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D. John

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Event-Driven ECG Classification Using an Open-Source, LC-ADC Based Non-Uniformly Sampled Dataset

Maryam Saeed^{*}, Qingyuan Wang^{*}, Olev Märtens[†], Benoit Larras[‡], Antoine Frappé[‡], Barry Cardiff[§], Deepu John[§] ^{*§}University College Dublin, Ireland, [†]Tallinn University of Technology, Estonia, [‡]Université de Lille, France

Abstract—In this article, non-uniformly sampled electrocardiogram (ECG) signals obtained from level-crossing analog-to-digital converters (LC-ADCs) are analyzed for event-driven classification and compression performance. The signal compression results show that it is important to assess the distortion in eventdriven signals when simulating LC-ADC models, especially at lower resolutions and larger quantization steps. The effects of varying the LC-ADC parameters for the application of cardiac arrhythmia classifiers are also assessed using an artificial neural network (ANN) and the MIT-BIH Arrhythmia Database. In comparison with uniformly-sampled data, it is possible to achieve comparable classification accuracy at a much lower complexity with event-driven ECG signals. The results show the best eventdriven model achieves over 97% accuracy with 79% reduction in ANN complexity with signal-to-distortion ratio (S/D) ≥21dB. For S/D<21dB, the best event-driven model achieves 93% accuracy with a 96% reduction in ANN complexity. An open-source eventdriven arrhythmia database is also presented.

Index Terms—LC-ADC, cardiac arrhythmia classification, artificial neural networks, wearable sensors, event-driven data

I. INTRODUCTION

Long-term health monitoring using wireless biomedical devices has been enabled by the evolution in the Internet of Things (IoT) and is becoming increasingly ubiquitous in recent times [1]. Studies have shown that the energy costs to wirelessly transmit data in a standard biosensor is "orders of magnitude greater than any other function" [2]. To prolong the battery life of wireless sensors, feature extraction, compression techniques are typically incorporated on-chip after data conversion using an analog-to-digital converter (ADC) [3], [4]. In recent years, a new class of ADCs, called levelcrossing ADCs (LC-ADCs), has been developed which embed compression into the data acquisition stage. They generate non-uniformly sampled data that can reproduce the original data, from inherently sparse signals, such as electrocardiogram (ECG) signals. LC-ADCs sample data based on the slope of the signal, i.e., they only sample when the rate of change of signal is above a pre-defined level, indicating a level-cross. This keeps the ADC from sampling across low-activity regions of the signal, thus reducing the data rate and saving power. LC-ADCs have the advantage of built-in compression and lower processing costs in comparison with Nyquist sampling ADCs.

A recent study compared the power efficiency of an LC-ADC with a traditional SAR ADC using various biosignals [5], which showed that LC-ADCs are more power-efficient than standard ADCs for low-to-medium resolution applications.

Many low-power LC-ADC designs have been proposed in recent years for various biomedical applications [6] [7] [8]. LC-ADCs have also been used in low-power QRS-detection of ECG signals [9] [10]. More recently, clinically acceptable arrhythmia classification has been achieved using event-driven data from LC-ADCs [11]. To the best of our knowledge, performance analysis of various LC-ADC designs for eventdriven ECG classification has not yet been done. This article aims to present a comparative analysis of several LC-ADC designs in terms of compression ratio, percentage rootmean-square difference, signal-to-distortion ratio, and their performance on an event-driven cardiac arrhythmia classifier. Finally, we intend to provide an insight into the best accuracycomplexity trade-off for this application. The major contributions of this article are as follows: a) analysis of three levelcrossing ADC models in terms of compression parameters to assess the limits of clinically acceptable event-driven ECG signal b) proposed an ANN model for classification of cardiac arrhythmia from event-driven ECG signal c) an open-source event-driven ECG database derived from MIT-BIH database using existing LC-ADC models with arrhythmia annotations.

