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Contrasted mixing efficiency in energetic versus quiescent regions: insights from microstructure measurements in the Western Mediterranean Sea

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Abstract :

Microstructure and CTD/LADCP measurements from the Western Mediterranean basin east of revealed two types of dynamical regions (Ferron et al., 2017, Geophysical Research Letters, 44:7845-7854, Ferron et al., 2017), contrasted in terms of current magnitude, vertical shear, stratification and turbulent kinetic energy dissipation rate: energetic regions (Corsica Channel, Egadi Valley and Sicily Channel) and quiescent regions (Ligurian Sea, around Sardinia, and Tyrrhenian Sea). On average, the current speed and the buoyancy frequency in the energetic regions were twice as large as in the quiescent regions, and the vertical shear was five times as large. Turbulence properties inferred from the microstructure measurements were also contrasted, dissipation rates in the energetic regions being two orders of magnitude larger than in the quiescent regions. The present study investigates the variability of the dissipation flux coefficient, a measure of the mixing efficiency, in a rich assortment of dynamical regimes. This dataset covers the full range of turbulence intensities observed in previous studies based on field measurements, direct numerical simulations, and laboratory experiments alike. The dependency of the dissipation flux coefficient as a function of turbulence intensity for the quiescent and energetic regions frames the previously observed lower and upper bounds, respectively. A contrasting behaviour was revealed between the two types of regions. In the quiescent regions, the dissipation flux coefficient linearly decreases on average by one order of magnitude with turbulence intensity increasing by four orders of magnitude. On the other hand, in the energetic regions the dissipation flux coefficient exhibits a nearly constant value over 4 decades of turbulence intensity, before decreasing for very strong turbulence intensities. In contrast with other studies, this dataset shows no relationship between the Richardson number and the dissipation flux coefficient. This may be due to inadequate vertical sampling resolution of the currents, or to the high diversity of sampled turbulent regimes, contrary to previous studies focused on a single type of dynamical region or framework (such as the thermocline or shear instabilities).

1 Introduction

Mixing efficiency (Γ) quantifies the change in background potential energy due to mixing relative to the turbulent kinetic energy expended through mixing (Gregg et al., 2018)

$$\Gamma = \frac{J_b}{\varepsilon}, \quad (1)$$

where J_b is buoyancy flux and ε is turbulent kinetic energy dissipation rate. The buoyancy flux can be expressed as

$$J_b = -\frac{g}{\rho_0} \overline{\rho'w'} = -\frac{g}{\rho_0} K_\rho \frac{d\bar{\rho}}{dz} = K_\rho N^2, \quad (2)$$

where g is gravitational acceleration, ρ density and ρ_0 mean density, w vertical velocity, K_ρ turbulent diapycnal diffusivity, N buoyancy frequency, overlines denote a mean quantity and primes fluctuations from the mean. For a homogeneous, isotropic, stratified, vertically sheared steady flow, the turbulent kinetic energy production is equivalent to (Osborn, 1980)

$$P = \varepsilon - J_b \Leftrightarrow \overline{u'w'} \frac{\partial U}{\partial z} = \varepsilon - K_\rho N^2. \quad (3)$$

The flux Richardson number R_f is defined as the ratio between the buoyancy flux and the production of turbulent kinetic energy

$$R_f = \frac{J_b}{P} = \frac{(g/\rho_0) \overline{\rho'w'}}{\overline{u'w'} \partial U / \partial z}. \quad (4)$$

The Osborn (1980) turbulence model, valid for shear driven mixing but not for convective or double diffusive mixing, assumes $K_\theta = K_\rho$ and

$$\Gamma_t = \frac{K_\rho N^2}{\varepsilon}, \quad (5)$$

with Γ_t the shear driven turbulence mixing efficiency. In this case R_f can also be expressed as

$$R_f = \frac{\Gamma_t}{1 + \Gamma_t}. \quad (6)$$

The Osborn and Cox (1972) relation for turbulent thermal diffusivity K_θ

$$K_\theta = \frac{\chi}{2(\partial\bar{\theta}/\partial z)^2}, \quad (7)$$

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where χ is the dissipation rate of temperature variance, gives the relation for the dissipation flux coefficient Γ_d (also referred to as dissipation ratio, dissipation coefficient, or mixing coefficient; Oakey 1985; Moum 1997; Ruddick et al. 1997; Gregg et al. 2018)

$$\Gamma_d = \Gamma_t \frac{K_\theta}{K_\rho} = \frac{\chi N^2}{2\varepsilon \left(\frac{\partial\theta}{\partial z}\right)^2}. \quad (8)$$

The dissipation flux coefficient Γ_d is commonly inferred from measurements as an equivalent of the mixing efficiency, assuming that convective and double diffusive mixing are negligible compared to mechanically driven turbulent mixing. Double diffusive mixing is driven by the release of potential energy through buoyancy due to a destabilising temperature or salinity vertical gradient, a process thus fundamentally different from turbulent mixing. Equation (8) is equivalent to

$$\Gamma_d = \left(\frac{R_f}{1-R_f}\right) \frac{K_\theta}{K_\rho}. \quad (9)$$

In the case of pure double diffusion ($\frac{\partial U}{\partial z} = 0$ and $K_\theta \neq K_\rho$), Γ_d is not representative of the mixing efficiency: $\Gamma_t = \frac{R_f}{1-R_f} = -1$ and the mixing efficiency is $\Gamma_{DD} = -\frac{K_\theta}{K_\rho}$ (St. Laurent and Schmitt, 1999).

R_f has generally been adopted as a universal constant derived from the estimation that one sixth of the turbulent kinetic energy produced is converted into potential energy, the rest being lost through friction, resulting in $\Gamma_t = 0.2$ (Osborn, 1980). However, more recent studies have revealed a great variability in mixing efficiency, inferred from field measurements (van Haren et al., 1994; Moum, 1997; Ruddick et al., 1997; Inoue et al., 2007; Sundfjord et al., 2007; Davis and Monismith, 2011; Dunckley et al., 2012; Ijichi and Hibiya, 2018; Monismith et al., 2018), direct numerical simulations (DNS, Slimm and Riley, 1996, 1998; Smyth et al., 2001; Peltier and Caulfield, 2003; Umlauf and Burchard, 2011; Mashayek and Peltier, 2013; Chalamalla and Sarkar, 2015; Salehipour and Peltier, 2015; Mashayek et al., 2017), as well as laboratory experiments (Ivey and Nokes, 1989; Strang and Fernando, 2001). Notably, the numerical simulations of Shih et al. (2005), based on the laboratory experiments of Barry et al. (2001), showed that the mixing efficiency may be expressed as a function of the turbulence intensity Re_b , defined as the ratio of the destabilising effect of turbulence to the stabilising effect of stratification and viscosity

$$Re_b = \frac{\varepsilon}{\nu N^2}, \quad (10)$$

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86 where ν is kinematic viscosity and N buoyancy frequency. Bouffard and Boegman (2013) re-
87 fined the Shih et al. (2005) parameterisation with a validation against in-situ measurements,
88 distinguishing between distinct turbulent regimes (with Γ increasing with increasing Re_b in the
89 buoyancy controlled regime up to $Re_b = 8.5$, where it reaches a plateau at 0.2 in the transitional
90 regime, before decreasing at $Re_b = 400$ in the energetic regime). A dependency on the turbulence
91 intensity was also revealed by a variety of mixing efficiency estimates from field measurements,
92 direct numerical simulations and laboratory experiments alike (e.g. Monismith et al., 2018), and
93 from measurements collected in the Sicily Channel (Vladoiu et al., 2019). Nevertheless, the mix-
94 ing efficiency does not depend solely on Re_b . Gregg et al. (2018) suggest that mixing efficiency
95 is likely driven by more than one non-dimensional parameter, but also advocate that the vari-
96 ety of methods used to assess mixing efficiency and the associated uncertainties do not allow a
97 compelling characterisation of this dependence, hence recommend to keep the conventional 0.2
98 hypothesis.

