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### Collisional line broadening and mixing in the Raman spectrum of CO perturbed by N<sub>2</sub>: experimental measurements and theoretical calculations

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#### Abstract

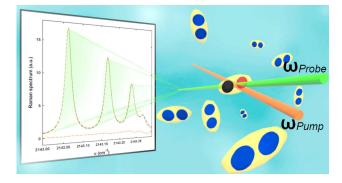
We present a joint experimental and theoretical study of line broadening and mixing in the Q branch of the Raman spectrum of CO perturbed by N<sub>2</sub> at 77, 195 and 298 K. Advanced methods and facilities have been used for both aspects of the study: the experiments were conducted using a high-resolution stimulated Raman spectroscopy setup, while the calculations were performed using a quantum dynamical formalism and one of the most recent potential energy surfaces available. Line broadening coefficients were determined experimentally for rovibrational lines up to j = 11 at 77 K, j = 15 at 195 K and j = 19 at 298 K, and calculated for lines up to j = 6 at the three temperatures. Line mixing was also observed and coefficients measured for lines up to j = 8 at 77 K and j = 5 at 195 K, and again calculated up to j = 6 at the three temperatures. A comparison shows an overall very good agreement between experiments and calculations for both sets of coefficients. Additional calculated data for the pure rotational R and S lines are also provided.

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#### **Graphical Abstract**



#### Keywords:

Collisional Broadening, Line Mixing, Stimulated Raman Spectroscopy, Quantum Dynamical Calculations, CO-N<sub>2</sub>

#### 1 1. Introduction

The  $CO-N_2$  collisional system has been the object of numerous studies in 2 the last decades. One of the attractions of the system lies in the possible for-3 mation of the  $CO-N_2$  dimer and the study of its rovibrational energy levels, 4 topic that has seen a renewed interest in the last 10 years [1-4]. However, а 5 most of the attention this collisional system has received stems from its pres-6 ence in a number of different environments and from the information that 7 studies of its spectral features can provide about them. The closest of these 8 environments is the Earth's atmosphere, mainly constituted by  $N_2$  but with 9 a trace presence of CO. The role of CO in different atmospheric processes like 10 the CO-OH-CH<sub>4</sub> cycle [5, 6], one of the self-cleansing processes of the tro-11 posphere, coupled with the fact that part of this atmospheric CO originates 12 from anthropogenic emissions [5], has attracted considerable interest. 13

Besides Earth, the presence of CO has been reported also in some bodies 14 of our solar system with weak atmospheres that are covered by different 15 ices. The sublimation of these ices produces atmospheres in which both CO 16 and  $N_2$  are present. This is the case of Pluto [7], Titan [8] and Triton [9], 17 in which significant amounts of both molecules have been found by infrared 18 spectroscopy. Furthermore, in exoplanetary atmospheres such as those of HD 19 209458b [10], HD 189733b [11] and WASP-12b [12], among other exoplanets 20 [13], CO has also been detected. The possibility that CO plays a role in the 21

formation of planetary hazes in these types of atmosphere has been explored[14].

Given that most of the measurements used for the remote determina-24 tion of concentrations of molecular species, be it in the Earth's atmosphere, 25 an exoplanetary atmosphere or an interstellar cloud, are obtained by spec-26 troscopic means, both ground- and satellite-based, and that the shape of 27 molecular spectra strongly depends on temperature and pressure changes, it 28 is critical to have accurate knowledge of the pressure broadening and shift co-29 efficients of the species under study at different temperatures for a successful 30 extraction of the information contained in these spectra. 31

Other than atmospheric and astrophysical studies, there is also a number 32 of technological applications in which the  $CO-N_2$  system is involved. The 33 most important one is probably combustion studies: the technique of coher-34 ent anti-Stokes Raman spectroscopy (CARS) is widely used for temperature 35 measurement in combustion environments, usually through monitorization 36 of the CARS spectrum of  $N_2$  [15, 16], but also for the determination of the 37 concentration of species like CO [16], which often appear as minor combus-38 tion products. CARS has been used to determine temperatures and products 39 concentration through monitorization of CO and  $N_2$  spectra in combustion 40 engines [17], diagnosis of solid propelants [18] or jet diffusions flames [19] 41 among other combustion applications. Again, a good knowledge of the spec-42 tra of these molecules at different temperatures and pressures is key for the 43 interpretation of the experimental data. 44

Due to the weak dipole moment of the CO molecule, the CO-N<sub>2</sub> collisional 45 system can be studied using infrared (IR) spectroscopy. This is reflected in 46 the large number of studies, both experimental and theoretical, performed 47 on the P and R branches of the fundamental vibration of CO perturbed by 48  $N_2$  carried out in the last decades. In particular, Nakazawa et al. [20, 21] 49 studied the R branch of CO self-perturbed and perturbed by  $N_2$  at several 50 temperatures. Drascher et al. [22] measured the collisional broadenings and 51 shifts of R-branch lines perturbed by Ar, N<sub>2</sub>, O<sub>2</sub> and H<sub>2</sub>. Predoi-Cross et al. 52 [23] studied the broadening and shifting of lines in the P and R branches 53 of the rovibrational spectrum of CO perturbed by  $N_2$  at 348 K. Besides 54 the fundamental vibrational band, broadenings, shifts and asymmetries have 55 also been measured in other IR-active bands like the  $v=0 \rightarrow 2$  [24] and 56  $v=0 \rightarrow 3$  [25] overtones. There are also a number of other works on specific 57 pure rotational transitions such as  $j = 0 \rightarrow 1$  [26–29],  $j = 1 \rightarrow 2$  [29, 30], 58  $j = 2 \rightarrow 3$  [29, 31],  $j = 3 \rightarrow 4$  [29], and  $j = 4 \rightarrow 5$  [32, 33].

The only *ab initio* determination of CO linewidth parameters up to today was performed recently by Jóźwiak et al. [34] for the pure rotational R(0)line. The dynamical calculations to this end were performed on three recent potential energy surfaces (PES) for the CO-N<sub>2</sub> interacting pair [2–4]. We refer the reader to the numerous studies devoted to the R lines of CO perturbed by N<sub>2</sub> listed in Ref. [34].

Compared to the number of IR studies, experimental studies of CO per-66 turbed by  $N_2$  by means of Raman spectroscopy are scarce in the bibliography, 67 and nearly all of them focus on pure rotational transitions. Afzelius et al. [35] 68 obtained rotational CARS spectra in  $CO-N_2$  mixtures in order to compare 69 the experimental linewidths with the ones predicted by their model. More 70 recently, collisional line broadening measurements on the pure rotational S 71 branch of the Raman spectrum of CO perturbed by  $N_2$  were performed by 72 Hsu et al. [36]. An experimental study closer to the one presented in this 73 article was conducted years ago by Roblin et al. [37]. CARS was used to 74 record the Q branch of the fundamental vibration of CO perturbed by  $N_2$ . 75 The experiment, however, was performed at pressures between 1 and 100 76 atm, a much higher regime than the one explored in the present work, and 77 the authors did not attempt to obtain experimental broadening or mixing 78 coefficients. 79

Theoretical studies of line broadening and mixing coefficients for rovibra-80 tional Raman lines in this collisional system are also scarce. In the work 81 of Roblin *et al.* [37], the transfer of intensity between lines due to line 82 mixing was modeled via the semi-empirical modified energy gap law, the 83 parameters of which were adjusted on the linewidths derived from the semi-84 classical method of Robert-Bonamy. The most recent calculation of Raman 85 line broadening coefficients to date was performed by Afzelius et al. [38]. 86 Using the Robert-Bonamy formalism, the authors calculated line broaden-87 ing coefficients for the isotropic Raman Q branch lines of the fundamental 88 vibration of CO perturbed by  $N_2$ , as well as for infrared R lines. 89

This work presents a study, both experimental and theoretical, of line broadening and mixing in the Raman spectrum of the fundamental vibrational band of CO perturbed by N<sub>2</sub> at 77, 195 and 298 K. Collisional line broadening and line mixing coefficients for the rovibrational lines in the Q branch of the band have been obtained by means of high resolution Raman spectrocopy and quantum dynamical calculations at three different temperatures. Both approaches are described in detail in the following sections.

#### 97 2. Experimental part

#### 98 2.1. Methods

The spectral line profiles analyzed in this work belong to rovibrational 99 lines of the Q branch ( $\Delta j = 0$ ) in the Raman spectrum of the fundamental vi-100 bration (v=  $0 \rightarrow v= 1$ ) of the molecule of CO perturbed by collisions with N<sub>2</sub>. 101 The high resolution Raman spectra required for these analyses were obtained 102 by means of an experimental setup based on the technique of stimulated Ra-103 man spectroscopy (SRS). SRS was initially demonstrated by Owyoung with 104 continuous (cw) laser sources [39], but the relatively low sensitivity of the 105 technique led to the development of the quasi-continuus (quasi-cw) scheme 106 in which one cw and one pulsed laser source are used [40, 41]. Our setup 107 follows this quasi-cw scheme. Through the years, different variations of the 108 experimental setup have been used in our laboratory to obtain high resolution 109 Raman spectra of rovibrational and pure rotational transitions for frequency 110 [42], line profile [43] and even collisional energy transfer [44] studies. For the 111 present work we set up a configuration similar to the one described in [45], 112 with a number of relevant differences and modifications that are described 113 in detail in the following paragraphs. An updated schematic layout of our 114 setup is depicted in Fig. 1. 115

The cw probe beam is generated by a single-mode  $Ar^+$  ion laser (Spectra-116 Physics 2080) tuned to the 529 nm emission line. The laser is frequency-117 stabilized and actively locked to a molecular reference, a hyperfine component 118 of the electronic absorption spectrum of  $^{130}\text{Te}_2$  in the vapor phase. The tran-119 sition at 18909.44611 cm<sup>-1</sup>, measured in our laboratory [46], has been used 120 as reference throughout this work. The system is able to reach a linewidth, 121 determined by the residual jitter, lower than 500 kHz  $(1.7 \times 10^{-5} \text{ cm}^{-1})$ . The 122 output power of the laser is set to  $\sim 500$  mW. 123

The pump beam is obtained by pulsed optical amplification of a cw tun-124 able seed generated by a single-mode cw ring dye laser (Sirah Mattisse DS), 125 operated with a solution of Rhodamine 6G for this experiment. A three-stage 126 pulsed dye amplifier (Quanta-Ray PDA-1) with a solution of Kiton Red and 127 pumped by the second harmonic of a 10 Hz Nd:YAG laser is used for the 128 optical amplification of the cw seed. The resulting tunable pulses have near-129 Gaussian temporal and spectral shapes with  $\sim 12$  ns of temporal full width 130 at half maximum (FWHM) and  $\sim 70$  MHz of spectral FWHM. Pulse energies 13: of several tens of mJ can be reached. 132

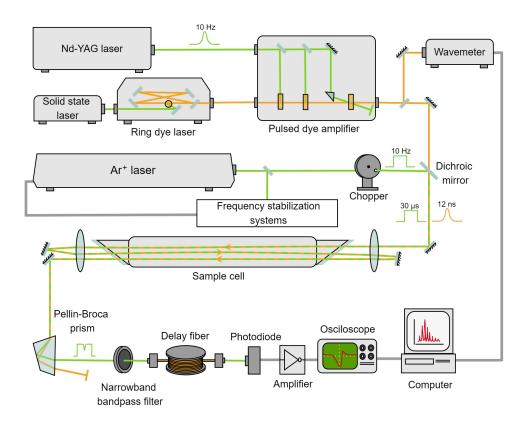


Figure 1: Schematic experimental setup of the stimulated Raman loss experiment. For the sake of simplicity some of the elements mentioned in the text have been omitted.

