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### Vertical variations in wood basic density for two softwood species

Antoine Billard<sup>a,b,\*</sup>, Rodolphe Bauer<sup>a</sup>, Frédéric Mothe<sup>a</sup>, Francis Colin<sup>a</sup>, Deleuze Christine<sup>c</sup>, Fleur Longuetaud<sup>a</sup>

<sup>a</sup> Université de Lorraine, AgroParisTech, INRAE, Silva, 54000 Nancy, France <sup>b</sup>Agence de la transition écologique, 20 Avenue du Grésillé, BP 90406, 49004 Angers Cedex 01, France <sup>c</sup>RDI, ONF, 39100 Dole, France

#### Abstract

Studies on wood basic density (BD) vertical variations become essential to predict more accurately the within-stem distributions of biomass and wood quality in the forest resource. The vertical variation of wood BD in the stem has been little studied until now, most BDstudies being based on measurements taken at breast height.

The main objective of this work was to observe and to understand the patterns of vertical BD variation within stems in relation to classical dendrometric variables and to propose relevant equation forms for future modelling.

Two softwood species were studied: *Abies alba* and *Pseudotsuga menziesii*. Contrasted thinning intensities were studied including strongly thinned plots *versus* control plots without thinning.

BD was most of the time highest at the base of the tree for both species. Then, after a strong decrease from the base of the tree, an increase in BD was often observed towards the top of the tree especially for *Abies alba*.

The variation in BD with height was stronger for the unthinned plots than for the heavily thinned ones of *Abies alba*. The opposite was observed for *Pseudotsuga menziesii*. The modulation of growth rate and tree size through thinning intensities modifies the observed vertical variations in BD.

Two types of biexponential models were proposed to describe BD variations. The first

<sup>\*</sup>Corresponding author

*Email addresses:* antoine.billard@inrae.fr (Antoine Billard), rodolphe.bauer@inrae.fr (Rodolphe Bauer), frederic.mothe@inrae.fr (Frédéric Mothe), francis.colin@inrae.fr (Francis Colin), christine.deleuze@onf.fr (Deleuze Christine), fleur.longuetaud@inrae.fr (Fleur Longuetaud)

model used the height in the stem and classical easily-measurable tree variables as inputs, the other one additionally used BD at breast height (BD130).

The relative RMSE of *BD* for *Abies alba* and *Pseudotsuga menziesii* were 9.9% and 8.1%. respectively, with the model without BD130 and 7.6% and 5.9%, respectively, with the model including BD130.

Keywords: Basic specific gravity, axial variations, thinning intensity, silver fir, Douglas fir

#### 1. Introduction 1

The modalities of wood formation and the variability of wood properties are being grad-2 ually discovered. Wood performs several functions that enable the development of the tree 3 itself and the structuring of forest ecosystems. It provides numerous ecological services such 4 as carbon fixation and economic services through its various uses accompanying human ac-5 tivities (timber, industrial wood, wood for energy, wood for chemical purposes). 6

Among the characteristics of wood, density is a critical property that is linked on the one 7 hand with the composition and anatomy of its constituent tissues and on the other hand with 8 other wood properties such as strength, stiffness and pulp yield (Barnett and Jeronimidis, 9 2003). Density is basically a measure of the mass of material in a given volume (Zobel and 10 Van Buijtenen, 1989). Basic density (BD) is defined by the ratio of the oven-dried mass 11 of wood to the corresponding volume of green (or fresh) wood. The volume of green wood 12 is a variable commonly measured and used by foresters and for forest inventories. Many 13 models exist to predict the green aboveground woody volume of trees for most temperate 14 tree species (Henry et al., 2013), whether it is the total aboveground volume or the stem 15 volume, total or up to a given stem diameter. The oven-dried mass of a wood sample can be 16 obtained after drying at 103°C in an oven (Williamson and Wiemann, 2010). The knowledge 17 of the mean BD of tree (or stem) makes it possible to convert the green volume of tree (or 18 stem) to dry mass of matter. Then, the product of dry mass by carbon fraction allows to 19 account for stored carbon (Wiemann and Williamson, 2014; Bouriaud et al., 2015). Similar 20 calculations can be used to estimate the quantities of various extractive compounds available 21 in the resource. 22

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Wood density is highly variable at all levels. It varies between species, between trees of a

given species and within trees. Many studies have already focused on the evaluation of wood 24 BD of most species. They led to the creation of global databases, such as the Global Wood 25 Density Database (Zanne et al., 2009), which provides mean BD for many species around 26 the world. However, such databases derived from literature data should be used with caution 27 and it is advised to check which density was measured, how it was measured and which is 28 the area of validity of each study (Taras and Wahlgren, 1963; Williamson and Wiemann, 29 2010). For instance, some studies provide air-dried density (i.e., the ratio of air-dried mass 30 to air-dried volume) that needs to be converted to BD. Conversion errors are possible as 31 shown by Vieilledent et al. (2018) who have started to correct the Global Wood Density 32 Database. 33

Most studies have analysed mean wood density at breast height only. Others have taken the knowledge a step further by looking at the radial variations in *BD* on cores or discs, taken most often at breast height only (Wahlgren and Fassnacht, 1959; Woodcock and Shier, 2002; Deng et al., 2014).

A general result is that, for most softwoods, the ring density decreases when ring width increases. This is explained by the fact that the wider the rings, the greater the proportion of earlywood made up of thin-walled tracheids and wide lumens dedicated to hydraulic conduction. At the same time the width of the latewood, more dedicated to mechanical resistance, remains relatively constant. Generally speaking, for these softwood species, it is expected that the more heavy the thinning, the wider the growth rings and the lower the density of the wood.

This is generally true for species showing a gradual transition between earlywood and latewood like *Abies* or *Picea* spp.. This is less clear for *Pseudotsuga menziesii*, for example, which shows an abrupt transition between earlywood an latewood (Todaro and Macchioni, 2011). And finally the results about the relationship between ring width and wood density are often conflicting (Jozsa et al., 1994).

Juvenile wood is also often cited as a factor influencing wood density. Larson et al. (2001) gives the definition of juvenile wood as the wood formed in the first three years of a tree. Then, the formed wood near the pith will be corewood, regardless of the height in the tree. Corewood is often confused with juvenile wood. The properties of corewood are highly variable, especially the wood density. According to Lachenbruch et al. (2011), for softwoods in the Pacific Northwest of North America, *BD* generally decreases approximately for ring numbers 5 to 20, then increases (sometimes only increases in particular for hard pines). The transition from corewood to outerwood (or mature wood) is usually soft. Thecorewood has a lower *BD* than mature wood (10–20% of differences). In this article, the term "juvenile wood" will be used for both juvenile wood and corewood.

