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# The use of photothermal techniques for thermal conductivity and thermal boundary resistance measurements of phase-change chalcogenides alloys

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## ABSTRACT

This article presents three photothermal methods dedicated to the measurement of the thermal properties of chalcogenide alloys, used as a central element in the new generations of non-volatile memory. These materials have two phases, amorphous and crystalline, possessing a sharp contrast in their electrical and thermal properties. In the crystalline phase, the properties also change very significantly with temperature. The control of the temperature of the samples, the choice of transducers, and the time or frequency characteristic values of the photothermal excitation are thoroughly discussed. Each photothermal technique is described from the experimental point of view as well as from the inverse method, performed to identify the parameters of interest. The identified thermal properties mainly concern the thermal conductivity and the thermal resistance at the interfaces between the phase-change materials and the materials in contact as encountered in the production of the microelectronic memory device. Assessing various photothermal techniques, the study suggests that pulsed photothermal radiometry is the most effective method for sensitive high-temperature measurements of thermal properties of the phase-change materials.

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## I. INTRODUCTION

The phase-change materials (PCMs) have been largely studied for several years because of their useful implementation within the field of non-volatile memories,<sup>1–4</sup> leading to the phase-change RAM or PCRAM. Those chalcogenide binary or ternary alloys involve at least one chalcogenide element, generally Te, and one or two other elements from columns 13, 14, and 15 as Ge, In, and Sb. The most well studied compounds are based on the In–Sb–Te and Ge–Sb–Te systems as reported in Fig. 1(a). These alloys are implemented in non-volatile memory devices because their electrical resistivity  $R_e$  varies across several decades, according to the crystalline state of the alloys as showed in Fig. 1(b). In the amorphous state, the electrical resistivity is high and the material behaves as an insulator, whereas in the crystalline state, the electrical resistivity is very low and the material behaves like a metal. A bit, whether 0 or 1 or even intermediate,<sup>4,5</sup> can be thus linked to this electrical state

of the alloy. A continuous scaling of PCRAM devices is well observed down-to the nanometer characteristic dimension<sup>6–8</sup> across years. Indeed, the technologies for the implementation of the alloy have not ceased to evolve over time in order to reduce the transition times for the phase change as well as the power consumption required for this change. Thus, the first technologies have relied on the thin layer technology, which leads to a variation in the so-called “mushroom” programming volume due to the shape of the half-sherry volume on the heating electrode.<sup>9,10</sup> More complex forms as micro-trenches have also emerged.<sup>11</sup> Finally, the latest developments aim to implement the phase-change material in the form of nanowires<sup>12–14</sup> whose diameter does not exceed a few nanometers or as PCM superlattices leading to the interfacial phase change memory technology.<sup>15</sup>

The thermal property measurement of PCM is a crucial step for their implementation in PCRAM.<sup>16–18</sup> Indeed, knowing both the thermal properties, as a function of the temperature, and the crystalline state will allow the calculation of the electrical power

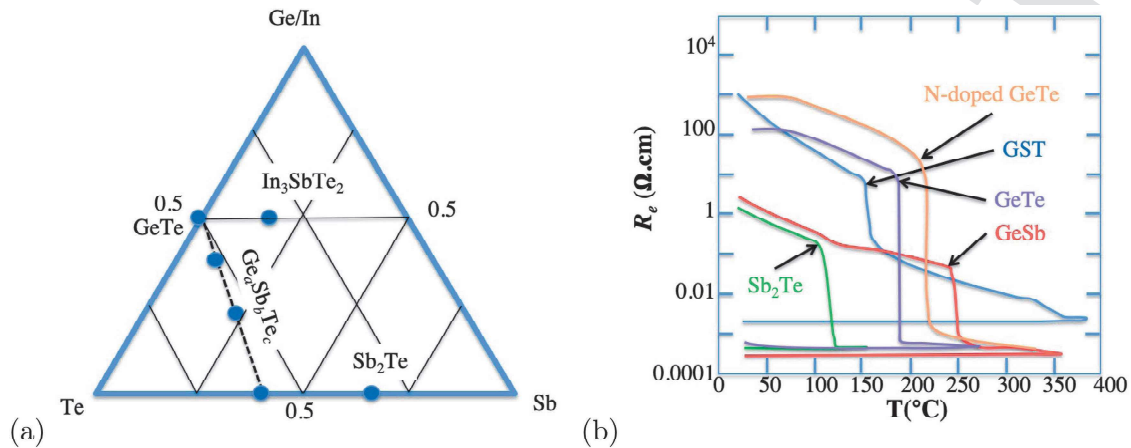


FIG. 1. (a) An overview of the phase-change alloys that have been investigated using the Ge-Sb-Te and In-Sb-Te ternary diagrams. (b) Resistivity as a function of temperature during a heating cycle for initially amorphous, as-deposited films of various phase-change materials (PCMs).

59 and associated transient waveform required for the phase change.  
 60 In addition, it also allows for the design of the memory cell in  
 61 order to avoid the thermal crosstalk effects with neighboring  
 62 cells.<sup>19,20</sup> The measurement of PCM thermal conductivity must be  
 63 performed over the entire temperature range including the  
 64 amorphous-crystalline phase transition and up to the melting tem-  
 65 perature. It is also well-established that the thermal boundary  
 66 resistance (TBR) at the interfaces between the PCM microvolume  
 67 and neighboring materials, such as the dielectrics and metal elec-  
 68 trodes, has a comparable influence than that of the thermal con-  
 69 ductivity on the heat transfer within the device.<sup>19,21–23</sup> It must be  
 70 emphasized that, when the characteristic dimension of the system  
 71 becomes comparable to or less than the average mean free path of  
 72 the elementary heat carriers (phonons and electrons), the thermal  
 73 conductivity has no longer physical meaning from the point of  
 74 view of Fourier's law. In such a case, the measurement of the  
 75 thermal resistance or conductance of these nanostructured materi-  
 76 als is achievable. Typically, there are two major classes of methods  
 77 for the thermal characterization of materials deposited in thin  
 78 layers or nanostructured: contact methods and non-contact  
 79 methods. Contact methods, as the  $3\omega$ <sup>24–26</sup> and the scanning  
 80 thermal microscopy (SThM),<sup>27–34</sup> have the advantage of having  
 81 absolute measurements of flux and temperature. The major draw-  
 82 back of the contact methods is the presence of the additional  
 83 unknown parameters, relating to the contact itself and the signifi-  
 84 cant thermal inertia of the probes, which introduce difficulties in  
 85 processing the very fast transients.

