

Cineole-containing nanoemulsion: Development, stability, and antibacterial activity

Tayonara Lima, Maria Fátima S. Silva, Xirley Nunes, Andrea Colombo, Helinando Oliveira, Patrícia Goto, Henrique Piva, Antonio Tedesco, Marigilson Siqueira-Moura, Muriel Blanzat

▶ To cite this version:

Tayonara Lima, Maria Fátima S. Silva, Xirley Nunes, Andrea Colombo, Helinando Oliveira, et al.. Cineole-containing nanoemulsion: Development, stability, and antibacterial activity. Chemistry and Physics of Lipids, 2021, 239, pp.105113. 10.1016/j.chemphyslip.2021.105113. hal-03341888

HAL Id: hal-03341888 https://hal.science/hal-03341888v1

Submitted on 18 Oct 2021

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Cineole-containing nanoemulsion: development, stability, and antibacterial activity

| 3 | Tayonara S. Lima ^a , Maria Fátima S. Silva ^a , Xirley P. Nunes ^{a,b} , Andrea V. Colombo ^{a,b} , |
|----|---|
| 4 | Helinando P. Oliveira ^c , Patrícia L. Goto ^d , Muriel Blanzat ^d , Henrique L. Piva ^e , Antonio C. |
| 5 | Tedesco ^e , Marigilson P. Siqueira-Moura ^{a,b} |
| 6 | |
| 7 | ^a Graduate Program in Biosciences (PPGB), Federal University of the São Francisco |
| 8 | Valley (UNIVASF), Petrolina, Pernambuco 56304-205, Brazil |
| 9 | ^b College of Pharmaceutical Sciences (CFARM), Federal University of the São Francisco |
| 10 | Valley (UNIVASF), Petrolina, Pernambuco 56304-205, Brazil |
| 11 | ^c Graduate Program in Materials Science (PPGCM), Federal University of the São |
| 12 | Francisco Valley (UNIVASF), Juazeiro, Bahia 48902-300, Brazil |
| 13 | ^d IMRCP Laboratory, UMR 5623 CNRS, Paul Sabatier University, 31062 Toulouse, |
| 14 | France |
| 15 | ^e Department of Chemistry, Center of Nanotechnology and Tissue Engineering - |
| 16 | Photobiology and Photomedicine Research Group, Faculty of Philosophy, Sciences and |
| 17 | Letters of Ribeirão Preto (FFCLRP), University of São Paulo (USP), Ribeirão Preto, São |
| 18 | Paulo 14040-901, Brazil |
| 19 | |
| 20 | *Corresponding author: |
| 21 | Prof. Marigilson Pontes de Siqueira Moura, Ph.D. |
| 22 | College of Pharmaceutical Sciences – UNIVASF |
| 23 | Prédio dos Colegiados, Av. José de Sá Maniçoba, S/N, 56304-917, Centro, Petrolina-PE, |
| 24 | Brazil. Phone: +55 87 21016862, E-mail address: marigilson.moura@univasf.edu.br |
| 25 | |
| | |

26 Abstract

27 1,8-cineole is a monoterpene commonly used by the food, cosmetic, and pharmaceutical industries owing to its flavor and fragrances properties. In addition, this bioactive 28 29 monoterpene has demonstrated bactericidal and fungicidal activities. However, such activities are limited due to its low aqueous solubility and stability. This study aimed to 30 develop nanoemulsion containing cineole and assess its stability and antibacterial activity 31 in this context. The spontaneous emulsification method was used to prepare 32 nanoemulsion (NE) formulations (F1, F2, F3, F4, and F5). Following the development of 33 NE formulations, we chose the F1 formulation that presented an average droplet size (in 34 35 diameter) of about 100 nm with narrow size distribution (PdI <0.2) and negative zeta potential (~ -35 mV). According to the analytical centrifugation method with 36 photometric detection, F1 and F5 formulations were considered the most stable NE with 37 lower droplet migration velocities. In addition, F1 formulation showed high incorporation 38 39 efficiency (> 80 %) and TEM analyses demonstrated nanosized oil droplets with irregular spherical shapes and without any aggregation tendency. Antibacterial activity assessment 40 showed that F1 NE was able to enhance the cineole action against *Staphylococcus aureus*, 41 42 Enterococcus faecalis, and Streptococcus pyogenes. Therefore, using a simple and reproducible method of low energy emulsification we designed a stable nanoemulsion 43 44 containing 1,8-cineole with improved antibacterial activity against Gram-positive strains. 45

46 Keywords: 1,8-cineole, Spontaneous emulsification, Nanoemulsion, Stability,
47 Antibacterial activity.

48

49

51 **1. Introduction**

52 In nature, bioactive oils based on terpene (isoprene) and terpenoid compounds are synthesized by aromatic plants as secondary metabolites, commonly known as essential 53 54 oils (EO) or volatile oils. Monoterpenes are formed by two isoprene units (10 carbon atoms) and constitute more than 80 % of EO composition (Asbahani et al., 2015; Bakkali 55 et al., 2008; Bilia et al., 2014; Matos et al., 2019; Slamenova and Horvathova, 2013). 56 According to each plant source, EO comprise widely varied monoterpenes, 57 sesquiterpenes, and phenylpropenes combinations. There is a terpenic oxide among such 58 monoterpenes, namely 1,8-cineole (C₁₀H₁₈O; M.W. 154.25 g mol⁻¹), which is a cyclic 59 60 ether found as the main constituent in EO obtained from eucalyptus species. This monoterpene is a limpid and colorless oily liquid with a strong camphor-like odor and 61 62 also called 1,8-epoxy-p-menthane, eucalyptol, and cajeputol (Adak et al., 2020; Ali et al., 63 2015; De Vincenzi et al., 2002; Salehi et al., 2019; Slamenova and Horvathova, 2013). Owing to its bactericidal and fungicidal activities as well as flavor and fragrances 64 65 properties, 1,8-cineole has been used by food, cosmetic, and pharmaceutical industries. However, despite all these applications, the use of 1,8-cineole or EO containing this 66 terpene is limited due to its limited thermal stability, substantial volatility, and low water 67 68 solubility (Adak et al., 2020; Hammoud et al., 2019; Prakash et al., 2018a; Prakash et al., 69 2018b).