Vertical (or axial) variations in density have been much less studied than radial variations. 60 There is, however, a real influence of vertical variations on the mean density of the whole 61 stem that can differ significantly from the density at breast height (Nogueira et al., 2008; 62 Wiemann and Williamson, 2014; Wassenberg et al., 2015; Longuetaud et al., 2016, 2017). 63 Studying the vertical variations of wood density allows to refine biomass estimates as well as 64 carbon accounting (Rueda and Williamson, 1992; Liepinš and Liepinš, 2017). This knowledge 65 is also required to assess accurately the amount and quality of the wood resource depending 66 on the location along the stem (Tian et al., 1995; Kimberley et al., 2015). And this detailed 67 description should make it possible to optimize the use of the resource for different purposes. 68 Indeed, numerous wood properties are correlated to wood density, like mechanical properties 69 (Niklas and Spatz, 2010), dimensional stability (Hernández, 2007) or durability (Humar et al., 70 2008) that are of peculiar importance for the structural uses of wood, especially for softwoods. 71 Fuelwood properties can also be related to wood density (Sotelo Montes et al., 2017) as well 72 as paper properties (García-Gonzalo et al., 2016). Moreover, the stem volume represents 73 about 80% of the total aboveground woody volume for softwoods and the precision on such 74 a large volume cannot be neglected (Billard et al., 2020). 75

Density variations with height can be related to radial variations due to cambial age, ring width or juvenile wood, especially for species showing strong radial variations in density. For instance, the mean wood density at a given height can be related to the proportion of juvenile wood at that height, especially for species showing a strong difference in density between juvenile wood and mature wood (Zobel and Van Buijtenen, 1989).

For softwoods, the density seems to decrease from the bottom of the tree to the top (Gartner et al., 2002; Longuetaud et al., 2017). Sometimes, a U-shaped curve was observed, especially for *Picea abies* which is the most widely studied species (Repola, 2006; Molteberg and Høibø, 2007; Liepiņš and Liepiņš, 2017). The decrease in density between the bottom
and the top of the stem was also observed for *Ps. menziesii* (Kimberley et al., 2017) and *Abies alba* (González-Rodrigo et al., 2013).

Some authors have proposed models for describing the vertical variations in density in the stem. Table 1 presents models which provides wood BD at different heights. Most models are linear models, often of polynomial form (among others, Rueda and Williamson, 1992; Tian et al., 1995; Repola, 2006; Molteberg and Høibø, 2007; González-Rodrigo et al., 2013; Deng et al., 2014). The main tree variables used in these models are tree total height (H) and diameter (D130) or circumference at breast height (C130). Sometimes the age of the tree was used.

Models using ring number or distance from the pith in addition to the height in the stem as input variable (e.g., Rueda and Williamson, 1992; Tian et al., 1995) are not presented here since this paper focuses on the mean *BD* of whole discs.

In this study, the vertical variations in BD of two softwood species were studied: Abies 97 alba Mill. (A. alba) and Pseudotsuga menziesii (Mirb.) Franco (Ps. menziesii). These 98 species are of major importance in the North-East of France and have the interest of being 99 very different from an ecological point of view with contrasting shading tolerances (Niinemets 100 and Valladares, 2006) and contrasted anatomical characteristics with more or less marked 101 transitions between earlywood and latewood (Saranpää, 2003; Todaro and Macchioni, 2011). 102 To our knowledge, there are almost no studies that address vertical variations in density for 103 these species, except the one from Kimberley et al. (2017) on Ps. menziesii and the one from 104 González-Rodrigo et al. (2013) on A. alba. 105

The main objective of this work was therefore to describe these vertical variations of BD in 106 the stems and to propose some explanations for the observed patterns. For this purpose, new 107 forms of models more easily extensible to new data than the previous polynomial approaches, 108 have been proposed and fitted. Considering that BD at breast height can be relatively easily 109 assessed by analysing core samples or by using dedicated models (Kerfriden et al., 2021), we 110 have compared models using and not using this data as input. Such models may serve as a 111 basis for future predictive modelling on a larger data set. To guide this work, and based on 112 the literature, we have formulated four more specific hypotheses to which we will come back 113

<sup>114</sup> with regard to our results in the discussion:

• H1: The proportion of juvenile wood in stem discs contributes to explain the *BD* variations with height in the stem;

 H2: Stem discs showing the larger ring widths, regardless of their height in the tree, have a lower BD (i.e., the ring density-ring width well-known relationship for softwoods may be generalised to the average level of the whole disc, from different trees or different heights in the stem);

- H3: On the basis of H1 and H2, the growth rate and/or tree size modulated by thinning intensities modifies the vertical variation of *BD* in the stems;
- H4: *BD* measured at breast height overestimates significantly the mean *BD* of the whole stem and as a consequence breast height measurements are not representative of the whole stem.

Table 1: Equations of the literature to model the mean basic density of a disc at a given height in the stem.  $BD_{disc}$  is the whole-disc mean density, BD130 is the mean density at breast height, hr is the relative height of the disc, age is the age of the tree, D130 is the diameter at breast height of the tree, H is the total tree height,  $b_0, \ldots, b_5$  are the fixed-effect parameters,  $u_0, \ldots, u_3$  are the random-effect parameters.

	Article	Species	Number of trees	Equation
Without BD130	Repola (2006)	P. abies	39	$BD_{disc} = b_0 + b_1 \cdot H + b_2 \cdot \frac{D130}{age} + b_3 \cdot hr$ $+b_4 \cdot hr^2 + u_0 + u_1 \cdot hr + u_2 \cdot hr^2$
	González-Rodrigo et al. (2013)	Abies alba	5	$BD_{disc} = b_0 - b_1 \cdot H$
	Dong at al. $(2014)$	Pinus massoniana	108	$BD_{disc} = b_0 + b_1 \cdot age + b_2 \cdot D130 + b_3 \cdot hr + b_4 \cdot hr^2$
	Deng et al. $(2014)$	1 inus massoniana	108	$+b_5 \cdot hr^3 + u_0 + u_1 \cdot hr + u_2 \cdot hr^2 + u_3 \cdot hr^3$
With <i>BD</i> 130	Kimberley et al. (2015)	Pinus radiata	10000	$\begin{aligned} h_k &= \frac{1.4}{H} - 0.4\\ L_k &= \frac{BD130 - b_0 - b_1 \cdot h_k - b_2 \cdot h_k^2 - b_3 \cdot h_k^3}{1 + b_4 \cdot h_k}\\ BD_{disc} &= (b_0 + L_k) + (b_1 + b_4 \cdot L_k) \cdot (hr - 0.4)\\ + b_2 \cdot (hr - 0.4)^2 + b_3 \cdot (hr - 0.4)^3 \end{aligned}$
	Kimberley et al. (2017)	Ps. menziesii	172	$h_{k} = \frac{1.4}{H}$ $L_{k} = BD130 - (b_{0} + b_{1} \cdot h_{k} + b_{2} \cdot h_{k}^{2} + b_{3} \cdot h_{k}^{3})$ $BD_{disc} = L_{k} + b_{0} + b_{1} \cdot hr + b_{2} \cdot hr^{2} + b_{3} \cdot hr^{3}$ $= BD130 + b_{1} \cdot (hr - h_{k}) + b_{2} \cdot (hr^{2} - h_{k}^{2})$ $+ b_{3} \cdot (hr^{3} - h_{k}^{3})$

Abbreviation	Meaning
BD	Basic density (kg $\cdot$ m <sup>-3</sup> )
BD130	Basic density at breast height (kg $\cdot {\rm m}^{-3})$
Н	Total height of the tree (m)
h	Height of the measure (m)
hLLB	Height of the lowest living branch (m)
hLC	Height to the base of the living crown (m)
hr	Relative height of the measure $(\%)$
hrLC	Relative height of the living crown $(\%)$
D130	Diameter at breast height (cm)
C130	Circumference at breast height (cm)
<i>RW</i> 130	Mean ring width at breast height (mm)

Table 2: Table of abbreviations used in the article.