86 In this paper, we will discuss the implementation of PTR tech-  
 87 niques as the periodic (MPTR) and pulsed (PPTR) photothermal  
 88 radiometry within the infrared (IR) and the time domain thermore-  
 89 flectance (TDTR). All those PTR methods are based on the  
 90 response to a thermal disturbance, generated as a heat flux  $\varphi_0(t)$  at  
 91 the surface of the investigated material. This disturbance must be  
 92 small enough to fulfill the linearity requirement, regardless of the  
 93 value of the initial temperature ( $T_i$ ) of the material. The three

94 methods are complementary since they involve different character-  
 95 istic time or frequency range by decades as well as different spatial  
 96 resolution. First, we present all the technological solutions provided  
 97 to carry out the temperature control of the sample. In particular,  
 98 we show the influence of the thermal loading of the sample on this  
 99 temperature control and on the choice of the most appropriate  
 100 optical-to-thermal transducer. Second, we present the most efficient  
 101 minimization techniques and more particularly show the contribu-  
 102 tion of inference techniques to predict the confidence domain of  
 103 the parameters identified with greater accuracy. It must be noted  
 104 that the inverse method is poorly discussed in the literature,  
 105 whereas it constitutes a fundamental step towards finding the  
 106 thermal properties, regarding mainly the identifiability of the  
 107 unknown parameters based on a sensitivity study. On the other  
 108 hand, the confidence domain of the identified parameters depends  
 109 not only on the statistical properties of the measured signal but  
 110 also on the minimization method used. Finally, the most advanced  
 111 experimental configurations for MPTR, PPTR, and TDTR are pre-  
 112 sented, focusing on the laser excitation time waveform and the  
 113 signal processing that involves both the model of the experiment  
 114 and the inverse procedure. The model is sometimes restricted to  
 115 the heat diffusion within the sample, whereas the complete acqui-  
 116 sition chain affects the measured signal. A global model is thus  
 117 required that accounts with the all the experimental parameters.  
 118 The inverse method aims to minimize the difference between the  
 119 measured physical quantity and its value calculated from the model  
 120 discussed just before. The minimization is achieved by implement-  
 121 ing a set of suitable mathematical methods whose literature is  
 122 rich.<sup>35</sup> On the other hand, it is clear that thermal conductivity and  
 123 TBR parameters are not always separately identifiable according to  
 124 the experimental configuration. Therefore, specific strategies have  
 125 to be implemented to separately distinguish TBR and thermal con-  
 126 ductivity, which can be done using the sensitivity analysis. In addi-  
 127 tion, it must also be said that some sample configurations, such as  
 128 thin films stacks or super lattices, also involve several interfaces,

129 and it is extremely difficult to identify them separately. A typical  
130 case for the PCRAM application is the stack formed by the metal  
131 electrode, the PCM layer, and the dielectrics material that ensures  
132 electrical and thermal insulation of the operating cell.

## 133 II. GENERAL CONSIDERATIONS

### 134 A. Controlling the sample temperature

135 The PCM layer is generally deposited on a Si wafer with SiO<sub>2</sub>  
136 thermal oxide at the surface. The adhesion of the PCM on SiO<sub>2</sub> is  
137 generally high and does not require an interfacial layer. Additional  
138 layers, including the optical-to-thermal transducer, have to be con-  
139 sidered, and finally, a stack of thin layers is obtained. The sample is  
140 put inside a furnace that allows controlling the annealing tempera-  
141 ture. The use of PTR methods requires the oven to be equipped  
142 with an appropriate window that allows the passage of the pump  
143 and probe laser for the methods based on thermoreflectance  
144 (TDTR), as well as the laser and the IR radiation for the radiometry  
145 methods (MPTR and PPTR). The designed furnace is represented  
146 in Fig. 2(a). The window is CaF<sub>2</sub> for MPTR and PPTR methods  
147 since it is transparent for both the visible and infrared radiation as  
148 showed in Fig. 2(a). For the TDTR method, the window is silica  
149 glass since the pump and probe lasers work within the visible wave-  
150 length as represented in Fig. 2(b). The silica glass transmittance  
151 according to the radiation wavelength is reported in Fig. 2(b).

152 There is no need for a perfect uniform temperature of the  
153 sample as long as it is stationary. The out-of-plane temperature gra-  
154 dient within the sample is low for low temperature, whereas it  
155 increases drastically as the temperature increases. Indeed, convec-  
156 tion and even more radiation are enhanced as the temperature gap  
157 between the sample and the ambient is high. In addition, the  
158 contact between the sample and the furnace is very weak, leading  
159 to a high thermal resistance at the interface. For the MPTR and  
160 PPTR configurations, there is no benefit from the CaF<sub>2</sub> window

161 to make greenhouse to occur since transmittance is high within IR.  
162 It is then required performing a calibration of the sample surface  
163 temperature for each type of transducer used (see Sec. II B) since  
164 heat loss by radiation will depend on the emissivity of this material.  
165 An illustration is given in Fig. 3(b) considering a sample capped  
166 with a Pt layer. The emissivity of Pt is well measured<sup>36</sup> and varies  
167 according to wavelength and temperature as reported in Fig. 3(a).  
168 Such dependence makes absolute temperature measurement at the  
169 surface of the sample quite difficult. The second solution is to use  
170 the glass transition temperature [see Fig. 1(b)] of the PCM as fixed  
171 points on the experimental calibration curve. However, it must be  
172 noted that the crystallization temperature could vary with the film  
173 thickness when the former is low, typically of order of some nano-  
174 meters. Therefore, the calibration with fixed points has to be per-  
175 formed considering thick PCM layers, in general, more than  
176 100 nm. Both the fixed point and the calibration from surface tem-  
177 perature measurement are known, they are used simultaneously,  
178 which allows one to achieve a better accuracy. This calibration is  
179 not required anymore for the TDTR method since the silica glass  
180 window makes the greenhouse to occur, and it is then observed  
181 that the temperature at the sample surface is not significantly dif-  
182 ferent from the set temperature of the furnace.

183 In order to limit the heat loss by convection and also the  
184 sample oxidation, one can implement a secondary vacuum within  
185 the furnace. However, given that it comes to lower the vaporization  
186 temperature of the transducer material, a continuous deposition of  
187 chemical species from the sample to the window of the oven is  
188 observed. This contributes to modify the structure of the layers and  
189 to obstruct the window at very short terms. A more efficient solu-  
190 tion consists in producing a flow of argon gas within the oven  
191 enclosure.

192 For all the characterizations performed using either the  
193 MPTR, the PPTR, or the TDTR, the temperature ramp is  
194 25 °C/min and the stabilization time is 2 min. The measurement