In order to prevent terpenes and phenylpropanoid derivatives volatilization or oxidation, as well as their degradation by light and for improving the chemical stability, the encapsulation of these compounds into nanostructured systems has been commonly used. Several nanocarriers have been well designed to entrap EO or their main compounds in this context. Among these carriers, the choice covers polymeric nanocapsules or nanoparticles, liposomes, phytosomes, nanoemulsions, microemulsions, solid lipid

nanoparticles, and nanostructured lipid carriers (Asbahani et al., 2015; Bilia et al., 2014;
Harwansh et al., 2019; Matos et al., 2019; Prakash et al. 2018a; Prakash et al., 2018b;
Zhao et al., 2016).

79 Oil-in-water nanoemulsion is the most often-used colloidal lipid carrier to incorporate EO. According to recent review conducted by Matos et al. (2019), almost half of all 80 nanostructured systems containing EO was represented by nanoemulsified dispersions. 81 Nanoemulsions (NE) are ultrafine dispersions constituted of two immiscible liquids 82 (organic and aqueous phases) stabilized by surfactant(s). Despite being considered 83 nonequilibrium systems (thermodynamically unstable), nanoemulsions are metastable or 84 85 kinetically stable colloidal dispersions (Anton and Vandamme, 2011; Gupta et al., 2016; Helgeson, 2016; Komaiko and McClements, 2016; Singh et al., 2017; Solans and Solé, 86 2012). 87

88 In general, nanoemulsions are produced using low or high-energy methods. High-energy emulsification approaches, i.e., work-based emulsification methods, usually require 89 90 sophisticated and expensive devices, namely high-pressure homogenizers, ultrasound generators, and microfluidizers. On the other hand, low-energy methods or 91 thermodynamic methods are easy production processes based on simple stirring and 92 changing some formulation parameters, e.g., surfactant concentration and type, oil-water 93 ratio, temperature, etc. Usually low-energy emulsification methods have been categorized 94 as phase inversion composition, phase inversion temperature, emulsion inversion point, 95 and spontaneous emulsification (Anton and Vandamme, 2009; Gupta et al., 2016; 96 Helgeson, 2016; Komaiko and McClements, 2016; Safaya and Rotliwala, 2020; Singh et 97 98 al., 2017; Solans and Solé, 2012).

99 The main reason for incorporating EO or their major constituents into the lipid core of 100 nanoemulsion is directly related to bioactive molecules improving their stability and

subsequent enhanced bioefficacy (Asbahani et al., 2015; Bilia et al., 2014; Harwansh et 101 al., 2019; Matos et al., 2019). Also, EO-based nanoemulsion formulations have received 102 103 increasing attention as effective nanocarriers improving antimicrobial activity of bioactive components (Prakash et al., 2018a; Prakash et al., 2018b). Nevertheless, 104 105 available information about preparation, stability, and biological activities of 106 nanoemulsions containing isolated bioactive compounds from EO is still scarce, requiring more investigations. Therefore, this study aimed to develop cineole-containing 107 108 nanoemulsion formulation using an isothermal low-energy emulsification method and assess its accelerated stability and antibacterial activity. 109

110

111 **2. Materials and methods**

112

113 **2.1 Materials**

114 1,8-Cineole (Eucalyptol 99 %, d = 0.921 g mL⁻¹ at 25 °C), sorbitan monooleate (SMO), 115 polyoxyethylen-20 sorbitan monolaurate (SML-20), polyoxyethylen-20 sorbitan 116 monooleate (SMO-20), sodium phosphate monobasic, and sodium phosphate dibasic 117 were purchased from Sigma-Aldrich Co. (St. Louis, MO, USA). Medium-chain 118 triglycerides (MCT, d = 0.94 g mL⁻¹ at 20 °C) oil was kindly supplied from Brasquim 119 (Porto Alegre-RS, Brazil).

120

121 **2.2 Nanoemulsion preparation**

Nanoemulsions (NE) were prepared using a method based on a spontaneous
emulsification procedure described by Saberi et al. (2014) with slight modifications.
Briefly, spontaneous emulsification was carried out by addition of an organic phase
consisting of carrier oil (MCT), 1,8-cineole, and surfactant(s), to an aqueous phase

(phosphate buffer solution pH 7.4) under magnetic stirring (200 rpm) and ambient 126 temperature kept constant throughout process. Initially, different ratios between phases 127 128 and surfactant mixtures were assessed to find more suitable formulations resulting in final 129 composition (wt. %) of the five nanoemulsions reported in Table 1. Thus, both carrier oil-130 to-cineole and surfactant-to-oil weight ratios were kept constant at 1.0 and 2.0, respectively. The proportion of surfactant:oil:water was set at 10:10:80 (wt. %), 131 respectively, and for F1, F3, and F5 formulations the surfactant combinations were 132 133 maintained at HLB 12 (Table 1). All formulations were prepared three times (n=15) and stored at 4 °C (±2). Unloaded NE (F5 formulation) was obtained from organic phase 134 135 composed only of carrier oil (MCT) and surfactants using the same spontaneous emulsification process. 136

