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# *Ab initio* investigation of the adsorption of phenolic compounds, CO, and H<sub>2</sub>O over metallic cluster/silica catalysts for hydrodeoxygenation process

Saber Gueddida<sup>a,\*</sup>, Sébastien Lebègue<sup>a</sup>, Andreea Pasc<sup>b</sup>, Anthony Dufour<sup>c</sup>, Michael Badawi<sup>a,\*</sup>

<sup>a</sup>Lorraine University, Laboratoire de Physique et Chimie Théoriques, CNRS:UMR-7019, Vandoeuvre-lès-Nancy 54506, France.

<sup>b</sup>Lorraine University, MolSyBio, L2CM, CNRS UMR7053, F-54506 Vandoeuvre-Les-Nancy, France.

<sup>c</sup>Lorraine University, LRGP, CNRS, ENSIC, 54000 Nancy, France.

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## Abstract

The catalytic hydrodeoxygenation (HDO) of lignin is an important route to produce green aromatics. Herein we study the adsorption of key phenolic molecules (phenol, catechol, guaiacol, anisole) over various metallic nanoparticles (NP) (Ni, Cu, Co, Fe) supported over amorphous silica, by the periodic spin polarized density functional theory (DFT). CO and water are potential inhibiting molecules present in the lignin pyrolysis gas. Therefore their competing adsorption is also studied in details. Our calculations show that the oxygenated compounds have a stronger interaction at the interface between the NP cluster and the silica for all the studied metals. By comparing the resulting adsorption energies, we found that the Ni<sub>13</sub>@silica catalyst is the most attractive one for oxygenated molecules. The most stable configuration is a phenol adsorption at the interface through the OH group with the silica surface and the aromatic ring with the transition metal cluster. In addition, we show that the adsorption of the oxygenated compounds is not impacted by the presence of inhibiting molecules on Fe<sub>13</sub>@silica, Co<sub>13</sub>@silica and Ni<sub>13</sub>@silica catalysts. This type of DFT investigation appears to be useful to suggest suitable formulations for an optimal HDO of lignin.

*Keywords:* DFT, HDO, guaiacol, transition metal clusters, Amorphous silica surfaces, inhibiting effect.

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## 1. Introduction

Lignin has attracted a tremendous interest to produce green aromatic chemicals [1, 2]. Indeed, it is the most abundant natural macromolecules composed of an aromatic structure.

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*Email addresses:* [saber.gueddida@univ-lorraine.fr](mailto:saber.gueddida@univ-lorraine.fr) (Saber Gueddida), [michael.badawi@univ-lorraine.fr](mailto:michael.badawi@univ-lorraine.fr) (Michael Badawi)

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Lignin is composed of different phenolic units linked with numerous ether bonds (mainly  $\beta$ -O-4) or C-C bonds [3, 4]. Its high molecular complexity impedes its valorization to high added-value chemicals like aromatics (phenol, benzene or p-xylene) and calls for the development of tailored catalysts and reactors [5]. Technical lignins produced for instance by the Kraft process are even more recalcitrant than native lignins and present mainly C-C bonds between the aromatic units. Pyrolysis can be a versatile technology to convert various lignins into a liquid (bio-oil), a gas and a char [6]. The hydrodeoxygenation (HDO) of lignin bio-oils have been proposed as an interesting strategy to target various aromatic chemicals [7, 8, 9]. Guaiacol has been selected as a key surrogate to mimic lignin pyrolysis vapours in several studies [10, 11, 12]. Phenol is also a key molecule: it can be the targeted molecule to produce lignin-derived green materials but it is also the most refractory key intermediate to produce benzene [9, 13, 14, 15, 16, 17, 18]. Theoretical and experimental studies show that phenolic compounds can follow two main deoxygenation routes: (1) hydrogenation of the phenyl ring into cyclohexyl before a C-O bond cleavage denoted hydrogenation (HYD) route or (2) direct cleavage of the C-O bond denoted direct deoxygenation (DDO) route [19, 20, 21, 22, 23, 24]. The DDO is the preferred reaction in order to reduce hydrogen consumption and to produce green aromatics (like benzene, xylenes or phenols). The presence of phenolic compounds such as ethers could lead to the formation of CO via the reduction of CO<sub>2</sub> [25, 19]. Furthermore H<sub>2</sub>O molecules are also exist under HDO conditions [25, 19]. These compounds may affect the reactivity of oxygenated molecules by competition for adsorption.

Several type of catalysts have been used for HDO processing, including metal sulfides such (Co, Ni)-MoS<sub>2</sub> and oxophilic supports such as ZrO<sub>2</sub>, TiO<sub>2</sub>, Nb<sub>2</sub>O<sub>5</sub> [26, 27, 28, 20, 29, 30, 25, 31, 32, 33] which are selective to produce aromatics via the DDO route [34, 35, 20]. Noble metals such as Pd, Pt and Rh are particularly efficient in HDO, however they are expensive and not selective for the DDO route by promoting the hydrogenation of the aromatic cycles [28, 33]. The competition between the HYD and DDO pathways within non-noble metals (iron and cobalt) has been studied by Olcese *et al.* [10, 11, 9]. They showed that Fe@silica has an interesting selectivity to the DDO pathway. On the other hand, a promising performance has been shown for silica catalysts in the HDO process that favors the DDO pathway and supports the lowest inhibitory effect of the by-products [19, 36]. Silica-based materials are also among the most common supports due to their specific physico-chemical properties such as mechanical resistance, high dielectric strength, and ease of chemical modification [37, 38, 39, 40, 41], abundance and low cost [42, 43, 44]. In particular, porous silica materials containing metallic nanoparticles such as iron, cobalt, nickel or copper are attractive for many catalytic applications like hydrodeoxygenation [45, 46, 47, 48].

Recently, using theoretical approaches, Gueddida *et al.* [49, 50] have rationalized the different grafting mechanism of several transition metal species (isolated ions or clusters) onto various silica surfaces. The chosen clusters are of 13 atoms (symmetry of D<sub>3d</sub>) because it exhibits a high dynamic stability and corresponds to the size of experimentally transition metal nanoparticles observed on SBA-15 [45, 46]. The models were in agreement with the experimental findings and showed that Ni clusters are the most energetically stable compared to the Fe, Co, and Cu ones, which could be further used to optimize the design of catalytic nanomaterials with improved activity and selectivity. Herein, a better understanding of the

interaction mode of the oxygenated compounds as well as the inhibiting molecules over pure and supported silica catalysts will provide to improve the DDO pathway. Therefore, the goal of this study is to elucidate the adsorption mechanisms and to evaluate the adsorption energies of phenolic molecules and of some by-products ( $\text{H}_2\text{O}$ ,  $\text{CO}$ ) over pristine and Fe, Co, Ni, Cu-supported clusters onto silica. This study enables to improve our understanding of HDO fundamentals and therefore to design tailored catalysts for green aromatics production from lignin.

## 2. Calculation details

Our density functional theory simulations were conducted using the VASP-5.4 code [51]. The PAW method [52] has been used and the cutoff energy set to 450 eV. The exchange-correlation potential was accounted using the formulation of Perdew, Burke, and Ernzerhof (PBE) [53], associated with the dispersion correction scheme of Grimme [54, 55]. In order to describe correctly the  $3d$ -states in transition metals which tends to be inaccurately represented in the PBE functional, we used the rotationally invariant PBE+U correction [56, 57], as available in the VASP code. The values of the Hubbard parameters  $U$  and  $J$  are fixed to 3 eV and 0.9 eV, respectively, for all the systems containing  $d$ -metals [49, 50]. These parameters are adopted in order to reproduce the structural, electronic and magnetic properties of the transition metal clusters available in the literature [58, 59, 60, 61, 62].