The rest of the article is organized as follows: Section II presents the compression performance of three LC-ADC designs. Section III assesses in detail the performance of a cardiac arrhythmia classifier using event-driven data from these LC-ADCs designs. It also presents an event-driven arrhythmia database. Finally, conclusions are drawn in Section IV.

II. COMPRESSION ANALYSIS OF LC-ADC DESIGNS FOR ECG APPLICATIONS

A. Level-Crossing Sampling

Fig. 1 presents the basic architecture of an LC-ADC and the analog ECG signal with the event-driven output. The ECG signal range is divided into a fixed number of quantization levels. The LC-ADC takes a sample based on two in-process quantization levels: upper quantization level (U_QL) and lower quantization level (L_QL). The distance between these

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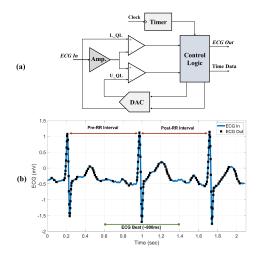


Fig. 1. (a) LC-ADC architecture (b) ECG analog signal, the LC-ADC output and the RR intervals.

quantization levels is defined by the LSB, also known as the quantization step:

$$LSB = \frac{A_{FS}}{2^M} \tag{1}$$

where A_{FS} is the full-scale voltage range of the ECG signal, M is the resolution of the LC-ADC and 2^M is the total number of quantization levels. In some LC-ADC designs, the fullscale range A_{FS} is often multiplied by a factor of 2. The input analog signal must always be surrounded by the two in-process quantization levels in an LC-ADC. Whenever the signal crosses U_QL, a sample is taken and both in-process quantization levels are increased by one LSB. Similarly, whenever L_QL is crossed, both in-process quantization levels are decreased by one LSB. The control logic block in Fig. 1 manages this update. This way, an LC-ADC creates continuous-intime discrete-in-amplitude ECG signals. To monitor the time between two LC-ADC samples, a local timer is used, which passes the time information at each sample and then resets. Often, the distance between the in-process quantization levels can be multiplied by a factor k, which is defined as:

$$k = ku * LSB \tag{2}$$

where ku is an integer greater than or equal to 1. For higher resolution LC-ADCs, k is often chosen as 2LSB or greater.

B. LC-ADC Models

Three different LC-ADC design models have been chosen for the compression analysis. The models will be assessed using the MIT-BIH Arrhythmia dataset [12], which has been sampled at 360Hz with an input voltage range of [-5mV, 5mV] and an 11-bit ADC resolution. The LC-ADC models are defined as follows:

 MDL1: The LC-ADC model 1, hereby named MDL1, has been taken from the LC-ADC design in [11]. In this model, the MIT-BIH Arrhythmia database is converted to event-driven ECG using the LSB definition in (1) multiplied by a factor of 2. The ECG data is not upsampled before the event-driven sampling.

- MDL2: The LC-ADC model 2, hereby named MDL2, is designed as in [10]. In this model, the database is first up-sampled to 5.67kHz and uses the LSB definition in (1).
- MDL3: The LC-ADC model 3, hereby named MDL3, has been designed as in [8]. In this model, the database is first up-sampled to 10kHz and uses the LSB definition in (1).

C. Performance Metrics

To measure the signal distortion in the LC-ADC output, its output samples are interpolated using linear interpolation to reconstruct the ECG signal, as in [8]. The three performance parameters are as follows:

Compression Ratio (CR): The compression ratio provides information about the degree of compression between the compressed and uncompressed signal. It is defined as:

$$CR = \frac{m}{n} \tag{3}$$

where m and n are the number of bits in the original and compressed signal, respectively.

