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▶ To cite this version:

Daniel Weisz-Patrault, Sofia Sakout, Alain Ehrlacher. Fast Simulation Of Temprature And Grain Growth In Directed Energy Deposition Additive Manufacturing. 14th WCCM-ECCOMAS Congress, Jan 2021, Paris (virtuel), France. 10.23967/wccm-eccomas.2020.143 . hal-03319688

HAL Id: hal-03319688 https://hal.science/hal-03319688

Submitted on 12 Aug 2021

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FAST SIMULATION OF TEMPRATURE AND GRAIN GROWTH IN DIRECTED ENERGY DEPOSITION ADDITIVE MANUFACTURING

D. WEISZ-PATRAULT¹, S. SAKOUT² AND A. EHRLACHER³

¹ LMS, CNRS, École Polytechnique, Institut Polytechnique de Paris, F-91128 Palaiseau, France, daniel.weisz-patrault@polytechnique.edu

² LMS, CNRS, École Polytechnique, Institut Polytechnique de Paris, F-91128 Palaiseau, France, sofia.sakout@polytechnique.edu

³ Navier, CNRS, École des Ponts ParisTech, 6 & 8 Ave Blaise Pascal, F-77455 Marne La Vallee, France, alain.ehrlacher@enpc.fr

Key words: Directed Energy Deposition, Thermal cycling, Grain growth, Fast modeling

Abstract. In this paper, a fast simulation of grain growth during directed energy deposition is presented. Controlling the microstructure is indeed essential to obtain the desired macroscopic behavior. We present a fast macroscopic simulation of temperature accounting for grain growth. The proposed approach relies on the coupling of recent contributions presenting: (i) a simulation of temperature in DED, (ii) a mesoscopic model of grain growth model based on *Orientated Tessellation Updating Method*, and (iii) a macroscopic stochastic model of grain growth. The general strategy is to compute the temperature field as a function of time during the entire process. The initial crystallization is not addressed in this contribution, and an arbitrary initial microstructure are introduced to test the model. The stochastic evolution of the grain structure due to thermal cycling is computed, and the final grain structure statistics is obtained in the entire part. The proposed model is sufficiently fast to enable simulations of large parts and parametric studies or optimization loops can be performed to adjust process parameters.

1 INTRODUCTION

In this paper, a fast simulation of grain growth during directed energy deposition (DED) is presented. The model is derived for laser metal powder directed energy deposition (LMPDED), although it can be simply adapted for other focused thermal energy (e.g., electron beam, or plasma arc). The analysis of morphological and crystallographic textures obtained in such processes is a major issue in the literature. Indeed, controlling the microstructure is essential to obtain the desired macroscopic behavior. Many studies focus on experimental characterization of the grain structure based on electron back-scatter diffraction techniques (EBSD), which enables to relate process parameters and post-process microstructures. In addition, as the microstructure significantly depends on very local conditions of the melt pool, many papers focus on very detailed simulations of the process, especially the hydrodynamic problem determining the melt pool shape, and cooling and grain growth during crystallization [1–12]. Comprehensive but computationally costly mesoscale simulations include the spreading process of powder, the

melting phase and grain growth during solidification. However, such comprehensive simulations implies significant computation cost, which is not compatible with macroscopic simulation of the entire process and hinders the development of parametric studies and optimization loops.

In this paper, we present a fast macroscopic simulation of temperature accounting for microstructure evolution (i.e., grain growth). The proposed approach relies on the coupling of recent contributions presenting: (i) a simulation of temperature in DED [13–15], (ii) a mesoscopic model of grain growth model based on *Orientated Tessellation Updating Method* (OTUM) [16–20], and (iii) a macroscopic stochastic model of grain growth [17, 21]. The general strategy is to compute the temperature field as a function of time during the entire process. Then, the microstructure after the initial crystallization is estimated from EBSD maps, as this problem is not addressed in this contribution. The stochastic evolution of the grain structure due to thermal cycling is computed for different conditions. The final grain structure statistics is obtained in the entire part. The proposed model is sufficiently fast to enable simulations of large parts and parametric studies or optimization loops can be performed to adjust process parameters.