Earlier theoretical calculations within PBE+U+D2 approximation have been realized to find the most favorable configurations of the heterogeneous  $\text{M}_{13}$ @silica catalysts [49, 50]. It has been shown that a silanol density of  $3.3 \text{ OH}\cdot\text{nm}^{-2}$  is typical for an experimental situation that follows various synthesis pathways of mesoporous silica under vacuum conditions at  $200^\circ\text{C}$  [63, 64, 65, 66, 67, 36]. Gueddida *et al.* have also studied theoretically the different grafting modes for iron, cobalt, nickel, and copper clusters on the silica-3.3 surface [49, 50] and they have shown that are energetically stable. Therefore, herein, we used the amorphous silica substrate with a density of silanol equal to  $3.3 \text{ OH}\cdot\text{nm}^{-2}$  [68], whose supercell consists of 227 oxygen, 102 silicon and 46 hydrogen atoms.

In all considered systems, a vacuum of  $20 \text{ \AA}$  in the  $z$  direction was used to separate the periodic super cells. The lattice parameters of these systems are  $a = 21.39 \text{ \AA}$ ,  $b = 21.39 \text{ \AA}$ ,  $c = 34.17 \text{ \AA}$ ,  $\alpha=90^\circ$ ,  $\beta=90^\circ$  and  $\gamma=90^\circ$  [68]. Due to the large size of the super cells, we used only the  $\Gamma$  point to sample the first Brillouin zone. The convergence threshold on the difference of the Kohn Sham self-consistent total energy are set to  $10^{-6}\text{eV}$ . For the geometry optimization, the positions of all atoms of guaiacol, catechol, anisole, phenol,  $\text{CO}$ ,  $\text{H}_2\text{O}$ , transition metal clusters and the first layer of the silica surface were allowed to relax while keeping the other planes frozen. The force criterion for relaxation was fixed to  $0.03 \text{ eV}/\text{\AA}$ .

## 3. Results

In order to find the best adsorption configurations of the phenolic compounds and potential molecules ( $\text{H}_2\text{O}$ ,  $\text{CO}$ ) onto pure silica surface or supported transition metal clusters ( $\text{Fe}_{13}$ ,  $\text{Co}_{13}$ ,  $\text{Ni}_{13}$ , or  $\text{Cu}_{13}$ @silica), we have undertaken a systematic investigation of possible

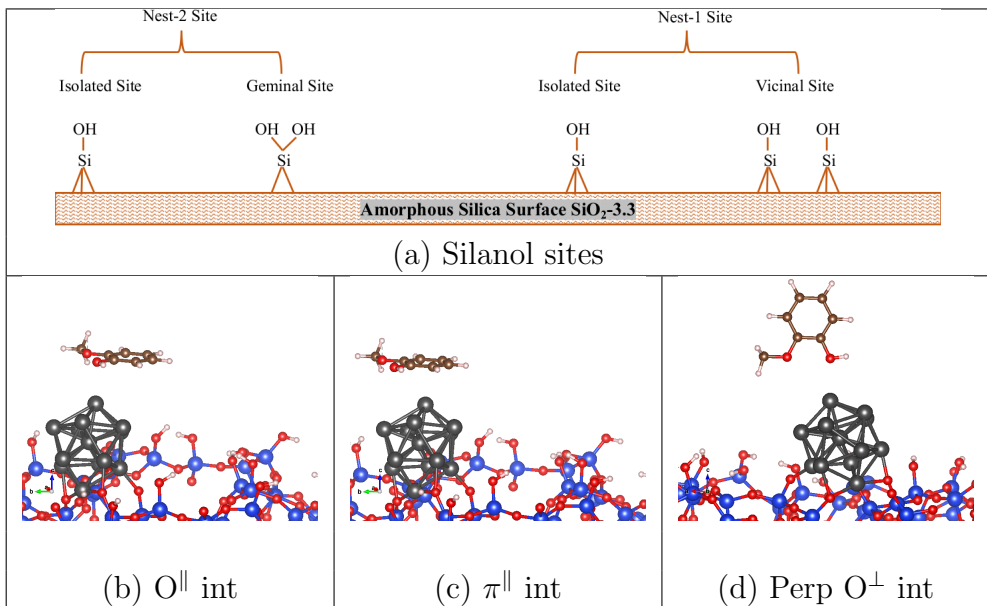


Figure 1: (a): Different silanol sites existing on the amorphous silica surface ( $\text{SiO}_2\text{-3.3}$ ): geminal, vicinal, isolated, nest-1 and nest-2. (b, c, and d): Interactions modes for guaiacol molecule on the top of metallic clusters of  $M_{13}$ @silica materials:  $M = (\text{Fe}, \text{Co}, \text{Ni}, \text{and } \text{Cu})$  atoms in dark-gray, C atoms in brown, O atoms in red, Si atoms in blue, and H atoms in white. (b) flat adsorption through oxygenated group of guaiacol, (c) flat adsorption through aromatic ring, and (d) perpendicular adsorption through oxygenated group.

cases with regard to the location of the molecule on the material as well as molecule orientations. For example, for the phenol adsorption, up to 48 possible configurations have been tested (see Figure S1 in Supporting Information). For all cases, three adsorption modes (see Figure 1) have been investigated: the perpendicular and the flat O interaction modes ( $O^{\perp}$  and  $O^{\parallel}$ , respectively) where the interaction of the phenolic molecule to the surface is established through its oxygen atom. For the  $O^{\parallel}$  mode, the considered molecule can interact also with the support via its aromatic ring (when the parallel aromatic ring is close enough to the support). The flat  $\pi$  interaction mode ( $\pi^{\parallel}$ ) is induced mainly by the interaction between the aromatic ring and the support.

### 3.1. Pure silica surface

The computed interaction energies between the most favorable structures of the phenolic compounds or potential inhibiting molecules and the different silanol groups (nest-1, isolated, vicinal, geminal, nest-2) of the silica-3.3 surface are summarized in Table 1. The adsorption energy  $\Delta E_1$  is given by  $\Delta E_1 = E_{TotSys} - E_{mol} - E_{surf}$ , where  $E_{TotSys}$ ,  $E_{mol}$  and  $E_{surf}$  are the PBE+D2 total energies of the (molecule+surface) system, the isolated molecule and the surface alone, respectively.

Our calculations show that the phenolic compounds are strongly connected to the silanol group nest-1 through a  $O^{\parallel}$  mode with  $\Delta E_1$  equal to  $-306 \text{ kJ}\cdot\text{mol}^{-1}$  for guaiacol,  $-325 \text{ kJ}\cdot\text{mol}^{-1}$  for catechol,  $-115 \text{ kJ}\cdot\text{mol}^{-1}$  for anisole, and  $-115 \text{ kJ}\cdot\text{mol}^{-1}$  for phenol. A significant adsorption energy is also observed for guaiacol via the  $O^{\parallel}$  mode, where the isolated and the vicinal

Table 1: PBE+D2 computed adsorption energies (in  $\text{kJ}\cdot\text{mol}^{-1}$ ) for the most stable structures of the phenolic compounds and the inhibiting molecules onto amorphous silica surface silica-3.3 at the different silanol groups (nest-1, isolated, vicinal, geminal, nest-2).