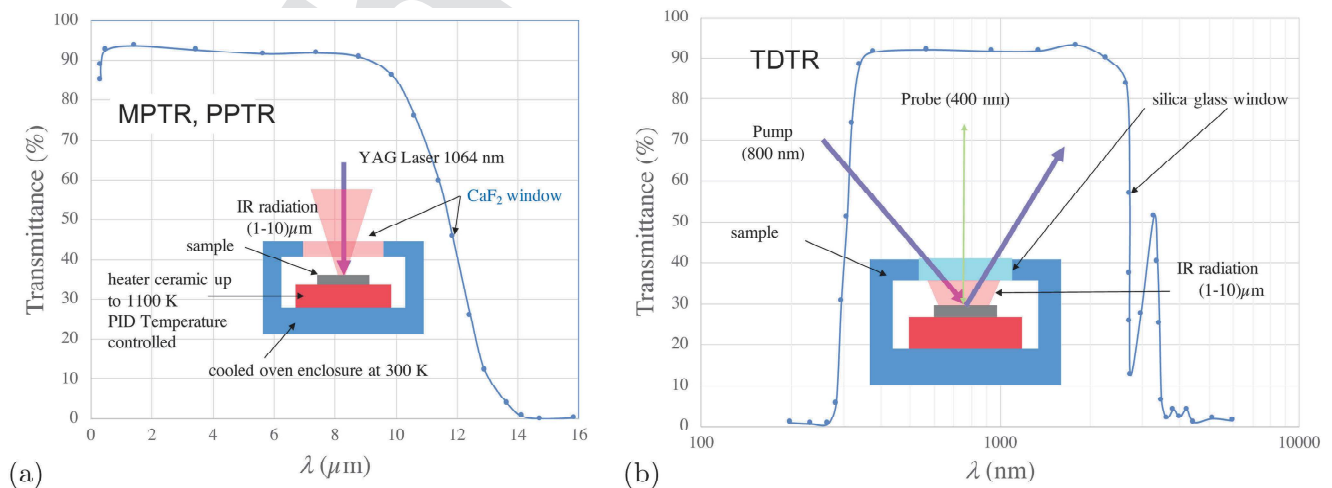
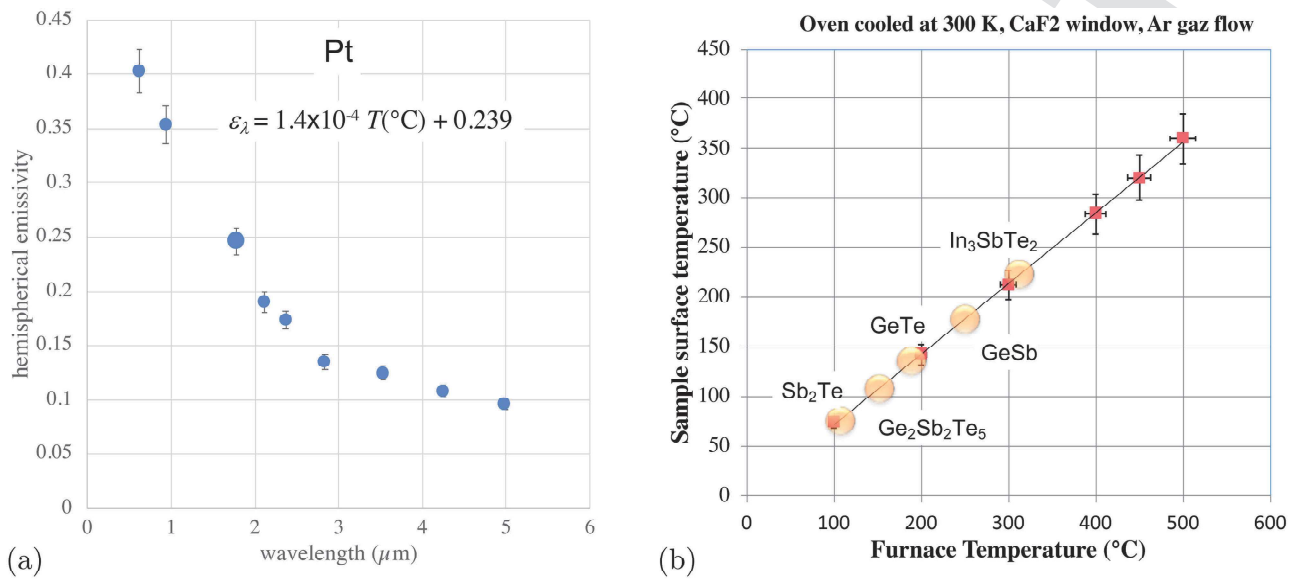


FIG. 2. (a) Designed furnace for the MPTR and PPTR methods at temperature up to 1100 K; CaF<sub>2</sub> transmittance within the visible and IR wavelength range; (b) designed furnace for the TDTR method (transmittance of silica glass within the visible and IR wavelength range).



**FIG. 3.** (a) Pt spectral hemispherical emissivity according to wavelength and temperature; (b) surface temperature of the sample measured using an IR camera. The oven enclosure is cooled at 300 K, there is a flow of Ar gas within the furnace and the window is  $\text{CaF}_2$ . Crystallization temperature of different thick PCM alloys are reported on the plot.

195 time is about 10 min at each investigated temperature for the  
196 MPTR and TDTR methods, whereas it is only about 20 s for the  
197 PPTR one.

## 198 B. Optical-to-thermal transducer

199 The alloys constituting the PCMs are generally transparent to  
200 the wavelength of the laser, regardless of the choice of the PTR  
201 method. A full volume absorption does not make it possible to gener-  
202 ate a temperature gradient within the layer to be characterized  
203 and, therefore, to reach its thermal conductivity. On the other  
204 hand, the PCM alloys being semiconductors, the carrier response is  
205 very easily observed during the passage of the bandgap within the  
206 IR signal. Thus, in order to control the absorption of the laser at  
207 the surface of the material, it is common to deposit a layer, called  
208 optical-to-thermal transducer whose role is to transform the inci-  
209 dent photons into a surface heat flux. It must be said that the litera-  
210 ture is often very discrete regarding the choice of the material  
211 transducer for high-temperature characterization as well as its  
212 thickness value. Most of the studies do not make a physicochemical  
213 investigation of the layers as well as their interfaces after the appli-  
214 cation of the high-temperature budget. The TiN material would be  
215 very effective for high-temperature application, and it would be  
216 also very interesting since it is generally used as the metal elec-  
217 trodes within the PCRAM device. Unfortunately, this material is  
218 not opaque within the visible wavelength. For MPTR and PPTR  
219 radiometry techniques in the IR, it is strongly advised to search for  
220 a transducer whose properties come closest to a blackbody.  
221 Unfortunately, many candidate materials do not withstand high  
222 temperatures. For instance, chromium is an excellent candidate for

low temperatures given its high emission factor in IR. However, 223  
when the temperature reaches 300 °C, cracks are observed on the 224  
surface of the sample. After testing several coating layers, we found 225  
that the only material that can withstand high-temperature levels, 226  
without evaporating nor oxidizing, is platinum. However, as 227  
showed in Fig. 3(a) the properties of platinum for both the absorp- 228  
tion in the visible wavelength and the emission within the IR are 229  
low. As reported in Fig. 4(a), Time of Flight-Secondary Ion Mass 230  
Spectrometry (ToF-SIMS) has been performed at room temperature 231  
(RT) for a 30 nm thick Pt layer deposited on a 210 nm amorphous 232  
 $\text{GeSbTe}$  thick layer. The measurement was then done for the 233  
annealed sample at 400 °C when the PCM phase change has been 234  
reached. It is thus observed a slightly diffusion of Pt within the 235  
 $\text{Ge}_2\text{Sb}_2\text{Te}_5$  (GST) layer close to the interface. This observation 236  
leads to limit the use of this technique to layers whose thickness is 237  
large enough (more than 100 nm in practice) in order to not be sig- 238  
nificantly affected by the transducer material diffusion at high tem- 239  
perature. We also observed that the diffusion of species between 240  
platinum and most chalcogen alloys ( $\text{GeTe}$ ,  $\text{SbTe}$ ,  $\text{InSb}$ ,  $\text{InSbTe}$ ) 241  
remained very limited. It is obviously recommended to limit the 242  
duration of the thermal budget of the investigated samples during 243  
the experiment by carefully choosing the temperature ramp as well 244  
as the duration of the measurement at each scanned temperature. 245

For thermorefectance, we look for a material whose reflectivity 246  
as a function of the temperature is large. Pt is not suited for 247  
such measurement and Au diffuses very quickly within the PCM 248  
alloys as soon as the temperature increases. Al is generally the 249  
material that presents satisfying properties in terms of temperature 250  
dependent reflectivity and that can withstand thermal budget as 251  
high as 400 °C at the maximum without apparition of visible 252

