

# Chitosan Hydrogels Incorporating Colloids For Sustained Drug Delivery

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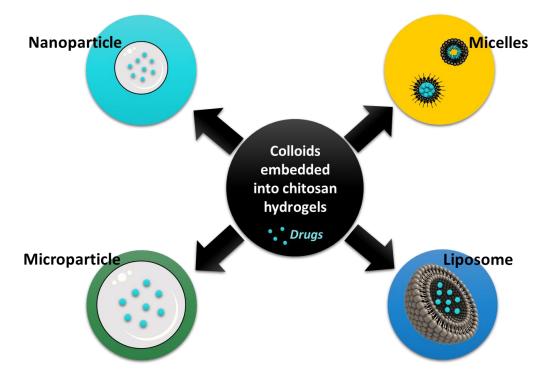
1	<b>Chitosan Hydrogels Incorporating Colloids</b>
2	For Sustained Drug Delivery
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13	
14	Abstract
15	In today's biomedical research, a huge effort is being made towards the development of
16	efficient drug delivery systems, achieving sustainable and controlled delivery of drugs.
17	Chitosan (CS) hydrogels are high water content materials with very relevant biological
18	properties to that purpose. Their use for a local and delayed delivery has already been
19	demonstrated for a wide variety of therapeutic agents. One relatively recent strategy to improve
20	these CS-based systems consists in the insertion of colloids, embedding drugs, within their
21	three-dimensional matrix. This provides a second barrier to the diffusion of drugs through the
22	system, and allows to better control their release. The main objective of this review is to report
23	the many existing complex systems composed of CS hydrogels embedding different types of
24	colloids used as drug delivery devices to delay the release of drugs. The various biomedical
25	applications of such final systems are also detailed in this review.
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27	
28	Keywords
29	Chitosan; hydrogels; colloids; drug delivery systems
30	

31 Introduction

Chitosan (CS) physical and chemical hydrogels are known to be very efficient and useful 32 33 systems, not only to delay the release of hydrophilic, hydrophobic molecular or even 34 macromolecular drugs (Bhattarai et al., 2010; Deen & Loh, 2018; Elgadir et al., 2015; Giri et al., 2012; Peers et al., 2020; Ribeiro et al., 2017; Shariatinia & Jalali, 2018), but also to better 35 36 target the treatment site, with *in situ* injections for example (Ta et al., 2008). They can also be 37 used for specific biomedical applications as wound healing (Hamedi et al., 2018; H. Liu et al., 38 2018; L. Liu et al., 2016), gastrointestinal (Hejazi & Amiji, 2003), ophthalmic (Wang & Han, 39 2017) treatments or to control angiogenesis (Ishihara et al., 2006). In the literature, three 40 different mechanisms are described to explain the release of drugs from CS hydrogels: i) 41 diffusion-controlled, ii) swelling-controlled, and iii) chemically-controlled release (Azevedo, 42 2015). However, even if CS hydrogels act as diffusion barriers for the entrapped drugs, several 43 teams have already demonstrated that such systems undergo a non-negligible burst-effect. This 44 phenomenon corresponds to the fast and uncontrolled delivery of a high amount of the 45 entrapped drugs immediately upon administration (X. Huang & Brazel, 2001).

Even if in some cases the burst release might be desirable (*e.g.*, for encapsulated flavours or local targeted delivery), it is often considered as a detrimental consequence in most of drug delivery applications. Consequently, an intensive research is made to reduce this burst effect by designing more efficient drug delivery systems. One strategy is the incorporation of drugs into colloids that are themselves embedded into the CS hydrogel.

51 The main objective of this review is to report the studies described in the literature about 52 "CS hydrogel-colloids" assemblies, specifically valorized as delivery devices of drugs. The 53 colloids considered in this review are microparticles, nanoparticles, liposomes or even micelles 54 (Figure 1). Their biomedical applications are also detailed herein. Note that drug non-55 proprietary names are employed throughout the review. Hereinafter, the word "drug" refers to 56 active molecules of therapeutic interest, or model molecules (used for proof of concept). 57 Furthermore, it was chosen not to detail cyclodextrin-based systems (Kono & Teshirogi, 2015; 58 Maestrelli et al., 2006; Zhou et al., 2016) and/or nanoemulsions (Moradi et al., 2019; dos Santos 59 et al., 2020; Demisli et al., 2020) in this review.



- **Figure 1.** Schematic representation of different colloids incorporated into CS hydrogels to control and/or delay the release of drugs, described in the literature.
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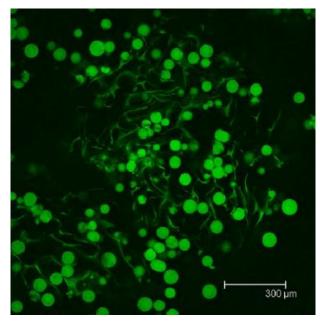
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### 1. « Chitosan hydrogel/microparticles » assemblies

The incorporation of drug-loaded microparticles into the hydrogel matrix provides a second 65 barrier to drugs' diffusion. To this end, drugs have to be firstly incorporated into the 66 67 microparticles, and then into the hydrogel network formed by entangled polymer chains. In 68 most of the cases, the insertion of polymer microparticles in CS hydrogels limits or even 69 eliminates the burst release phenomenon, that is to say the sudden fast and uncontrolled delivery 70 of a high amount of drugs entrapped in CS hydrogels in the first minutes/hours after 71 administration. Burst release is observed with "traditional" hydrogels and is often related to 72 adsorbed drugs on the hydrogel surface, that are released faster than drug molecules entrapped 73 within the hydrogel matrix (Pitorre et al., 2017). Several experimental methods can be used for 74 the elaboration of polymer microparticles (Li et al., 2017). In the literature, one can find phase 75 separation (e.g., (Zan et al., 2006)), spray-drying (e.g., (Legrand et al., 2007) (Yang et al., 76 2017)) or a two-phases nano-emulsion elaboration (mostly « oil-in-water », but also « water-77 in-oil », e.g., (Moebus et al., 2009) (Qi et al., 2016) (Dehghan-Baniani et al., 2017)). More than 78 two phases can also be prepared to create a multi-emulsion (e.g., (Legrand et al., 2007; Zhu et 79 al., 2018)). Depending on the method employed for the microparticle elaboration, different 80 sizes, drug incorporation rates, and morphologies of microparticles can be obtained. For

