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Nano-imprint lithography processing of inorganic-based materials

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Abstract

We review past and recent progress in Nano-Imprint Lithography (NIL) methods to (nano-) structure inorganic materials from sol-gel liquid formulations and colloidal suspensions onto a surface. This technique, first inspired by embossing techniques, was developed for soft polymer processing, as final or intermediate materials, but is today fully adapted to hard inorganic materials with high dielectric constant, such as metal oxides, with countless chemical compositions provided by the sol-gel chemistry. Consequently, NIL has become a versatile, high throughput, and highly precise microfabrication method that is mature for lab developments and scaling up. We first describe the state-of-the-art in nanofabrication methods and the plethora of approaches developed in the last decades to imprint metal oxides from inorganic solutions. These are discussed and compared in terms of performances, issues, and ease of implementation. The final part is devoted to relevant applications in domains of interest.

1 Generalities on Nano fabrication techniques and NIL

From the early ages, technics to cut, sculpt, etch, mold, assemble pieces of matter have been developed and constantly optimized to satisfy the growing demand for functional materials. Since the inception of nanotechnology, these operations have to be mastered at the nanoscale. For these tasks, many top-down and bottom-up methods exist. However, they do not simultaneously fulfill all the necessary criteria of performance such as spatial resolution, pattern complexity, hierarchy, scalability, dimensionality, cost-effectiveness, a span of processable materials. Thus, motivations to optimize them and develop new ones persist as a flourishing domain of research and development.

Many materials exhibiting various intrinsic properties (mechanical, chemical, electrical, optical, thermal, etc.) are exploited in numberless functions once nanostructured onto a surface. Amongst them, metal oxides are extremely valuable for their extreme chemical, mechanical and thermal stability and range of physicalchemical properties. Thanks to its hardness, chemical inertness, transparency and low background fluorescence, glass is one of the preferred choices for micro- and nano-fluidics device fabrication. In photonics, metasurfaces require optical properties that are found in dielectrics such as SiO_2 often combined with high index dielectric TiO_2 or (plasmonic) gold¹. For nano-electronics, silica remains one of the key dielectric materials. Micro-mechanical scaffolds or persistent data storage systems need extremely stable materials such as TiO_2^2 .

For planar nanofabrication, either bottom-up (self-assembly) or top-down (lithography, etching) methods exist. First, bottom-up nano-structuration approaches based on micellar block copolymers self-assembly have shown great successes during the last decade^{3,4,5}. A large variety of templating agents can be coupled with inorganic polymerization reactions for the design of periodically organized nanostructured metal-oxide hybrid phases^{6,7}. Achievable periods and/or feature dimensions ranging from several nanometers to several tens of nanometers. However, the patterns adopt a periodical arrangement and exhibit many defects, no spatial control (position and direction), and poor long-range ordering limiting their applications. Amongst the top technological achievements of the last century in nanofabrication, photon-assisted processing methods are with no doubt the more technologically advanced ones. Photolithography combined with dry or wet etching of the hard metal oxides is today available with acceptable performances in terms of quality, versatility, resolution, throughput, cost, and achievable morphologies^{8, 9,10,11}. Still, these methods remain cumbersome and are constrained by a limited choice of required photosensitive selective masks, containing complex organic radical or cationic non-linear absorbers (photo-initiators) which are expensive, often colored, poorly soluble in photopolymers, and could be toxic¹². Furthermore, photolithography faces an intrinsic critical limitation in resolution dictated by the wavelength of incident writing light waves (highenergy ultraviolet radiation (deep-UV) is used nowadays in production lines to elaborate features smaller than 40 nm¹³). Besides, these methods require hard-masks that need to be prepared by cumbersome Electron Beam Lithography (EBL), or Focused Ions Beam (FIB)¹⁴. Subtractive techniques involving laser/material interactions sharing similar non-linear optical effects but at much higher powers, are also available and are used to etch dense metal oxide surfaces. This approach has the advantage of ablating hard transparent materials such as glass beyond the diffraction limit, well into the nanoscale domain^{15, 16}, but with poor axial resolution¹⁷.

Therefore, the alternative Nano Imprint Lithography method applicable to a large number of materials is extremely appealing as a reason of its high performance associated with its ease of implementation. The first work on nano-imprint lithography (NIL) was developed by Stephen Chou and al. in the 1990s when its team reported 25 nm patterns, initially etched in a silicon mold, transferred in a polymeric resist¹⁸. This experiment was performed by strongly pressing the mold (P=50-100 bars) while heating at 100 °C to deform and shape the thermoplastic polymer. This study opened the way to lithography at the sub-resist 100 nm scale. Imprinting of sol-gel resists was first demonstrated by Lukosz and al. in the 1980s at high pressure (P=280-500 bars) through hot embossing¹⁹. Even if the pressure used to imprint the sol-gel resist was rapidly reduced, it still represented one of the major drawbacks of this technique. Indeed, silicon substrates used in microelectronics can easily break if the applied pressure is not perfectly homogeneous. The use of hard and brittle molds (mostly silicon or fused silica) presented several downsides: (i) resist often sticks to the mold surface due to its high surface energy (ii) long-range deformation can easily break the mold (iii) solvent and air bubbles cannot escape once trapped under the mold (iv) rigid molds cannot accommodate any curvature or defects. Due to all those limitations, costly hard molds could be used only a very limited number of times. The emergence of Soft Nano Imprint Lithography (Figure 1), first developed by Whitesides et al. in the 1990s, tackled all those problems by the introduction of polydimethylsiloxane (PDMS) elastomeric molds²⁰. Soft molds have low surface energy ($y^{PDMS} = 21 \text{ mJ.m}^{-2}$ compared to $y^{Si} = 52 \text{ mJ.m}^{-2}$), are permeable to solvent vapors, and can deform to follow the features of the substrate. PDMS is inexpensive and each mold can be used dozen times. Soft-NIL allowed to drastically reduce the pressure (P < 5 bars) and temperature applied to emboss the resist layer. With the huge improvements made in the past decades, Soft-NIL is

currently performed at ambient pressure and room temperature and is now reaching sub-10 nm resolution²¹ on sol-gel resists when performed with optimized PDMS molds.



Figure 1. Schematic illustration of soft-NIL processing

The fabrication of the molds is performed by using a prepolymer - generally composed of vinyl functionalized linear di-methyl- siloxane and a silicon-hydride functionalized linear di-methyl-siloxane – mixed with a catalyst – generally a platinum compound - and poured onto a pre-patterned surface. The cross-linking reaction, called hydrosilylation, is catalyzed by platinum and involves the addition of Si-H bonds across unsaturated bonds. The transition of the prepolymer from a viscous liquid to a solid elastomer takes usually several hours at room temperature. It is generally accelerated by heating at a mild temperature for instance 70 °C. The hydrosilylation cross-linking route is generally preferred as no by-products are formed and it offers a comfortable time working window before extended cross-linking occurs. Once fully cross-linked, the resulting mold is easily demolded from the master thanks to its elasticity (Figure 2). The mold perfectly replicates the initial master features (relative shrinkage between master and mold can be neglected). Many molds can be fabricated from a single master. However, with extensive use, defects will start to appear on the master owing to the local adhesion of PDMS during mold release. For this reason, the surface of the master (for instance often made of silicon) is functionalized (*e.g.* with fluoroalkylsilanes) to decrease the surface energy, reducing the interactions with the PDMS chains.

When patterns with features smaller than 100 nm are needed, the excessive softness of PDMS can induce deformations of the mold shape while printing. This phenomenon has been widely studied both theoretically and experimentally^{22,23}. As first demonstrated by Delamarche *et al.*,²² only a fraction of the structures accessible by nanofabrication in hard materials will give stable structures in PDMS stamps. If the aspect ratio of the motifs is too high, structures can collapse under their own weight. During the stamping process, capillary forces can be strong enough to deform the stamp features and contact two neighboring structures. This is the case for densely packed structures, long grating lines or tightly spaced pillars. These structures are prone to pairing due to their high surface-to-volume ratio. Once collapsed it is hard to restore the PDMS features. Different ways of restoring the structures have been explored such as washing with low surface tension solvents or with water containing surfactants but with little success²². The easiest way to prevent the deformation of small patterns is to increase the rigidity of the PDMS material.



Figure 2. a) Schematic representation of the mold preparation. The precursor is poured onto the hydrophobized surface of the master and cured before demolding. b) Picture of a silicon master and its negative replica into a flexible mold. c) SEM image showing a defect on a silicon master after the preparation of many PDMS stamps.

Such PDMS, called hard-PDMS (h-PDMS), has been firstly developed by Schmid et al in 2000²⁴. Hard-PDMS uses branched siloxanes, shorter cross-linkers, and a higher concentration of reactive groups compared to standard PDMS in order to increase the cross-linking density and the rigidity between the crosslinking points. The different concentrations in reactants and their ratios have been extensively studied²¹ and commercial products are now available. PDMS hardness is estimated through its Young's modulus that is about 20-30 MPa for conventional PDMS whereas it goes to 60 to 70 MPa for h-PDMS. Other materials than PDMS can be used, such as siloxane-composites²⁵ or thermo-plastic polymers ²⁶. However, they miss the intrinsic advantages of PDMS. Similarly, using pure h-PDMS has its limitations: a high-modulus polymer can increase the stress during the curing step, rendering it difficult to separate it from the master; h-PDMS has low flexibility, making conformal contact on rough surfaces rather difficult. The increased rigidity leads also to a poor accommodation to inhomogeneous stress during imprinting that might lead to plastic deformation or cracking of the stamp or of the substrate. In order to increase the rigidity and, at the same time, keep the advantages of low-modulus PDMS, hybrid stamps have been developed with a thin h-PDMS layer of few microns that contains the patterns and a thick layer of standard PDMS of about 0.5 mm (Figure 2). In addition, to minimize in-plane deformation, for easier handling and automatization, this assembly (standard PDMS + hard PDMS) can then be backed by a thin glass plate.