#### 126 2. Materials and Methods

All the abbreviations used in this paper are listed in Table 2.

#### <sup>128</sup> 2.1. Study sites and sampling design

The trees were sampled within the framework of two projects named "ExtraFor Est" 129 and "ModelFor". In both datasets, contrasted thinning intensities were tried out. For each 130 species, trees from "ExtraFor\_Est" were selected from two plots: a plot without thinning 131 (only natural mortality) and another one with heavy thinning. For each species, trees from 132 "ModelFor" were selected from three plots: without thinning, moderate thinning and heavy 133 thinning. Table 3 provides information about the stands. More information is available 134 in Table A.1 of Appendix A. The trees came from the Office National des Fôrets (ONF) 135 experimental monitoring forest for which the history of the trees has been kept since the 136 plantation. Moreover, Ps. menziesii from "ModelFor" came from the experimental network 137 of Douglas stands managed by the GIS Coop (https://www6.inrae.fr/giscoop, Seynave 138 et al. (2018)) 139

Our forest identifiers have been constructed as follows: the first two letters correspond to the species "Aa" means *Abies alba* and "Pm" means *Pseudotsuga menziesii*, the number following these two letters 1, 2 or 3 represents the number of the forest (see Table 3 for more details). These two letters and this number are sometimes followed by an hyphen and a letter which represent the thinning modality. "-u" means unthinned modality, "-m" means moderate thinning and "-h" means heavy thinning.

Tree species	Forest	ID	Location	Altitude (m)	Number of trees	Age of trees	Project
A. alba	Saint-Prix	Aa1	46.97  N, 4.07  E	750	8	43-57	ExtraFor_Est
	Saint-Prix	Aa2	$46.97 \ \mathrm{N}, \ 4.07 \ \mathrm{E}$	750	14	36-80	ModelFor
	Mont-Sainte-Marie	Aa3	46.79 N, 6.31 E	1000	15	36-41	ModelFor
Ps. menziesii	Mélagues	Pm1	43.71 N, 3.06 E	800	8	48	$ExtraFor\_Est$
	Grison	Pm2	46.66 N, 4.74 E	210	15	43	ModelFor
	Quartier	Pm3	46.15  N, 2.77  E	630	15	20	ModelFor

Table 3: Site characteristics.

The trees from "ExtraFor\_Est" were cut in February 2018. The trees in each thinning 146 modality were classified into four diameter classes (defined by the  $25^{th}$ ,  $50^{th}$  and  $75^{th}$  per-147 centiles) and one tree was felled in each diameter class. The circumference at breast height 148 (1.30 m) was measured. After felling the trees, the total height and the height to the base 149 of the living crown (the lowest whorl with at least 3/4 of living branches) were measured. 150 A total of 15 discs were sampled along the stem, avoiding knots. The first three discs were 151 taken systematically at heights 0.3 m, 0.8 m and 1.30 m. The 12 other discs were regularly 152 distributed along the stem. 153

The same protocol was applied to the trees from "ModelFor" dataset except that the 154 number of discs depended on the tree length. Above breast height, the discs were sampled 155 every 2 m at the maximum. For *Ps. menziesii* of Grison and Quartier forests, five trees 156 per thinning modality were chosen in order to represent the different diameters present in 157 the plot (i.e., one tree in each of the five diameter classes based on the  $20^{th}$ ,  $40^{th}$ ,  $60^{th}$  and 158  $80^{th}$  percentiles), resulting in 15 trees per forest. For A. alba, 14 trees per forest were felled 159 (and one more at Mont-Sainte-Marie). There were four trees sampled in heavy thinning and 160 moderate thinning modalities (five in moderate thinning modality at Mont-Sainte-Marie) and 161 six trees in the plot without thinning. The number of trees per thinning modality is given 162

in table A.1 of Appendix A. The trees were also chosen within the different diameter classes
based on the corresponding percentile values as this was described above. The A. alba trees
were cut in February 2014 and the Ps. menziesii trees were cut in March 2015.

All the *Ps. menziesii* plots were issued from even aged plantations. The *A. alba* plots being issued from naturally generated forests, the age of the trees was estimated using an additional disc taken at the stump.

<sup>169</sup> Table A.2 of Appendix A provides dendrometric variables about the trees.

#### 170 2.2. Wood density measurement

The discs were X-ray scanned in a green state with a medical computed tomograph (CT) scanner. The discs were then dried in an oven at 103 °C to a constant weight. They were scanned again in the oven-dried state. More information about the wood density measurements by CT scanning is available in Freyburger et al. (2009).

Basic density (BD) of wood was computed for each disc from the two scans (in green and oven-dried states) as described in Longuetaud et al. (2016).

#### 177 2.3. Calculation of variables

The relative height  $hr_{ij}$  of disc j from tree i is calculated as follows:

$$hr_{ij} = \frac{h_{ij}}{H_i}$$

<sup>179</sup> with  $h_{ij}$  the height of disc j from tree i and  $H_i$  the total height of tree i.

The mean ring width at breast height  $RW130_i$  from tree *i* is estimated as follows, considering the units of measurements given in table 2:

$$RW130_i = \frac{D130_i \cdot 10}{2 \cdot age_i}$$

with  $D130_i$  the diameter at breast height of tree *i* and  $age_i$  its age (actual or estimated).

The mean BD of stem wood for tree *i* is calculated from the mean BD of each disc *j* from the tree *i* weighted by the volumes of wood of the stem short logs represented by each disc, i.e. a portion of the stem on either side of the disc calculated so that each part of the stem is associated with the closest disc.

#### 187 2.4. Statistical modelling

The R software was used for statistical analyses (R Core Team, 2020). The main functions used were: the lm, nls, anova, AIC and TukeyHSD functions from the stats package and the nlsList, nlme, gnls and ACF functions from the nlme package.

In each case, for our vertical profiles of BD, mixed-effect models were fitted to obtain for each tree the best set of parameters and then to assess step by step the predictive power of thinning modality and tree variables on those model parameters. When required, an autoregressive correlation structure of order 1 (corAR1) was used to take into account the correlation of within-group errors related to the multiple BD observations done along the stem of a given tree (Pinheiro and Bates, 2000).