81 example, it has been demonstrated that the incorporation of an antibiotic, the vancomycin 82 (VCM) into (hydroxypropyl)methyl cellulose (HPMC) microparticles lead to more spherical 83 morphologies than microparticles without VCM (Mahmoudian & Ganji, 2017). In most cases, 84 microparticles are embedded into hydrogel before its gelation process by mixing the 85 microparticle suspension and the CS solution. This method is actually mostly used with 86 thermosensitive hydrogels for which the microparticle suspensions are pre-formed with control 87 of the microparticle size and dispersion, and then mixed with CS solution. Some studies thus have revealed that the incorporation of microparticles into thermosensitive hydrogels decreased 88 89 the gelation time (Dehghan-Baniani et al., 2017; Mahmoudian & Ganji, 2017; Qi et al., 2016; Yang et al., 2017; Zan et al., 2006). In these types of systems, microparticles act as crosslinking 90 91 nodes and facilitate the gelation process. Such « CS hydrogel/microparticles » assemblies were 92 valorized in different biomedical applications with various administration routes (oral, ocular 93 or direct injection on the injury site).

A faster ulcer healing on mice dorsal skin after the application of a CS scaffold in which gelatin microparticles (< 100  $\mu$ m, elaborated by water-in-oil emulsion) were embedded has also been shown (Park et al., 2009). A growth factor (b-FGF) was firstly incorporated into gelatin microparticles, and the final assembly was observed by confocal microscopy (**Figure 2**). The autofluorescent properties of gelatin and chitosan were used to capture the confocal images. No burst release phenomenon was observed with this assembly, allowing a sustained release of the b-FGF growth factor *in vivo* for 10 days.



101

102 **Figure 2.** Confocal micrograph of gelatin microparticles in chitosan scaffold (Park et al.,

2009)

105 Such « CS hydrogel/microparticles » assemblies can thus support tissue regeneration. They 106 can also be used for the sustained and delayed release of drugs incorporated into microparticles. 107 A transforming growth factor (TGF), or a model protein (bovine serum albumin, BSA), were 108 embedded into CS microspheres (0,2-15 µm), then were incorporated into a CS scaffold for the 109 chondrocyte proliferation enhancement and the cartilage regeneration (Kim et al., 2003). 110 Protein release profiles from these CS microspheres (diffusion process) have been presented, but no information was given about the protein release from the final assemblies. This work has 111 112 interestingly shown a sustained release of TGF with its incorporation into CS microspheres, 113 inducing a high chondrocyte proliferation within the scaffold. This trend has been attributed to 114 the ability of TGF to promote protein synthesis and chondrocyte cellular proliferation.

115 For articular inflammation treatment, other microparticles composed of poly(lactic-co-116 glycolic acid) (PLGA, microparticles with diameters from 5 to 120 µm) have also been incorporated into CS hydrogels (Joung et al., 2007; Zhu et al., 2018). The release of an anti-117 118 inflammatory drug, lornoxicam, from PLGA microspheres, embedded (before the CS gelation 119 process) into an *in situ* gelling CS formulation leading to a chemical hydrogel was studied (Zhu 120 et al., 2018). Only 20% of the initial incorporated drugs have been released in one hour (versus 121 30% when the drugs were incorporated into microspheres) with a sustained release of drugs 122 during more than 13 days. In this study, no comparison has been done with the release of 123 lornoxicam directly incorporated into CS hydrogels.

*In vivo* drug activity was also studied in mouse after intra-articular injections of particles entrapped in a CS/glycerophosphate (GP) solution (Zhu et al., 2018). Gelation of this CS/GP solution was achieved within 5 minutes after the injection, and that the CS/GP hydrogel formed was maintained at the injection site. With such a « CS/GP hydrogel/microparticles » assembly, the lornoxicam concentration into the blood was lower than in the case of a direct injection of a lornoxicam solution in the delivery site, which was a proof of a sustained delivery of this drug.

Alginate microparticles, with diameters from 1 to 25 µm, loaded with diclofenac have also been elaborated before the CS gelation process (Qi et al., 2016). These microparticles were embedded into thermosensitive CS/GP physical hydrogels for the treatment of articular inflammations (arthrosis). In this study, the drug was progressively released for 5 days *in vitro*. A slowdown in the inflammation propagation was observed *in vivo*, but the cartilage healing was only partial, even after 3 weeks of treatment. However, this treatment is efficient forreducing intra-articular injections, with a minimal immune response.

138 Porous PLGA microparticles, with diameters ranging from 20 to 120 µm and incorporating 139 vascular endothelial growth factor (VEGF), were embedded (before the CS gelation process) 140 into CS/alginate chemical hydrogels associated to an antibiotic, VCM, linked to the CS 141 hydrogel via Schiff's base reaction (J. Huang et al., 2018). This assembly was efficient for the 142 delayed release of VCM, and more particularly for wound healing applications. As a first step, 143 the pores of PLGA microparticles were temporarily "closed" by CS/alginate solution, which 144 drastically slows the release of the VEGF. The release of VCM was here governed by the 145 environmental pH. The VCM and VEGF release profiles could allow not only the treatment of 146 infections via the fast release of an antibiotic, but also the healing of wounds by means of the 147 sustained delivery of VEGF, enhancing vascularization and tissue regeneration.

148 The incorporation of PLGA microparticles (before hydrogel gelation) into 149 CS/polyvinylpyrrolidone hydrogels to control the delivery of an anti-inflammatory drug, 150 dexamethasone has also been studied (Saeedi Garakani et al., 2020). The use of a "hybrid" 151 assembly considerably delays the release of the entrapped drug with 80% of the drug released 152 after 30 days, while in the microparticles, the release was completely achieved after 22 days. 153 When the drug was incorporated alone within the hydrogel, it was fully released in few hours, 154 demonstrating the efficiency and interest of this system for wound healing applications. 155 Different parameters of great interest have been studied such as mechanical properties, porosity, 156 swelling ratio, biodegradability or antibacterial activity. For example, they observed an increase 157 in the mechanical properties of the assembly after microparticles addition, attributed to a stiffer 158 structure of the embedded particles compared to the hydrogel. Another interesting point is that 159 an increase in PLGA microparticles content improved not only the mechanical properties, but 160 also the cell viability and antibacterial activity of the systems.