99 This study builds on the results presented in Vladoiu et al. (2019) and addresses the
100 variability of the dissipation flux coefficient Γ_d as a proxy for the mixing efficiency with 134
101 microstructure profiles collected in the Western Mediterranean basin east of $5^\circ E$. The same
102 methods are employed for the entire dataset, allowing for a consistent analysis over a wider
103 range of turbulence levels and dynamical regimes than previously reported, thus proving that
104 the observed variability is not due to computation or sampling discrepancies. The dependency
105 of the dissipation flux coefficient on turbulence intensity and other parameters suggested in
106 the literature is explored, and the consequences of the observed variability on the large scale
107 circulation is discussed with respect to quantifying turbulent fluxes and changes in water mass
108 properties.

109 2 Data and Methods

110 2.1 Data

111 The analysis is based on the first full-depth microstructure profiles sampled in the
112 Western Mediterranean Sea with a Vertical Microstructure Profiler (VMP6000), described in
113 Ferron et al. (2017). The microstructure dataset used in this study comprises 118 profiles sampled
114 during 5 cruises (14 profiles during Venus2 in June 2013, 24 during Ichnussa13 in October 2013,
115 28 during Medocc2014 in March-April 2014, 12 during Ems01 in June-July 2014, and 40 during
116 Ichnussa14 in November 2014) including the 16 profiles from the Sicily Channel described in
117 Vladoiu et al. (2019). An additional 16 profiles sampled in the Sicily Channel during DYNAS
118 (15 of which between May 22nd-25th 2018 and 1 of which on September 23rd 2018) with the
119 same instrument were also included. The profiles locations are shown in Figure 1. Collocated
120 current data from LADCP (Lowered Acoustic Doppler Current Profiler, RDI WH 300 kHz, bin
121 size 4 m) were also available for 85 of the 134 VMP profiles. The dissipation rates of turbulent
122 kinetic energy (ε) and of thermal variance (χ) were inferred from the VMP measurements with
123 1 m and 2 m vertical resolution, as described in Ferron et al. (2017) and Vladoiu et al. (2019),
124 respectively.

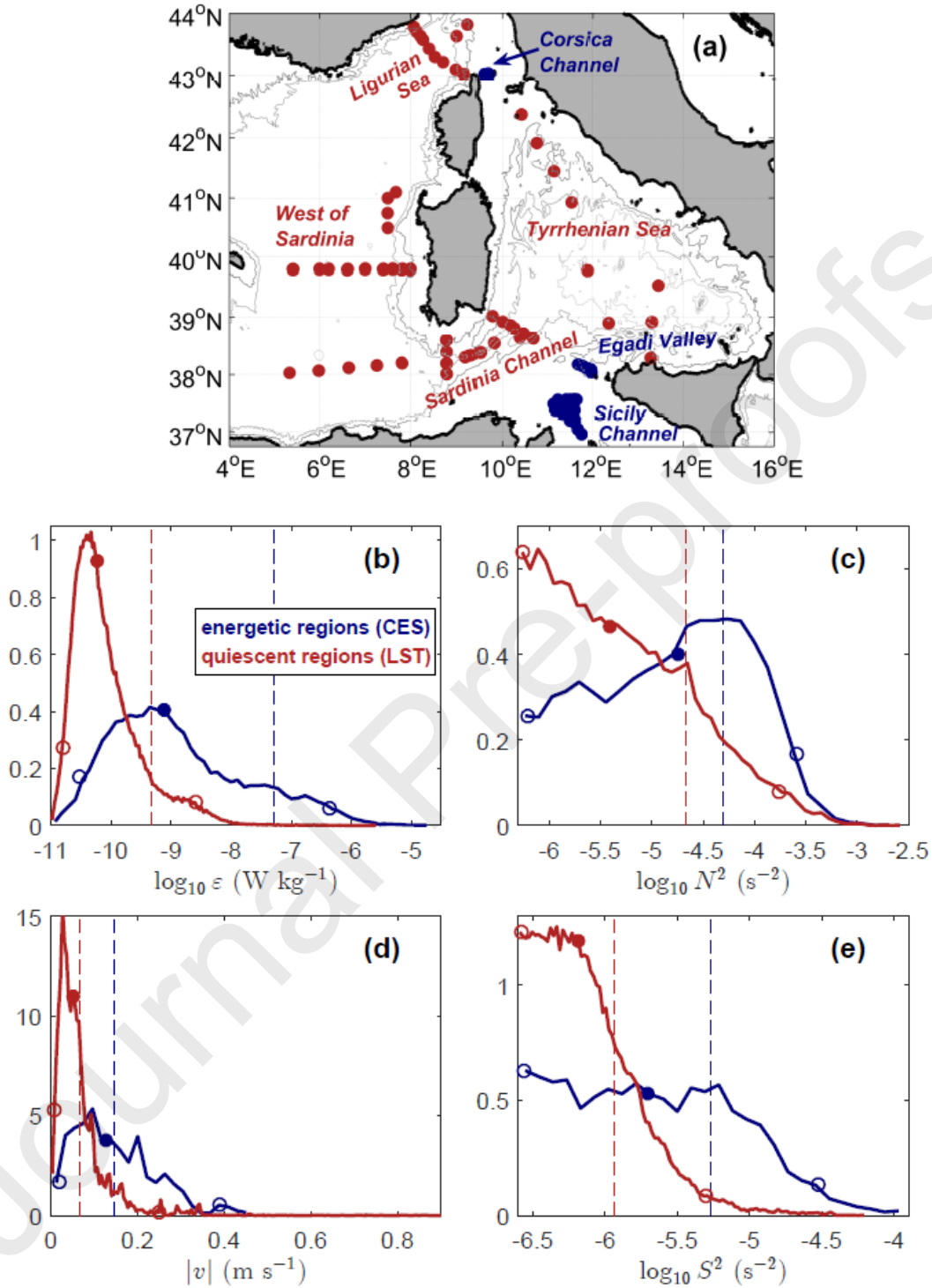


Figure 1: Stations locations (a) colour coded for the two types of regions: energetic regions (blue) - Corsica Channel, Egadi Valley and Sicily Channel (CES), and quiescent regions (red) - Ligurian Sea, West of Sardinia, Sardinia Channel, and Tyrrhenian Sea (LST). Probability density function of dissipation rate (b), buoyancy frequency squared (c), current velocity (d) and velocity vertical shear (e), for the Corsica Channel, Egadi Valley and Sicily Channel energetic regions (CES, blue) and for the Ligurian Sea, West of Sardinia, Sardinia Channel, and Tyrrhenian Sea quiescent regions (LST, red); the dashed lines indicate the mean, the filled circles indicate the median, and the empty circles indicate the 2.5 and 97.5 percentiles.

125 2.2 Dissipation flux coefficient as an estimate of the mixing efficiency 126 for mechanically driven turbulent mixing

127 The dissipation flux coefficient was computed from the microstructure measurements
128 based on Equation (8). Vertical averaging was performed over varying length segments, corre-
129 sponding to the turbulent patches extensions and as a function of the Ozmidov scale, as described
130 in Vladoiu et al. 2019 (58% equal to 2 m, 41% between 2 and 10 m, and 1% greater than 10
131 m). The dissipation flux coefficient variability was assessed by employing the same methods as
132 in Vladoiu et al. (2019). The vertical shear squared $S^2 = (\partial u/\partial z)^2 + (\partial v/\partial z)^2$ was computed
133 from LADCP profiles sampled from the CTD rosette and collocated with the VMP profiles, and
134 the buoyancy frequency N^2 was computed from the VMP Seabird CTD using sorted density
135 profiles. The Richardson number Ri was calculated as $Ri = N^2/S^2$, from N and S lowpass
136 first-order Butterworth filtered over 20 m. Shear instabilities at smaller vertical scales are likely
137 not resolved due to resolution limitations. Noise levels of $5 \times 10^{-7} \text{ s}^{-2}$, $2.5 \times 10^{-7} \text{ s}^{-2}$, and
138 $10^{-11} \text{ W kg}^{-1}$ were applied to N^2 , S^2 and ε , respectively. The imposed noise limit on buoyancy
139 frequency restricts the analysis roughly to the upper 1000 m, 95 (99) % of the Γ_d estimates being
140 at depths shallower than 606 (758) m and just 0.3% below 1000 m.