The paper is organized as follows. The fast thermal analysis coupling heat conduction and phase transitions is broached in section 2. This model should take as inputs the process parameters and material properties and gives as outputs the history of temperature field and phase mixture in the entire part. Then, the fast mesoscopic model of grain growth is briefly presented in section 3. This model takes as inputs the temperature history and the primary microstructure and gives as outputs the secondary microstructure after thermal cycling and grain growth. Since the detailed mesoscopic structure is a rich information to be processed at each material point of the fabricated part, a macroscopic stochastic model of grain growth is also presented in section 4. This model takes as inputs the primary microstructure grain statistics and provides as outputs the stochastic evolution of the grain statistics. At the macroscopic scale several mesoscopic polycrystalline arrangements correspond to the same macroscopic grain statistics. Thus, the stochastic nature of such a macroscopic model is due to the loss of information between the mesoscopic scale and the macroscopic scale, all the possible mesoscopic evolutions starting from a single macroscopic state are considered. The coupling of the different models is broached and tested in section 5 and conclusive remarks are given in section 6.

It should be noted that detailed phase transitions are not taken into account in the grain growth model, even though phase proportions are considered in the thermal analysis.

2 MACROSCOPIC SIMULATION OF TEMPERATURE

The fast thermal analysis of the entire process [13], which couples heat conduction and phase transitions, enables us to estimate the effect of various process parameters on temperature kinetics and final phase mixture (e.g., for a multiphase steel austenite, ferrite, pearlite, bainite and martensite). In addition, the model enables us to design additional temperature control devices and optimize the process parameters in order to reach a targeted temperature field history and final phase mixture. In addition, during fabrication, the material undergoes volume variations such as thermal expansion and phase transitions, which in turn can be responsible for residual stresses leading to distortion, buckling or failure. Therefore, a fast thermal analysis enables us to design fabrication strategies that minimize residual stresses related to the history of the temperature field.

The model [13] relies on analytical solutions of the heat equation coupled with numerical procedures to deal with non-linearity of the source associated to phase transitions (liquid to solid and solid to solid). Mathematical developments are not detailed in this paper and the reader is refereed to [13], however, the main assumptions underlying the analytical solution are recalled here for the sake of clarity. The model is adapted for thin-walled structures, and it typically applies to geometries constituted of simple paths in 2D denoted by χ and extruded along the vertical direction. The main assumption is to neglect heat fluxes along the tangential direction to the laser path, which is the horizontal direction here. Indeed, excepted in the the very localized melt pool region, heat fluxes along the vertical direction prevail, as shown in the infrared picture presented in figure 1. The temperature mostly varies along the vertical direction and not along the horizontal direction.





(a) Infrared picture of the process where heat fluxes are schematically represented by arrows

(b) Multilayer composites and computation points

Figure 1: Principle of the modeling strategy

This assumption leads to discretize the path χ in several computation points, and this enables us to consider the 3D heat conduction problem as several independent 2D problems in the (r, z) plane, where r is the radial coordinate (thickness direction) and z the vertical coordinate. In figure 1b, each blue dot represents a computation point, that is to say a 2D multilayer composite problem, which does not interact with the neighboring computation point, as heat fluxes along the tangential direction are neglected. The core analytical solution relies on a 2D problem, but pseudo-3D temperature fields can be reconstructed by combining all the 2D solutions for all computation points.

In addition, for each computation point, the strategy consists in depositing liquid metal at the deposition temperature, which is an adjustable parameter. Therefore, the powder spray and the melting process are not modeled. The number of layers gradually increases as metal deposition goes on. Each computation point is characterized by its radius of curvature, and the different times when metal is deposited. For each computation point, the simulation strategy consists in approximating the coupled heat equation with phase transitions on each time interval between successive depositions. The first layer is deposited on the substrate and an analytic solution is obtained to compute the temperature history until the next deposition on top of this layer. Then, a second analytic computation is performed to compute the temperature history in the two layers structure until the next deposition. The initial condition of this structure is obtained from the final condition of the previous computation and using the deposition temperature for the top layer. This procedure is repeated until the final number of layers is reached. This strategy is summarized in figure 2.

It should be noted that the duration between successive depositions is known from the laser path and process parameters, thus different 3D geometries, laser paths and dwell times can be simulated through different radii of curvature, laser speeds and deposition times.