 Percentage Root Mean Squared Difference (PRD): The PRD measure evaluates the distortion between the original signal and the reconstructed signal. The normalized PRD is defined as:

$$PRD = \sqrt{\frac{\sum_{n=1}^{N} (x[n] - \hat{x}[n])^2}{\sum_{n=1}^{N} (x[n] - \bar{x}[n])^2} * 100}$$
(4)

where x[n], $\hat{x}[n]$, and $\bar{x}[n]$ are the original signal, reconstructed signal and the mean of the signal x[n], respectively. N is the total number of samples that model the input analog signal.

 Signal-to-Distortion Ratio (S/D): Another metric to measure distortion is the temporal signal-to-distortion ratio defined as:

$$S/D = 10\log_{10}\left(\frac{\sum_{n=1}^{N} (x[n] - \bar{x}[n])^2}{\sum_{n=1}^{N} (x[n] - \hat{x}[n])^2}\right)$$
(5)

In [13], the clinically acceptable values for PRD and S/D in ECG signal reconstruction are also reported. "Good" quality signals must follow the following criteria:

$$PRD \le 9\% \text{ or } S/D \ge 21dB \tag{6}$$

D. Compression Performance of LC-ADC Models

The three LC-ADC models are designed and simulated using MATLAB. To match the MIT-BIH Arrhythmia database voltage range, A_{FS} in (1) is chosen as 10mV. The resolution of the LC-ADC, M, is varied from 7 bits to 11 bits and k in (2) is varied from 1LSB to 4LSB. Table I shows the average compression results for all models calculated over

LC-ADC Model CR PRD(%) S/D(dB) Μ k 1.574 1.886 35.029 2.722 3.595 29.471 2 11 3 4.026 5.255 26.179 4 6.901 23.809 5.277 29.471 2.722 3.595 -1 6 901 23 809 2 5 277 10 7.382 10.387 3 20.263 4 9.269 14.221 17.535 MDL1 6.901 23.809 5.2772 9.269 14.221 17.535 9 3 13 070 22 799 13 432 4 17.050 32.146 10.496 9 269 14 221 17 535 1 2 17.050 32.146 10 4 9 6 8 6.598 3 25 502 50.849 4 36.028 70.560 3 7 5 9 1.712 3.6957 29.281 2 2.408 5.938 25.143 8 3 2.665 8.624 21.946 4 2.867 11.758 19.274 MDL2 3.435 7.116 23.560 2 5.332 12.548 18.643 7 3 6.110 19.099 15.026 4 6.824 26.232 12.356 1.712 3.697 29.285 2 2.407 5.957 25.170 8 3 2.665 8.652 21.974 4 2.866 11.797 19.303 MDL3 3.433 7.119 23.563 2 5.330 12.576 18.663 7 3 19.161 15.026 6.108 26.330 12.356 4 6.822

TABLE I

COMPRESSION PERFORMANCE OF LC-ADC MODELS

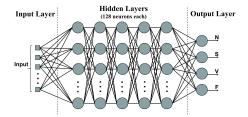


Fig. 2. Proposed artificial neural network for event driven classification

44 records of the MIT-BIH Arrhythmia database (excluding the 4 paced records as recommended by AAMI standards [14]). As observed in [8], we found that for M > 8, the LC-ADC produces more samples than a standard uniform ADC for MDL2 and MDL3. Therefore, these models have only been tested at M=7 and 8. For higher resolutions in MDL2 and MDL3, k must be increased beyond 4LSB to attain compression, which is not tested in this study.

Both MDL2 and MDL3, pass the "good" signal criteria defined in (6) at M=8 (k=1-3LSB) or M=7 (k=1LSB). Whereas, MDL1 passes the criteria with M=11 (k=1-4LSB), M=10 (k=1-3LSB) or M=9(k=1LSB).