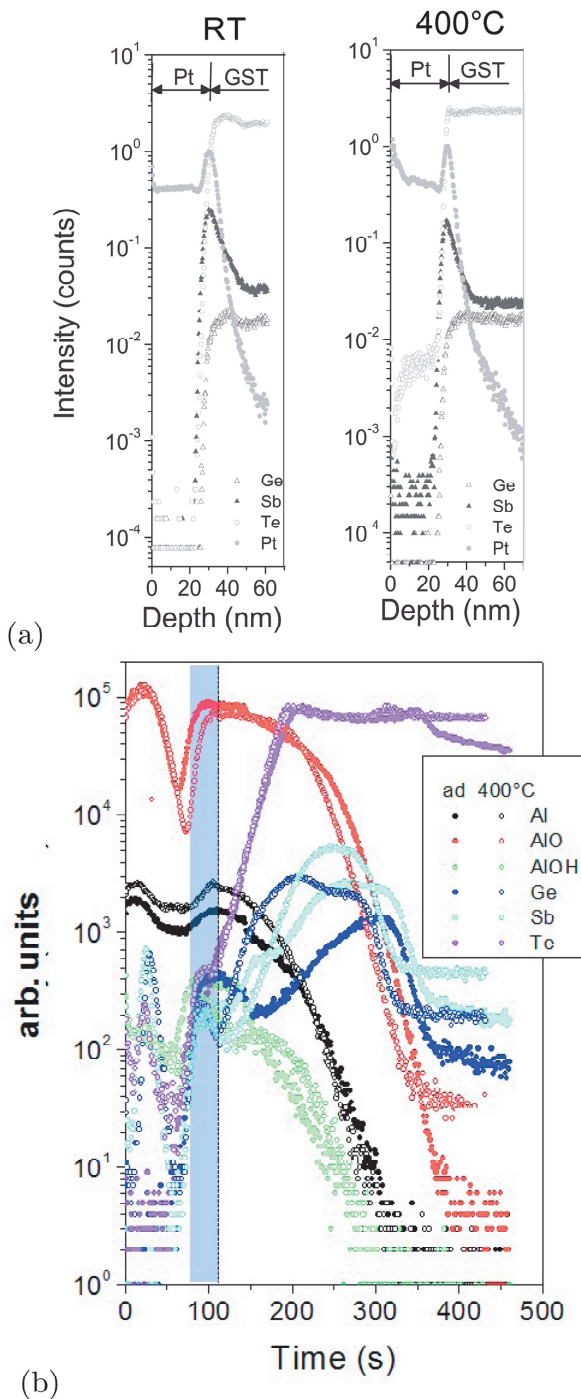


FIG. 4. (a) ToF-SIMS for the as-deposited amorphous GST with Pt capped layer and the annealed sample at 400 °C (GST in the *hcp* phase);<sup>37</sup> (b) ToF-SIMS for the as-deposited (ad) amorphous GST with the Al capped layer and the annealed sample at 400 °C (GST in the *hcp* phase).<sup>38</sup> The dashed line locates the perfectly flat ideal interface, and the gray area evidenced the interface width.

surface degradation. However, ToF-SIMS performed on amorphous and 400 °C annealed sample evidences changes in depth profiles for Ge, Sb, and Te species with diffusion into the Al layer and up to the Al surface after annealing [Fig. 4(b)]. There is no Al diffusion into GST, the apparent higher intensity seen in the annealed sample being due to the concomitant Ge, Sb, Te diffusion at the interface. Both information regarding surface roughness and mass diffusion allow us defining the interface layer to be 0.9–3 nm thick. Moreover, roughness uniformity allows us to conclude that the mass amounts of GST and Al in the interface layer are close to  $\beta = 60\%$  and  $(1 - \beta) = 40\%$ , respectively. This also leads us to conclude that the method should be used for PCM layer whose thickness is higher than 100 nm.

A fundamental comment is about the fact that the thermal budget applied to the sample for the characterization is far from the way the PCM is heated during the PCRAM device operation. This has not been clearly studied but the thermal load operation will significantly change the way the interface is modified over time. In the same vein, it is important to note that all the thermal characterization experimental procedure reported in the literature omit to specify the conditions of thermal load of the PCM materials. Therefore, the thermal resistance measurement at the interfaces between the PCM and neighbored layers reported within the literature has to be considered with high caution.

### C. Investigated depth within the sample

When the heat flux is a periodic function of time with angular frequency  $\omega = 2\pi f$  (MPTR), the thermal diffusion length within the expected material is a function of its thermal diffusivity  $a$ , that is the ratio  $k/\rho C_p$  of the thermal conductivity and the specific heat per unit volume, and the frequency  $f$  as:  $z_h = \sqrt{a/\pi f}$ . Similarly, when the heat flux is generated as a pulse with duration  $\tau$  (PPTR, TDTR), the minimum investigated depth within the material is  $z_{h, min} = \sqrt{a\tau}$ . The typical heat penetration depth is illustrated in

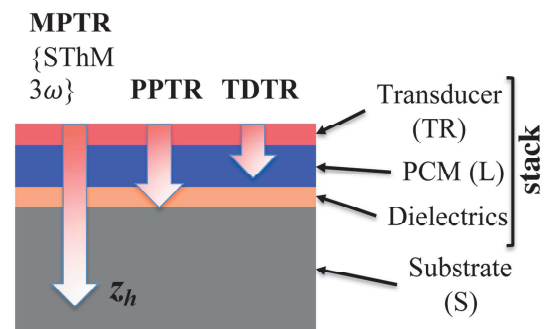


FIG. 5. The investigated heat penetration depth within the sample according to the technique used. The transducer thickness depends on the temperature range swept during the characterization, i.e., at ambient measurement the thickness transducer can be small enough (10 nm), whereas it must be larger at high temperature (100 nm) in order to resist to both thermomechanical constraints and evaporation. The  $3\omega$  and SthM techniques are also reported for information. Some additional interfacial layers can be considered with regard to adhesion purpose of the transducer.

**TABLE I.**  $R_T$ : thermal resistance involved within the heat transfer model considering the stack deposited on the substrate (S) and capped with the transducer (TR) as represented in Fig. 5;  $t_i$  and  $k_i$  are the thickness and the thermal conductivity of the PCM layer (L);  $R_K$  is the intrinsic thermal resistances for the layers (TR and D) involved in the stacks with known thermal conductivity;  $TBR_i$  denotes the thermal resistance at each interface  $i$  within the stack;  $\Theta$  is the vector of identified parameters using the NLSQ method,  $\mathbf{P}$  is the vector of identified parameters including uncertainties on known parameters using the Markov Chain Monte Carlo (MCMC) method (see the related section for the description of those experimental parameters).

