

Geant4 X-ray fluorescence with updated libraries

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1	Geant4 X-ray fluorescence with updated libraries
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15 Abstract

16 We present the results concerning the development in Geant4 of a new data driven library, 17 called here the ANSTO HF library. This X-ray fluorescence library is based on an approach of particular interest for PIXE simulation applications; however, it can be used in any Geant4 18 19 applications where X-ray fluorescence needs to be described. The X-ray fluorescence 20 transition probabilities were calculated within the Hartree-Fock (HF) approach, which is 21 recognised to better reproduce PIXE experimental values compared with the Hartree-Slater 22 approach, adopted in the current default Geant4 EADL data library. These HF X-ray fluorescence transition probabilities were integrated into a new Geant4 library and will be 23 24 released within Geant4 in the near future.

25 In this paper, we compare the fluorescence X-ray spectra generated by the ANSTO HF library 26 and by the currently available library (EADL-1991 [1]) within Geant4, for targets irradiated 27 with protons and α particles with energies up to 10 MeV, a range of interest for PIXE 28 applications. The comparisons were performed for a large set of sample materials spanning a 29 broad range of target atomic numbers. These two approaches were compared to existing 30 experimental measurements performed at the ANSTO heavy ion microprobe beamline using 2 MeV and 3 MeV proton and 10 MeV He²⁺ ion beams. This work represents a useful upgrade 31 32 to the Geant4 atomic de-excitation package.

33 Keywords

34 Geant4, PIXE, ionisation cross sections, X-ray fluorescence.

35 Introduction

Particle Induced X-ray Emission (PIXE) is a well-established non-destructive analytical
 technique to determine the elemental composition of a sample, with very broad applications
 ranging from archaeometry to zoology.

PIXE describes the phenomenon of charged particles, such as protons, α and heavier ions, 39 40 interacting with matter. This includes the ionisation of atoms within the target by removing 41 one or more electrons from the K, L or M shells, followed by atomic de-excitation. This shell 42 vacancy is then filled by an electron from an outer shell with the subsequent emission of a 43 characteristic X-ray. These fluorescence X-rays are then detected and used to determine the 44 elemental composition of the irradiated target. The elements in a sample are identified by 45 the energy of the emitted X-rays while their concentration is determined by the intensity of 46 each specific X-ray line [2].

- Geant4 [3] is a general-purpose Monte Carlo toolkit, describing particle interactions in matter in the energy range from a few eV to TeV. In the context of PIXE, Geant4 allows one to model the composition of both homogeneous and heterogeneous materials, gaseous samples for environmental applications, planetary samples for geological and space science studies. Like the PIXAN and GUPIX [4] packages, Geant4 models PIXE taking into account the absorption of emitted X-rays and the slowing down of the incident particle as it passes through a target.
- 53 The Geant4 Atomic Relaxation package includes models for the generation of vacancies in 54 atomic shells and the subsequent emission of fluorescence X-rays and Auger electrons. The 55 development of the Geant4 Atomic Relaxation Package was firstly documented in [5] and was 56 more recently improved [6]. Two concepts are considered in the simulation of atomic 57 relaxation [5]: (1) the creation of a vacancy by a primary process and (2) the generation of the 58 relaxation cascade. The first one is handled by any Geant4 Electromagnetic Physics Package 59 (including Standard, Livermore, Penelope, and Geant4-DNA models) which manages the 60 primary interactions, e.g., the photoelectric effect, Compton scattering and ionisation; the 61 second one is handled by the Atomic Relaxation package, which is used by all the primary 62 processes generating a vacancy. The secondaries generated by the Atomic Relaxation are 63 then passed to Geant4 tracking and managed as any other particle in the simulation.
- 64 Different ionisation cross sections for protons and α particles can be currently used in Geant4 65 to generate the vacancy in a shell, as described in [7]: (1) K and L shell ionisation cross sections 66 based on empirical and semi-empirical compilations [8]–[10]; (2) The so-called Form Factor 67 set, based on an empirical polynomial approximation to the ionisation cross sections of K, L 68 and a selection of M shells calculated from the ECPSSR theory for incident protons and α 69 particles [11], [12]. (3) The third set, named ECPSSR Analytical, is based on the ECPSSR theory 70 [13] for the description of K and L shells ionisation for incident protons and α particles [6]; (4) 71 the fourth set, called ANSTO ECPSSR [14], based on the theoretical work of Brandt and Lapicki 72 and documented in [15]–[18].
- In addition, the Atomic Relaxation Geant4 component [5], [6], handling the emission of X-rays
 and Auger/Coster-Kronig electrons, is based on the Evaluated Data Library EADL [1], which
- 75 provides the atomic electron binding energies and the radiative (fluorescence) and non-
- 76 radiative (Auger and Coster–Kronig electrons) transition probabilities. Such tabulated data