- 137
- 138 **Table 1.**

| NE | SML-20 | SMO-20 | SMO | MCT | Cin | PBS |
|-----|--------|--------|-----|-----|-----|-----|
| F 1 | 6.2 | - | 3.8 | 5.0 | 5.0 | 80 |
| F 2 | 10 | - | - | 5.0 | 5.0 | 80 |
| F 3 | - | 7.2 | 2.8 | 5.0 | 5.0 | 80 |
| F 4 | - | 10 | - | 5.0 | 5.0 | 80 |
| F 5 | 6.2 | - | 3.8 | 10 | - | 80 |

139 Composition (wt. %) of NE formulations.

SML-20: polyoxyethylen-20 sorbitan monolaurate; SMO-20: polyoxyethylen-20 sorbitan
monooleate; SMO: sorbitan monooleate; MCT: medium-chain triglycerides; Cin: 1,8-

142 cineole; PBS: 10 mM phosphate buffer solution pH 7.4.

143

144 **2.3 Droplet size measurement**

The hydrodynamic diameter of droplets and polydispersity index (PdI) of the NE formulations were determined by photon correlation spectroscopy (PCS) at 25 °C and a scattering angle of 173° (Zetasizer[®] Nano ZS, Malvern PCS Instruments, UK). All samples were diluted (1/100) with ultra-purified water. The reported values are average ±SEM of three different batches of each colloidal dispersion.

150

151 **2.4 Zeta potential measurement**

Zeta (ζ) potential of NE was measured by electrophoretic mobility using a Zetasizer[®]
Nano ZS apparatus (Malvern PCS Instruments, UK). The Smoluchowski model was used
to estimate ζ potential from electrophoretic mobility. The analyses were conducted at 25
°C, and the samples were appropriately diluted (1/100) with ultra-purified water. Values
reported are average ±SEM of three different batches of each colloidal dispersion.

157

158 **2.5 Forced stability study**

159 Stability study was performed using the analytical centrifugation method with photometric detection at 865 nm (LUMiSizer[®] 611, LUM GmbH, Germany). The 160 samples of NE formulations were incorporated into polycarbonate cell and equilibrated 161 to 25 °C before analyses. Measurements were carried out at 3,618 rpm for 4.5 h resulting 162 163 in accelerated migration of the droplets and integration of transmission profiles within a selected region of the holding cell allowed to get information about dispersion stability. 164 The analyses were carried out using specific cuvettes with a radius position of 129.5 mm 165 166 and based on results, it was possible to predict the shelf life of the NE samples from following equation (1) (Goto et al., 2017): 167

168 Shelf life (seconds) = Measurement time x Relative Centrifugal Force (1)

170 **2.6 Incorporation efficiency**

1,8-cineole was determined using a UV spectrophotometric method described by Yin et 171 al. (2021), with slight modifications. Calibration curves were constructed for 1,8-cineole 172 173 in n-hexane at different concentrations (w/v) and their absorbances detected at 250 nm using an Even UV/VIS spectrophotometer (Ionlab, Paraná, Brazil). Total monoterpene 174 amount in the F1 formulation was assessed with the addition of an aliquot of the 175 formulation in n-hexane (1:3, v/v). This mixture was put in an ultrasonic bath at 30 °C 176 177 for 30 min, and then centrifuged at 4,000 rpm for 20 min. Next, the amount of 1,8-cineole was determined in the upper phase using UV spectrophotometric method as mentioned 178 179 above. Free 1,8-cineole amount was determined by measuring the non-incorporated monoterpene present in a clear ultrafiltrate obtained through separation of aqueous phase 180 using an ultrafiltration/ultracentrifugation procedure (Microcon Ultracel YM-100, 181 182 Millipore, Ireland) at 10,583 x g for 60 min at 4 °C (novatecnica, Microcentrifuge NT 183 805, Piracicaba-SP, Brazil). The same procedure used to determine 1,8-cineole in NE 184 formulation was applied to quantify free monoterpene fraction in the dispersion medium 185 (aqueous phase). All analyses were performed in triplicate and the incorporation efficiency (IE %) of 1,8-cineole in F1 formulation was calculated from following equation 186 (2) (Rodrigues et al., 2018): 187

188 IE (%) = $(TCin - FCin/ThCin) \times 100$ (2)

189 Where, TCin is the total 1,8-cineole amount; FCin, free 1,8-cineole amount; ThCin,190 theoretical 1,8-cineole amount.

191

192 2.7 Electron microscopy analysis

193 NE formulation was analyzed using transmission electron microscopy (TEM) technique.

194 Aliquots (~ 100 μ L) of cineole nanoemulsion (F1 formulation) were put onto copper TEM

195 grids and negatively stained with 1 % (w/v) sodium phosphotungstate solution; the excess 196 sample was thoroughly removed by filter paper. After that, the samples were dried at 197 room condition before analyses. Transmission electron micrographs of the samples were 198 taken using a Microscope HT7700 Hitachi (Fukuoka, Japan) operated at accelerating 199 voltage of 80 KV and emission 12 μ A.