Method	$R_T$	$\Theta$	$\mathbf{P}$
MPTR	$t_i/k_i + R_K + R_c$ , with $R_K = \sum_i t_i/k_i$ and $R_c = \sum_i TBR_i$	$R_T$ [ $k_i$ and $R_c$ if $R_T = f(t_i)$ available]	$\Theta + \{r_0, r_{ab}, R_K, a_s, k_s\} + \{\phi_{det}\}$ (see Sec. III A)
PPTR and TDTR	$R_c + t_i/k_b$ , with $R_c = TBR(TR/L)$	$k_b, R_c$	$\Theta + \{t_i\} + \{f_m, f_{cut}, t_{del}\}$ for the PPTR (see III C) $\Theta + \{f_m\}$ for the TDTR (see III B)

Fig. 5, considering the three different methods. The thickness of the layers constitutive of the stack being of the order of some tenth of nanometers, only the thermal resistance of the investigated stack deposited on the substrate can be reached by using the MPTR. This thermal resistance includes both the intrinsic thermal resistance  $t/k$  of the layers from the stack and the sum  $R_c$  of the TBR at the interfaces between the layers. A very important point in the use of the experimental data for this technique is that here the substrate defines the reference for these measurements since only the relative variations of temperature can be measured. It is, therefore, important to know the thermal properties of the substrate, over the entire temperature range explored, with great accuracy. For the PPTR and TDTR, it is expected that the thermal conductivity of the layer as well as the TBR can be identified separately. Nevertheless, for these two methods which lead to the measurement of the relative temperature variation, it is the transducer that constitutes the reference with respect to the use of the experimental data with regard to the model. The properties of the transducers must, therefore, be known with precision over the entire temperature range explored for these two methods. Table I presents the parameters that can be identified for the three methods. The three methods, therefore, appear to be complementary because they lead to different information but which, in fine, must overlap and lead, in particular, to the different values of thermal conductivity of the PCM and of the thermal resistances at the different interfaces.

#### D. Identification procedure

The identification of the seek parameters  $\Theta = [\alpha_i]$  ( $\alpha_i$  being either a thermal resistance, a thermal conductivity, a TBR, or other unknown parameters related to the experimental configuration used) is based on several mathematical algorithms. The two most appropriate classes of methods for this kind of inverse problem are the linear and nonlinear least square (LSQ, NLSQ) techniques and the Bayesian ones.<sup>35</sup> Of course, other techniques can be used (genetic algorithms, particle swarm, etc.), but they will not provide additional information than those obtained by the two classes of methods mentioned above. Within the first class (as Newton–Gauss, Levenberg–Marquardt,<sup>39</sup> or trust-region-reflective algorithms<sup>40</sup>), the method will lead to minimize the quadratic gap between the experimental data and those calculated using a model of the heat transfer within the experimental configuration. If the sensitivity functions  $S_Q(\alpha_i) = \partial Q / \partial \alpha_i$  of parameters  $\alpha_i$  relative to the measured quantity  $Q$  (that is generally an absolute

relative temperature or a phase-lag) are linearly independent, the minimization of  $J = \|\mathbf{Y} - \mathbf{Q}\|_2$ , where  $\mathbf{Q} = [\mathbf{Q}]_N$  is the measurement vector constituted from  $N$  data, leads to a global minimum and then to the optimal values for  $\alpha_i$ . On the other hand, this method allows estimating the standard deviation of the identified values using the covariance matrix for  $\alpha_i$  at the end of the iterative minimization process and the residuals  $\mathbf{E} = \mathbf{Y} - \mathbf{Q}$  that are expected to be comparable to the noise measurement assuming the model is unbiased. The covariance matrix is  $\text{cov}(\Theta) = (S^T S)^{-1}$ , where vector  $\mathbf{S} = [S_Q(\alpha_i)]_N$ . It comes that the standard deviation of the identified parameters is  $\sigma(\alpha_i | Y)^2 \sim \text{cov}(\Theta) \mathbf{E} / \sqrt{N}$ . The main advantage of the non-linear least square technique is the computation speed that is very fast when approaching the minimum. The drawback of this approach is that it assumes that other experimental parameters are known accurately, which is not true in practice. Some uncertainties can be put on the known parameters within the NLSQ technique assuming strong conditions. Therefore, the Bayesian minimization technique can be efficiently implemented assuming a standard deviation on the known parameters. Indeed, in this method, all variables involved in the model, formally gathered in column vector  $\mathbf{P}$  ( $\Theta \subset \mathbf{P}$ ), are considered random variables. Information on variables is expressed as probability distributions. Each time a new information occurs for variables, it is combined with the previously available information through the Bayes's theorem,  $\pi_{\text{posterior}}(\mathbf{P}) = \pi(\mathbf{P} | \mathbf{Y}) = \pi_{\text{prior}}(\mathbf{P}) \pi(\mathbf{Y} | \mathbf{P}) / \pi(\mathbf{Y})$ , where  $\pi_{\text{posterior}}(\mathbf{P})$  is the posterior probability density, that is, the conditional probability of the parameters  $\mathbf{P}$  given the measurements  $\mathbf{Y}$ ;  $\pi_{\text{prior}}(\mathbf{P})$  is the prior density, that is, the coded information about the parameters prior to the measurements;  $\pi(\mathbf{Y} | \mathbf{P})$  is the likelihood function, which expresses the likelihood of different measurement outcomes  $\mathbf{Y}$  with  $\mathbf{P}$  given; and  $\pi(\mathbf{Y})$  is the marginal probability density of the measurements, which plays the role of a normalizing constant. This technique is generally implemented as a Markov Chain Monte Carlo method, known as the Metropolis–Hastings algorithm,<sup>41</sup> so that inference on the posterior probability becomes inference on the samples.

### III. EXPERIMENTAL TECHNIQUES

#### A. The MPTR technique

The modulated photothermal radiometry method is a contactless measurement technique based on monitoring the emitted infrared radiation from the surface of the sample consequently to a periodic photothermal excitation  $\varphi(t)$  provided by a laser.

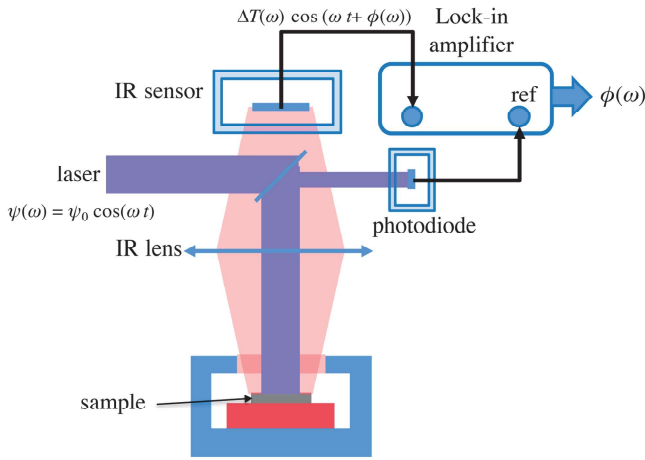


FIG. 6. The MPTR experimental setup.

Originally proposed in 1979,<sup>42,43</sup> the method has been extensively improved for both the experimental<sup>44–46</sup> and theoretical<sup>47–52</sup> aspects. The spatial distribution of the excitation can be uniform or with more complex form (Gaussian, for example). The radiation from the laser is absorbed by the surface of the sample, if opaque, leading to a surface heat flux  $\varphi$ . This results in an increase  $\Delta T$  at the heating area leading to an infrared emitted radiation. Considering a periodic heat flux  $\varphi(t) = \varphi_0 \cos(\omega t)$ , the average temperature increase over the measurement area at the surface of the sample is constituted from a continuous and transient parts as:  $\Delta T(t) = \Delta T_0 + \Delta T_\omega \cos(\omega t + \phi)$ . Assuming small temperature increase, the transient part of the emitted radiation from the aimed area can be linearized as:  $\Delta M = 4 \epsilon \sigma_s \Delta T_0^3 \Delta T_\omega$ . The sketch of the MPTR setup is presented in Fig. 6. A lock-in amplifier is used to measure the signal at the IR detector and leads to the amplitude  $A(\omega)$  and phase-lag  $\phi(\omega)$  measurements. As said previously, for thin layers of micrometer or sub-micrometer thickness deposited on a substrate, the MPTR allows measuring, as for the  $3\omega$  method, the global thermal resistance  $R_T$  of the deposit. The calibration of the amplitude vs the surface temperature requires knowing accurately the surface emissivity  $\epsilon$  that comes to be a difficult task. Since the phase  $\phi(\omega)$  is very sensitive to the thermal resistance of the layer, this measurement is used within the identification process. The average temperature over the aimed area at the surface of the sample is

