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ARTICLE

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# Very-high-frequency probes for atomic force microscopy with silicon optomechanics

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## Abstract

Atomic force microscopy (AFM) has been consistently supporting nanosciences and nanotechnologies for over 30 years and is used in many fields from condensed matter physics to biology. It enables the measurement of very weak forces at the nanoscale, thus elucidating the interactions at play in fundamental processes. Here, we leverage the combined benefits of micro/nanoelectromechanical systems and cavity optomechanics to fabricate a sensor for dynamic mode AFM at a frequency above 100 MHz. This frequency is two decades above the fastest commercial AFM probes, suggesting an opportunity for measuring forces at timescales unexplored thus far. The fabrication is achieved using very-large-scale integration technologies derived from photonic silicon circuits. The probe's optomechanical ring cavity is coupled to a 1.55  $\mu\text{m}$  laser light and features a 130 MHz mechanical resonance mode with a quality factor of 900 in air. A limit of detection in the displacement of  $3 \times 10^{-16}$  m/ $\sqrt{\text{Hz}}$  is obtained, enabling the detection of the Brownian motion of the probe and paving the way for force sensing experiments in the dynamic mode with a working vibration amplitude in the picometer range. When inserted in a custom AFM instrument embodiment, this optomechanical sensor demonstrates the capacity to perform force-distance measurements and to maintain a constant interaction strength between the tip and sample, an essential requirement for AFM applications. Experiments indeed show a stable closed-loop operation with a setpoint of 4 nN/nm for an unprecedented subpicometer vibration amplitude, where the tip-sample interaction is mediated by a stretched water meniscus.

## Introduction

Atomic force microscopy (AFM) has many applications in nanosciences, from microtechnologies and nanotechnologies to nanobiology. AFM has rapidly become a standard technique for surface observation and force spectroscopy at the nanoscale, and instruments have constantly gained performance<sup>1–5</sup>. This is particularly true for the dynamic mode, where the probe tip is driven in oscillation close to the mechanical resonance of the probe<sup>6,7</sup>. This mode has progressively been preferred to the contact mode, as it increases the measurement

sensitivity while reducing the degradation of fragile and soft samples such as those found in biological experiments<sup>8</sup>. In this oscillating mode, the parameters of the probe, namely, the mechanical resonance frequency, quality factor, and stiffness, have a direct impact on the measurement bandwidth, vibration amplitude, and force sensitivity. They bound, in turn, the achievable imaging rate and time and spatial resolution in force tracking. Over the past 15 years, impressive results have been obtained in improving the temporal and spatial resolution in AFM, with great impacts on basic science and technology. On the one hand, imaging of up to tens of frames per second is now available, allowing the direct observation of biological processes under native-like conditions<sup>4,5,9</sup>. On the other hand, operation at subnanometer amplitudes, typically 100 pm, gives access to atomic resolution in vacuum, in air, and in liquids<sup>10–12</sup>.

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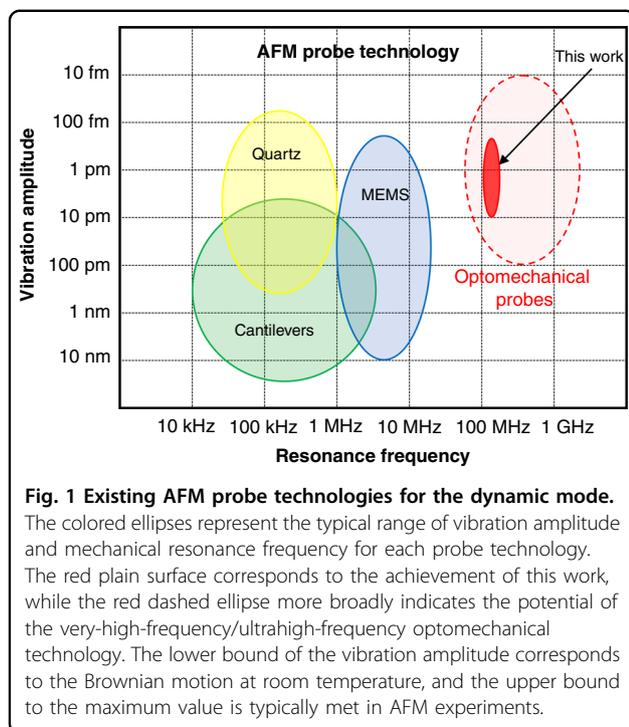
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Molecular bonds imaging has even been achieved in this regime of low vibration<sup>13</sup>. However, such a speed and such extreme resolution cannot be obtained simultaneously. Here, we propose an AFM probe technology based on silicon optomechanics to overcome this limitation.

The AFM probe is basically a force sensor built from a mechanical resonator. The existing AFM probe technologies are presented in Fig. 1. Depending on the vibration mode, dimension and mechanical properties of the resonator, different ranges of frequency and vibration amplitude may be reached.

The prevailing AFM probe, used since the beginning of the AFM age<sup>1</sup>, has been the microfabricated cantilever, whose displacement has usually been transduced by optical beam deflection<sup>14</sup> or laser interferometry<sup>15</sup>. Its fundamental flexural mode of vibration features a low stiffness, 0.1–100 N/m, which is an advantage as far as the mechanical responsivity to the measured force is concerned. Cantilever technology has benefited from numerous developments, in particular aiming at reducing its dimensions. Indeed, smaller dimensions imply higher resonance frequencies enabling high-speed applications while maintaining the desired stiffness. State-of-the-art commercially available fast microscopes typically employ 30  $\mu\text{m}$ -long cantilevers<sup>16</sup>. Most advanced experiments, allowing the direct observation of biomolecules in liquid at the video rate, have used even smaller cantilevers<sup>5,9</sup>. The vibration frequency  $f$  reaches a few MHz, while the optical detection spot faces the diffraction limit, setting a

limit to the performance improvement by mere downsizing. It is interesting to note that in recent decades, many works have been devoted to the integration of transducers for driving and sensing the vibration of mechanical probes based on capacitive, piezoelectric, thermal, or piezoresistive principles<sup>17–20</sup>. Even though these options could enable smaller cantilever dimensions and higher vibration frequencies (up to 100 MHz in ref. <sup>20</sup>), they have not yet been extensively adopted. In particular, the batch fabrication of tiny AFM cantilevers is hampered by the difficulty of producing tips at their extremities. Another AFM probe paradigm appeared 20 years ago based on quartz crystal technology. Tuning forks (TF) and length-extension resonators (LER) have often been used, especially in the field of condensed matter physics<sup>10,21</sup>. They offer high stiffness, from 3 to 1000 kN/m, and a highly efficient piezoelectric sensing scheme, enabling low-amplitude operation for the benefit of AFM imaging with high spatial resolution. Indeed, chemical bonding forces between two atoms have a short interaction range. They can be detected better by a low oscillation amplitude of the mechanical probe, typically below 100 pm, which improves the selectivity to the short-range signal, yielding AFM images with resolution at the scale of atoms<sup>12,13,22</sup>. However, TF and LER are millimetric devices whose resonance frequency  $f$  ranges from a few tens of kHz to the MHz at most, which is detrimental to the measurement bandwidth that generally scales with  $f$ . Consequently, TF and LER are not suitable for fast AFM operation. The difficulty in bringing together a high temporal resolution to investigate fast phenomena while achieving atomic-scale spatial resolution has motivated the exploration of new concepts and architectures of AFM probes. Silicon microelectromechanical system (MEMS) technology offers advantages for producing AFM probes at high frequencies. In recent years, AFM operation has been achieved with probes based on the in-plane vibration modes of MEMS resonators. Rings and beams have been particularly investigated, which embed capacitive and electrothermal/piezoresistive transducers to actuate and sense the tip oscillation, respectively<sup>23–26</sup>. The in-plane geometry of the device also facilitates the fabrication of high-aspect-ratio tips with a nanometer apex<sup>24,26,27</sup>. The characteristics of these MEMS probes are represented in Fig. 1. They combine a resonance frequency of up to 15 MHz and a vibration amplitude  $<100$  pm, extending the experimental window offered by cantilevers and quartz probes for AFM<sup>24,26</sup>. The limit of detection (LOD) of the vibration is at best  $10^{-15}$  m/ $\sqrt{\text{Hz}}$  (refs. <sup>24,27</sup>), and the efficiency of the electromechanical transduction quickly degrades with decreasing dimensions, setting a strict limit to the maximum operation frequency reachable by such MEMS technology<sup>28</sup>. The LOD, being inversely proportional to the transduction efficiency, must indeed be kept

below the probe's Brownian motion amplitude to benefit from the optimal force resolution set at the thermo-mechanical limit. This constraint is all the more stringent in that a higher resonance frequency generally comes along with higher stiffness, further lowering the Brownian motion amplitude.