Applying soft-NIL processes to directly imprint sol-gel metal oxides is highly interesting. However, it is quasi-unachievable due to two limitations: the impossibility to deform a brittle ceramic film and the difficulty to reach a plastic state at moderate temperature. A promising soft-NIL method on metal oxides exploits as-deposited sol-gel layers (xerogels). Xerogels are poorly cross-linked networks of sol-gel, organometallic precursors or hydrolyzed intermediates. Such gels remain flexible and will stiffen from

advancing polycondensation during drying and aging of the films and finally with thermal annealing to form the metal oxides. The properties of xerogels can be exploited in soft-NIL at two conditions: (i) that imprinting takes place when the layer is in a gel-like phase and (ii) the demolding is made after sufficient solidification. Both these controls are challenging in sol-gel since the as-deposited layers undergo more or less fast cross-linking upon drying and mild thermal activation. These phenomena are generally accompanied by the release of water or solvent molecules that are trapped within the materials underneath the mold, affecting the replica morphology, density, and shrinkage factor. Eventually, the demolded material is converted into a purely inorganic and rigid metal oxide after calcination.

Sol-gel approaches rely on the use of polymeric or organic stabilizing agents in order to adjust the rheology and the precursor reactivity and achieve a good resolution and a large geometrical aspect ratio of the final 3D structures. The main drawback associated with this approach is the severe shrinkage following the evaporation of organic moieties during the annealing step (up to 60-80% vol)²⁷⁻³⁰. Sol-gel formulations containing metal oxide nanoparticles (NPs) can be directly imprinted resulting in a reduced shrinkage ³⁰ and materials having lower density (resulting from the presence of pores associated with the NP packing). A compromise thus needs to be found between lower shrinkage and denser material. Of course, stabilizing-agent-free xerogels can be used allowing for a reduced shrinkage factor. However, the very high viscosity of the intermediate xerogel will prevent efficient mass transfer within the sharp features of the mold. In fine, no increase in the aspect ratio will be found.

In order to promote the filling of the mold features, a technique called Degassed Assisted Patterning, taking advantage of the properties of PDMS to enhance its permittivity towards solvents has been developed. Historically, Degassed Assisted Patterning was used in microfluidics as a pump-free manipulation. First reported by Hosokawa *et al* in 2004³¹, it allowed to pre-store the pumping energy in the degassed PDMS in order to obtain a liquid flow in the PDMS channels during the experiment without the use of external pumping. Indeed, the solubility of a gas in PDMS follows Henry's law: the concentration of gas dissolved is proportional to the partial pressure of the gas around the mold.



Figure 3. Scheme presenting the Degassed Assisted patterning (DAP) process applied to the nano-impression of sol-gel layers.

When a PDMS is degassed and brought back to the atmosphere, it equilibrates by absorbing air 32 . Therefore, by using degassed PDMS molds, a better filling of the cavities can be achieved. The patterning process can thus be broken down in four steps (Figure 3): i) the mold is first pumped under vacuum (P < 10 mbars) for a few minutes; ii) straight after breaking the vacuum, the mold is deposited on as-prepared xerogels; iii) Air trapped in the mold cavities is easily pumped through the mold, promoting the filling of the cavities; iv) Solvents, generally trapped within the material underneath the mold, are pumped out improving the filling of the PDMS pattern cavities. To complete the imprinting step, consolidation of the

xerogel is achieved through UV or thermal curing before un-molding and final thermal treatment is often required to achieve the pure metal oxide material.

The following Table 1 provides the characteristics of all replicas reviewed in this article for direct comparison. It gathers examples of patterns obtained with the different techniques discussed below (i.e. UV-, thermal-, pressure-, or vapor-pressure-assisted NIL), with different inorganic materials, from different "resins" composed of sol-gel precursors, nanoparticles, organic binders and fluidizer, solvents, etc., and post-treated in various conditions. Dimensions and aspect ratios are provided as a benchmark for readers, knowing that they have been designed for related specific applications, taking into account the (controlled) anisotropic shrinkages occurring during drving under the mold and during post-curing after demolding. Shrinkage can therefore be detrimental since it creates internal tensile stresses fragilizing the mechanical stability of the pattern, but also beneficial since it can be predicted and used as a tool to control a feature's heigh in certain cases. Other important characteristics, such as controlled or residual porosity, stability for further processing or in operating conditions, adhesion to the substrate, etc. are also critical to meet the targeted requirements for applications. For instance, highly (meso)porous, high specific surface area materials are required for masse and/or charge transfer (sensors, electrodes), while dense materials are preferred for better control of optical parameters in optics and photonics. In some cases, such as for photoelectrodes, controlled porosity together with controlled refractive index and absorbance dispersions are necessary, which makes the fabrication by NIL extremely delicate.

Technique	Materials	Final thermal annealing	Dimensions (nm)	Aspect ratio H/W	Applications	Reference
UV NIL	TiO ₂ -SiO ₂ -PMMA	150 °C	127*105	1.2	-	[35]
UV NIL	TiO ₂	None	230*265 200 *35	0.8 6	-	[30]
UV NIL	Ti/TMSPM	120 °C	80*2000		-	[36]
UV NIL	ZnO/Benzyl methacrylate (BzMA)	None	300*300	1	LEDs	[37]
UV NIL	ZnO	350 °C	400*200	2	-	[38]
S-FIL	TiO ₂	450°C	53*62	1		[39]
UV NIL	TiO ₂	None	400*300	-	Photonic crystal	[41]
UV NIL	SiO ₂	500 °C	1.5*1.5µm	1	-	[42]
UV NIL	ZnO	None	Random pyramids, (~1µm)	-	Solar cells	[45]
UV NIL	ZnO	None	Random pyramids, (~1µm)	-	Solar cells	[43]
Pressure T-NIL	PEDOT: PSS	None	300*70	-	Solar cells	[46]
Pressure T-NIL	AuNPs	140 °C	405*750	-	-	[47]
Pressure T-NIL	TiO ₂	None	260*200	1.3	-	[48]
			240*200	1.2		
			1.35*2.6 µm	0.5		
Pressure T-NIL	Al ₂ O ₃ , Ga ₂ O ₃ , In ₂ O ₃ , Y ₂ O ₃ , B ₂ O ₃ , TiO ₂ , SnO ₂ , ZrO ₂ , GeO ₂ , HfO ₂ , Nb ₂ O ₅ , Ta ₂ O ₅ , V ₂ O ₅ , and WO ₂	300-475 ⁰ C	-	1	-	[40]
Pressure T-NIL	ZnO	250 °C	200*800	0.5	-	[49]
Pressure T-NIL	SiO ₂	550 °C	-	4	-	[29]
Pressure T-NIL	SiO ₂	None	150*50	1.2	-	[50]
Pressure T-NIL	TiO ₂	500 °C	270* 900	-	Solar cells	[51]
Thermal NIL	Lead Zirconate titanate	650 °C	25*380	0.07	-	[52]
Thermal NIL	TiO ₂ and ITO	500 °C	340*340	-	-	[32]
Environmental Thermal NIL	TiO ₂ /MOF (ZIF 8)	450 °C	240*200	1.2	Sensors	[53]
Environmental Thermal NIL	TiO ₂	450 °C	-	1	-	[54]
Environmental Thermal NIL	TiO ₂	450 °C	-	0.5 0.92 1	-	[55]
Environmental Thermal NIL	TiO ₂ /Ag	450 °C	-	1	Solar cells	[56]
Environmental Thermal NIL	TiO ₂ , Al ₂ O ₃ , Y-ZrO ₂ , Methyl SiO ₂ , mesoporous SiO ₂	450 °C	-	1	-	[57]
Environmental Thermal NIL	TiO ₂ SiO ₂	400 °C	25*700 100*1000	-	-	[58]
Environmental Thermal NIL	TiO ₂	450 °C	-	1	-	[59]
Environmental Thermal NIL	Methylated silica (Si ₄ O ₇ Me ₂)	450 °C	200*120	1.7	ARC	[60]
Direct printing	SiO ₂	200 °C	400	-	Solar cells	[61]
Direct printing	BaTiO ₃	None	400*120	3	Pietzoelectric	[62]
Direct printing	SiO ₂	200 °C	120*245	-	Solar cells	[64]
Thermal NIL	LiMn ₂ O ₄ (LMO)	400 °C	420*390 1.1um*1.9um 800nm*3.1um	0.9 1.7 3.8	Electrodes for batteries	[56]
Thermal NIL	TiO ₂ nanoparticle	750 ⁰ C	200*200	1	Electrodes for batteries	[55]

Table 1. Characterization of all replica reviewed in this article.