77 are used to calculate the X-ray fluorescence lines [6] for Geant4 applications, where the 78 modelling of atomic de-excitation is necessary. The radiative transition probabilities reported 79 in the EADL were calculated according to Hartree-Slater (HS) methods [19], however following 80 the work of Campbell et al. [20], [21] Cohen et al. [15] recommended the Hartree-Fock 81 approach [22] rather than the Hartree-Slater model [19]. Validation studies of the G4-PIXE 82 Package such as Guatelli et al. 2007 [5] and Pia et al. 2011 [23] showed that the EADL can 83 reproduce the X-ray lines deriving from the K and L shell transitions within few percentage 84 accuracy when compared to a selected experimental dataset by Deslattes et al. 2003 [24]. 85 However, such agreement may be significantly improved by using data libraries based on 86 Hartree-Fock method [15].

This project is motivated by the ongoing effort to improve the physics model as well as providing the user with a broader range of dataset options in Geant4 [6]. Despite the availability of different ionisation cross sections and atomic relaxation data sets, there is the need to provide a range of options as well as a unique, accurate, self-consistent and robust recommended approach to the PIXE user community, within Geant4. This improvement also benefits applications of Geant4 in other domains, such as environmental physics, geology, archaeology, and space science.

94 Methods

95 The ECPSSR theory was developed by Brandt and Lapicki for both K and L subshell ionisation 96 by light ions $(Z_1/Z_2) < 0.3$, where Z_1 and Z_2 refer to the charges of the projectile and the target 97 atom, respectively [25]. Cohen and Harrigan published ECPSSR K and L subshell ionisation 98 cross sections for both protons and α particles bombardment for ion energies in the general 99 PIXE range from 0.2 to 10 MeV and for a wide variety of target atoms, from carbon to curium 100 [16], [17]. Their later publication included corrections to this dataset for the relativistic nature 101 of the ions. This correction was not large for the MeV ions used in PIXE with energies between

- 102 1 MeV to 3 MeV.
- 103 In Geant4, atomic relaxation simulation is articulated through two stages:
- 104 1) The creation of a vacancy by a primary process e.g., photoelectric effect, Compton 105 scattering and ionisation. The shell (or subshell) where the vacancy is created by a 106 process is sampled based on the cross section of the given process. 107 For the ionisation process number and shell/sub-shell of the vacancies are calculated 108 according to the PIXE cross sections. Two ionisation cross section models were used 109 in this work:
- 110a. ECPSSR Form Factor [11], [12]: based on a polynomial approximation of the111ionisation cross sections of K, L and a selection of M shells calculated with the112ECPSSR theory for incident protons and α particles. This approach is the most113recent available Geant4 set.
- b. ANSTO ECPSSR [14]: based on the ECPSSR approach of the ionisation cross
 sections of K, L and M sub/shells as calculated by D.D. Cohen et al. [15], [17]
 for incident protons and α particles. This approach was implemented in Geant4
 and will be released in Geant4 within 2022.