For slightly more than a decade, the growing understanding of optomechanical interactions in semiconductor materials combined with advances in microfabrication and nanofabrication have enabled the realization of miniature devices combining high quality factor ( $Q_{\text{opt}}$ ) optical cavities and mechanical resonators on a chip<sup>29</sup>. In such systems, the large optomechanical coupling at play between the optical and mechanical modes allows the on-chip detection of subfemtometer displacements<sup>30</sup>. It was identified that such a level of performance could impact mechanical sensing applications, particularly AFM probe technology<sup>31–33</sup>. From 2011, a flexural cantilever for AFM operation in static mode was investigated, with a mechanical frequency of 4 MHz, the displacement of which was transduced by a microdisk optical cavity placed in close proximity with an LOD of  $7 \times 10^{-15}$  m/ $\sqrt{\text{Hz}}$  in the linear optical regime<sup>31,34,35</sup>. In parallel, extreme displacement sensitivity and LOD reaching  $10^{-18}$  m/ $\sqrt{\text{Hz}}$  were obtained even for resonators of a few micrometers in size, with resonance frequencies in the GHz range<sup>36,37</sup>. Force sensing in the optomechanical self-oscillation regime was also achieved<sup>38</sup>. Recently, we introduced the concept of an optomechanical probe for very-high-frequency (VHF) sensing of force, where a silicon ring forming an optical cavity also acted as a probe mechanical resonator with a tip for force detection<sup>39</sup>. We demonstrated optically actuated and detected mechanical oscillations above 100 MHz of frequency and evidenced the detection of mechanical interactions with the tip of the probe<sup>32</sup>. Cavity optomechanics thus appears to be a promising approach to produce VHF probes for AFM operation in dynamic mode with ultralow oscillation amplitudes, exceeding the limits of current technologies, as illustrated in Fig. 1. Building on our previous work, the present study takes a further step toward the actual exploitation of VHF optomechanical probes in an AFM configuration. The fabrication of the devices is achieved using very-large-scale integration (VLSI) technologies inherited from those of silicon photonics. The probe is actuated using either capacitive or optical forces, and a comparison between both is led. The vibration of the tip is optomechanically transduced, with a resonance frequency >100 MHz. The Brownian motion is resolved with an LOD of  $3 \times 10^{-16}$  m/ $\sqrt{\text{Hz}}$ . A custom instrument for fast data acquisition and processing is used to obtain further results in an AFM configuration in the air. Force curves show the probe's sensitivity to the mechanical interaction with a surface in a regime of very low vibration, where the

contact is mediated by a water meniscus. In addition, a stable closed-loop operation is demonstrated. These achievements lay the foundations for a new class of AFM probes enabled by cavity optomechanics, validating operation in the dynamic mode at very high oscillation frequency and ultralow amplitude in an instrumental configuration amenable to AFM imaging.

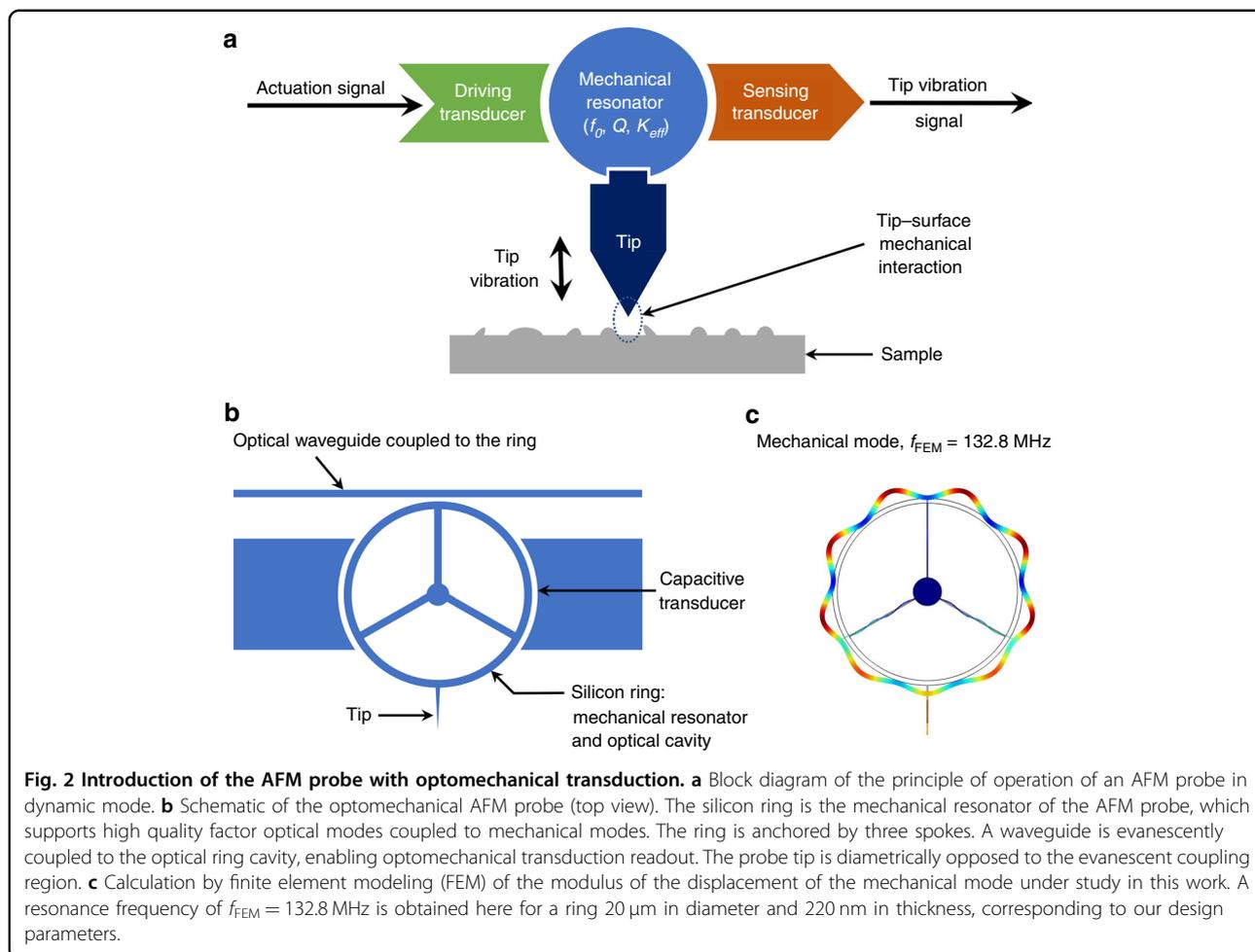
## Results and discussion

### Principle of operation

Figure 2 gives an overview of the concept of the optomechanical AFM probe. As shown in Fig. 2a, an AFM probe is basically a harmonic mechanical resonator driven by an actuation signal. Any interaction force between the probe's tip and the sample surface impacts the eigenfrequency and/or the dissipation of the resonator, which in turn impacts the amplitude and/or the phase of the vibration signal. In a reduced-order mechanical model, the probe is described by its free resonance frequency  $f_0$ , quality factor  $Q$ , and effective stiffness  $K_{\text{eff}}$  (see "Methods"). Our specific optomechanical AFM probe design is illustrated in Fig. 2b. It consists of a silicon ring acting both as the mechanical resonator and an optical cavity. In such a structure, the extensional mechanical modes and the optical ring modes are strongly coupled<sup>29,40</sup>, which leads to efficient optical transduction of mechanical motion. The laser light traveling in the waveguide evanescently couples in and out of the ring. The detection of motion carried through the output optical signal is also favored by the high quality factor of the optical resonance. The device is designed to operate at a wavelength close to 1.55  $\mu\text{m}$ . Actuation of the vibration of the ring can be obtained through electrostatic forces by applying a sine voltage to the electrodes in close proximity to the ring or through optical forces by modulating the intensity of the optical field injected and stored in the cavity. Figure 2c shows the shape of the extensional mechanical mode used in the present study to reach the VHF range. Its azimuthal order is 9, allowing us to locate the 3 spokes at nodes and the tip at a maximum of vibration amplitude. In this configuration, the probe tip is set into a quasi-one-dimensional (quasi-1D) vertical oscillation by the vibration of the ring, which is actuated with significant amplitude.