161 Starch microparticles (1.7-2.5 µm) have also been incorporated into thermosensitive CS/monoammonium phosphate physical hydrogel, with the aim of creating a mime of cartilage 162 163 (Dehghan-Baniani et al., 2017). Starch was selected for its biocompatibility, biodegradability, 164 non-toxicity and low price. These microparticles acted as new crosslinking points (Figure 3) 165 by creating interactions between starch and CS chains that enhanced mechanical properties of 166 the assembly (compressive elastic modulus around 28 kPa, versus 2 kPa for pure chitosan). 167 Such an assembly could thus be used for the delayed release of drugs, although no study has 168 been done by this team of co-workers.

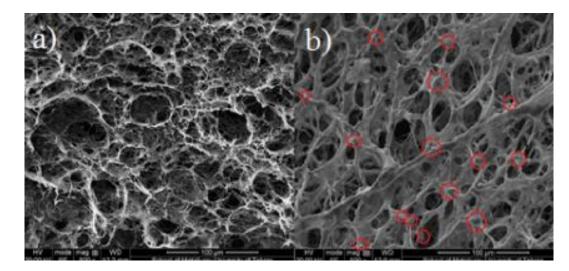


Figure 3. SEM images of a) a CS hydrogel with a porous structure, and b) a CS hydrogel
incorporating starch microparticles (0,02g/10 mL CS solution, red circles were added by the
authors to display them), magnification x500 (Dehghan-Baniani et al., 2017)

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175 Similarly, VCM was also incorporated into HPMC microparticles (about 5 µm) for the 176 treatment of osteomyelitis (Mahmoudian & Ganji, 2017). Its release was examined over 12 h. 177 When VCM was incorporated into a CS chemical hydrogel, the VCM release was delayed over 178 more than 3 days in comparison with free VCM and VCM-loaded microparticles. Finally, 100% 179 of incorporated VCM was released (thanks to the double diffusion barrier) over 160 h when it 180 was firstly incorporated into HPMC microparticles, themselves embedded into CS chemical 181 hydrogel. Note that the presence of HPMC microparticles inside the CS hydrogel matrix seemed 182 to decrease the gelation time (8 min for the « CS hydrogel/microparticles » assembly versus 13 183 min for the CS hydrogel without HPMC microparticles).

191 The same drug 5-FU has been also embedded into gelatin microsphere, then incorporated 192 (before gelation process) in chitosan-alginate hydrogels (Chen et al., 2019). The novelty of this 193 works resides in the incorporation of magnetic nanoparticles inside the microspheres, allowing 194 a tunable release of the entrapped drug under magnetic field. In the early hours, no significant 195 difference was observed between assembly with or without the magnetic field application. After 196 five days, cumulative release rate of 5-Fu showed a significant increase under the external 197 magnetic field, and the final release reached 75% under magnetic fields, against only 55% for 198 the assembly without magnetic field application. A shorter gelation time has been observed, 199 lower swelling ratio and slower degradation process *in vitro* for "hybrid" assemblies compared 190 to the controls.

201 Microparticle insertion into a CS hydrogel could also enhance the bioadhesive properties of 202 CS hydrogel (Yang et al., 2017). They elaborated a thermosensitive CS/GP physical hydrogel 203 incorporating microparticles with diameters between 1.5 and 4.3 µm (made of CS and a 204 polysaccharide extracted from Bletilla Striata plant) loading Tenofivir (TFV). This drug 205 partially prevents HIV transmission to women. These TFV-loaded microparticles were incorporated before the CS gelation process. According to their results, the mucoadhesion on 206 207 vaginal mucosa followed this order: TFV incorporated in microparticles themselves inserted in 208 the CS hydrogel > TFV incorporated in the CS hydrogel » > TFV in the microspheres > only 209 TFV in solution. Nevertheless, note that no information about mucosa/CS hydrogel or 210 mucosa/microparticle interactions was provided. Interestingly, they demonstrated that the burst 211 release phenomenon was strongly limited with this « CS hydrogel/microparticles » assembly: 212 only 13%, 21%, and 32% of TFV released over 15, 30 et 60 min respectively from this assembly, when more than 30% were released over only 30 min from TFV-loaded 213 214 microparticles (without their incorporation in the CS hydrogel).

To conclude this part and in a more exhaustive way, the goal of Table 1 is to report works about the controlled delivery of drugs from these « CS hydrogel/microparticles » assemblies that can be found in the literature, to the best of our knowledge.

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- 226 **Table 1.** Nature and diameters of microparticles, nature of drugs inserted in microparticles of
- 227 « CS hydrogel/microparticles » assemblies for the controlled delivery of drugs agents used in
- 228 different biomedical applications

Microparticle nature	Microparticle diameter range (µm)	Drugs	<b>Biomedical</b> application	Reference
Chitosan	0,2-1,5 29-40	TGF <sup>1</sup> (growth factor) BSA <sup>2</sup> (model protein) BSA	Tissue engineering	(Kim et al., 2003) (Fan et al., 2017)
Alginate	1-25 2-10	Diclofenac (anti-inflammatory drug) BSA	Articular treatment Tissue engineering	(Qi et al., 2016) (Xing et al., 2019)
Starch	1,7-4,5	Unspecified	Tissue engineering	(Dehghan- Baniani et al., 2017)
	5-75	Indometacin (anti-inflammatory drug)	Unspecified	(Joung et al., 2007)
Poly(lactic-co- glycolic acid)	6-7	Lornoxicam (anti-inflammatory drug)	Articular treatment	(Zhu et al., 2018)
	20-120	VEGF <sup>3</sup> (growth factor)	Wound healing	(J. Huang et al., 2018)

Hydroxypropyl methylcellulose	1,5-6,4	VCM <sup>4</sup> (antibiotic)	Osteomyelitis treatment	(Mahmoudian & Ganji, 2017)
poly hydroxybutarate	20-35	5-FU <sup>5</sup> (anticancer drug)	Adenocarcinoma	(Zan et al., 2006)
CS-bletilla striata polysaccharide	1,5-4,3	Tenofovir (antiretroviral)	HIV transmission prevention	(Yang et al., 2017)
Caladia	40-90	b-FGF <sup>6</sup> (growth factor)	Ulcer treatment	(Park et al., 2009)
Gelatin	0.6	5-FU	Cancer treatment and tissue regeneration	(Chen et al., 2019)
PLGA	1,9-2.9	Dexamethasone	Wound healing	(Saeedi Garakani et al., 2020)

