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# 1 Experimental study of the effect of wind above irregular waves on the wave- 2 induced load statistics

3 *Julie Caroe Kristoffersen<sup>a,\*1</sup>, Henrik Bredmose<sup>b</sup>, Christos Thomas Georgakis<sup>a</sup>, Hubert Branger<sup>c</sup>,*  
4 *Christopher Luneau<sup>d</sup>*

5 <sup>a</sup>*Department of Engineering, Aarhus University, Inge Lehmannsgade 10, DK-8000 Aarhus, Denmark*

6 <sup>b</sup>*DTU Wind Energy, Nils Koppels alle bulding 403, DK-2800 kgs. Lyngby, Denmark*

7 <sup>c</sup>*IRPHE, CNRS, AMU, ECM, Campus Luminy, Bat IOA, FR-13009 Marseille, France*

8 <sup>d</sup>*Institut Pythéas, CNRS, AMU, IRD, Campus Luminy, Bat Pythéas, FR-13009 Marseille, France*

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## 10 *Abstract*

11 The design load from waves on offshore structures are often estimated by aid of experimental studies in wave  
12 flumes and basins. When going from open sea to laboratorial conditions a number of factors are either added or  
13 omitted such as wind above waves. The paddle-generated waves are based on a spectrum taking the indirect effect  
14 of wind into account, whereas the direct effect of wind is left out. Previous studies have focused on the direct  
15 effect of wind on waves themselves, but no investigations on the load has been made. The question is whether or  
16 not airflow separation, vortexes etc. in the wind field alter physical properties such as steepness of waves, the  
17 number of breaking waves and hereby the depth-integrated force. To investigate the matter, an experimental study  
18 of depth-integrated force on a circular cylinder from irregular waves both with and without wind above was  
19 conducted. An objective, when conducting the tests, was to achieve the same significant wave height whether wind  
20 was present or not. Exceedance probability curves for wave crest height, depth-integrated force and pressure were  
21 obtained. Moreover, a more descriptive assessment of the phenomena was done by studying the average force  
22 shape of the hundred largest force events. In addition, a phase-based harmonic separation method to explore the  
23 wind's effect on the harmonic force content of higher order was applied. The front steepness of the waves was  
24 clearly increased with the introduction of wind and further increased, when increasing the wind velocity. The  
25 introduction of wind consistently increased the number of breaking waves detected with a breaking criterion. The  
26 wave-induced load in the tail of the exceedance probability curve was only increased for some of the sea states,  
27 when wind was added. This was very dependent on the crest height of the waves that was forced to break. The  
28 maximum wave-induced pressure in the tail of the exceedance probability curve was on the contrary increased for  
29 all sea states, when wind was present, and it kept increasing with increasing wind velocity. For one of the sea  
30 states with increased force extremes, the averaged force shape for the hundred largest events of a case with wind  
31 revealed a force shape with a spiky peak consistent with that generated from breaking waves. This was not present  
32 for the case without wind. The separated harmonic content of the force up to fourth order also showed a tendency  
33 towards more energy at harmonic components of higher order for the case with wind.

## 34 *Keywords*

35 Offshore structure; Wave-induced load; Direct wind effect on waves; Irregular waves;

36 *1. Introduction*

37 For the design of offshore structures, force statistics of waves are an inevitable requirement. The statistics are  
38 obtained by using site-specific hind cast data along with numerical or experimental realizations of irregular waves  
39 for representative sea states. A site-specific spectrum such as Jonswap-spectrum or Bretschneider-spectrum is  
40 applied in order to generate irregular waves. The indirect effect of wind is hereby taken into account through its  
41 influence on the spectral parameters. Utilizing the wave-induced loads from the irregular waves on a test object,  
42 gives an exceedance probability distribution and finally an estimate of the design load. Different uncertainties are  
43 coupled to the experimental process such as scaling issues and a number of factors either omitted or added in  
44 laboratory conditions. One of the omitted factors is the direct effect of wind above waves, which is addressed in  
45 this paper. The direct effect can for instance be present, when the airflow in the wind above the waves is separated.  
46 The flow field thereby results in added energy transfer from the wind to the waves [1],[2]. Recently, the airflow  
47 separation in a wind field over focused wave trains have been studied both experimentally and numerically with  
48 emphasis on the change of the wave amplitude. The experimental findings of [3] showed that with the introduction  
49 of wind, the waves remain focused for a longer duration and obtain a slight increase in amplitude. Tests with  
50 Digital Particle Image Velocimetry [4] reveal another consequence of wind above waves, namely that airflow  
51 separation over very steep waves results in vortices on the leeward side of the wave. This adds to the energy  
52 transfer from the wind field to the waves. The matter is confirmed in numerical simulations where both the wind's  
53 effect on waves and vice versa were modelled [5]. The waves are steepened by the wind pressure variations from  
54 wave trough to trough appearing due to vortexes. The airflow separation was also investigated in an experimental  
55 study [6], in which registration of airflow separation was enabled by means of a well-designed equipment setup  
56 including hot and cold X-wires. The observations show that the separation occurs once the local wave slope  
57 exceeds 0.35 m/m and that it is often followed by wave breaking. This sort of energy transfer from the wind field  
58 will even occur for waves in a fully arisen sea, and it will naturally be balanced by continuous breaking dissipation  
59 from breaking waves. It can potentially give a distribution of the wave steepness different from that generated in  
60 laboratory conditions without wind, i.e. the wave steepness can be considerably different for realizations with and  
61 without wind having the same significant wave height. In the open sea where the direct effect of wind is naturally  
62 present, the waves would be steeper, the number of breaking waves higher, and the load statistics thereby increased  
63 for a certain sea state compared to that obtained in laboratorial conditions without wind, i.e. the load statistics  
64 obtained in the laboratory would be underestimated. Wind stress above water waves may also change the surface  
65 currents and increase the local vorticity under the water surface [7], which may alter the loads on marine structures.  
66 Moreover, another concern is raised since numerical simulations [8] show that wind above waves speeds up the  
67 overturning crest. If this is realistic for physical water waves, the load from breaking waves could be increased,  
68 when wind is present, and the tail of the exceedance probability curve for loads would change. This change of  
69 wave-induced load caused by the influence of wind above focused waves was studied numerically in [9]. For an  
70 initial non-breaking wave, the wind ultimately forces the wave into breaking and thereby increases the load  
71 significantly. For an initial breaking wave, the wind drives the wave to break earlier and the load is actually slightly  
72 decreased. However, a high velocity of the wind above steep focused waves can result in increased wave-induced  
73 pressure. Since the modelling of breaking waves in the applied numerical code, OceanWave3D [10] is uncertain,  
74 an experimental study is necessary. An experimental study is required to clarify both the wind's effect on  
75 individual large and breaking wave and on the load statistics of irregular waves.

76 In the present paper, the exceedance probability distribution for wave crest height, wave-induced load and pressure  
77 was obtained in experiments consisting of irregular waves with and without wind to evaluate the influence of wind  
78 above waves. The frame of reference was improved by ensuring that difference between the significant wave  
79 height in tests with and without wind was within +/-5%. Moreover, characteristics of the waves such as crest height

80 and steepness were evaluated in relation to a breaking criterion to clarify the differences in the force statistics. To  
 81 explore the consequences of direct effect of wind, we present an in-depth investigation of the average shape of the  
 82 largest force events and of the higher order force components obtained with a phase-based separation method. The  
 83 methodology of these investigations is presented in the next section. Next, the paper continues with a description  
 84 of experimental setup, results and discussion to elaborate on the hypothesis that the direct effect of wind alters the  
 85 waves' steepness and more importantly the exceedance probability curve of the wave-induced load and pressure.

86

## 87 2. Methodology

### 88 2.1 New Force method

89 The most probable wave shape around a crest peak can be described by New Wave theory [11], which is based on  
 90 the auto correlation function of the wave spectrum. The largest force peak, however, does not have to be generated  
 91 from the largest wave, but depends also on the steepness. The most probable shape of the force around a peak is  
 92 therefore described by the New Force concept [12]. The linear force spectrum is described as

$$93 S_F(\omega_j) = |\Gamma(\omega_j)|^2 S_\eta(\omega_j), \quad (1)$$

94 where  $\Gamma(\omega_j)$  is the force transfer function,  $\omega_j$  the wave frequency of the  $j^{\text{th}}$  component and  $S_\eta(\omega_j)$  the wave  
 95 spectrum. The most probable shape around a force peak can then be derived from the linear force spectrum as

$$96 F_{NewForce}^{(l)} = \frac{\alpha_F}{\sigma_F} \sum_j Re \left\{ |\Gamma(\omega_j)|^2 S_\eta(\omega_j) \Delta\omega \cdot \exp \left( i (\omega_j(t-t_0) - k_j(x-x_0)) \right) \right\}, \quad (2)$$

97 where  $\alpha_F$  is the value of the force peak,  $\sigma_F$  is the standard deviation of the linear force spectrum,  $k_j$  is the wave  
 98 number of the  $j^{\text{th}}$  component given by the dispersion relation  $\omega_j^2 = g k_j \tanh(k_j h)$ , where  $g$  is the gravitational  
 99 constant,  $h$  is the water depth. The focal point is  $x_0$  and  $t_0$  the focal time. Superscript indicates the order. The  
 100 corresponding surface elevation is then given as

$$101 \eta_{NewForce}^{(l)} = \frac{\alpha_F}{\sigma_F} \sum_j Re \left\{ \Gamma^*(\omega_j) S_\eta(\omega_j) \Delta\omega \cdot \exp \left( i (\omega_j(t-t_0) - k_j(x-x_0)) \right) \right\}, \quad (3)$$

102 where  $\Gamma^*$  is the complex conjugate of  $\Gamma$ . The second order force is estimated from Morison's equation [13] and  
 103 the force model of [14], where the applied second order surface elevation and wave kinematics are calculated by  
 104 use of second order wave theory [15].

$$105 F^{(2)} = \rho \pi R^2 C_M \int_{-h}^0 (u_t^{(2)} + u^{(1)} u_x^{(1)} + w^{(1)} u_z^{(1)}) dz + \rho \pi R^2 (C_M - I) \int_{-h}^0 (u^{(1)} w_z^{(1)}) dz +$$

$$106 \rho R C_D \int_{-h}^0 (u^{(1)} |u^{(1)}|) dz + \rho \pi R^2 C_M \eta_{NF}^{(1)} u_t^{(1)} \quad (4)$$

107 Here  $R$  is the radius of the cylinder,  $\rho$  is the density of water,  $h$  is the water depth,  $C_M$  and  $C_D$  the inertia and drag  
 108 coefficient. The velocity in  $x$ - and  $z$ -direction are denoted by  $u$  and  $w$ , respectively. Subcase indicates derivation  
 109 and superscript the order. This New Force model will be compared to the averaged force time series around the  
 110 peak of the ten largest force events in the tests both with and without wind. This part is elaborated in the results  
 111 section.

112

113 *2.2 Phase-based harmonic separation*

114 To assess the content of the higher order force components, the force can be separated in first, second, third, fourth  
 115 order super harmonics and second order subharmonic by implementation of a four-phase based harmonic  
 116 separation [16], which is expanded from the two-phase based separation of [17]. Four time series of the force were  
 117 obtained in the irregular wave tests, where the phase of the wave paddle signal was shifted with 0, 90, 180 and  
 118 270 degrees, respectively. These can then be applied in order to separate the different harmonic components of the  
 119 force. If the composition of the force is assumed as a perturbation expansion, it can be described as

$$120 \quad F = Af_{11} \cos \varphi + A^2 (f_{20} + f_{22} \cos 2\varphi) + A^3 (f_{31} \cos \varphi + f_{33} \cos 3\varphi) + A^4 (f_{42} \cos 2\varphi + f_{44} \cos 4\varphi), \quad (5)$$

121 where  $A$  is the wave amplitude,  $f_{nm}$  is the wave-to-force transfer function and  $\varphi = \omega t + \varphi_0$  is the phase of the wave,  
 122 with  $\varphi_0$  the initial wave phase. The different force components from first to fourth order are extracted from

$$123 \quad (F_0 - F_{90}^H - F_{180} + F_{270}^H) / 4 = Af_{11} \cos \varphi + A^3 f_{31} \cos \varphi + O(A^5) \quad (6)$$

$$124 \quad (F_0 - F_{90} + F_{180} - F_{270}) / 4 = A^2 f_{22} \cos 2\varphi + A^4 f_{42} \cos 2\varphi + O(A^6) \quad (7)$$

$$125 \quad (F_0 + F_{90}^H - F_{180} - F_{270}^H) / 4 = A^3 f_{33} \cos 3\varphi + O(A^5) \quad (8)$$

$$126 \quad (F_0 + F_{90} + F_{180} + F_{270}) / 4 = A^2 f_{20} + A^4 f_{44} \cos 4\varphi + O(A^6), \quad (9)$$

127 Where  $F_0, F_{90}, F_{180}$  and  $F_{270}$  are the load induced on the model from waves generated with the wave paddle signal  
 128 shifted with 0, 90, 180 and 270 degrees, respectively. Superscript H means application of the Hilbert operator. The  
 129 first, second, third and fourth order components are determined respectively with eq. (6), (7), (8) and (9). It should  
 130 be noticed that eq. (9) also contains the second order subharmonic force, which can easily be separated from the  
 131 fourth order due to the large separation in the frequency domain. The higher order force components can now be  
 132 compared in the test cases with and without wind to explore if a wind field adds to the higher order force  
 133 components. This is elaborated in the results section as well.