2 UV-NIL processes

UV-NIL method is one of the most developed and used in recent years. From its outset till today, it has been adapted to a plethora of different materials, including organic, hybrid organic/inorganic, and inorganic metals and metal oxide materials from sol-gel solutions and/or nanoparticles (NPs) dispersions. A prerequisite is that the imprinted materials (precursors) need to absorb UV radiation. UV-NIL processes involve several steps, starting first with liquid deposition (*e.g.* spin, dip, ink, spray, etc.) of the "resin" solution, followed by imprinting with a UV resistant and transparent mold stamp, composed of typically Polydimethylsiloxane (PDMS), poly-urethane-acrylate (PAU), Quartz, or Perfluoropolyether (PFPE), so that curing through UV exposure takes place directly underneath the mold. Once the material is cross-linked enough, the mold is delicately peeled off to prevent damaging the replica. UV-NIL enables working at room temperature with low or no external pressure. It thus does not require temperature cycling and leads to relevantly high throughput on substrates compatible with UV curing. UV-NIL has been demonstrated to be a high-volume and cost-effective patterning technique, able to achieve sub-10 nm resolution.

2.1 UV-NIL from hybrid (organic/inorganic) resins

Kim et al. in 2005³³, reported the fabrication of photonic crystal TiO₂-SiO₂-PMMA hybrid structures by UV-NIL. The sol-gel derived solution was prepared from 3-(Trimethoxysilyl) propyl methacrylate (MPTMS), diphenylsilanediol (DPSD) and titanium ethoxide $(Ti(OC_2H_5)_4)$ precursors, together with a photoinitiator (*i.e.* Irgacure 184). The nanoimprint was performed after deposition of the solution using a PDMS mold with ring patterns and applying UV (365 nm / 2.5 J.cm⁻²) irradiation for 30s. After unmolding, the sample was cured at 150 °C for 3h. They reported a relatively low shrinkage of 2-2.5 vol% of the hybrid organic-inorganic replicate yielding the following final dimensions: diameter 105 nm and 127 nm depth (aspect ratio = 1.2). In 2009, Park et al.²⁸ developed a formulation based on photosensitive titanium (titanium-n-butoxide bis (2-ethyl-hexanoate) single precursor. The solution was first deposited on silicon substrates by spin coating before being imprinted with different molds such as PDMS and PUA (PolyUrethane Acrylate) under 2-bar pressure and 365 nm radiation for 20 min. No photo-initiator was used since the cross-section of Ti centers was sufficient to trigger the cross liking of the network. They reported faithful replication for different features, such as 180 nm in depth and 265 nm in diameter dots from a PDMS mold, or lines of 200 nm height and 35 nm wide from a PUA mold, reaching excellent aspect ratio of ~ 6 (see Figure 4). In 2010, P. Prosposito et al.³⁴ used a formulation based on MPTMS, Titanium propoxide, acetylacetone chelating agent, and Irgacure 184 (CIBA) photoinitiator. The initial film was spin-coated on Si wafers and pre-baked at 80 °C for 30 min for removal of residual solvent. The structures were imprinted by pressing the PDMS stamp on the layer under 300 W filtered (< 400 nm) Xe-Hg lamp for 1 min. After unmolding, curing at 120 °C for 90 min was applied. The final structures of the grating were 80 nm in height and 2 µm wide. In this process, an 80 nm residual layer was retained and ~6% of shrinkage was measured after the final densification. In these three works, the final replicas remain titanium-organic-based materials, since no high-temperature annealing was applied. Removal of the organic linkers by high thermal treatment would have led to dense TiO₂ accompanied by a more pronounced shrinkage.



Figure 4. Schematic diagram of ultraviolet-assisted nanoimprint lithography process using the photosensitive titanium organic compound titanium (IV) di-n-butoxide bis(2 ethylhexanoate)²⁸. Reprinted with permission from [Park, H.-H.; Choi, D.-G.; Zhang, X.; Jeon, S.; Park, S.-J.; Lee, S.-W.; Kim, S.; Kim, K.; Choi, J.-H.; Lee, J.; Yun, D. K.; Lee, K. J.; Park, H.-H.; Hill, R. H.; Jeong, J.-H. Photo-Induced Hybrid Nanopatterning of Titanium Dioxide via Direct Imprint Lithography. *J. Mater. Chem.* **2010**, *20* (10), 1921. https://doi.org/10.1039/b921343k.] Copyright 2010, ROYAL SOCIETY OF CHEMISTRY.

Jo et al.³⁵ elaborated ZnO polymer composite structures from nanoparticles. Firstly, they prepared a ZnO Nanoparticles (NPs) dispersion in the required resin system (ethanol and benzyl methacrylate (BzMA)), which was spin-coated (3000 rpm for 30 s) on a Si substrate. The replication process included placing the PDMS mold onto the thin-film, for 10 min under 5 atm pressure with 365 nm UV light (Figure 5 (c)). Then, regular patterns, such as line grating (width 200 nm and height 250 nm) and cylindrical pillars (300 nm diameter and height) were formed (Figure 5 (a) and (b)). In this example, the organic resin plays the role of UV-binder allowing for a large proportion of the material to be composed of ZnO.



Figure 5. SEM micrographs of ZnO nano-particles containing a submicron-scale pillar structure: (a) top view and (b) cross-section view. (c) Schematic diagram of the fabrication process of ZnO nano-particles containing functional patterns by UV imprint lithography³⁵. Reprinted with permission from [Jo, H.-B.; Byeon, K.-J.; Lee, H.; Kwon, M.-H.; Choi, K.-W. Fabrication of ZnO Nano-Structures Using UV Nanoimprint Lithography of a ZnO Nano-Particle Dispersion Resin. J. Mater. Chem. **2012**, 22 (38), 20742. <u>https://doi.org/10.1039/c2jm32509h]</u> Copyrigth 2012, ROYAL SOCIETY OF CHEMISTRY.

In 2014, Chong et al,³⁶ used ZnO precursor-resin formulation obtained by dissolving Zinc acetate dihydrate $(Zn(CH_3COO)_2.2H_2O)$, 2-nitrobenzaldehyde (UV-linker), with mono-ethanolamine (MEA, $(NH_2CH_2CH_2OH)$ in 2-methoxyethanol (CH₃OCH₂CH₂OH). The sol-gel-based ZnO-precursor resin was spin-coated (3500 rpm, 1min) on SiO₂/Si and on flexible polyimide substrates before a PUA mold was applied on it. The material was crosslinked under 365 nm exposure for 3 min under air pressure of 0.02 MPa air pressure. The grating line patterns were 200 nm in height, aspect ratio of about ~2, and 1 µm periodicity after the final annealing at 350°C for 60 min. Although they did not report any shrinkage, they observed a residual layer of 30 nm that was completely removed by wet etching in 0.025% HNO₃. Additional growth of ZnO was applied by keeping the ZnO nanostructures in an aqueous solution of Zinc nitrate (Zn (NO₃)₂ · 6H₂O) in the presence of hexamethylenetetramine (C₆H₁₂N₄) and polyethylenimine (PEI)).

Step-and-Flash Imprint Lithography (S-FIL) was used by Ganesan et al. and Dinachali et al. to prepare organic polymer patterns^{37,38}. Contrary to common UV-NIL, S-FIL relies on photopolymerization chemistry of low viscosity resins, enabling to fill the mold cavities by the capillary forces with low mechanical pressure at room temperature. This S-FIL UV-NIL method was exploited by Ganesam et al. in 2012³⁷ to elaborate TiO_2 nanostructures. They first prepared TiO_2 resists by directly mixing methacrylic acid, ethylene glycol diacrylate, isobutyl acrylate (monomer flow), and photo-initiator with Titanium (IV) ethoxide. This solution was spin-coated on Si wafer before applying the PDMS mold and irradiating with UV (300 mW cm⁻²) for 60 s. After unmolding, the imprinted patterns were heat-treated at 450 °C for 1 h in air to remove the organics and convert the inorganic parts into Anatase as 100 nm dimples, 200 nm squares and 100 nm line gratings. The latter underwent a shrinkage to approximately one-quarter in transversal and longitudinal directions, maintaining the original aspect ratio around 1. The same group transposed the S-FIL technique to various other metal oxide³⁸ using formulations composed of Al, Hf, Ti, Zr, Nb and Ta alkoxides combined with a polymerizable chelating agents 2-(methacryloyloxy)ethyl acetoacetate (MAEAA), a reactive diluent, a crosslinker, and a photo-initiator. After spin-coating on Si substrates, the quartz template was applied and the cross-linking was obtained after 60 s under UV light (4.4 mWcm⁻²). 20-25 nm wires with an aspect ratio of about 1 were obtained after annealing at 475 °C for 1h. The decomposition-induced, high shrinkage was

determined to be around 80% in each case (see Table 2), which is due to the high proportion of organic stabilizer and fluidizer required in this process.