141 Regions where double diffusive instabilities may develop in conditions of weak turbu-
142 lence and favourable temperature and salinity gradients were excluded from the analysis. In
143 the Mediterranean Sea, structures indicative of double diffusion such as temperature or salinity
144 “steps” are common (e.g. Onken and Brambilla, 2003; Carniel et al., 2008). In particular, the
145 very saline and warm intermediate waters (comprised mostly of Levantine Intermediate Water)
146 relative to the deep and surface waters may satisfy the stability conditions required for the de-
147 velopment of salt fingers and diffusive convection, respectively: if warm and salty waters overlay
148 cool and fresh waters, double diffusive salt fingers may develop, while in the case of cool and
149 fresh waters overlaying warm and salty waters, double diffusive convection may develop (Schmitt,
150 1994). The water column stability to double diffusion was assessed depending on the temperature
151 and salinity vertical gradients using the Turner angle Tu (Ruddick, 1983)

$$152 \quad Tu = \tan^{-1} \frac{-\alpha \partial_z T - \beta \partial_z S}{-\alpha \partial_z T + \beta \partial_z S}, \quad (11)$$

153 where α and β are the thermal expansion and saline contraction coefficients, respectively. Tu
154 indicates the relative contribution of salinity and temperature to the stability of a layer with

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4 155 respect to double diffusion processes, allowing a classification in four regimes (Ruddick, 1983):
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6 156 favourable for double diffusion - salt finger ($45^\circ < Tu < 90^\circ$) and diffusive convection ($-90^\circ <$
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8 157 $Tu < -45^\circ$) regimes, and unfavourable for double diffusion - stable ($|Tu| < 45^\circ$) and overturn
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10 158 ($|Tu| > 90^\circ$) regimes. If the preconditioning for double diffusive processes is satisfied in this
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12 159 respect, it does not necessarily imply that double diffusion occurs. In the following, “salt finger
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14 160 regime” and “double diffusive regime” are used only in reference to the Turner angle and the sign
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16 161 of the temperature and salinity vertical gradients (positive temperature and salinity gradients in
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18 162 the case of salt fingers, and negative in the case of diffusive convection), and do not imply that
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20 163 double diffusive processes dominate. Double diffusion was assumed to prevail over mechanically
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22 164 driven turbulence only if: $Re_b < 25$ (Stillinger et al., 1983; Padman and Dillon, 1987; Gregg, 1988),
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24 165 $\chi/\varepsilon > 1$ (Alford and Pinkel, 2000), and $-90^\circ < Tu < -45^\circ$ or $45^\circ < Tu < 90^\circ$. This occurred for
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26 166 4% of the vertical segments (or 6% of the sub-sample restricted to $Re_b < 25$), equivalent to 467
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28 167 instances of diffusive convection and 337 of salt fingers. These occurrences were excluded from
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30 168 the analysis on Γ_d variability. Double diffusive mixing relative to mechanically driven mixing
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32 169 was highest at the least turbulent stations in the Tyrrhenian Sea and West of Sardinia (5-6% of
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34 170 the total number of vertical segments).

3 Results

The profiles were classified into two types of regions where similar dynamical regimes are expected to prevail (Figure 1):

- energetic regions - Corsica Channel, Egadi Valley and Sicily Channel (CES), which are shallow regions where the flow is constricted by the bathymetry, with mean $\varepsilon = 5.2 \times 10^{-8}$ W kg⁻¹, mean $N^2 = 4.9 \times 10^{-5}$ s⁻², mean current velocities of 0.15 m s⁻¹, mean $S^2 = 5.3 \times 10^{-6}$ s⁻².
- quiescent regions - Ligurian Sea, West of Sardinia, Sardinia Channel, and Tyrrhenian Sea (LST), which are comparatively more offshore and less prone to flow-topography interactions, with mean $\varepsilon = 4.7 \times 10^{-10}$ W kg⁻¹, mean $N^2 = 2.2 \times 10^{-5}$ s⁻², mean current velocities of 7 cm s⁻¹, mean $S^2 = 1.2 \times 10^{-6}$ s⁻².

A contrast was observed between CES and LST, with larger mean and median dissipation rate, buoyancy frequency, current velocity and vertical shear at CES than at LST (Figure 1). Moreover, Ferron et al. (2017) reported a higher range of dissipation rate variability between cruises below 100 m at CES than at LST. The energetic regions comprise 44 profiles and 28% of the total 18469 segments over which Γ_d was computed, and the quiescent regions 90 profiles and 72% of the segments (see Table 1 for numbers of profiles and percentages for each region).

	Region	Number of profiles	% of total number of segments
Energetic regions (CES)	Corsica Channel	6	3
	Sicily Channel	32	20
	Egadi Valley	6	5
Quiescent regions (LST)	Ligurian Sea	11	9
	Sardinia Channel	35	29
	West of Sardinia	32	23
	Tyrrhenian Sea	12	11

Table 1: Number of microstructure profiles in each region shown in Figure 1; percentage of segments over which Γ_d was computed in each region relative to total number of segments in all regions.

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188 The dependency of Γ_d on Re_b was investigated (in \log_{10} space) and compared to the
189 Bouffard and Boegman (2013) parameterisation (Γ_{BB13}), for the two types of regions (Figure
190 2). At LST, only 1.7% of the observations occur at $Re_b > 1000$, compared to 16% at CES.
191 At CES, and consistently with the parameterisation, Γ_d showed distinct linear dependencies
192 on Re_b subject to the Re_b range. Γ_d was on average systematically larger than Γ_{BB13} . Γ_d
193 exhibits a plateau for the mean values in the transitional Re_b regime with $8.5 \leq Re_b < 400$
194 defined by Bouffard and Boegman (2013) that here extends up to $Re_b = 10^4$, consistent with
195 the behaviour observed in the Sicily Channel and described in Vladoiu et al. 2019 (this plateau
196 is still observed when disregarding the profiles from the Sicily Channel). Around $Re_b = 10^4$, a
197 transition to a dependency described by $Re_b^{-1/2}$ occurs, which is consistent with Monismith et al.
198 (2018). This transition therefore appears far after the end of the Bouffard and Boegman (2013)
199 transitional regime. Conversely, at LST Γ_d decreased on average continuously and almost linearly
200 with increasing Re_b , a behaviour that differs markedly from the 4 distinct regimes predicted by
201 the Bouffard and Boegman (2013) parameterisation (Figure 2). In the energetic regime with
202 $Re_b \geq 400$, Γ_d is on average half an order of magnitude larger than Γ_{BB13} .

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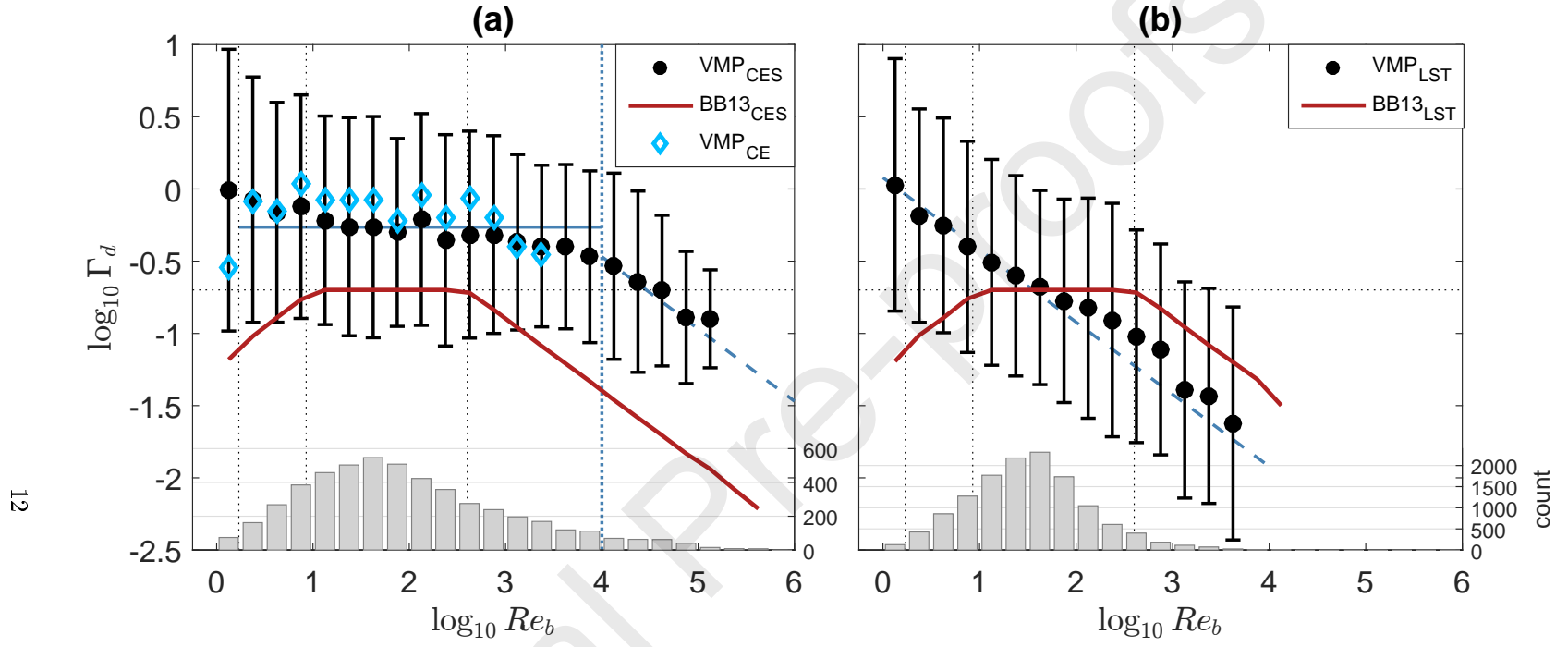