229 <sup>1</sup>TGF = transforming growth factor

 $^{2}$ BSA = bovine serum albumin

 $^{3}$  VEGF = vascular endothelial growth factor

 $^{4}$  VCM = vancomycin

 $^{5}$  5-FU = 5-fluorouracil

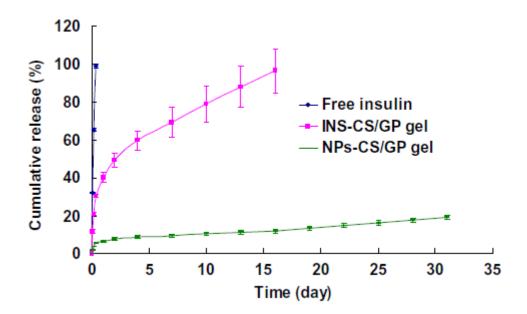
- <sup>6</sup>b-FGF = basic fibroblast growth factor
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## 2. « Chitosan hydrogel/nanoparticles » assemblies

237 Drugs of different nature can also be incorporated in nanoparticles. Nanoparticles could also 238 be incorporated in CS hydrogels, providing a second barrier to the diffusion of drugs, and delaying their delivery (Morantes et al., 2017). As seen before for polymer microparticles, 239 240 different methods can be employed for the elaboration of polymer nanoparticles (Li et al., 241 2017). For example, emulsion (mostly water-in-oil) (Peng et al., 2013; Dehghan-Baniani et al., 242 2017), ionic gelation (Bugnicourt et al., 2014; Li Hui et al., 2016), nanoprecipitation (Legrand 243 et al., 2007) or emulsion/gelation (Qi et al., 2016) processes could be used for such a 244 preparation.

Another biomedical application of these « CS hydrogel/nanoparticles » assemblies is the 246 247 regulation of glycaemia with insulin incorporation (which commonly implies daily injections 248 for diabetic patients). A thermosensitive CS/GP physical hydrogel for the controlled delivery 249 of insulin, poly-3-hydroxybutarate-co-hydroxyhexanoate (PBHBHH) incorporating 250 nanoparticles (incorporated before the CS gelation process) has also been elaborated (Peng et 251 al., 2013). In vitro, 95% of initially incorporated insulin were released over 16 days when they 252 were incorporated into CS/GP hydrogel. On the contrary, only less than 20% was released when 253 the nanoparticles are firstly incorporated into CS hydrogels (Figure 4). Hypoglycaemic activity 254 was also measured *in vivo*, showing an important decrease of blood glucose levels, and thus a 255 better insulin bioavailability.



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Figure 4. Cumulative release profiles (% of initially incorporated drug) of free insulin (blue diamonds), insulin into « CS/GP hydrogels » (pink squares), or insulin in « CS/GP hydrogel/insulin loaded-PBHBHH nanoparticles » assemblies (green hyphens) (Peng et al., 2013)

Another way to delay the release of hydrophobic drugs consists in the elaboration of a colloid suspension surrounded by one or more polymer layer(s) with a final diameter of nanocolloids around 500 nm. This method was for example used for the controlled release of an anticancer drug, camptothecin inserted in nanocolloids, themselves covered by a trimethyl CS layer (Li XingYi et al., 2010). This colloid suspension was then incorporated in a thermosensitive CS/dibasic sodium phosphate physical hydrogel (before the CS gelation process). The drug release and cytotoxicity related to the antitumor activity against specific breast cancer cells

269 were studied in vitro. After proving the in vivo biodegradability of these assemblies, the in vitro 270 delayed release of camptothecin in PBS at pH 7.4 (diffusion throughout the assembly) has been 271 studied. 30% of the initially incorporated drug were released over 3 days, 70% over 18 days. 272 This assembly seemed appropriate not only for protection of drug (as nearly 90% of the 273 camptothecin were preserved in the lactone form), but also to delay its release in situ, while 274 maintaining its antitumor activity. To conclude this part and in a more exhaustive way, the goal 275 of Table 2 is to report studies about the controlled delivery of drugs from «CS 276 hydrogel/nanoparticles » assemblies that can be found in the literature, to the best of our 277 knowledge.

- 278
- 279 Table 2. Nature and diameters of nanoparticles, nature of drugs inserted in nanoparticles of «
- 280 CS hydrogel/nanoparticles » assemblies for the controlled delivery of drugs used in different
- 281 biomedical applications

Nanoparticle nature	Nanoparticle diameter range (nm)	Drugs	Biomedical application	Ref.
Poly-3- hydroxybutarate- co- hydroxyhexanoate	UNS <sup>1</sup>	Insulin (Peptide hormone)	Glycaemia regulation	(Peng et al., 2013)
Chitosan	500-900	DNA-BMP (DNA plasmid)	Tissue engineering	(Li Hui et al., 2016)
Chitosan	266	FGF <sup>2</sup> (growth factor)	Tissue engineering	(Azizian et al., 2018)
Trimethyl CS	500	Camptothecin (anticancer drug)	Ovary cancer treatment	(Li XingYi et al., 2010)

1 UNS = unspecified

283 <sup>2</sup> FGF = fibroblast growth factor

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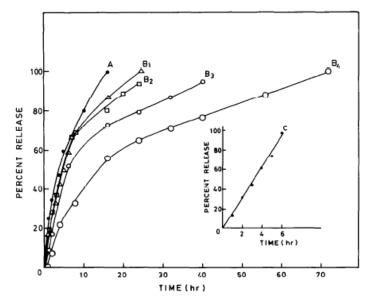
#### 3. « Chitosan hydrogel/liposomes » assemblies

286 Since the early 1990's, several research teams worked on liposomes, used as drug 287 « reservoirs », embedded in CS hydrogels. Indeed, the lipid membrane acts as a supplementary 288 barrier against the drug diffusion throughout the CS hydrogel, while limiting the toxicity of 289 incorporated drugs. This membrane also ensures a better drug stability against surrounding 290 environment. Nevertheless, a review in 2019 entitled "Liposomes-in-Chitosan Hydrogels: 291 Challenges and Opportunities for Biomedical Applications" only mentions about twenty 292 international articles on such assemblies. The combination of two systems (liposomes and CS 293 hydrogels), both of which have the ability to control the delivery of incorporated drugs, was 294 studied with different drugs depending on the targeted biomedical application (Grijalvo et al., 295 2019).