Table 2. Summary of the Approximate Feature Size Reduction at Every Step of the Imprinting Process of Various Oxides with a 100 nm Line and Space Grating Mold (Aspect Ratio 1)³⁸.(Reprinted with permission [Dinachali, S. S.; Saifullah, M. S. M.; Ganesan, R.; Thian, E. S.; He, C. A Universal Scheme for Patterning of Oxides via Thermal Nanoimprint Lithography. Adv. Funct. Mater. **2013**, 23 (17), 2201–2211. https://doi.org/10.1002/adfm.201202577] Copyright 2013, JOHN WILEY AND SONS ADVANCED FUNCTIONAL MATERIAL.

resin	The feature size of the	imprint after S-FIL	Oxide feature size after the heat treatment of imprinted structures		Total feature size
	Width of imprint (nm)	Feature size reduction (%)	Width of the oxide feature (nm)	The feature size of reduction (%)	mold feature size (%)
Al ₂ O ₃	85	15%	20	76%	80%
HfO ₂	86	14%	20	77%	80%
TiO ₂	83	17%	18	78%	82%
ZrO ₂	79	21%	22	72%	78%
Nb ₂ O ₅	76	24%	25	68%	75%
Ta ₂ O ₅	72	21%	25	65%	75%

One advantage of NIL methods is the possibility to elaborate 3D patterns by replication layer atop layer. In 2014, Beaulieu et al.³⁹ reported TiO₂ 3D photonic crystal structures (PCs) from line gratings pilling. They started from a dispersion of TiO₂ NPs that was first transferred into a mixture of N-methylpyrrolidone (NMP) and methanol (MeOH) before mixing with UV-curable polymer resist (Norland Optical Adhesive 60). After spin coating, the formulation, capillary infiltration into the PDMS cavities was facilitated by the low viscosity, and curing took place with UV exposure (11 J.cm⁻², 365 nm). After demolding, the next stack was applied with the same replication procedures, and patterns with 300 nm depth (D) and 400 nm line width (LW) were obtained. Even with 2 successive imprints, the obtained aspect ratio remained as low as before in one stack (LW = 400 nm, D = 300 nm), confirming the shrinkage induced by the departure of the sacrificial organic binders. A similar approach was used by Yoon et al. in 2020 to elaborate high aspect ratio TiO₂ patterns meta-lenses⁴⁰.TiO₂ nanoparticles composite were prepared by mixing Dipentaerythritol penta-/hexa-acrylate binder, 1-Hydroxycyclohexyl phenyl ketone as photoinitiator, and TiO₂ NPs dispersed in methyl isobutyl ketone. 3 µL of the solution was dropped on a glass substrate and imprinted with PDMS molds under UV light and 2 bar pressure for 5 min. After demolding, the obtained line and pillar features showed lateral dimensions between 100 and 400 nm for a constant height of around 700 nm. Varying the TiO₂ content between 0% and 100% allowed to adjust the refractive index of the material between 1.5 and 2 in the visible. Alternatively, Arisoy et al.⁴¹ reported the elaboration of anti-microbial pillar arrays (called sharkskin patterns) from mixtures of TiO₂ nanoparticles inorganic source and NOA (Norland Optical Adhesive 60) or TEOS as binders, with several compositions. After spin-coating on poly-ethylene terephthalate (PET) substrates, the imprinting took place with PDMS stamps under 50°C for 30 min, followed by a UV-curing (365 nm, 11 J/cm²) or a NIR-curing (Adphos, 3 kW), for NOA-containing or silica-containing systems, respectively. The final shark-skin patterns were composed of 1.6 µm height and 1.3 µm width pillars in every case, suggesting that both types of binders (organic or inorganic) were efficient in the process.



Figure 6. (a) SEM images of nanostructured films after thermal treatment, respective solutions were prepared with pure binder system, a sol–gel matrix with 40 wt% spherical SiO₂ particles content and a sol–gel matrix with 40 wt% branched SiO₂. AFM profiles of nanostructures were obtained with a pure binder (b) and a sol–gel matrix with 40 wt% branched SiO₂ particles (c).⁴² Reprinted with permission from [Back, F.; Bockmeyer, M.; Rudigier-Voigt, E.; Löbmann, P. Periodic Nanostructures Imprinted on High-Temperature Stable Sol–Gel Films by Ultraviolet-Based Nanoimprint Lithography for Photovoltaic and Photonic Applications. Thin Solid Films 2014, 562, 274–281. <u>https://doi.org/10.1016/j.tsf.2014.04.082</u>] Copyright 2014, ELSEVIER- THIN SOLID FILMS).

In 2014, Back et al,⁴² reported the impact of silica-based formulation on the shrinkage and crack formation during densification on glass through UV-NIL. Two sol-gel sources of SiO₂ were used: the binder composed of Tetraethoxysilane (TEOS) and 3-glycidoxypropyltriethoxysilane (GPTES) (hybrid polymeric binder) and colloids composed of SiO₂ NPs. Three formulations (SiO₂ spherical, branched and pure binder) where studied by mixing the two sources in different proportions. Thin films were deposited by dip-coating (withdrawal rate 10 cm/min) and curing by UV irradiation for 60 sec (Dry max 5000EC, 400 Watt) under the PDMS mold, followed by a thermal annealing at 500 °C for 1 h. The final patterns were composed of 2 μ m periodic features with lower degrees of shrinkage for higher contents in condensed SiO₂ (see Figure 6 and Table 3).

Table 3. Comparison of residual stress and film porosity of pure binder system and particle-filled systems with a particle content of 40 wt% after thermal curing at 500 °C for 1 h.⁴² Reprinted with permission from[Back, F.; Bockmeyer, M.; Rudigier-Voigt, E.; Löbmann, P. Periodic Nanostructures Imprinted on High-Temperature Stable Sol–Gel Films by Ultraviolet-Based Nanoimprint Lithography for Photovoltaic and Photonic Applications. Thin Solid Films 2014, 562, 274–281. <u>https://doi.org/10.1016/j.tsf.2014.04.082</u>] copyright 2014, ELSEVIER- THIN SOLID FILMS).

Morphology	Structure accuracy [%]	Shrinkage of volume [%]	Axial shrinkage [%]	Lateral shrinkage [%]
Pure binder	71	64	53	11
40% spherical	85	37	24	8
40% branched	91	17	16	1

When the annealing temperature increases from 200 to 500 °C, features lose 64% in volume, which can be considerably reduced when using high concentrations of dense silica sources. In addition, less shrinkage is accompanied by less cracks, suggesting that the presence of NPs allowed for better stress relaxation during densification.

Whatever the imprinting method, one has to find conditions to enable a faithful infiltration of the mold cavities with a fluid enough resin and with minimal deformation. Organic fluidizers and binders are generally used to achieve these conditions and facilitate replication. A limited proportion of them is needed when used with nanoparticle inorganic sources, which leads to reduced related shrinkage for inorganic rich materials, as a result of their lower surface interacting with the medium. Potential issues of using nanoparticles lay in the need of a longer treatment for consolidation, the creation of residual inter-particle porosity, and the formation of a rough surface associated with the size of the particles.

2.2 UV-NIL with pure inorganic materials

A strategy to overcome the latter potential issues has been reported by several groups, especially with ZnO due to its interesting electrical and optical characteristics. In 2010 and 2011, the group of Escaree and Battagelia^{43,44,45} reported the elaboration of different structures with an original approach schemed in Figure 7.



Figure 7. Schematic process flow for nanomoulding of ZnO. a–i, The process can be divided into mold fabrication (b–d), ZnO deposition (e) and layer transfer (f-i)⁴⁴. Reprinted with permission from [Battaglia, C.; Escarré, J.; Söderström, K.; Charrière, M.; Despeisse, M.; Haug, F.-J.; Ballif, C. Nanomoulding of Transparent Zinc Oxide Electrodes for Efficient Light Trapping in Solar Cells. Nat. Photonics **2011**, 5 (9), 535–538. <u>https://doi.org/10.1038/nphoton.2011.198</u>] Copyright 2011, SPRINGER NATURE-NATURE PHOTONIC.

Firstly, flexible molds (PDMS⁴⁵ and PEN^{45,43,44}) were coated with a 10-nm-thick sputtered chromium layer and an anti-adhesion monolayer of trichloro (tridecafluorooctyl) silane. ZnO was then deposited on the mold by chemical vapor deposition (LP-CVD) at 180 °C and using (C₂H₅)₂Zn and H₂O as precursor gases. Asprepared samples were anchored on a glass substrate under UV exposure (365 nm) with 1 bar pressure for 20 min. The mold was thus released and the patterns of pyramids, gratings, dimple pillars with relatively large size (~1 µm) were obtained. The use of dense ZnO allowed producing patterns that did not require any additional consolidation-induced shrinkage. Still, this method remains relatively cumbersome compared to traditional UV NIL.

3 Thermal NIL

The thermal NIL (also known as hot embossing) process is the earliest type of NIL introduced by S.Y. Chou¹⁸. T-NIL is one of the most attractive NIL techniques due to its simplicity and capability to replicate nanostructures into various types of materials onto various thermally resistant substrates. Mold stamps can be PDMS, Nikel, Quartz, PFPE, PUA, etc. In typical T-NIL processes a stamp mold is brought in contact with the resin, the whole system is heated-up either to soften a thermoplastic resin (that will regain its rigidity after cooling) or to crosslink a thermally curable fluidic resin. T-NIL is categorized in three different methods: Pressure thermal NIL, Direct thermal NIL and Vapor Pressure Thermal NIL. They differ by the underlying phenomena used to fill-up the mold cavities. In Pressure T-NIL, a slight mechanical pressure helps the viscous resin to infiltrate the mold, while in Direct T-NIL the resin is fluid enough for infiltration that can be enhanced by heating or pumping through the mold. In Vapor-Pressure T-NIL, one adjusts the vapor pressure of the solvent (mainly water or ethanol) in the chamber to stabilize the resin content in the solvent at a given value defined by adsorption/desorption equilibrium, adjusting, then, the viscosity for infiltration. This latter method can be used in combination with pumping.