III. ARRHYTHMIA CLASSIFICATION USING EVENT-DRIVEN ECG DATA

A. Artificial Neural Network Based Classifier

We designed an ANN with 5 hidden layers consisting of 128 neurons each as shown in Fig. 2 for patient-specific (PS) arrhythmia classification using Python. The size of the input

 TABLE II

 Arrhythmia Classification Using Event-Driven Data

Model	M	k	FS	ACC(%)	SE(%)	+P(%)	FPR (%)
MDL0	-	-	302	98.41	91.32	92.24	1.82
MDL1	11	1	76	97.95	86.89	88.92	3.68
		2	76	97.91	87.71	89.96	3.10
		3	76	97.91	86.88	88.12	3.75
		4	76	97.60	83.78	88.42	4.48
	10	1	76	98.21	87.68	90.10	3.48
		2	76	97.83	84.35	89.19	4.14
		3	62	97.05	81.75	85.79	5.60
		4	34	96.64	82.99	83.20	5.77
	9	1	62	97.45	83.56	86.81	4.42
		2	34	96.64	80.94	82.84	5.37
		3	26	95.83	78.42	80.12	7.53
		4	26	95.80	78.04	79.23	7.69
	8	1	34	96.63	81.57	83.62	5.98
		2	20	95.20	76.06	77.28	8.46
		3	16	94.25	73.87	77.83	9.78
		4	12	92.95	70.28	72.01	10.40
MDL2	8	1	172	96.66	84.24	82.72	5.22
		2	152	95.77	80.88	80.36	6.87
		3	142	94.93	78.27	77.70	7.36
		4	132	94.60	78.81	75.62	8.08
	7	1	86	95.96	79.46	80.18	6.47
		2	82	94.91	77.36	77.11	7.94
		3	78	94.60	76.03	75.14	8.43
		4	72	94.57	74.10	73.24	9.40
MDL3	8	1	152	96.52	82.54	82.86	5.06
		2	136	95.04	80.71	78.34	6.87
		3	136	94.42	78.10	78.38	8.49
		4	132	94.21	77.93	74.70	8.39
	7	1	102	96.47	81.24	81.91	5.79
		2	78	94.78	76.11	76.50	8.14
		3	74	94.38	74.94	74.50	8.84
		4	64	93.03	73.18	71.21	9.89

layer varies for each model based on the number of features. The output layer classifies each beat into one of the following 4 categories: normal beat (N), supraventricular ectopic beats (S), ventricular ectopic beats (V), and fusion beats (F). The prominent morphological features of an ECG beat is contained in an 800ms window [11] centered around the QRS peak as shown in Fig. 1(b). For every LC-ADC model, samples that lie within 400ms on each side of the QRS peak are used as the first feature vector. The pre-RR and post-RR intervals for each beat are used as the last two features. The conditional grouping scheme defined in [11] is applied in this design, which excludes 14 records from evaluation, in addition to the 4 paced records. To handle the inherent class imbalance in the dataset, the output layer uses a weighted cross-entropy loss, which balances the loss based on the effective number

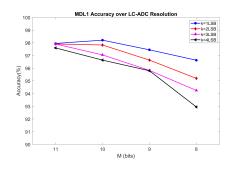


Fig. 3. MDL1 Accuracy at different LC-ADC resolutions (M) & varying k.

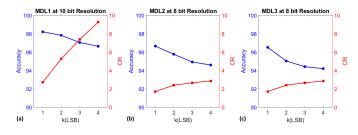


Fig. 4. The accuracy and compression performance of different LC-ADC models over varying k. (a) MDL1 at M=10 bit, (b) MDL2 at M=8bit, and (c) MDL3 at M=8bit

of samples in each class [15].