118 2) The relaxation cascade is triggered, starting from the vacancy created by the primary 119 process. Fluorescence X-ray, Auger electrons or Coster-Kronig transitions are 120 generated through radiative and non-radiative transitions, based on the respective 121 transition probabilities. In the simulation, all secondary particles with energies greater 122 than the cut energy of 10 eV are tracked. Two transition probability libraries were used 123 in this work to generate the fluorescence X-rays:

- 124a. EADL is the default library that is used in Geant4 to provide the transition125probability and is based on Hartree-Slater approach [19].
- 126b. a new fluorescence data library, called here ANSTO HF, from ANSTO127calculations based on Hartree-Fock approach [22]. This was written128analogously to the existing EADL Geant4 data library, for simplicity,129transparency and to facilitate its integration in the G4EMLOW data library.130Same binding energies of EADL (as in G4EMLOW7.7) were adopted in the131ANSTO HF library.
- 132 Table 1 lists the approaches used in this work.
- 133

Table 1 models and approaches in this comparison

	G4-default	G4-ANSTO
Ionisation cross sections	ECPSSR Form Factor [11], [12]	ANSTO ECPSSR [14]
Transition probabilities	EADL (Hartree-Slater) [19]	ANSTO HF (Hartree-Fock) [22]

134

Geant4 extended example *TestEm5* and Geant4 10.05.p01 were used. The impact of the two fluorescence libraries, *ANSTO HF* and *EADL*, was quantified in terms of fluorescence X-ray

yields per incident particle. Nine target materials (Si, Ti, Fe, Zn, Nb, Ru, Ce, Ta, Au) were
chosen, from low to high atomic number Z materials.

139 When the particle's velocity matches the electron shell velocity, the chance of the K electron 140 shell ionisation is maximised. For example, protons and α particles when incident on silicon need a kinetic energy of ~3 MeV and ~25 MeV, respectively, to achieve some degree of 141 142 velocity matching [26]. This is strongly dependent on the target atomic number Z. Monoenergetic pencil beams of protons (2 and 3 MeV) and α particles (10 MeV) were 143 144 considered as they are representative of some common PIXE applications where 145 experimental data may be available for comparison with theory. These particles were incident 146 on 25 μ m thick targets along the direction of the incident beam.

147 The production threshold of secondary particles was ignored. The fluorescence X-rays were 148 counted once they were generated in the target. Note that the existing Geant4 PIXE *Empirical* 149 and *Analytical* cross section sets [6] were not considered in this work as they generate only K 150 and L vacancies. Finally, the *G4-ANSTO* and *G4-default* (see Table 1) were compared to experimental measurements performed at ANSTO using the 6 MV SIRIUS Tandem Accelerator [27]. The experimental spectra were normalised to the highest line of the *G4-ANSTO* X-ray emissions.

154 Experimental setup

PIXE spectra were experimentally measured at the ANSTO heavy ion microprobe beamline 155 [27] using 2 and 3 MeV proton and 10 MeV He²⁺ ion beams with beam currents varying 156 between 0.5 and 2.5 nA. For X-ray detection, a 100 mm² high purity Ge detector with a solid 157 angle of 90 msr was used. The detector has a 25 μm thick Be window. To prevent the scattered 158 159 protons from entering the detector and to reduce the low energy X-ray yield from light elements such as the underlying Si in some of the samples, a 100 µm thick Mylar absorber (or 160 161 filter) was placed in front of the detector. The data were collected using the Data Acquisition 162 System mpsys4 from Melbourne University together with a Canberra Model 2060 digital 163 signal processor. The irradiated samples were: 1 mm silicon layer, 100 nm Au layer on silicon, 164 50 nm TiN layer on silicon, 50 nm ZnO layer on silicon, 25 nm Ta₂O₃ layer on graphite, 25 nm 165 Ru oxide film on graphite, 45 nm Nb oxide film on graphite, Fe₂O₃ layer embedded in a boron 166 oxide pellet, and a sample of CeO₂ embedded in a boron oxide pellet.

167 **Results and discussion**

168 The following figures (1, 2, 4, 5, 7, 8 and 10a) show the results of *G4-ANSTO* and *G4-default* 169 approaches, with the X axis representing energy in keV and the Y axis representing X-ray

170 frequency per incident particle. To compare *G4-ANSTO* to the experimental spectra, the *G4-*

171 ANSTO data was convoluted with a Gaussian function. The reason behind calculating the

172 Gaussian for G4-ANSTO is that there were different lines adjacent to each other and,

173 therefore, their contribution was convoluted to produce the experimentally observed peaks.