Figure 2: $\log_{10} \Gamma_d$ (black circles) averaged in bins of $\log_{10} Re_b$, for the Corsica Channel, Egadi Valley and Sicily Channel energetic regions (CES, a), only for the Corsica Channel and Egadi Valley regions (CE, a, blue diamonds), and for the Ligurian Sea, West of Sardinia, Sardinia Channel, and Tyrrhenian Sea quiescent regions (LST, b); Γ_{BB13} (red curves); $\Delta Re_b = 0.25$ in \log_{10} space; delimitations of the Bouffard and Boegman (2013) turbulent regimes: molecular for $Re_b < 1.7$, buoyancy controlled for $1.7 \leq Re_b < 8.5$, transitional for $8.5 \leq Re_b < 400$, energetic for $Re_b \geq 400$ (dotted black lines); black bars show the standard deviation; grey bars show the number of occurrences in each Re_b bin (only bins with at least 10 occurrences are shown); $\log_{10} \Gamma_d$ averaged over $1.7 \leq Re_b < 10^4$ (solid blue line, a), $Re_b = 10^4$ (vertical dotted blue line) at which the transition from a plateau to a slope proportional to $Re_b^{-1/2}$ occurs (Monismith et al. 2018, dashed blue line, a and b).

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203 Monismith et al. (2018) reviewed a collection of datasets that revealed different be-
204 haviours of the Richardson flux number (directly related to the dissipation flux coefficient, Equa-
205 tion (6)) as a function of turbulence intensity (Figure 3). Many datasets exhibit a saturation
206 of R_f , illustrated by a plateau such as that of the Bouffard and Boegman (2013) transitional
207 turbulence intensity regime, followed by a decrease in R_f with increasing Re_b . However, the
208 critical Re_b at which R_f starts to decrease differs between studies. In particular, the strongly
209 sheared, strongly stratified estuarine case presented in Holleman et al. (2016) and characterised
210 by high dissipation rates resembles the energetic regions (CES), in that R_f starts to decrease
211 with increasing Re_b far after the Bouffard and Boegman (2013) limit of 400 (Figure 3). On
212 the other hand, the almost linear decrease in R_f with increasing Re_b in the transitional regime
213 illustrated by the quiescent regions (LST) was consistent between field measurements, direct
214 numerical simulations and laboratory experiments alike. Interestingly, the R_f curves from the
215 two types of regions in the Western Mediterranean roughly frame the upper and lower bounds of
216 the different datasets in the composite plot. Therefore, Figure 3 further suggests that the mixing
217 efficiency variability is likely not governed only by the turbulence intensity.

218 The distribution of Γ_d in (ε, N^2) space is also contrasting between CES and LST
219 (Figure 4). The bulk of the values at CES is restricted to a smaller parameter space than at
220 LST, and corresponds to mean N^2 and ε roughly one order of magnitude larger. There is some
221 variability in Γ_d along Re_b isolines at both CES and LST, but no clear consistent pattern emerges
222 between CES and LST, suggesting either lacking statistical significance or that the (ε, N^2) space
223 is insufficient to fully describe the mixing efficiency variability and that further parameters are
224 involved.

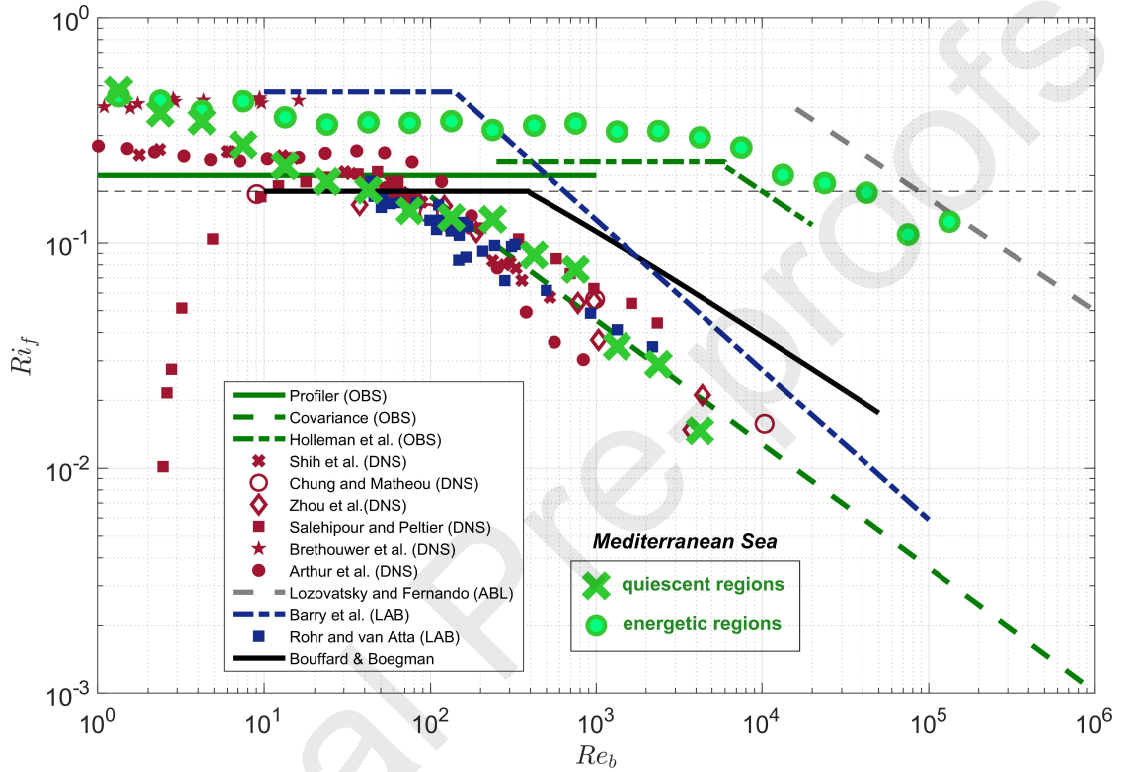


Figure 3: Composite plot of the median Richardson flux number as a function of turbulence intensity from several studies (after Monismith et al. 2018, incorporating an additional dataset by Brethouwer et al. 2007; data courtesy of Stephen Monismith) based on: field measurements (green lines - OBS), direct numerical simulations (red symbols - DNS), laboratory experiments (blue line and squares - LAB), atmospheric boundary layer measurements (grey line - ABL). The Bouffard and Boegman (2013) parameterisation is also shown (black line), and the binned medians from the Western Mediterranean Sea corresponding to Figure 2 are superimposed (green circles - energetic regions and green crosses - quiescent regions).