3.1 Pressure thermal NIL

3.1.1 Pressure T-NIL combined with dry deposition of the final material

Transfer patterning of inorganic materials was reported by Kang et al in 2008 ⁴⁶. They deposited by electron beam evaporation a 40 nm thick layer of metals (Cu, Au and Ag) on a patterned PDMS and pressed it (<40 KPa) onto poly (3,4-ethylenedioxythiophene)/poly (styrene sulfonic acid) (PEDOT:PSS) at 80 °C to deposit electrode wires. Park et al.⁴⁷ replicated different micro/nanoscale structures by dispersing 2–3 nm sized gold nanoparticles (AuNPs) encapsulated by hexanethiol on a flat Poly Vinyl Phenol (PVP) layer supported on PI/Glass, before imprinting with a PDMS stamp at 40 KPa and 80 °C for 30 min. A final stabilization at 140 °C for 10 min yielded gold-based patterns with following dimension: width 750 nm, pitch 4 µm for micro wires, diameter 4.5 µm, pitch 8 µm for micro holes and width 2 µm and pitch 8 µm for microdots structures. More recently, Visser et al,⁴⁸ prepared TiO₂ Mie resonators on Si and glass substrates from a colloidal dispersion of anatase and rutile TiO₂ NPs (~ 50 nm in diameter). First, a drop of the suspension was dispersed on the substrate surface and then the PDMS mold was pressed down at 100 g/cm² and at 100 °C until the NPs solution was dried out. Finally, PDMS stamp was peeled-off to obtain patterns with the compact TiO₂ NPs as shown in Figure 8.



Figure 8. Scanning electron microscopy (SEM) images of the fabricated TiO₂ NP-based optical coatings on Si. Cross-section SEM images of the embossed (a) parabolic microcone array, (b) nanodisk-1 array, and (c) nanodisk-2 array structures on Si. The top view SEM images are included as insets. (d) Camera top view image of the typical embossing area (~ 1 cm²)⁴⁸. Reprinted with permission from [Visser, D.; Chen, D. Y.; Désières, Y.; Ravishankar, A. P.; Anand, S. Embossed Mie Resonator Arrays Composed of Compacted TiO2 Nanoparticles for Broadband Anti-Reflection in Solar Cells. *Sci. Rep.* **2020**, *10* (1), 12527. https://doi.org/10.1038/s41598-020-69518-6], open-access attribution 4.0 International (CC BY 4.0) license, Copyright 2020, NATURE, SCIENTIFIC REPORTS.

3.1.2 Pressure T-NIL from hybrid (organic/inorganic) resins

The T-NIL process has been studied with different metal oxides (Al₂O₃, Ga₂O₃, In₂O₃, Y₂O₃, B₂O₃, TiO₂, SnO₂, ZrO₂, GeO₂, HfO₂, Nb₂O₅, Ta₂O₅, V₂O₅, and WO₂) from hybrid formulations by Dinachali et al.³⁸ in 2013 and later on by Zhang et al.⁴⁹ in 2017. The resists were prepared by mixing corresponding metal alkoxides with 2-(methacryloyloxy) ethyl acetoacetate (MAEAA) as a chelating agent and ethylene glycol dimethacrylate (EDMA) as cross-linker and BPO (Benzoyl peroxide). The solution was deposited by spin coating on Si wafers and NIL was performed with a PDMS mold under 30 bar and at 110 °C - to 130 °C for 15 min - 5 h, depending on the oxide. After demolding, patterns were annealed between 300-475 °C for 1-2 h. Typical characteristics are reported in Table 4. As expected with sol-gel molecular inorganic precursors

and with such a high content of stabilizing agents, a relatively high shrinkage accompanies the final annealing. The reason for the fluctuation in shrinkage is likely attributed to the difference in organic content used for each metal oxide and to the difference in coordination degree of each metal, allowing more or less coordinating solvent molecules prior to thermal curing.

Table 4. Summary of the approximate feature size reduction at every step of the imprinting of various oxides with a 250 nm grating mold (aspect ratio = 1) using the chelated monomer route. The imprinted patterns were subjected to isothermal heat-treatment³⁸. Reprinted with permission from [Dinachali, S. S.; Saifullah, M. S. M.; Ganesan, R.; Thian, E. S.; He, C. A Universal Scheme for Patterning of Oxides via Thermal Nanoimprint Lithography. Adv. Funct. Mater. **2013**, 23 (17), 2201–2211], copyright 2013, JOHN WILEY AND SONS, ADVANCED FUNCTIONAL MATERIALS.

Resist	Feature size of the imprint after thermal polymerization		Heat - treatment conditions	Oxide feature size after the heat-tratement of imprinted structures		Total feature size reduction with respect to mold
	Width of imprint [nm]	Feature size reduction [%]		Width of the oxide feature [nm]	Feature size reduction	feature size [%]
WO ₃	175	30%	450°C for 1h	-	-	-
SnO ₂	170	32%	450°C for 1h	165	3%	34%
B_2O_3	200	20%	300°C for 3h	150	25%	40%
In ₂ O ₃	185	26%	300°C for 1h	130	30%	48%
V_2O_5	131	48%	375°C for 1.5h	115	12%	54%
ZrO ₂	147	41%	400°C for 1.5h	65	56%	74%
Nb ₂ O ₅	176	30%	475°C for 1h	57	68%	77%
Ta ₂ O ₅	197	21%	450°C for 1h	58	71%	77%
HfO ₂	194	22%	450°C for 1h	55	72%	78%
GeO ₂	162	35%	350°C for 1h	53	67%	79%
Y_2O_3	165	34%	450°C for 1h	49	70%	80%
Ga ₂ O ₃	200	20%	450°C for 1.5h	43	79%	83%
TiO ₂	171	32%	450°C for 1h	40	77%	84%

3.1.3 Pressure T-NIL from sol-gel solutions

In 2017, Zhang et al.⁴⁹ reported T-NIL of sol-gel ZnO on large surfaces. The solution was prepared by dissolving Zinc acetate 2-hydrate (Zn (CH₃COO)₂•2H₂O) in N,N-dimethylformamide (DMF) solvent in presence of chelating diethanolamine (DEA). The ZnO sol was spin-coated on 8-inch polyimide substrate (PI) and imprinted with a PDMS mold under 0.6 MPa pressure and 250 °C for 2 h, followed by annealing in air at 250 °C for 2 h. The final dimension of the nano-hole arrays was obtained with a diameter of 800 nm and depth of 200 nm. The size reduction in the z-direction (depth) of pillars was observed from 400 nm (depth of pillars from master) to 200 nm (depth of the replicated pillars). At such a relatively low temperature, organic linkers were not fully eliminated.

Grating pattern molds were used to obtain square patterns of silica by two steps of hot embossing. Peroz et al. in 2009^{27} and Letailleur et al. in 2010^{50} used a simple sol-gel formulation prepared by hydrolyzing methyltriethoxysilane (MTEOS) in a hydroalcoholic acidic solution. A 300 nm thick film was spin-coated on the Si/glass substrate and was first imprinted with a PDMS mold heated at 110 °C for 240 s under < 0.2 MPa pressure. The stamp was then demolded and rotated at 90° for the second imprinting to take place in the same conditions. Finally, the sample was annealed at 550 °C for 15 min to yield amorphous SiO₂. The squares patterns had a high aspect ratio of ~4 (see Figure 9)²⁷.



Figure 9. Schematic illustration (A-F) of the NIL imprinting process²⁷. Reprinted with permission from [Peroz, C.; Chauveau, V.; Barthel, E.; Søndergård, E. Nanoimprint Lithography on Silica Sol-Gels: A Simple Route to Sequential Patterning. Adv. Mater. **2009**, 21 (5), 555–558. <u>https://doi.org/10.1002/adma.200702484</u>], Copyright 2009, JOHN WILEY AND SONS, ADVANCED MATERIALS.

3.1.4 Pressure T-NIL from NPs dispersion

Jiang et al in 2013,⁵¹ reported patterning of TiO₂ films with the same two steps T-NIL. Firstly, TiO₂ (Degussa P25) dispersion was prepared by mixing TiO₂ nanoparticles with ethyl cellulose in anhydrous terpineol and Ethanol. TiO₂ NP films were deposited on FTO glass by Blading machine. The first T-NIL was accomplished by pressing (70 Kg / 0.3 cm² active area) a Ni stamp at 70 °C for 10 min using a commercial nanoimprinting machine. The second imprint used 110 °C with the pressure of 90 kg/ 0.3 cm² active area, for 15 min. After annealing at 500 °C for 30 min the TiO₂ square patterns exhibited 270 nm depth and ~ 900 nm in pitch. The conditions for the second imprint were stronger than the first one to overcome the viscosity loss by the consolidation induced at 70 °C for 10 min.

3.2 Direct thermal NIL

In 2009, Khan et al.⁵² demonstrated the possibility to imprint a sol-gel solution of lead zirconate titanate (PZT) from a sol-gel solution composed of zirconium n-propoxide, titanium isopropoxide, 2-methoxyethanol, lead acetate trihydrate, and glacial acetic acid. After spin-casting a layer of this solution on Si wafers, the PDMS mold was applied and dried for 15-30 min at 80 °C. Finally, after demolding and annealing treatment at 650 °C for 10 min, line grating of 25 nm height and 380 nm width were obtained. The aspect ratio (0.07) of the present features could have been increased if pressure was applied to the mold as described in the previous section.

Kothari et al.³⁰ prepared Indium Tin Oxide (ITO) and TiO₂ nanostructures patterns from combinations of NPs and corresponding organic/inorganic sol-gel binders, using alternative UV or Thermal NIL. The ITO resin was prepared by mixing ITO NP dispersed in n-methyl-2-pyrrolidone (NMP) solvent at 80 °C, and ITO sol composed of tin acetate (Sn(CH₃CO₂)₄ and indium nitrate (In(NO₃)₃·xH₂O) dissolved in NMP binder. Thin-film composed either of 100 % NP or 80% NP + 20% ITO sol were spin-cast and the PDMS mold was then applied. The composite system was cured at 150°C for 30 s for stiffening while the solvent was pervaporated through the PDMS by aging at room temperature. The demolded patterns were then

annealed at 500 °C for 1 h. ITO line grating and square patterns were obtained with a relatively low aspect ratio and low height shrinkage (see table 5).