B. Classification Performance

For all records, there is 70:30 data split for training and testing the ANN classifier. First, the training data from all records are used for global training of the ANN. It is then retrained on the PS training data and evaluated on every individual subject's data. For a fair comparison, we have also tested the classifier on uniformly-sampled data using the MIT-BIH database as is, named MDL0 from here on. The MIT-BIH database is sampled at 360Hz using an 11-bit ADC. The accuracy (ACC), sensitivity (SE), positive predictivity (+P), and false positive rate (FPR) are used to assess the classifier performance as in [11]. The average results overall beat types (N, S, V, F) using each LC-ADC model and MDL0 are shown in Table II. Here, FS refers to the input feature size of the neural network. It can be observed that MDL1 at 11 bit, k=2LSB gives the closest performance to MDL0, with almost 75% fewer features. Fig. 3 shows the MDL1 average accuracy over all beats as M is varied for different k values. At M=11, all LC-ADC resolutions have similar accuracy. However, as M is decreased, the difference between accuracy at various k values increases with the lowest accuracy at k=4LSB for M=8. Fig. 4 shows a comparison of CR with accuracy over varying k. In Fig. 4(a) MDL1 at M=10 has the best accuracy over the range of k, also achieving higher CR as k is increased. In Fig.4(b)-(c) for MDL2 and MDL3 at M=8, the accuracy range, and CR are a little lower in comparison with MDL1.

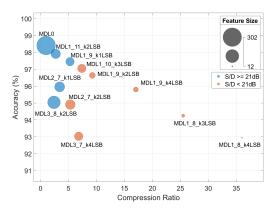


Fig. 5. Accuracy Vs CR Vs Complexity for different sampling schemes

C. Finding the Ideal Accuracy-Complexity Tradeoff

Input feature size (FS) of the ANN model is a good parameter to estimate the computational and hardware complexity of the classifier. MDL0, which uses uniformly sampled data from a standard 360Hz 11-bit ADC, requires an FS of 302. For event-driven classification to be effective, all other models should attain a significantly lower FS with similar or slightly lower accuracy. To understand the ideal tradeoff between these parameters (i.e., accuracy, S/D, CR, and the complexity of the ANN), we picked the best models from Table II. Fig. 5 shows a bubble chart of the top 12 models. Among the LC-ADC models whose signal can be reconstructed with good quality (as in (6)), MDL1 at M=9, k=1LSB gives the highest CR (5.277) and lowest complexity (FS=62) with over 97% accuracy. MDL1 at M=11, k=2LSB offers the next best tradeoff with FS=76 and 98% accuracy at CR (2.722). If reconstructing the original ECG signal is considered not essential, then MDL1 at M=8, k=4LSB has the highest compression (36.03) and lowest complexity (FS=12) with an acceptable 93% accuracy. Similarly, MDL1, M=8, k=3LSB offers slightly lower compression (25.5) with over 94% accuracy and FS=16.

D. Open-Source Event-Driven ECG Dataset

To the best of our knowledge, there is no publicly available non-uniformly sampled ECG dataset with arrhythmia labels for researching event-driven data processing and classification. Therefore, in this study, we used the popular MIT-BIH arrhythmia database and three different LC-ADC models to derive a non-uniformly sampled event-driven ECG dataset. The dataset is generated using a MATLAB script and can generate LC-ADC data at different bit resolutions and k values. The event-driven ECG dataset with arrhythmia annotations and corresponding MATLAB scripts is open-sourced in authors' website¹ to enable further research on the topic.

IV. CONCLUSIONS

Detailed performance analysis of three different LC-ADC designs was presented. It was observed that increasing the quantization step size in an LC-ADC results in higher compression at the cost of lower signal-to-distortion ratios. Therefore, the signal quality of event-driven signals must always be assessed to ensure that the ECG beats are of clinically acceptable quality. Further, a 5-layer ANN architecture with class-balanced cross-entropy loss was proposed for the classification of event-driven ECG data. For the event-driven ECG arrhythmia classification, we achieved comparable classification accuracy to that of uniformly-sampled signals, whilst significantly decreasing the ANN complexity. Finally, we developed an open-source event-driven ECG database with arrhythmia annotations for enabling further research on non-uniformly sampled data processing.

¹The open-source event-driven ECG dataset is available at https://github. com/jedaiproject/Open-Source-Event-Driven-ECG-Dataset

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