang et al., 2014).

Figure 5. Main heavy mineral percentage of various sections of Yichang Gravel Layer (YGL) and its bedrocks. Zircon, epidote, hematite and limonite are characteristic minerals in Yichang Gravel Layer while kyanite, garnet, rutile, monazite and magnetite are diagnostic minerals in the bedrocks. A-F): six typical sections of YGL, details in Fig. 4. G-H): Neogene bedrock (DDS) and Paleogene bedrock (PLK).

Figure 6. Left-hand panels: quartz ESR spectra of the Al and Ti centers of

present-day Yangtze River sediment from the Yichang area. Right-hand panels: magnified ESR spectra showing the quartz Ti–Li centers of present-day fluvial sediment from the Yangtze River in the Yichang area. The quartz Ti–Li centers are likely to have been bleached to zero prior to burial.

Figure 7. Additional dose response curves of quartz Ti–Li centers for samples collected from the Yichang Gravel, Jiangnan Plain.

Figure 8. Map showing sampling sites for major rivers and bedrock in the Jiangnan Basin. DDS-1: Duodaoshi Formation (bedrock); PLK-1: Pailoukou Formation (bedrock). Y-1~5: present-day Yangtze fluvial sediments in the Yichang area; H-1 and H-2: Hanjiang River; Q-1: Qing River; M-1: Manao River; J-1: Jushui River; Z-1: Zhanghe River (quoted from Kang et al., 2014).

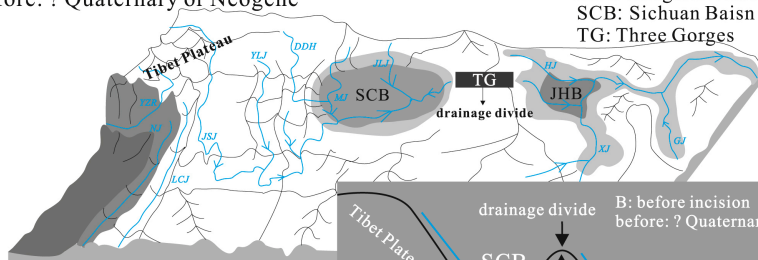
Figure 9. Composition of the heavy mineral assemblage of the Yichang Gravel Layer (group of red triangles) and comparison of the assemblage with that of bedrocks (group of dark blue dots), present-day Yangtze fluvial sediments (group of light blue heart-shapes) and major rivers in the Jiangnan Basin (group of yellow squares). All of the four ternary diagrams highlight that the heavy mineral assemblage of the YGL is similar to that of present-day Yangtze fluvial sediments, while is far distinct from that of bedrock and other rivers in Jiangnan Plain. A): Ternary diagram based on the principle heavy minerals of zircon, apatite and leucoxene; B): Ternary diagram based on the principle heavy minerals of magnetite, ilmenite and tourmaline+kyanite; C):

Ternary diagram based on the principle heavy minerals. ATi: $\text{apatite\%}/(\text{apatite\%}+\text{tourmaline\%})$; GZi: $100\times\text{garnet\%}/(\text{garnet\%}+\text{zircon\%})$; ZTR: $\text{zircon\%}+\text{tourmaline\%}+\text{rutile\%}$; D): Ternary diagram based on the principle heavy minerals of epidote, hematite+limonite, and garnet+monazite (data of present-day Yangtze fluvial sediments and major rivers in the Jiangnan Basin are quoted from Yang et al., 2009 and Kang et al., 2014).

Figure 10. Sketch map showing the valley and paleogeography evolution of the Three Gorges and Jiangnan Basin in upper-middle Yangtze River Basin.

Figure 11. Lithology, paleomagnetic chronology, and provenance indicators variation of the Core Zhoulao (ZL) located in central Jiangnan Basin. Magnetism characteristic, heavy mineral assemblage, pyroxenes content, and trace element characteristic revealed that the sediment from upper Yangtze River Basin had reached to Jiangnan Basin at about 1.1 Ma B.P. (Zhang et al., 2008; Kang et al., 2009; Yang et al., 2012; Yuan et al., 2012; Sun, 2018).

A: before incision
before: ? Quaternary or Neogene



C: after incision
no later than 1.12 Ma