Figure 2: Numerical strategy for each computation point (from [13])

The analytic solution and the numerical coupling with phase transitions obtained with simple Avrami's equations are not detailed in this contribution but the reader is referred to [13]. However, for each computation point, the 2D unsteady heat equation with sources in the multilayer composite is recalled here for the sake of clarity.

$$\frac{\partial^2 T^{(i)}}{\partial r^2} + \frac{1}{r} \frac{\partial T^{(i)}}{\partial r} + \frac{\partial^2 T^{(i)}}{\partial z^2} - \frac{1}{D^{(i)}} \frac{\partial T^{(i)}}{\partial t} = -\frac{Q^{(i)}(t)}{\lambda^{(i)}}$$
(1)

Where the superscript (i) refers to the *i*-th layer, $T^{(i)}$ is the temperature, $D^{(i)}$ is the thermal diffusivity, $\lambda^{(i)}$ the thermal conductivity and $Q^{(i)}$ is the source, which reads:

$$Q^{(i)}(t) = \sum_{\phi=1}^{N_{\phi}} \Delta H_{\phi} \dot{X}_{\phi}^{(i)} - \frac{2\sigma\epsilon}{h_r} \left(\left[T^{(i)} \right]^4 - T_{\text{ext}}^4 \right) + Q_{\text{beam}}^{(i)}(t) - Q_{\text{gas}}^{(i)}(t)$$
(2)

Where N_{ϕ} is the number of considered phases (e.g., liquid, austenite, ferrite, etc.), ΔH_{ϕ} is the corresponding latent heat and $\dot{X}_{\phi}^{(i)}$ is the phase proportion rate. In addition, T_{ext} is the external temperature, σ and ε respectively stand for the Stefan-Boltzmann constant and the emissivity of the surface. The factor $2/h_r$ (where h_r denotes the thickness) has been introduced in the radiative term to convert the power at the inner and outer surfaces into a power per unit volume. In addition, $Q_{\text{beam}}^{(i)}$ is the volumetric heat due to the laser, which depends explicitly on the laser speed V_{beam} . Furthermore, $Q_{\text{gas}}^{(i)}(t)$ is the power per unit volume associated to the gas flow carrying the powder, and it corresponds to a convection condition localized around the laser.

For each layer at the inner and outer surfaces, boundary conditions consist in convection conditions with the external temperature and a heat transfer coefficient. In addition, a convection condition at the top surface of the last layer also holds, and a heat resistance between the part and the substrate is introduced. Temperature and heat flux continuity is ensured between layers.

The solution proposed in [13] has been implemented and compared with in-situ measurements performed with infrared pyrometers.

3 MESOSCOPIC SIMULATION OF GRAIN GROWTH

A fast mesoscopic model of grain growth refereed as Orientated Tessellation Updating Method (OTUM) as been proposed in [16]. For the sake of simplicity, the model has been derived for plane hexagonal polycrystals. Misorientation between two neighboring grains (characterized by five parameters in 3D) is characterized only by two parameters in 2D: the misorientation angle (denoted by $\Delta \theta$) and the orientation of the grain boundary plane (denoted by φ). This assumption is rather well adapted for thin-walled structure such as those obtained by the DED process. This approach fully relies on Voronoi-Laguerre tessellation techniques that are usually used to approximate polycrystals at the mesoscopic scale (i.e., scale of the polycrystal). Crystal lattice orientation can also be specified for each grain, and the tessellation equipped with such an orientation field is called an Orientated Tessellation (OT). One can approximate the real evolution of the mesostructure as a succession of OT approximations. OTUM relies on the idea that the evolution law of the mesostructure can be formulated directly by modifying the parameters defining the OT. The state variables of the model are therefore not only the temperature but also the parameters defining the OT denoted by $\alpha = (x, y, w, \theta)$ (i.e., seeds coordinates denoted by (x, y), weights denoted by w, which define the Voronoi-Laguerre tessellation, and the crystal orientations denoted by θ). Therefore there are 4n state variables in the model (where n is the number of grains considered in the polycrystalline structure). However, for the sake of simplicity only the weights w can evolve in this model.

The evolution law of these state variables is formulated at the mesoscopic scale (i.e., for the entire OT). Different energetic contributions are considered so that the evolution law relies on a physically consistent basis. More precisely, the evolution law is obtained through the energy balance equation at the mesoscopic scale, by specifying mechanisms at the microscopic scale: (i) the anisotropic grain boundary (GB) energy, and (ii) the dissipated power through any GB virtual motion. The proposed energetic framework enables to consider not only the driving force (associated to the GB energy) but also the dissipated power as a resistive mechanism. The GB energy is estimated as a function of misorientation by molecular dynamics computations at the atomic scale. In addition, the dissipated power associated to GB motion is estimated by introducing a mobility of the GB, which depends on crystal misorientation.