<sup>197</sup> Multiple linear regressions were also fitted at the disc level in order to predict *BD* from <sup>198</sup> mean ring width and mean cambial age whatever the height in the tree. These regressions <sup>199</sup> were fitted for comparison purpose with the previous models for vertical *BD* profiles, espe-<sup>200</sup> cially with the models that take as input only external variables that are easily measurable <sup>201</sup> in the forest. As density models as a function of ring width and cambial age are usually <sup>202</sup> developed at the annual ring level, we also tried to calculate mean ring width and mean <sup>203</sup> cambial age by weighting by the area of each ring to be more representative of the disc.

For comparison with other models from the literature, RMSE, relative RMSE and AIC were used. The models from the literature have been fitted on our data set. The models were then compared on the basis of their fixed-effects only  $(b_0, \ldots, b_5$  in Table 1).

Since most of the vertical density profiles had a U-shape pattern, we chose a biexponential model as described by Crawley (2012) rather than a polynomial model. Most of the approaches found in the literature are based on polynomial models. We made the hypothesis that the biexponential model is more robust and that the parameters are easier to interpret because they are less correlated than in a polynomial model.

The general form of the model is given in Equation 1:

$$BD_j = f(hr_j) = a \cdot \exp(b \cdot hr_j) + c \cdot \exp(d \cdot hr_j)$$
(1)

with  $BD_j$  the basic density of disc j (in kg  $\cdot$  m<sup>-3</sup>) at the relative height  $h_j$  and a, b, c and d the model parameters. At the ground level, the BD predicted by model (1) is:

$$f(0) = a + c = k \tag{2}$$

It is thus expected to have a relationship between k and the BD at breast height (BD130). By replacing a by k - c and factoring by c in Equation 1, we obtained:

$$BD_j = c \cdot (\exp\left(d \cdot hr_j\right) - \exp\left(b \cdot hr_j\right)) + k \cdot \exp\left(b \cdot hr_j\right)$$
(3)

For each species, two models derived from Equation 3 were tested: A first model not 218 using BD130 as input variable (model called NBD) and a second one with BD130 as input 219 variable (model called WBD). The reason for distinguishing both cases is that, as it will be 220 shown, BD130 considerably helps to predict the BD profile, but is rarely measured in the 221 field. The interest of WBD model is that it could take as input the output of a BD130222 model that would be developed on a larger number of trees and that would take into account 223 tree variables and ecological characteristics at the resource scale. This is one objective of the 224 XyloDensMap project for instance (Jacquin et al., 2019). 225

Apart from BD130, the other candidate explanatory variables tested in our models were: the relative height of the disc (hr), the circumference at breast height (C130), the total tree height (H), the height to the base of the living crown (hLC), the relative height to the base of the living crown (hrLC), the ratio height/diameter  $(\frac{H}{D130})$ , the tree age (age) and the mean annual ring width at breast height (RW130).

Other vertical profile models in which a minimum of basic density was imposed at the height of the base of the living crown were tested. But these models were too constrained and less efficient.

Mixed models were fitted on all trees at once by testing random effects on each parameters for each species, resulting in a set of parameters k, b, c and d for each tree. By analysing the relations of k, b, c, d with the tree descriptors (avoiding to use in the same equations correlated variables), several relations were obtained for several parameters (see section 3.5). We therefore introduced these relations in the general models to verify, for each species, if random tree effect parameters were still necessary.

### 240 2.4.1. Model without basic density at breast height (NBD)

For this model, Equation 3 was used as is for calibration and search for explanatory variables.

### 243 2.4.2. Model including basic density at breast height (WBD)

By forcing the model to pass through BD130 at 1.30 it was possible to remove one parameter from the model. Indeed, for  $hr_j = 1.30/H$  in Equation 3, it is possible to express c as:

$$c = \frac{BD130 - k \cdot \exp\left(b \cdot \frac{1.30}{H}\right)}{\exp\left(d \cdot \frac{1.30}{H}\right) - \exp\left(b \cdot \frac{1.30}{H}\right)}$$
(4)

Then, replacing c in Equation 3, we obtain:

$$BD_j = \frac{BD130 - k \cdot \exp\left(b \cdot \frac{1.30}{H}\right)}{\exp\left(d \cdot \frac{1.30}{H}\right) - \exp\left(b \cdot \frac{1.30}{H}\right)} \cdot \left(\exp\left(d \cdot hr_j\right) - \exp\left(b \cdot hr_j\right)\right) + k \cdot \exp\left(b \cdot hr_j\right) (5)$$

where b, d and k are the model parameters

For comparison with the WBD models, the Kimberley models were tested by replacing in the equations the breast height 1.4 m by 1.3 m in our study.

#### 251 3. Results

#### <sup>252</sup> 3.1. Relationship between thinning intensity and the measured variables

#### 253 3.1.1. Dendrometric variables

By plotting boxplots of tree related variables for each plot (Appendix B), it can be verified that the measured dendrometric variables were well adapted to characterize the effect of thinning intensities.

#### 257 3.1.2. Basic density

BD130 did not seem to highly depend on the thinning intensity, except maybe for the mature stands of *Ps. menziesii* (variation significant only for forest Pm2). While for *A. alba BD130* seemed to be higher in the unthinned stand of forest Aa3 (even if the difference was not significant), for *Ps. menziesii BD130* tended to be lower in the unthinned mature stands. For the mean BD of the stem, the difference between thinning intensities was statistically significant for stands Aa1 and Pm2. For these two forests, it was clear that BD was higher in heavy thinning modalities. Even if the results were not significant, we observed the same tendencies for the other forests with less important differences and with the exception of Aa3 and Pm3.

#### <sup>268</sup> 3.2. Basic density at breast height versus mean basic density of the stem wood

Breast height is the reference height for many measurements (diameter, ring width, density...). In a first analysis, the mean BD of the whole stem was compared with the mean BDof the disc taken at breast height (Figure 1). A paired t-test was applied (Table 4). This part of the work is a continuation of the work done previously in Longuetaud et al. (2017). Table 4 shows that the mean BD of the stem is significantly different from that at breast height for *A. alba* and *Ps. menziesii*. The *BD* at breast height is generally higher than the mean *BD* of the stem. Results were the same regardless of the data source.

Table 4: Mean basic density of the whole stem wood and mean basic density of the disc at breast height. The symbols indicate the significance of the difference based on a paired t-test: NS:  $p \ge 0.05$ , \*: p < 0.05, \*\*: p < 0.01, \*\*\*: p < 0.001.