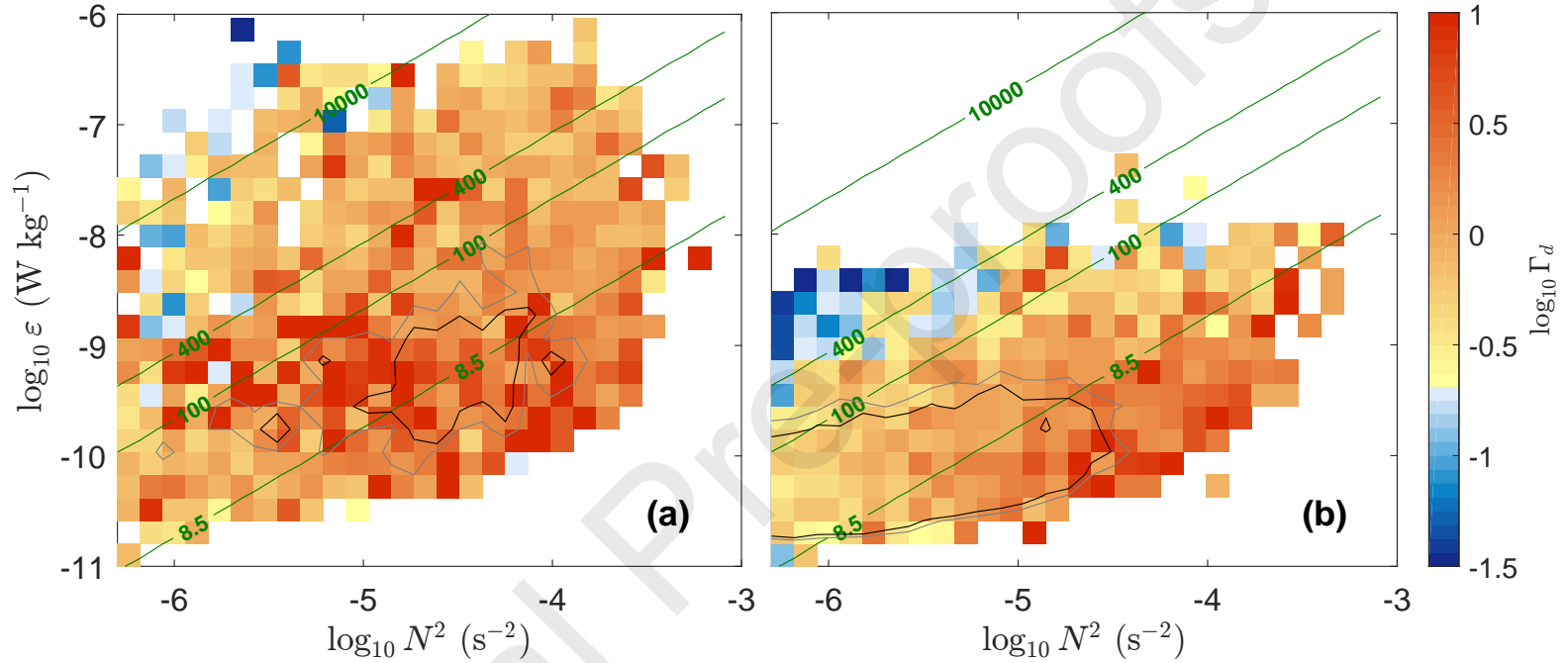


Figure 4: Bin averaged $\Gamma_d(N^2, \varepsilon)$ for the Corsica Channel, Egadi Valley and Sicily Channel energetic regions (CES, a) and for the Ligurian Sea, West of Sardinia, Sardinia Channel, and Tyrrhenian Sea quiescent regions (LST, b); Re_b (green contours); 0.2 and 0.15 contours of the probability density function (black and gray, respectively); $\Delta \log_{10} N^2 = 0.12$, $\Delta \log_{10} \varepsilon = 0.21$; note the colour map transition from blue to yellow at $\Gamma_d = 0.2$; only bins with at least 3 occurrences are shown.

225 The dependency of Γ_d , ε , N^2 , S^2 , Ri , and K_θ on Re_b was investigated for the four
 226 water column stability regimes with respect to the Turner angle. As explained in Section 2.2,
 227 the following disregards segments with prevailing double diffusion (indicated for reference in
 228 (Tu, Re_b) space in Figures 5 a and 6 a) and refers to the diffusive convection and salt finger
 229 regimes only in terms of the temperature and salinity gradients; moreover, the segments are
 230 mostly restricted to the upper 1000 m. Γ_d varied significantly not only as a function of Re_b ,
 231 but also depending on the Tu regime. At both CES and LST, Γ_d was highest in the stable and
 232 diffusive convection regimes, and significantly lower in the salt finger regime (Figures 5 a and
 233 6 a), consistent with Inoue et al. (2007). At CES, median Γ_d at $Re_b < 400$ was 0.62 in the
 234 stable regime, 0.75 in the diffusive convection regime and 0.3 in the salt finger regime, while at
 235 $Re_b \geq 400$ median values were 0.43, 0.55 and 0.29, respectively. Conversely, at LST, median Γ_d
 236 at $Re_b < 400$ was 0.3 in the stable regime, 0.31 in the diffusive convection regime and 0.13 in the
 237 salt finger regime, while at $Re_b \geq 400$ median values were 0.11, 0.11 and 0.03, respectively. This
 238 may be related to the variability of K_θ , which shows a consistent pattern between CES and LST:
 239 K_θ increases on average with increasing Re_b but is larger in the diffusive convective regime than
 240 in the salt finger regime (Figures 5 f and 6 f). This asymmetry is likely not due to the criterion
 241 $Re_b < 25$ used to distinguish double diffusion (a bias induced by prevailing double diffusion
 242 would imply $K_\theta \neq K_\rho$), as it extends well beyond this value. The S^2 and N^2 variability in $(Tu,$
 243 $Re_b)$ space differed greatly between CES and LST, suggesting the presence of water masses with
 244 different properties and dynamics as outlined previously. S^2 was overall higher for CES (Figure
 245 5 d) than for LST (Figure 6 d), and strong shear occurred mostly in the stable and diffusive
 246 convection regimes and at all Re_b at CES. Conversely, at LST the strongest shear occurred in
 247 the stable regime at low Re_b , and S^2 decreased uniformly with increasing Re_b for all the regimes.
 248 This indicates that at LST the increase in Re_b is mainly due to the decrease in stratification at
 249 low dissipation rate, rather than to the strong production of turbulence by the mean shear. At
 250 Re_b between 8.5 and 400, N^2 was much larger at CES (Figure 5 c) than at LST (Figure 6 c),
 251 especially in the diffusive convection regime but also in the stable regime. There was a contrast
 252 between the water column stability regimes also for Ri , at both CES and LST: Ri was larger in
 253 the diffusive convective regime than in the salt finger regime (Figures 5 e and 6 e). Ri was larger
 254 at LST than at CES in the transitional Re_b regime for all Tu regimes due to the dominance of
 255 weaker stratification at LST and despite larger shear at CES. A contrast in the transitional Re_b
 256 regime between CES and LST was also observed for S^2 and K_θ , with higher values at CES than
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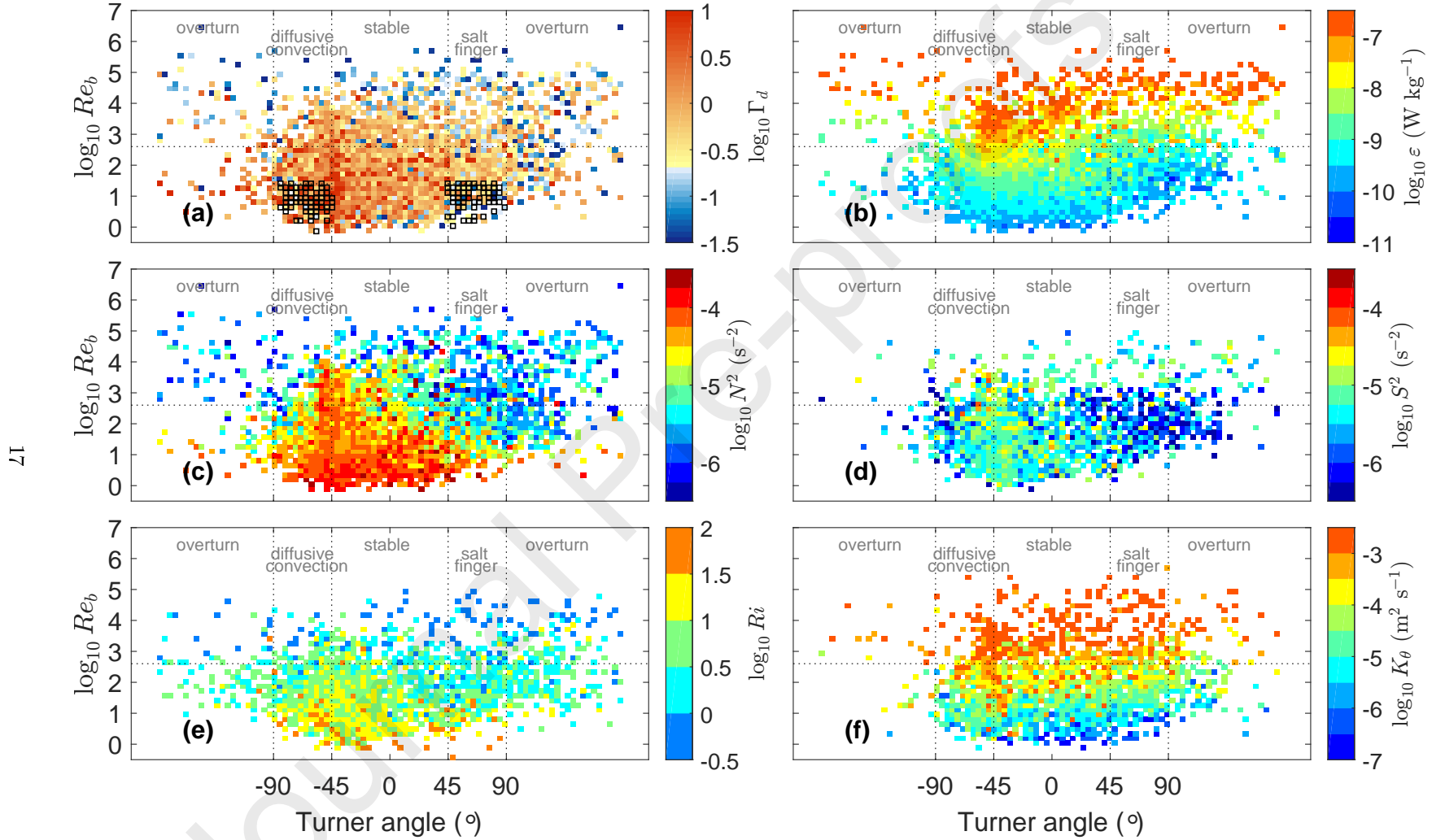