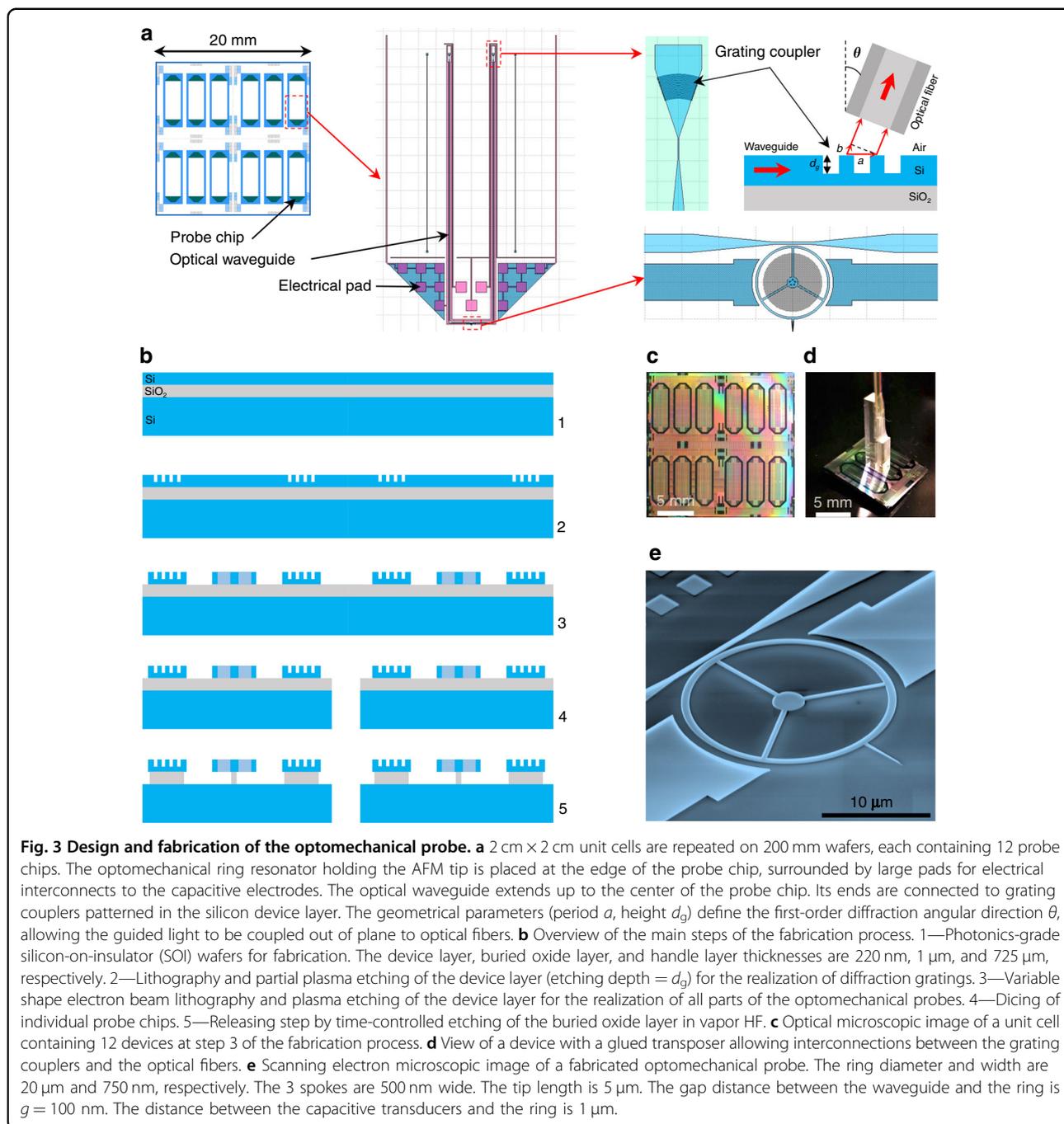
### Implementation and fabrication

VLSI clean-room techniques derived from our standard silicon photonics technologies are used to fabricate the VHF optomechanical probes on 200-mm silicon-on-insulator (SOI) wafers. The general layout and the design of the chips are presented in Fig. 3a. Electrical pads located at the edges of the chip provide access to the capacitive transducers of the probe. The optical waveguide coupled to the ring extends up to the center of the



chip, where grating couplers allow a convenient interconnection with optical fibers. A schematic overview of the fabrication procedure is depicted in Fig. 3b. A first lithographic step and reactive ion etching (RIE) are used to pattern the grating couplers in the 220-nm silicon device layer of the SOI wafer. In a second step, variable shape electron beam lithography followed by an RIE step defines the main elements of the probe in the whole device layer thickness, including the ring, its anchors and its tip, the waveguide, the capacitive transducers, and their associated electrical interconnects. Back-side deep RIE, or alternatively a combination of saw dicing and RIE<sup>41</sup>, is realized through the whole thickness of the underlying silicon substrate, intending to make the probe chips detachable from the wafer and to make the tip overhang the chip edge. The ring of the probe is finally released by etching the 1  $\mu\text{m}$ -thick sacrificial oxide layer using vapor HF, and the underetching is controlled so that the ring becomes free to vibrate but remains anchored to the substrate through the spokes and the wider central post. The results of the fabrication process are shown in