$$\overline{\Delta T}(\omega) = \varphi_0 (Z_\infty(\omega) + R_T), \quad (1)$$

with

$$Z_\infty(\omega) = \frac{r_0^2}{k_s r_d} \sum_{n=0}^M \frac{J_1(\alpha_n r_d) e^{-\left(\frac{\alpha_n r_0}{2}\right)^2}}{\alpha_n^2 \tanh\left(\sqrt{\alpha_n^2 + \frac{i\omega}{a_s}} e_t\right) \sqrt{\alpha_n^2 + \frac{i\omega}{a_s}} R^2 J_0(\alpha_n R)^2} \quad (2)$$

and  $\alpha_0 = 0$ ,  $\alpha_n R = \pi(n + 1/4) - 3/(8\pi(n + 1/4))$  ( $n > 0$ ),  $r_0$  is the laser beam radius,  $r_d$  is the radius of the aimed area by the IR detector,  $k_s$  and  $a_s$  are, respectively, the thermal conductivity and thermal diffusivity of the substrate with thickness  $e_t$  [for a semi-infinite behavior  $\tanh(\infty) = 1$ ]. Finally,  $J_0$  and  $J_1$  are the kind ~~specie~~ Bessel functions of 0 and 1 order, respectively. The phase-lag is then  $\phi(\omega) = \arg \overline{\Delta T}(\omega) = \arctan(\text{Im}(\overline{\Delta T}(\omega))/\text{Re}(\overline{\Delta T}(\omega)))$ . The detector and associated amplifier involve a phase-lag  $\phi_{det}$  that increases linearly with the frequency  $\omega$ . The function  $\phi_{det}(\omega)$  has to be calibrated using a fast IR led and the model for the phase is, therefore,  $\phi(\omega) = \phi(\omega) + \phi_{det}(\omega)$ . Considering the measured value  $Y_\phi(\omega_i)$  of the phase-lag at different frequency  $\omega_i$  ( $i = 1, N$ ), the objective function is  $J = \|Y_\phi - \Psi\|_2$ , where  $Y_\phi = Y_\phi(\omega_i)$  and  $\Psi = \phi(\omega_i)$  are respectively the measured and simulated phase at all the investigated frequencies. The value of  $R_T$  can be identified using a nonlinear least square (NLSQ) technique as the Newton–Gauss or Levenberg–Marquardt algorithm. In that case, the standard deviation on  $R_T$  is achieved from the covariance matrix at the end of the iterative process. In order to introduce uncertainties on geometrical properties as  $e_t$ ,  $r_0$ , and  $r_d$  as well on the substrate thermal properties  $a_s$  and  $k_s$  and the phase-lag  $\phi_{det}$  of the detector it is recommended to use the MCMC method with appropriate variations. As described in Table I, the thermal resistance  $R_T$  includes the intrinsic thermal conductivity  $k_l$  of the PCM layer ( $L$ ) as well as the thermal resistance of other layers ( $TR$  and  $D$ ) constituting the stack presented in Fig. 5, and finally, the sum  $R_c$  of the thermal resistances at the interfaces between layers of the stack. The MPTR allow the determination of  $R_T$  and that of  $k_l$  and  $R_c$  if the experiment can be repeated with different values of the thickness  $t_l$ . In such a case, a linear regression is applied to the resistance measurements as a function of the thickness for each temperature of the PCM that leads to the value of the two parameters ( $1/k_l$  being the slope and  $R_c$  the value at the origin). This approach has the advantage of increasing the accuracy of the measurement on the two parameters by confirming a linearity relationship. The major drawback remains the obligation to fabricate additional samples and the duration of the characterization experiments also becomes much longer.

The method has been used to measure the temperature dependent thermal conductivity of several chalcogenide alloys:  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  (GST),<sup>37</sup>  $\text{GeTe}$ ,<sup>53</sup> C-doped  $\text{GeTe}$ ,<sup>54</sup> and  $\text{In}_3\text{Sb}_1\text{Te}_2$ .<sup>55</sup> It has been also used to investigate the TBR at the  $\text{SiO}_2$ –GST interface<sup>37</sup> and the role of Ti at the interface between TiN (the metal electrode in the PCRAM) and GST.<sup>56</sup>

## B. The TDTR technique

Originally designed to study ultrafast phenomena, the time domain thermoreflectance (TDTR) has been implemented within the framework of thermal characterization.<sup>57</sup> The technique has been largely improved up to nowadays.<sup>58–60</sup> A high-energy picoseconds or even femtoseconds laser produces a very short pulse with high frequency repetition rate  $f_m$ . The beam is split as a low-energy probe beam and a high-energy pump beam. Pump and probe beams have generally the same diameter and are superimposed at the sample surface. Drawbacks in using a mechanical stage as the optical delay line can be avoided by using the heterodyne



method.<sup>61</sup> The pump is modulated at a low frequency that allows the accurate extraction of the measured periodic change of surface reflectivity using a lock-in amplifier that measure the voltage drop at the photodiode. The probe is continuously delayed from the pump with time  $\tau$  in the nanoseconds time range. The pump is thus used to heat the sample surface, whereas the probe is used to monitor the change of surface reflectivity  $\Delta R/R_0$  using a photodiode. Assuming this change is proportional to that of the temperature, it is then obtained the quantity of interest for the identification process (Fig. 7).

An optical-to-thermal transducer is used that is generally gold or aluminum. The diameter of the pump is larger than the PCM film thickness leading to consider one-dimensional heat diffusion within the sample. On the other hand, given to the very fast transient excitation and observation time, the TDTR method leads to exploring only the PCM layer and the interface with the transducer layer. The model that allows to simulate the measured signal by the lock-in has to account also with the modulation of the laser beam, and it is finally obtained

$$D(t) = \sum_{n=-\infty}^{+\infty} \overline{\Delta T} \left( 2\pi \left( \frac{n}{\tau} + f_m \right) \right) \exp \left( -\frac{j 2\pi n t}{f_m} \right). \quad (3)$$

Assuming the transducer thickness is small enough to consider the layer at a uniform temperature at each time, one has

$$\overline{\Delta T}(\omega) = \varphi_0 (1/E_l \sqrt{j\omega} + R_T), \quad (4)$$

where  $E_l = \sqrt{k_l \rho_l C_{p,l}}$  is the effusivity of the PCM layer. Since the heat flux absorbed by the surface from the pump is not known in practice and that it is only measured a relative variation of the temperature at the surface, a normalized function  $\hat{D}(t)$  of  $D(t)$  with respect to its value at  $t$  chosen between 0 and  $\tau$  is considered. Both  $R_T$  and  $k_l$  can be identified using either the NLSQ or the MCMC

technique, although the former is recommended in order to introduce an uncertainty on the modulation frequency  $f_m$ .