Table 5. Line Height (LH) and Shrinkage in Feature Height during Imprinting and Heat Treatment Steps for Fabrication of ITO Gratings Using of Different Proportions of ITO NPs and ITO Sol–Gel Precursor ³⁰. Reprinted with permission from [Kothari, R.; Beaulieu, M. R.; Hendricks, N. R.; Li, S.; Watkins, J. J. Direct Patterning of Robust One-Dimensional, Two-Dimensional, and Three-Dimensional Crystalline Metal Oxide Nanostructures Using Imprint Lithography and Nanoparticle Dispersion Inks. *Chem. Mater.* **2017**, *29* (9), 3908–3918. <u>https://doi.org/10.1021/acs.chemmater.6b05398</u>], Copyright 2017, ACS-CHEMISTRY OF MATERIALS.

	LH of a master mold	LH/ shrinkage-I (for as-imprinted sample)	LH/ shrinkage-HT (for 500 °C heat-treated sample)
100 NP ITO	480 nm	380 nm/21%	365 nm/4%
80 NP/20 sol ITO	480 nm	385 nm/20%	355 nm/8%

The same study was conducted on TiO₂ systems using 15 wt% TiO₂ NP dispersion in NMP and methanol, and titanium diisopropoxide bis-(acetylacetonate) and TPA $(CH_3)_2CHO]_2$ Ti $(C_5H_7O_2)_2$, in isopropanol. The imprinting curing was conducted at 120 °C for 15 s, and the final thermal treatment occurs at 500 °C for 1 h. The obtained line gratings are shown in Figure 10 and the corresponding relative shrinkage is given in Table 6. As already observed with Silica systems in the previous part, the latter studies on ITO and TiO₂ confirmed the advantage of using NP sources to reduce shrinkage.



Figure 10. Cross-section SEM images of patterned TiO_2 gratings imprinted with inks having different ratios of TiO₂ NPs and sol of TiO₂. (a-c) As-imprinted gratings: (a) 100 NP, (b) 80 NP/20 sol, and (c) 100 sol (d-f) Gratings upon calcination at 500 °C for 3 h: (d) 100 NP, (e) 80 NP/20 sol, and (f) 100 sol. (g) 100 NP grating pattern upon calcination at 750 °C for 3 h³⁰. Reprinted with permission from [Kothari, R.; Beaulieu, M. R.; Hendricks, N. R.; Li, S.; Watkins, J. J. Direct Patterning of Robust One-Dimensional, Two-Dimensional, and Three-Dimensional Crystalline Metal Oxide Nanostructures Using Imprint Lithography Nanoparticle and Dispersion Inks. Chem. Mater. 2017, 29 (9), 3908-3918. https://doi.org/10.1021/acs.chemmater.6b05398], Copyright 2017, ACS-CHEMISTRY OF MATERIALS.

Table 6. Line Height (LH) and Shrinkage in Feature Height during Imprinting and Heat Treatment Steps for Fabrication of TiO₂ Gratings composed of different proportions of TiO₂ NPs and TiO₂ sol solution³⁰. [Reprinted with permission from [Kothari, R.; Beaulieu, M. R.; Hendricks, N. R.; Li, S.; Watkins, J. J. Direct Patterning of Robust One-Dimensional, Two-Dimensional, and Three-Dimensional Crystalline Metal Oxide Nanostructures Using Imprint Lithography and Nanoparticle Dispersion Inks. *Chem. Mater.* **2017**, *29* (9), 3908–3918. <u>https://doi.org/10.1021/acs.chemmater.6b05398</u>], Copyright 2017, ACS-CHEMISTRY OF MATERIALS.

	LH of a master mold	LH/ shrinkage-I (for as- imprinted sample)	LH/ shrinkage-HT (for 500 °C heat-treated sample)
100 NP TiO ₂	480 nm	385 nm/ 20%	360 nm/6%
80 NP/20 sol TiO ₂	480 nm	340 nm/ 11%	340 nm/11%
100 Sol TiO ₂	480 nm	140 nm/ 48%	140 nm/ 48%

Using a TiO₂ nanoparticle dispersion in 1,2-propanediol and methanol, similar imprinting conditions were then used by Li et al. in 2018 ⁵³ to elaborate 3D TiO₂ woodpiles electrodes by applying several cycles of the following step: (i) imprinting TiO₂, (ii) curing in UV-Ozone, (iii) infiltration and planarization with NOA60, (iv) UV-curing of NOA60. After obtaining the desired number of layers, the whole structure was calcined at 750°C and was composed of up to 6 layers of pilled rods exhibiting each an aspect ratio of 1. The 3D structure enables the development of a high specific surface and high accessibility for efficient charge transfer (see Figure 11).



Figure 11. A) Scheme illustrating the method to elaborate woodpile structured by NIL. B) SEM top view and profile images of imprinted structures corresponding to the 6 layers of woodpile structures, prepared by NIL⁵³. Reprinted with permission from [Li, W.; Zhou, Y.; Howell, I. R.; Gai, Y.; Naik, A. R.; Li, S.; Carter, K. R.; Watkins, J. J. Direct Imprinting of Scalable, High-Performance Woodpile Electrodes for Three-Dimensional Lithium-Ion Nanobatteries. *ACS Appl. Mater. Interfaces* **2018**, *10* (6), 5447–5454. https://doi.org/10.1021/acsami.7b14649], Copyright 2018, ACS- APPLIED MATERIALS AND INTERFACES.

The same year, the same authors reported the formation of patterned LMO electrodes from $LiMn_2O_4$ nanoparticle dispersions in a mixture of 4-hydroxybenzoic acid, N-methyl-2-pyrrolidone, and methanol⁵⁴. After spin coating on ITO glass, imprinting with PDMS molds at 70°C, and curing at 400°C after demolding, features with dimensions ranging from 400 nm to 2000 nm, and aspect ratio up to 4, were reported.

3.3 Vapor Pressure Thermal NIL

An alternative approach to pressing the mold on the resin soft layer to trigger cavity infiltration is to maintain the resin as liquid as possible with a volatile compound (the solvent phase) and pump it through the mold. In fact, PDMS molds being slightly microporous, a pre-degassing of the latter before the application is sufficient to allow this pumping as mentioned in the Generality part. Using a volatile solvent compound as a fluidizer requires to be able to prevent total evaporation from the resin by applying a proper vapor pressure in the chamber before applying the degassed mold. Dalstein et al.⁵⁵ used such degassed PDMS molds to imprint TiO₂ from an extremely simple sol-gel formulation composed of TiCl₄, H₂O, and Ethanol with a respective molar ratio of 1/6/50. After deposition of the sol-gel layer on Si or glass substrates, the degassed mold was gently applied on it and maintained at 110 °C for 5 min before demolding. Annealing at 450 °C for 5 min ensures the complete densification and crystallization of TiO₂ into anatase. The 100 nm motifs had an aspect ratio of ~ 1 (see Figure 12)⁵⁵. The deposition of a too thick initial layer led to the presence of a 200 nm-thick residual layer. The same authors reproduced this method to elaborate patterned surfaces for controlled wetting ⁵⁶ and 250 nm TiO₂ dielectric Mie resonator pillar arrays (aspect ratio 1)⁵⁷ with a residual layer as thin as 10 nm by applying a thinner initial sol-gel layer (see Figure 13). Chen et al.⁵⁸ reported the fabrication of ultrathin GaAs solar cells with a TiO₂/Ag nanostructured back mirror using the same procedure. The lack of stabilizing and fluidizing organic agents led to less shrinkage than in the previous methods involving sol-gel precursors.



Figure 12. Soft Lithography approaches for both approaches routes (a) nano-patterned ZIF-8 and (b) ZIF-8/TiO₂. SEM image of nano-patterned (c) ZIF-8 (d) scratched zone exhibiting both ZIF-8 covered and uncovered TiO₂ Cross-section SEM of nano-patterned ZIF-8 (e) with (f) without residual layer ⁵⁵. Reprinted with permission from [Dalstein, O.; Ceratti, D. R.; Boissière, C.; Grosso, D.; Cattoni, A.; Faustini, M. Nanoimprinted, Submicrometric, MOF-Based 2D Photonic Structures: Toward Easy Selective Vapors Sensing by a Smartphone Camera. Adv. Funct. Mater. **2016**, 26 (1), 81–90], Copyright 2016, JOHN WILEY AND SONS, ADVANCED FUNCTIONAL MATERIALS.



Figure 13. SEM image of a) master, b) replicated TiO₂, c) cross-section nanopatterns TiO₂ indication the thin residual layer ⁵⁷. Reprinted with permission from [Bottein, T.; Wood, T.; David, T.; Claude, J. B.; Favre, L.; Berbézier, I.; Ronda, A.; Abbarchi, M.; Grosso, D. "Black" Titania Coatings Composed of Sol-Gel Imprinted Mie Resonators Arrays. Adv. Funct. Mater. 2017. 27 1604924. (2), https://doi.org/10.1002/adfm.201604924], Copyright 2017, JOHN WILEY AND SONS, ADVANCED FUNCTIONAL MATERIALS.