134

 135 *3. Experimental setup*

136 The tests were conducted in the Large Air-Sea Interface Facility of the IRPHE/Pytheas laboratory at Luminy  
 137 University, Marseille, France. The facility consists of a 40.0 x 2.6 x 0.9 m wave tank with a 40.0 x 3.2 x 1.5 m  
 138 closed-loop airflow channel on top. A complete description of the facility with schematic views can be found in  
 139 [18]. The tunnel roof is carefully profiled to create an airflow boundary layer of zero pressure gradient along the  
 140 test section. At the downwind end of the tank a permeable absorbing beach is installed to minimize wave reflection.  
 141 The wave tank is equipped with a mechanical wave paddle. The computer-controlled wave-maker can generate  
 142 regular or random waves and it is entirely submerged under the upstream beach to avoid any perturbation of the  
 143 air flow which could be induced by its displacement. The airflow channel has a turbulent grid, convergent and  
 144 divergent sections designed to obtain a homogeneous flow at the entrance near the wave paddles, and hereby  
 145 achieving a natural development of the air boundary layer at the water surface. This gives a logarithmic wind  
 146 profile throughout the tank. The tests were Froude scaled 1:100 to obtain dynamic similitude. The model used for  
 147 investigation of wave-induced load, was a fixed-fixed circular pipe made of aluminum. The model setup, which is  
 148 shown in figure 2, contains a load cell right above the bottom fixing and a load cell below the top fixation. It had  
 149 a height of 1.3 m and a diameter of 0.1 m, corresponding to 10 m full scale. The first natural frequency was

150 determined to 65.6 Hz by means of operational modal tests, where the model was excited with white noise loading  
 151 applied with a soft brush. The water depth used in the tests was 0.9 m. The irregular waves in the tests were  
 152 generated from a Jonswap spectrum with peak frequencies varying from 0.80 and 1.05 Hz. The model therefore  
 153 had a stiffness allowing for adequate separation between the dynamic force components and the wave-induced  
 154 load. The water elevation was measured with four different DANTEC 55E capacitance wave gauges. Wind speed  
 155 reference at the entrance of the air channel was measured with a Windsonic GILL anemometer. Wind turbulence  
 156 near the model was measured with a DANTEC CTA hotwire anemometer. The applied equipment and their  
 157 sampling frequency are listed in table 1. The location of wave gauges, hotwire and the model can be seen in figure  
 158 1. The position of wave gauges 1 and 2 was chosen to obtain measurements suitable as reference signal for the  
 159 purpose of numerical simulations, whereas wave gauges 3 and 4 are used for statistical assessment of wave height,  
 160 crest height, temporal and spatial slope. The position of load cells, pressure transducers and accelerometers is  
 161 shown in figure 2 and the vertical distance from the bottom of the tank up to the sensors is listed in table 2.  
 162 Horizontally, the pressure transducers and accelerometers were placed as seen in figure 3. A photo of the model  
 163 in working position with the equipment is found in figure 4. All sensors acquired data through a National  
 164 Instruments module connected to a DAQ chassis, whereby synchronization of data was ensured. The setup with  
 165 model and load cells was calibrated in static tests by means of a dynamometer and weights.

Table 1: Overview of applied equipment and sampling frequency.

| Sensor Type                                | Number of sensors | Sampling frequency [Hz] |
|--------------------------------------------|-------------------|-------------------------|
| DANTEC 55E capacitance wave gauges         | 4                 | 1024                    |
| DANTEC single sensor CTA hotwire           | 1                 | 1024                    |
| ATI Force-Torque load cells                | 2                 | 6400                    |
| Kulite XTL-190M pressure transducers       | 8                 | 6400                    |
| Brüel and Kjær 4508-B axial accelerometers | 8                 | 6400                    |
| WindSonic Gill Anemometer                  | 1                 | 1                       |
| National Instruments Compact DAQ           | 1                 |                         |

Table 2: Vertical position of sensors

| Pressure transducers | z [m] | Accelerometers | z [m] |
|----------------------|-------|----------------|-------|
| 1                    | 0.79  | 1              | 0.79  |
| 2                    | 0.84  | 2              | 0.87  |
| 3                    | 0.89  | 3              | 0.95  |
| 4                    | 0.94  | 4              | 1.02  |
| 5                    | 0.99  | 5              | 1.09  |
| 6                    | 1.04  | 6              | 1.16  |
| 7                    | 1.09  | 7              | 1.00  |
| 8                    | 1.14  | 8              | 1.09  |

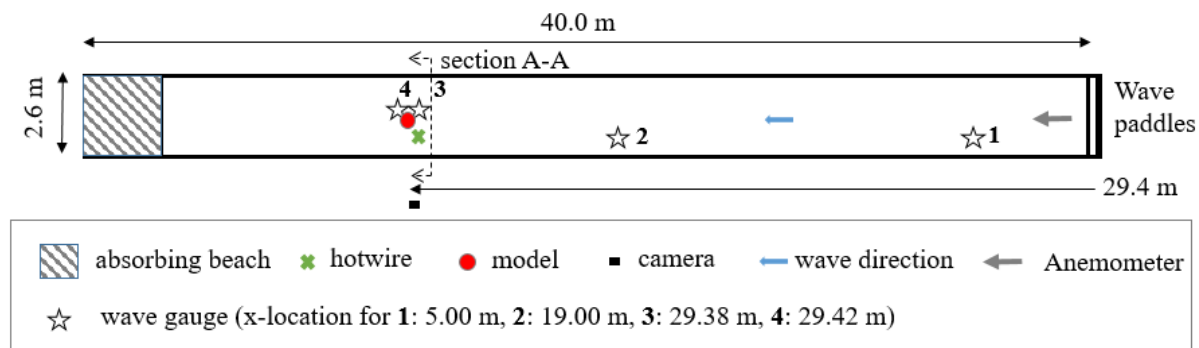


Figure 1: Position of wave gauges, hotwire and model along the wave tank. Location of wave paddles and absorbing beach.

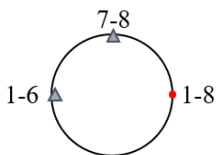


Figure 2: Horizontal position of sensors on model

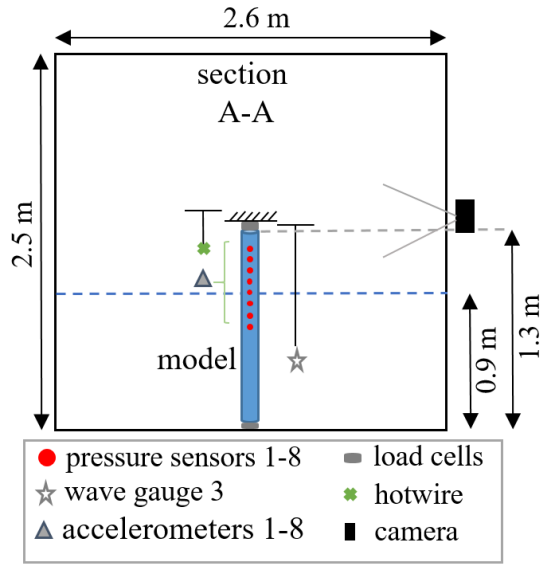


Figure 4: Photo of the model in working position.

## 168 Sea States

169 In total four different sea states were considered to explore the wind effect and its dependency on the steepness of  
 170 the sea states. Irregular waves were generated from a Jonswap spectrum with gamma value of 3.3 and a frequency  
 171 interval of 0.3-1.9 Hz. The parameters of the four sea states are listed in table 3. The sea states were run for 18  
 172 minutes corresponding to a 3-hour full-scale sea state. Every 18 minutes sea state test were repeated ten times but  
 173 with different random phases of the wave maker signal to ensure statistical independency. Moreover, four 18-  
 174 minutes time series of irregular waves were ran with 0, 90, 180 and 270 degrees phase shift to enable separation  
 175 of harmonic force components. The repeated tests of sea state A, B, C and D were conducted at two different wind  
 176 velocities, namely of 0.0 m/s and 5.5 m/s, which was measured 1.0 m above the still water level at the entrance of  
 177 the flume with the sonic anemometer. Sea state B and D were also conducted at a wind velocity of 7.0 m/s. The  
 178 wave maker signal of the tests with wind velocity of 5.5 m/s and 7.0 m/s was multiplied with a reduction factor to  
 179 achieve same significant wave height,  $H_s$ , as in the tests without wind. This means that the increase in  $H_s$  at the  
 180 location of the model caused by the added energy from wind to waves along the tank was compensated by  
 181 generating a reduced signal at the beginning of the tank. The reduction factor,  $p_{red}$  for different sea states and  
 182 corresponding wind velocities is listed in table 3. The reduction factor  $p_{red}$  was calibrated by experimental iteration  
 183 for one of the ten repetition tests. For the sea states of lowest  $H_s$  the wind-generated waves constituted a larger  
 184 percentage of the total wave height and the paddle-generated waves were more reduced thus giving a lower  
 185 reduction factor,  $p_{red}$  for sea state A and C.

Table 3: Sea state parameters: Peak frequency,  $f_p$  and significant wave height,  $H_s$  for the sea states, and reduction factor,  $p_{red}$  on the wave paddle signal for wind velocity 5.5 and 7.0 m/s

| Sea state | $f_p$ [Hz]  |            | $H_s$ [m]   |            | $p_{red}$ for velocity |         |
|-----------|-------------|------------|-------------|------------|------------------------|---------|
|           | Model scale | Full scale | Model scale | Full scale | 5.5 m/s                | 7.0 m/s |
| A         | 1.05        | 0.105      | 0.080       | 8.0        | 0.78                   | -       |
| B         | 0.95        | 0.095      | 0.090       | 9.0        | 0.92                   | 0.80    |
| C         | 0.95        | 0.095      | 0.080       | 8.0        | 0.85                   | -       |
| D         | 0.80        | 0.080      | 0.095       | 9.5        | 0.92                   | 0.88    |

186 *Scaling of wind*

187 The scaling of the force is Froude scale to achieve dynamic similitude. The  
 188 wind forcing from the aerodynamic pressure, is according to Jeffrey's  
 189 sheltering mechanism [19],  $p = \rho_a S (u - c_\varphi)^2 \eta_x$ , where  $\rho_a$  is density of the air,  
 190  $S$  a sheltering coefficient,  $u$  the velocity of the wind,  $c_\varphi$  the wave phase  
 191 velocity and  $\eta_x$  local wave slope. The velocity of the wind is therefore scaled  
 192 with the square root of the length scale. The largest mean velocity measured  
 193 at 48.0 m above still water level near the Maersk Oilrig, Endeavour is 51.4  
 194 m/s and the largest wind gust was 59.2 m/s [29]. Assuming a logarithmic wind  
 195 profile, the horizontal velocity as function of vertical coordinate is described  
 196 as  $u(z) = \frac{u^*}{\kappa} \ln\left(\frac{z}{z_0}\right)$ , where  $u^*$  is the friction velocity,  $\kappa$  is Von Karmen  
 197 constant and  $z_0$  is the roughness length. Applying the parameters  $\kappa = 0.4$ ,  
 198  $z_0 = 1.2 \cdot 10^{-4} m$  and  $u^* = 1.6$  and  $u^* = 2.1$  m/s, which are in accordance with  
 199 the friction velocities and roughness lengths recorded in very high wind  
 200 conditions [20] [20], results in the full-scale wind velocity profiles seen in  
 201 figure 5. The velocity 10 m above sea water level,  $u_{10}$  equals 45.7 m/s and  
 202 58.0 m/s, which scales to 4.57 m/s and 5.80 m/s at model scale. The lowest  
 203 wind velocity of which tests of irregular waves are conducted, is chosen in  
 204 between these observation events, namely of 5.5 m/s. We assume that, the  
 205 wind velocity follows the same statistical trend as the relation between the maximum wave height in 10,000 year  
 206 and significant wave height. That relation is given as  $H_{max,10,000y} = H_s \cdot \sqrt{\log(10000) / 2}$ , where it is assumed  
 207 that the wave heights follow a Rayleigh distribution. The maximum mean wind velocity of 45.7 m/s is observed  
 208 over a period of 50 years. The largest wind velocity used in the tests should correspond to a 10,000 year wave, and  
 209 is therefore calculated to  $\langle u_{max,10000} \rangle = \langle u_{max,50} \rangle \frac{\sqrt{\log(10000)/2}}{\sqrt{\log(50)/2}} = 4.57 \cdot \frac{2.15}{1.40} = 7.0$  m/s

 210 *4. Direct effect of wind on waves and wave loading*

211 This study of the effect of wind above waves and the wave-induced loads was focused on unidirectional irregular  
 212 waves of four sea states. The overall severity of these sea states was evaluated by plotting the nondimensional  
 213 steepness against the nondimensional depth in the wave theory diagram [22] in figure 6. The peak period,  $T_p$  and  
 214 wave depth,  $h$  was applied with both the significant wave height,  $H_s$  marked with red stars and the theoretical  
 215 maximal wave height for 10,000 waves,  $H_{max,10,000}$  marked with magenta dots. The waves of the sea states were  
 216 ranging from intermediate to deep water waves and were for wave heights equal to the significant wave height  
 217 adequately described with Stokes third order theory. The highest waves in the sea states were nevertheless highly  
 218 nonlinear. The actual steepness of the waves in the tests of different sea state parameters are investigated along  
 219 with Kjeldsens breaking criterion [23], where the waves are determined to be breaking when  $\varepsilon > 0.32$  with  $\varepsilon$   
 220 defined as sketched in figure 8.