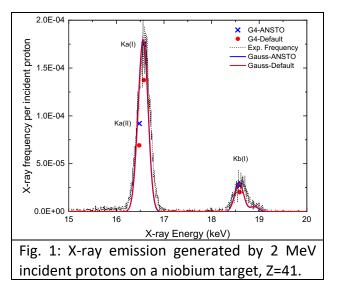
174 In these figures (1, 2, 4, 5, 7, 8 and 10a), we simply calculated the Gaussian of *G4-ANSTO* and

175 normalised it to the *G4-ANSTO* highest line to compare with the experimental data. Doing the

same for the *G4-default* approach and plotting all the data and spectra on the same plot

would deceive the readers and represent the Gaussian of both *G4-ANSTO* and *G4-default* to

178 be nearly identical, as seen in figure (1).

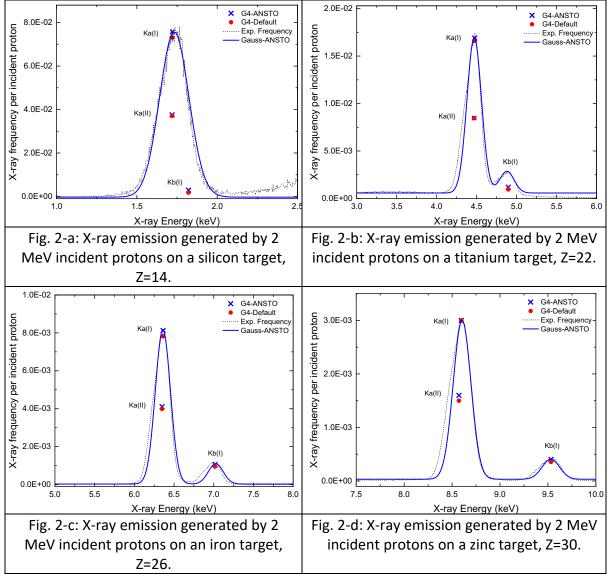


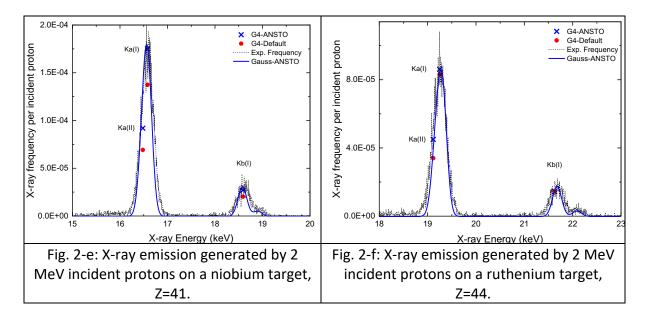
180 Nonetheless, because the data libraries can be employed in a variety of applications ranging181 from space to environmental and healthcare applications, it is critical to demonstrate the

absolute differences in X-ray emission yields produced with the two different data libraries.

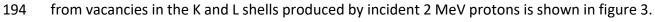
183 Comparison of 2 MeV incident protons results

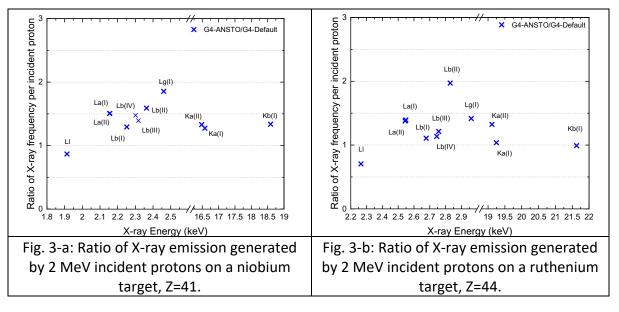
Figures 2, 3, and 4 compare the X-ray fluorescence yields obtained with G4-default and G4-184 ANSTO approaches, and experimental measurements. Figure 2 compares the X-ray emission 185 frequencies (for the K shell) per incident particle generated by a 2 MeV proton on Si, Ti, Fe, 186 Zn, Nb, and Ru samples. The Gaussian of *G4-ANSTO* results was calculated and compared with 187 the experimental spectrum. The experimental spectra and the Gaussian of G4-ANSTO were 188 189 normalised to the highest line of the G4-ANSTO X-ray emissions, in this case $K\alpha(I)$. Figure 2 190 indicates that G4-ANSTO approach has higher X-ray emission rates (for $K\alpha(I)$, $K\alpha(II)$, and $K\beta(I)$ 191 X-ray lines) than the G4-default approach in all samples.