Raman frequencies are obtained at any point by simple substraction of 133 the frequencies of the probe and pump beams. The frequency of the locked 134 probe beam is well known and kept constant throughout the experiment, 135 while the frequency of the tunable pump beam needs to be measured at 136 every point (pulse). This task is performed by a high accuracy wavemeter 137 (HighFinesse WSU-10), which provides a nominal accuracy of 10 MHz  $(3\sigma)$ 138 and is periodically calibrated using as a reference radiation a fraction of the 139 frequency-locked probe beam. 140

Two different sample cells were used for this work, one made of Pyrex glass and the other one of stainless steel, in order to reach the various sample temperatures at which spectra were recorded. The Pyrex cell, consisting of a central cylindrical sample chamber and surrounding coolant and isolation chambers, has already been described in prior works [45, 47]. In the present

one it was used for the obtention of the 77 K spectra, with liquid nitrogen 146 as coolant, and of the 298 K spectra. The stainless steel cell follows a simi-147 lar design philosophy: an inner sample chamber of cylindrical shape with a 148 length of  $\sim 90$  cm, an internal diameter of 2.5 cm and ultraviolet fused silica 149 (UVFS) windows installed on both ends at Brewster's angle. The central 150 part of this cylinder, up to a few centimeters from each end, is enclosed by a 151 second, cuboidal-shaped stainless steel structure with its top face open (i.e. a 152 stainless steel "box"). This box acts as a container for the coolant and allows 153 the central body of the cell to be submerged in it. The outside of the box is 154 insulated with a layer of styrofoam. This cell was used for the obtenton of 155 the 195 K spectra with dry ice as coolant. 156

Prior to its arrival to the sample cell, the probe beam goes through an elec-157 tromechanical modulator ("chopper") synchronized with the Nd:YAG laser 158 clock to produce square "pulses" of 30  $\mu$ s width at a repetition rate of 10 159 Hz to avoid saturation of the detection photodiode. The probe and pump 160 beams, both vertically polarized, are spatially overlapped by means of a 161 dichroic mirror, focused into the sample cell by an f=500 mm lens and rec-162 ollimated by a similar lens after the cell. A triple pass is used to increase 163 the sensitivity of the experiment. After this, the two beams are separated 164 using two Pellin-Broca prisms. The pump beam is dumped, while the probe 165 beam passes through a narrowband bandpass filter (Semrock MaxLine LL01-166 532-12.5) to block any residual pump light and is injected into a 100 m long 167 multimode fiber. The role of the fiber is to introduce a delay of  $\sim 400$  ns 168 in the detection of the Raman signal being carried by the probe beam, so 169 that this detection and measurement stage is not affected by the electrical 170 noise associated to the Q-switch electronics of the Nd:YAG laser. At the 171 output of the fiber, the probe radiation is refocused on the surface of a fast 172 PIN photodiode (EG&G FND-100). The electrical output of this photodiode 173 passes through a high-pass filter that removes the DC components and is fed 174 to a transimpedance amplifier (FEMTO HCA-400M-5K-C), whose output is 175 sent to a digital oscilloscope (Tektronix DPO7254) operating in Fast Frame 176 (hardware-driven) mode. The oscilloscope, triggered synchronously by the 177 Nd:YAG laser clock, digitizes and stores all the traces. Each one of these 178 traces contains information about variations in the intensity of the probe 179 laser in the temporal window corresponding to its interaction with a pump 180 laser pulse inside the sample cell. Analyses of these data are performed in a 18: separate computer with code specifically developed by us using the Matlab 182 package, which carries out the necessary numerical integration of the traces 183

as well as additional noise filtering, frequency assignment to each data point
and other postprocessing tasks to produce the final Raman spectrum.

<sup>186</sup> CO and N<sub>2</sub> were provided by Air Liquide with purities >99.997% and <sup>187</sup> >99.999% respectively. Prior to the obtention of CO-N<sub>2</sub> Raman spectra, <sup>188</sup> several preparation runs were conducted with samples of pure CO at low <sup>189</sup> pressures (2 to 8 mbar) and at the three temperatures of interest. These <sup>190</sup> runs accomplished two main objectives:

• The determination of the Gaussian contribution present in the line pro-191 files at each one of these temperatures. To this end, the experimental 192 lines were fitted with Voigt profiles, and the results confirmed a minimal 193 Lorentzian contribution, as expected at these pressures, and a domi-194 nant Gaussian contribution. This Gaussian contribution results from 195 the convolution of the Doppler profile and the apparatus function, both 196 of which are also Gaussian. Since the Doppler contribution for any line 197 at a given temperature can be easily calculated, these measurements 198 also allowed us to extract a value for the width of our Gaussian appa-199 ratus function, which was quantified as  $0.0021\pm0.0001$  cm<sup>-1</sup> FWHM. 200

• The determination of the optimum energy level for the pump pulses. 201 While higher energies produce larger Raman signals, the spectral pro-202 files also start to experience broadening and develop an asymmetry due 203 to the AC Stark effect induced by the intense electromagnetic field of 204 the laser pulses. Using a variable attenuator, trials were conducted at 205 several pump pulse energies, and 10 mJ was determined to be the high-206 est pulse energy past which —under our focusing conditions— Stark 207 broadening started to be detectable in the line profiles. Consequently, 208 all the spectra recorded for this work have been obtained with an energy 209 of 10 mJ/pulse in the pump beam. 210

A CO partial pressure of 5% was used in all the CO-N<sub>2</sub> gas mixtures at all temperatures. The mixtures were prepared *in situ* in the same sample cell used to register the spectra and pressures were monitored at all times by means of capacitive gauges. After performing several tests, the following nominal pressure values were selected at each temperature:

216 217 • At 298 K, spectra were recorded at 20, 50, 80, 120 and 160 mbar of total pressure.

218

• At 195 K, spectra were recorded at 10, 25, 50, 80 and 110 mbar.

• At 77 K, spectra were recorded at 5, 12.5, 20, 30, 40 and 60 mbar.

Every spectrum was recorded at least twice at each nominal pressure. The scan speeds were adjusted according to the width of the spectral lines being registered, typically between 30 and 60 MHz/s. This translated into the experimental line profiles being sampled with between 40 and 60 points per FWHM.

#### 225 2.2. Experimental results

Figure 2 presents three Q-branch spectra of CO perturbed by  $N_2$  recorded 226 in the course of this work, each one of them registered at one of the three 227 selected temperatures. The nominal pressures of the spectra in the figure 228 have been chosen so that the number densities of CO in the sample cell 229 were similar for the three spectra, and they have been normalized to have 230 the same maximum height. A simple visual inspection of the baseline noise 23: reveals how, as temperature is reduced, the signal-to-noise (S/N) ratio of the 232 spectrum improves due to the total population of the v=0 vibrational level 233 being distributed among fewer rotational states. An additional contributing 234 factor is that the peaks in the three spectra being compared get slightly 235 narrower due to the diminishing Doppler width. 236

For the 298 K spectra, rovibrational lines from Q(0) to Q(19) of the 237 band could be registered with a good enough S/N ratio to provide reliable 238 broadening data at all the pressures detailed at the end of Sec. 2.1. This 239 interval is reduced to Q(0)-Q(15) at 195 K and Q(0)-Q(11) at 77 K. Despite 240 the relatively low pressures used, the close proximity between rovibrational 241 components in the band is enough to also produce detectable line interference, 242 especially in the band head. We were able to obtain line mixing coefficients 243 for all lines between Q(0) and Q(8) at 77 K and between Q(0) and Q(5) at 244 195 K. At 298 K, the poorer S/N ratio of the spectra prevented a similar 245 determination, so we are unable to provide line mixing coefficients at this 246 temperature. 247

The initial analysis of the line profiles in the spectra was carried out by performing multi-peak fits of the whole Q-branch spectrum. This procedure was conducted independently for each spectrum obtained at a given temperature and pressure. For the selection of the profiles used in the fits, and given that our spectra were obtained in a relatively low presure range and that the two colliding molecules have the same mass, we initially considered a softcollision (Galatry) profile. However, after testing the profile with different

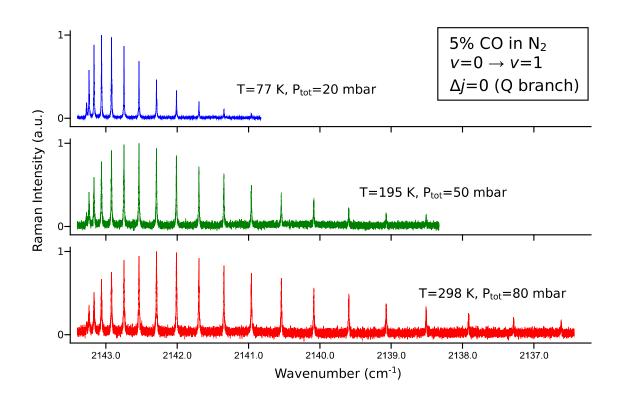


Figure 2: Q-branch spectra of the fundamental band of CO perturbed by  $N_2$  at 77, 195 and 298 K. The nominal pressures have been selected to provide similar number densities.

isolated lines in the spectra at different pressures, it became clear that no
significant deviation from a Voigt profile (i.e., no Dicke narrowing) could be
detected, at least under our experimental conditions and S/N ratio. Thus,
Voigt profiles were selected for the fits. Notice that this initial analysis does
not take into account the possible existence of line mixing.