 221 *4.1 Effect of wind on waves in terms of significant wave height, crest height and steepness*

222 The focus in this section is how a wind field above the waves affects the steepness, crest height and other  
 223 characteristics of the waves. The sea states consist of irregular waves of different severity from the state where  
 224 more than one percentage of the waves are breaking to that where hardly none are breaking. The development of  
 225 the irregular waves along the tank is plotted in figure 7 in terms of significant wave height for all wind velocities.

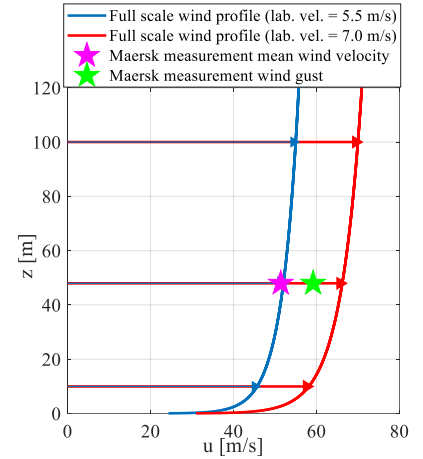


Figure 5: Full-scale logarithmic wind profile, where the velocity 100 m above the mean water level,  $u_{100}$  corresponds to model-scale velocity, of 5.5 and 7.0 m/s 1 m above mean water level (Froude scaled). The stars mark extreme measurements on Maersk Oilrig Endeavour.



226 This is done to explore how energy is transferred from the wind to the waves and how energy is dissipated through wave  
 227 breaking. It should be mentioned that the first mark at a fetch of 0.0 m is an input  $H_s$  to the wave maker and not a calculation  
 228 of  $H_s$  based on measurement, since no wave gauge was present at that fetch. For all sea states without wind the significant  
 229 wave height,  $H_s$  is larger at the fetch of 5.0 meters than the input  $H_s$ , which could be explained with either a wrong  
 230 calibration of that wave gauge or by a difference in the input to the wave maker. For sea state A with wind velocity of 0.0  
 231 m/s, the significant wave height is reduced throughout the tank from the fetch of 5.0 meters to 29.4 meters due to breaking  
 232 dissipation. On the contrary, it is maintained throughout the tank, when wind is introduced and the wind's energy input  
 233 balances the breaking dissipation. This means that the significant wave height is 3.8 % larger at the model for tests  
 234 with wind. For sea state B without wind, the significant wave height is moreover largely reduced from the fetch of 5.0  
 235 meters to that of 29.4 meters. For wind velocity of 5.5 m/s the significant wave height exceeds that for wind velocity of 0.0  
 236 m/s at a fetch of 5.0 meters. From 5.0 meters and further down tank, it is decreasing, but not as much as in tests without wind,  
 237 so it ends up being 4.4 % larger at the model. The significant wave height for 7.0 m/s is 5.0 meters down tank the lowest,  
 238 but the wind energy transfer evens out the breaking dissipation and it ends up at the same value as for tests without wind.  
 239 For sea state C, there is a reduction in the significant wave height for tests with wind velocity of both 0.0 m/s and 5.5 m/s from  
 240 a fetch of 5.0 meters to one of 29.4 meters. It seems however, as the wind is transferring energy to the waves, since the  
 241 reduction is smallest for the tests with wind, and therefore the significant wave height builds up being 2.5 % larger at the  
 242 model. For sea state D, the reduction of the significant wave height from a fetch of 5.0 meters to one of 29.4 meters is  
 243 decreased as the wind velocity increases. This suggests that the higher wind velocity, the higher increase in total energy  
 244 until a certain limit is reached. However, the energy dissipation is still dominating. The significant wave height  
 245 29.4 meters down tank is the same for wind velocity of 0.0 m/s and 5.5 m/s, whereas it is 2.1 % larger for tests with wind  
 246 velocity of 7.0 m/s. The objective to have the same significant wave height at the model for all wind velocities was difficult  
 247 to reach, since the reduction factor was only calibrated for one of the ten repetition tests, but it is still in a range, which  
 248 provides a reasonable benchmark.

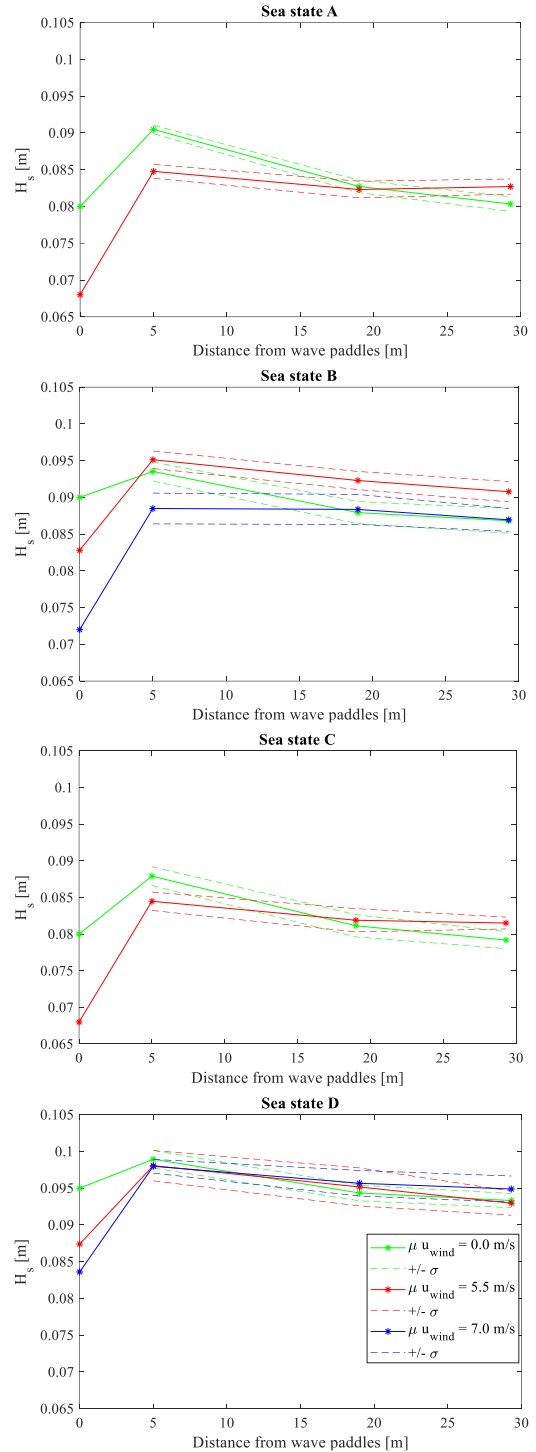


Figure 6: The development of significant wave height,  $H_s$  along the wave tank measured with four wave gauges, which position are marked in figure 1. The first point is the input  $H_s$  to the wave maker. The last point is the average significant wave height of wave gauge 3 and 4.  $H_s$  is plotted for sea state A-D with wind velocity of 0.0, 5.5 and 7.0 m/s.

271 We now analyze the effect of wind on the individual wave properties of  
 272 crest height and steepness for each sea state. To achieve statistics for  
 273 wave crest height, steepness, wave-induced load etc. a zero-down-  
 274 crossing analysis was performed on the 18-minutes signals of the surface  
 275 elevation measured with wave gauge 3 right in front of the model. The  
 276 signal was low-pass filtered up to 1.9 Hz before doing the zero-down-  
 277 crossing analysis to avoid detecting very short and small waves. These  
 278 smaller fluctuations in the surface elevation were more pronounced in  
 279 tests with wind, which is seen in the wave spectrum of wave gauge 3  
 280 in figure 8. We see that there is more energy at frequencies higher than  
 281 2 Hz, when wind is introducing. This increase in the power spectrum  
 282 at these frequencies goes well in line with the frequencies of the small  
 283 ripples generated by the wind. These small ripples will inevitably  
 284 cause a different profile of the surface elevation of the waves. However  
 285 since the significant wave height,  $H_s$  is decreased in the tests with  
 286 wind, there still is a fair benchmark for comparison. The crest and  
 287 wave height between the already determined zero-down-crossings was  
 288 found for the originally unfiltered wave gauge signal to avoid an  
 289 underestimation of the wave height. For the breaking criterion of  
 290 Kjeldsen [23] a definition for the length, crest height and steepness of  
 291 the wave front was applied, which is shown in figure 7. In addition,  
 292 the wave period was adjusted to the period of the unfiltered signal to  
 293 avoid an overestimation of this parameter. The crest height is plotted  
 294 against the length of the front of the highest 500 waves in terms of  
 295 crest height in figure 9. The mean front length and the mean crest  
 296 height of the wave population is marked with a larger, darker dot to  
 297 observe how they are changing, when wind is introduced. The black  
 298 line marks the breaking limit of Kjeldsen [23] i.e. the waves to the  
 299 left of the line are determined to be breaking. For sea state A and C  
 300 it can be seen that the mean front length is decreased, whereas the  
 301 mean crest height is hardly changed, when wind is increased from 0.0  
 302 to 5.5 m/s, meaning that the waves are steepened. This is confirmed  
 303 in table 4, where we can see that the crest height is only increased  
 304 with 1.7 % and 1.9 % respectively for sea state A and C, whereas the  
 305 length of the wave front is decreased with 5.3 % and 6.5 % for sea  
 306 state A and C. For sea state B and D the mean is moved both  
 307 upwards and to the left, when wind is increased from 0.0 m/s to  
 308 5.5 m/s. This is confirmed in the table 4, where there is an increase  
 309 in the average crest height of respectively 8.8 % and 6.1 % and a  
 310 decrease of respectively 8.4 % and 7.6 % on the average length of  
 311 the wave front for sea state B and D. This means that the mean  
 312 crest height and the mean steepness of the top 500 waves of sea state  
 313 B and D are both increased, when wind is present. When the wind is  
 314 increased from 5.5 m/s to 7.0 m/s the effect is however, less  
 315 pronounced. For sea state B, the crest height is even slightly  
 316 decreased, but the length of the wave front is still decreased.