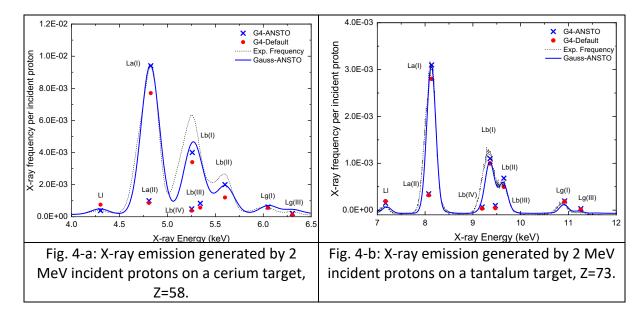


193 The ratio of *G4-ANSTO* to *G4-default* calculated X-ray yields of Nb and Ru derived primarily





- 196 Figure 4 compares calculated X-ray yields to experimental spectra of Ce and Ta, which are
- driven primarily by vacancies in the L shell created by incident 2 MeV protons.



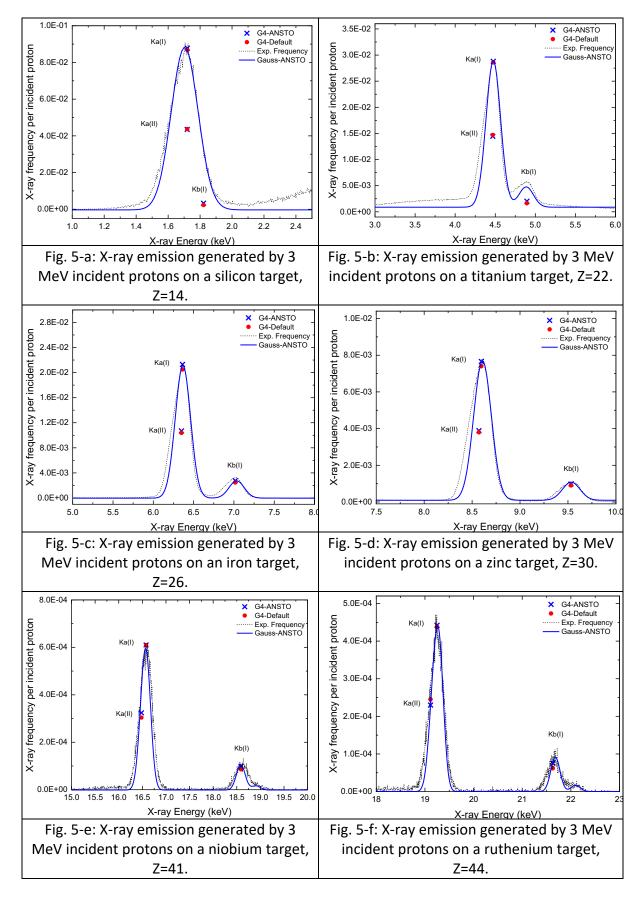
199 The L shell X-ray emissions for Nb (Z=41) and Ru (Z=44) samples were not obtained 200 experimentally. Therefore, only the results of Geant4 simulation using the G4-ANSTO and G4-201 default approaches are shown in figure 3. The ratio of the lines generated by G4-ANSTO approach to the G4-default approach was displayed in figure 3. Most of Geant4 lines were 202 203 found to be substantially higher in the G4-ANSTO approach for both samples (Nb and Ru). It 204 can be noticed that most lines show no more ~50% difference between G4-ANSTO and G4*default* approaches, while it is around ~100% difference for Ly(I) and $L\beta(II)$ for Nb and Ru, 205 respectively. Except the LI line, the electron transition from M(I) subshell to L(III) subshell, in 206 207 G4-ANSTO results is about ~25% lower than in G4-default results.