The imprinting was performed in a clean room, where temperature and humidity were kept constant. Imprinting outside the cleanroom was accompanied by a loss of reproducibility attributed to changes in the atmospheric humidity. A full study of the influence of humidity on replication quality was then performed with various metal oxides from metal chlorine, water-ethanol solutions by Bottein et al.⁵⁹.

He demonstrated that the faithfulness of the replication of sol-gel systems (TiO₂, mesoporous SiO₂, Methyl- SiO_2 , Al_2O_3 , $Y-ZrO_2$) can be optimized by simply adjusting relative humidity within specific spam during the NIL process, which depends on the external temperature. Initial sol-gel solutions were respectively composed of 1 TiCl₄: 40 EtOH : 7 H₂O ; 1 TEOS : 40 EtOH : 10 H₂O : 0.01 HCl : 0.01 CTAB ; 0.5 TEOS : 0.5 MTEOS : 40 EtOH : 10 H₂O : 0.01 HCl ; Al(OiPr)₃ : 29 EtOH : 4.8 H₂O : 1.4 HCl : 4 : and 0.94 Zr(Cl)₄ : $0.06 \text{ Y}(\text{NO}_3)_3$, $2 \text{ H}_2\text{O}$: 41 EtOH : $12 \text{ H}_2\text{O}$ (molar ratios). Straight after deposition by dip-coating, the solgel layers were imprinted with a degassed PDMS mold at 22 °C in different relative humidity ranging from 20 to 85%, before undergoing consolidation for 5 min at 120 °C and final annealing at 450 °C for 10 min after demolding. Figure 13 shows that for the TiO_2 system, a too low humidity led to no, or low aspect-ratio, replicas, while at too high humidity a dramatic loss of homogeneity is obtained. The best replication (homogeneous and high aspect ratio) was achieved with ~ 60% RH at 22 °C for TiO₂. Others systems did not show the same dependency and a systematic investigation of the quantity of water up-taken into the asprepared sol-gel initial layers with respect to RH was undertaken by environmental ellipsometry (see Figure 14). The deduction was that even if the optimal RH is different for each metal oxide system, optimal water content of 30% volume in the films is common to all the cases, which is related to the difference in hygroscopic property of the as-prepared films. Furthermore, in absence of a stabilizer, the sol-gel tends to spontaneously cross-link with time, which needs to be taken into account⁵⁹.



Figure 14. (a) Water uptake of various as-prepared xerogels with respect to relative humidity measured by in situ time-resolved Ellipsometry. (b) Optical microscopy dark-field images of TiO₂ replicas imprinted at 45%, 50%, 70% and 80% RH, replicated⁵⁹. Reprinted with permission from [Bottein, T.; Dalstein, O.; Putero, M.; Cattoni, A.; Faustini, M.; Abbarchi, M.; Grosso, D. Environment-Controlled Sol–Gel Soft-NIL Processing for Optimized Titania, Alumina, Silica and Yttria-Zirconia Imprinting at Sub-Micron Dimensions. *Nanoscale* **2018**, *10* (3), 1420–1431. https://doi.org/10.1039/C7NR07491C], Copyright 2018, ROYAL SOCIETY OF CHEMISTRY.

The latter method was then exploited by Naffouti et al.⁶⁰ and Checcucci et al.⁶¹ in 2019 to prepare high aspect ratio pillar arrays as optical metamaterials, and by Modaresialam et al.⁶² in 2020 to imprint broadband, wide-angle, robust anti-reflection coatings on 10 cm wafers (see Figure 15).



Figure 15. A) Picture of a 10 cm diameter wafer coated with the nanoimprinted methylated silica SiO₂ (B,D) Scanning electron microscopy (SEM) image (C) Scheme illustrating the topography of the nippledimple surface⁶². Reprinted with permission from [Bochet-Modaresialam, M.; Claude, J.-B.; Grosso, D.; Abbarchi, M. Methylated Silica Surfaces Having Tapered Nipple-Dimple Nanopillar Morphologies as Robust Broad-Angle and Broadband Antireflection Coatings. ACS Appl. Nano Mater. **2020**, 3 (6), 5231– 5239. <u>https://doi.org/10.1021/acsanm.0c00646</u>], Copyright 2020, ACS- APPLIED NANOMATERIALS.

4 Direct printing

Printing by soft-NIL without UV and thermal-induced cross-linking cannot be performed with any systems. Sol-gel silica is however well adapted as its condensation rate is relatively slow when in solution but can become extremely fast upon evaporation of the solvent owing to a spatial gathering of the silica moieties. Therefore, one needs to make sure that the sol-gel initial layer is soft enough for the impregnation in the mold and cross-link after infiltration whatever the time needed to maintain the structure when demolding. In 2011, A. Polman's group⁶³ used simple silica sol-gel formulations composed of methyl-tri-methoxy-silane, tetra-methoxy-ortho-silicate, formic acid, water, and propanol as a solvent, that they deposited and imprinted directly with PDMS stamps. Demolding was possible after 20 min without heat to yield 400 nm square arrays after further annealing at 200 °C (shrinkage 18%). The presence of a methyl group on the silica precursor is crucial to slow down the condensation and enable infiltration. In 2017, Shin et al.⁶⁴

prepared piezoelectric nano-generators via Imprinting Sol-Gel Fe doped BaTiO₃ nano-pillars. In this work, the sol-gel solution was synthesized using barium hydroxide Ba $(OH)_2 \cdot 8H_2O$, titanium butoxide and iron nitrate FeNO₃·9H₂O as starting materials. The molar ratio between materials was Ba:Ti:Fe = 1:0.98:0.02. The BaTiO₃ sol-gel layer was then poured on the pre-cleaned ITO surface, followed by covering with a PDMS mold with a nanohole array. After aging, the PDMS stamp was removed, leaving fragile hexagonal pillars that needed final curing under UV (80 mW/cm², ~365 nm) for 2.5 min. Then features with a diameter of ~120 nm, the pitch of 400 nm, and height of ~400 nm were obtained.

5 Applications

Thanks to its versatility in framing different materials in a wide range of 3D structures size and shape on arbitrary substrates (e.g. glass, semiconductor, metal, plastic), NIL has been efficiently exploited for a plethora of applications, ranging from light management, electronics, and biology. Here we briefly review some of the major contributions of NIL in photonic-crystals, solar cells, anti-reflection coatings and biology.

5.1 Photonic Crystals

Photonic crystals (PhCs) are periodic structures, typically made of high permittivity materials, widely employed for light management. They can be used to enhance light absorption (*e.g.* atop a silicon based photovoltaic device) or light extraction from a bulk material (*e.g.* atop of a LED), integrated circuits (*e.g.* guiding light on a photonic chip for information processing), confine light in small volumes (*e.g.* for lasing), beam steering (*e.g.* for light coupling in an optical fiber). NIL is a powerful tool for texturing a material modifying its properties for light manipulation. This approach has been successfully exploited in this field being a high throughput and low-cost technique.

At the beginning of the 21st century, PhCs based on organic-inorganic material with a high refractive index (n~1.63 at 633 nm) were developed with a novel NIL approach⁶⁵. These periodic nanostructures were prepared by patterning a thin film of methacryl silica–titania hybrimer via UV NIL. Later on, another type of hybrid nanoparticles/polymer resist composite was proposed³⁹. A mixture of TiO₂ nanoparticles and photoresist was directly patterned into large areas 3D PhCs via UV-assisted NIL. Figure 16a) illustrates the SEM images of PhCs. The 3D PhC exhibited a photonic bandgap at about 840 nm (maximum reflectance ~72% at 840 nm, figure 16b).



Figure 16. (a) Top-down SEM image of a four-layer 3D photonic structure and (b) UV–vis–NIR specular reflectance measurement at 35° with respect to the surface normal of a six-layer 3D photonic crystal³⁹. Reprinted with permission from [Beaulieu, M. R.; Hendricks, N. R.; Watkins, J. J. Large-Area Printing of Optical Gratings and 3D Photonic Crystals Using Solution-Processable Nanoparticle/Polymer Composites. ACS Photonics **2014**, 1 (9), 799–805. https://doi.org/10.1021/ph500078f.] ,Copyright 2014, ACS - PHOTONIC.

Moreover, the observed full stop-band in all directions indicates the fabrication of a true 3D PhC. Feedback control for alignment could be in principle used to improve the quality of these structures. This approach has the potential to scale up the technique to larger areas and higher throughputs at low cost by using roll-to-roll fabrication.

5.2 Solar cells

Photonic structures such as photonic crystals and transparent electrodes find their applications in optoelectronic devices. As an example, we report here some cases relevant to photovoltaic cells. Being a scalable fabrication process, NIL can be in principle extended to large surfaces and fits thus the needs for large solar panels with integrated micro and nano-patterns.

Later on Polman's group reported the fabrication of different types of solar cells configuration by using NIL techniques^{63,66–68}. In 2011, Ferry et al.⁶³ designed and fabricated periodic and random nano-scatter arrays for solar cells. The patterns were coated by Ag and then, ZnO:Al, , n-i-p a-Si:H, ITO. The cells consisted of Ag, coating the different nanopatterned silica sol gel of ZnO:Al, n-i-p a-Si:H and ITO. The 400 nm pitch pattern and the random pattern showed an efficiency of about 9.5%.