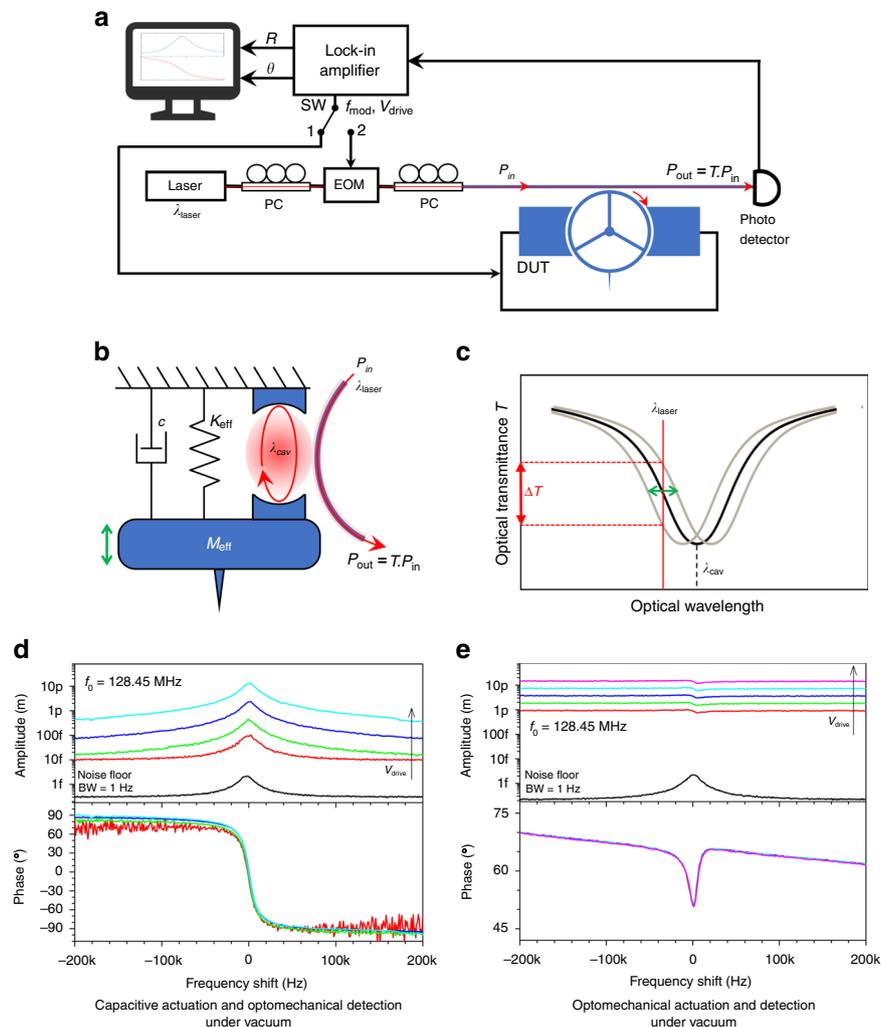
Fig. 3c–e. In particular, Fig. 3d shows a device with fiber transposers aligned and glued to the probe chip to ensure interconnects between the grating couplers and the optical fibers. Figure 3e gives a closer view of the optomechanical resonator of an AFM probe. The tip length is 5  $\mu\text{m}$ , and we estimate that the curvature radius at its apex is smaller than 30 nm (see Fig. S1 in Supplementary Information). The waveguide–ring optical coupling is obtained by the spatial overlap of the evanescent tails of the propagative mode of the waveguide and of the mode of interest of the ring cavity. It scales exponentially with the gap distance  $g$  between both objects. A total transfer is achievable at the optical resonance for the so-called critical coupling. In our devices, the critical coupling condition is typically met for a gap distance  $g = 100$  nm, a distance that maximizes the efficiency of optomechanical transduction. This value was determined first by finite element modeling (FEM) and then finely tuned by experimental characterizations. The VLSI process is well controlled and reproducible in terms of fabrication precision, typically <10 nm with respect to the design,



which ensures that the devices can routinely operate close to the optimal condition. The intrinsic quality factor of the optical cavity mode depends on many parameters, such as the roughness of the sidewalls after fabrication or the exact design of the structure (tip and spoke positions). In our experiments, we observe optical ring modes featuring intrinsic quality factors in the range of  $10^4$  to  $10^5$  (refer to ref. <sup>42</sup> for a detailed study of the optical losses).

### Actuation and optomechanical detection

The experimental set-up for characterization is shown in Fig. 4a. The optomechanical probe can be sinusoidally driven by two mechanisms: (i) capacitively via electrostatic forces using the electrodes close to the ring and optically via radiation pressure and photothermal effects by modulating the optical field intensity injected into the cavity<sup>29,37</sup>. The mechanism of optomechanical detection is schematically illustrated in Fig. 4b. The mechanical



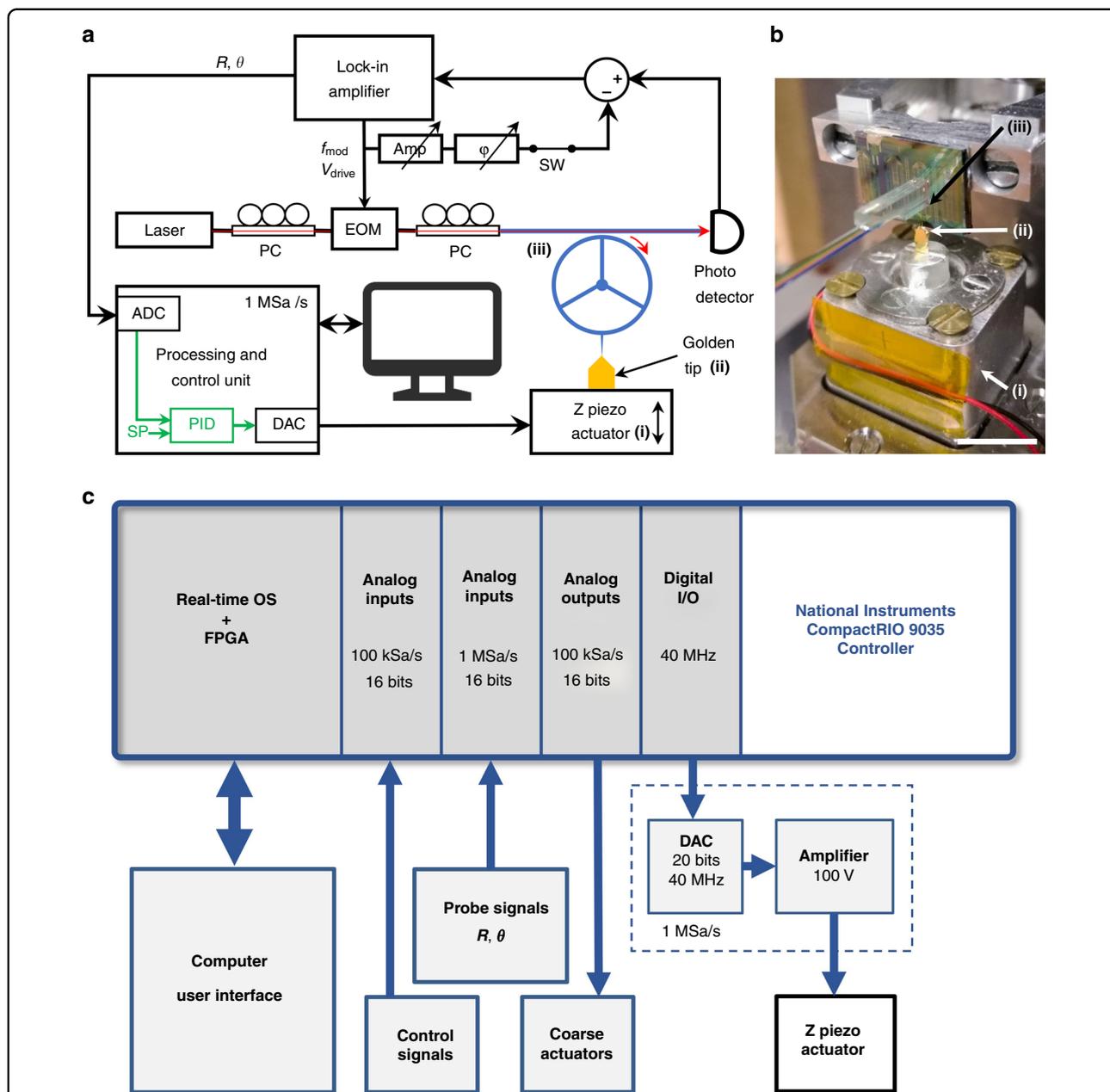
**Fig. 4 Experimental set-up and results.** **a** Block diagram of the characterization set-up. A tunable laser supplies the laser light in the  $1.55 \mu\text{m}$  band (wavelength  $\lambda_{\text{laser}}$ , output power = 2 mW). The actuation signal (amplitude  $V_{\text{drive}}$ , frequency  $f_{\text{mod}}$ ) is provided by the lock-in amplifier (LIA) and is applied either to the capacitive electrodes of the device under test (DUT) (capacitive actuation, switch SW in position 1) or to the electro-optical modulator (EOM) (optical actuation, switch SW in position 2). The DUT transmitted optical signal is fed to the photodiode (PD) and demodulated in magnitude  $R$  and phase  $\theta$  by the LIA. PC: polarization controller.  $P_{\text{in}}$ : optical power at the DUT input.  $P_{\text{out}}$ : optical power at the DUT output.  $T$ : optical transmittance of the DUT. **b** Simplified diagram of the optomechanical probe coupling a mechanical resonator and an optical cavity.  $M_{\text{eff}}$ ,  $K_{\text{eff}}$ , and  $c$  are the mechanical parameters of the probe: effective mass, effective stiffness, and damping coefficient, respectively. **c** Optical transmittance spectrum of the waveguide coupled to the cavity and principle of optomechanical transduction. The optical mode of the cavity is shown schematically as a black line. The laser wavelength  $\lambda_{\text{laser}}$  is blue-detuned from the optical resonance wavelength  $\lambda_{\text{cav}}$ . Consequently, the optical transmittance varies according to  $\lambda_{\text{cav}}$  (gray lines). Under these conditions, the variation  $\Delta T$  of the transmittance is more sensitive to changes in  $\lambda_{\text{cav}}$  when the quality factor of the optical mode is large. Note that the ring optical cavity supports many resonance modes (see Fig. S2 in Supplementary Information). Only one is shown here for the sake of clarity. **d** Capacitive actuation and optomechanical detection under vacuum and at room temperature. The switch SW is set in position 1. Black line: noise spectral density showing the Brownian motion of the device (resolution bandwidth = 1 Hz). The resonance frequency is  $f_0 = 128.45 \text{ MHz}$ ,  $Q = 9000$ . The vibration amplitude indicated in the y-axis of the chart is calibrated from the Brownian motion considering an effective mechanical stiffness of 40 kN/m (see “Methods”), and is only valid for the Brownian motion (see text). Color lines: frequency response in magnitude and phase of the device driven using  $V_{\text{drive}} = 1.25, 2.5, 5, \text{ and } 10 \text{ V}$  (measurement bandwidth: 1 kHz). **e** Optomechanical actuation and detection under vacuum and at room temperature. The switch SW is set in position 2. Black line: noise spectral density showing the Brownian motion of the device (resolution bandwidth = 1 Hz). The resonance frequency is  $f_0 = 128.45 \text{ MHz}$ ,  $Q = 9000$ . The vibration amplitude indicated in the y-axis of the chart is calibrated from the Brownian motion considering an effective mechanical stiffness of 40 kN/m (see “Methods”), and is only valid for the Brownian motion (see text). Color lines: frequency response in magnitude and phase of the device obtained by modulating the laser power with  $V_{\text{drive}} = 50, 100, 200, 400, \text{ and } 800 \text{ mV}$  applied to the EOM (measurement bandwidth: 1 kHz). We estimate that the optical modulation is linear with  $V_{\text{drive}}$  in the range 0–800 mV and that the modulation is approximately 30% for  $V_{\text{drive}} = 800 \text{ mV}$ . A slope is clearly visible on the phase signal:  $-8$  degrees over 400 kHz. This corresponds to a delay of 55 ns between the input signal and the internal reference of the LIA that we attribute to a propagation delay in the measurement chain.