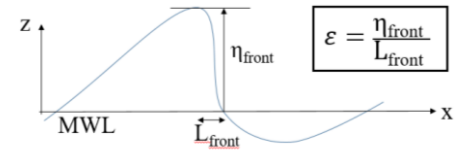


Figure 7: Definition of length and crest height of a wave front applied in [23]

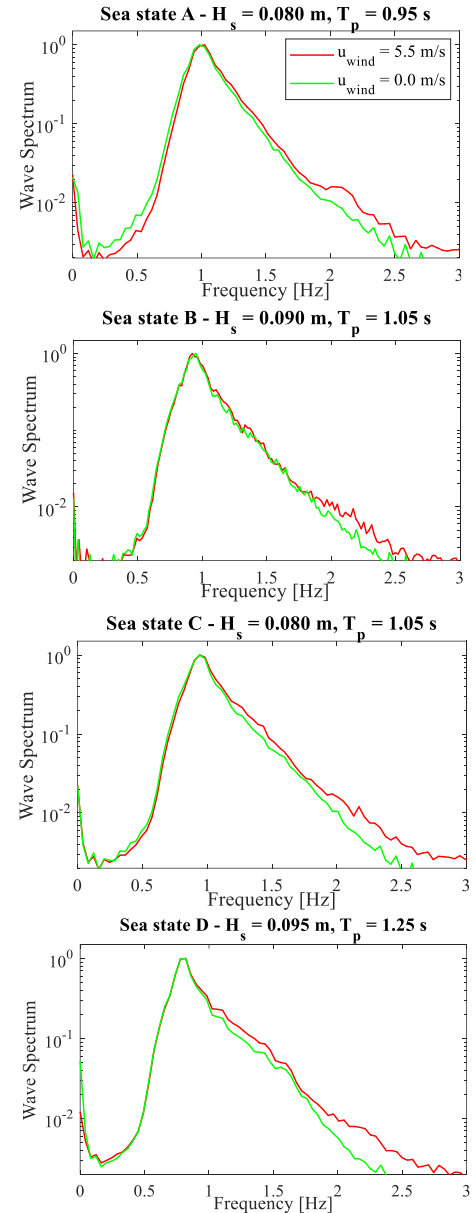


Figure 9: Wave spectrum for all sea states A-D with wind velocity of 0.0 m/s and 5.5 m/s. The green line is for tests with wind velocity of 0.0 m/s and the red line is for tests with wind velocity of 5.5 m/s.

316 For sea state D, the crest height is increased and the length of the wave front is decreased, meaning that the waves  
 317 are steepened, when going from a wind velocity of 5.5 m/s to 7.0 m/s. For all sea states, it can be observed in  
 318 figure 9 that the crest height of the largest waves is not necessarily increased, when wind is present. However, the  
 319 lowest crest heights of the top 500 waves are increased i.e. the lowest part of the point cloud is moved upwards,  
 320 which is especially pronounced for sea state B and D. To get a quantitative measure of how much the point cloud  
 321 is moved, when the wind is introduced, the Mahalanobis distance [24] is found for the point cloud of 5.5 m/s with  
 322 the point cloud of 0.0 m/s as reference. The mean of these distances is found in table 4. Since the mean of the  
 323 distances is largest for sea state B and D, the point cloud is altered the most here, when introducing wind. This  
 324 confirms the previous observation that the averages are moved the most upwards for sea state B and D. The power  
 325 spectrum of the waves, shown in figure 9, indicates that the wind seems to steepen the waves more specific there  
 326 is more energy at the right hand side of the frequency peak. It is challenging to explore exclusively the direct  
 327 effect of an instantaneous wind field above the waves, since the indirect effect of wind will inevitably accompany.  
 328 This means that short wind-generated waves and small ripples are generated and mixed with the wave paddle  
 329 signal. These short waves will appear around frequencies of wave spectrum, and they are somewhat different from  
 330 those purely generated by the wave-paddles. Moreover, it is found that the spectrum of the wave gauge signal,  
 331 measured right in front of the pile, has the same peak frequency and distribution as the input signal to the wave  
 332 paddles. It was observed in measurements from all four wave gauges in the tests without wind that the significant  
 333 wave height was decreasing throughout the tank, probably due to breaking dissipation, viscous damping and water  
 334 friction along the vertical walls [25],[26]. Therefore, it is useful to get a confirmation that the spectrum is  
 335 nevertheless not altered significantly throughout the tank.

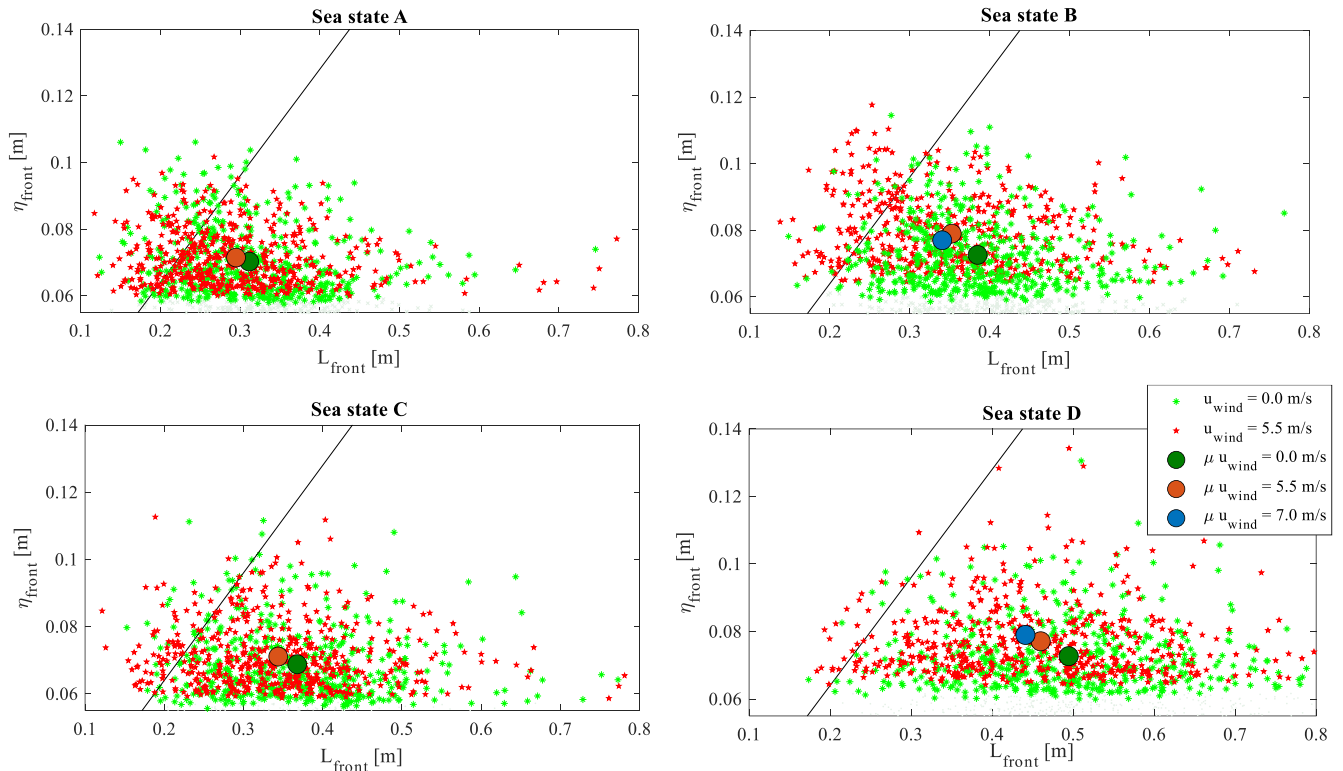


Figure 8: Crest height,  $\eta_{front}$  against length of the front of the wave,  $L_{front}$  for sea state A-D with wind velocity of 0.0 m/s and of 5.5 m/s for the largest 500 waves. The larger dot marked with dark green is the mean of the height and front length of top 500 waves for wind velocity of 0.0 m/s, whereas the large, red dot is for 5.5 m/s and the larger, blue dot is for 7.0 m/s. The black line marks the breaking limit of Kjeldsens, where the dots to the left of the line break.

Table 4: The number of the first column is the Mahalanobis distance for the point cloud of wind velocity 5.5 m/s with the point cloud of wind velocity 0.0 m/s as reference, whereas the third column is for the point cloud of wind velocity 7.0 m/s with the point cloud of wind velocity 5.5 m/s as reference. Change of mean of crest height and of length of front of waves in percentage, when going from 0.0 m/s to 5.5 m/s in second column and from 5.5 to 7.0 in fourth column.

| Sea state | Mahal( $[\eta_0, \lambda_0], [\eta_{55}, \lambda_{55}]$ ) | $ \mu[\lambda_{55}, \eta_{55}] - \mu[\lambda_0, \eta_0]  / \mu[\lambda_0, \eta_0]$ | Mahal( $[\eta_{55}, \lambda_{55}], [\eta_7, \lambda_7]$ ) | $ \mu[\lambda_7, \eta_7] - \mu[\lambda_{55}, \eta_{55}]  / \mu[\lambda_{55}, \eta_{55}]$ |
|-----------|-----------------------------------------------------------|------------------------------------------------------------------------------------|-----------------------------------------------------------|------------------------------------------------------------------------------------------|
| A         | 1.8673                                                    | [-0.0530, 0.0171]                                                                  |                                                           |                                                                                          |
| B         | 2.3934                                                    | [-0.0842, 0.0882]                                                                  | 2.3700                                                    | [-0.0338, -0.0253]                                                                       |
| C         | 1.8653                                                    | [-0.0653, 0.0190]                                                                  |                                                           |                                                                                          |
| D         | 2.3214                                                    | [-0.0761, 0.0605]                                                                  | 2.0443                                                    | [-0.0433, 0.0246]                                                                        |

#### 336 4.2 Effect of wind on the number of breaking waves

337 Kjeldsen's breaking criterion [23] is for all sea states applied on the front steepness of the waves,  $\epsilon$ , which  
 338 definition is shown in figure 8. This is done in order to explore the estimated number of breaking waves for the  
 339 different wind conditions. The waves to the left of the line in figure 10 is according to the applied breaking criterion  
 340 counted in as breaking, and those events are summarized and tabulated in table 5 sketched in figure 11. The number  
 341 of waves with crest height above 0.06 m exceeding the breaking limit is also listed to obtain an estimate of the  
 342 number of breaking waves of a considerable height. The application of this breaking criterion shows that the  
 343 number of breaking waves in all sea states is increased with the introduction of wind. The severity is decreasing  
 344 from sea state A to D, and the number of breaking waves follows that trend, i.e. there is a larger number of breaking  
 345 waves in sea state A than in sea state D. The larger severity of sea state A without wind, results in a smaller  
 346 percentage increase in number of breaking waves, when wind is introduced, because a substantial part of the waves  
 347 are already breaking. The percentage increased by a factor of 1.60. A larger increase in number of breaking waves  
 348 was experienced for sea states B and C, which both increased by a factor of 2.27, when wind increased from 0.0  
 349 m/s to 5.5 m/s. The largest increase factor for number of breaking waves was 2.74 and was experienced for sea  
 350 state D, when the wind velocity was increasing from 0.0 to 5.5 m/s. An increase in breaking waves when increasing  
 351 wind velocity from 5.5 m/s to 7.0 m/s is present for sea state B and D by a factor of 1.42 and 1.63, respectively.  
 352 The percentage increase was hereby smaller when the wind velocity increased from 5.5 to 7.0 than when it was  
 353 going from 0.0 m/s to 5.5 m/s. The picture is slightly different if we only observe the waves of a certain crest  
 354 height, namely those larger than 0.06 m. When the wind velocity is increased from 0.0 m/s to 5.5 m/s, the number  
 355 of breaking waves having a crest height larger than 0.06 m increased with a factor 1.32, 2.12, 1.77 and 1.56 for  
 356 sea state A, B, C and D, respectively. The largest increase experienced for sea state B, could mean that the steepness  
 357 of the highest waves is large enough to enable energy transfer from wind to the waves, but still so small that the  
 358 waves are not already breaking before the wind is interfering. The latter being an interpretation of what occurs for  
 359 sea state A, where the smallest increase in the number of waves is observed.

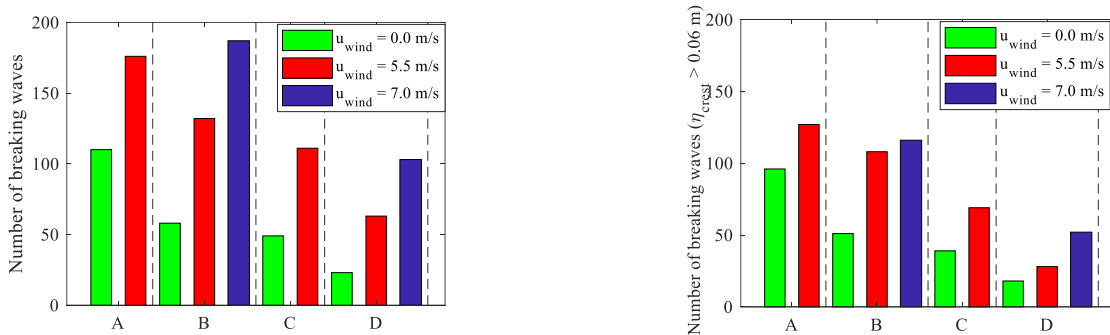


Figure 9: Bar plot of number of breaking waves in sea state A, B, C and D for different wind velocities. Left plot is for all waves, whereas right plot is only of the waves having a crest height larger than 0.06 m.