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### 297 Incorporation of hydrophilic drugs in liposomes

298 Preliminary studies on « CS hydrogel/liposomes » assemblies were done for the controlled 299 delivery of a water-soluble model molecule, the bromothymol blue (BTB), incorporated into 300 the aqueous cavity of zwitterionic liposomes (phosphatidylcholine, PC). CS hydrogel was 301 chemically crosslinked with the use of ethyl-3-(3-dimethylaminopropyl) carbodiimide (EDAC) 302 (Rao & Alamelu, 1992). It has been shown that the release of BTB was delayed, with 100% of 303 the initially incorporated BTB into liposomes released over 6 h, versus 15-20 h in the case of 304 liposomes incorporated into CS hydrogels. The higher the EDAC crosslinking agent 305 concentration, the slower the release (25h for 5 mg of added EDAC against more than 70h for 306 30 mg, Figure 5).



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309Figure 5. Influence of the EDAC crosslinking agent concentration on the cumulated release310(% of total initially incorporated drug) of BTB incorporated into PC liposomes embedded in a311CS hydrogel (A) without EDAC, (B1) with 5 mg EDAC, (B2) 10 mg EDAC, (B3) 20 mg312EDAC, (B4) 30 mg EDAC. These release profiles were performed in a phosphate buffer at313pH = 7.4 The C curve is representative of the release of BTB from free liposomes (Rao &314Alamelu, 1992)

316 More recently, a sustained release of calcein over more than 20 days when this water-soluble 317 molecule was incorporated into Phospholipon®-90G liposomes, themselves embedded in 318 CS/gelatin chemical hydrogels. Hydrogels are crosslinked with two different crosslinking 319 agents: glutaraldehyde and sodium sulphate or sodium triphosphate (Ciobanu et al., 2014). In 320 this work, a significant burst effect when calcein was directly incorporated into the hydrogel 321 has been observed. On the contrary, the incorporation of calcein into liposomes (calcein-loaded 322 liposomes incorporated before the CS gelation process) creates a second diffusion barrier and 323 delayed the release of calcein throughout the final assembly. Similar results have been obtained 324 with chemical CS/gelatin hydrogels, crosslinked by glutaraldehyde or sodium tripolyphosphate, 325 incorporating Phospholipon®-90G liposomes (also incorporating calcein) (Paun et al., 2016). 326 An assembly composed of CS hydrogel and Phospholipon®-90G liposomes incorporating 327 calcein has also been elaborated (Popa et al., 2017). These hydrogels were physically 328 crosslinked with the addition of tannic acid. The ability of this assembly to remove the burst 329 release phenomenon, with a sustained release of calcein over few days (less than 10% of 330 initially incorporated calcein were released after 10 days, Figure 6 right versus Figure 6 left

displaying data obtained for CS hydrogels without liposome) has been demonstrated. This trend
can be attributed to the diffusion of liposomes outside the hydrogel matrix. The liposome lipid
bilayer would be then destabilized, slowly releasing the entrapped calcein.

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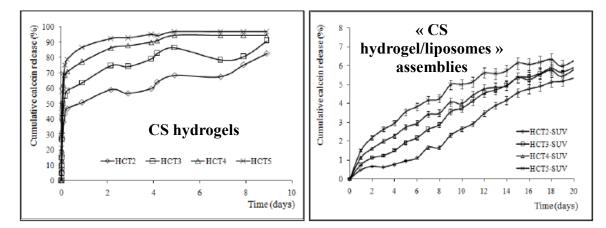


Figure 6. *In vitro* cumulative release of calcein under magnetic stirring (in PBS, at 37°C, 60
rpm) directly incorporated in CS/tannic acid hydrogels (left), or incorporated into
Phospholipon®-90G liposomes embedded into CS/tannic acid hydrogels (right) with different
molar ratios in CS and tannic acid (Popa et al., 2017)

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341 Similar assemblies have been studied for wound healing after burn injuries (Hurler et al., 342 2012; Hurler & Škalko-Basnet, 2012). Mupirocin, an antimicrobial agent, was incorporated into 343 Phospholipon®-90G liposomes (mupirocin encapsulation rate between 50 and 75%), 344 embedded (before the CS gelation process) in CS/glycerol physical hydrogels. The double 345 diffusion barrier of « CS hydrogel and liposomes » assemblies limits the release of mupirocin 346 with less than a half of the initially incorporated drug released within 24 h, whereas the total 347 amount was released from the hydrogel without liposome over the same time. These results 348 were confirmed by skin penetration studies, with release profiles similar to the ones obtained 349 in vitro, which is very promising for burn injuries treatments.

Very recently, the incorporation of desferrioxamine (DFO), a chelating agent of iron and trivalent aluminium, in the aqueous cavity of dipalmitoyl-sn-glycero-3-phosphocholine (DPPC), 1, 2-distearoyl-sn-glycero-3-phosphoethanolamine-N-[amino(polyethyleneglycol)-2000] (DSPE-PEG), and monostearoylphosphatidylcholine (MSPC) liposomes with a molar ratio of 85.3:9.7:5.0, respectively has been examined (O'Neill et al., 2017). Note that the DFO incorporation rate into liposomes was not mentioned in this work. These liposomes were then embedded into a thermosensitive CS/GP physical hydrogel.

358 Another assembly composed of doxorubicin incorporated into PC liposomes (with a 359 diameter around 95 nm) embedded (before the CS gelation process) into thermosensitive 360 CS/GP physical hydrogels has been elaborated (Ren et al., 2016). Two major advantages of 361 these assemblies are: i) they are maintained on the damaged site (herein, the liver), and ii) the 362 drug toxicity on surrounding tissues and organs is decreased. According to their results, such 363 assemblies could delay the release of doxorubicin and decrease the burst release in the 4th first 364 hours after injection. Indeed, only 12% of doxorubicin were released during this time for the 365 «CS hydrogel/liposomes » assembly (drug incorporation rate in liposomes around 98%) 366 against around 25% when free drug was directly incorporated in CS hydrogel. After that, the 367 doxorubicin was slowly released from the two systems. This trend was attributed to: i) the 368 release of doxorubicin from the cavity of the liposomes towards the hydrogel, followed by a 369 diffusion process from the hydrogel, ii) the diffusion of the liposomes from hydrogel to the 370 outside if their diameter is below to 100 nm. A mathematical model of this second step from 371 « CS hydrogel/liposomes » assemblies has been established, and have demonstrated that only 372 the smaller liposomes could go through the hydrogel whereas the bigger ones stayed entrapped 373 into de hydrogel matrix (Ruel-Gariépy et al., 2002). These bigger liposomes thus acted as drug 374 reservoirs that delayed the release of doxorubicin through the system. In this latter study (Ruel-375 Gariépy et al., 2002), a water-soluble model molecule, carboxyfluorescein (CF), was 376 incorporated into PC, PC/cholesterol, or distearoylphosphatidylcholine (DSPC)/cholesterol 377 liposomes (with a CF encapsulation rate in liposomes < 8%). These liposomes were then 378 embedded into CS/GP physical hydrogels. This study has shown that the CF diffusion was 379 strongly influenced by size and composition of liposomes embedded into hydrogels (Figure 7). 380 Furthermore, Triton-X100 was introduced to induce breakage of the lipid membrane, proving 381 the liposomes integrity before this addition. Furthermore, the bigger the liposomes, the slower 382 the release (with a CF release during several weeks for assemblies with liposomes with a 383 diameter of 280 nm, Figure 7).