vibration of the ring and tip induces a change in the optical path length of the ring cavity, such that the resonant wavelength  $\lambda_{\text{cav}}$  changes accordingly, producing a change in the optical transmittance  $T$  of the waveguide, provided that the laser is tuned to a flank of the optical resonance. As depicted in Fig. 4c, we select a laser light at a wavelength  $\lambda_{\text{laser}}$ , blue-detuned from the peak, in the region of maximum slope, where a small change in the cavity resonance wavelength  $\lambda_{\text{cav}}$  translates linearly into a maximal change  $\Delta T$  of the optical transmittance. The light exiting the cavity and carrying information about the probe vibration is detected by a fast photodetector. The signal vector is then demodulated by a lock-in amplifier (LIA). In Fig. 4d, the frequency response of the AFM probe is measured by capacitive actuation and optomechanical detection (see “Methods”). The magnitude and phase of the probe are presented for sine-wave frequency sweeps and for several values of the driving amplitude  $V_{\text{drive}}$ . A Lorentzian-shaped resonance is observed at  $f_0 = 128.45$  MHz with a quality factor  $Q$  of 9000 under vacuum. The phase exhibits a quasi-ideal rotation of  $180^\circ$  when sweeping the frequency over the mechanical resonance. In the absence of an actuation signal ( $V_{\text{drive}} = 0$  V, black line), the Brownian motion spectrum of the probe is clearly detected  $>15$  dB above the noise floor of  $3 \times 10^{-16}$  m/ $\sqrt{\text{Hz}}$ . This indicates that close to the oscillation frequency, the noise added to the signal is dominated by the thermo-mechanical noise. When the oscillation is driven ( $V_{\text{drive}}$  from 1.5 to 10 V, color lines), the vibration amplitude of the probe tip at resonance varies from 90 fm to 13 pm depending on the value  $V_{\text{drive}}$ . Note that the calibration factor used to convert the output voltage of the LIA into the tip motion amplitude was determined from the Brownian noise spectrum as detailed in the “Methods” section. In Fig. 4e, we demonstrate in contrast the all-optical operation of the probe resonator. In this configuration, the incident laser light is amplitude-modulated, causing the mechanical actuation of the probe resonator by the optical forces in the cavity. The Brownian motion of the probe ( $V_{\text{drive}} = 0$  V, black line) shows the same resonance frequency  $f_0 = 128.45$  MHz and quality factor  $Q = 9000$  under vacuum as before. In the driven mode ( $V_{\text{drive}}$  from 50 to 800 mV, color lines), asymmetric and shifted signals are observed in amplitude and phase, meaning that a background signal, coherent with the actuation signal, interferes with the optomechanically transduced signal of the probe vibration. This phenomenon is similar to Fano resonances<sup>43</sup>. This is inherent to the all-optical actuation/detection scheme using one laser: unless the critical coupling condition between the ring and the waveguide is fully met, a part of the amplitude-modulated injected light is directly transmitted to the output without entering the ring and is responsible for the background signal. As a consequence,

the amplitude and phase in the mechanical domain cannot be directly read from the measurements but must instead be obtained from indirect calibration or from a model. Note that a complete model of this Fano interference has been recently developed that embeds both photothermal and radiation pressure effects<sup>44</sup>. Even without full modeling here, a useful estimation of the motion amplitude can be obtained by examining the variation of the signal close to the resonance frequency: it ranges from 500 fm to 10 pm, depending on the value  $V_{\text{drive}}$ . The results in Fig. 4d, e show that capacitive and optical actuations can both lead to a tip vibration amplitude in the few picometer range under vacuum. Unlike capacitive actuation, optical actuation does not require electrical interconnects to the probe chip, making the device simpler and more convenient to use for AFM purposes. The drawback is the Fano phenomenon, which hinders straightforward access to mechanical information from the optical signal. It can, however, be recovered by subtracting a vector signal from the probe signal to cancel the background signal, which can be implemented as data postprocessing or prior to demodulation at the level of the measurement chain. The latter option is actually used in the experiments of the next section.

#### Sensing of tip mechanical interaction in the AFM configuration

The optomechanical probes were integrated into a dedicated scanning-probe instrument, depicted in Fig. 5a. It contains the same basic blocks as a standard AFM, each of which is designed to match the specificities of the optomechanical probes in terms of oscillation frequency and measurement bandwidth. The probes were operated in the all-optical scheme described in the previous section for actuation and detection of mechanical motion. A signal path was added in the measurement chain, allowing us to subtract a tunable vector signal from the probe signal before demodulation by a fast LIA. This was aimed at recovering the Lorentzian shape of the probe’s mechanical signal by canceling the background signal (see the previous section). The demodulated signal was then fed to the processing and control unit implemented on a CompactRIO platform from National Instruments. In addition, this unit drove a Z piezo actuator that controlled the distance between the tip of the optomechanical probe and a sample. Figure 5b shows a close view of the Z piezo actuator and the optomechanical probe with its optical fibers. The Z piezo actuator was designed to offer a 100-kHz displacement bandwidth, following the work of Fleming et al.<sup>45</sup>. Details of the control unit are given in Fig. 5c. In particular, it included 16-bit analog-to-digital converters (ADC) for the acquisition of the probe signals at  $10^6$  samples/s, a 20-bit digital-to-analog converter (DAC) for the generation of the Z piezo driving signal at