Figure 5: Bin averages in (Tu, Re_b) space for the Corsica Channel, Egadi Valley and Sicily Channel energetic regions (CES) ($\Delta Tu=4^\circ$, $\Delta \log_{10} Re_b=0.17$) of: Γ_d (a), ε (b), N^2 (c), S^2 (d), Ri (e), and K_θ (f). Note same y axis for (a)-(f). The Tu water column stability regimes refer to the T and S vertical gradients (negative T and S gradients for the diffusive convection regime, and positive T and S gradients for the salt finger regime), and do not necessarily imply that double diffusion occurs. The (Tu, Re_b) bins where double diffusion is potentially prevalent relative to mechanically-driven mixing are restricted to $Re_b < 25$, $\chi/\varepsilon > 1$, and $-90^\circ < Tu < -45^\circ$ or $45^\circ < Tu < 90^\circ$, and are indicated in (a) by the black squares. The horizontal dashed black line indicates $Re_b=400$.

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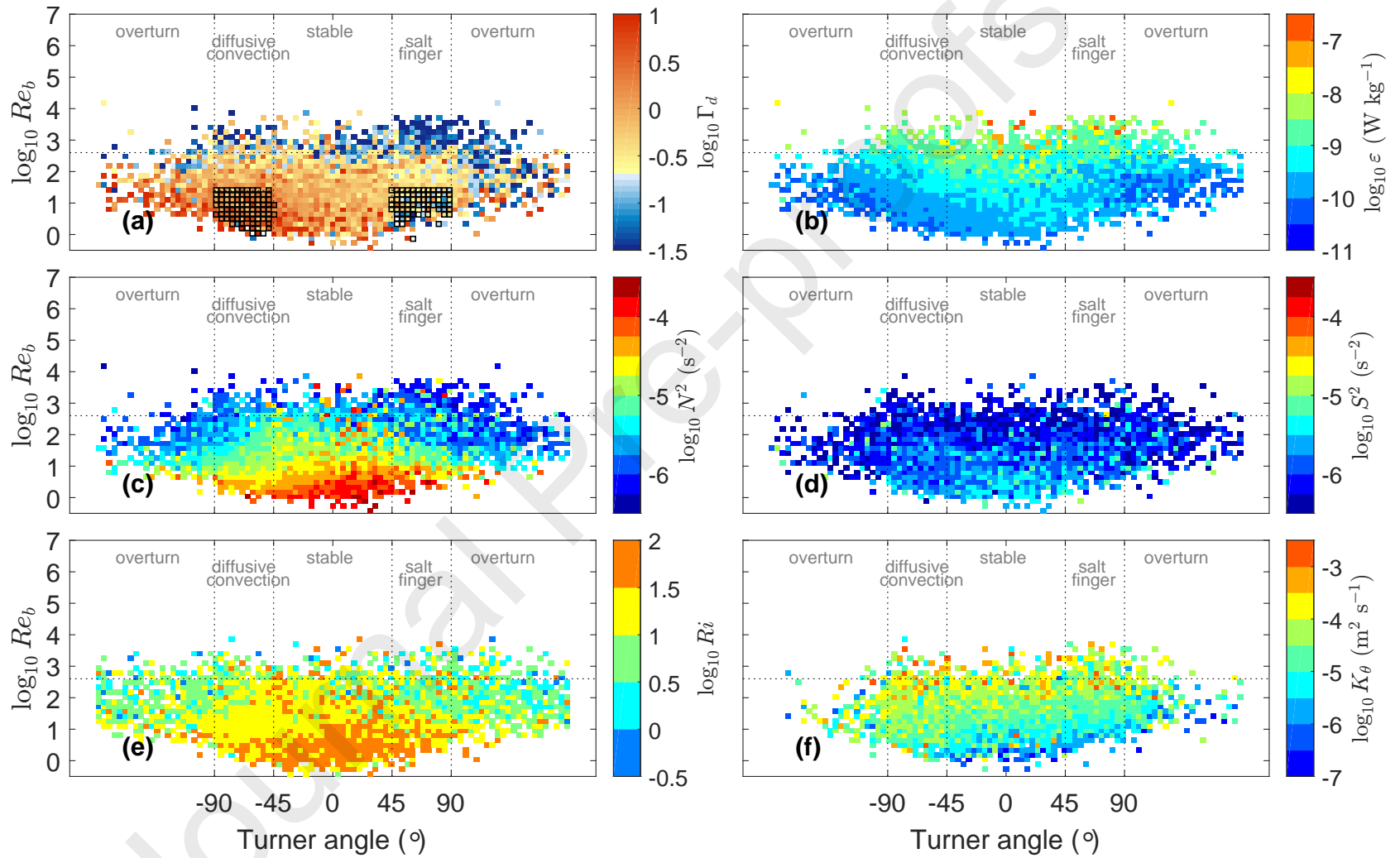


Figure 6: Same as Figure 5, but for the Ligurian Sea, West of Sardinia, Sardinia Channel, and Tyrrhenian Sea quiescent regions (LST).