208 Figure 4 depicts the findings, which indicate a reasonable agreement between Geant4-209 calculated emission X-ray spectra and the experimental measurements. We found that the 210 G4-ANSTO approach produces higher X-ray emission results than the G4-default one. The 211 difference was particularly noticeable for the L α (I) line. In addition, the lines (L β (I), (II), (III), 212 (IV)) calculated by means of both G4-ANSTO and G4-default approaches were lower than the experimental spectrum, which was attributable to the fact that these four lines were adjacent 213 214 to each other and, therefore, their contribution was convoluted to produce the higher peaks 215 observable in the experimental data. However, the Gaussian spectra of G4-ANSTO approach 216 showed better results when compared to the experimental spectrum.

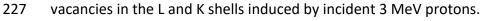
As shown in figure 4, the Ll line of cerium produced by the *G4-default* approach was higher than that generated by the *G4-ANSTO* one. The X-ray fluorescence databases of *G4-default* and *G4-ANSTO* approaches were compared to understand the reason for these variations in Ll X-ray yield and it was found that only the Ll X-ray line probability of transition was higher in *G4-default* when compared to *G4-ANSTO*.

222 Comparison of 3 MeV incident protons results

- Figure 5 compares calculated X-ray yields to experimental spectra of Si, Ti, Fe, Zn, Nb, and Ru
- derived primarily from vacancies in the K shell induced by incident 3 MeV protons.



226 Figure 6 depicts the measured X-ray yields of Nb and Ru, which were primarily derived from



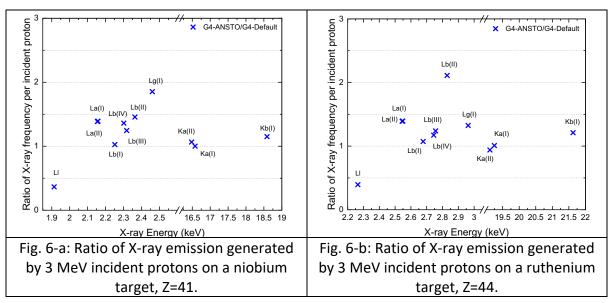
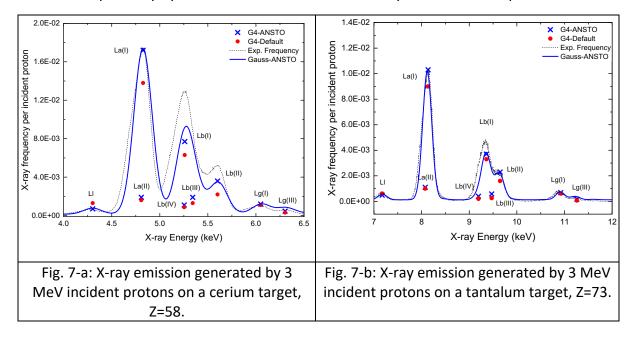
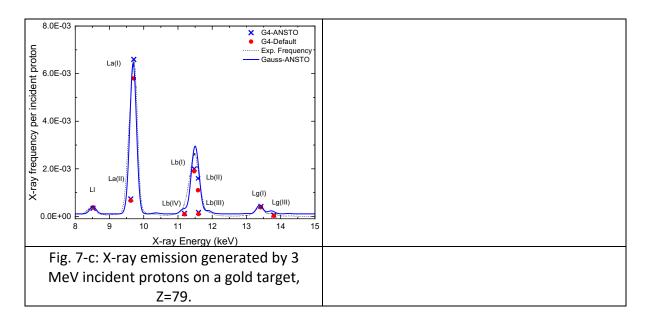


Figure 7 compares calculated X-ray yields to experimental spectra of Ce, Ta, and Au, which were driven primarily by vacancies in the L shell induced by incident 3 MeV protons.





232 Figures 5, 6, and 7 provide a comparison of the G4-ANSTO and G4-default approaches' results. 233 Figure 5 compares the calculated G4-ANSTO and G4-default X-ray emission frequencies (for 234 the K shell) per incident particle, produced by a 3 MeV proton on Si, Ti, Fe, Zn, Nb, and Ru samples to experimental measurements. Additionally, the experimental spectrum was 235 236 compared to the Gaussian of G4-ANSTO results. The experimental spectra and the Gaussian of G4-ANSTO were normalised to the highest line of the G4-ANSTO X-ray emissions, in this 237 238 case K α (I). Figure 5 shows that the *G4-ANSTO* approach provides higher X-ray emission frequencies than the G4-default approach in all samples, except for $K\alpha(II)$ X-ray line for Ru 239 240 (see figure 5-f).