200

201 **2.8 Antibacterial activity assay**

202 The minimal bactericidal concentration of free 1,8-cineole and cineole nanoemulsion (F1 formulation) were determined by microdilution techniques in Mueller-Hinton broth 203 (MHB) (CLSI, 2018). Briefly, serial twofold dilutions of free 1,8-cineole and F1 204 205 formulation were carried out in MHB using 96-well microplates. Bacterial suspension (10⁸ CFU mL⁻¹) cultured in TSB at 37 °C for 24 h were inoculated onto wells (200 µL 206 207 final volume). Microplates were incubated at 35 °C for 24 h. Bacterial growth control 208 (MHB+ strain + DMSO 10 %), sterility control (MHB), and positive controls with 209 gentamicin and chlorhexidine digluconate were performed simultaneously on assays. An 210 aliquot of 10 µL of each well was inoculated on Mueller-Hinton Agar (MHA) at 37 °C for 24 h for colonies growth. Minimal bactericidal concentration was defined as the 211 212 lowest 1,8-cineole or F1 concentration that did not allow visible growth on MHA agar. 213 Experiments were performed three times.

214

215 **2.9 Statistical analysis**

All experiments were performed three times and data are expressed as average value (\pm SEM). Statistical analysis was performed by One-way ANOVA followed by Tukey's pairwise comparisons using the Prism GraphPad Software. The statistical significance was set at p <0.05. 220

221 **3. Results and discussion**

In this study, cineole nanoemulsions were prepared using the spontaneous emulsification method as an isothermal approach applied to volatile and hydrophobic organic compounds. In order to prepare colloidal dispersions containing 1,8-cineole, as aqueous phase was used PBS (pH 7.4) while organic phase consisted of a mixture of cineole and MTC (1:1 w/w), and different types of surfactants.

227 For emulsions obtained herein, there was a variation of visual appearance among the fluid nanoemulsified dispersions from slightly turbid with bluish reflection to milky opaque 228 229 dispersion reflecting directly the dispersed phase formed in each colloidal formulation. As shown in Table 2, the main properties of nanoemulsified systems were dependent on 230 231 both surfactant types and organic phase composition. The types of nonionic surfactants 232 used in the formulations demonstrated a considerable influence over droplet average 233 diameter and size distribution of the colloidal dispersions. Hence, the smallest droplets 234 (<115 nm) and with the narrowest size distribution (PdI <0.2 and monomodal) were 235 observed for F1 formulation (p <0.05), which was prepared containing SML-20 and SMO as pair of nonionic surfactants. In contrast, the largest droplets with broader size 236 237 distribution were noticed from dispersion prepared with SMO-20 and SMO surfactants 238 (F3 formulation). Likewise, for those formulations containing only SML-20 or SMO-20 as a surfactant, i.e., F2 and F4 formulations, respectively, the droplet average sizes were 239 240 higher than 150 nm and the droplet size distribution was bimodal with PdI values above 241 0.2. Moreover, when the organic phase composition was altered to contain only MCT carrier oil, the droplet average diameter and size distribution were significantly larger 242 than other NE formulations, as can be particularly observed comparing F1 and F5 243 formulations (p < 0.05). Similarly, the organic phase composition also influenced both 244

droplet size e PdI of NE formulations, e.g., F5 formulation, whose organic phase
consisted entirely of MCT oil presented relatively large droplets (p <0.05). This result is
in accord with earlier research developed by Saberi et al. (2013) in which the increasing
MCT oil concentrations resulted in larger droplets from mini-emulsions. Such influence
might be explained by the significant impact of the MCT carrier oil on surfactant
spontaneous curvature and solubility affecting directly formation of small droplets
(Komaiko and McClements, 2016; Saberi et al., 2014).

252 The mechanism assigned to the formation of small-sized droplets using spontaneous emulsification is directly connected to the fast displacement of surfactant(s) from organic 253 254 phase into aqueous phase. Afterward, both phases come into contact; this mixture results 255 in the rapid diffusion of surfactant(s) from organic to aqueous phase producing turbulence 256 at oil/water interface and consequent formation of fine droplets (Anton and Vandamme, 257 2009; Komaiko and McClements, 2016; Saberi et al., 2013). It is commonly recognized 258 that some factors can affect the formation and stability of nanodroplets designed from 259 spontaneous emulsification approach. Among such factors are organic phase 260 composition, type and concentration of surfactant(s) and/or cosurfactant(s), and conditions related to emulsifying process (Helgeson, 2016; Komaiko and McClements, 261 2016). 262

Zeta (ζ) potential reflects surface charge of droplets and it has been related to stability of colloidal formulations. High surface charge density may imply NE's long-term physical stability due to relevant repulsive electrostatic forces among the droplets preventing rapid aggregation and flotation of dispersed phase (McClements and Gumus, 2016; Singh et al., 2017). All NE formulations presented significant negative values of zeta potential (< - 20 mV, Table 2) which may be related to free ionized groups from components of organic phase. It is worth mentioning that additionally electrostatic stabilization of the 270 dispersed phase, the nanoemulsion physical stability is also a result of van der Waals,

hydrophobic, and steric interactions among droplets (Gupta et al., 2016; Helgeson, 2016;

272 McClements and Gumus, 2016; Singh et al., 2017).

273

274 **Table 2.**

275 Droplet size (hydrodynamic diameter), polydispersity index (PdI), and ζ potential of NE

276 formulations (average \pm SEM, n = 3).

| NE | Size (nm) | PdI | ζ potential (mV) |
|----|----------------------------|-----------------------------|-------------------|
| F1 | 111.5 (±0.79) ^a | 0.182 (±0.007) ^b | - 36.75 (±0.33) |
| F2 | 203.4 (±6.68) | 0.303 (±0.017) | -29.43 (±0.54) |
| F3 | 212.8 (±8.67) | 0.223 (±0.004) | - 38.60 (±0.17) |
| F4 | 159.3 (±1.28) | 0.240 (±0.015) | - 19.75 (±0.36)° |
| F5 | 264.5 (±1.17) | 0.321 (±0.014) | $-42.02(\pm0.42)$ |

ap < 0.05 compared to F2, F3, F4, and F5 formulations concerning size.