Table 5: Number of breaking waves estimated with Kjeldsens breaking criterion for sea state A-D with wind velocity of 0.0, 5.5 and 7.0 m/s.

| Sea state                                         | A   |     |     | B   |     |     | C   |     |     | D   |     |     |
|---------------------------------------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Wind velocity [m/s]                               | 0.0 | 5.5 | 7.0 | 0.0 | 5.5 | 7.0 | 0.0 | 5.5 | 7.0 | 0.0 | 5.5 | 7.0 |
| Number of breaking waves                          | 110 | 176 | -   | 58  | 132 | 187 | 49  | 111 | -   | 23  | 63  | 103 |
| Number of breaking waves, $\eta_{crest} > 0.06$ m | 96  | 127 | -   | 51  | 108 | 116 | 39  | 69  | -   | 18  | 28  | 52  |

360

 361 

### 4.3 Effect of wind on statistics of wave-induced load, total force and front line pressure

362 The spectra of the force signals measured with the load cells is shown in figure 12.

363 The spectrum is plotted in the frequency range 0-100 Hz and beside is zoomed in

364 on the frequency range 0-3 Hz. There is

365 increased energy at the natural

366 frequencies of the structure at 65.7, 81.2

367 and 89.5 Hz when the wind velocity is

368 increased from 0.0 m/s to 5.5 m/s for all

369 sea states. This means that the dynamic

370 loading is largest for the tests with wind,

371 which points at more steep and breaking

372 waves, which enables slamming and high

373 impulsive loading. There is smaller

374 variations between the case with and

375 without wind in the frequency range 0-3

376 Hz.

377

378

379 The focus is now on the peak statistics of

380 surface elevation, inline force and

381 pressure. The force signal was however,

382 first low pass filtered up to 45.0 Hz, since

383 the first natural frequency of the model is

384 found at 65.6 Hz, whereas the peak of the

385 wave loading is identified as the first peak.

386 The load and pressure peaks were found

387 in-between all zero-down-crossings

388 performed on the signal of wave gauge 3.

389 The wave-induced load and the total force

390 were sorted in ascending order, and

391 plotted in figure 13 against exceedance

 392 probabilities found as  $P=1-(i-1)/N$ ,

 393  $i=1,2,\dots,N$ . The crest height of waves in sea

394 state A is affected of wind for exceedance

 395 probabilities,  $p_{exc}$  smaller than  $1 \cdot 10^{-2}$  since

396 their increase in crest height in this region is lower than for the events without wind (see figure 13a). Since the sea

397 state is severe, this could indicate an earlier initiation of breaking for waves with wind above. In figure 13b, the

398 force is presented as a low-pass filtered version corresponding to the wave-induced load, and as a force, which

399 contains energy of all frequencies in the frequency band 0-800 Hz. The latter is interpreted as a total force

400 containing both wave-induced load and dynamic loading, whenever the natural frequencies of the model is excited.

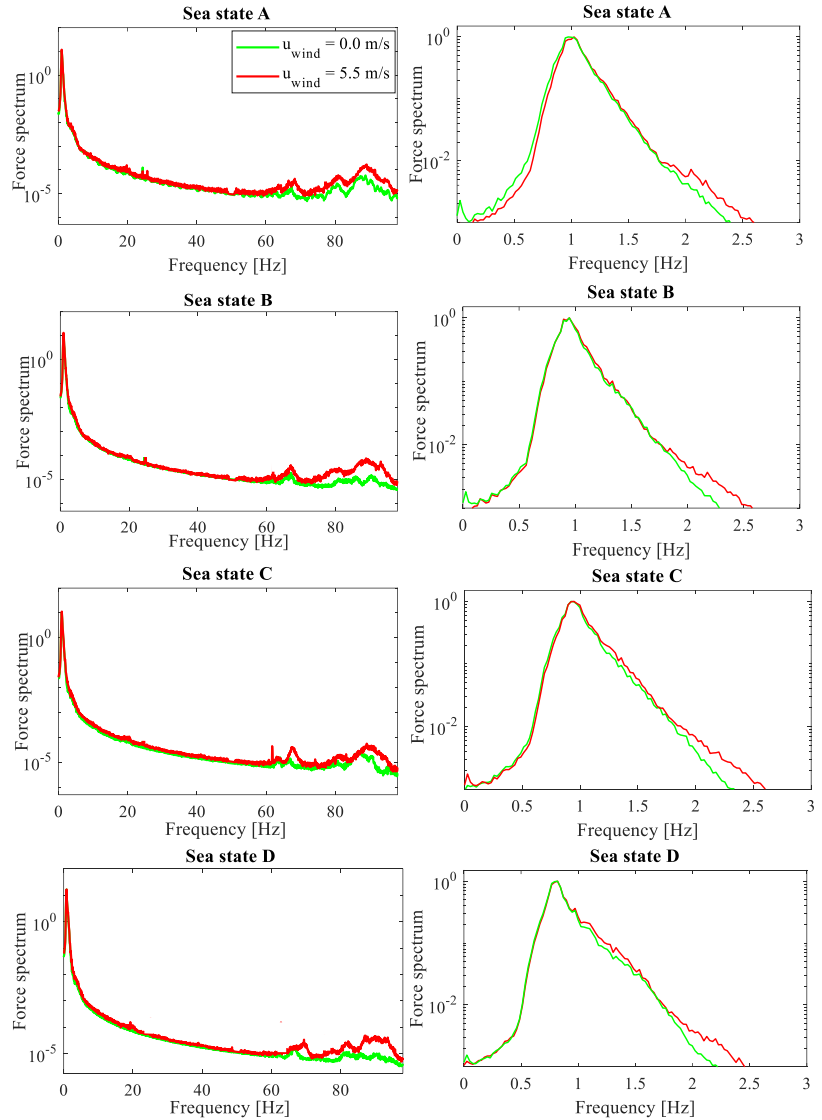


Figure 10: Power spectrum of force signal for sea state A-D with wind velocity 0.0 and 5.5 m/s. The top plots are in the frequency range [0-100] Hz and the bottom plot is in the range [0-3] Hz. The green line is for tests with wind velocity of 0.0 m/s and the red is of 5.5 m/s.

401 The wave-induced load events of sea state A has a high similarity in the exceedance probability curves, whether  
402 wind is present or not. For  $p_{exc} < 5 \cdot 10^{-4}$  the wave-induced load shows smaller peak values when wind is  
403 introduced. The total force is on the other hand enlarged by the wind for  $p_{exc} < 3 \cdot 10^{-3}$ . The front face pressure  
404 show a clear wind-induced increase for  $p_{exc} < 1 \cdot 10^{-2}$ . The 10,000-years pressure value is increased with a factor  
405 1.73. These observations can be linked to the increased amount of breaking waves (see table 5), which expectedly  
406 exerts a larger amount of slamming type impacts on the structure. The trend is clear for the pressure and total force,  
407 which is impacted through structural vibrations at the natural frequency of the model. The slamming loads seems  
408 however to be too localized in space and time to affect the wave-induced load. Despite an increase in the amount  
409 of breaking waves, the extreme events of the wave-induced load experience a small reduction, which might be due  
410 to smaller crest height of the waves. Nonetheless, if breaking waves have smaller crest heights compared to larger  
411 ones of non-breaking waves, the wave-induced load might not be enlarged by the slamming of the breaking waves.

412 The exceedance probability curve for the crest height of sea state B (see figure 13a) exhibits changes, when wind  
413 is present. For one thing, the crest height is slightly increased in the range of exceedance probabilities from  $2 \cdot 10^{-2}$ -  
414  $5 \cdot 10^{-1}$ , and as for another, it is lower than the events occurring without wind for  $p_{exc} < 5 \cdot 10^{-3}$ . The wave-induced  
415 load is correspondingly increased in the first-mentioned range (see figure 13b). The wave-induced load begins on

416 the other hand to increase significantly in the last-mentioned region, which points towards initiation of breaking.  
417 The 10,000-years wave-induced load is increased by a factor 1.3 and 1.4, when the wind velocity is respectively  
418 5.5 m/s and 7.0 m/s compared to 0.0 m/s. The total force in test with wind velocity of 5.5 m/s and 7.0 m/s is  
419 increasing abruptly for  $p_{exc} < 5 \cdot 10^{-3}$  and is increased by a factor of 1.6. The abruptly increase in the tail due to  
420 wind is even more pronounced for the pressure events (see figure 13c). The 10,000-years pressure event is  
421 increased with a factor 2.1 and 4.3 for wind velocity of respectively 5.5 m/s and 7.0 m/s compared to 0.0 m/s. This  
422 sea state is less severe than sea state A, so the smaller exceedance probability, at which breaking initiation is  
423 assumed, corresponds well to a lower number of breaking waves in this sea state than in A. Due to a larger crest  
424 height of the steepest waves as seen in figure 10, the tail of the exceedance probability curve for this sea state is  
425 therefore increased for both the wave-induced load, the pressure and the total force, when wind is introduced.

426 A conclusion for the less severe sea state C is more difficult to draw, since the changes, when wind is introduced,  
427 are minor. The crest height is only experiencing a small drop in the tail of the exceedance probability curve for the  
428 case with wind compared to the case without wind. The same observations as for sea state A applies for the wave-  
429 induced load, total force and front line pressure except from a couple of the most extreme total force and pressure  
430 events. Here the case without wind nevertheless experiences a larger increase (see figure 13b+13c). These events  
431 are however, extreme even compared to the events of sea state B without wind, which otherwise are expected to  
432 be more extreme. The general picture is however, that slamming-type impacts are more frequently, when wind is  
433 present, which is consistent with the observations on number of breaking waves determined with a breaking  
434 criterion.

435 Mainly, the same conclusions as for sea state B apply to sea state D, which has the lowest severity of the four sea  
436 states. The tail of the exceedance probability curve for crest height (see figure 13a) starts to decline abruptly at  
437  $p_{exc} < 1 \cdot 10^{-3}$ , at which point and on, the wave-induced load is slightly increased in the cases with wind. The largest  
438 increase of the 10,000-years wave-induced load is of a factor 1.13, which is nonetheless achieved for the second  
439 highest wind velocity of 5.5 m/s. The total force for both wind velocity of 5.5 m/s and 7.0 m/s is increased  
440 considerably at  $p_{exc} < 2 \cdot 10^{-3}$  and results in an increase in the 10,000 years force of respectively 1.4 and 1.3  
441 compared to the case without wind. The 10,000 years pressure event is increased by a factor 1.5 and 4.1 for an  
442 event with a wind velocity of respectively 5.5 m/s and 7.0 m/s. A couple of more extreme events of wave-induced

443 load and total force are nevertheless experienced for the case with wind velocity of 5.5 m/s than for the case of  
 444 highest wind velocity of 7.0 m/s. This could be explained with larger crest height of the waves generating the load,  
 445 or that the wave front is more unstable for the highest wind velocity and thereby not uniformly breaking. The latter  
 446 point is observed in videos of focused wave with a wind velocity of 7.0 m/s. The total force is not increased as  
 447 significantly as for sea state B, which could be due to the lower steepness of the waves, which is a crucial factor  
 448 for the occurrence of airflow separation and thereby energy transfer from the wind to the waves.