The Voigt fits were initially conducted with both the Gaussian and Lorentzian 260 widths left unconstrained for each line. After verifying that the Gaussian 261 widths obtained across the whole band matched the one previously deter-262 mined from the spectrum of pure CO at that temperature within the error 263 of the fit for most of the lines, these Gaussian widths were constrained to 264 that value for all lines and the Voigt fits were repeated, this time with only 265 the Lorentzian width unconstrained. This procedure allows a more accurate 266 determination of the Lorentzian widths of the weakest lines in the band, as 267 well as being particularly useful when there is a large difference between the 268

Gaussian and Lorentzian contributions to the line profile. Figure 3 presents an example of one of the Voigt fits of an isolated rovibrational line at 195 K. No special pattern can be observed in the residuals.

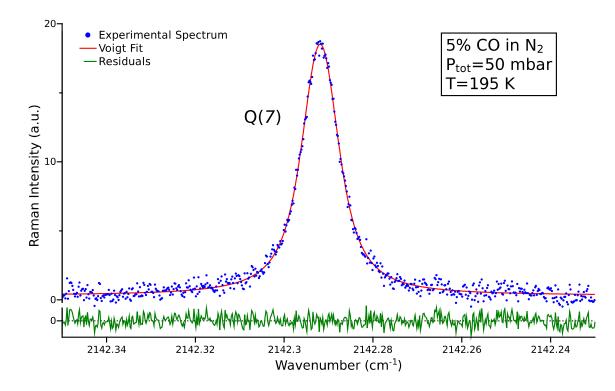


Figure 3: Example of a Voigt fit of the Q(7) rovibrational line at 195 K and a total pressure of 50 mbar. The Gaussian and Lorentzian widhts are 0.00450 and 0.00795 cm<sup>-1</sup> respectively. Residuals are plotted in the same vertical scale as the experimental spectrum.

Following this fitting procedure, a set of Lorentzian widths at different 272 pressures is extracted for each rovibrational component of the band at each 273 temperature. These widths are then subjected to a simple linear fit, the slope 274 of which renders the collisional broadening coefficient for each line. Figure 4 275 presents an example of the fits corresponding to the Q(4) line at the three 276 temperatures of reference. It is clearly visible how the error bars assigned to 277 the experimentally determined half widths at half maximum (HWHM) are 278 larger for the higher temperatures. Despite this, and except for the first lines 279 of the band —for which line mixing is noticeable as discussed below—, the 280 variation of the collisional widths with pressure is remarkably well fitted by 281 this linear model in the range of pressures under study. 282

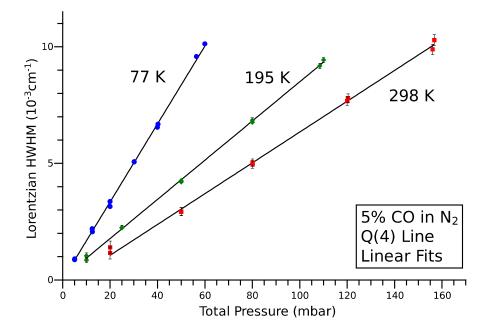


Figure 4: Variation of the Lorentzian HWHM with pressure for the Q(4) line at the three experimental temperatures. The black lines represent linear fits of the data at each temperature. Error bars represent 1 standard error. Due to the small magnitude of the error intervals they are contained within the symbols at 77 K.

For the very first lines of the band, however, the residuals indicate that 283 the use of Voigt profiles is not totally satisfactory. The effect, although subtle 284 in our spectra at the relatively low pressures at which we have worked, indi-285 cates the presence of line mixing and is especially visible at 77 K due to the 286 more favorable S/N ratio. Line mixing can be thought of as the consequence 287 of an interference between the different paths that a molecule in a certain 288 initial state can take to reach a final excited state (v = 0,  $j = j \rightarrow v = 1$ , 289 j = j in our Q-branch example) when it is optically excited in the presence 290 of significant collisional relaxation. Molecular collisions open new potential 291 transition paths in which —for example— a molecule in v = 0, j = j could 292 first be collisionally promoted to v = 0, j = j + 1, then undergo radiative 293 excitation (in our case via a Raman process) to v=1, j=j+1, and finally 294 relax, again through collisions, to v = 1, j = j. This is an alternative path 295 to the direct optical one with the same departure and destination states and 296 with the optical part of the excitation having taken place through a differ-297

ent Q-branch transition. The presence of these alternative paths creates an interference that appears as cross-terms in the calculation of the transition probabilities [48, 49]. In density matrix formalism, this phenomenon is characterized as a flow between different components of the optical coherence, i.e., the off-diagonal terms of the density matrix which couple different states of the active molecule, mediated by collisions [50, 51].

From an observational point of view, line mixing manifests itself as a 304 transference of intensity from one region of the spectrum to another, thus 305 altering the overall shape of the spectral band, sometimes dramatically if the 306 coupling between lines is very strong. In a case like ours, in which the low 307 pressures used produce only moderate line overlapping, the coupling is weak 308 and an adequate line mixing model, as confirmed by prior works on this very 309 band [52, 53], is the profile of Rosenkranz [54]. Following Eq. (17) in Ref. 53 310 and Eq. (1) in Ref. 55 the intensity profile of the band at a given pressure 311 can be described by the expression 312

$$I(\omega) = \sum_{j} S_{j} \frac{\Gamma_{j} + Y_{j} \left(\omega - \omega_{j} - \Delta_{j}\right)}{\left(\omega - \omega_{j} - \Delta_{j}\right)^{2} + \Gamma_{j}^{2}}.$$
(1)

In this expression  $S_j$  are the line weights,  $\Gamma_j$  and  $\Delta_j$  are the collisional 313 linewidths (HWHM) and shifts,  $\omega_j$  are the central frequencies of the un-314 perturbed lines and  $Y_j$  are the line mixing coefficients. The parameters  $\Gamma_j$ , 315  $\Delta_j$  and  $Y_j$  have a linear dependence with pressure in Rosenkranz's first-order 316 approximation [54]. The shape of the whole band can thus still be calculated 317 as a sum of the independent contributions of each rovibrational component, 318 which can in turn be separated into one Lorentzian and one dispersive contri-319 bution. This expression accounts for the purely collisional contribution to the 320 experimental linewidths: in order to compare it to the experimental spectra, 32: the result of Eq. (1) needs to be convolved with the Gaussian contributions 322 (Doppler + apparatus function) present in our spectra. To this end, a min-323 imization Matlab code was developed to calculate a synthetic Rosenkranz 324 profile according to Eq. (1), perform the convolution with the known Gaus-325 sian component numerically, and find the values of the parameters in Eq. (1)326 that minimize the difference between the calculated and experimental bands. 327 When this code is run on an experimental spectrum, we obtain fitted values 328 for the  $Y_j$  line mixing coefficients and  $\Gamma_j$  Lorentzian linewidths of each Q(j)329 rovibrational component of the band at that pressure and temperature. Line 330 shifts in this band are expected to be between one and two orders of mag-331

nitude smaller than line broadenings [56–60], so we ignore them by simply considering  $(\omega_j - \Delta_j)$  a single parameter in the fit. For a given rotational component Q(j) at a given temperature several  $Y_j$  are obtained, one for each pressure at which the band has been recorded. By simply performing a linear fit of these  $Y_j$  coefficients against the pressure we obtain as slope a pressureindependent line mixing coefficient for that rotational line that we denote by  $Y_j^p$ , with  $Y_j^p \cdot p = Y_j$ .

The procedure described above was attempted with all the spectra recorded 339 for this work at all temperatures, but the S/N ratio of the 298 K spectra did 340 not allow a reliable determination of the line mixing coefficients from the 341 experimental data. We have been able to obtain values for the coefficients 342 and their associated collisional linewidths for the rovibrational lines between 343 j = 0 and j = 8 at 77 K and between j = 0 and j = 5 at 195 K. An example 344 of one of the fits of the experimental spectra, in this case at 77 K, is presented 345 in Fig. 5, with the Lorentzian and dispersive contributions to the overall fit 346 displayed separately. As expected, the Raman scattering is sublorentzian in 347 the high wavenumber side of the band head while it is superlorentzian in the 348 first microwindows. 349

The deviations of the Lorentzian linewidths  $\Gamma_i$  obtained from convolved 350 Rosenkranz profiles with respect to the ones obtained with Voigt profiles are 351 noticeable only for the first rovibrational lines of the band, with Q(0) being 352 the most affected one. They become negligible, for our standard error in-353 tervals, beyond Q(3), where the widths determined by both the Voigt and 354 Rosenkranz models converge. To illustrate the error introduced by the hy-355 pothetical neglect of line mixing in the analysis of these first components, 356 Fig. 6 presents, for Q(0) at 77 K, a comparison between the Lorentzian 357 linewidths extracted from the spectra at different pressures with the Voigt 358 and Rosenkranz models. When a linear fit is used on both sets of data to 359 determine the collisional broadening coefficient it is clear that the Voigt data 360 consistently underestimates the width of the rotational line. Since the ef-361 fect grows markedly with pressure, the widths at higher pressures are more 362 affected and a "blind" linear fit of Voigt data would end up yielding a signif-363 icantly lower ( $\sim 20\%$ ) value for the collisional broadening coefficient for this 364 line. 365

Experimental values for the collisional broadening coefficients of CO perturbed by N<sub>2</sub> at 77, 195 and 298 K are plotted on Fig. 7. The coefficients at 77 and 195 K have been obtained using the Rosenkranz model described above, which renders the same result as a multi-peak Voigt fit for j > 3,

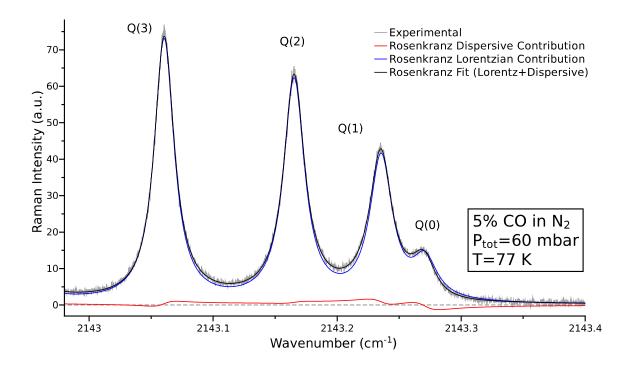


Figure 5: Rosenkranz fit of the first CO Q-branch lines at 77 K and a total pressure of 60 mbar. The Lorentzian and dispersive contributions to the overall Rosenkranz profile are displayed separately to illustrate their relative magnitudes. They have already been convolved with a Gaussian function of  $0.0033 \text{ cm}^{-1}$  FWHM in order to reproduce the experimental data.

while the ones at 298 K have been obtained with Voigt profiles.