**Fig. 5 Instrument set-up for approach-retract experiments and closed-loop operation.** **a** Block diagram of the custom scanning-probe instrument used for the experiments in an AFM configuration. The optomechanical probe is optically actuated and detected. An extra signal path allows the generation of a vector signal derived from the driving signal at frequency  $f_{mod}$ . This vector signal is tunable in amplitude (Amp) and phase ( $\phi$ ) and is subtracted from the probe signal before demodulation by the fast lock-in amplifier (LIA). The demodulated information is then fed to the control unit, which processes  $10^6$  samples per second. The unit contains a proportional-integral-derivative controller (PID) and drives the Z piezo actuator (i), which sets the distance between the golden tip used as the sample (ii) and the optomechanical probe (iii). PC phase controller, EOM electro-optical modulator, SW switch, ADC analog-to-digital converter, DAC digital-to-analog converter, SP setpoint. **b** Close view (optical image) of the AFM head, scale bar: 10 mm. The displacement range of the Z piezo actuator (i) is  $2 \mu\text{m}$ , and its displacement bandwidth is 100 kHz. The mobile flange of the actuator holds sample (ii), which is a golden tip. A piezo stage located below the Z piezo actuator is used to set the position of the sample along the XY directions. The AFM optomechanical probe (iii) is placed vertically, and its tip apex points toward the sample. The optical fibers are glued to the probe chip by means of a transposer aligned with the grating couplers. **c** Details of the processing and control unit implemented on a National Instruments CompactRIO 9035 controller, featured with an FPGA (field-programmable gate array), a real-time processor, and an embedded user interface capability. All parts of the system are programmed with the LabVIEW software. Several input/output modules allow the acquisition/generation of signals. A 20-bit DAC and a high-voltage amplifier were specifically designed for the application, offering the resolution and speed required to drive the Z piezo actuator. Extra input/output signals are available for the purpose of controlling the instrument. This includes the displacement of the XY piezo stage up to 10 frames/s.

$10^6$  samples/s, and a proportional-integral-derivative controller (PID) with a refresh rate of  $10^6$  samples/s. The control unit allowed open-loop and closed-loop operation of the system. The delay induced by the signal processing chain of the control unit, including ADC acquisition, PID calculation, DAC, and Z piezo command generation, was approximately  $3.5 \mu\text{s}$ , a value offering a phase margin large enough to allow a closed-loop bandwidth of up to 100 kHz. These features of the instrument we built would typically lead to a refreshment rate of 10 images/s of  $100 \times 100$  pixels over a scan area of  $100 \times 100 \text{ nm}$ .

The instrument was first used to characterize an optomechanical probe in the air. The vector signal was fitted to cancel the measurement background signal. Figure 6a shows the obtained result, demonstrating that the Lorentzian shape of the mechanical information was recovered, as well as a 180-degree phase rotation at resonance. The resonance frequency of this probe was  $f_0 = 130.61 \text{ MHz}$ , and the quality factor was  $Q = 870$ . The vibration amplitude at resonance was  $0.32 \text{ pm}$  for the drive parameters chosen in the experiment. This value is typically one order of magnitude lower than what was obtained under vacuum (Fig. 4), resulting from a lower mechanical quality factor in air. Despite a lower  $Q$ , the Brownian displacement noise was significantly higher than the noise floor ( $\text{LOD} < 3 \times 10^{-16} \text{ m}/\sqrt{\text{Hz}}$ ) and remained the main noise contribution to the probe signal. We then performed approach–retract cycles where the sample, here a golden tip, was moved toward the optomechanical probe tip using the Z piezo actuator. During the experiment, we recorded the piezo displacement command and the variation of the probe resonance frequency versus time, as illustrated in Fig. 6b. Figure 6c represents the data as a function of the displacement command, more explicitly showing the relative frequency shift along the approach–retract cycle. Note that the frequency shift is proportional to the force gradient sensed by the optomechanical probe tip in mechanical interaction with the sample (see “Methods”). The curve displays a hysteresis cycle, typical of approach–retract experiments performed in air at a very low vibration amplitude<sup>24</sup>. When approaching the sample in ambient conditions, under which a water layer is generally adsorbed on the surface<sup>12,46</sup>, the probe experiences an abrupt frequency shift due to the sudden formation of a water meniscus bridging the probe tip to the sample. This corresponds to a positive force gradient experienced by the probe, which is consistent with a spring constant added by the meniscus. The extension of the observed hysteresis is approximately  $110 \text{ nm}$ , corresponding to the separation distance required to break the liquid-mediated contact. Once the probe tip and surface were separated, the frequency shift recovered its initial value at the beginning of the approach–retract cycle where the tip was

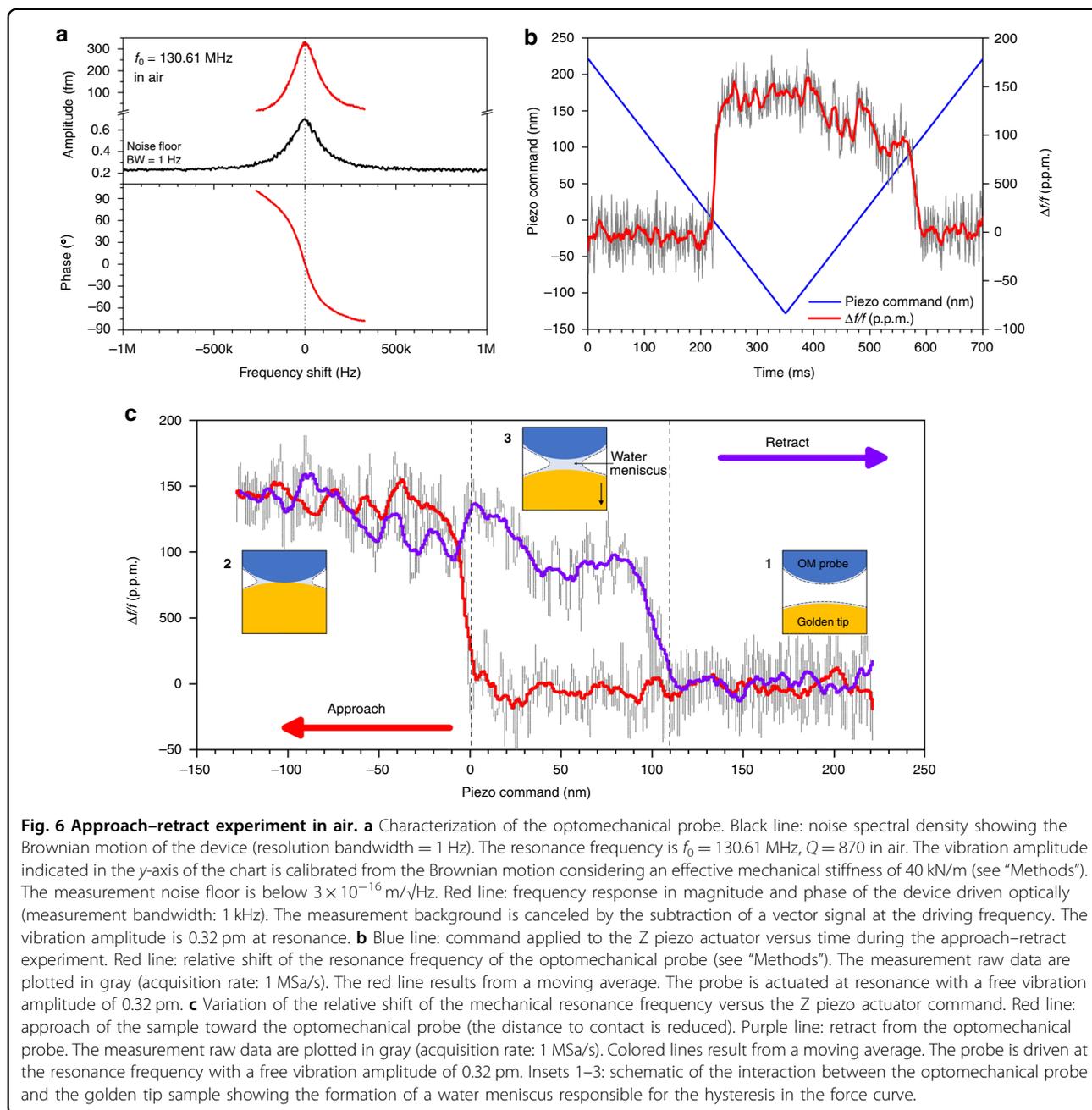
in free oscillation. The positive force gradient experienced by the optomechanical probe when the meniscus forms is estimated from the frequency shift to a value of  $7 \text{ nN/nm}$  (see “Methods”). Such a value can be analyzed using the approach of Ondarçuhu et al., which was developed to investigate the shape and effective spring constant of liquid interfaces probed at the nanometer scale<sup>47,48</sup>. This analysis shows that the operation of our probe with an amplitude less than the picometer causes the water meniscus to be in equilibrium at all times despite the oscillation frequency being  $>100 \text{ MHz}$ . Moreover, we can assume that the meniscus, formed by water condensation, is laterally confined by the interaction with the probe. The meniscus spring constant can hence be approximated by<sup>43</sup>