241 Since the L shell experimental spectra for these samples are not available, figure 6 only shows

the results of the Geant4 simulation, the ratio of lines generated by the *G4-ANSTO* approach

to lines generated by the *G4-default* approach. It is worth noting that all lines show less than

244 ~50% difference between *G4-ANSTO* and *G4-default* approaches, while $L\gamma(I)$ and $L\beta(II)$ for Nb

and Ru, respectively, show about ~100% difference.

Figure 7 indicates that the Gaussian of *G4-ANSTO* emission X-ray spectra and the experimental measurements agreed reasonably well. The experimental spectrum and the Gaussian of *G4-ANSTO* were normalised to the highest line of the *G4-ANSTO* approach. We found that the *G4-ANSTO* approach produces higher X-ray emission results than the *G4default* results. The differentiation was especially evident in the L α (I) line.

Figures 6 and 7 show that only the Ll X-ray yield is about ~60% higher in *G4-default* approach than in *G4-ANSTO* one since the probability of transition for the Ll X-ray line was higher in *G4-*

253 *default* approach.

254 Comparison of 10 MeV incident α particles results

Figure 8 compares calculated X-ray yields to experimental spectra of Ti, Zn, Nb, and Ru derived
 primarily from vacancies in the K shell induced by incident 10 MeV α particles.

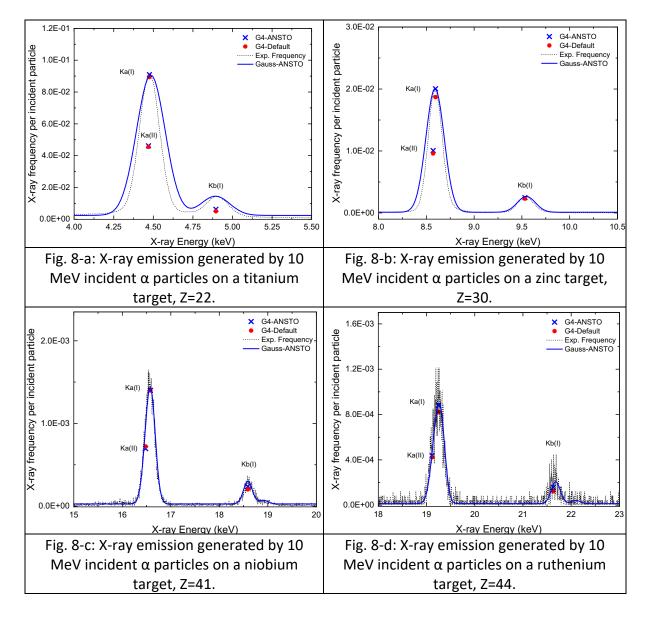


Figure 9 depicts the calculated X-ray yields of Nb and Ru, which result primarily from vacancies
in the L and K shells induced by incident 10 MeV α particles.

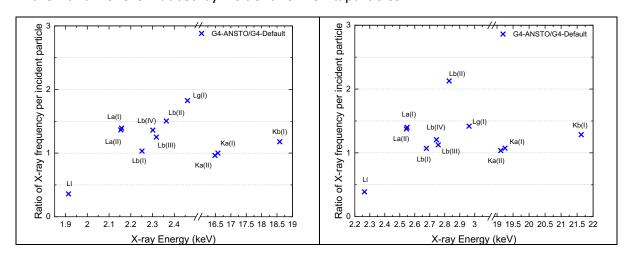
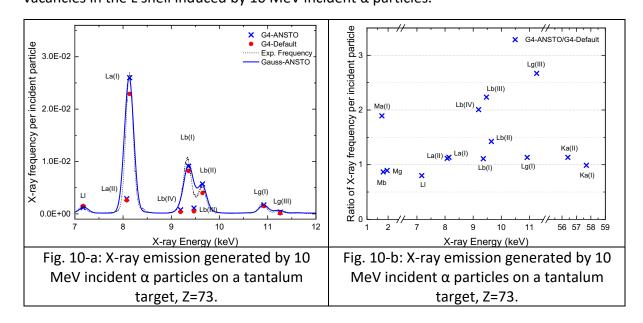


Fig. 9-a Ratio of X-ray emission generated	Fig. 9-a Ratio
· · ·	0
by 10 MeV incident α particles on a niobium	by 10 Me
target, Z=41.	rutl

Figure 10 compares calculated X-ray yields to experimental Ta spectra derived primarily from
 vacancies in the L shell induced by 10 MeV incident α particles.