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3 258 The behaviour of Γ_d as a function of Ri in the Sicily Channel (Vladoiu et al., 2019)
4 259 was found to be relatively well described on average by the parameterisation suggested by Ve-
5 260 nayagamoorthy and Koseff (2016), based on the direct numerical simulations of Shih et al. (2005)
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7 261 which predicts a constant value at $Ri > 1$. Moreover, the Γ_d dependency for Ri up to ≈ 1 was
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9 262 in agreement with predictions by several numerical simulations and laboratory experiments of
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11 263 shear instability (Stretch et al., 2010; Schaad and Venayagamoorthy, 2017; Zhou et al., 2017).
12 264 However, for the complete Western Mediterranean dataset, no clear dependency of Γ_d on Ri was
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14 265 observed for either CES or LST (Figure 7). This inconclusive dependency is consistent with the
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16 266 results of Monismith et al. (2018) based on a combination of datasets. It is possible that Ri is
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18 267 not computed at small enough scales from the measurements, or that shear instability is not a
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20 268 sufficiently dominant mechanism to shape a dependence on Ri .
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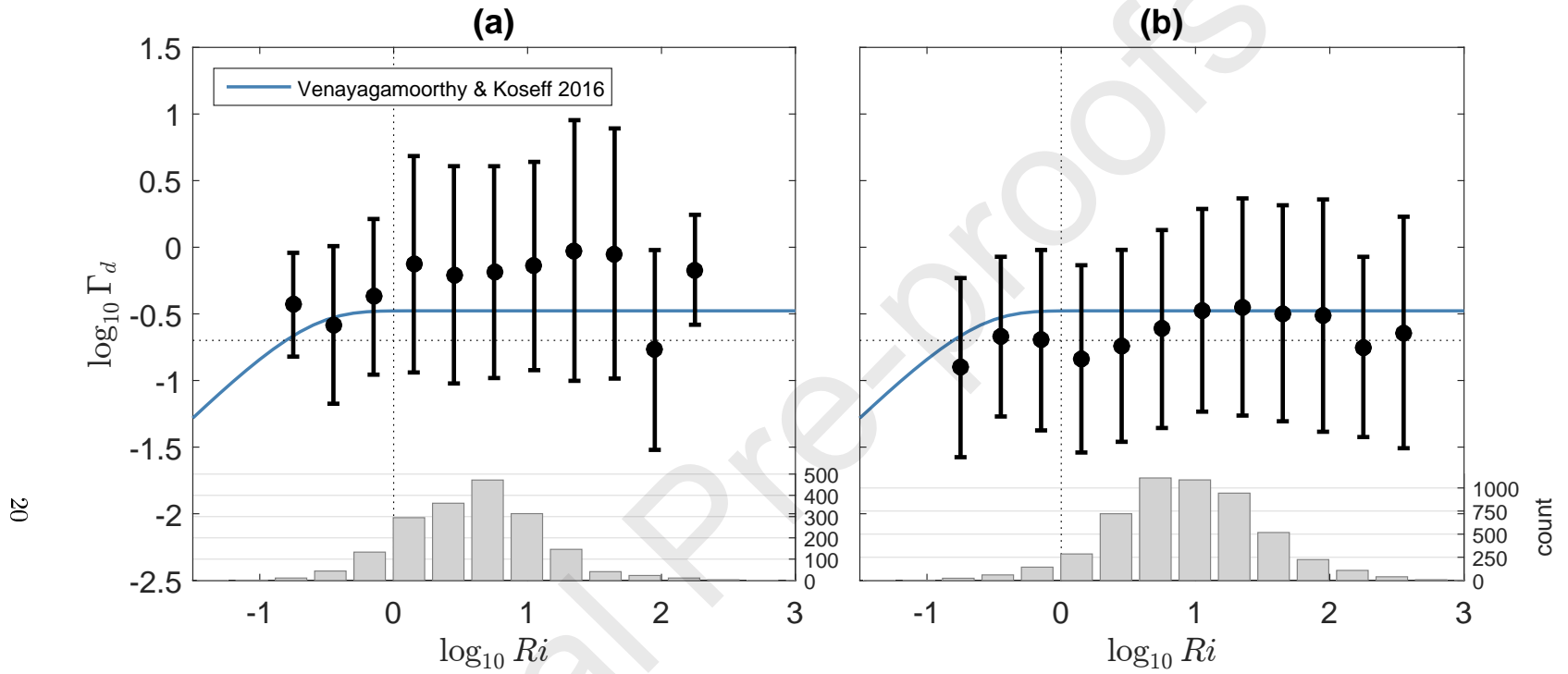


Figure 7: Γ_d (black circles) averaged in bins of Ri , for the Corsica Channel, Egadi Valley and Sicily Channel energetic regions (CES, a), and for the Ligurian Sea, West of Sardinia, Sardinia Channel, and Tyrrhenian Sea quiescent regions (LST, b); standard deviation for each bin (error bars); grey bars show the number of occurrences in each Ri bin (only bins with at least 10 occurrences are shown); $\Delta Ri = 0.3$ in \log_{10} space; the parameterisation suggested by Venayagamoorthy and Koseff (2016) is shown for comparison (blue line).

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269 A dependency of the mixing efficiency on the ratio between the Ozmidov scale (L_O) and
270 the Thorpe scale (L_T) has been suggested based on scaling analysis and laboratory experiments
271 (Ivey and Imberger, 1991), direct numerical simulations (Smyth et al., 2001; Garanaik and Ve-
272 nayagamorthy, 2019), and oceanic measurements (Smyth et al., 2001; Ijichi and Hibiya, 2018;
273 Ijichi et al., 2020). The Thorpe scale quantifies the extent of a turbulent patch $L_T = \langle \delta_T^2 \rangle^{1/2}$,
274 where δ_T is the vertical displacement from a depth sorted density profile, and the Ozmidov length
275 scale $L_O = \langle \varepsilon \rangle^{1/2} \langle N \rangle^{-3/2}$ represents the maximum vertical extent of a turbulent overturn in the
276 presence of buoyancy forces (angle brackets denote averages over a turbulent patch). The ratio
277 L_O/L_T was computed for each turbulent patch with L_O and L_T greater than 1 m. A ratio close
278 to one is expected for shear driven mixing (Scotti, 2015) and was only found at CES concurrently
279 with large Re_b . At LST $L_O \ll L_T$, consistent with previous results in the ocean interior and
280 suggesting that convective mixing may occur (Mater et al., 2015). Γ_d decreased on average with
281 increasing L_O/L_T , with a redder slope at LST than at CES (Figure 8). However, the low number
282 of resolved turbulent patches due to the noise threshold imposed on N and to the restriction
283 $L_O > 1$ m does not allow a robust comparison with the previously suggested scalings in the same
284 parameter space.