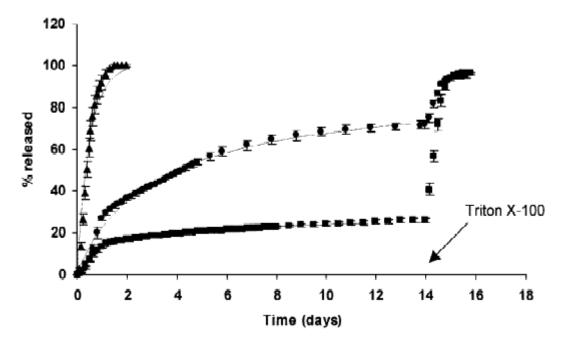


Figure 7. *In vitro* cumulative release (% of total initially incorporated drug, in PBS at 37°C)
 of free CF (triangles), or CF incorporated into 100 nm (circles) or 280 nm (squares)
 PC/cholesterol liposomes (Ruel-Gariépy et al., 2002)

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390 In ophthalmic applications, a too small amount of drugs reaching the injury site (such as 391 cornea) is often deplored, partially due to the tear production. Patients thus need repeated drug 392 administrations for an efficient treatment. Moreover, the cornea epithelial membrane represents 393 a barrier to the drug diffusion across the eye (Kaur & Kanwar, 2002). To solve this issue, 394 ofloxacin antibiotic has been incorporated into multilamellar PC liposomes (obtained by the 395 thin film hydration method or inverse phase evaporation) embedded into thermosensitive 396 CS/GP physical hydrogels (Hosny, 2009). Ofloxacin incorporation rates into liposomes were 397 found to be highly variable (between 13 and 65%) depending on the preparation method of 398 liposomes, and their lipid composition (e.g., the lipid charge nature). For example, 399 incorporation rates were higher for liposomes obtained by thin film evaporation than those 400 obtained by inverse phase evaporation (with same lipids and composition). With the liposome 401 suspension, ofloxacin diffusion across the cornea was multiplied by 5 (versus an aqueous 402 solution of ofloxacin). This could be explained by attractive interactions between anionic 403 cornea and PC liposomes. Moreover, a significant increase in ofloxacin diffusion was even 404 more observed when liposomes were embedded in CS/GP hydrogels (multiplied by 7 versus 405 the free ofloxacin solution). Finally, it was demonstrated that the mucoadhesive properties of 406 CS makes the interactions between liposomes and transcorneal membrane easier.

407 A similar study was carried out for the controlled release of an anticancer drug, the 408 cytarabine (Mulik et al., 2009). This drug presents a low molar mass (*ca* 240 g.mol<sup>-1</sup>), a high 409 water-solubility, and a very short half-life in human plasma. For all these reasons, it is arduous 410 to formulate it in a stable way, as well as to control its delivery. That is why cytarabine has been 411 incorporated in PC liposomes (named CLLS, for cytarabine-loaded liposomal suspension, with 412 an encapsulation rate of ca 85%), then embedded before gelation process in thermosensitive 413 CS/GP physical hydrogels (named CGPCLL, for chitosan-glycerophosphate containing 414 cytarabine-loaded liposomes).

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*In vitro* (in PBS, at 37°C) or *in vivo* (intramuscular injections of 5.4 mg of cytarabine/kg in albino mice) tests have revealed that the combination of CS/GP hydrogel and liposomal suspension (CGPCLL) delayed the cytarabine release. Indeed, 85% of initially incorporated cytarabine were released over 60 h for assemblies (Erreur ! Source du renvoi introuvable."CGPCLL"), whereas 90% of cytarabine incorporated in liposomes were released in less than 10 h ("CLLS").

422 Very recently, a successful elaboration of biocompatible "liposomes in hydrogels" 423 assemblies without any crosslinking agent or additives, for the delayed delivery of various 424 water-soluble molecules has been described (Peers et al., 2019). Cumulative final releases of 425 carboxyfluorescein (fluorescent dye), rifampicin (antibiotic), and lidocaine (anaesthetic) have revealed a delayed release of 16.9%, 11.6%, and 7.5% between "drug-in-liposomes-in-426 427 hydrogel" and "drug-in-hydrogel" assemblies, respectively. Besides the data, we have shown 428 that the embedment of liposomes in the CS three-dimensional matrix had no impact on the 429 rheological properties of the hydrogel, regardless of the entrapped molecule. Finally, the 430 presence of liposomes inside the CS hydrogel network was interestingly confirmed, for the first 431 time, by environmental scanning electron microscopy.

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#### 433 Incorporation of hydrophobic drugs in the lipid membrane of liposomes

The controlled delivery of hydrophobic drugs from such assemblies is also mentioned in the literature. The first study dealt with the incorporation of an antimicrobial agent, the dapsone, in the lipid membrane of PC liposomes (encapsulation rate of *ca* 16%), themselves embedded (before the CS gelation process) in CS chemical hydrogels, crosslinked with the addition of EDAC (Alamelu & Panduranga Rao, 1994). This not only led to the liposome stabilization (Weiner et al., 1985), but also to a controlled release of dapsone. In a phosphate buffer at pH 7.6, the release of dapsone was found to be 3 times slower for assemblies (86% of dapsone
released over 24 h). The chemical crosslinking between liposomes and the hydrogel has doubled
the time necessary to release the same amount of dapsone (in comparison with hydrogel without
EDAC) supported by a decrease of the burst effect phenomenon.