Molecule	Interaction mode	Silanol sites				
		Isolated	Nest-1	Vicinal	Nest-2	Geminal
Guaiacol	$\text{O}^\perp$	-48	-56	-56	-46	-32
	$\text{O}^\parallel$	-75	<b>-306</b>	-75	-64	-56
	$\pi^\parallel$	-47	-51	-62	-44	-42
Catechol	$\text{O}^\perp$	-40	-49	-54	-38	-24
	$\text{O}^\parallel$	-54	<b>-325</b>	-73	-64	-54
	$\pi^\parallel$	-39	-43	-51	-71	-35
Anisole	$\text{O}^\perp$	-41	-33	-42	-42	-5
	$\text{O}^\parallel$	52	<b>-115</b>	-38	-53	-44
	$\pi^\parallel$	-44	-42	-44	-37	-41
Phenol <sup>[19, 71]</sup>	$\text{O}^\perp$	-34	-54	-20	-73	-23
	$\text{O}^\parallel$	-31	<b>-117</b>	-35	-77	-24
	$\pi^\parallel$	-29	-33	-18	-42	-22
CO <sup>[19, 71]</sup>		-11	-11	-11	-12	-7
H <sub>2</sub> O <sup>[19, 71]</sup>		-34	-51	-24	-70	-19

sites coexist with an adsorption energy of  $-75 \text{ kJ}\cdot\text{mol}^{-1}$ , and the vicinal and the nest-2 sites for catechol with  $\Delta E_1$  of  $-73 \text{ kJ}\cdot\text{mol}^{-1}$  and  $-71 \text{ kJ}\cdot\text{mol}^{-1}$  via  $\text{O}^\parallel$  and  $\pi^\parallel$  modes, respectively. The adsorption energies of the anisole molecule on the isolated and the nest-2 sites via the  $\text{O}^\parallel$  mode are very similar ( $-52 \text{ kJ}\cdot\text{mol}^{-1}$  and  $-53 \text{ kJ}\cdot\text{mol}^{-1}$ , respectively). For the phenol molecule, we found that the  $\text{O}^\perp$  and  $\text{O}^\parallel$  interaction modes coexist on the nest-2 adsorption silanol group with  $\Delta E_1$  equal to  $-73 \text{ kJ}\cdot\text{mol}^{-1}$  and  $-77 \text{ kJ}\cdot\text{mol}^{-1}$ , respectively. For all silanol adsorption groups, our results show that the phenolic compounds prefer mainly the adsorption via the  $\text{O}^\parallel$  interaction mode, while the  $\text{O}^\perp$  and the  $\pi^\parallel$  modes present similar energy values. The adsorption of CO on various silanol groups is not favorable (about  $-10 \text{ kJ}\cdot\text{mol}^{-1}$ ), while H<sub>2</sub>O present significant adsorption energies on the nest-1 and 2 groups of  $-51 \text{ kJ}\cdot\text{mol}^{-1}$  and  $-70 \text{ kJ}\cdot\text{mol}^{-1}$ , respectively. Therefore, the adsorption of the phenolic compounds is not impacted by the presence of the inhibiting molecules (CO and H<sub>2</sub>O) over the pristine silica.

The larger  $\Delta E_1$  energy of the phenolic compounds on the silanol site nest-1 is due to the strong interaction of the aromatic ring ( $\text{C}_5$  or  $\text{C}_4$ ) to one silica atom of the surface (labelled here as  $\text{Si}_{52}$ ) leading to a distortion of its aromatic ring. In addition, the adsorption of the guaiacol or catechol modify the surface state of the amorphous silica: it breaks one  $\text{Si}_3\text{-O}_{196}\text{-Si}_{52}$  bond and releases a hydrogen atom from one of its hydroxyl groups (for the nomenclature

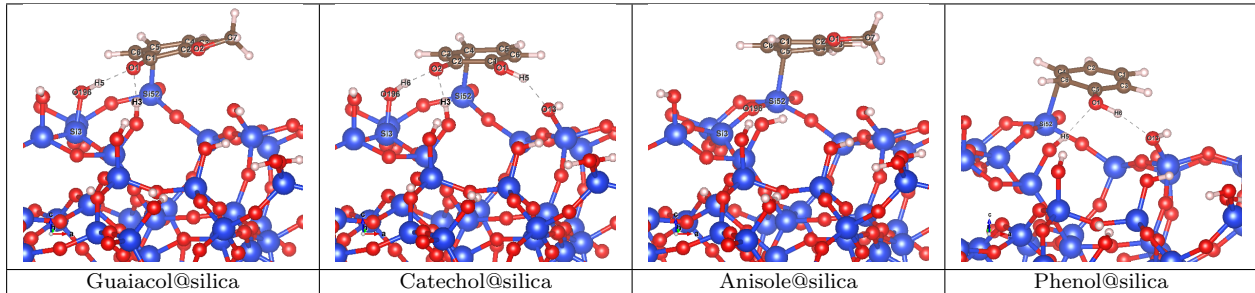


Figure 2: The most stable structures of guaiacol, catechol, anisole, and phenol molecules adsorbed onto pure silica-3.3 surface: O atoms in red, Si atoms in blue, and H atoms in white.

of the molecule see Figure 2), generating new silanol sites,  $\text{Si}_3\text{O}_{196}\text{H}_5$  for guaiacol@silica and  $\text{Si}_3\text{O}_{196}\text{H}_6$  for catechol@silica. These particular stable adsorption structures of the guaiacol molecule and its derivatives onto the amorphous silica surface are shown in Figure 2. For the guaiacol@silica system, our calculations show the formation of 2  $\text{O}\cdots\text{H}$  bonds between  $\text{O}_1$  of its broken hydroxyl group of guaiacol and  $\text{H}_3$  of the vicinal silanol group and the second with the hydrogen atom of a new silanol ( $\text{Si}_3\text{O}_{196}\text{H}_5$ ) group with a distance of 1.67 Å. However, the interaction of the catechol molecule to the silica surface is established by the formation of three  $\text{O}\cdots\text{H}$  bonds: two hydrogen bonds similar to the guaiacol molecule with distances of 1.70 and 1.55 Å, respectively, and one  $\text{O}\cdots\text{H}$  bond between  $\text{H}_5$  of the hydroxyl group of catechol and  $\text{O}_{13}$  of the silanol group with a distance of 1.74 Å. Two  $\text{O}\cdots\text{H}$  bonds are established for phenol@silica: one between  $\text{H}_6$  of the hydroxyl group and  $\text{O}_{13}$  of the silanol group with a distance of 1.75 Å and the second between  $\text{O}_1$  of the phenol and  $\text{H}_5$  of the surface with a distance of 1.93 Å, while no hydrogen bonds are established for anisole. On the other hand, the oxygenated compounds are strongly connected to the surface via a strong interaction between the carbon of the aromatic ring ( $\text{C}_4$  or  $\text{C}_5$ ) and the interfacial silicon atom ( $\text{Si}_{52}$ ) of 1.99 Å for guaiacol and catechol, 2.08 Å for anisole, and 2.32 Å for phenol.

These are in agreement with the infrared results of Rochester *et al.* [69] which have shown that there are two types of surface-adsorbate interaction, one involving the formation of hydrogen bonds between surface silanol groups and the aromatic  $\pi$ -electron systems of adsorbed phenolic molecules, and the other involving hydrogen bonds between silanol groups and the phenolic hydroxy groups of adsorbed species. Besides, Popov *et al.* [70] have shown that phenol, anisole, and guaiacol mainly interact with silica via H-bonding.