Figure 8 presents the values obtained for the  $Y_j^p$  line mixing coefficients. It is clear that the effect of line mixing in the spectrum is more noticeable in the first lines but becomes smaller as j grows and line overlap decreases.

The determination of the theoretical values reported in Figs. 7 and 8 is dis-374 cussed in the next section. Before doing so, some experimental aspects merit 375 to be further discussed. We considered but rejected the possibility of intro-376 ducing a correction in the coefficients to account for CO self-perturbation: 377 according to the bibliographic data available at 298 K, both experimental 378 [52] and calculated [38], the line broadening coefficients for the Raman lines 379 of pure CO in its Q branch have values that are only between 1 and 10%380 higher, depending on the rotational quantum number, than the coefficients 381 we have obtained for the same lines in the  $CO-N_2$  system. That the differ-382 ence is small is not surprising given that both molecules have similar masses 383

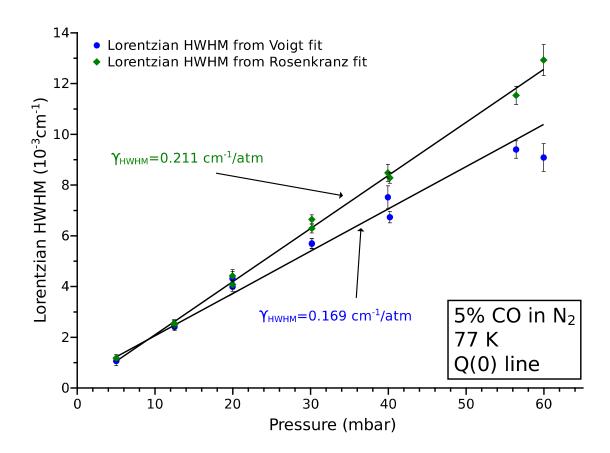


Figure 6: Comparison of linear fits of the collisional HWHMs extracted for Q(0) at 77 K using a Voigt profile (circles) and a Rosenkranz profile (diamonds). Error bars represent 1 standard error.

and the dipole moment of CO is rather weak. Since our  $CO-N_2$  mixtures 384 contain a 5% of CO, and working under the assumption of a simple addi-385 tive behavior, our experimentally determined coefficients for  $CO-N_2$  would 386 be overestimating the "real" coefficients by a maximum of 0.5% in the worst 387 This is significantly smaller than the uncertainty associated to our case. 388 measurements (see Fig. 7). One final aspect that needs to be addressed in 389 order to perform a comparison between our experimental broadening and 390 mixing coefficients and calculated ones is the influence of the experimental 391 polarization arrangement on the isotropic/anisotropic origin of the intensity 392 of the spectral lines. Besides the population and degeneracy of the rovibra-393

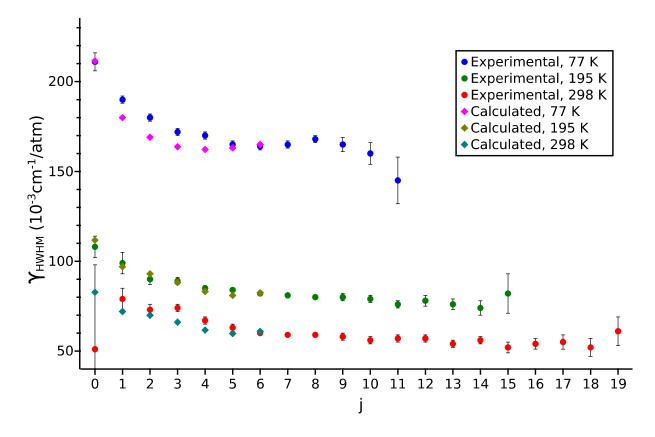


Figure 7: Comparison between experimental and calculated values for the pressure broadening coefficients of CO in  $N_2$  at 77, 195 and 298 K. Error bars in the experimental data represent 1 standard error.

tional levels, the observed intensity of a Q-branch Raman transition depends on the Placzek-Teller coefficients, the polarizability tensor invariants and the polarizations of the excitation and scattered radiations in the experimental layout. For an arrangement with parallel polarizations in the two beams, like the one we have used in this experiment, the dependence of the intensity  $I_j$ of a given line Q(j) on these factors is described by the equation [61]

$$I_j \propto \alpha'^2 + \frac{4}{45} \frac{j(j+1)}{(2j-1)(2j+3)} \gamma'^2, \qquad (2)$$

400

where  $\alpha'$  and  $\gamma'$  are the derivatives of the trace and anisotropy invariants, respectively, of the Raman polarizability tensor. From Eq. (2) it clearly

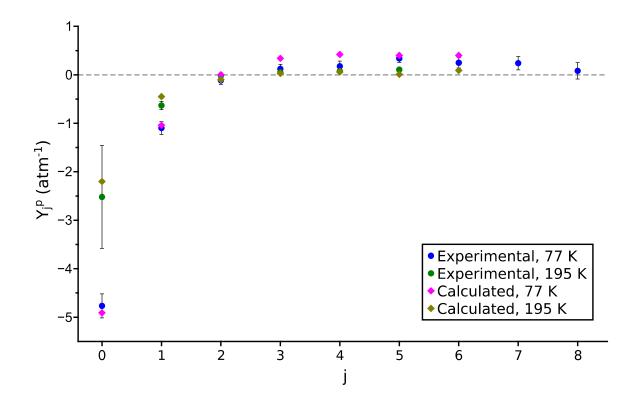


Figure 8: Comparison between experimental and calculated values for the Rosenkranz line mixing coefficients  $Y_j^p$  of CO in N<sub>2</sub> at 77 and 195 K. Error bars in the experimental data represent 1 standard error.

follows that the intensity of a Raman Q-branch transition recorded with parallel polarizations has both an isotropic (dependent on  $\alpha'$ ) and an anisotropic (dependent on  $\gamma'$ ) contribution, with only the Q(0) line being always purely isotropic. In order to calculate these contributions, we rewrite Eq. (2) as

$$I_j \propto 1 + \frac{4}{45} \frac{j(j+1)}{(2j-1)(2j+3)} \left(\frac{\gamma'}{\alpha'}\right)^2.$$
 (3)

<sup>407</sup> The ratio  $\left(\frac{\gamma'}{\alpha'}\right)$  can be obtained from the total band depolarization ratio, <sup>408</sup> defined as

$$\rho = \frac{3\gamma^{\prime 2}}{45\alpha^{\prime 2} + 4\gamma^{\prime 2}} \tag{4}$$

$\overline{j}$	0	1	2	3	4	5	6
I <sub>ISO</sub>	100%	92.68%	94.66%	95.00%	95.12%	95.18%	95.22%
$I_{ANISO}$	0%	7.32%	5.34%	5.00%	4.88%	4.82%	4.78%

Table 1: Calculated percentage of isotropic and anisotropic intensity in the Raman Qbranch lines of the CO fundamental recorded with parallel polarizations.

and for which several experimental measurements are available. These have 409 been gathered in a previous work [53], where a value of  $2.22 \pm 0.05$  was 410 proposed for  $\left(\frac{\gamma'}{\alpha'}\right)^2$ . Based on this number, Tab. 1 presents the calculated 411 percentage of isotropic and anisotropic contributions to the intensity of the 412 first components of the Q branch of CO. It can be seen that the anisotropic 413 contribution is usually very small, of the order of five percent of the to-414 tal intensity (it approaches 4.7% asymptotically). This is the reason why 415 Q-branch Raman spectra of vibrations with low depolarization ratios like 416 the present one are often approximated as "isotropic spectra", even though 417 technically they are not purely isotropic beyond Q(0). The broadening and 418 mixing coefficients we have obtained experimentally are thus a mixture of 419 isotropic and anisotropic coefficients, but if to the small relative size of the 420 anisotropic contribution we add the fact that the isotropic and anisotropic 423 coefficients are normally very close in value (see for example Ref. 47, where 422 both sets of broadening coefficients are calculated for a different collisional 423 system) we can conclude that for this band our sets of experimental coef-424 ficients can be safely compared to isotropic calculated coefficients without 425 introducing significant errors. 426

#### 427 3. Theoretical part

#### 428 3.1. Potential Energy Surface

In the present work, quantum dynamical calculations were performed on 429 the CO-N<sub>2</sub> potential energy surface of Liu *et al.* [2] already described and 430 used by some of us in Ref. [34]. The Jacobi coordinates are described in the 431 two preceding publications. Let us denote the CO and  $N_2$  monomers by the 432 subscripts A and B. The nitrogen molecule bond length is fixed to its ex-433 perimental ground state value,  $r_B = 1.100068$  Å resulting in a 5-dimensional 434 potential  $V(r_A, R, \theta_A, \theta_B, \phi)$ . This dimension reduction is not a problem in 435 our case, since  $N_2$  is the perturbing molecule and remains in its vibrational 436 ground state in a wide range of temperatures relevant for our spectroscopic 437

study. The code provided by Liu *et al.* [2] to generate their PES allows to average the PES over the intramolecular CO distance,  $r_A$ . We are thus able to consider the CO molecule either in its ground or first excited vibrational states. We furthermore denote this average over the CO vibrational wave functions  $\chi_v(r_A)$  with v = 0 or 1 by:

$$\langle V(r_A, R, \theta_A, \theta_B, \phi) \rangle_v = \int_0^\infty \chi_v(r_A) V(r_A, R, \theta_A, \theta_B, \phi) \chi_v(r_A) dr_A.$$
(5)

We therefore neglect the rotational dependence of the CO wave functions, a limitation not severe for this molecule in its first rotational states.