263

Figures 8, 9, and 10 compare the results of the G4-ANSTO and G4-default approaches. Figure 264 8 compares the X-ray emission frequencies (for the K shell), produced by 10 MeV α particles 265 on Ti, Zn, Nb, and Ru samples, calculated using the G4-ANSTO and G4-default approaches to 266 267 experimental measurements. The Gaussian of G4-ANSTO results were compared to the 268 experimental spectrum. The experimental spectra and the Gaussian of G4-ANSTO were normalised to the highest line of *G4-ANSTO* approach, in this case $K\alpha(I)$. Figure 8 shows that 269 270 the G4-ANSTO approach indicates about ~5% higher X-ray emission frequencies than the G4-271 default approach in all samples. Figure 9 depicts the L and K shells X-ray emissions using the 272 G4-ANSTO and G4-default approaches; the G4-ANSTO results were significantly higher than 273 the G4-default results, a maximum of 50% difference for most lines, while Ly(I) and L β (II) for 274 Nb and Ru, respectively, showed about ~100% difference. Besides the Ll line, which was ~60% 275 lower using G4-ANSTO approach than G4-default approach.

276 Figure 10 depicts the calculated Ta X-ray yields resulting primarily from vacancies in the L and 277 M shells induced by 10 MeV incident α particles. In Figure 10-a, the Gaussian of G4-ANSTO X-278 ray emission results showed a reasonably good agreement with the ANSTO experimental data. Figure 10-b, on the other hand, depicts the M and L shells X-ray emissions for both the 279 280 G4-ANSTO and G4-default approaches under consideration. Except for the LIX-ray line, all G4-281 ANSTO X-ray lines created from vacancies in L shells were higher (maximum of 100% besides of L β (III) and Ly(III) are about 150% higher) than the *G4-default* ones, due to the higher 282 probability of transition in the *G4-default* approach, with the solely exception of the Mβ and 283 Mγ X-ray lines. 284

285 **Conclusions**

286 The G4-ANSTO approach, which includes the ANSTO ECPSSR ionisation cross sections [14] 287 and ANSTO HF fluorescence yield [22] libraries, was implemented in Geant4 and compared to 288 G4-default approach. The G4-default approach includes the ECPSSR Form Factor ionisation 289 cross sections [11], [12] and EADL [19] fluorescence yield libraries. Geant4-calculated X-ray 290 emission spectra using the G4-ANSTO, and the G4-default approaches were compared in 291 terms of fluorescence X-ray yields per incident particle. Nine target materials (Si, Ti, Fe, Zn, 292 Nb, Ru, Ce, Ta, Au) were under study, from low to high atomic number Z materials. 293 Monoenergetic beams of protons (2 and 3 MeV) and α particles (10 MeV) were used.

For all samples, most lines were observed to be significantly higher when using the *G4-ANSTO* approach with the exception of the Ll line, corresponding to the electron transition from the M(I) subshell to the L(III) subshell. The study showed that the Geant4-calculated X-ray emission spectra and the ANSTO experimental measurements were in a reasonably good agreement. However, we are only analysing a few peaks with PIXE, but in reality, *G4-ANSTO* has significant differences with *G4-default* for other lines, which may be important in other application domains.

The novel *G4-ANSTO* X-ray fluorescence data libraries can be coupled with the G4-ANSTO cross sections [14] or with the Geant4 default cross sections, handling the generation of atomic vacancies (e.g., the photoelectric effect, Compton scattering and ionisation).

The *G4-ANSTO* approach will be included in the public release of Geant4 in the near future and will be usable by means of user interface commands on top of any electromagnetic physics configurations. The Geant4 PIXE user community will be able to use the *G4-ANSTO* libraries and models, which provide a unique, self-consistent, and stable recommended approach.

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