$$k_{\text{meniscus}} = 2\pi\gamma/\ln(L/R) \quad (1)$$

where  $\gamma = 73 \text{ mN/m}$  is the surface tension of water,  $R$  is the radius of the probe tip, and  $L$  is the lateral extension of the meniscus. Taking  $k_{\text{meniscus}} = 7 \text{ nN/nm}$  and assuming a probe apex radius  $R = 20 \text{ nm}$ , Eq. 1 implies a value  $L = 180 \text{ nm}$ . Such a lateral extension of the meniscus yields a reasonable description of the probe–sample interaction, consistent with the separation distance of  $110 \text{ nm}$  inferred in Fig. 6 from the approach–retract experiments.

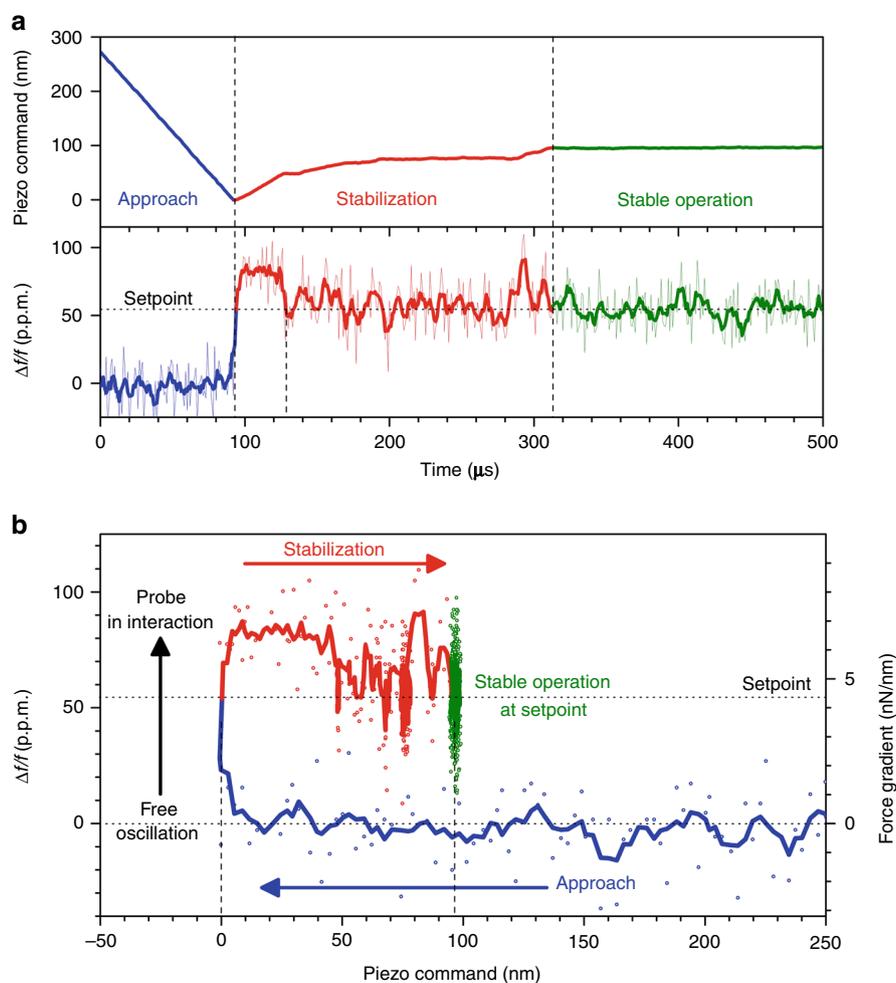
#### Closed-loop operation

Closed-loop operation is a central prerequisite for the future use of optomechanical probes for AFM imaging. It consists of controlling the probe–sample distance by means of the Z piezo actuator while maintaining the mechanical interaction constant. This was demonstrated using our custom instrument in closed-loop configuration, i.e., feeding the probe signal to the PID to stabilize a given setpoint value. The time response of the system is shown in Fig. 7a. Before closing the regulation loop, the probe was oscillating freely at its resonance frequency with an amplitude of  $0.32 \text{ pm}$ . The resonance frequency was used as the PID input signal, and the setpoint was set to a relative shift of  $+55 \text{ p.p.m.}$ , corresponding to a force gradient of  $+4 \text{ nN/nm}$ . At  $t = 0$ , the probe tip is far from the sample, and the PID commands the Z piezo actuator to reduce the distance (blue line). At  $t = 90 \mu\text{s}$ , the mechanical tip–sample interaction occurs, inducing a positive frequency shift. As described in the previous section, it corresponds to the sudden formation of a water meniscus bridging the probe tip to the sample, adding stiffness to the probe’s mechanical resonator. As the control signal exceeds the setpoint, the PID commands in turn an increase in the tip–sample distance. A stabilization stage takes place during the following  $120 \mu\text{s}$  (red line), leading to a steady state (green line) where the PID input signal equals the setpoint value, and



the Z piezo position remains constant. A certain time is required to reach an equilibrium where the generated meniscus yields a spring constant that sums to the probe spring to reach the targeted setpoint. Figure 7b provides supplementary insight into the phenomenon: once the mechanical interaction is detected, the tip–sample separation is increased by 95 nm to reach the equilibrium state corresponding to the setpoint. If we refer to Fig. 6c, this displacement is lower than the 110 nm separation needed to break the meniscus, indicating that for the equilibrium reached in closed-loop operation, the

tip–sample interaction is mediated by the liquid meniscus. As a consequence, the Z feedback is operated with the probe tip in contact with a stretched meniscus, corresponding to the retraction curve in Fig. 6c (purple line). This is made possible thanks to the very low amplitude of vibration of our probe technology. In particular, such a dynamic operation mode is drastically different from what occurs with cantilevers at large vibration amplitudes (>10 nm) that allow the tip to go in and out of the water meniscus when approaching the surface under ambient conditions.



**Fig. 7 Closed-loop operation of the AFM optomechanical probe. a** Time response of the PID signals when the feedback loop is closed. Blue line: the probe oscillates freely far from the sample, and the Z piezo actuator decreases the tip-to-sample distance. Red line: the mechanical interaction occurs at  $t = 90 \mu\text{s}$ , and the PID adjusts the piezo displacement to keep the signal equal to the setpoint. Green line: a steady state is reached. The Z piezo position and the probe signal (relative frequency shift) are kept stable. The measurement raw data are plotted as thin lines (acquisition rate: 1 MSa/s). Bold lines result from a moving average. **b** Variation of the probe signal (relative frequency shift) versus the piezo command when the feedback loop is closed. The chart is a representation of the signals of the time response in **a** using the same color code. The right axis represents the force gradient derived from the relative frequency shift (see “Methods”).