For computing facilities these two PESs have been developed over bispherical harmonics:

$$< V(r_A, R, \theta_A, \theta_B, \phi) >_v = \sum_{L_A, L_B, L} < V_{L_A, L_B, L}(r_A, R) >_v I_{L_A, L_B, L}(\theta_A, \theta_B, \phi).$$
  
(6)

The angular functions are expressed in terms of spherical harmonics tied to the CO and  $N_2$  monomers [34, 45, 62–64]:

$$I_{L_{A},L_{B},L}(\theta_{A},\theta_{B},\phi=\phi_{A}-\phi_{B}) = \sqrt{\frac{2L+1}{4\pi}} \times \sum_{m} (L_{A} m L_{B} - m | L_{A} L_{B} L 0) Y_{L_{A},m}(\theta_{A},\phi_{A}) Y_{L_{B},-m}(\theta_{B},\phi_{B}), \quad (7)$$

where (...,|...) are Clebsch-Gordan coefficients and  $|m| \leq \min(L_A, L_B)$ . For computing reasons<sup>1</sup> we have retained 94 potential radial coupling terms for both vibrational states,  $\langle V_{L_A,L_B,L}(r_A, R) \rangle_v$ , with  $L_A = 0,1,...10, L_B =$  $0,2,...8, |L_A - L_B| \leq L \leq L_A + L_B$  and  $L_A + L_B + L$  even. Following Green 153 [65, 66] and MOLSCAT [67] idiosyncratic normalisation, the radial terms were obtained via Gauss-Legendre quadratures over the  $\theta$ 's and a Chebyshev quadrature over  $\phi$ :

<sup>&</sup>lt;sup>1</sup>Close coupling calculations are very time consuming and moreover we encountered memory size problems using more terms in this expansion

$$\langle V_{L_{A},L_{B},L}(r_{A},R)\rangle_{v} = \frac{8\pi^{2}}{2L+1}$$

$$\times \int_{0}^{2\pi} d\phi \int_{-1}^{+1} d(\cos\theta_{A}) \int_{-1}^{+1} d(\cos\theta_{B}) \langle V(r_{A},R,\theta_{A},\theta_{B},\phi)\rangle_{v} I_{L_{A},L_{B},L}(\theta_{A},\theta_{B},\phi)$$
(8)

The first radial coupling terms are presented in Fig. 2 of Ref. [34] They were generated on a regular grid from 4 to 200 bohrs with a constant step size of 0.2 bohrs.

#### 459 3.2. Quantum dynamical methods

In order to obtain scattering matrix elements to derive the line shape pa-460 rameters (see below) we have used (for historical reasons) both the MOLSCAT 461 code 14 [67] and the version recently published by Hutson and Le Sueur [68]. 462 The close coupling (CC) method is the most accurate but unfortunately also 463 the most time consuming. For the present system, as already discussed by 464 Jóźwiak et al. [34] such calculations are not easy to carry<sup>2</sup> despite the or-465 tho/para disparity in nitrogen that allows us to perform separate calculations 466 for  $CO-oN_2$  and  $CO-pN_2$ . Neglecting any vibrational coupling terms we have 467 performed four sets of calculations: two for  $CO-oN_2$  or  $-pN_2$  with the CO 468 molecule in either v=0 or v=1. Close coupling calculations were performed 469 for total energies from  $0.1 \text{ cm}^{-1}$  to a maximum of 300 cm<sup>-1</sup> and the coupled 470 states approximation (CSA) was used up to 506  $\rm cm^{-1}$ . Above this energy 471 even the CSA is too time consuming. Readers interested in the CSA may 472 consult Ref. [34] and references therein. The necessary generalized cross 473 sections to compute the line shape parameters were obtained by different 474 methods described in the next sections. 475

#### 476 3.3. Generalized Cross sections

Following the pioneering work of Hess [69], the so-called generalized Hess method (GHM) provides the spectroscopic cross sections [70, 71] for a spectroscopically active diatomic molecule in a bath of diatomic molecules:

<sup>&</sup>lt;sup>2</sup>As an example, for CO-oN<sub>2</sub> at a total energy of 300 cm<sup>-1</sup> with a basis of 417 triplets  $(j_1, j_2, j_{12})$  and a total angular momentum J = 100, the number of open channels  $(j_1, j_2, j_{12}, l)$  is 3050.

$$\begin{aligned} \sigma_{\lambda}^{(q)}(v_{i}j_{i}^{'},v_{f}j_{f}^{'};v_{i}j_{i},v_{f}j_{f};j_{2},j_{2}^{'};E_{kin}) &= \frac{\pi}{k^{2}}(-1)^{(\lambda+j_{f}-j_{f}^{'}+j_{2}-j_{2}^{'})} \left(\frac{[j_{i}^{'}]}{[j_{i}]}\right)^{1/2} \\ \times \sum_{\ell,\ell^{'},\bar{\ell},\bar{\ell}^{'}} \left(\left[\ell\right]\left[\ell^{'}\right]\left[\bar{\ell}\right]\left[\bar{\ell}^{'}\right]\right)^{1/2} i^{(\ell-\ell^{'}-\bar{\ell}+\bar{\ell}^{'})}(-1)^{\ell^{'}-\bar{\ell}^{'}} \left(\begin{pmatrix}\ell&\bar{\ell}&\lambda\\0&0&0\end{pmatrix}\right) \left(\begin{pmatrix}\ell^{'}&\bar{\ell}^{'}&\lambda\\0&0&0\end{pmatrix}\right) \\ \times \sum_{J,\bar{J}}[J][\bar{J}] \sum_{L,L^{'},\bar{L},\bar{L}^{'}} ([L][L^{'}][\bar{L}][\bar{L}^{'}])^{1/2} \left\{ \begin{array}{c}\lambda&\bar{\ell}^{'}&\ell^{'}\\j_{2}^{'}&L^{'}&\bar{L}^{'}\end{array} \right\} \left\{ \begin{array}{c}\lambda&\bar{\ell}&\ell\\j_{2}&L&\bar{L}\end{array} \right\} \left[ \begin{array}{c}L&L^{'}&j_{f}&j_{f}^{'}\\\bar{L}&j_{i}&\bar{L}^{'}&j_{i}^{'}\\\lambda&\bar{J}&J&q\end{array}\right] \\ \times \left[\delta_{j_{i}j_{i}^{'}}\delta_{j_{f}j_{f}^{'}}\delta_{j_{2}j_{2}^{'}}\delta_{\ell\ell^{'}}\delta_{\bar{L}L^{'}}\delta_{\bar{L}\bar{L}^{'}}\delta_{\bar{L}\bar{L}^{'}}\right] v_{f}j_{f}^{'}(j_{2}^{'}\bar{\ell}^{'})\bar{L}^{'}\rangle^{*}\right]. \end{aligned} \tag{9}$$

S-matrix elements are evaluated at the total energies  $E_{T_{i2}} = E_{kin} +$ 480  $E_{v_i j_i} + E_{j_2}$  and  $E_{T_{f_2}} = E_{kin} + E_{v_f j_f} + E_{j_2}$ . k is the modulus of the wave 481 vector associated with the relative collisional energy,  $E_{kin} = (\hbar k)^2/2\mu$ , with 482  $\mu$  the reduced mass of the CO-N<sub>2</sub> system. These cross sections are expressed 483 in Å<sup>2</sup> [72–74]. The vibrational quantum number  $v_2$  has been omitted since it 484 is always 0 in our case for the nitrogen molecule. In Eq. (9), primes indicate 485 post-collisional values,  $v_i j_i, v_f j_f$  designates a CO optical transition (denoted 486  $|l\rangle\rangle$  later on) and  $v_i j'_i, v_f j'_f$  a line  $(|l'\rangle)$  later on) to which the line  $|l\rangle\rangle$  is 487 coupled by collisions. q designates the line type (q = 0 for an isotropic Raman)488 transition, q = 1 for an electric dipole transition, q = 2 for an anisotropic 489 Raman transition). The coupling scheme is  $\vec{j}_2 + \vec{\ell} = \vec{L}$ , which in turn is 490 coupled to the rotational angular momentum of the active molecule,  $j_i$ , to 491 give the total angular momentum  $\vec{J}$ . [X] stands for 2X+1, (: : :) refers 492 to the 3-j symbol and  $\begin{bmatrix} \vdots \vdots \end{bmatrix}$  to the 12-j symbol of the second kind [75]. 493 Equation (9) leads to two kinds of cross-sections depending on the  $\lambda$  value, 494 the rank of the velocity of the active molecule. For  $\lambda = 0$  one recovers 495 [70–72, 76, 77] the standard generalized spectroscopic cross section [48, 49] 496 leading to the relaxation matrix [78, 79]. The simplified expression of Eq. (9) 497 for the diagonal cross sections (pressure broadening and shift) is given by 498 equations 4-6 of Ref. [80] in the case of isotropic Raman Q lines. The  $\lambda = 1$ 499 value is associated with velocity-changing collisions. Finally, Eq. (9) is valid 500

whatever the kind of the optical transition and allows the calculations of both the diagonal and off-diagonal cross sections. Since in the present study we are dealing with the Q lines in Eq. (9) we have  $j_i = j_f$  and  $j_{i'} = j_{f'}$  as well.