		Mean $BD$	Mean $BD$	Difference between $BD$ at breast height	
Tree species	Number of trees	at breast height	of the stem wood	and mean $BD$ of the stem wood	Signifiance of the difference
		$(\mathrm{kg}\cdot\mathrm{m}^{-3})$	$({\rm kg}~\cdot~{\rm m}^{-3})$	$({ m kg}\cdot{ m m}^{-3})$	
A. alba	37	378.80	358.24	20.56	***
Ps. menziessii	36	445.74	423.03	21.45	***



Figure 1: Mean basic density of the whole stem wood as a function of the mean basic density of the breast height disc for each species and each stand (refer to Table 3 for the meaning of the abbreviations in the legend). The black line is the y=x line. The green line is the linear regression for *A. alba* and the brown one the linear regression for *Ps. menziesii*. The regression equations and the coefficients of determination for each species are given in the same colors as the points.

#### 276 3.3. Basic density of a disc versus ring width and cambial age

<sup>277</sup> When considering the whole sample for each species, there was no clear relationship <sup>278</sup> between BD and ring width at the disc level, with  $R^2$  ranging from 0.01 to 0.14 depending <sup>279</sup> on the species (Figure 2). Considering that each ring should contribute to the disc BD<sup>280</sup> in proportion to its area we have also tried to relate BD of discs to the mean ring width <sup>281</sup> weighted by ring areas: The results were not much better (Figure C.1 in Appendix C).

<sup>282</sup> BD was slightly more correlated with the mean cambial age (*i.e.* half the number of rings <sup>283</sup> in the disc), especially for *Ps. menziesii* with  $R^2$  from 0.12 to 0.17 (Figure 2). The mean <sup>284</sup> cambial age weighted by ring areas was not more correlated to the disc *BD* (Figure C.1 in <sup>285</sup> Appendix C).

Multiple linear regressions were fitted with mean ring width, mean cambial age and interaction between the two variables as explanatory variables. For comparison purpose, AIC, RMSE and relative RMSE are given in Table 7.

#### 289 3.4. Variation of basic density along the stem

The variation of BD with height (Appendix D) shows that for A. *alba*, the variation seemed to be strong for unthinned plots with a high BD at the bottom of the tree. Variation was lower for heavy thinning plots and the BD at the bottom of the tree was lower than that of trees from unthinned plots.

For *Ps. menziesii*, it was the opposite (Appendix E). The variation was higher for heavy thinning plots and lower for unthinned plots. This time, the *BD* at the bottom of the tree was higher for heavy thinning plots than for unthinned plots. This observation is not applicable to stand Pm3 in which the trees were the youngest (20 years) and the variations in *BD* were quite low.

For both species, BD decreased as the height in the stem increased.

Figures 3, 4 and 5 show that the models manage to express well the variability at the bottom of the trees.



Figure 2: Mean basic density as a function of ring width and cambial age at the disc level. The black lines correspond to the regression lines. The regression equations and the significance of the slope parameters (p-values) are given on each plot: NS:  $p \ge 0.05$ , \*: p < 0.05, \*\*: p < 0.01, \*\*\*: p < 0.001.



Figure 3: Smoothed curves of basic density variation with relative height for all the trees for each species. The colors represent the thinning intensities: Orange is for trees from the heavy thinning plots, green for trees from moderate thinning plots and blue for trees from plots without thinning. The line type identifies the stand.



Figure 4: Profiles of basic density estimated by the NBD models for each tree (A. alba and Ps. menziesii). The colors represent thinning: Orange is for the trees from the heavy thinning plots, green is for the trees from the moderate thinning plots and blue is for the trees from without thinning plots. The line type identifies the stand.



Figure 5: Profiles of basic density estimated by the WBD models for each tree (*A. alba* and *Ps. menziesii*). The colors represent thinning: Orange is for the trees from the heavy thinning plots, green is for the trees from the moderate thinning plots and blue is for the trees from without thinning plots. The line type identifies the stand.

#### <sup>302</sup> 3.5. Vertical profile models without basic density at breast height (NBD)

Table 7 gives the values of absolute and relative RMSE (by using the fixed-effects only) and AIC obtained with our model and models from literature that do not include *BD*130. All the models were calibrated on our data set for comparison purpose. For the Deng et al. (2014) model, we used a diagonal variance-covariance matrix for the random-effect parameters otherwise it was not possible to obtain the convergence of the model.

308 3.5.1. A. alba

For the model without BD130 as input variable, we obtained for A. *alba* the following modeling of the initial parameters as a function of tree variables:

$$k_{i} = k_{1} \cdot \frac{1}{C^{130_{i}}} + k_{2} + \alpha_{i}$$

$$b_{i} = b_{1} \cdot \frac{H_{i}}{D^{130_{i}}} + b_{2} + \beta_{i}$$

$$c_{i} = c_{1} \cdot H_{i} + c_{2} + \gamma_{i}$$
(6)

Random-effect parameters  $\alpha_i$ ,  $\beta_i$  and  $\gamma_i$  were needed for  $k_2$ ,  $b_2$  and  $c_2$ , respectively, and were kept in the final model. Since no relation was obtained for parameter d, it was let as is in the general model. Table 5 gives the values of the fixed-effect parameters for the final mixed-effect model. The standard deviations of random-effect parameters  $\alpha_i$ ,  $\beta_i$  and  $\gamma_i$  were 3.29e+01, 2.22e-01 and 5.06e+01, respectively.

Table 5: Fixed-effect parameters and their standard deviations (in brackets) for the NBD model not using basic density at breast height for A. *alba*.

Species	$k_1$	$k_2$	$b_1$	$b_2$	$c_1$	$c_2$	d
A. alba	$3.890985e{+}03$	3.421220e + 02	-5.849102e-03	6.240366e-01	-5.648390e+00	$1.966764e{+}02$	-5.160087e+00
	(5.056307e+02)	(1.188139e+01)	(9.198257e-04)	(9.707743e-02)	(1.500177e+00)	(2.595777e+01)	(7.320403e-01)

#### 317 3.5.2. Ps. menziesii

We obtained for *Ps. menziesii* the following model of the initial parameters as a function of tree variables:

$$\begin{cases} k_{i} = k_{1} \cdot \frac{1}{H_{i}} + k_{2} \cdot RW130 + k_{3} \cdot hrLC_{i} + k_{4} + \alpha_{i} \\ b_{i} = k \cdot (b_{1} \cdot \frac{1}{H_{i}} + b_{2} + \beta_{i}) \end{cases}$$
(7)

The analysis showed that random-effect parameters  $\alpha_i$  and  $\beta_i$  were needed for  $k_4$  and  $b_2$ respectively in the final model. Since no relation were obtained for parameters d and c, there were let as is in the general model. Table 6 gives the values of the fixed-effect parameters of the final mixed-effect model. The standard deviations of random-effect parameters  $\alpha_i$  and  $\beta_i$ were 3.65e+01 and 1.93e-04, respectively.

The plots of BD predicted by the different models as a function of the relative height in the tree are presented in Appendices D and E.

Table 6: Fixed-effect parameters and their standard deviations (in brackets) for the NBD model not using basic density at breast height for *Ps. menziesii*.