 $^{b}p < 0.05$ compared to F2, F3, F4, and F5 formulations concerning PdI.

 $^{c}p < 0.05$ compared to F1, F2, F3, and F5 formulations concerning ζ potential.

280

281 Once nanosized oil droplets are formed, it is essential that their stability can be assessed 282 throughout the formulation lifetime. In this study, centrifugal separation analysis with 283 photometric detection enabled physical stability assessment of the NE formulations. The analytical photocentrifugation method is based on the measurement of droplet migration 284 by applying a centrifugal force and photometric detection using near-infrared (NIR) light. 285 286 This light passes throughout the sample and then the transmitted light intensity is detected by sensors forming profiles of light transmission as a function of time and position. The 287 288 shape and gradual change process of the light transmission profiles through tested samples allow the analysis of separation kinetics of dispersed phase from continuous
phase in colloidal dispersions enabling a comparison of long-term stability among NE
formulations (Fernandes et al., 2017). For each NE sample, 255 transmission profiles
were recorded in intervals of 65 s, and flotation velocities of oil droplets from undiluted
samples of the NE were determined at 25 °C (Table 3).

Fig. 1 shows NIR transmission profiles obtained for F1 and F5 formulations while Fig. 2 294 shows transmission profiles for F2, F3, and F4 formulations. F1 and F5 formulations 295 296 presented similar transmission profiles (Fig. 1) with the lowest clarification process, i.e., minimal phase separation due to the dispersed phase's flotation. These results were 297 298 confirmed by flotation velocity obtained for F1 and F5; for these two formulations, the flotation velocities were the lowest among all samples as well as a narrower velocity 299 300 distribution was also observed with only slight speed variation of droplet migration 301 between D10 % and D90 % as shown in Table 3. Thus, we considered that F1 and F5 302 were the most stable NE formulations. Moreover, this result confirms that the inclusion 303 of 1,8-cineole in the nanoemulsified system could not alter long-term formulation 304 stability. Meanwhile, F2, F3, and F4 formulations showed a strong clarification behavior (Fig. 2) resulting in a significant creaming separation process and a wider distribution of 305 droplet flotation velocity when compared with F1 and F5. These findings indicate that a 306 307 substantial droplet aggregation might take place during the storage period for F2, F3, and F4 formulations resulting in more fast flotation due to larger oil droplets and/or their 308 309 aggregates in the colloidal dispersion. In spite of different droplet sizes between F1 and 310 F5 formulations, the packing of surfactant molecules adsorbed could result in a shielding coating in the oil droplets preventing aggregation of the dispersed phase and consequent 311 improvement physical stability of these formulations. Therefore, such an outcome may 312 313 be attributed to the surfactant monolayer's optimum curvature formed at oil/water



interface of droplets in the F1 and F5 nanoemulsions (Komaiko and McClements, 2015;



316

317

Fig. 1. Normalized NIR transmission profiles obtained with cineole-containing NE (F1) and unloaded NE (F5) formulations by accelerated stability analysis during analytical centrifugation. The first and the last registered profiles are shown in red and in green, respectively.

The main destabilization mechanisms of nanoemulsified systems are related to close contact among droplets which may result in flocculation, Ostwald ripening, coalescence, and lastly phase separation. Thus, any aggregation process of the dispersed phase may trigger such instabilities and, consequently, the coarsening of the colloidal formulations (Gupta et al., 2016; Helgeson, 2016; Singh et al., 2017). In this study, it seems reasonable to assume that the adsorbed layer of SML-20 and SMO surfactants on the oil droplets

increased the steric stabilization of F1 and F5 formulations avoiding oil droplet 329 aggregation. It is also important to note that the addition of MCT carrier oil to formulation 330 could prevent droplets' growth caused by instability primary mechanism of 331 332 nanoemulsions known as Ostwald ripening, which is characterized as the mass transfer from smaller to the larger oil droplets (Gupta et al., 2016; Helgeson, 2016). Furthermore, 333 considering that there was no signal of instability for F1 and F5 formulations as well as 334 this analysis at 3,618 rpm for 4.5 h corresponded to a predicted shelf life of 12 months, 335 336 we could consider F1 and F5 NE as stable formulations for a such period of time. Accordingly, in view of all results described above, concerning NE formulation having 337 338 the smallest oil droplets and narrow size distribution along with improved physical stability, we selected F1 formulation for further studies. Moreover, as expected the 339 assessment of the incorporation efficiency of 1,8-cineole in NE formulation (F1) 340 341 confirmed high entrapment (86.3 % ±0.87) into dispersed phase mainly due to limited 342 water solubility of this monoterpene.

343

344 **Table 3.**

345 Distribution (D) of velocity (µm s⁻¹) from droplet flotation of NE formulations measured
346 at 25 °C using analytical photocentrifugation.

| NE | D10 % | D50 % | D90 % | Mean velocity |
|----|-----------------------|-----------------------|-----------------------|------------------|
| | (µm s ⁻¹) | (µm s ⁻¹) | (µm s ⁻¹) | (±S.D.) |
| F1 | 1.280 | 1.619 | 2.290 | 1.634 (±0.377) |
| F2 | 1.605 | 89.190 | 260.551 | 4.462 (±104.703) |
| F3 | 1.510 | 3.106 | 199.099 | 3.140 (±82.126) |
| F4 | 1.820 | 168.326 | 268.696 | 8.540 (±94.195) |
| F5 | 1.291 | 1.641 | 2.279 | 1.645 (±0.364) |
| | | | | |



348

Fig. 2. Normalized NIR transmission profiles obtained with F2, F3, and F4 NE formulations by accelerated stability analysis during analytical centrifugation. The first and the last registered profiles are shown in red and in green, respectively.