Starting from Eq. (9), specialized to the Q(0) line for which  $j_i = j_f = 0$ , 505  $v_i = 0$  and  $v_f = 1$ , we have performed a full CC calculations using our GHM 506 code [81] to determine the diagonal cross sections in the cases  $\lambda=0$  and  $\lambda=1$ 507 (note that in MOLSCAT codes the calculation of such cross sections is not 508 implemented). Because the present experiment cannot allow to see the effects 509 of the velocity-changing collisions, tied to  $\lambda=1$ , on the spectral line shapes, 510 the theoretical velocity changing collision frequencies are only presented in 51 Appendix A. The exact pressure broadening coefficients obtained by this 512 method are also provided in this Appendix. 513

As stated above, for  $\lambda = 0$  the real part of the diagonal  $(|l\rangle\rangle = |l'\rangle\rangle)$ cross section is the pressure broadening cross sections which includes two contributions, one coming from elastic collisions and one coming from the inelastic ones for the active molecule [79, 80, 82–84]. The neglect of the first contribution leads to the so-called random phase approximation (RPA):

$$\sigma_{0}^{(q)}(v_{i}j_{i}, v_{f}j_{f}; v_{i}j_{i}, v_{f}j_{f}; j_{2}j_{2}') = \\ = \frac{1}{2} \left\{ \sum_{j_{1} \neq j_{i}} \sigma(v_{i}j_{i}j_{2} \rightarrow v_{i}j_{1}j_{2}') + \sum_{j_{1} \neq j_{f}} \sigma(v_{f}j_{f}j_{2} \rightarrow v_{f}j_{1}j_{2}') \right\}.$$
 (10)

<sup>519</sup> We furthermore define a partial pressure broadening cross section as

$$\sigma_0^{(q)}(j_i, j_f; j_i, j_f; j_2) = \sum_{j'_2} \sigma_0^{(q)}(j_i, j_f; j_i, j_f; j_2, j'_2)$$
(11)

whatever the method used for determining it (we have dropped the v's for 520 short). Figures 9 and 10 provide examples of such partial cross sections for 521 the Q(0) and Q(6) lines of the fundamental CO band. One can check that the 522 RPA is accurate for kinetic energies larger than about 50 cm<sup>-1</sup>, and that the 523 summations of bi-states to bi-states ordinary cross sections are very similar 524 in both vibrational states (v = 0 and 1). The first fact is related to the 525 predominant importance of the inelastic collisions for this system, at least 526 for kinetic energies larger than  $\sim 50 \text{ cm}^{-1}$ , as it is for comparable ones (e.g. 527 CO-Ar [47], CO<sub>2</sub>-He [85] or -Ar [86]). The second observation is due to the 528

fact that the vibrationally averaged PESs in v = 0 and in v = 1 are very 529 similar and that the rovibrational energy levels spacing is very close in both 530 CO vibrational states. Moreover, these figures show that the CSA is quite 531 good, as expected as the kinetic energy and  $j_2$  increase. In addition, even if it 532 is not obvious from these figures, these partial cross sections are very similar 533 as  $j_2$  increases; this point has been extensively discussed for this system in 534 Ref. [34] (see Fig. 6 therein) and shown in previous works  $(N_2-H_2 [62], C_2H_2$ 535 -H<sub>2</sub>,-D<sub>2</sub> [45, 87], C<sub>2</sub>H<sub>2</sub>-N<sub>2</sub> [64] and N<sub>2</sub>-N<sub>2</sub> [43]). Consequently, for  $j_2 > 7$  we 536 have set the partial pressure broadening cross section (Eq. (11)) equal to a 537 weighted sum of ortho and para contributions determined for  $j_2 \leq 7$ . 538

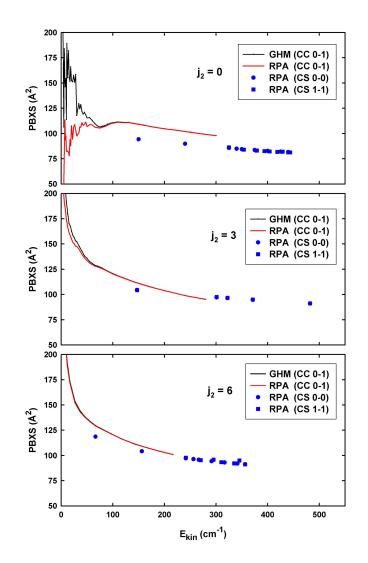


Figure 9: Partial pressure broadening cross sections, as a function of the kinetic energy, for the Q(0) line and  $j_2 = 0$ , 3 and 6. The black line is the result of our GHM code [81], this is the most exact calculation; red lines are the CC results of the RPA (see text); blue squares and disks are the results of our CSA calculations either in v=0 or in v=1 [88]

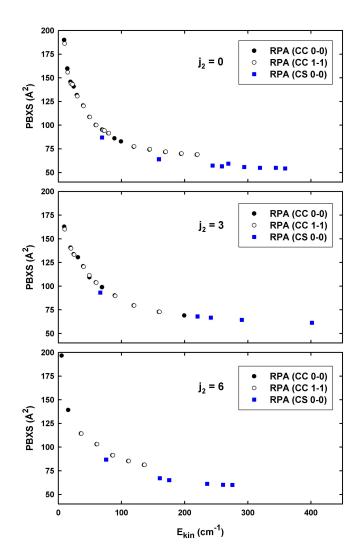


Figure 10: Partial pressure broadening cross sections, as a function of the kinetic energy, for the Q(6) line and  $j_2 = 0$ , 3 and 6. The black disks result from bi-states to bi-states CC XS in v=0; black circles result from bi-states to bi-states CC XS in v=1; blue squares are the results of our CSA calculations in v=0.

#### 539 3.4. Relaxation matrix elements

In order to compare the results of our calculations with experimental values we formally construct, within the impact approximation, the relaxation matrix  $W_{l'l}$  elements [48, 49, 78, 79]:

$$\langle \langle l' | W^{(q)} | l \rangle \rangle = \frac{n \, \bar{v}_r}{2\pi c} \left\langle \sigma_0^{(q)}(l', l; E_{kin}) \right\rangle \tag{12}$$

where n is the (N<sub>2</sub>) density number (assuming that self perturbation is negligible),  $\bar{v}_r$  the mean relative speed at the temperature T, and the denominator converts angular frequency to wavenumber (in cm<sup>-1</sup> with the speed of light c expressed in cm/s). The thermally averaged cross sections over the Maxwell-Boltzmann distribution kinetic energies being given by

$$\left\langle \sigma_0^{(q)}(l',l;E_{kin}) \right\rangle = \sum_{j_2} \rho(j_2) \langle \sigma_0^{(q)}(j'_i,j'_f;j_i,j_f;j_2;E_{kin}) \rangle,$$
 (13)

<sup>548</sup> with the thermally averaged partial cross section

$$\langle \sigma_0^{(q)}(j'_i, j'_f; j_i, j_f; j_2; E_{kin}) \rangle =$$

$$= \frac{1}{(k_B T)^2} \int_0^\infty E_{kin} \ e^{-E_{kin}/k_B T} \ \sigma_0^{(q)}(j'_i, j'_f; j_i, j_f; j_2; E_{kin}) dE_{kin} \quad (14)$$

and the thermal equilibrium populations given by

$$\rho(j_2) = w_{j_2}(2j_2 + 1)exp(-E_{j_2}/k_BT)/Z(T).$$
(15)

The nuclear spin weights  $w_{j_2}$  are equal to 2/3 for oN<sub>2</sub> and 1/3 for pN<sub>2</sub>; Z(T) is the rotational partition function. Making use of our GHM code (81] we have all the necessary equations to generate the pressure broadening coefficients  $\gamma_l = W_{ll}^{(0)}$  for the Q(0) line of the fundamental band of CO at various temperatures. For the other lines (as well also for the Q(0) line for comparison) we made use of the CC and CSA bi-state to bi-state ordinary cross sections. Therefore a few more equations are needed.

For (hypothetical) isotropic Raman lines in a fixed vibrational states a generalized spectroscopic cross section is exactly a negated state to state cross section [48, 66]:

$$\sigma_0^{(0)}(j_1'j_1'; j_1j_1; j_2j_2'; E_{kin}) = -\sigma(j_1j_2 \to j_1'j_2'; E_{kin}).$$
(16)

Moreover, the sum rule is exact [48, 49, 66] leading to the expression of the diagonal relaxation matrix elements in terms of the off-diagonal ones:

$$W_{ll}^{(0)} = -\sum_{l' \neq l} W_{l'l}^{(0)}.$$
(17)

The off-diagonal terms being simply a weighted sum of standard rate constants:

$$W_{l'l}^{(0)} = -\sum_{j_2 j'_2} \rho(j_2) R(j_1 j_2 \to j'_1 j'_2).$$
(18)

<sup>564</sup> We are now in position to apply the RPA using our CC and CSA rates:

$$\gamma_{l} = << l |W^{(q)}| l >> = << v_{i} j_{i} v_{f} j_{f} |W^{(q)}| v_{i} j_{i} v_{f} j_{f} >> =$$

$$= \frac{1}{2} \{ << v_{i} j_{i} v_{f} j_{i} |W^{(0)}| v_{i} j_{i} v_{f} j_{i} >> + << v_{i} j_{f} v_{f} j_{f} |W^{(0)}| v_{i} j_{f} v_{f} j_{f} >> \}.$$
(19)

Note that we have considered that Eq. (19) holds whatever the order of the radiation-mater interaction, q, which is the essence of the RPA. Because the state to state cross sections in v = 0 and in v = 1 are very close we have also performed an average (that is not the RPA) for the off-diagonal relaxation matrix elements:

$$<< l' |W^{(0)}| l>>= \frac{1}{2} \{<< v_i j'_i v_i j'_f |W^{(0)}| v_i j_i v_i j_f>> + << v_f j'_i v_f j'_f |W^{(0)}| v_f j_i v_f j_f>> \}.$$
(20)

This allows us to define an averaged line mixing parameter [48, 49, 53, 54, 89, 90] for isotropic Raman Q lines of the fundamental band:

$$Y_{l;0-1\text{band}} = \frac{1}{2} \{ Y_{l;v=0} + Y_{l;v=1} \}$$
(21)

572 with

$$Y_{l;v} = 2\sum_{l'\neq l} \frac{W_{l'l;v}}{\sigma_l - \sigma_l'}$$

$$\tag{22}$$

where  $\sigma_i$  is the line position. Because a relaxation matrix element  $W_{l'l;v}$  is derived from rate constants in a given vibrational level, any imaginary part is neglected.

#### 576 3.5. Theoretical coefficients

<sup>577</sup> Due to our limited grid in kinetic energies, up to 300 cm<sup>-1</sup> and 506 cm<sup>-1</sup> <sup>578</sup> for our CC and CSA calculations respectively, in order to perform the thermal <sup>579</sup> average (Eq. 14), the cross sections were extrapolated up to 2000 cm<sup>-1</sup> using <sup>580</sup> a polynomial form in  $a+b/E_{kin}$ , where a and b are fitted constants. Therefore <sup>581</sup> our mixed CC/CSA calculations are mainly CC results below 100 K and more <sup>582</sup> affected by the CSA calculations and this extrapolation at 300 K.