Species	$k_1$	$k_2$	$k_3$	$k_4$	$b_1$	$b_2$	С	d
Ps. menziesii	-1.842987e + 03	-1.828230e+01	-1.494966e+02	$6.978071e{+}02$	5.841137e-03	-8.275563e-04	$2.996460e{+}00$	$3.411697e{+}00$
	(4.272549e+02)	(6.591333e+00)	(5.491386e+01)	(7.067386e+01)	(1.875783e-03)	(1.398871e-04)	(4.052021e+00)	(1.205966e+00)

Tree species	Model	Number of parameters	AIC	RMSE (kg $\cdot$ m <sup>-3</sup> )	Relative RMSE (%)
	NBD	7	4230.29	35.29	9.92
	Multiple regression at disc level	4	4717.58	40.82	11.47
Abies alba	Repola (2006)	5	4256.82	40.90	11.50
	González-Rodrigo et al. $(2013)$	2	4785.11	44.13	12.40
	Deng et al. $(2014)$	6	4305.45	46.76	13.14
	NBD	8	4571.37	34.19	8.11
	Multiple regression at disc level	4	5097.03	38.01	9.01
$Pseudotsuga\ menziesii$	Repola (2006)	5	4544.92	37.64	8.93
	González-Rodrigo et al. $(2013)$	2	5155.69	40.45	9.59
	Deng et al. $(2014)$	6	4568.35	37.98	9.01

Table 7: AIC, RMSE and relative RMSE for the NBD models and various models from the literature not using basic density at breast height.

327 3.6. Vertical profile models with basic density at breast height (WBD)

Table 10 gives the values of AIC, RMSE and relative RMSE (by using the fixed-effects only) obtained with our model and Kimberley et al. (2015) model which was calibrated on our data set for comparison purpose.

#### 331 3.6.1. A. alba

For the WBD model, we obtained for *A. alba* the following models of the initial parameters as a function of tree variables:

$$\begin{cases} k_i = (k_1 \cdot \frac{1}{C130_i} + k_2 + \alpha_i) \cdot BD130_i \\ d_i = d_1 \cdot \frac{1}{BD130_i} + d_2 + \beta_i \end{cases}$$
(8)

Random-effect parameters  $\alpha_i$  and  $\beta_i$  were needed for  $k_2$  and  $d_2$  respectively and a diagonal variance-covariance matrix was used. An autoregressive correlation structure of order 1 was used. The single correlation parameter was 2.39e-01.

Since no relation was obtained for parameter b, it was let as is in the general model. Table 8 gives the values of the fixed-effect parameters for the final mixed-effect model. The standard deviations of random-effect parameters  $\alpha_i$  and  $\beta_i$  were 2.57e-02 and 1.26e-01, respectively.

#### 340 3.6.2. Ps. menziesii

We obtained for *Ps. menziesii* the following modeling of the initial parameters as a function of tree variables:

$$k_i = (k_1 \cdot H_i + k_2) \cdot BD130_i$$
  

$$d_i = (d_1 + \alpha_i) \cdot BD130_i + d_2$$
(9)

Since no relation was obtained for parameter b, it was let as is in the general model. Random-effect parameter  $\alpha_i$  was needed for d1. An autoregressive correlation structure of order 1 was used. The single correlation parameter was 2.10e-01.

Table 9 gives the values of the fixed-effect parameters for the final mixed-effect model. The standard deviation of random-effect parameter  $\alpha_i$  was 2.22e-04.

Table 8: Fixed-effect parameters and their standard deviations (in brackets) for the WBD model with basic density at breast height for A. *alba*.

Species	$k_1$	$k_2$	b	$d_1$	$d_2$
A. alba	4.2497463e+00	1.0127349e+00	-4.3583799e+00	2.962377782e + 02	-6.015024e-01
	(6.484259e-01)	(1.178484e-02)	(5.784795e-01)	(1.025718e+02)	(2.801850e-01)

Table 9: Fixed-effect parameters and their standard deviations (in brackets) for the WBD model with basic density at breast height for *Ps. menziesii*.

Species	$k_1$	$k_2$	b	$d_1$	$d_2$
Ps. menziesii	-2.097975e-03	1.090797856e+00	-6.142812835e+00	-2.819938e-03	1.285744246e + 00
	(5.025279e-04)	(1.708982e-02)	(1.332227e+00)	(4.137676e-04)	(1.928312e-01)

Table 10: AIC, RMSE and relative RMSE for the WBD models and various models from the literature with basic density at breast height.

Tree species	Model	Number of parameters	AIC	RMSE (kg $\cdot$ m <sup>-3</sup> )	Relative RMSE (%)
	WBD	6	4250.76	27.09	7.62
A. alba	Kimberley et al. (2015)	5	4402.06	28.88	8.12
	Kimberley et al. $(2017)$	3	4532.56	33.44	9.40
Ps. menziesii	WBD	6	4529.57	24.83	5.89
	Kimberley et al. (2015)	5	4679.22	25.04	5.94
	Kimberley et al. (2017)	3	4938.27	32.52	7.71

The graphs representing the BD predicted by the different models as a function of the relative height in the tree are given in Appendices D and E.

#### 350 3.7. Relevance of the models

In the models based on Equation 3, the parameter k represents the BD at the ground level. For A. alba this parameter was controlled by tree size since k increased when C130decreased in the NBD model. For the WBD model, the same trend was observed. Thus, smaller trees would have higher BD at the bottom of the stem.

For Ps. menziesii the parameter k of the NBD model was found to be related to H, 355 RW130 and hrLC. Considering the values of the corresponding parameters it appears that, 356 here also k increased when ring width decreased. In addition, more the tree was small in 357 height, lower dense it was at the ground level (e.g., a 20 m high tree is 18 kg.m<sup>-3</sup> less dense 358 than a tree of 25 m high tree). For the WBD model, a large part of the variation between trees 359 was already taken into account through the variable BD130, which could explain that the 360 effect of H on k was weaker than above and not in the same direction (e.g.,  $k = 1.05 \cdot BD130$ 361 for a 20 m height tree versus  $1.04 \cdot BD130$  for a 25 m height tree). 362

The parameters b and d controlled the slopes of the BD variation in the stem and were more difficult to interpret since both effects can add up or offset each other to a certain extent. For the models including BD130, b was negative for both species with a relatively constant value for all trees of a given species (no random effect). The decrease seemed strong for both species (Appendices D and E).

On the other hand, the parameter d was dependent on BD130. When BD130 increased, the value of d decreased and even became negative for Ps. menziesii (e.g., for A. alba, d = 0.39 for BD130 = 300 kg.m<sup>-3</sup> and d = 0.14 for BD130 = 400 k.m<sup>-3</sup>, and for Ps.menziesii, d = 0.16 for BD130 = 400 kg.m<sup>-3</sup> and d = -0.12 for BD130 = 500 kg.m<sup>-3</sup>).