352

Transmission electron microscopy (TEM) has been considered a successful technique in order to analyze size and shape droplets, size distribution, and agglomeration tendency of the dispersed phase in nanoemulsified systems (Falsafi et al., 2020). TEM analyses were carried out for F1 formulation and images are depicted in Fig. 3. As can be noted from
photomicrographs, throughout F1 formulation non-aggregated oil droplets with irregular
spherical shapes were observed. Furthermore, oil droplet sizes viewed by TEM technique
were similar to those measured by dynamic light scattering analysis. All these findings
confirm the presence of nanosized oil droplets with uniform size distribution in the F1
formulation and its enhanced physical stability as previously related.

362



364 Fig. 3. TEM photomicrographs of cineole-containing NE (F1 formulation).
365 Magnifications (A) x7.0K, (B) x12.0K, and (C) x20.0K.

366

363

The potential activity of free 1,8-cineole and cineole NE (F1 formulation) against 367 Staphylococcus aureus, Enterococcus faecalis, and Streptococcus pyogenes strains was 368 369 assessed using the broth microdilution method. As depicted in Table 4, the bactericidal 370 concentrations of nanoemulsion containing 1,8-cineole were 2-fold lower than those found for free 1,8-cineole. More specifically, S. aureus and E. faecalis were more 371 372 sensitive to the cineole NE when compared to free monoterpene, i.e., nanoemulsified 373 cineole was the most effective in killing these two bacteria. Meanwhile, cineole nanoemulsion was only slightly more active against S. pyogenes in comparison with free 374 cineole (Table 4). Both gentamicin (1.66 mg mL⁻¹) and chlorhexidine digluconate (1.2 375

mg mL⁻¹) were found to be more active than pure cineole or cineole-NE against Grampositive bacteria.

Unlike these findings herein about free and nanoemulsified cineole against Gram-positive 378 379 strains, Harkat-Madouri et al. (2015) found that the essential oil of Eucalyptus globulus, in which the major compound was 1,8-cineole, was more active against Gram-negative 380 strains. Despite this, it is claimed that EO are relatively less active against Gram-negative 381 than Gram-positive microorganisms due to the uppermost layer of lipopolysaccharide 382 383 present on Gram-negative bacteria, thus limiting the diffusional process of lipophilic molecules (Harkat-Madouri et al., 2015; Prakash et al., 2018a). Furthermore, the 384 385 enhanced antibacterial activity from nanoemulsified cineole could mainly be related to the increased surface area from ultra-small sized droplets in the nanoemulsion 386 387 formulation allowing passive transport through the outer cell membrane (Prakash et al., 388 2018a).

389

390 Table 4.

391 Minimal bactericidal concentrations of free cineole and cineole-containing nanoemulsion

392 (F1 NE formulation) against Gram-positive bacteria.

| Bacterial strains | Free cineole (mg mL ⁻¹) | Cineole-NE (mg mL ⁻¹) |
|------------------------|-------------------------------------|-----------------------------------|
| Staphylococcus aureus | 28.6 | 14.0 |
| Enterococcus faecalis | 28.6 | 14.0 |
| Streptococcus pyogenes | 28.6 | 24.0 |

393

4. Conclusions

In summary, we have designed nanoemulsions containing 1,8-cineole using low energyemulsification, which is commonly known as spontaneous emulsification method. The

most stable nanoemulsion formulation (F1) consisted mainly of very small sized droplets 397 with monomodal size distribution, and negative zeta potential. Stability study 398 demonstrated that F1 formulation could be considered stable with lower droplet migration 399 400 velocity. In addition, high incorporation efficiency of 1,8-cineole into F1 formulation was 401 demonstrated and also the photomicrographs from TEM analysis confirmed that F1 formulation was formed by nanosized droplets and no aggregation tendency. 402 Antibacterial activity of cineole nanoemulsion against S. aureus and E. faecalis was 403 404 enhanced (2-fold) compared to free 1,8-cineole. Hence nanoemulsified dispersions could be considered like a relevant lipid nanocarrier applied for inclusion of hydrophobic 405 406 terpenic compounds. Moreover, these results encourage further studies about antibacterial activity of nanoemulsified cineole on preservation of food, cosmetic, and pharmaceutical 407 408 products.

409

410 Acknowledgments

411 This study was supported by CAPES/COFECUB agreement (#Ph-C 859-15).

412

413 **References**

414 Adak, T., Barik, N., Patil, N.B., Govindharaj, G.-P.-P., Gadratagi, B.G., Annamalai, M.,

415 Mukherjee, A.K., Rath, P.C., 2020. Nanoemulsion of eucalyptus oil: An alternative to

- 416 synthetic pesticides against two major storage insects (Sitophilus oryzae (L.) and
- 417 Tribolium castaneum (Herbst)) of rice. Ind. Crop. Prod. 143, Article 111849.
 418 https://doi.org/10.1016/j.indcrop.2019.111849.
- 419 Ali, B., Ali Al-Wabel, N., Shams, S., Ahamad, A., Alam Khan, S., Anwar, F., 2015.
- 420 Essential oils used in aromatherapy: A systemic review. Asian Pac. J. Trop. Biomed. 5,
- 421 601–611. <u>http://dx.doi.org/10.1016/j.apjtb.2015.05.007</u>.