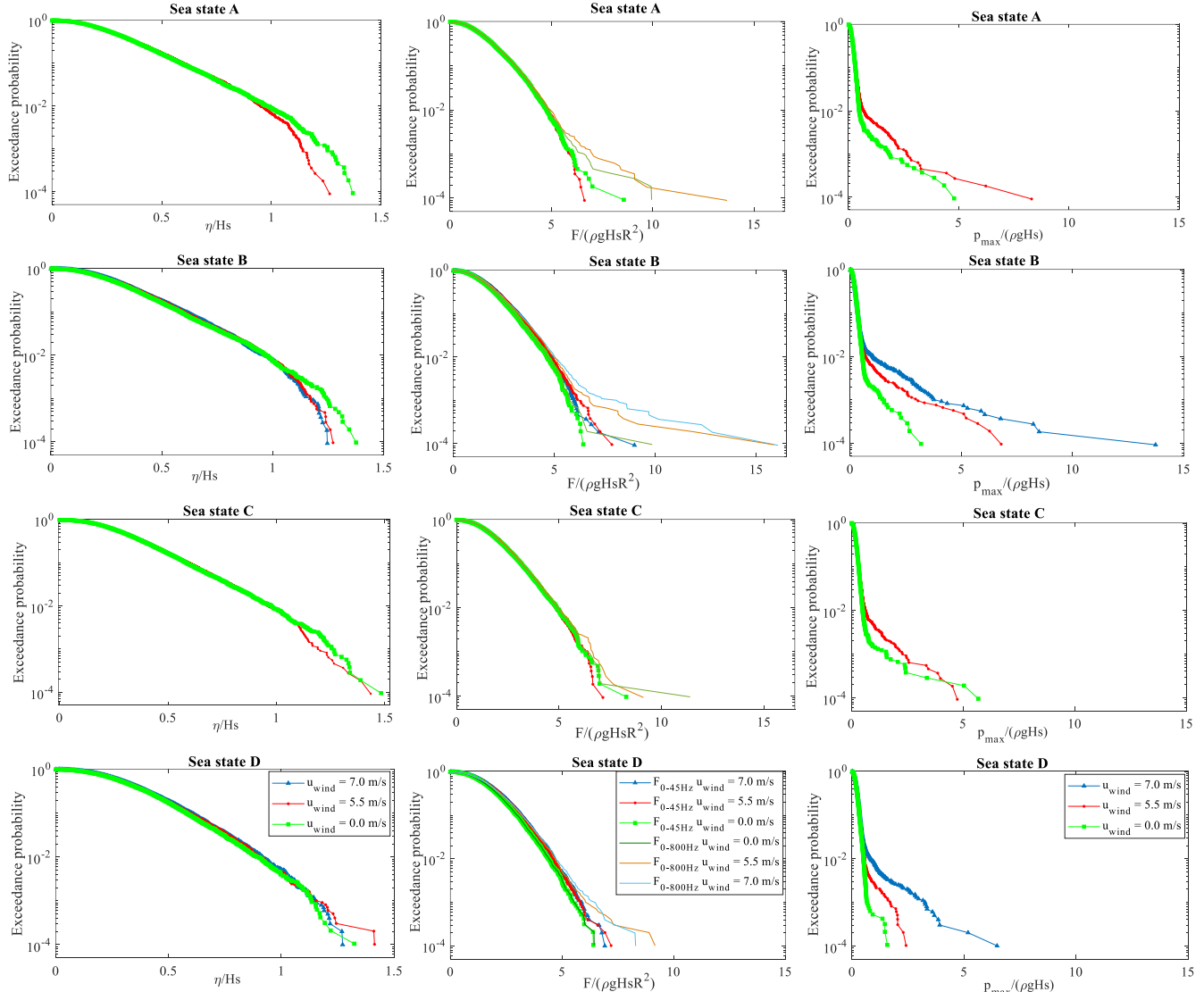


Figure 11a: Exceedance probability of normalized crest height,  $\eta$ .

Figure 13b: Exceedance probability of normalized wave-induced load,  $F_{0-45Hz}$  and total force,  $F_{0-800Hz}$ .

Figure 13c: Exceedance probability of normalized pressure,  $p$ .

449 Since the events in the tail of the exceedance probability curve are associated with large variations, we constructed  
 450 an exceedance probability curve of the crest height, wave-induced load and pressure events averaged over 10 tests  
 451 at every exceedance probability from  $10^{-3}$  to 1. The standard deviation of the events over ten tests is calculated as  
 452 well. The curves with the mean and standard deviation of the crest height, wave-induced load and pressure for sea  
 453 state A-D with and without wind are plotted respectively in figure 14a, 14b and 14c. There is a good repeatability

454 for crest height and wave induced load. The standard deviation is however increasing with decreasing exceedance  
455 probabilities, which also confirms the large statistical variations of the largest wave induced load events with  
456 slamming involved. The standard deviation of the wave-induced load for sea state B and D is in the tail larger for  
457 wind velocity of 5.5 m/s and 7.0 m/s than 0.0 m/s, which is consistent with an increased amount of breaking waves  
458 of considerable height. The standard deviation of wave induced load is for sea state A and C on the contrary largest  
459 for the cases without wind, which suggests a large number of slamming-type impact from waves of large crest  
460 height. At the lowest level of  $p_{exc}$  of  $10^{-3}$   $\sigma/\mu$  is typically in the range 0.03-0.06 for crest height and in the range  
461 0.06-0.16 for wave-induced load. The mean of the force for sea state B is in tests with wind coinciding with the  
462 mean plus the standard deviation of the force for tests without wind when  $p_{exc} < 5 \cdot 10^{-3}$ . If assuming that the mean  
463 of the 1,000 years wave-induced load without wind is following a Student's t-distribution with 10 samples, a 68  
464 % confidence interval of it, will not exceed the mean in the case with wind.



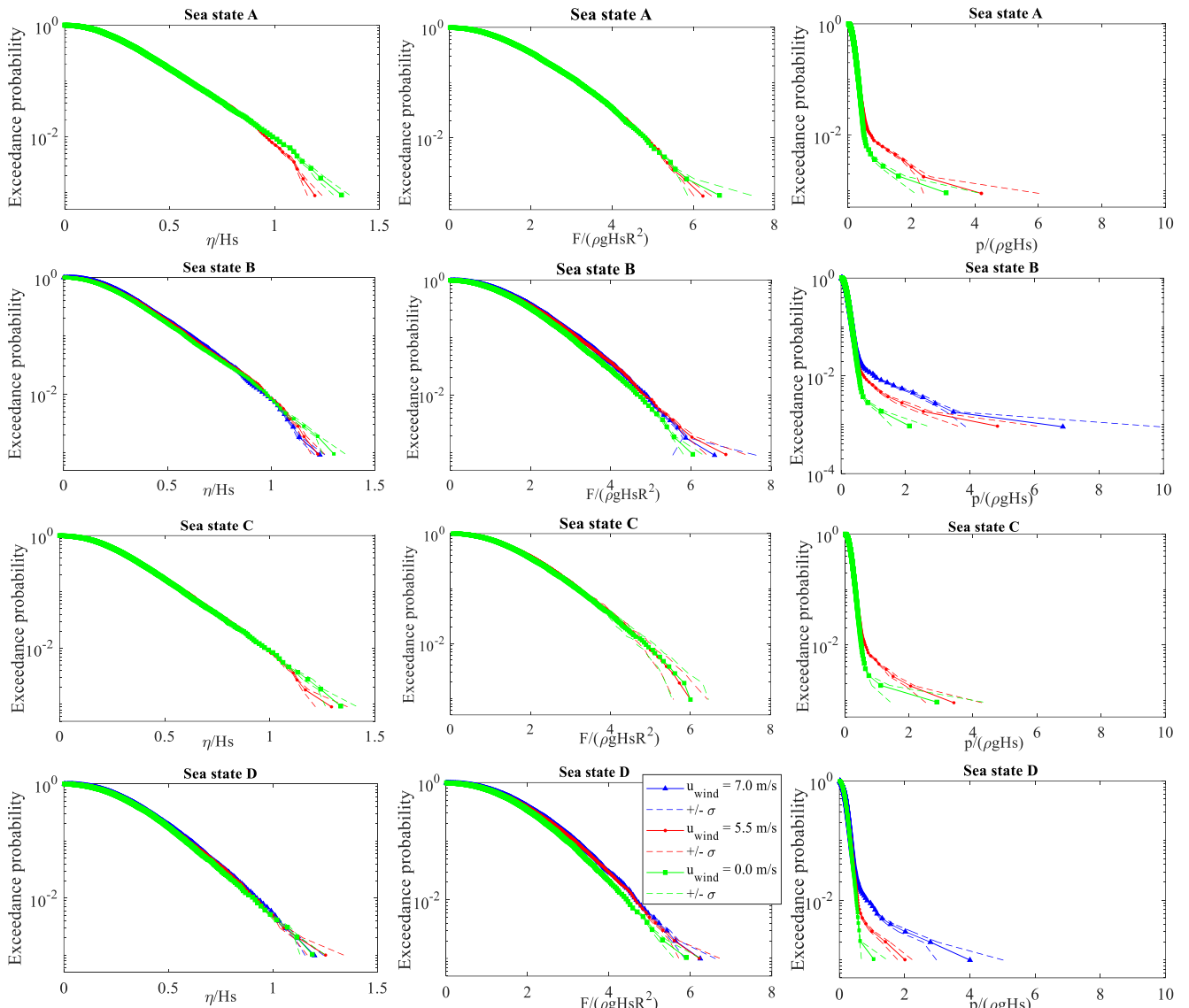


Figure 12a: Exceedance probability curve for mean and standard deviation of crest height measured in ten independent tests

Figure 14b: Exceedance probability curve for mean and standard deviation of force measured in ten independent tests

Figure 14c: Exceedance probability curve for mean and standard deviation of pressure measured in ten independent tests

465 The front line pressure shows larger stochastic variability at  $p_{exc} = 10^{-3}$ . Still, the trend of wind effect is clearly  
 466 detectable from  $p_{exc} < 1 \cdot 10^{-2}$ . The 1,000 years wave induced pressure is for sea state A increased with a factor 1.4  
 467 when the wind velocity is increased from 0.0 to 5.5 m/s. For sea state B it is increased by a factor of 2.3 and 3.3,  
 468 when increasing the wind velocity from 0.0 m/s to respectively 5.5 m/s and 7.0 m/s. It is notable that the 1,000-  
 469 years pressure event is still increased with a significant factor – in fact a larger factor than the 10,000 year value,  
 470 when increasing the wind velocity. The 1,000 years wave-induced pressure is for sea state D increased by a factor  
 471 of 1.9 and 3.8, when increasing the wind velocity from 0.0 m/s to respectively 5.5 m/s and 7.0 m/s. Another  
 472 interesting observation is done for the 1,000 years pressure of sea state C, which is by a factor of 1.2 larger for the  
 473 case with wind than without wind, which is opposite to what was observed for the 10,000 years pressure. For  $p_{exc}$   
 474  $< 8 \cdot 10^{-3}$  the mean of pressure in tests with wind is more than one standard deviation larger than the case without

475 wind. This supports the conclusion from the above-mentioned exceedance probability plots for sea state C, that  
 476 even though the wave-induced load is not altered with the introduction of wind, the pressure is significantly  
 477 increased due to the slamming effects from the increased number of breaking waves. Although the extreme  
 478 slamming pressure show larger variability than the force, the wind effect is clearly detectable for all sea states.

479 *4.4 Effect of wind on pressure profiles*

480 We now turn to the wind effect on the pressure profiles over the depth. The pressure profile of the ten largest  
 481 pressure events is plotted in figure 15, to explore if they explain why the events in the tail of the exceedance  
 482 probability curve is increased, when wind is introduced, whereas the wave-induced load is not increased for all  
 483 sea states. For sea state B and D, where both the wave-induced load and the pressure are increased, the profiles  
 484 are similar from 0.03 m above the mean water level and down, but from that point and higher the pressure is  
 485 increasing with increasing wind velocity. For these extreme pressure events, the very high pressures are reached  
 486 at 0.09 m above the mean water level for all wind velocities. For sea state A, the maximum pressure is reached at  
 487 0.03 m for the tests with wind velocity of 5.5 m/s. The lower maximum value of pressure in tests without wind is  
 488 on the other hand reached at an elevation of 0.09 m. The same applies to the profiles in sea state C except that  
 489 here the largest maximum pressure is reached in the tests without wind. These plots confirm that the steep and  
 490 breaking waves of sea state A and C with wind are not targeting the model as high as in the tests without wind,  
 491 and thereby not giving as large depth-integrated forces. It is also consistent with the distribution in figure 10,  
 492 where there are few of the steepest waves in the high crest height region, when wind is introduced.

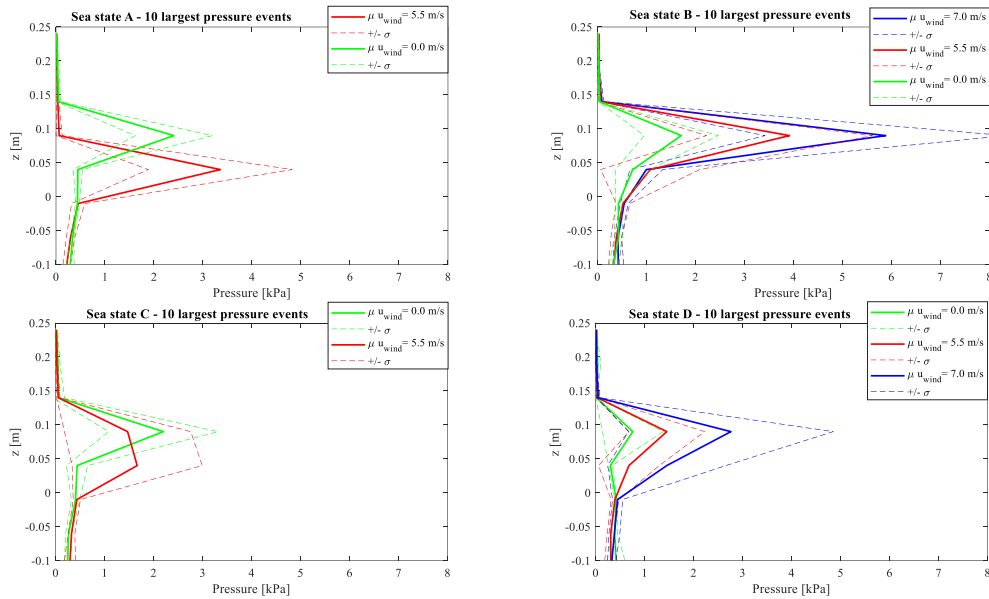


Figure 13: Plot of pressure profile averaged for the ten largest pressure events experienced for all sea states at all tested wind velocities. The standard deviation around the mean is marked with a dashed line. The  $z$ -axis is defined with 0.0 m corresponding to the mean water level.