## 3.2. Heterogeneous catalysts

### 3.2.1. Adsorption of oxygenated species

*Energetics.* The adsorption energy  $\Delta E_2$  of the phenolic compounds, or the inhibiting molecules on the heterogeneous catalysts was computed as  $\Delta E_2 = E_{\text{Molecule}/M_{13}@SiO_2} - E_{\text{Molecule}} - E_{M_{13}@SiO_2}$  were  $E_{\text{Molecule}/M_{13}@SiO_2}$ ,  $E_{\text{Molecule}}$ ,  $E_{M_{13}@SiO_2}$  are the PBE+U+D2 total energy of the adsorbed guaiacol, catechol, anisole, phenol, CO, or  $\text{H}_2\text{O}$  molecule onto  $M_{13}$ @silica catalysts, of the isolated gas phase molecule (guaiacol, catechol, anisole, phenol, CO, or  $\text{H}_2\text{O}$ ) and of the free  $M_{13}$ @silica systems.

Our computed adsorption energies for different adsorption structures are displayed in Table 2. Our calculations show that the considered molecules are weakly adsorbed (around

Table 2: Calculated  $\Delta E_2$  (in  $\text{kJ}\cdot\text{mol}^{-1}$ ) for all adsorption structures of phenolic compounds onto the heterogeneous catalysts ( $\text{M}_{13}$  cluster and  $\text{M}_{13}$ @silica interface) using the PBE+U+D2 approximation.

Heterogeneous catalysts	Molecule	Cluster $\text{M}_{13}$		Interface $\text{M}_{13}$ @silica	
		$\text{O}^\perp$	$\pi^\parallel$	$\text{O}^\parallel$	$\pi^\parallel$
$\text{Fe}_{13}$ @silica	Guaiacol	-14	-95	-126	<b>-219</b>
	Catechol	-24	-89	-190	<b>-218</b>
	Anisole	-13	-95	-112	<b>-228</b>
	Phenol	-43	-87	-117	<b>-247</b>
$\text{Co}_{13}$ @silica	Guaiacol	-15	-129	-131	<b>-225</b>
	Catechol	-16	-120	-197	<b>-216</b>
	Anisole	-15	-127	-124	<b>-230</b>
	Phenol	-40	-119	-108	<b>-264</b>
$\text{Ni}_{13}$ @silica	Guaiacol	-19	-126	-145	<b>-284</b>
	Catechol	-36	-121	-185	<b>-284</b>
	Anisole	-17	-123	-199	<b>-288</b>
	Phenol	-63	-113	-276	<b>-295</b>
$\text{Cu}_{13}$ @silica	Guaiacol	-14	-76	-144	<b>-201</b>
	Catechol	-14	-70	-146	<b>-157</b>
	Anisole	-14	-69	-164	<b>-190</b>
	Phenol	-24	-64	-95	<b>-221</b>

-15  $\text{kJ}\cdot\text{mol}^{-1}$ ) on the transition metal clusters via  $\text{O}^\perp$  mode except for the phenol molecule on ( $\text{Fe}_{13}$ ,  $\text{Co}_{13}$ , and  $\text{Ni}_{13}$ )@silica with values of -43  $\text{kJ}\cdot\text{mol}^{-1}$ , -40  $\text{kJ}\cdot\text{mol}^{-1}$ , and -63  $\text{kJ}\cdot\text{mol}^{-1}$ , respectively. However, these molecules are strongly adsorbed on the top of the  $\text{M}_{13}$  cluster through a  $\pi^\parallel$  mode with  $\Delta E_2$  in the range of [-87, -95]  $\text{kJ}\cdot\text{mol}^{-1}$  for  $\text{Fe}_{13}$ @silica, [-119, -129]  $\text{kJ}\cdot\text{mol}^{-1}$  for  $\text{Co}_{13}$ @silica, [-113, -126]  $\text{kJ}\cdot\text{mol}^{-1}$  for  $\text{Ni}_{13}$ @silica, and [-64, -76]  $\text{kJ}\cdot\text{mol}^{-1}$  for  $\text{Cu}_{13}$ @silica. We found that the interactions between the phenolic compounds and  $\text{Co}_{13}$  or  $\text{Ni}_{13}$  clusters are stronger than those with  $\text{Fe}_{13}$  and less than with  $\text{Cu}_{13}$  one. The adsorption of the guaiacol and the anisole molecules on the top of the different transition metal cluster via the  $\pi^\parallel$  mode are slightly more stable than those of the catechol and the phenol molecules.

Also, our calculations show that the adsorption of the phenolic compounds at the interface  $\text{M}_{13}$ @silica through both  $\text{O}^\parallel$  and  $\pi^\parallel$  modes are more favorable than that on the transition metal clusters. For  $\text{Fe}_{13}$ @silica, the resulting adsorption energies of guaiacol, catechol, anisole, and phenol via  $\pi^\parallel$  mode are, respectively, 93, 28, 116, and 130  $\text{kJ}\cdot\text{mol}^{-1}$  higher than those through  $\text{O}^\parallel$  mode, while for  $\text{Co}_{13}$ @silica, are 94, 19, 106, and 156  $\text{kJ}\cdot\text{mol}^{-1}$ , respectively. These molecules favor the adsorption via the  $\pi^\parallel$  mode rather than the  $\text{O}^\parallel$  one with an energy difference of 139, 99, 89, and 19  $\text{kJ}\cdot\text{mol}^{-1}$  for (guaiacol, catechol, anisole, and phenol)/ $\text{Ni}_{13}$ @silica, and 57, 11, 26, and 126  $\text{kJ}\cdot\text{mol}^{-1}$  for those adsorbed on  $\text{Cu}_{13}$ @silica interface. For all cases, the phenolic compounds is strongly adsorbed at the



interface  $M_{13}@silica$  via the  $\pi^{\parallel}$  mode. This result is of high importance to understand the catalytic mechanisms of phenolics HDO over metal/silica. It suggests that the main active sites of adsorption would be at the interface between the metallic nanoparticles and the silica support.