- 422 Anton, N., Vandamme, T.F., 2009. The universality of low-energy nano-emulsification.
- 423 Int. J. Pharm. 377, 142–147. <u>http://dx.doi.org/10.1016/j.ijpharm.2009.05.014</u>.
- 424 Anton, N., Vandamme, T.F., 2011. Nano-emulsions and Micro-emulsions: Clarifications
- 425 of the Critical Differences. Pharm. Res. 28, 978–985. <u>http://dx.doi.org/10.1007/s11095-</u>
 426 010-0309-1.
- 427 Asbahani, A.E., Miladi, K., Badri, W., Sala, M., Aït Addi, E.H., Casabianca, H.,
- 428 Mousadik, A.E., Hartmann, D., Jilale, A., Renaud, F.N.R., Elaissari, A., 2015. Essential
- 429 oils: From extraction to encapsulation. Int. J. Pharm. 483, 220–243.
 430 http://dx.doi.org/10.1016/j.ijpharm.2014.12.069.
- Bakkali, F., Averbeck, S., Averbeck, D., Idaomar, M., 2008. Biological effects of
 essential oils A review. Food Chem. Toxicol. 46, 446–475.
 http://dx.doi.org/10.1016/j.fct.2007.09.106.
- 434 Bilia, A.R., Guccione, C., Isacchi, B., Righeschi, C., Firenzuoli, F., Bergonzi, M.C., 2014.
- 435 Essential Oils Loaded in Nanosystems: A Developing Strategy for a Successful
- 436 Therapeutic Approach. Evid.-Based Complementary Altern. Med. Article 651593.
- 437 <u>http://dx.doi.org/10.1155/2014/651593</u>.
- 438 CLSI, (2018). Clinical and Laboratory Standards Institute (CLSI). Methods for dilution
- 439 antimicrobial susceptibility tests for bacteria that grow aerobically. 11th ed. CLSI
- 440 standard M07. Wayne, PA USA.
- 441 De Vincenzi, M., Silano, M., De Vincenzi, A., Maialetti, F., Scazzocchio, B., 2002.
- 442 Constituents of aromatic plants: eucalyptol. Fitoterapia 73, 269–275.
 443 https://doi.org/10.1016/S0367-326X(02)00062-X.
- Falsafi, S.R., Rostamabadi, H., Assadpour, E., Jafari, S.M., 2020. Morphology and
 microstructural analysis of bioactive-loaded micro/ nanocarriers via microscopy

- techniques; CLSM/SEM/TEM/AFM. Adv. Colloid Interface Sci. 280, Article 102166.
 https://doi.org/10.1016/j.cis.2020.102166.
- 448 Fernandes, A.R., Ferreira, N.R., Fangueiro, J.F., Santos, A.C., Veiga, F.J., Cabral, C.,
- 449 Silva, A.M., Souto, E.B., 2017. Ibuprofen nanocrystals developed by 2^2 factorial design
- 450 experiment: A new approach for poorly water-soluble drugs. Saudi Pharm. J. 25, 1117–
- 451 1124. <u>http://dx.doi.org/10.1016/j.jsps.2017.07.004</u>.
- 452 Goto, P.L., Siqueira-Moura, M.P., Tedesco, A.C., 2017. Application of aluminum
- 453 chloride phthalocyanine-loaded solid lipid nanoparticles for photodynamic inactivation
- 454 of melanoma cells. Int. J. Pharm. 518, 228–241.
 455 https://doi.org/10.1016/j.ijpharm.2017.01.004.
- 456 Gupta, A., Eral, H.B., Hatton, T.A., Doyle, P.S., 2016. Nanoemulsions: formation,
- 457 properties and applications. Soft Matter 12, 2826–2841.
 458 <u>https://doi.org/10.1039/c5sm02958a</u>.
- Hammoud, Z., Gharib, R., Fourmentin, S., Elaissari, A., Greige-Gerges, H., 2019. New 459 460 findings on the incorporation of essential oil components into liposomes composed of 461 lipoid S100 and cholesterol. Int. J. Pharm. 561, 161–170. https://doi.org/10.1016/j.ijpharm.2019.02.022. 462
- Harkat-Madouri, L., Asma, B., Madani, K., Said, Z.B.-O.S., Rigou, P., Grenier, D., 463 Allalou, H., Remini, H., Adjaoud, A., Boulekbache-Makhlouf, L., 2015. Chemical 464 composition, antibacterial and antioxidant activities of essential oil of Eucalyptus 465 466 globulus from Algeria. Ind. Crop. Prod. 78, 148–153. http://dx.doi.org/10.1016/j.indcrop.2015.10.015. 467
- Harwansh, R.K., Deshmukh, R., Rahman, M.A., 2019. Nanoemulsion: Promising
 nanocarrier system for delivery of herbal bioactives. J. Drug. Deliv. Sci. Tec. 51, 224–
 233. https://doi.org/10.1016/j.jddst.2019.03.006.