The method has been used to measure the temperature dependent thermal conductivity of several PCMs, GST,<sup>62-64</sup> Sb<sub>2</sub>Te<sub>3</sub>,<sup>65</sup> and GeTe.<sup>66</sup> It has been also used to measure the TBR at the GST-Al interface,<sup>38</sup> the TBR at the TiN-GST interface<sup>21,23,67</sup> and the influence of fullerene C<sub>60</sub> at the GST-TiN interface.<sup>68</sup>

Unfortunately, the material used as the transducer (Al or Au) are not suited to work at high temperature, the maximum admissible temperature being of the order of 300 °C, beyond which cracking as well as a strong atomic diffusion is observed. Given that we are seeking to develop PCMs with a high crystallization temperature for high-temperature applications, the TDTR method turns out to be less and less suitable for this type of characterization.

### C. The front face PPTR technique

The approach is similar to that of the MPTR but in that case the photothermal source is continuously emitting nanoseconds heat pulses at frequency  $f_m$  ranging from 1 kHz up to 100 kHz. Once the steady periodic regime is reached, the signal measured by the IR detector, which is proportional to the front face temperature, is recorded after every pulses and averaged with the previous average signal. In comparison with the classical flash technique, and even accounting with all the successive improvements,<sup>70-78</sup> averaging the recorded signal leads to a significant improvement of the signal noise ratio<sup>79</sup> since the standard deviation of measured values of noise is reduced by  $\sqrt{N_s}$ , where  $N_s$  denotes the number of pulses used to perform the average. A fast IR detector (20 MHz and nanoseconds rise time) is implemented. The optical arrangement is quite similar to that of the MPTR in order to make the image of the sensitive element of the detector on the heated area by the laser. The sketch of the experimental setup is represented in Fig. 8.

Accounting with the periodic repetition, it is obtained that the signal measured between two successive pulses, when the stationary regime is reached, is expressed from the average temperature on the

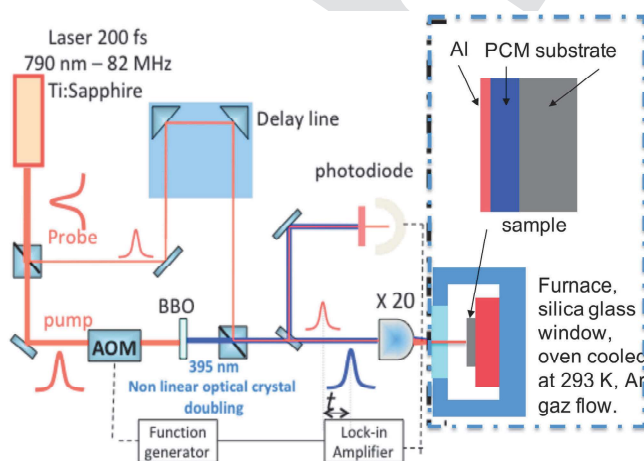


FIG. 7. Sketch of TDTR experimental setup.

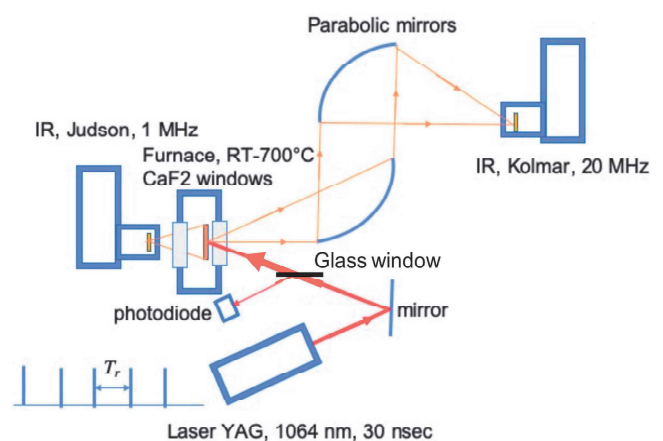


FIG. 8. Sketch of the PPTR experimental setup.

510 aimed area as

$$D(t) = \sum_{n=-\infty}^{+\infty} \overline{\Delta T}(2\pi f_m) \exp\left(-\frac{j2\pi n t}{f_m}\right). \quad (5)$$

512 If the layer behaves as a semi-infinite medium for the value of  
 513  $f_m$ , meaning  $e_l \gg \sqrt{a_l/\pi f_m}$ , then  $\overline{\Delta T}(\omega)$  is given by relation (1)  
 514 with  $\tanh(\infty) = 1$  and replacing  $k_s$  and  $a_s$  by the properties of the  
 515 layer, i.e.,  $k_l$  and  $a_l$ . The thermal resistance  $R_T = e_{TR}/k_{TR} + R_c$  is  
 516 the sum of the intrinsic resistance of the transducer layer with the  
 517 contact resistance  $R_c$  at the interface between the transducer and  
 518 the PCM layer. Since the laser beam radius is much larger than the  
 519 layer thickness, the heat transfer is one dimensional and the relation  
 520 (1) can be simplified to obtain the same expression of  $\overline{\Delta T}(\omega)$   
 521 than that of the TDTR technique, e.g., relation (4). Hence,  $E$  and  
 522  $R_T$  can be identified using the minimization algorithms discussed  
 523 below. In case, the heat penetration depth is larger than the PCM  
 524 layer thickness but still less than the laser beam radius, a model  
 525 based on the thermal impedance network method<sup>80</sup> can be  
 526 implemented.

527 Regarding the sample configuration, the network is as the one  
 528 represented in Fig. 9 with  $Z_1(\omega) = (\cosh(\beta e) - 1)/(k_l \beta \sinh(\beta e))$ ,  
 529  $Z_3(\omega) = 1/(k_l \beta \sinh(\beta e))$ ,  $\beta = \sqrt{j\omega/a_l}$ ,  $E_s = \sqrt{k_s \rho_s C_{p,s}}$ , and  
 530  $Z_5(\omega) = 1/E_s \sqrt{j\omega}$ . Therefore, the temperature at the aimed area is

$$\overline{\Delta T}(\omega) = \varphi_0 \left( \frac{1}{\frac{1}{Z_3(\omega)} + \frac{1}{Z_1(\omega) + Z_5(\omega)}} + Z_1(\omega) + R_T \right). \quad (6)$$

532 Since the heat flux absorbed by the surface from the laser is  
 533 not known in practice and that it is only measured a relative variation  
 534 of the temperature at the surface, a normalized function  $\tilde{D}(t)$   
 535 of  $D(t)$  with respect to its value at  $t$  chosen between 0 and  $1/f_m$   
 536 is considered. The model has to account with the frequency trans-  
 537 forms  $H_\varphi(\omega)$  of the pulse transient waveform and the transfer func-  
 538 tion  $H_{det}(\omega)$  of the detector that is considered as a delayed  
 539 first-order low-pass filter with cut-off frequency  $f_{cut}$  and delay  $t_{det}$ .  
 540 It leads to replace  $\overline{\Delta T}(\omega)$  by the double convolution product  
 541  $\overline{\Delta T}(\omega) * H_\varphi(\omega) * H_{det}(\omega)$ .

542 Both  $R_T$  and  $k_l$  can be identified using the NLSQ algorithm,  
 543 although it is rather recommended to use the Bayesian technique,  
 544 which allows introducing uncertainties on  $\{t_l, f_m, f_{cut}, t_{det}\}$ . The

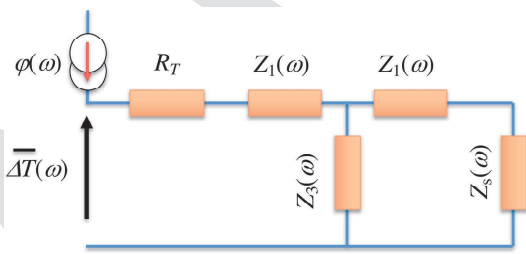


FIG. 9. Heat transfer model within the front face PPTR configuration considering the heat penetration depth is larger than the PCM thickness.