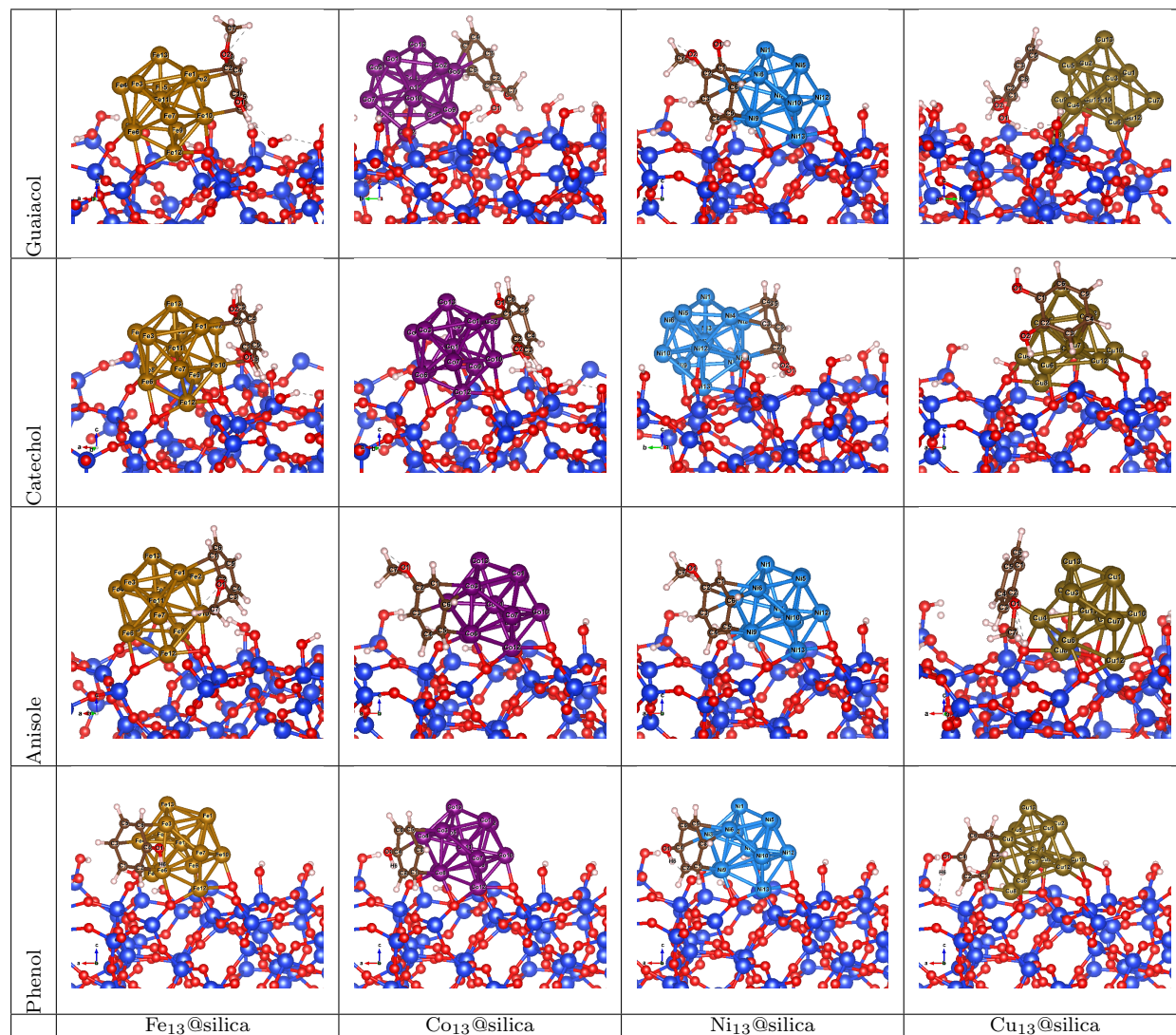


Figure 3: The most stable structures of guaiacol, catechol, anisole, and phenol molecules adsorbed onto different heterogeneous catalysts (Fe<sub>13</sub>@silica, Co<sub>13</sub>@silica, Ni<sub>13</sub>@silica, and Cu<sub>13</sub>@silica): O atoms in red, Si atoms in blue, and H atoms in white.

*Geometries.* Figure 3 shows the most favorable structures of the adsorbed guaiacol molecule and its derivatives on different  $M_{13}@silica$  catalysts. For guaiacol/ $M_{13}@silica$ , the interaction between the guaiacol molecule and the heterogeneous catalysts is established by the formation of M-C bonds between the aromatic ring of the guaiacol molecule and the transition metal cluster, and  $O \cdots H$  bonds between the hydrogen of the hydroxyl group of

guaiacol and the oxygen of the silanol silica group. Our calculations show that the guaiacol molecule is connected to  $M_{13}$  cluster through three M-C bonds for  $Fe_{13}@silica$ , four bonds for  $Co_{13}@silica$ , six bonds for  $Ni_{13}@silica$ , and only one bond is established for  $Cu_{13}@silica$ . In addition, for guaiacol/ $Fe_{13}@silica$ , guaiacol/ $Co_{13}@silica$ , and guaiacol/ $Cu_{13}@silica$ , we found that the hydroxyl group of the guaiacol molecule is pointed towards the silica surface and therefore the formation of a  $O \cdots H$  bond between them with a value of 1.88 Å, 1.42 Å, and 1.71 Å, respectively. More details about the computed bond lengths ( $d_{C-H}$ ,  $d_{C-C}$ ,  $d_{C-O}$ , and  $d_{O-H}$ ) and bond angles of the isolated and the interacted guaiacol molecule and the equilibrium interatomic distances between the aromatic ring of the guaiacol molecule and the  $M_{13}$  clusters ( $d_{M-C}$ ), are given in Table S1 (see the Supporting Information). The equilibrium  $d_{M-C}$  distances are ranged between 2.07 and 2.13 Å for  $Fe_{13}@silica$ , 2.15 and 2.19 Å for  $Co_{13}@silica$ , and 2.03 and 2.15 Å for  $Ni_{13}@silica$ . However, the Cu-C bond lengths are of 2.23 Å. We found that the interaction of the guaiacol molecule with the different heterogeneous catalysts activate its C-O bonds (more details are given in the Supporting Information).

For catechol/ $M_{13}@silica$ , the interaction between the catechol molecule and the heterogeneous catalysts occurs by formation of three M-C bonds for  $Fe_{13}@silica$  and  $Co_{13}@silica$ , six bonds for  $Ni_{13}@silica$ , and two bonds for  $Cu_{13}@silica$  and their equilibrium distances are found in the range of 2.06-2.08 Å, 2.02-2.07 Å, 2.02-2.15 Å, and 2.14-2.18 Å, respectively. The catechol molecule is also connected to the different heterogeneous catalysts through one  $O \cdots H$  bond between the silica surface and the closest hydroxyl group of the molecule with an equilibrium distance of 1.88, 1.93, 1.80, and 1.71 Å for catechol/ $Fe_{13}@silica$ , catechol/ $Co_{13}@silica$ , catechol/ $Ni_{13}@silica$ , and catechol/ $Cu_{13}@silica$ , respectively. The computed  $d_{C-C}$ ,  $d_{C-O}$ , and  $d_{O-H}$  bond lengths and the different bond angles of the adsorbed catechol molecule are influenced by the interaction with the heterogeneous catalysts compared to those of the free molecule (see Table S2, more details are given in the Supporting Information).

For the anisole molecule, the interaction with the heterogeneous catalysts occurs only by formation of M-C bonds through transition-metal clusters. For the most favorable structures, our calculations show the formation of two M-C bonds for  $Fe_{13}@silica$ , four bonds for  $Co_{13}@silica$ , six bonds for  $Ni_{13}@silica$ , and only one bond for  $Cu_{13}@silica$  with a distance value ranged between 2.03 and 2.08 Å, 2.05 and 2.19 Å, 2.04 and 2.11 Å, and 2.27 Å, respectively. By comparing the calculated geometrical parameters of the free and the adsorbed anisole molecule (see Table S3 in the Supporting Information), we found that the interaction of the anisole molecule to the different heterogeneous catalysts have a strong effect on its geometrical structure.

For phenol/ $M_{13}@silica$ , the interaction between the phenol molecule and the heterogeneous catalysts occurs by formation of M-C and  $O \cdots H$  bonds. The optimized structures show the formation of three M-C bonds for phenol/ $Fe_{13}@silica$  and four bonds for the phenol/ $Co_{13}@silica$  system. For phenol/ $Ni_{13}@silica$ , the phenol molecule is connected to the nickel cluster through six M-C bonds. However, for the phenol/ $Cu_{13}@silica$  system, only two M-C bonds are established. The Ni-C interatomic distances are ranged between 2.03 and 2.14 Å, while Fe-C and Co-C are in the range of 2.05-2.09 Å and 2.03-2.17 Å, respectively.