## Conclusions

The purpose of this work was threefold: (1) to produce VHF optomechanical probes for the purpose of future AFM applications using a batch fabrication process, (2) to implement the probe sensors in an instrument in the AFM configuration, and (3) to demonstrate the capability to meet the basic requirements of AFM operation. Optomechanical probes were fabricated on 200-mm wafers using VLSI technologies derived from the fabrication process of photonics circuits on silicon. A major feature of these probes is their very high resonance frequency, approximately 130 MHz, which is greater than that of any other AFM probe technology, such as short cantilevers, quartz, or MEMS probes.

As fabricated, the radius of curvature at the tip apex is currently  $<30 \text{ nm}$ . We characterized the probes under vacuum and in the air using capacitive and optical actuation combined with optical detection. The results showed that optomechanical detection enabled the observation of Brownian motion well above the set-up noise floor lower than  $3 \times 10^{-16} \text{ m}/\sqrt{\text{Hz}}$ . This paves the way for exquisite measurement resolutions, limited only by the thermo-mechanical noise at room temperature. In particular, we showed that the probes vibrate down to subpicometer amplitudes, which is an operation regime out of reach of any other AFM technology. The probes were equipped with optical fiber interconnects and implemented in air in a dedicated instrument in the AFM configuration.

Approach–retract experiments evidenced the sensitivity to the mechanical interaction with a sample, as well as the formation of a water meniscus responsible for the hysteretic behavior of the liquid-mediated contact between the probe and the sample. Moreover, we demonstrated a stable closed-loop operation of the instrument, where the probe–sample distance was adjusted to stabilize the force gradient between the tip and the sample surface. These two latter results, sensitivity to mechanical interaction and closed-loop operation, were obtained with an ultralow vibration amplitude of 0.32 pm. They are the central ingredients demonstrating that AFM experiments with these VHF optomechanical probes are achievable in the near future. We envision that they have the potential to unlock next-generation experiments, solely limited by the speed of the other constituents of the AFM instrument. Ultrahigh-speed imaging up to milliseconds per frame and nanosecond force tracking could eventually be combined with a spatial resolution at the scale of the chemical bonds offered by very low vibration amplitudes, paving the way for capturing ultrafast phenomena at the molecular scale through AFM.

## Methods

### Governing mechanics

The probe mechanical resonator is considered to be 1D, as shown in Fig. 4d. The second-order differential equation for the lumped resonator model can be expressed as

$$M_{\text{eff}}\ddot{z} + c\dot{z} + K_{\text{eff}}z = F_{\text{drive}}(t) + F_{\text{ts}}(t) \quad (2)$$

where  $F_{\text{drive}}$  is the actuation force of the probe vibration,  $F_{\text{ts}}$  is the interaction force between the tip and the sample,  $M_{\text{eff}}$  is the effective mass,  $K_{\text{eff}}$  is the effective spring constant,  $c$  is the damping coefficient, and  $z$  is the tip displacement. The lumped model assumes that the total energy is conserved as well as the displacement amplitude of the probe tip, leading to the calculation of  $M_{\text{eff}}$  from the shape of the vibration mode  $U(r)$  obtained by FEM and shown in Fig. 2c:

$$M_{\text{eff}} = \frac{\rho_{\text{Si}} \int U(r)^2 dr}{U(r_t)^2} \quad (3)$$

where  $\rho_{\text{Si}} = 2330 \text{ kg/m}^3$  is the density of silicon and  $r_t$  is the position of the tip.

FEM also gives the resonance frequency  $f_{\text{FEM}}$ , which is used to calculate the effective spring constant  $K_{\text{eff}}$ :

$$K_{\text{eff}} = \frac{M_{\text{eff}}}{(2\pi f_{\text{FEM}})^2} \quad (4)$$

The damping coefficient  $c$  can be deduced from the experimental value  $Q$  of the mechanical quality factor:

$$c = \frac{2\pi M_{\text{eff}} f_{\text{FEM}}}{Q} \quad (5)$$

### Capacitive actuation

Capacitive actuation is obtained by applying a sine voltage  $V_a(t) = V_{\text{drive}} \cos(2\pi f_{\text{mod}} t)$  to the capacitive transducers (see Fig. 2b). The induced electrostatic force  $F_{\text{drive}}$  acting on the mechanical resonator is proportional to the square of the applied voltage and thus oscillates at twice its frequency  $f_{\text{mod}}$ :

$$F_{\text{drive}}(t) = \alpha V_a(t)^2 = \frac{\alpha V_{\text{drive}}^2}{2} [1 + \cos(2\pi \times 2f_{\text{mod}} t)] \quad (6)$$

where  $\alpha$  is a constant depending on the geometry of the capacitive transducers and on the shape of the vibration mode. Consequently, for the experiments in Fig. 4d,  $f_{\text{mod}}$  is swept around half the expected resonance frequency  $f_0$ , and the probe signal is demodulated at  $2f_{\text{mod}}$  by the LIA.

### Calibration of the vibration amplitude

The displacements indicated in the charts in Figs. 4 and 6 are calibrated from the Brownian motion spectrum, assuming the linearity of the measurement chain. The displacement noise  $z_{\text{displ\_noise}}$  coming from the thermal fluctuations is given at resonance frequency  $f_0$  by<sup>49</sup>:

$$z_{\text{displ\_noise}} = \sqrt{\frac{2k_B T Q}{\pi f_0 K_{\text{eff}}}} \quad (7)$$

where  $k_B$  is the Boltzmann constant and  $T$  is the temperature ( $T = 300 \text{ K}$ ). It comes from Eq. 7 that  $z_{\text{displ\_noise}} = 2.15 \text{ fm}$  at a 1-Hz measurement bandwidth under vacuum (Fig. 4,  $f_0 = 128.45 \text{ MHz}$ ,  $Q = 9000$ ,  $K_{\text{eff}} = 40 \text{ kN/m}$ ). Knowing this, displacements were plotted in Fig. 4d, e and from the output of the LIA using calibration factors of 23.6 and 16.4 nm/V, respectively. In the air,  $z_{\text{displ\_noise}} = 0.65 \text{ fm}$  at a 1-Hz measurement bandwidth (Fig. 6a,  $f_0 = 130.61 \text{ MHz}$ ,  $Q = 870$ ,  $K_{\text{eff}} = 40 \text{ kN/m}$ ), and the calibration factor was 1.26 nm/V.

### Measurement of the relative frequency shift

The relative frequency shift  $\Delta f/f$  is obtained from the variation  $\Delta\theta$  of the phase between the probe signal and the driving signal given by the LIA. The phase variation is converted to the relative shift of the resonator eigenfrequency knowing the characteristics of the phase rotation versus frequency. Experiments in Figs. 6b, c and 7 were

carried out driving the probe at its free resonance frequency, i.e.,  $f_{\text{mod}} = f_0 = 130.61$  MHz, where the slope  $s$  of the phase rotation versus the frequency is maximal ( $s = 836 \times 10^{-6} \text{ }^\circ/\text{Hz}$  in Fig. 6a). Assuming a small frequency shift around  $f_0$ , the relative frequency shift is then given by:

$$\Delta f/f = s^{-1} \Delta \theta/f_0 \quad (8)$$

### Measurement of the force gradient

The force gradient  $\Delta k$  experienced by the probe tip is deduced from the relative frequency shift (Eq. 8) as a change in the effective spring constant of the probe:

$$\Delta k = 2K_{\text{eff}} \times \Delta f/f. \quad (9)$$

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### Author contributions

The probes were designed by P.E.A., I.F., and G.J. and fabricated by M.G. under the guidance of S.H. and G.J. The instrument used for the experiments in the AFM configuration was conceived and fabricated by D.L., N.M., L.M., B.L., and X.D. All measurements were carried out by L.S. and P.E.A. and analyzed by L.S., P.E.A., S.H., G.J., I.F., and B.L. The paper was written under the supervision of B.L.

### Conflict of interest

The authors declare no competing interests.

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