493 *5. Average shape of the hundred largest force events*

494 The largest events in the force statistics for different wind velocities are investigated more comprehensively by  
 495 looking at the force and wave shape around the force peak averaged over the 100 highest wave events in terms of  
 496 crest height. This evaluation is performed in order to get a behavioral description of the apparent change in the  
 497 force statistic caused by wind. The average shape of the ten largest force events of sea state B for wind velocity of  
 498 0.0, 5.5 and 7.0 m/s is plotted in figure 16. The most probable force shape determined by the New Force model  
 499 defined in section 2.1 is plotted with the black dashed line. The maximum value of the New Force shape is scaled  
 500 corresponding to the peak of the case without wind. The New Force,  $F_{NF}^{(1)}$  therefore fits the peak of the green line  
 501 corresponding to wind velocity of 0.0 m/s, whereas the trough  
 502 of the force both before and after the peak is overestimated with  
 503 New Force. When the second order force components are  
 504 added,  $F_{NF}^{(1)} + F_M^{(2)}$  the fit is improved in the trough. The second  
 505 order force describes the preceding force crest less accurate than  
 506 the crest after the peak especially for the cases with wind. A  
 507 possible explanation could be that the preceding wave has  
 508 already broken before it reaches the model and thereby energy  
 509 is dissipated, and the suction generated of the motion on the rear  
 510 side of the wave is less energetic. The second order surface  
 511 elevation,  $\eta_{NF}^{(1)+(2)}$  derived from the second order force is nearly  
 512 coinciding with the measured surface elevation without wind  
 513 except from some deviations on the rear side of the primary  
 514 wave and on the preceding wave (see figure 17). The latter is  
 515 nevertheless expected since the force of the preceding wave is  
 516 poorly described as well. The averaged force peak of the cases  
 517 with wind contains an additional spiky top, which can be  
 518 explained with the impulsive force shape generated by breaking  
 519 waves. This is interpreted in the way that the ten largest force  
 520 events for the tests with wind mainly are generated by extreme  
 521 breaking wave events opposite to the case without wind, which  
 522 does not have a spiky peak. The averaged surface elevation of  
 523 the primary wave has in addition a steeper wave front for the  
 524 cases with wind, which confirms that these are close to or at  
 525 breaking. This behavior supports the observation that the  
 526 extremes of the force statistics are more violent for the cases  
 527 with wind in sea state B.

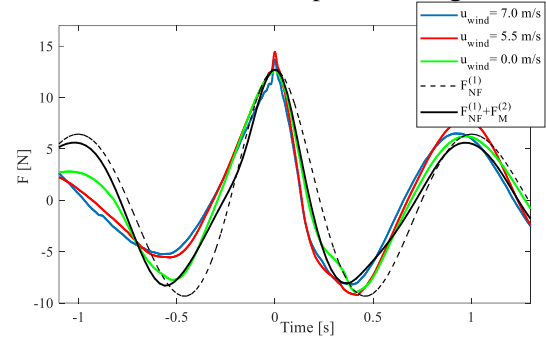


Figure 14: Average force shape of the ten largest force events of sea state B for wind velocity of 0.0, 5.5 and 7.0 m/s. First and second order New Force Model is plotted in black dashed and full line, respectively.

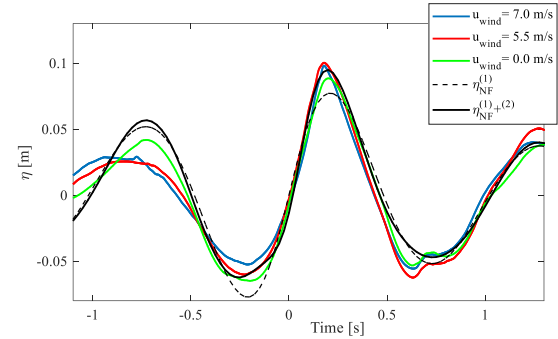


Figure 15: Average wave shape corresponding to the ten largest force events of sea state B for wind velocity of 0.0, 5.5 and 7.0 m/s. First and second order surface elevation derived of New Force Model is plotted in black dashed and full line, respectively.

528 The force – and wave shape of sea state A, B, C and D of the hundred largest wave-induced load events are plotted  
 529 in figure 18 for the different wind velocities. For sea state A, there is hardly no difference in force- or wave shape  
 530 whether a wind field above the waves is present or not. For both tests with and without wind an additional spike  
 531 is observed at the maximum of the 100 averaged force events, which indicate a high number of breaking waves  
 532 for both cases. For sea state B, the effect of the wind on the averaged force shape is an addition of a spike on the  
 533 peak of the force, which is characteristic for breaking waves. The surface elevation for the cases with wind of sea  
 534 state B is also steeper and close to breaking opposite to the case without wind. Both the alteration in force and  
 535 surface elevation is consistent with the observation that the direct effect of wind increases the number of breaking  
 536 waves. For sea state C there is no recognizable effect of wind, and there is no spike on the peak of the averaged  
 537 force shape for neither tests with wind velocity of 0.0 m/s nor for those with 5.5 m/s, which indicates a low number

538 of breaking waves among the waves of the top hundred largest force events. For sea state D, the cases with wind  
 539 achieves a small spike on the primary force crest. This small spike is not present for the case without wind, which  
 540 supports the conclusion, that a higher number of waves are breaking in this sea state when the wind velocity is  
 541 introduced.

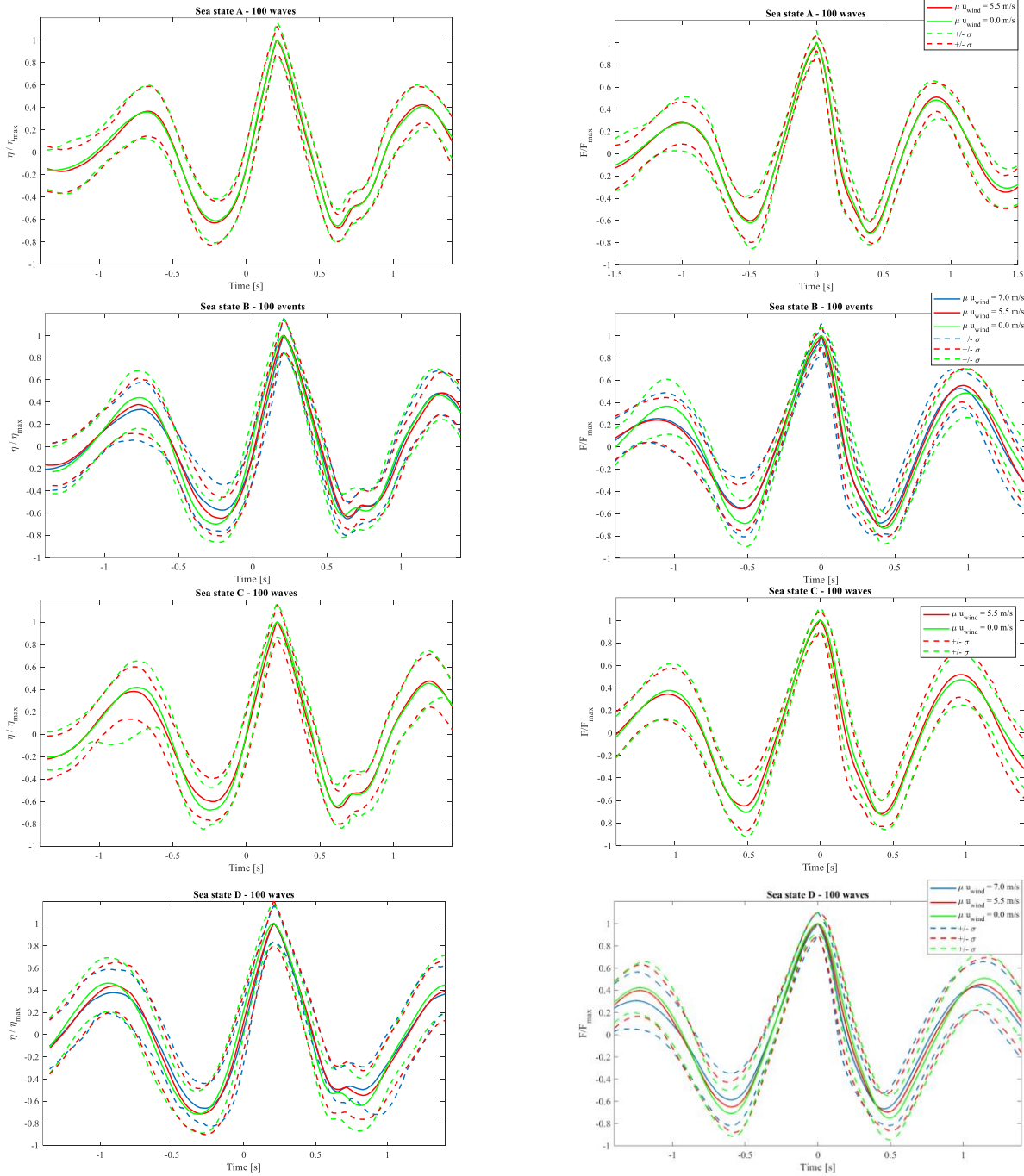


Figure 16: Normalized force – and wave shape of the hundred largest force events for all sea states with the tested wind velocities

542

## 543 6. Phase-based harmonic separation of force

544 To explore the wind's effect on the harmonic components of the force  
 545 from first to fourth order, a phase-based harmonic separation method  
 546 is applied on four phase-shifted time series of irregular waves of sea  
 547 state B with wind velocity of 0.0 m/s and 5.5 m/s. The harmonic force  
 548 components over the entire time series of irregular waves are  
 549 estimated. Since the majority of these waves contains a rather small  
 550 amount of high-order force components, only the components of the  
 551 25 largest force events are selected for further study. The 25 largest  
 552 force events are selected based on the summation of all force  
 553 component. All 25 force time stories of  $F^{(1)}$  are centered at the peak  
 554 and averaged. The higher order force components  $F^{(2)}$ ,  $F^{(3)}$  and  $F^{(4)}$   
 555 are shifted corresponding to  $F^{(1)}$  and then averaged. The estimated  
 556 harmonic force components for a case with wind velocity of 0.0 m/s  
 557 and 5.5 m/s are plotted in figure 19 in top and bottom plots,  
 558 respectively. The forces are normalized with the sum of all  
 559 components,  $F_{total}$ . The second order component is as expected located  
 560 with a trough on the left side and a crest on the right side of the peak  
 561 of the first order component. The plots also show how the harmonic  
 562 force content decreases as the order increases. A change in the case  
 563 with wind is that the peak of the total force occurs more to the right  
 564 of the first order peak. This indicates that the higher order force  
 565 components are of greater influence in the case with wind. The  
 566 separated force components are as well plotted in the frequency  
 567 domain in figure 20. Despite the noise in the spectra of the higher  
 568 order, the peak of the second, third and fourth order spectrum is as  
 569 expected identified at a frequency of respectively a factor of 2, 3 and  
 570 4 multiplied with the peak frequency of the first order spectrum.  
 571 Additionally, it is confirmed that the spectrum of the fourth order  
 572 contains the content of the second order subharmonic with the main  
 573 energy located at frequencies lower than the peak frequency of the  
 574 first order spectrum. There is a tendency to wider spectra when wind  
 575 is present, especially for those of second and third order. To enable  
 576 the comparison between the force components for wind velocity of  
 577 0.0 m/s and 5.5 m/s, each component is presented in a single plot in  
 578 figure 21a-d. The force is not normalized in these plots. The peak of  
 579 the first order component is coinciding for the case with and that  
 580 without wind. Both the second and third order component contains  
 581 more energy especially around the first order peak for the case with  
 582 wind, whereas the content of the fourth order is overall slightly  
 583 reduced with wind. To get a quantitative measure, the non-  
 584 dimensional Stoke-type force coefficients are found as in [27], [28],  
 585 [29] and is shown in figure 22. The force coefficients are found  $\tilde{F}_n =$   
 586  $\tilde{F}_n / (\rho g \pi R^2 C_F A (Ak_p)^{(n-1)})$  where  $\rho$  is the density of water,  $g$  is the

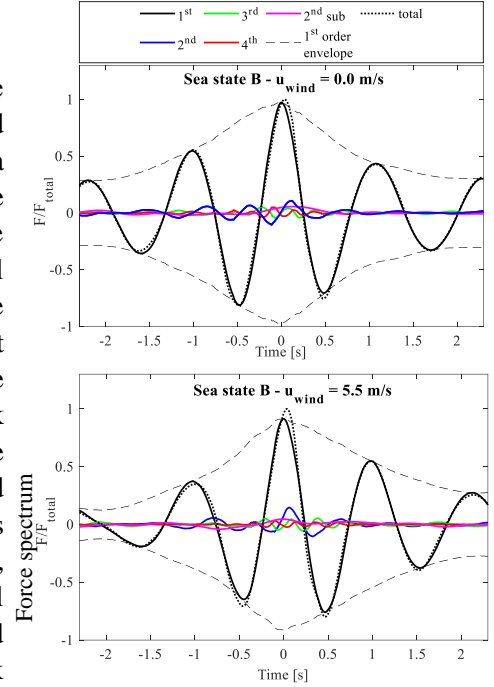


Figure 17: Normalized harmonic force components from first to fourth order estimated by the phase-based method [2] for wind velocity of a) 0.0 and b) 5.5 m/s in respectively top and bottom plots for sea state B. The first order envelope is the thin dashed line. The dotted line is the sum of all components.