The equilibrium distance between phenol and  $\text{Cu}_{13}$  cluster are ranged from 2.15 to 2.17 Å. The calculations show also the creation of one  $\text{O}\cdots\text{H}$  bond between the hydrogen atom of the phenol hydroxyl group and the oxygen atom of the silanol silica group with a distance value of 1.56 Å, 1.70 Å, 1.83 Å, and 2.05 Å for phenol/ $\text{Fe}_{13}$ @silica, phenol/ $\text{Co}_{13}$ @silica, phenol/ $\text{Ni}_{13}$ @silica, and phenol/ $\text{Cu}_{13}$ @silica systems, respectively.

Our results show that the geometric structures of the phenol molecule is strongly affected (deformation of its aromatic ring) by its interaction with the heterogeneous catalysts (Fe, Co, and Ni), however, the effect is lower when phenol is connected to  $\text{Cu}_{13}$ @silica. This effect is clearly observed on the interatomic bond lengths  $d_{C-C}$ ,  $d_{C-O}$ , and  $d_{O-H}$  and the different bond angles of the phenol molecule. The calculated geometrical parameters of the isolated and the interacted phenol molecule (interatomic bond lengths and bond angles) and the equilibrium distances between the aromatic ring of the phenol molecule and the transition metal clusters ( $d_{M-C}$ ) are reported in Table S4 (see the Supporting Information with more details).

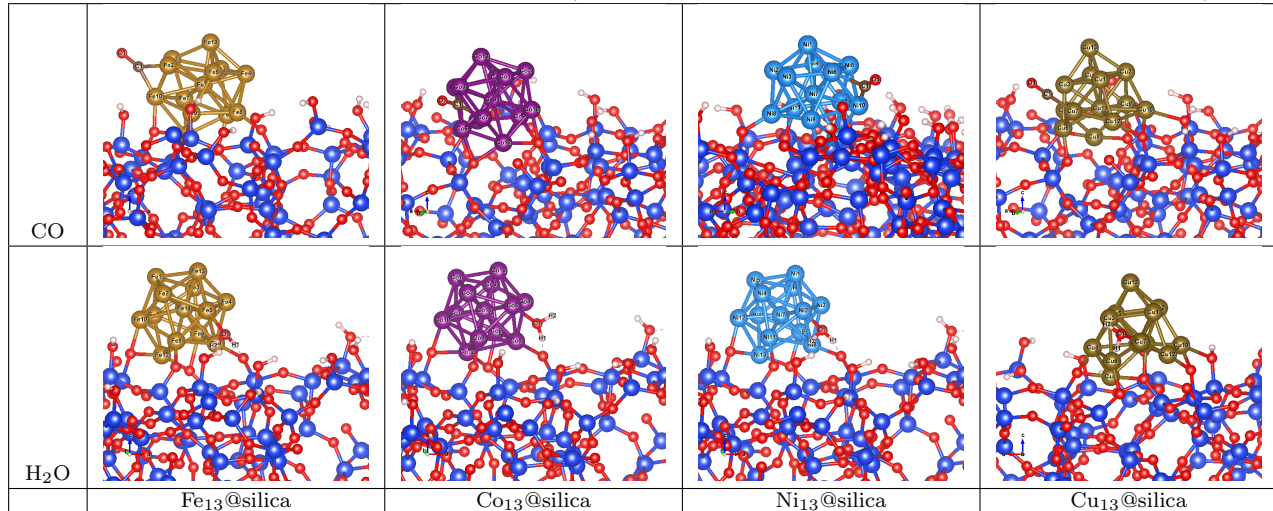
Table 3: Calculated  $\Delta E_2$  (in  $\text{kJ}\cdot\text{mol}^{-1}$ ) for all adsorption configurations of the inhibiting molecules onto the heterogeneous catalysts ( $\text{M}_{13}$  cluster and  $\text{M}_{13}$ @silica interface) using PBE+U+D2 approximation.

Heterogeneous catalysts	Molecule	Cluster $\text{M}_{13}$	Interface $\text{M}_{13}$ @silica
$\text{Fe}_{13}$ @silica	CO	-151	-185
	$\text{H}_2\text{O}$	-51	-136
$\text{Co}_{13}$ @silica	CO	-145	-177
	$\text{H}_2\text{O}$	-49	-139
$\text{Ni}_{13}$ @silica	CO	-197	-232
	$\text{H}_2\text{O}$	-64	-165
$\text{Cu}_{13}$ @silica	CO	-85	-191
	$\text{H}_2\text{O}$	-43	-150

### 3.2.2. Inhibiting molecules

*Energetics.* Table 3 shows the adsorption energies of the potential inhibiting molecules (CO and  $\text{H}_2\text{O}$ ) on the top of the transition metal clusters ( $\text{Fe}_{13}$ ,  $\text{Co}_{13}$ ,  $\text{Ni}_{13}$ , and  $\text{Cu}_{13}$ ) and at their corresponding interfaces with the silica surface. The resulting adsorption energies of the CO molecule on the top of  $\text{Fe}_{13}$ ,  $\text{Co}_{13}$  and  $\text{Ni}_{13}$  clusters are -151, -145 and -197  $\text{kJ}\cdot\text{mol}^{-1}$ , respectively. At the  $\text{Fe}_{13}$ @silica,  $\text{Co}_{13}$ @silica and  $\text{Ni}_{13}$ @silica interfaces, the adsorption energy values are of -185, -177 and -232  $\text{kJ}\cdot\text{mol}^{-1}$ , respectively, which are always around 30  $\text{kJ}\cdot\text{mol}^{-1}$  higher in absolute value than the corresponding ones on the metallic clusters only. For water, at the ( $\text{Fe}_{13}$ ,  $\text{Co}_{13}$  and  $\text{Ni}_{13}$ @silica) interfaces,  $\Delta E_2$  are found to be -136, -139 and -165  $\text{kJ}\cdot\text{mol}^{-1}$ , which are 85, 90, and 101  $\text{kJ}\cdot\text{mol}^{-1}$ , respectively, higher than those on the top of the corresponding clusters. However, for  $\text{Cu}_{13}$ @silica, the adsorption of both molecules at the interface are  $\sim 106$   $\text{kJ}\cdot\text{mol}^{-1}$  higher than that on the top of  $\text{Cu}_{13}$  cluster. For all cases, our results show that the water molecule is in general less adsorbed than the CO one.

Figure 4: The most stable structures of the inhibiting molecules CO (upper panel) and water (lower panel) adsorbed onto different heterogeneous catalysts ( $\text{Fe}_{13}$ @silica,  $\text{Co}_{13}$ @silica,  $\text{Ni}_{13}$ @silica, and  $\text{Cu}_{13}$ @silica).