444 In 2014, a «CS hydrogel/liposomes » assembly has been elaborated for the controlled delivery of an anticancer drug, the doxorubicin (López-Noriega Adolfo et al., 2014). This latter 445 was incorporated in thermosensitive liposomes (composed of DPPC, MSPC, and DSPE-446 447 PEG2000 with an encapsulation rate > 90%). This thermosensitive property was brought by the 448 addition of 10% molar of MSPC lysolipid in the formulation, which has changed the phase 449 transition temperature of the liposome membrane, and formed pores inside the membrane with 450 the increase of the temperature (Grüll & Langereis, 2012; Negussie et al., 2010, 2011)). These 451 thermosensitive liposomes were then embedded (before the CS gelation process) in a 452 thermosensitive CS/GP physical hydrogel. Such assemblies have shown two major advantages: 453 i) the hydrogel formation was operated at physiological temperature, and ii) the « on-demand » 454 release of doxorubicin with the thermosensitive liposomes was possible. It has been also 455 demonstrated that the temperature increase (42°C) created pores in the lipid membrane 456 (supported by a high release of incorporated doxorubicin). As a conclusion, these assemblies 457 have revealed a better in vitro antitumor activity than free doxorubicin. Note that when no 458 temperature increase was applied, only 15% of doxorubicin were released over 7 days.

459 Very recently, the controlled delivery of curcumin (molecule with antioxidant, anti-460 inflammatory, and anti-bacterial properties) from liposomes with various surface charges (with an encapsulation rate between 43% and 76%, depending on the liposome formulation), 461 462 themselves embedded in a CS hydrogel for wound dressing applications has been investigated 463 (Ternullo et al., 2020). Neutral liposomes were made of PC and polysorbate (weight ratio 464 85:15). Stearylamine (weight ratio to PC 1:9) was added for cationic liposome elaboration. 465 Anionic liposomes were elaborated from PC and sodium deoxycholate. A sustained penetration of curcumin from resulting assemblies through the *ex-vivo* full human skin, which is really 466 467 promising for advanced dermal delivery and wound healing applications has been evidenced.

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#### 469 Incorporation of macromolecular drugs in liposomes

470 « CS hydrogel/liposomes » assemblies were also used for the controlled delivery of
471 macromolecular drugs, such as peptides or proteins. Aiming at a wound healing application
472 after burn injuries, the incorporation of an epidermal growth hormone (EGF, *ca* 134 000 g.mol<sup>-</sup>

473 <sup>1</sup>) into DPPC liposomes, themselves embedded (before the gelation process) in CS physical 474 hydrogels has also been studied (Değim et al., 2011). EGF promotes cell proliferation, as well 475 as an extracellular matrix formation during the healing process. Hormone incorporation in 476 liposomes (with an encapsulation rate close to 60%) increased the stability of the hormone 477 (Alemdaroğlu et al., 2008; Yerushalmi et al., 1994). Daily administrations during 14 days of 478 different formulations (free EGF, liposomal EGF, and « CS hydrogel/-EGF-loaded liposomes » 479 assemblies) on rats' second-degree burn had been done to study the EGF release. According to 480 measurements of fibroblast diameters and epidermis thickness, the healing was faster for these 481 two formulations. This could thus stabilize the incorporated macromolecular drugs, and control 482 their release, promoting wound healing after burn injuries.

483 Glutathione (GSH) has also been incorporated in extruded DSPC and 484 dioleyphotphatidylethanolamine (DOPE) liposomes (with a not mentioned incorporation rate 485 of GSH in liposomes) (Alinaghi et al., 2013). Liposomal GSH was then embedded in a 486 thermosensitive CS/GP physical hydrogel, which increased the retention time of GSH into the 487 peritoneal cavity in mouse.

In 2012, the incorporation of ovalbumin (*ca* 45,000 g.mol<sup>-1</sup>), a model protein acting as an antigen, into PC and stearylamine liposomes with an encapsulation rate of around 23% has been studied (Gordon et al., 2012). These liposomes were then embedded in a thermosensitive CS/GP physical hydrogel. This work has shown a wider immune response for these assemblies, revealing their potential use as vaccines. However, this study did not result in an overall improvement in immunogenicity.

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To conclude this part about liposomes incorporated into CS hydrogels, the latter embedded in CS hydrogels seem to be very efficient for the controlled release of different drugs incorporated into liposomes. The lipid membrane and the hydrogel create a double barrier to drug diffusion across the assembly. Note that no study in the literature deals with the incorporation of drugs into liposomes, themselves embedded in "pure" CS physical hydrogels, that is to say, with no additive of crosslinking agent in the final composition of the hydrogel.

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#### 4. « Chitosan hydrogel/micelles » assemblies

504 As well as microparticles, nanoparticles and liposomes, micelles composed of molecular 505 surfactants or amphiphilic polymers can also be incorporated into CS hydrogels to delay the release of drugs incorporated in them. Hydrophobic drugs can thus be entrapped in the inner core of direct micelles, and hydrophilic drugs, in reversed micelles. Both types of micelles act as a secondary barrier to the drug diffusion across the assembly, improving drug bioavailability. This implies the use of non-toxic additives, but also reduces the dose and associated side effects (Lundberg et al., 2003). The following part deals with such assemblies for the sustained delivery of drugs.

512 Glycol-CS chemical hydrogels associated to poly(ethylene glycol)-b-poly(propylene 513 glycol)-b-poly(ethylene glycol) (PEO-PPO-PEO) for the controlled delivery of two anticancer 514 drugs (doxorubicin and paclitaxel) has been elaborated (Zhao et al., 2011). Benzoic-imine 515 bonds were thus created with a pH increase. Hydrophilic doxorubicin was dissolved in the CS 516 solution, whereas hydrophobic paclitaxel was incorporated in the inner core of the (PEO-PPO-517 PEO) micelles (**Figure 8**). This micellar colloidal suspension was added before the CS gelation 518 process.

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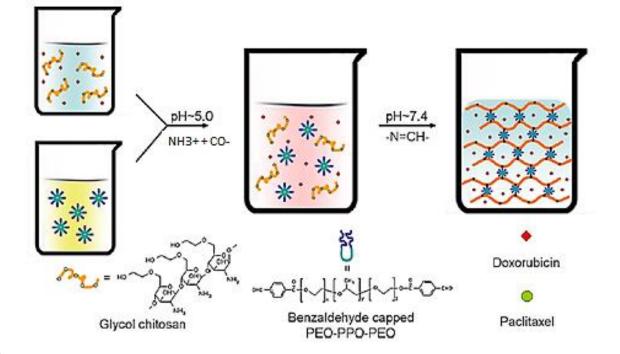


Figure 8. Schematic representation of the preparation of « doxorubicin-loaded CS chemical
hydrogel/paclitaxel-loaded-PEO-PPO-PEO micelles » by Zhao *et al.* (Zhao et al., 2011)
It has been shown that this « doxorubicin-loaded CS chemical hydrogel/paclitaxel-loadedPEO-PPO-PEO micelles » assembly can delay the *in vitro* release of these two drugs over 2
weeks. The release of doxorubicin seemed to be more influenced than paclitaxel by its
incorporation rate and the crosslinker content. A value of pH close to 6.8 increases the release