First we come back to the Q(0) line of the fundamental band and discuss 583 its pressure broadening coefficients at various temperatures. Figure 11 com-584 pares 3 kinds of calculations. As compared to our "exact" GHM calculations 585 this figures shows that the RPA values obtained from our CC set are very 586 good even at the lowest temperature (50 K). Our RPA values deduced from 587 our mixed set of CC/CSA cross sections are in very good agreement with the 588 GHM values except at 50 K for which the agreement is nonetheless not bad. 589 A provides the GHM parameters for the Q(0) line and the table therein shows 590 the detailed comparison for the HWHM determined with the RPA and the 591 GHM. Note that the sum rule, Eq. (17), is verified to better than 5% with 592 our exact GHM coefficients. Recall that the RPA is expected to work better 593 as T and j increase [83, 85, 86, 91]. Therefore, we conclude that our RPA 594 deduced from our CC/CSA calculations should be quite accurate between 50 595 and 300 K for all the studied lines. Figure 12 displays such results for the 596 Q(j = 0 - 6) lines. 597

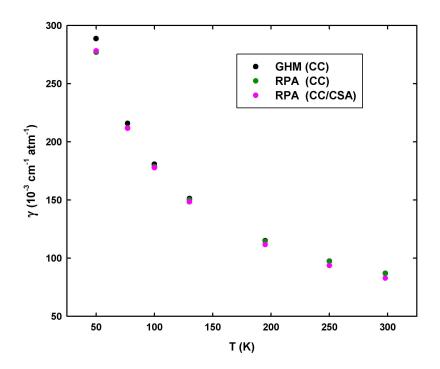


Figure 11: Pressure broadening coefficients at selected temperatures for the Q(0) line of the 0-1 band using the generalized Hess method, the random phase approximation from our CC rates or mixed CC/CSA rates.

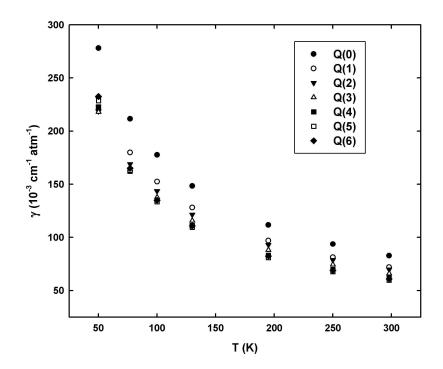


Figure 12: Pressure broadening coefficients at selected temperatures for the Q(0) to Q(6) lines of the fundamental band in N<sub>2</sub> calculated with with our mixed set of CC/CSA rate constants and the RPA.

In order to determine the line mixing coefficients for the Q(0) to Q(6)isotropic Raman lines we have considered a truncated relaxation matrix including the first 11 lines. Convergence tests show that in fact 90% of a line mixing parameter is due to the four neighbouring lines.

As an additional work, on the basis of the RPA, we have calculated the inelastic contribution to the pressure broadening coefficient for pure rotational Stokes lines and electric dipole R lines. Results are given in B.

#### 605 4. Results and Discussion

The main goal of the work presented in this article is the obtention of collisional line broadening and line mixing coefficients for isotropic Q-branch Raman lines of the fundamental of CO in nitrogen baths at different temperatures. This has been carried out independently from both an experimental and a theoretical point of view as described in the preceding sections. The final results from both approaches are presented jointly in Tabs. 2 and 3. In

order to facilitate their comparison they are also displayed simultaneously in
Figs. 7 (broadening coefficients) and 8 (line mixing coefficients).

For the broadening coefficients the results show very good agreement 614 between experiment and calculation. In fact, the agreement is excellent at 615 195 K, with nearly all the calculated coefficients within the estimated error 616 interval of the experimentally determined values. It is still very good at 77 617 K, with an average deviation between theory and experiment of only 3.25%618 (with a maximum of 6.1% for j = 2). For 298 K, and if we exclude the 619 coefficient for j = 0, whose experimental determination shows a very large 620 uncertainty, the average deviation between experiment and calculation is 621 6.4% with a maximum of 10.5% for j = 3. While not as good as for the other 622 two temperatures, it is still a satisfactory agreement. From Fig. 7 it is also 623 clearly visible that the calculated coefficients are generally very close to the 624 experimental ones for j = 0 (again with the exception of 298 K) and j = 6625 but tend to slighly underestimate them for j = 1, ..., 4. This is especially 626 noticeable at 77 and 298 K, since the differences are larger and the pattern 627 easier to see at these temperatures. 628

The agreement in the line mixing coefficients is also very good, as shown 629 in Fig. 8. The largest relative differences happen at 77 K for j = 3 and j = 4. 630 The origin of the discrepancy is difficult to pinpoint, as it may lie in either the 631 experimental or theoretical side, or in both of them: from the experimental 632 side, an accurate experimental determination of line mixing coefficients when 633 their values get close to zero, as is the case for these lines, pushes the limits of 634 a technique like SRS, which produces worse S/N ratios than those of typical 635 IR absorption experiments and can be affected by noises of non-statistical 636 nature like drifts in laser power or beam alignment. 637

From the calculations point of view, the accuracy of the predicted line 638 broadening and mixing coefficients is going to be affected by the approxima-639 tions used. Namely the use of the random phase approximation, and thus 640 the neglect of the elastic contributions and of a vibrational phase shift, the 641 use of a mixed set of CC/CSA rates and the limitation of our grid in kinetic 642 energies. In particular the neglect of the elastic contributions should lead 643 to an underestimation of the PB (pressure broadening) coefficients at low 644 temperatures. Contrarily, our limited grid will affect more our calculated 645 values as the temperature increases. Despite this, both the calculated pres-646 sure broadening and mixing coefficients follow the main trend with both j647 and T when compared to the present experimental values. Moreover, the 648 line mixing parameters compare well to previous evaluations for the same 649

	$\gamma_{\rm HWHM} (77 \text{ K})$		$\gamma_{\rm HWHM}$	(195 K)	$\gamma_{\rm HWHM}$ (298K)	
j	Exp.	Calc.	Exp.	Calc.	Exp.	Calc.
0	211(5)	211.5	108(6)	111.7	51(47)	82.7
1	190(2)	180.0	99(6)	96.9	79(6)	72.0
2	180(2)	169.0	90(3)	93.0	73(3)	69.9
3	172(2)	163.8	89(2)	88.1	74(2)	66.1
4	170(2)	162.3	85(1)	83.1	67(2)	61.7
5	165(2)	163.1	84(1)	81.0	63(2)	59.7
6	164(2)	165.0	82(1)	82.4	60(1)	60.9
$\overline{7}$	165(2)		81(1)		59(1)	
8	168(2)		80(1)		59(1)	
9	165(4)		80(2)		58(2)	
10	160(6)		79(2)		56(2)	
11	145(13)		76(2)		57(2)	
12			78(3)		57(2)	
13			76(3)		54(2)	
14			74(4)		56(2)	
15			82(11)		52(3)	
16					54(3)	
17					55(4)	
18					52(5)	
19					61(8)	

Table 2: Experimental and calculated collisional broadening coefficients  $\gamma_{\rm HWHM}$  for the Q branch of the v=0 $\rightarrow$ v=1 band of CO in N<sub>2</sub> at 77, 195 and 298 K. Numbers in parentheses are given in units of the last significant digit and represent 1 standard error. Units are  $10^{-3} \,{\rm cm}^{-1} \,{\rm atm}^{-1}$ .

	$Y_j^p$ (77 K)		$Y_j^p$ (19	5 K)	$Y_{j}^{p}$ (298 K)
j	Exp.	Calc.	Exp.	Calc.	Calc.
0	-4.8(3)	-4.9	-2.5(11)	-2.2	-1.6
1	-1.1(2)	-1.0	-0.63(9)	-0.5	-0.3
2	-0.12(9)	0.0	-0.02(6)	-0.1	-0.1
3	0.12(9)	0.3	0.05(6)	0.0	0.0
4	0.18(11)	0.4	0.08(6)	0.1	0.0
5	0.34(8)	0.4	0.11(6)	0.0	0.0
6	0.25(11)	0.4		0.1	0.05
7	0.24(2)				
8	0.1(2)				

Table 3: Experimental and calculated Rosenkranz line mixing coefficients  $Y_j^p$  for the Q(j) lines at 77, 195 and 298 K (calculated only) in the fundamental band of CO in N<sub>2</sub>. Numbers in parentheses are given in units of the last significant digit and represent 1 standard error. Units are atm<sup>-1</sup>.

band of CO in helium baths [53]. In view of this, the overall present level
of agreement found between theory and experiment for the line broadening
and mixing coefficients can be considered very satisfactory.

#### 653 5. Conclusions

The work described throughout this article consists of a joint experimental 654 and theoretical study of the pressure dependence of the shapes of the spectral 655 lines in the rovibrational Raman Q-branch of the CO molecule in a bath of 656 N<sub>2</sub>. Quantitative data on line broadening and mixing in the CO-N<sub>2</sub> collisional 657 system at temperatures between 77 and 298 K, in the form of broadening and 658 mixing coefficients, have been obtained in parallel by both the experimental 659 and theoretical branches of the study. These coefficients and the comparison 660 between their experimental and calculated values constitute the main result 661 of this work. 662

From the experimental point of view, the  $CO-N_2$  collisional system had never before been studied by rovibrational Raman spectroscopy with this level of instrumental resolution. Furthermore, the few experimental studies of the system available in the bibliography do not provide broadening or

mixing coefficients for this band. We are thus presenting a completely new
and valuable experimental data set from which future studies using Raman
spectroscopy can benefit.