*Geometries.* Figure 4 shows the most favorable structures of CO and H<sub>2</sub>O molecules onto  $\text{M}_{13}$ @silica. Our calculations show that the CO molecule is connected to the transition metal cluster through two M-C bonds (bridge adsorption) for  $\text{Fe}_{13}$ @silica,  $\text{Co}_{13}$ @silica, and  $\text{Ni}_{13}$ @silica catalysts and only one bond is established for  $\text{Cu}_{13}$ @silica (top adsorption). The equilibrium distances  $d_{M-C}$  between the CO molecule and the transition metal clusters  $\text{Fe}_{13}$ ,  $\text{Co}_{13}$ ,  $\text{Ni}_{13}$ , and  $\text{Cu}_{13}$  are found to be 1.91-2.06 Å, 1.80-2.09 Å, 1.79-1.94 Å, and 1.82 Å, respectively. However, we found that the interaction between the water molecule and the heterogeneous catalysts occurs by the formation of a M-O bond between the oxygen atom of the water molecule and the transition metal cluster and an O···H bond between the hydrogen atom of water and the oxygen atom of closest silanol silica group. The equilibrium  $d_{M-O}$  distance are found to be 2.09 Å, 2.02 Å, 1.99 Å, and 2.02 Å for H<sub>2</sub>O/( $\text{Fe}_{13}$ @silica,  $\text{Co}_{13}$ @silica,  $\text{Ni}_{13}$ @silica, and  $\text{Cu}_{13}$ @silica), respectively, while those of O···H bonds, are 1.66 Å, 1.51 Å, 1.64 Å, and 1.69 Å, respectively.

## 4. Discussion

### 4.1. Comparison between pure silica and $\text{M}_{13}$ silica surfaces

Figure 5 shows the computed PBE+U+D2 adsorption energies of the most favorable structures for the guaiacol, catechol, anisole, phenol, CO, or H<sub>2</sub>O molecules on different  $\text{M}_{13}$ @silica catalysts and on pure silica surface. We found that the adsorption energy depend on the type of the adsorbed molecule and the heterogeneous catalyst. The adsorption energies of the guaiacol and the catechol molecules are quite similar regardless the type of the silica catalyst. On pure silica surface, these energies are much larger than those of the other molecules, while on supported silica, are slightly lower.

Our results show that the guaiacol and catechol molecules are strongly bonded to the  $\text{Ni}_{13}$ @silica with an energy value of  $-284 \text{ kJ}\cdot\text{mol}^{-1}$ , which are 22 and 41  $\text{kJ}\cdot\text{mol}^{-1}$  lower

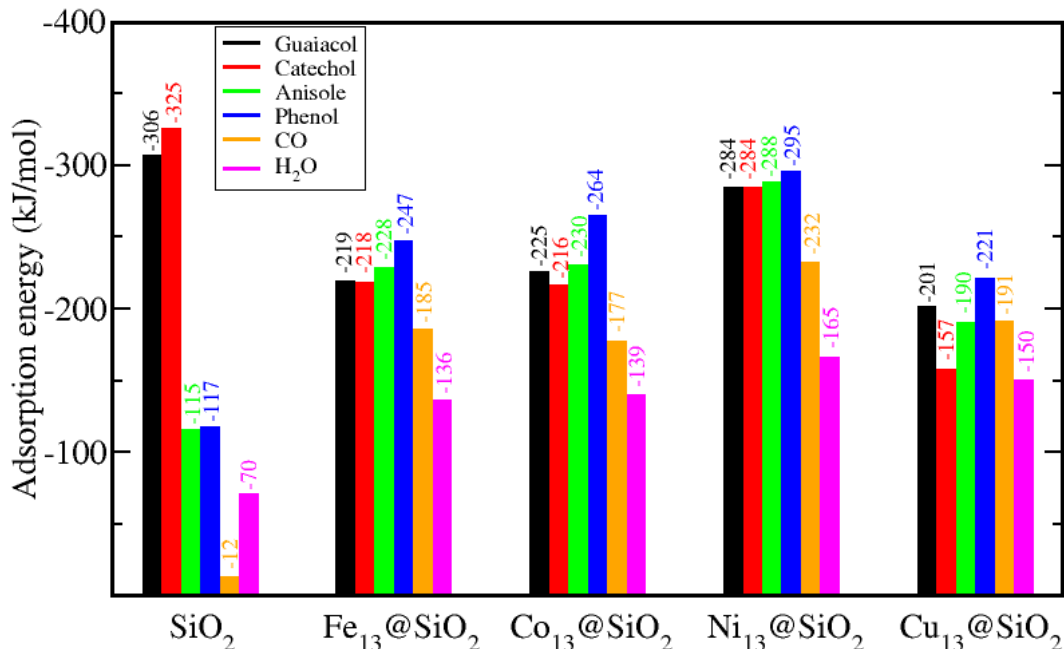


Figure 5: PBE+U+D2 computed  $\Delta E_2$  of the most favorable adsorption configurations of the phenolic compounds and the inhibiting molecules on pure silica surface and the different heterogeneous  $M_{13}$ @silica support.

compared to the pure silica surface. For  $Fe_{13}$ @silica,  $Co_{13}$ @silica, and  $Cu_{13}$ @silica catalysts, the adsorption energies of the guaiacol are found to be  $-219$  and  $-225$  and  $-201$   $\text{kJ}\cdot\text{mol}^{-1}$ , respectively, while for catechol molecule, they are  $-218$ ,  $-216$ , and  $-157$   $\text{kJ}\cdot\text{mol}^{-1}$ , respectively.

In the case of the anisole molecule, the energy values are found to be  $-228$ ,  $-230$ ,  $-288$ , and  $-190$   $\text{kJ}\cdot\text{mol}^{-1}$  for  $Fe_{13}$ ,  $Co_{13}$ ,  $Ni_{13}$ , and  $Cu_{13}$ @catalysts, respectively. These energy values are  $113$ ,  $115$ ,  $173$ , and  $75$   $\text{kJ}\cdot\text{mol}^{-1}$  higher than those found on pure silica surface. Our results show also that the phenol is strongly bonded to Ni atoms of the  $Ni_{13}$ @silica interface with an energy value of  $-295$   $\text{kJ}\cdot\text{mol}^{-1}$ , which is  $178$   $\text{kJ}\cdot\text{mol}^{-1}$  higher than the pure silica surface ones. For  $Fe_{13}$ @silica and  $Co_{13}$ @silica catalysts, the computed  $\Delta E_2$  of the phenol are found to be  $-247$   $\text{kJ}\cdot\text{mol}^{-1}$  and  $-264$   $\text{kJ}\cdot\text{mol}^{-1}$ , which are also much higher than that on silica surface ( $-117$   $\text{kJ}\cdot\text{mol}^{-1}$ ) [19, 71]. However,  $\Delta E_2$  of phenol on  $Cu_{13}$ @silica is of  $-221$   $\text{kJ}\cdot\text{mol}^{-1}$ , which is  $74$   $\text{kJ}\cdot\text{mol}^{-1}$  lower than that on  $Ni_{13}$ @silica catalyst but still higher than the one on the pure silica surface. We found that the phenol and anisole molecules prefer to accommodate at the interface of the heterogeneous catalysts.

The calculated adsorption energies reported in Figure 5 show that the adsorption energy order for the different heterogeneous catalysts  $M_{13}$ @silica is: phenol > anisole > guaiacol > catechol, while for pure silica surface, is catechol > guaiacol > phenol > anisole. At

the interface, phenol and anisole are more stable than catechol and guaiacol, while the guaiacol and the catechol are the most stable ones on pure silica surface due to the strong hybridization between them which results a reorganization of the surface and a chemical modification of the molecules. However, the adsorption energy order for all the phenolic compounds is:  $\text{Ni}_{13}@silica > \text{Co}_{13}@silica > \text{Fe}_{13}@silica \gg \text{Cu}_{13}@silica$ . This is mainly due to the deformation of the copper cluster which limits the number of interactions with the oxygenated molecules compared to the other clusters (see tables S5, S6, S7 and S8 in the supporting information). However, the nickel cluster has maximum interaction bonds with the different phenolic molecules.