- 471 Helgeson, M.E., 2016. Colloidal behavior of nanoemulsions: Interactions, structure, and
- 472 rheology. Curr. Opin. Colloid Interface Sci. 25, 39–50.
 473 http://dx.doi.org/10.1016/j.cocis.2016.06.006.
- 474 Komaiko, J., McClements, D.J., 2015. Low-energy formation of edible nanoemulsions
- 475 by spontaneous emulsification: Factors influencing particle size. J. Food Eng. 146, 122–
- 476 128. <u>http://dx.doi.org/10.1016/j.jfoodeng.2014.09.003</u>.
- 477 Komaiko, J.S., McClements, D.J., 2016. Formation of Food-Grade Nanoemulsions Using
- 478 Low-Energy Preparation Methods: A Review of Available Methods. Compr. Rev. Food
- 479 Sci. Food Saf. 15, 331–352. <u>https://doi.org/10.1111/1541-4337.12189</u>.
- Matos, S.P., Lucca, L.G., Koester, L.S., 2019. Essential oils in nanostructured systems:
 Challenges in preparation and analytical methods. Talanta 195, 204–214.
 https://doi.org/10.1016/j.talanta.2018.11.029.
- McClements, D.J., Gumus, C.E., 2016. Natural emulsifiers Biosurfactants,
 phospholipids, biopolymers, and colloidal particles: Molecular and physicochemical
 basis of functional performance. Adv. Colloid Interface Sci. 234, 3–26.
 <u>http://dx.doi.org/10.1016/j.cis.2016.03.002</u>.
- 487 Prakash, A., Baskaran, R., Paramasivam, N., Vadivel, V., 2018a. Essential oil based
- 488 nanoemulsions to improve the microbial quality of minimally processed fruits and
- 489 vegetables: A review. Food Res. Int. 111, 509–523.
 490 https://doi.org/10.1016/j.foodres.2018.05.066.
- 491 Prakash, B., Kujur, A., Yadav, A., Kumar, A., Singh, P.P., Dubey, N.K., 2018b.
 492 Nanoencapsulation: An efficient technology to boost the antimicrobial potential of plant
 493 essential oils in food system. Food Control 89, 1–11.
- 494 <u>https://doi.org/10.1016/j.foodcont.2018.01.018</u>.

- 495 Rodrigues, F.V.S., Diniz, L.S., Sousa, R.M.G., Honorato, T.D., Simão, D.O., Araújo,
- 496 C.R.M., Gonçalves, T.M., Rolim, L.A., Goto, P.L., Tedesco, A.C., Siqueira-Moura, M.P.,
- 497 2018. Preparation and characterization of nanoemulsion containing a natural
 498 naphthoquinone. Quim. Nova 41, 756–761. <u>http://dx.doi.org/10.21577/0100-</u>
 499 4042.20170247.
- 500 Saberi, A.H., Fang, Y., McClements, D.J., 2013. Fabrication of vitamin E-enriched 501 nanoemulsions: Factors affecting particle size using spontaneous emulsification. J.
- 502 Colloid Interface Sci. 391, 95–102. <u>http://dx.doi.org/10.1016/j.jcis.2012.08.069</u>.
- 503 Saberi, A.H., Fang, Y., McClements, D.J., 2014. Stabilization of vitamin E-enriched
- 504 mini-emulsions: Influence of organic and aqueous phase compositions. Colloids Surf. A
- 505 Physicochem. Eng. Asp. 449, 65–73. <u>http://dx.doi.org/10.1016/j.colsurfa.2014.02.042</u>.
- 506 Safaya, M., Rotliwala, Y.C., 2020. Nanoemulsions: A review on low energy formulation
- 507 methods, characterization, applications and optimization technique. Mater. Today-Proc.
- 508 27, 454–459. <u>https://doi.org/10.1016/j.matpr.2019.11.267</u>.
- 509 Salehi, B., Sharifi-Rad, J., Quispe, C., Llaique, H., Villalobos, M., Smeriglio, A.,
- 510 Trombetta, D., Ezzat, S.M., Salem, M.A., Zayed, A., Castillo, C.M.S., Yazdi, S.E., Sen,
- 511 S., Acharya, K., Sharopov, F., Martins, N., 2019. Insights into Eucalyptus genus chemical
- 512 constituents, biological activities and health-promoting effects. Trends Food Sci.
- 513 Technol. 91, 609–624. <u>https://doi.org/10.1016/j.tifs.2019.08.003</u>.
- 514 Singh, Y., Meher, J.G., Raval, K., Khan, F.A., Chaurasia, M., Jain, N.K., Chourasia,
- 515 M.K., 2017. Nanoemulsion: Concepts, development and applications in drug delivery. J.
- 516 Control. Release 252, 28–49. <u>http://dx.doi.org/10.1016/j.jconrel.2017.03.008</u>.
- 517 Slamenova, D., Horvathova, E., 2013. Cytotoxic, anti-carcinogenic and antioxidant 518 properties of the most frequent plant volatiles. Neoplasma 60, 343–354.
- properties of the most negative plant volutions. Reophisma oo, 515 55
- 519 <u>https://doi.org/10.4149/neo_2013_046</u>.

- 520 Solans, C., Solé, I., 2012. Nano-emulsions: Formation by low-energy methods. Curr.
- 521 Opin. Colloid Interface Sci. 17, 246–254. <u>https://doi.org/10.1016/j.cocis.2012.07.003</u>.
- 522 Yin, H., Wang, C., Yue, J., Deng, Y., Jiao, S., Zhao, Y., Zhou, J., Cao, T., 2021.
- 523 Optimization and characterization of 1,8-cineole/hydroxypropyl-β-cyclodextrin inclusion
- 524 complex and study of its release kinetics. Food Hydrocoll. 110, 106159.
- 525 https://doi.org/10.1016/j.foodhyd.2020.106159.
- 526 Zhao, T., Maniglio, D., Chen, J., Chen, B., Migliaresi, C., 2016. Development of pH-
- 527 sensitive self-nanoemulsifying drug delivery systems for acid-labile lipophilic drugs.
- 528 Chem. Phys. Lipids 196, 81–88. <u>https://doi.org/10.1016/j.chemphyslip.2016.02.008</u>.