The energetic principle to derive the evolution law for the state variables defining the OT is to compute $\mathcal{E}_{meso}(T, \alpha)$ the total (sum of all the contributions in the OT) GB energy, and $\mathcal{D}_{meso}(T, \alpha, \dot{w}^*)$ the total (sum of all the contributions in the OT) dissipated power through any virtual motion of the GBs (where \dot{w}^* denotes a virtual evolution rates of the weights). The index *meso* refers to the fact that mesoscopic quantities are needed to compute the associated variable. As mentioned above, these two functions energetic are obtained from the analysis at the scale of the GB. Then the balance equation is written:

$$\mathcal{D}_{\text{meso}}(T, \alpha, \dot{w}) + \mathcal{E}_{\text{meso}}(T, \alpha) = 0$$
(3)

The evolution law for the weights w is obtained by maximizing the dissipated power under the constraint of the balance equation (3) and mass conservation, which reads (M being the mass):

$$\dot{w}_{\text{meso}} = \begin{cases} \operatorname{argmax} \left[\mathcal{D}_{\text{meso}}(T, \alpha, \dot{w}) \right] \\ \dot{w} \in \mathbb{R}^{n} \\ \text{subjected to.} \quad \mathcal{D}_{\text{meso}}(T, \alpha, \dot{w}) + \dot{\mathcal{E}}_{\text{meso}}(T, \alpha) = 0 \\ \dot{M} = \frac{\partial M}{\partial w} \cdot \dot{w} = 0 \end{cases}$$
(4)

Analytic computations enables to solve this problem, which in turn can be numerically determined by simply inverting matrices:

$$\dot{w}_{\text{meso}} = -\frac{m(T)}{L_0^3} M_{\text{meso}}(\alpha) \cdot \frac{\partial \mathcal{E}_{\text{meso}}(T, \alpha)}{\partial w}$$
(5)

where w_{meso} are the weights of the OT, $\mathcal{E}_{\text{meso}}$ is the total energy per unit depth in the RVE, m(T) is a scalar mobility (m⁴.J⁻¹.s⁻¹) that depends on temperature, and M_{meso} is a dimensionless mobility second order tensor of size $n \times n$ depending on the mesoscopic state $\alpha = (x, y, w, \theta)$, and obtained analytically. Technicalities are not addressed in this contribution and the reader is referred to [16] for details. This model has been successfully compared to experimental results on pure iron, but further comparisons with experiments performed on longer durations are still needed.

4 MACROSCOPIC SIMULATION OF GRAIN GROWTH

Even though the mesoscopic model introduced in section 3 is relatively fast, a few minutes are necessary to compute the evolution during the fabrication process of a single point in the domain. Therefore a macroscopic stochastic model developed in [21] is also used in this contribution to compute much more rapidly the evolution of grains statistics in the entire domain, instead of the detailed mesostructure.

The macroscopic model relies on state variables that are defined as a statistical description of the grain structure. It is convenient to define dimensionless state variables so that all physical quantities are explicitly written as scaling factors in the dimensionless macroscopic evolution law. State variables are not defined arbitrarily as the macroscopic energy should be a function of these variables. Each material point of the macroscopic model should represent a polycrystalline structure whose energy is the sum of the surface energies carried by the GBs multiplied by their respective length. Since the surface energy depends on misorientation, it is expected that the total macroscopic energy at each material point depend on the statistical distributions of GB lengths and misorientations. However, it is clear that complete statistical distributions constitute a far too rich information to be reasonably processed at each material point of the macroscopic domain. Thus, statistical descriptors (e.g., mean, standard deviation etc.) of length and misorientation distributions should be considered instead of the complete statistical distributions. The *successive statistical moments* of the misorientation distribution weighted by the GB lengths are chosen. Since GB lengths continuously tend to zero when a grain disappear, they are used as weights in the statistical moments so that they evolve continuously with respect to time. Thus, the weighted statistical moments obtained from the mesoscopic state read:

$$\mu_k = \sum_{(i,j)\in I} l_{ij} \Delta \theta_{ij}^k \tag{6}$$

where *I* is the set of grain boundaries defined by the neighboring grains *i* and *j*, $\Delta \theta_{ij}$ the misorientations, and l_{ij} the dimensionless GB lengths (physical lengths are obtained by multiplying by a scaling factor L_0 (m)). In addition, $k \in \{0, 1, 2\}$, which is sufficient to accurately account for the total energy. The statistical moments μ_k ($k \in \{0, 1, 2\}$) may be computed from the detailed mesoscopic structure by using (6). These variables are denoted by $\mu_{meso} = (\mu_0, \mu_1, \mu_2)$ and correspond to a reduction of the amount of data with respect to the complete information needed to characterize the OT. Thus, μ_{meso} is a function of α (where α is the mesoscopic state). The vector μ_{meso} provides a tool to define macroscopic state variables. Indeed one can demonstrate with a large *database* of computations at the mesoscopic scale (using the model presented in section 3) that the total energy only depends on μ_{meso} :

$$\mathcal{E}_{\rm meso}(T,\alpha) \approx L_0 \gamma_{\rm S} \frac{G(T)}{G(0)} f(\mu_{\rm meso}) \tag{7}$$

Where $f(\mu_{\text{meso}})$ is a deterministic function identified by using the database of computations at the mesoscopic scale, $\gamma_S = 1 \text{ J.m}^{-2}$, and G(T) is the temperature dependent shear modulus that captures the temperature dependance of the GB energy.

From the definition (6), μ_0/L_0 is the GB length density (length per unit surface). In addition, the mean of misorientations in the RVE denoted by $\tilde{\mu}_1$, and the square of the relative standard deviation, which is the ratio of the standard deviation over the mean, denoted by $\tilde{\mu}_2$ read:

$$\widetilde{\mu}_1 = \frac{\mu_1}{\mu_0} \quad \text{and} \quad \widetilde{\mu}_2 = \frac{\mu_2 \mu_0}{\mu_1^2} - 1$$
(8)

The evolution law of μ_{meso} is obtained from (5) and a simple derivation formula:

$$\dot{\mu}_{\rm meso} = -\frac{m(T)}{L_0^3} \frac{\partial \mu_{\rm meso}}{\partial w} \cdot M_{\rm meso} \cdot \frac{\partial \mathcal{E}_{\rm meso}}{\partial w}$$
(9)

One can demonstrate that there exists a second order mobility tensor $\Gamma_{meso}(\alpha)$ of size 3×3 that depends on the entire mesoscopic state α such as:

$$\dot{\mu}_{\rm meso} = -\left(\frac{\gamma_S m(T)}{L_0^2} \frac{G(T)}{G(0)}\right) \Gamma_{\rm meso}\left(\alpha\right) \cdot \frac{\partial f(\mu_{\rm meso})}{\partial \mu} \tag{10}$$

The macroscopic model is obtained by using the large *database* of computations at the mesoscopic scale to determine a macroscopic second order tensor $\Gamma_{\text{macro}}(\mu_{\text{macro}})$ such as $\Gamma_{\text{macro}}(\mu_{\text{macro}}) \approx \Gamma_{\text{meso}}(\alpha)$, which does not depend on the mesoscopic state α but only on the reduced information μ_{macro} without any knowledge of the detailed mesoscopic state. The index *macro* has been used for μ_{macro} instead of *meso* to highlight the fact that the detailed mesoscopic state is unknown. The macroscopic evolution law reads:

$$\dot{\mu}_{\text{macro}} = -\left(\frac{\gamma_{S} m(T)}{L_{0}^{2}} \frac{G(T)}{G(0)}\right) \Gamma_{\text{macro}}(\mu_{\text{macro}}) \cdot \frac{\partial f(\mu_{\text{macro}})}{\partial \mu}$$
(11)

The mobility tensor $\Gamma_{\text{macro}}(\mu_{\text{macro}})$ is determined on the basis of the database of computations at the mesoscopic scale providing numerous estimations of Γ_{meso} associated to μ_{meso} . The identification is probabilistic as there is an *epistemic uncertainty* due to the loss of information in the process of reducing the amount of data by introducing the overall state μ_{meso} . Bayesian inference techniques have been used to estimate the posterior probability density function of $\Gamma_{\text{macro}}(\mu_{\text{macro}})$.

For numerical applications, draws of the Bayesian model are used to generate $N_{\text{stat}} = 500$ macroscopic evolutions whose means, medians and point-wise standard deviations are computed. This macroscopic model has been compared to various mesoscopic evolutions as introduced in section 3, and a good agreement is observed.