545 PPTR method has advantages for the characterization of high-  
 546 temperature PCM layers. The first is that the sensitivity of the  
 547 method remains two orders of magnitude above that based on  
 548 thermo-reflectivity. The second is the possibility of using trans-  
 549 ducers whose chemical affinity with PCMs is low (as Pt) and which  
 550 resist well at high temperatures, at least up to 500 °C. Finally, the  
 551 method, in its current state, makes it possible to explore layers of a  
 552 few tenths to hundred nanometers without the contact with the  
 553 lower layers being considered. Considerable technological progress  
 554 now makes it possible to use detectors whose acquisition frequency  
 555 can reach 100 MHz. This is still insufficient to compete with the  
 556 TDTR method, but it will make it possible to characterize layers of  
 557 PCM of a few tens of nanometers without having to diffuse into  
 558 the lower layers. It, therefore, seems that real progress can be  
 559 obtained by using the PPTR method for the characterization of  
 560 thin layers of PCM as a function of temperature in the future. The  
 561 method is quite recent and has been only used to measure the  
 562 thermal conductivity of the amorphous GeTe alloy and the TBR at  
 563 the interface with Pt.<sup>81</sup>

IV. ILLUSTRATIONS

564 We give in Fig. 10 the results obtained by using the three  
 565 methods described previously for three phase-change alloys,  
 566 namely, Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> (GST), In<sub>3</sub>Sb<sub>1</sub>Te<sub>2</sub> (IST), and GeTe. The PPTR  
 567 method was used for GeTe, the MPTR method was used for the  
 568 IST and the TDTR method was used for the GST. For each alloy,  
 569 we start from the amorphous state and we perform a measurement  
 570 for each prescribed temperature of the sample. The standard deviation  
 571 for each identified value is also reported in the figure, and it  
 572 must be also accounted with the 5% of uncertainty for the annealed  
 573 temperature of the sample (only represented for GeTe in the figure)  
 574 As one might expect, the thermal conductivity of materials in the  
 575 amorphous state does not change with temperature. Then, we  
 576 observe for the three systems a glass transition to the crystalline  
 577

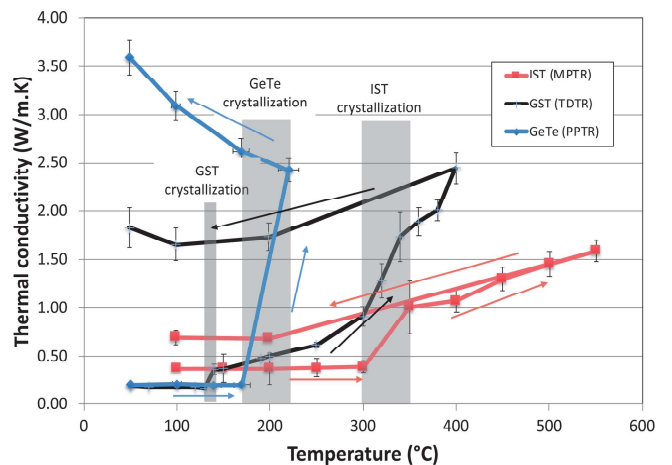


FIG. 10. Illustration of the use of the three techniques for the thermal conductivity measurement of Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> (GST), In<sub>3</sub>Sb<sub>1</sub>Te<sub>2</sub> (IST), and GeTe.

578 state as well as a variation of the conductivity of the crystalline  
579 phase with the temperature, the slope of which being essentially  
580 linked to the electronic behavior. These variations are in agreement  
581 with the electrical resistivity measurements as we presented them  
582 in Fig. 1(b) in the Introduction section. A mainly striking result is  
583 above all the uncertainty on the glass transition temperature  $T_c$   
584 value, which is within the shaded areas in the figure. In fact, the  
585 amorphous phase being very unstable, the transition to the crystal-  
586 line state may appear during a measurement at a temperature  
587 slightly below  $T_c$ , whatever the technique used. For information the  
588 exact phase change temperature are 150 °C for GST, 180 °C for  
589 GeTe, and 320 °C for IST. It is, therefore, essential to carry out the  
590 measurement, at a given temperature, in the shortest possible time  
591 in order to minimize the transition from the amorphous state to  
592 the crystalline state when one approaches the transition. It should  
593 also be noted that the shorter the thermal loading time, the more  
594 the risks of degradation of the transducers, as well as the diffusion  
595 of species between layers are minimized. The PPTR method is the  
596 most efficient for this purpose since only one transient measure-  
597 ment is required at a given temperature, while the MPTR and  
598 TDTR methods require several.

## 599 V. CONCLUSION

600 In this paper, we proposed a review of the latest developments  
601 achieved for three photothermal radiometry methods used for the  
602 measurement of thermal properties as the thermal conductivity of  
603 phase-change chalcogenide alloys and related thermal boundary  
604 resistances. Those methods are complementary not only in terms  
605 of improving the accuracy of the seek parameters but also to dis-  
606 criminate easily the thermal resistance at the interfaces between the  
607 PCM and the adjacent layers that are the metallic dielectrics and  
608 electrodes of the PCRAM cell. As we have shown, these methods  
609 are much more effective than contact methods ( $3\omega$  and SThM)  
610 when we want to measure the changes in these thermal properties  
611 at high temperatures, above the phase-change temperature. We  
612 have particularly emphasized in this paper on the aspects linked to  
613 the implementation of devices for controlling the temperature of  
614 the sample, the choice of optical-thermal transducers, and the evo-  
615 lution of materials as a function of temperature. A conclusion to  
616 this part is that the thermal budget undergone by the samples will  
617 have a significant role on the evolution of the sample and in fact  
618 on the thermal properties. For fairly thick layers of the PCM, this  
619 especially has repercussions on the value of the TBR, given the  
620 strong interface variables which are observed in terms of composi-  
621 tion and equivalent thickness. This also suggests that a thermal  
622 characterization of thin layers of PCM at high temperature should  
623 systematically be preceded and followed by a physicochemical char-  
624 acterization (ToF-SIMS, Raman, DRX), which takes account of the  
625 possible modifications undergone by the sample. This is still too  
626 rarely done systematically in most published studies on PCM. We  
627 have also shown the utility of using techniques for identifying  
628 unknown parameters which take into account the uncertainty on  
629 all the other known parameters, whether they are related to the  
630 experimental method or to the properties of materials, other than  
631 the PCM, constituting the sample. In this, the MCMC method is in  
632 our opinion the most efficient. Finally, we have shown that the

PPTR technique becomes a credible alternative to the TDTR 633  
method for high temperatures where transducer materials have to 634  
withstand intense thermal loads. Further improvement is needed in 635  
this area so that the observation times allow an investigation of the 636  
PCM layer alone. However, recent technological developments to 637  
make IR detectors capable of operating at frequencies above 638  
100 MHz can further open up avenues of real applications. 639

## AUTHORS' CONTRIBUTIONS 640

All authors contributed equally to this work. 641

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Phase Change Devices by Hetero- and Nanostructure Material 646  
Design). 647

## DATA AVAILABILITY 648

The data that support the findings of this study are available 649  
within the article. 650

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