#### 4.2. Inhibiting effects

The adsorption energies of the most favorable configurations of inhibiting molecules on silica surface and heterogeneous catalysts are gathered in Figure 5. For pure silica surface, we found that the anisole and the phenol are the least adsorbed phenolic molecules with  $\Delta E_2$  of -115 and -117  $\text{kJ}\cdot\text{mol}^{-1}$ , respectively. The water molecule presents a  $\Delta E_2$  of -70  $\text{kJ}\cdot\text{mol}^{-1}$ , which is 45  $\text{kJ}\cdot\text{mol}^{-1}$  lower in absolute value than that of the anisole molecule, while for CO,  $\Delta E_2$  is found to be -12  $\text{kJ}\cdot\text{mol}^{-1}$ , which is -103  $\text{kJ}\cdot\text{mol}^{-1}$  lower in absolute value than the anisole adsorption value. This means that the pure silica support allows a selective adsorption of all the oxygenated molecules towards the typical byproducts of HDO, CO and  $\text{H}_2\text{O}$ .

For  $\text{Fe}_{13}@silica$  catalyst, the  $\Delta E_2$  of the phenolic compounds range between -218 and -247  $\text{kJ}\cdot\text{mol}^{-1}$ . In contrast, those of CO and  $\text{H}_2\text{O}$  molecules are -185 and -136  $\text{kJ}\cdot\text{mol}^{-1}$ , respectively, which make CO and  $\text{H}_2\text{O}$  less adsorbed than catechol by 33 and 82  $\text{kJ}\cdot\text{mol}^{-1}$ , respectively. This suggests that the adsorption of the oxygenated molecules is not impacted by the presence of inhibiting molecules on  $\text{Fe}_{13}@silica$  catalyst.

For  $\text{Co}_{13}@silica$ , the adsorption energies of phenolics range between -216 and -264  $\text{kJ}\cdot\text{mol}^{-1}$ , which are at least 39 and 77  $\text{kJ}\cdot\text{mol}^{-1}$  lower than those of CO and water molecules. This suggest that  $\text{Co}_{13}@silica$  will allow a selective adsorption of all phenolic compounds compared to inhibiting ones.

We found that the phenolic compounds strongly interact with  $\text{Ni}_{13}@silica$  catalyst with  $\Delta E_2$  of -284  $\text{kJ}\cdot\text{mol}^{-1}$  for guaiacol and catechol, -288  $\text{kJ}\cdot\text{mol}^{-1}$  for anisole, -295  $\text{kJ}\cdot\text{mol}^{-1}$  for phenol, compared to -232  $\text{kJ}\cdot\text{mol}^{-1}$  for CO, and -165  $\text{kJ}\cdot\text{mol}^{-1}$  for water molecule. Therefore, the inhibitory effect of CO and water on  $\text{Ni}_{13}@silica$  catalyst is negligible with a  $\Delta E_2$  of 52 and 119  $\text{kJ}\cdot\text{mol}^{-1}$  lower than those of the phenolic compounds.

These results suggest that the inhibitory effect of water and CO is negligible and therefore the heterogeneous catalysts materials ( $\text{Fe}_{13}@silica$ ,  $\text{Co}_{13}@silica$ , and  $\text{Ni}_{13}@silica$ ) are promising for the HDO process.

However, for  $\text{Cu}_{13}@silica$ , the  $\Delta E_2$  of the adsorbed CO and  $\text{H}_2\text{O}$  molecules are found to be -191 and -150  $\text{kJ}\cdot\text{mol}^{-1}$ , respectively, while that of the catechol molecule is of -157  $\text{kJ}\cdot\text{mol}^{-1}$ . Our results suggest that, for  $\text{Cu}_{13}@silica$ , water and CO are expected to be major inhibitors of the HDO process. We have to put these results in perspective with the evaluation of inhibiting effect of  $\text{H}_2\text{O}$ ,  $\text{H}_2\text{S}$  or CO on HDO process using the conventional sulfide catalysts [25, 15, 72]. In particular, DFT calculations have shown that  $\text{H}_2\text{O}$ ,  $\text{H}_2\text{S}$

and CO are more strongly adsorbed than guaiacol and derivatives over MoS<sub>2</sub> and CoMoS surfaces under HDO conditions. The effect of water and H<sub>2</sub>S are quite similar while CO is even more adsorbed, demonstrating that CO will be a major inhibitor of the HDO process of real feeds. These theoretical results have been then confirmed by experimental studies. A strong inhibiting effect of carbon monoxide (more than water) has been observed for sulfided CoMo/Al<sub>2</sub>O<sub>3</sub> catalysts on the hydrodesulfurization reaction of 2-methylthiophene and hydrogenation of 2,3-dimethylbut-2-ene [72]. For HDO, Bouvier et al. [15] showed also that CO could inhibit the reactivity of 2-ethylphenol over MoS<sub>2</sub> and CoMoS phases supported on alumina while this effect was limited for NiMoS. Indeed, silica-based catalysts appear clearly as an attractive option to sulfide catalysts for HDO processing.

## 5. Conclusions

In this work, we have studied the interaction between a selection of phenolic compounds, potential inhibiting molecules H<sub>2</sub>O and CO present in HDO feeds and different M<sub>13</sub>@silica-3.3 catalysts (M = Fe, Co, Ni, and Cu) using density functional theory calculations. Different geometrical configurations were investigated systematically by means of the PBE+U+D2 approximation for guaiacol, catechol, anisole, phenol, CO, and H<sub>2</sub>O adsorbed onto pure and supported M<sub>13</sub> silica surface at different locations (support, cluster, and support/cluster interface) and for different orientations of the molecules (O<sup>⊥</sup>, O<sup>∥</sup>, π<sup>∥</sup>). Our results show that the phenolics are strongly adsorbed whatever the heterogeneous catalysts. By comparing the resulting adsorption energies of the oxygenated compounds, we found that the Ni<sub>13</sub>@silica catalyst offers the best affinity for phenolics, followed by Co<sub>13</sub>@silica and Fe<sub>13</sub>@silica, and then Cu<sub>13</sub>@silica. Also, the adsorption energy order for the different heterogeneous catalysts M<sub>13</sub>@silica is: phenol > anisole > guaiacol > catechol, while for the pure silica surface, is catechol > guaiacol > phenol > anisole. In all cases, the interaction energies of the phenol on the heterogeneous catalysts are more than 100 kJ·mol<sup>-1</sup> higher than that on the pure silica surface. This shows that heterogeneous catalysts improve outstandingly the catalytic activity and selectivity. However, a very high interaction energy is found for guaiacol and catechol molecules on pure silica surface of -306 kJ·mol<sup>-1</sup> and -325 kJ·mol<sup>-1</sup>, respectively, due to the strong Si-C interaction which results in a strong deformation of their aromatic-ring and reorganizations of the silica surface. We have shown that the inhibitory effect (CO and water molecules) on the oxygenated compounds adsorption are negligible for Fe<sub>13</sub>@silica, Co<sub>13</sub>@silica and Ni<sub>13</sub>@silica catalysts, while for Cu<sub>13</sub>@silica, they are expected to be major inhibitors of the HDO process. In conclusion, supported transition-metal (Fe, Co, Ni) clusters appear as suitable candidates for the HDO process based on the present investigation of this first adsorption step. Further studies may explore the catalytic reactivity of these promising silica materials.

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