The high conductivity of metals has stimulated interest in metal nanowire (NW) networks and meshes as alternatives to ITO. These geometries can be designed to provide improved optoelectronic performance relative to ITO, achieving concurrent improvements in both optical transparency and electrical conductivity. Van de Groep et al.⁶⁹ reported silver nanowires (Ag NWs) as transparent conducting electrodes (TCE). They used P₃HT-PCBM organic solar cells as a model system. The performance parameters for Ag NWs cells were measured and compared with ITO-based reference cells. The efficiency of ITO reference of 3.45% is higher than for the NWs ~2.7%. However, the measured external quantum efficiency (EQE) show an enhancement for 700 < λ < 900 nm and 650 < λ < 800 nm with 500 nm in comparison with ITO⁶⁹.

Photocurrent and photoelectrical enhancement in solar cells developed rapidly by using dielectric materials. Extensive research has been done on light trapping in Si thin-film and organic solar cells. However, only a limited number of research groups have worked on light management in CIGSe cells, Light trapping in ultrathin CIGSe cells is challenging because of the required stability of the light-trapping geometry during the high-temperature growth process. Van Lare et al.⁶⁷ reported a new ultra-thin Cu (In, Ga)Se₂ (CIGSe) solar cells by nanoscale dielectric light scattering (SiO₂, TiO₂)⁶⁷.

Zinc oxide (ZnO) is currently one of the key functional materials in advanced optoelectronic and photonic applications, including photovoltaics, thanks to its high transparency across the solar spectrum and its excellent electrical properties. The external quantum efficiency (EQE) of ZnO-based NIL patterns with PDMS and PEN molds were measured ⁴⁵. These measurements showed the lower cell response on the replica stamped with PDMS than with cells replica with PEN stamp ^{43,44}.

Jiang et al.⁵¹ reported the 3D patterning of TiO₂ /Ag films in plasmonic dye-sensitized solar cells (DSSCs). The photovoltaic properties of the DSSCs were studied in different configurations: pure TiO₂ film, planar TiO₂/Ag film and 3D patterned TiO₂/Ag film (Figure 17 a). The generated conversion efficiency was 4.59%, 5.35% and 5.69%, respectively⁵¹. Ultrathin GaAs solar cells with TiO₂/Ag nanostructured back mirror achieved an efficiency of 25% accompanied by a strong enhancement of EQE in the 600-900 nm wavelength range (see Figure 17 b)⁵⁸.



Figure 17. a) Fabrication process for ultrathin GaAs solar cells with a nanostructured back mirror. b) J–V characteristics⁵⁸. Reprinted with permission from [Chen, H.-L.; Cattoni, A.; De Lépinau, R.; Walker, A. W.; Höhn, O.; Lackner, D.; Siefer, G.; Faustini, M.; Vandamme, N.; Goffard, J.; Behaghel, B.; Dupuis, C.; Bardou, N.; Dimroth, F.; Collin, S. A 19.9%-Efficient Ultrathin Solar Cell Based on a 205-Nm-Thick GaAs Absorber and a Silver Nanostructured Back Mirror. *Nat. Energy* **2019**, *4* (9), 761–767. <u>https://doi.org/10.1038/s41560-019-0434-y</u>], Copyright 2019, SPRINGER NATURE-NATURE ENERGY.

5.3 LEDs

Since ZnO has the excellent optical and electrical properties, it can be used for transparent electrodes in UV light emitting diodes and laser diodes. In 2012 Jo et al.³⁵ reported the fabrication of ZnO nano particles/resin composite patterned by UV-NIL. The optimization of the refractive index was made by adjusting the NP concentration.

5.4 Sensors

In 2016, Dalstein et al.⁵⁵ ZIF-8 MOF-based 2D photonic structures were prepared either by direct T-NIL of ZIF-8 or by its deposition on a TiO₂ line array prepared by T-NIL. The TiO₂/MOF patterned surfaces have been used as diffraction gratings, enabling easy detection of organic solvent vapors by a simple smartphone's camera. The sensing approach is based on the variation of the optical properties of MOF patterns, induced by the selective adsorption of the organic solvent. The comparison of the optical responses reveals that both configurations exhibited similar sensitivity (see Figure 18)⁵⁵.



Figure 18. a) Isopropyl alcohol uptake measured by luminance difference; b) illustration of the phenomena taking place during adsorption; c) photographs of the ZIF-8/TiO₂ hetero-structure⁵⁵. Reprinted with permission from [Dalstein, O.; Ceratti, D. R.; Boissière, C.; Grosso, D.; Cattoni, A.; Faustini, M. Nanoimprinted, Submicrometric, MOF-Based 2D Photonic Structures: Toward Easy Selective Vapors

Sensing by a Smartphone Camera. Adv. Funct. Mater. **2016**, 26 (1), 81–90. <u>https://doi.org/10.1002/adfm.201503016.]</u>, Copyright 2016, JOHN WILEY AND SONS, ADVANCED FUNCTIONAL MATERIALS.

5.5 Antireflection coatings

Efficient antireflection coatings (ARCs) have been extensively studied and are nowadays well established in industrial applications over large scales (*e.g.*, for photovoltaic, optoelectronic devices, laser windows, and much more). ARC-based multilayered thin films, refractive index gradient, and surface texturing with micro/nanostructures have been optimized with many different methods⁷⁰.

Van de Greop et al. in 2015^{63} reported the replicated silica Nano cylinders by conformal contact NIL. The obtained patterns have an effective-index of about 1.20, reducing the double-sided reflection from a glass slide from 7.35% to 0.57% (with a minimum reflectance <0.05% at 590 nm). A nanoimprinted AR coating was applied to Si photovoltaic cells encapsulated in glass. Photovoltaic modules showed a 3.8% relative increase in short-circuit current, corresponding to a 2.8% relative increase in power output.

In 2020, Modaresialam et al.⁶² developed the double face printed large-band, broad-angle Antireflection coating on glass by Vapor Pressure Thermal NIL. The hexagonal arrays of nipple-dimple moth eyes nanopillars have an effective refractive index of about 1.2. Total transmission for double-face nanoimprint wafers reached 96–97% enhancing the transmission of the bare glass of about 90%.

5.6 Electrodes for batteries

Recently, the elaboration of the 3D micro-and nano batteries holds great potential in industry to meet the demands of high-power and high energy densities. Until now, several techniques have been reported to elaborate 3D architectures batteries such as thin film deposition on conductive 3D scaffolds, soft templating, direct ink writing, and layer-by-layer (LBL) deposition^{71–74}. Today, the compatibility of NIL with the current micro/ nanofabrication process makes technology and high throughput efficient and low cost. 3D micro-batteries, made by direct imprinting using crystalline LiMn₂O₄ (LMO) nanoparticle-based inks exhibited a power density of about 855.5 μ Wcm⁻² μ m⁻¹, comparable to some of the best micro-supercapacitors⁵⁴. Furthermore, NIL-prepared multi-stacked TiO₂ woodpile nanobatteries yield specific capacities as high as 250.9 mAh g⁻¹ with good mechanical strength and stable conductivity performance even over an extended voltage span. As the number of stacked layers increases (see Figure 19), the electrode's areal capacity exhibits a proportional enhancement of potential as (3 to 20 μ Ah cm⁻² for 1 to 6 stacks, respectively)⁵³.



Figure 19. a) AFM height profile of the single-layer imprint. b-f) SEM top view and profile images of imprinted structures corresponding to 1-6 layer(s) woodpile structures⁵³. Reprinted with permission from [Li, W.; Zhou, Y.; Howell, I. R.; Gai, Y.; Naik, A. R.; Li, S.; Carter, K. R.; Watkins, J. J. Direct Imprinting of Scalable, High-Performance Woodpile Electrodes for Three-Dimensional Lithium-Ion Nano batteries. ACS Appl. Mater. Interfaces **2018**, 10 (6), 5447–5454. <u>https://doi.org/10.1021/acsami.7b14649</u>.], Copyright 2018, ACS- APPLIED MATERIALS & INTERFACES.

6 Conclusion

Optimizing replication in hard inorganic materials by soft-NIL has thus many advantages compared to conventional lithography methods, that lays in its compatibility with a plethora of inorganic material compositions. Such versatility and high throughput characteristics make it ideal for scale-up and production on large surfaces.

Whatever the chosen method of processing, optimizing replications in inorganic material by soft-NIL is a question of adapting the chemistry of the initial solution "resin" and the processing conditions. UV-NIL has been the preferred method for a long time, however, it requires complex chemistry, involving expensive and often toxic photoinitiators. More recently, thermal NIL coupled with pressure or vapor pressure control has been developed, allowing the use of simpler chemistry. For pure inorganic systems, when the final materials need to be organic-free, a final thermal treatment is very often necessary, not only to decompose the organic residues (binders, fluidizers, photo-initiators) but also to mechanically consolidate the edifice by viscous or diffuse sintering. Compromises must be found since this final treatment is accompanied by a more or less pronounced volume shrinkage. Two routes have been explored yet. The first one uses "small" (molecular) dissolved precursors, whereas the second one uses "large" building blocks (nanoparticles) from dispersion. Combinations of the two methods have been explored as composite precursors since they both originate from the same compatible sol-gel chemistry. On one hand, it has been proven that the higher is the concentration of dense nanoparticles, the lower is the shrinkage thanks to highly condensed buildings block. On the other hand, using nanoparticles induces the presence of high surface roughness and residual porosity that may be issued for some applications, but can also be beneficial for others. For instance, on the one hand when the features to reproduce are small, one would prefer using molecular precursors to obtain dense and smooth features. In these cases, one has to adjust the mold feature dimensions to take into account the

relatively high shrinkage. On the other hand, if porosity is required to facilitate high mass transport of charge transfer, approaches involving nanoparticles, with or without sacrificial binders, are well appropriated.

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