#### 372 4. Discussion

#### 373 4.1. Two very different softwood species

Firstly, these species are ecologically very different with contrasted strategies. A. alba is a shade-tolerant and late-successional species with a shade-tolerance score of  $4.60 \ (0.06)^1$ 

<sup>&</sup>lt;sup>1</sup>Shade-tolerance score and standard deviation in brackets. Tolerance scores range from 0 (no tolerance) to 5 (maximal tolerance).

<sup>376</sup> (Niinemets and Valladares, 2006) and is known to have a very slow radial growth in the early <sup>377</sup> years. At the opposite, *Ps. menziesii* is rather shade-intolerant with a score of 2.78 (0.18).

From an anatomical point of view these species are also different. A. alba shows a progressive transition between earlywood and latewood while Ps. menziesii shows an abrupt transition. Todaro and Macchioni (2011) suggest that there is a link between these anatomical characteristics and the presence of a negative correlation between ring wood density and ring width. This negative correlation is often reported for P. abies but the results are sometimes controversial, especially for Ps. menziesii (Jozsa et al., 1994).

#### <sup>384</sup> 4.2. Factors that influence wood density and its vertical variation in the stem

The observed patterns of vertical variation in BD were the following. For A. alba, BD385 tended to decrease from the butt to the height of the living crown then sometimes to increase 386 towards the top (U-shape). For Ps. menziesii, BD decreased from the butt to the top of 387 the stem for most of the trees. A few trees followed the opposite trend. Ps. menziesii and 388 A. alba were few studied for their vertical variation in density. On Ps. menziesii, Kimberley 389 et al. (2017) found a sigmoidal pattern with an increase in density towards the bottom of 390 the stem and a decrease towards the top which is in overall consistent with our observations, 391 except maybe towards the top of the stem. On A. alba, González-Rodrigo et al. (2013) used 392 a linear model to describe the decrease in wood density with height in the stems. 393

To try to explain these vertical variations we can return to the more widely studied radial variations. Indeed, radial variations in density can have an impact on vertical variations in the stem, as the proportions (of the wood disc area) of different types of wood (e.g., juvenile *versus* mature wood), or the proportion of narrow rings, for instance, can change with the height in the tree.

#### 399 4.2.1. The juvenile wood

For Lachenbruch et al. (2011), the typical radial pattern for the wood density of most softwood species is to have a corewood with a lower density than the outerwood. Woodcock and Shier (2002) highlighted the relationship between such radial patterns and species strategies. In Longuetaud et al. (2017), less dense wood was observed in about the first five cm around the pith for *Ps. menziesii* followed by an increase in *BD* with a sigmoid pattern.

While for A. alba a progressive decrease in BD from the centre of the stem was observed in 405 this previous study. This was consistent with the successional status of these two species. As 406 reported by Lachenbruch et al. (2011), the amount of corewood varies with the height in the 407 stem. As a consequence, for species showing a strong difference in density between juvenile 408 and mature wood, it is automatic that a variation of density with height is observed due to 409 the variation with height of the proportion of juvenile wood which increases from the base 410 to the top of the tree (Zobel and Van Buijtenen, 1989). From these considerations we could 411 expect a higher BD, at least for Ps. menziesii, at the bottom of the stem than at the top, 412 which was generally the case on our trees. 413

From the literature (Zobel and Van Buijtenen, 1989; Henin et al., 2018; Fabris, 2000), the effect of the proportion of juvenile wood on the wood density at a given height level is clear, as well as the effect on the variations in density with height. For a given tree, in our data, the proportion of juvenile wood increased from the bottom to the top of the stem and it contributes to explain at least partially the vertical variations in *BD*. Thus hypothesis **H1** seems to be verified.

#### 420 4.2.2. The growth rate

The well-known negative correlation between ring density and ring width has been observed and extensively studied especially on *Picea* spp. (e.g., Bouriaud et al., 2015). The few studies related to this relationship on *A. alba* (Hamada et al., 2018; Sopushynskyy et al., 2020) lead to believe that this species behaves in the same way that *P. abies*. On the contrary, according to Jozsa and Brix (1989) and Fabris (2000), the growth rate has little impact on the whole-ring density for *Ps. menziesii*.

These results were confirmed by our study which shows a decrease of the whole disc BD with the increase of the average ring width for A. alba and a weaker but significant relationship for Ps. menziesii. If we refer to the Pressler's law, the rings are wider at the top of the stem (Pressler, 1864; Cortini et al., 2013) and this should lead to have a higher BD at the bottom of the stem than at the top, at least for A. alba. It was generally the case for our trees.

433 With respect to the hypothesis H2, the regression of the disc BD as a function of the

434 corresponding mean ring width was significant for both species although very weak for *Ps.*435 *menziesii* but this relationship was not sufficient to explain the observed *BD* variations in
436 the stem. For *Ps. menziesii*, it was quite clear that a dynamic growth rate had not the
437 expected negative effect on the wood density at the disc or stem levels.

#### 438 4.2.3. The cambial age

For *Ps. menziesii*, Fabris (2000) has reported that radial variations in density are mainly 439 due to variations in cambial age. Filipescu et al. (2014) on the same species have described a 440 radial increase in density as a function of cambial age. These results were confirmed by our 441 study which shows an increase in disc BD with increasing cambial age for Ps. menziesii. For 442 A. alba, we observed the same behaviour while the results of Hamada et al. (2018), concerning 443 only four trees, do not show a clear trend of ring density with cambial age at breast height. 444 For both species the relationship between whole disc *BD* and cambial age was stronger than 445 the aforementioned potential relationship between density and average ring width. Indeed, 446 it is difficult to separate the two effects and, as pointed out by Jozsa et al. (1994), the true 447 effect of growth rate on annual ring wood density should be studied for comparable cambial 448 ages. Finally, as the number of rings decreases from the base to the top of the tree, we could 449 expect a higher BD at the bottom of the stem than at the top, which was generally the case 450 on our trees. 451

<sup>452</sup> Despite the observed relationships of BD with ring width and age, the multiple linear <sup>453</sup> regressions that were tested at the disc level to explain the vertical variations of BD from <sup>454</sup> these variables only were clearly less efficient than our NBD models. It probably means <sup>455</sup> that the vertical variations in BD were also due to other variables such as the proportion of <sup>456</sup> juvenile wood (Section 4.2.1).

#### 457 4.2.4. The compression wood

The reaction wood, called compression wood (CW) for softwood species, has an impact on density. It has already been shown that CW is denser than normal wood (Pillow and Luxford, 1937; Harris, 1977; Gryc and Horáček, 2007; Tarmian et al., 2012) because it is characterized by cells with large walls (Li et al., 2014) and wide rings with a high proportion of latewood (Lee and Eom, 1988). CW can be found all along the stem, even in small quantities, because the tree must be able to face the wind, to restore vertical growth (gravitropy), to incline stems (e.g., heliotropy) (Barnett et al., 2014). It is more generally located along limited portions of the stem except for a relatively straight and vertical tree becoming inclined for its entire length, for which CW can be found all along the stem (Pillow and Luxford, 1937). The amount of CW is often more important in the lower part of the stem (Pillow and Luxford, 1937; Westing, 1965; Donaldson et al., 2004).