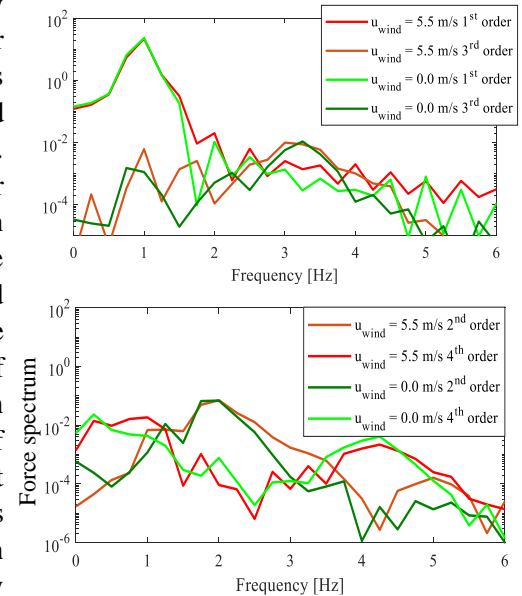


Figure 18: Power spectrum of the separated harmonic force components from first to fourth order estimated by the phase-based method [2] for wind velocity of a) 0.0 and b) 5.5 m/s in respectively top and bottom plots.

587 acceleration of gravity,  $R$  is the radius of the cylinder,  $A$  is the maximum of the wave amplitude,  $k_p$  is the peak  
 588 wave number and  $n$  is the harmonic order.  $\hat{F}_n = \max(\sqrt{F_n^2 + (F_n^H)^2})$ . There is a clear tendency towards an increase  
 589 in the force coefficients, when the wind is increased for both sea state B and D. The second order harmonic force  
 590 coefficient is however slightly decreased for sea state B, when the wind velocity is increased from 0.0 m/s to 5.5  
 591 m/s, whereas the largest second order force coefficient is appearing for wind velocity of 7.0 m/s. The entire phase  
 592 based separation approach is, however, very sensitive to the degree of success of obtaining exactly 90, 180 and  
 593 270 degrees phase shift between the force time series. This is difficult to achieve, when the waves are travelling a  
 594 long distance of 29.4 m down the flume. Naturally, high repeatability is a key factor in obtaining reliable estimates  
 595 with the method, and this is not investigated in greater depth. The phase shift of the time series is slightly adjusted  
 596 based on optimization of highest correlation. Despite this adjustment, the method has of aforementioned reasons  
 597 high uncertainty for these tests.

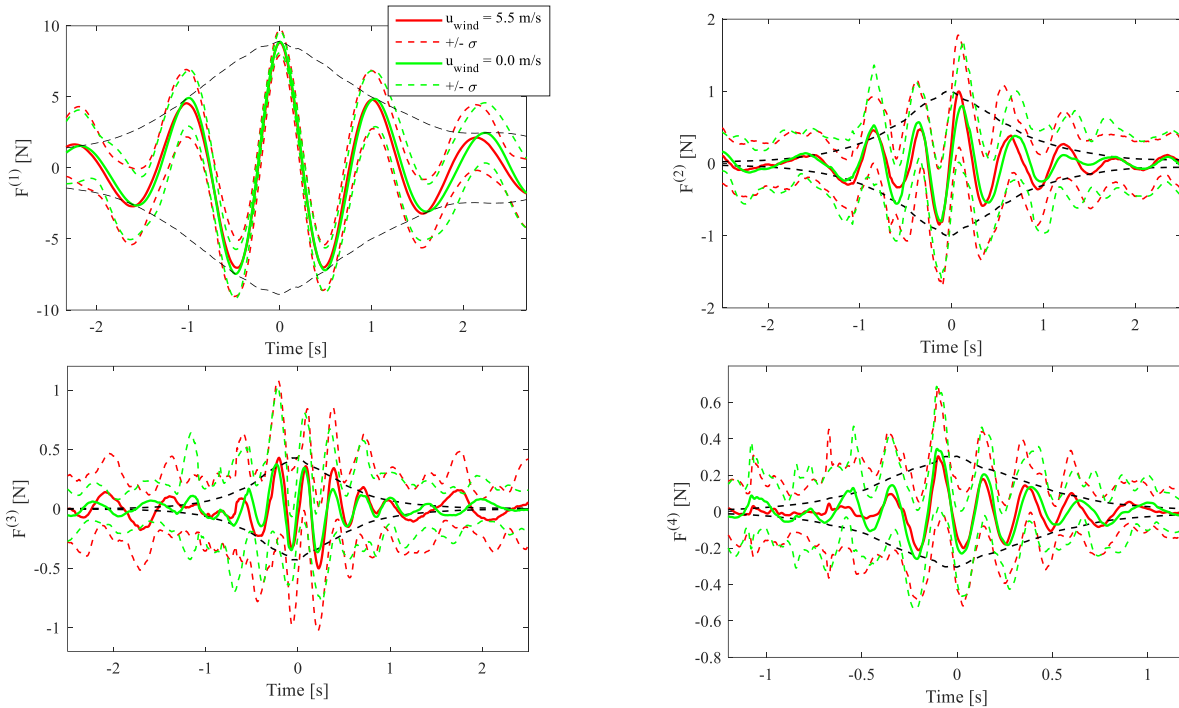
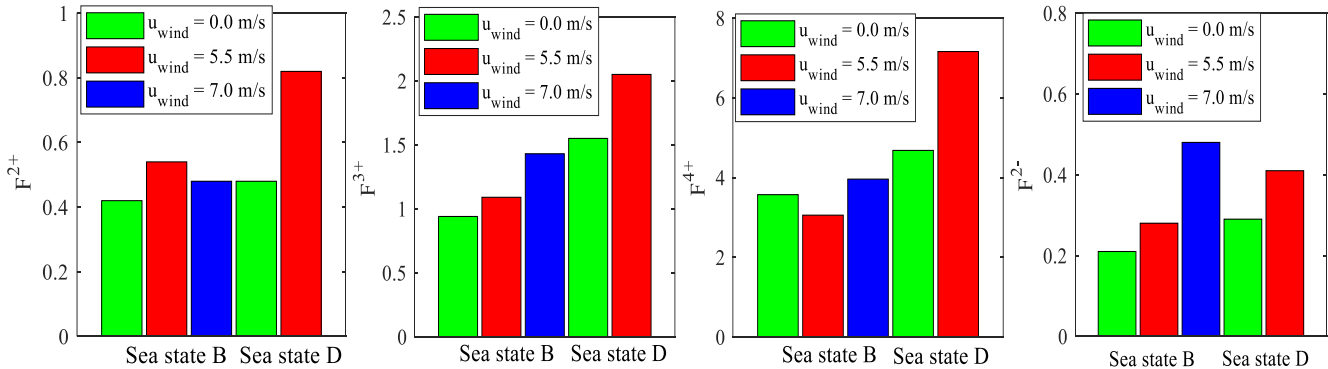


Figure 19a-d: First to fourth order force components estimated with the phase-based harmonic separation method. The data is from sea state B for wind velocity of 0.0 m/s (green line) and 5.5 m/s (red line). The black line in plot b, c and d are the first order envelope for the case with wind respectively squared, cubed and quad.



598

Figure 20: Force coefficients of 2<sup>nd</sup> to 4<sup>th</sup> order super harmonic and 2<sup>nd</sup> sub harmonic force of sea state B and D with wind velocity 0.0, 5.5 and 7.0 m/s. Tests are not existing for sea state D with wind velocity of 7.0 m/s

599 *5. Summary and conclusions*

600 A set of tests with irregular waves introducing wave loading on a cylindrical model were performed both with and  
601 without wind, however with the same significant wave height within one sea state measured right before the model.  
602 The direct effect of the wind on both the wave field, the statistics of the wave-induced force and pressure is  
603 explored. When wind is introduced above irregular waves of the investigated sea states, the crest heights of lowest  
604 exceedance probabilities are decreased compared to the case without wind. Another effect of introducing wind  
605 above the waves is an overall increase in the steepness of the wave field and in the number of waves characterized  
606 as breaking. Nevertheless, these differences in tests with and without wind are not converted to an increase in the  
607 wave-induced load statistics. Only in two out of the four investigated sea states, the 10,000 years wave-induced  
608 load is increased, when increasing the wind velocity. A possible reason why the wave-induced load is not increased  
609 for all sea states, could be that the sea state is already saturated in terms of large breaking waves in the tests without  
610 wind. A significant increase is however, present for the extreme events of the wave-induced pressure and of the  
611 total force in all sea states, when the wind velocity is increased. The 10,000 years pressure and total force event is  
612 increased by up to a factor of respectively 4.3 and 1.6. When averaging over ten realization tests, it enables an  
613 exceedance probability curve with less statistical scatter. Here an increase by up to a factor of 3.8 in the 1,000  
614 years pressure from a wave event with wind is discovered. The same trends applies, when the wind velocity is  
615 increased from 5.5 m/s to 7.0 m/s. The wave-induced load and total force is not further increased at a wind velocity  
616 of 7.0 m/s, and a possibly explanation could be that a saturation for breaking of the largest waves in terms of crest  
617 height is reached already at a wind velocity about 5.5 m/s. The wave-induced pressure is further increased when  
618 increasing the wind velocity from 5.5 m/s to 7.0 m/s. With the introduction of wind, another interesting feature  
619 regarding the front line pressure is revealed. The largest pressure over the height is namely experienced at a  
620 pressure sensor located 0.07 m below the one experiencing the largest pressure, when no wind is present. A  
621 possibly explanation is that breaking is initiated for the case with wind, and the overturning crest is targeting the  
622 model at a lower height than when the wave is not breaking.

623 The features of the direct effect of wind are explored by inspecting the average shape of the hundred largest force  
624 events of all sea states. Especially for the sea state B an additional spike is seen on the largest force crest, which  
625 confirms a larger number of slamming-type impacts, when wind is present.

626 The direct effect of wind on the harmonic components of the force up to fourth order is investigated as well. The  
627 shape of the second, third and fourth order force components both with and without wind are appropriately  
628 following the first order envelope squared, cubed and quad, respectively. The second and third order force  
629 components are in general increased with the introduction of wind. Moreover, it should be pointed out that they  
630 are increased around the peak of the first order component, which is what influences the force peak. The fourth  
631 order harmonic component is on the contrary decreased both in general and around the peak of the first order  
632 component, when wind is introduced.

633 It is essential that the increase in the steepness of waves and in the number of breaking waves in some cases lead  
634 to an increase in the wave-induced load statistics. More importantly, it does in all cases of this campaign lead to  
635 an increase in the statistics of the dynamic force due to the slamming of the steeper waves. This change of  
636 exceedance probability curve for the total force and the in-line force will be investigated in a future study by means  
637 of the potential solver program, OceanWave3D and of slender-body force model. Moreover, when designing joints  
638 and elements the increased wave-induced pressure statistics is a serious concern. If it should be incorporated into  
639 design codes, it requires more experimental and numerical investigations in order to formulate and calibrate  
640 engineering design models.

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