Molar volume of iron	$V_m = 7.09 \times 10^3$	$(\text{mm}^3.\text{mol}^{-1})$
Grain boundary thickness	$\delta = 10^{-6}$	(mm)
Burger vector	$b^2 = 2.48 \times 10^{-7}$	(mm)
Universal gaz constant	R = 8.3144621	$(J.mol^1.K^1)$
Activation energy	Q = 139000	$(J.mol^{-1})$
Diffusivity of Fe atoms	$D_0 = 1.5 \times 10^2$	$(mm^2.s^{-1})$

Table 1: Coefficients for the mobility as a function of temperature

5 RESULTS

In this section, the thermal model presented in section 2 is used to simulate the evolution of the temperature field during the entire fabrication process for a cylinder. Process parameters are fixed as in [13], and the material is 316L stainless steel. Two computations have been performed, the first one allows the substrate to heat up during the fabrication process starting from the room temperature, and the second one assuming that there is a temperature control device associated to the substrate whose temperature is fixed to 800 K. Then, for each material point temperature evolution is used to compute grain growth using both the mesoscopic model presented in section 3 and the macroscopic model presented in section 4. Even though solidification is taken into account under the form of phase proportion in the thermal model (heat source in the heat equation), there is no solidification model giving the primary microstructure under the form of an OT just after solidification. Of course there are efficient models predicting crystallization during solidification within the framework of additive manufacturing (e.g., [11]). However, since this contribution is an attempt to simulate the entire fabrication process within short computation time, a solidification model of the same nature as the grain growth model introduced in section 3 would be required, which is still in development. Thus, in this contribution an arbitrary primary microstructure that looks like classical microstructures observed in DED (e.g., [22]) is introduced to test the model.

The mobility m(T) should be specified as a function of temperature. The following function has been chosen as in [23]:

$$m(T) = \frac{D_{Fe}(T)V_m\delta}{b^2 RT}$$
(12)

where $D_{Fe}(T)$ follows an Arrhenius law $D_{Fe}(T) = D_0 \exp(-Q/RT)$, where coefficients are listed in table 1.

For the first condition (i.e., substrate initially at room temperature), the thermal cycling of one material point is presented in figure 3 with the mesostructure computed with the mesoscopic model (presented in section 3) at some times represented by red dots. It is clear that even though the grain boundary mobility is very significant for high temperatures, the time spent with such high mobility is too short to lead to significant evolution of the mesostructure. For the second condition (i.e., substrate temperature fixed at 800 K), results are presented in figure 4. Even though the thermal cycling is similar to the first condition, the overall temperature is bounded by the substrate temperature. Thus, the mobility is sufficiently high during the first temperature peaks to enable rapid evolution the mesostructure during the first few seconds after solidification (i.e., small grains at the inter-layers tend to growth or disappear). Of course, this condition (substrate maintained at high temperature) also favors annealing if the process lasts for several hours.



Figure 3: Evolution of the mesostructure, and temperature cycling for the first condition



Figure 4: Evolution of the mesostructure, and temperature cycling for the second condition

For the second condition, the evolution of some macroscopic variables ($\tilde{\mu}_1$, and $\tilde{\mu}_2$ in (8)) are presented in figures 5 and 6 with the uncertainty given as an envelop representing more or less one standard deviation. Since this macroscopic model is very fast (only a few seconds for the entire evolution) one can compute the evolution for all material points in the domain, and obtain the history of the fields of the microstructure statistical descriptors with the associated uncertainty. Similarly to the mesoscopic model, the first few temperature peaks are responsible for sudden variation of the grain statistics, and long term annealing condition take place if the process lasts for several hours.



Figure 5: Average GB misorientation $\tilde{\mu}_1$ for the second condition



Figure 6: Square of the relative standard deviation of GB misorientation $\tilde{\mu}_2$ for the second condition

6 CONCLUSION

This contribution is an attempt to combine several models that have been proposed recently in order to obtain a fast simulation of temperature and grain growth in directed energy deposition at the scale of the entire process. The proposed approach relies on the coupling of recent contributions. The evolution of the grain structure at the mesoscopic scale is possible at few material points during the fabrication process. In addition, the macroscopic statistical descriptors of the microstructure is sufficiently fast to be computed in the entire part during the whole process. Results show that despite very high temperature peaks when the matter is deposited, grain growth does not significantly make evolve the grain structure because of very sharp cooling rates. However, if a temperature control device enables us to maintain the

substrate temperature at a relatively high value (e.g., 800 K), grain growth takes place and the very small grains located at the inter-layers tend to grow or disappear after the first crystallization. In addition, if the process lasts for several hours in this condition, classical annealing conditions take place, which lead to significant evolution of the grain structure.

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