The greater presence of CW at the bottom of the stem may also explain the higher BDin this part, especially since some of our plots were located on slopes.

#### 471 4.2.5. The thinning intensity

The thinning intensity makes it possible to modulate the growth rate which we have 472 already discussed above. However, we could not say whether the observed BD variations, 473 especially regarding vertical patterns, were related to a difference in growth rate or tree size. 474 In overall, for A. alba, a steeper decreasing slope of BD from the base of the stem was 475 observed in the unthinned plots. Conversely, for Ps. menziesii, steeper decreasing slope 476 from the base of the stem was rather observed in thinned stands while trees from unthinned 477 stands showed more constant patterns. It could be expected that the most cylindrical trees, 478 i.e. those of the unthinned stands, show more variability between the bottom and the top of 479 the stem because it is known that in case of unfavourable conditions for growth the annual 480 increase is more strongly reduced at the bottom than at the top (Courbet, 1999). This would 481 be consistent with what was observed for A. alba. Sometimes the difference observed between 482 trees could also be explained by a steeper local slope in the field leading to the formation of 483 CW.484

An original and unexpected result was the observation of a higher BD of discs in average (i.e., at disc and stem levels) for the heavier thinning intensities in one mature stand of Ps. *menziesii* and one of A. *alba*, while there was no effect of the thinning intensity for the other stands. Intuitively, one would tend to think that trees from dense stands will have denser wood. In general, a higher BD is expected for slow-growing softwood trees with narrow ring widths, i.e., in the unthinned modalities, due to the well-known relationship between ring width, proportion of latewood and wood density (see also Section 4.2.2). Moreover, according to Pillow and Luxford (1937), young trees having their crown free from competition
have smaller CW rate than young trees grown in dense stands, which should contribute to
increase density in the unthinned modalities. Nevertheless, the opposite was observed.

As pointed out by Fabris (2000) for *Ps. menziesii*, for sufficiently long rotation periods, trees with high growth rate will have lower proportion of juvenile wood than slow-growing trees. In his work, the growth rate was mainly modulated by initial spacing while in our study the thinning intensity was the main studied factor. A lower proportion of juvenile wood in the thinned stands could explain at least partially the observed differences in *BD*.

In our case, the surface of the first inner rings was in average clearly lower in the trees from the unthinned stands (Figure F.3 in Appendix F) may be due to uncontrolled factors (genetic, juvenile wood definition). Despite this, it appeared that for most stands, except Aa1 and Pm1, the proportion of juvenile wood was finally higher in the trees from the unthinned modality (Figure F.2 in Appendix F), tending to confirm the above explanation of Fabris (2000).

If the *BD* radial variation is mainly due to cambial age and to the juvenile or mature nature of wood (hypothesis **H1**) there would be an interest in making the trees grow faster in order to decrease the proportion of juvenile wood. This is not inconsistent with the advice of Henin et al. (2018), for minimising the proportion of juvenile wood, to maintain high stand density in the initial phase of growth.

As a result, the average BD of the fast-growing trees from heavily thinned stands would 511 be higher since juvenile wood or more generally corewood is known to be of lower density 512 for most softwood (Lachenbruch et al., 2011). Moreover, the annual rings corresponding to 513 the highest cambial ages and therefore the densest ones will represent a larger surface in 514 fast-growing trees. On the other hand, Todaro and Macchioni (2011) found a higher BD 515 in unthinned stands of young 31-year-old Ps. menziesii trees. For our 20-year-old trees of 516 stand Pm3, the results were more or less similar with lower BD in the heavily thinned plot 517 than in the unthinned one. 518

Finally, hypothesis H3 seems verified. Indeed, the effects of thinning intensity on growth rate, tree size and therefore on the variations of juvenile wood proportions are reflected, but in a complex way, on the vertical variations in BD.

#### 522 4.3. Representativeness of breast height measurements

In analogy to the radial patterns of density variation and their relationship with species strategies proposed in the literature (Plourde et al., 2015; Woodcock and Shier, 2002), we can propose a similar rule for vertical variations, that would rather be associated with the strategy of the tree according to its growing conditions. In Figure 3, 4 and 5, and in a very schematic way, it can be observed that trees with the lowest BD at 1.30 m tend to show an increase with height while those with the highest BD at 1.30 m tend to show a decrease with height.

The results obtained in Longuetaud et al. (2017) were confirmed with the additional trees 530 that were sampled in this extended study. The BD was significantly higher at 1.30 m than 531 in average for the whole stem for A. alba and Ps. menziesii, which confirms hypothesis H4. 532 Mitchell and Denne (1997) found the same results for *Picea sitchensis* and hypothesized that 533 this difference can be explained by tracheids with wider walls at breast height. Wassenberg 534 et al. (2015) have observed a higher density at the bottom of the stem for six species and 535 raise the question of the best sampling strategy for density and biomass estimations. As 536 explained in Section 4.2, several factors vary along the stem and could explain the higher 537 BD observed at the base of the stem and thus the difference in BD between 1.30 m and the 538 whole stem: the proportion of juvenile wood, the mean ring width, the number of rings and 539 the amount of CW. 540

#### 541 5. Conclusion

Vertical variations of wood density in the stem have been little studied so far. Moreover, few species have been treated in the literature. Only one study providing a model at the disc level was found for *Ps. menziesii* and another one for *A. alba*. This study was exploratory and based on a limited number of trees due to the destructive sampling and time consuming measurements. The results have to be confirmed by further studies carried out on a larger number of trees, probably with a simplified measurement protocol based on the results obtained in this type of study.

It has been shown that the variation in wood density along the stem did not follow the same pattern for *A. alba* and *Ps. menziesii*. While the density of *Ps. menziesii* was decreasing continuously from the bottom to the top of the stem, with for some trees an increase towards the top of the stem, that of *A. alba* presented generally a U-shape pattern with first a decrease followed by an increase in the upper part of the stem.

The thinning intensity had an impact on the slope of the decrease in BD at the bottom of the stem. BD decreased faster, as the height in the stem increased, in unthinned plots than in thinned ones for A. *alba* while it decreased faster for thinned plots than in unthinned ones for Ps. *menziesii*.

For these two species, the BD measured at breast height was not representative of the whole stem BD due to these vertical variations.

Two types of models, including or not including BD at breast height as an input, have been proposed for A. alba and Ps. menziesii in order to best represent the variation of BDwith height as a function of tree growth related variables. A comparison with more traditional approaches, which are based on the well-known relationship for softwood species between annual ring density and both ring width and cambial age, have shown that our biexponential models using easily measurable external variables were relevant and gave better results to describe BD variations in the stem.

The continuation of this work will be to study the vertical variations in BD for the bark component and the variation in BD along the knot-branch continuum.

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#### 588 Data availability:

The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.

#### <sup>591</sup> Declaration on conflicts of interest:

<sup>592</sup> The authors declare that they have no conflict of interest.

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