From the theoretical point of view, this is also the first time that ad-670 vanced, quantum dynamical calculations are used to obtain broadening and 671 mixing data on this Raman band in the  $CO-N_2$  collisional system, since pre-672 vious studies made use of semiempirical methods. The advanced calculation 673 methodology has been coupled with the use of a very modern, state-of-the-art 674 PES. The very good agreement found between our experimental and theo-675 retical results is a robust indication of the accuracy of the methodologies 676 used and, especially, of the reliability of the data sets obtained. The results 677 presented here might also be considered as yet another validation of the PES 678 of Liu et al. |2|. 679

Regarding the potential impact of our results, the  $CO-N_2$  collisional sys-680 tem is present in a number of different fields, as reviewed in the introduction. 681 Future studies in these fields can benefit from our data. Any measurements 682 using rovibrational Raman spectroscopy (typically CARS) to determine the 683 concentration of CO in a CO-N<sub>2</sub> mixture can use our broadening and mixing 684 coefficients to this end. In the case of combustion studies, which generally 685 take place at higher temperatures than the ones covered in this study, the 686 fact that our data have been obtained at several temperatures can be used 687 for an extrapolation of the coefficients to the region of interest using the 688 well-known temperature power law for the pressure broadening coefficients 689

The knowledge of broadening and line mixing coefficients is also necessary 690 for an adequate modeling of planetary atmospheres, both terrestrial and of 691 other bodies, in which the presence of CO and  $N_2$  has been detected. Since 692 most atmospheric and astrophysical spectroscopic measurements are carried 693 out by means of absorption techniques (infrared or microwave), the additional 694 theoretical values reported for the pure rotational R- and S- lines might be 695 of particular importance. For instance, the results reported here can be used 696 as initial parameters in a fit of experimental spectra (both pure rotational 697 and, due to a small contribution from the rovibrational phase-shift, of the 698 fundamental band). 699

Finally, the values we have determined may be also used as a reference data for future theoretical studies of line-shape parameters of rovibrational transitions.

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#### 708 A. Additional close coupling line shape parameters for the Q(0)709 line

In this first appendix we provide the line shape parameters, excluding the line mixing one, at various temperatures for the Q(0) line as deduced from our close coupling calculations and the GHM method. We remind the reader that the frequency of the velocity changing collisions leading to the Dicke narrowing are given by [73, 74, 92, 93]:

$$\tilde{\nu}_{opt} \equiv \tilde{\omega}_R - \tilde{\omega}_A. \tag{S1}$$

<sup>715</sup> In Hess notations [69, 71]  $\tilde{\omega}_A \equiv \tilde{\omega}_0^{00}(q)$  is nothing else but the complex diag-<sup>716</sup> onal relaxation matrix element  $(\gamma - i\delta)$  and the relaxation frequency  $\tilde{\omega}_R$  is <sup>717</sup> linked to the combined effect of the translational and internal motions. It is <sup>718</sup> expressed in terms of two, mass weighted, collision integrals:

$$\tilde{\omega}_R = n_b \frac{m_a}{m_a + m_b} \tilde{\omega}_0^{00}(q) + \frac{2}{3} n_b \frac{m_b}{m_a + m_b} \tilde{\omega}_1^{11}(q),$$
(S2)

where  $m_a$  is the mass of the optically active molecule (CO) and  $m_b$  the mass of the perturber (N<sub>2</sub>). The collision integrals [94] are derived from the GHM cross sections (Eq. (8)):

$$\tilde{\omega}_{\lambda}^{s,s'}(q) = \bar{v}_r \int dx x^{(s+s'+2)/2} e^{-x} \sigma_{\lambda}^{(q)} \left( E_{kin} = xk_B T \right).$$
(S3)

Table 4 gathers the line shape parameters as provided by the GHM 722 method for the Q(0) line of the fundamental of CO in N<sub>2</sub>. One can see 723 that the RPA slightly underestimates the actual PB coefficients, especially 724 at the lowest temperatures. Moreover, the magnitude of the line shift, due to 725 the difference of the crossed products of elastic scattering amplitudes in the 726 ground and excited vibrational states (equation 6a of Ref. [80]), is smaller 727 than 5% of the PB coefficients, justifying a posteriori their neglect in the 728 experimental work. Finally, the effect of the velocity changing collisions has 729

been disregarded in the experimental spectra but Table 4 shows that in fact
the real part of the complex Dicke parameter is not negligible (for comparison
with a similar system, CO in argon baths, see Ref. [95]).

Table 4: Line shape parameters for the Raman Q(0) line of the fundamental band of CO in N<sub>2</sub>, in  $10^{-3}$  cm<sup>-1</sup> atm<sup>-1</sup>, and for selected temperatures: pressure broadening coefficients ( $\gamma_0$ ) (and the RPA value), pressure shift coefficients ( $\delta_0$ ), real and imaginary parts of the complex Dicke parameter ( $\tilde{\nu}_{out}$ ).

("(	pr)•				
T(K)	RPA	$\gamma_0$	$\delta_0$	$\widetilde{\nu}_{\rm opt}^{\rm r}$	$\widetilde{\nu}_{\rm opt}^{\rm i}$
50	276.9	288.7	-13.4	46.9	4.8
77	211.8	215.9	-6.9	31.4	1.8
100	178.7	180.8	-4.9	25.0	1.0
130	150.1	151.3	-3.5	20.2	0.5
195	114.5	114.9	-2.3	15.2	0.1
250	97.1	97.4	-1.9	13.2	0.0
298	86.6	86.8	-1.6	12.0	0.0

#### 733 B. Pressure broadening coefficients for S and R lines

In this second appendix we provide the inelastic contributions to the pres-734 sure broadening coefficients, at 77, 195 and 298 K, for the first pure rotational 735 Stokes and R lines. These values are obtained from our mixed CC/CSA set 736 of rate constants used in conjunction with the random phase approximation 737 (Eq. (18)). It is well know that if vibration and rotation-vibration interac-738 tion is neglected (i.e. in a rigid rotor approximation) the collisional width 739 of an isotropic Raman line is exactly given by inelastic events. For R and S 740 lines, in addition to the inelastic contribution, the elastic events come into 74: play through reorienting collisions. Such data for R lines are obviously in-742 teresting for remote sensing of the atmosphere, while the HWHM of pure 743 rotational S lines may be of interest for the study of combustion processes. 744 Our data, considered as primary pressure broadening coefficients, are not 745 expected to be highly accurate, but may serve as initial parameters in a fit of 746 experimental spectra. One may also use them to guess the temperature de-747 pendence of the pressure broadening coefficients essentially between 195 and 748 300 K. Finally, note that the inelastic contributions are roughly the same (to 749

about a few percent) for lines of the fundamental band because of the weak 750 vibrational dependence of the PES and a small change of the CO rotational 751 constant in the excited vibrational state. However, for the fundamental band 752 of CO the vibrational dependence of the interaction potential will induce an 753 additional elastic rovibrational dephasing contribution through the S-matrix 754 elements involved in the expression of the generalized spectroscopic cross sec-755 tion (Eq. (9)). Nevertheless, this contribution is expected to be quite small 756 above room temperature, as is the reorientational contribution (the latter 757 being more true as j increases). 758

Table 5 provides the results for Raman S lines. Unfortunately, we are not 759 aware of experimental measurements to compare with, although we note that 760 Hsu et al. [36] reported the data for the pure rotational S(6-15) lines. Table 6 761 provides our results for R lines. They are compared with experimental values 762 available in the literature for the pure rotational R(0), [26–29] R(1), [29, 30] 763 R(2) [29, 31] and R(4) [32, 33] lines and with our CSA calculations from 764 the previous work [34]. In the latter case, we refer to the values of  $\gamma_0$  and 765  $\gamma^{\dagger}$  (collisional width which includes the effect of speed-dependence of the 766 broadening, see Sec. IV in Ref. [34]), obtained from the PES of Liu et al. [2]. 767 Values of the pressure broadening coefficients at 195 K were determined from 768 Refs. [26–28, 30, 31, 34] using the power-law dependence of  $\gamma_0(T)$ . We note 769 that the values from Refs. [29] and [33] are reported for the  $^{13}$ CO molecule, 770 although, as pointed out by the authors, the dependence of collisional width 771 on the isotope of carbon is negligible. Lack of isotopic dependence in the 772 pressure broadening coefficients was also observed for the self-broadened OCS 773 lines [29], as well as for the Ar-perturbed lines of CO [96] and He-perturbed 774 lines of  $CO_2$  [97]. Our results also compare quite well with measurements 775 performed in the fundamental band [20-23] and even in the first and second 776 overtones [24, 25]. 777

Table 5: Inelastic contribution to the pressure broadening coefficients, in  $10^{-3}$  cm<sup>-1</sup> atm<sup>-1</sup>, for the rotational S(0) to S(4) lines of CO in N<sub>2</sub> at selected temperatures.

			-		-
T(K)	S(0)	S(1)	S(2)	S(3)	S(4)
77	190.0	171.8	165.6	163.3	163.3
195	102.7	93.1	88.2	84.3	82.0
298	76.8	69.7	65.9	62.7	60.6

778

Finally, our calculated values for Q and R lines agree quite well with the

most recent extensive calculations [38] performed on the basis of the semiclassical Robert-Bonamy formalism using a semi-empirical PES, the isotropic

<sup>781</sup> part of which was adjusted to match experimental data. These authors have

shown that, at room temperature, the elastic reorientational contribution to

the linewidth of the S (and thus R) lines is smaller than  $3 \times 10^{-3} \text{ cm}^{-1} \text{ atm}^{-1}$ 

for j<4 and smaller than  $1 \times 10^{-3}$  cm<sup>-1</sup> atm<sup>-1</sup> for higher j's, thus justifying the

785 RPA.

	d temperature	3.					
T(K)		$\mathrm{R}(0)$	R(1)	R(2)	R(3)	R(4)	R(5)
77	This work	195.6	174.4	166.2	163.0	162.6	163.6
195	This work	105.6	95.5	90.1	85.8	82.3	80.5
	Ref. 26	104.2					
	Ref. 27	119.7					
	Ref. 28	109.06					
	Ref. 30		104.9				
	Ref. 31			101.7			
	Ref. 34	117.98					
	Ref. $34^a$	112.82					
298	This work	78.9	71.8	67.8	64.1	61.1	60.3
	Ref. 26	76.2					
	Ref. 27	83.1					
	Ref. 28	79.70					
	Ref. $29^b$	78.80	73.45	69.65	68.90		
	Ref. 30		76.6				
	Ref. 31			71.14			
	Ref. $32^c$					66.1	
	Ref. $33^b$					64.0	
	Ref. 34	87.31					
	Ref. $34^a$	83.49					

Table 6: Inelastic contribution to the pressure broadening coefficients, in  $10^{-3}$  cm<sup>-1</sup> atm<sup>-1</sup>, for the rotational R(0) to R(5) lines of CO in N<sub>2</sub> and a comparison with the experimental data at selected temperatures.

<sup>a</sup>Calculations including the speed-dependence of the broadening. <sup>b</sup>Measurements performed for <sup>13</sup>CO at T = 296 K.

 $^c\mathrm{Measurements}$  performed at T=295 K.

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