527 of both drugs. This was attributed to the benzoic-imine bond within the hydrogel that is labile 528 at this pH (but stable at a physiological pH). This is very interesting for anticancer applications 529 because of slightly acid tumour environment. In vivo, anti-tumour activity of both drugs was 530 sustained which limited the tumour growth. Consequently, the survival of treated animals was 531 enhanced (23 days for mice treated with assemblies, versus 10 days for free paclitaxel, 14 days 532 for free doxorubicin, and 12 days for untreated mice). Such a « doxorubicin-loaded CS chemical 533 hydrogel/paclitaxel-loaded-PEO-PPO-PEO micelles » assembly can thus be valorized for the 534 simultaneous delivery of drugs with different natures.

535 Similarly, the simultaneous delivery of two different drugs from «CS hydrogel/micelles » assemblies has been studied (Wei et al., 2009). The doxorubicin was incorporated into micelles 536 537 composed of a triblock copolymer of (poly(glutamic acid)-b-poly (propylene oxide)-b-538 poly(glutamic acid), *ca* 11,000 g.mol<sup>-1</sup>), themselves embedded (before the CS gelation process) 539 into a thermosensitive CS/poly(vinyl alcohol) (PVA) chemical hydrogel containing 540 acetylsalicylic acid. More than 75% of the initially incorporated acetylsalicylic acid was 541 released over 12 h contrary to the same amount of doxorubicin that was released from micelles 542 over more than a week. A pH-sensitive release of acetylsalicylic acid has been shown: the 543 higher the pH, the faster the release. This behaviour was can be explained by the hydrogel 544 retraction and a water expulsion, which fostered the acetylsalicylic acid release (in vitro 545 measurements carried out at pH=4.0; 5.5; 7.4, and 8.4). Finally, an increase in local temperature 546 (from 20 to 37°C) leads to a faster release of drugs. This study interestingly proved that the 547 drug release can be influenced by various stimuli such as pH or temperature, and that the drug 548 release could be delayed after drug incorporation into polymer micelles.

549 Micelles can also incorporate hydrophobic antibiotics. A hydrophobic antibiotic, the 550 tetracycline, has been incorporated into polymer micelles composed of PEG and PLA (di-block, 551 ca 7,000 g.mol<sup>-1</sup>) (Ito et al., 2018). These PEG-PLA micelles were then embedded into PEG 552 modified-CS chemical hydrogels (CS chains were modified by PEG chains to adjust the CS gelation properties). It has been shown that no matter the length of PEG or PLA chains in 553 554 micelles (with a diameter of micelles  $\approx 20$  nm), a slower release was obtained (*versus* free drug 555 directly incorporated into the hydrogel matrix). Micelles acted as a diffusion barrier, thus 556 delaying the release of tetracycline. Only 30% of tetracycline were released over 20 h from 557 «PEG-CS hydrogel/tetracycline-loaded PEG-PLA micelles » assemblies, versus more than 558 50% when the tetracycline was directly incorporated into the PEG-CS hydrogel matrix.

To conclude this part about « CS hydrogel/micelles », as previously for micro- and nanoparticles, and liposomes, micelles seem to be efficient for delaying the release of drugs when incorporated in these assemblies. Different types of drugs can also be embedded in these systems, improving drastically the treatment efficiency.

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#### 564 Discussion and conclusion

565 This paper reviews studies described in the literature about the elaboration and the 566 biomedical uses of complex CS-based systems, composed of drugs incorporated in «CS 567 hydrogel/colloids » assemblies, with the aim of reducing the burst effect, and delaying the 568 release of drugs over longer periods. Drugs are usually firstly incorporated in the colloidal 569 objects, themselves embedded in the CS solution before the CS gelation process. Different 570 colloids were considered such as micro- and nanoparticles, liposomes, as well as micelles. One 571 can note that the most of studies that dealt with polymer micro and nanoparticles, whereas the 572 number of researchers working on the incorporation of micelles into CS hydrogels is the most 573 limited. Colloids' elaboration, drug loading, stability, and (bio)compatibility are key parameters 574 to take in account to optimize the final systems even before their incorporation into hydrogels. 575 All these final "hybrid" assemblies demonstrate a very interesting potential for drugs delivery 576 applications, with the colloids acting as a second diffusion barrier of drugs regarding of the type 577 of colloid employed. Their release through the systems is not only delayed, but their side effects 578 are also reduced. Furthermore, the embedment of drugs with different natures (hydrophilic, 579 hydrophobic, and macromolecular) is facilitated. In particular, the incorporation of hydrophobic 580 or macromolecular drugs can be efficient with the use of appropriate colloids, while this 581 incorporation could be challenging directly in CS hydrogels due to the hydrophilic behaviour 582 of CS. Furthermore, as shown by different research teams, drugs can be released in two stages, 583 which could be of interest for specific treatments such as wound healing for example. These 584 "hybrid" assemblies thus open the door to a wider range of biomedical applications.

However, there is still plenty of room left for new researches and future improvements. For instance, the structural characteristics of CS used (*i.e.*, its molar mass and acetylation degree) are not systematically given in papers. Only ca 45% of the papers cited in this review provide the molar mass (from 50,000 to 670,000 g.mol-1, the other papers only mention "low/medium/or high molar mass), and 30% of the papers, the viscosity of the resulting chitosan solution. And ca 70% of the papers mention the value of the acetylation degree: rather low acetylation degrees, from 5 to 25%. Consequently, it would be interesting to determine if thesecharacteristics can be linked to release properties, and to targeted biomedical applications.

593 Furthermore, hydrogels composed of CS and another polymer (e.g., interpenetrating 594 polymer network hydrogels) could be useful to give to the final material some interesting 595 properties such as a denser hydrogel that could favour a more sustained drug delivery. Another 596 possible goal for future researches could be to work on the elaboration of such systems with 597 less (if any) chemical or physical crosslinkers in the final state, to create more health-friendly 598 systems. Indeed, chemical and physical crosslinkers could represent a real danger for such 599 biomedical applications. Finally, a better understanding of mechanisms involved after administration of these "hybrid" assemblies could be relevant to even more enhance the 600 601 sustainable release of the entrapped drugs, and thus improve the treatment efficiency.

602

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