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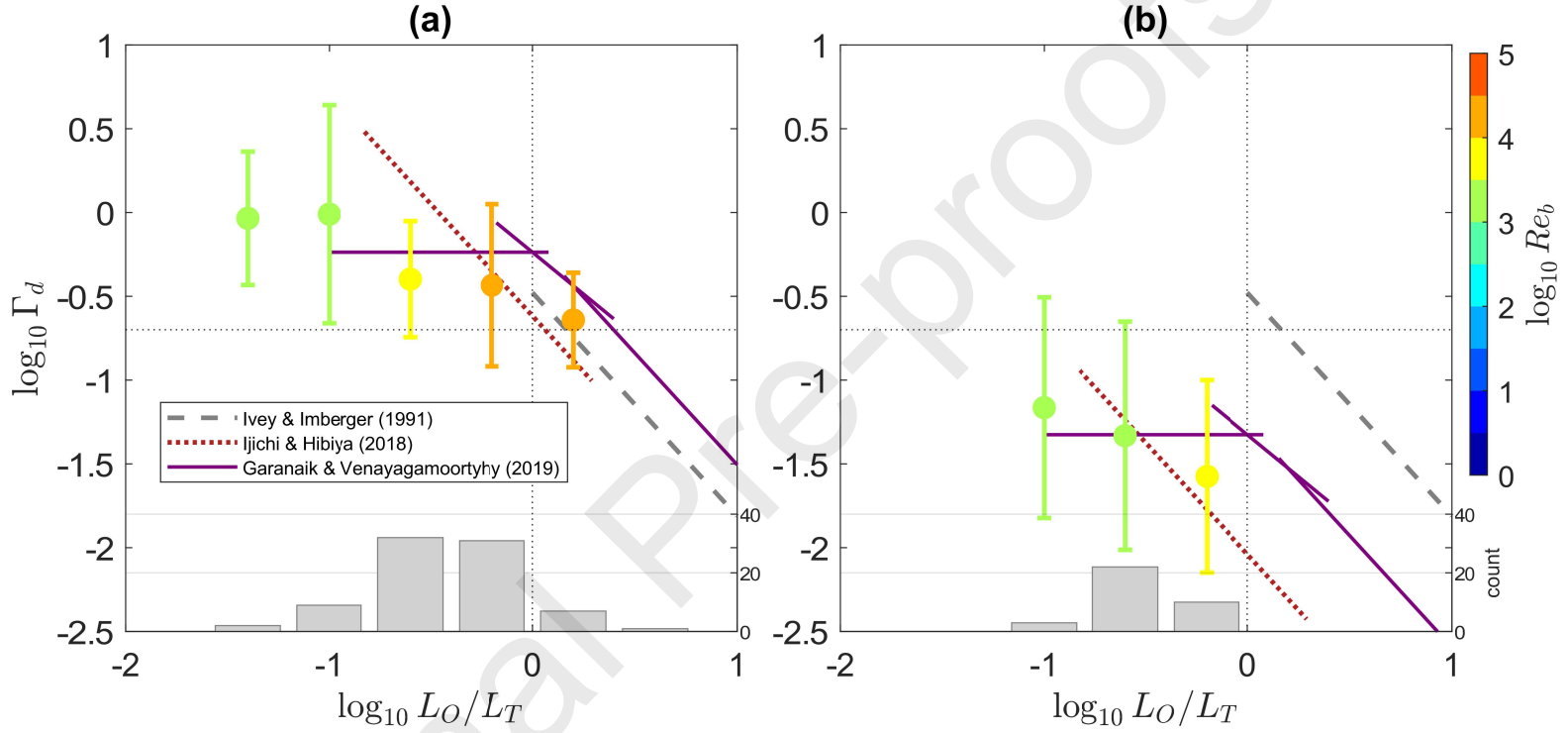


Figure 8: Γ_d (circles) averaged in bins of L_O/L_T , for the Corsica Channel, Egadi Valley and Sicily Channel energetic regions (CES, a), and for the Ligurian Sea, West of Sardinia, Sardinia Channel, and Tyrrhenian Sea quiescent regions (LST, b); averaged Re_b in bins of L_O/L_T (colour); standard deviation for each bin (error bars); grey bars show the number of turbulent patches considered in each L_O/L_T bin; the scalings suggested by Ivey and Imberger (1991); Ijichi and Hibiya (2018); Garanaik and Venayagamoorthy (2019) are shown for comparison (dashed gray, dotted red, and solid purple curves, respectively).

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285 The contrasting dissipation flux coefficient between CES and LST, with smaller Γ_d in
286 the quiescent compared to energetic regions, implies significant differences in vertical turbulent
287 diffusive fluxes and water mass transformations between the two types of regions. Moreover, large
288 ε concurrent with large Γ_d in the energetic regions result in a larger buoyancy flux $J_b = \varepsilon\Gamma_d$
289 than in the quiescent regions, suggesting that most of the diapycnal mixing occurs at CES.
290 Intermediate waters were identified based on vertical profiles and T - S diagrams between $28.8 \leq$
291 $\sigma_0 \leq 29.1 \text{ kg m}^{-3}$, corresponding to 59% of the total observations at CES and 89% at LST,
292 and with 90% of the occurrences between roughly 175 and 526 m depth at CES and between
293 130 and 640 m depth at LST. Diapycnal diffusivity K_ρ estimated from Γ_d (Equation (5)) for
294 $28.8 \leq \sigma_0 \leq 29.1 \text{ kg m}^{-3}$ has a mean (median) of 3.7×10^{-3} (8.2×10^{-5}) $\text{m}^2 \text{ s}^{-1}$ at CES and
295 5.8×10^{-5} (9×10^{-6}) $\text{m}^2 \text{ s}^{-1}$ at LST. Moreover, the mean K_ρ parameterised as a function of Re_b
296 following Bouffard and Boegman (2013) is smaller by a factor of 24 and 3 for the mean value at
297 CES and LST, respectively, than K_ρ inferred from Γ_d . This further underlines the importance of
298 microstructure measurements for more accurate estimates of vertical turbulent diffusive fluxes.

299 4 Conclusions and discussion

300 Microstructure profiles sampled in the Western Mediterranean basin east of $5^\circ E$ were
301 classified into two types of dynamical regions: energetic (Corsica Channel, Egadi Valley and Sicily
302 Channel) and quiescent (Ligurian Sea, around Sardinia, and Tyrrhenian Sea). The currents,
303 vertical shear, stratification and dissipation were stronger in the energetic than in the quiescent
304 regions.

305 The dissipation flux coefficient variability as a function of turbulence intensity also
306 differed greatly between the two types of regions, especially at Re_b between 10 and 10^4 : while on
307 average Γ_d exhibits a plateau in the energetic regions, in the quiescent regions Γ_d decreases almost
308 linearly (in \log_{10} space) with increasing Re_b . The Bouffard and Boegman (2013) prediction lies
309 in between these two curves. A plateau was also found in some previous studies but its extension
310 is variable (e.g. Holleman et al., 2016). The decrease in Γ_d with increasing Re_b was suggested to
311 be induced by boundary effects, however this dependency is exhibited precisely in the offshore
312 quiescent regions, away from coastal boundaries and bathymetric features. The Bouffard and
313 Boegman (2013) parameterisation is based on numerical simulations (Shih et al., 2005) and
314 laboratory experiments (Barry et al., 2001), and was validated with in-situ measurements from
315 Lake Erie. The range of parameters reproduced in these simulations and experiments may be
316 more similar to those found in the energetic, well stratified regions. The in-situ data from Lake
317 Erie and from other studies that showed a similar Γ_d behaviour may also be more representative
318 of the conditions in the energetic regions. For instance in Lake Erie high dissipation rates occur
319 in a sharp thermocline as a result of strong internal Poincaré waves (Bouffard et al., 2012).
320 The Western Mediterranean dataset covers a wide spectrum of dynamical conditions and a large
321 range of turbulence intensities, comparable with the Monismith et al. (2018) compilation but
322 with the advantage of a uniform method being employed, thus removing the possibility that the
323 different observed dependencies would be attributed to different processing methods. As noted
324 by Monismith et al. (2018), a dependency of the mixing efficiency on Re_b does exist but it is
325 most probably not the only parameter governing its variability. The Richardson number, at least
326 computed at the large vertical scales imposed by the current measurement limitations, does not
327 seem to govern the variability of Γ_d .

328 An apparent dependence of Γ_d on the water column stability regime was observed,
329 with higher dissipation flux coefficient for mechanically driven mixing in the case of the diffusive

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3 330 convection favourable regime than in the case of the salt finger favourable regime. A similar
4 331 contrast was also evidenced by [Inoue et al. \(2007\)](#). This may indicate a bias in the Osborn-Cox
5 332 method in the case of the regimes favourable for double diffusion, but where mechanical mixing
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7 333 is assumed to prevail. More specifically, when the background stratification is favourable for
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9 334 diffusive convection, the transition between diffusive convective mixing and mechanical mixing
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11 335 for increasing turbulence intensity is likely not abrupt, and there may remain a signature of
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13 336 diffusive convection in the temperature variance of the turbulent regime, resulting in a bias in
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15 337 the Osborn-Cox estimation. However, this contrast persists even at $Re_b \gg 25$, and these stability
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17 338 regimes also identify water masses subjected to contrasted shear and stratification conditions.
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19 339 This point could be addressed with the use of process oriented direct numerical simulations or
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21 340 laboratory experiments. In addition, studies based on microconductivity measurements could be
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23 341 conducted in parallel, to assess the Osborn-Cox method in regimes favourable to double diffusion,
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25 342 especially in regions where the stratification is highly influenced by salinity as is the case in
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27 343 the Mediterranean Sea. This method is much less frequently used (e.g. [Nash and Moum, 2002](#);
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29 344 [Walter et al., 2014](#); [Holleman et al., 2016](#)) due to sensor resolution and noise issues.

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32 345 Based on the Buckingham π theorem for dimensional analysis and considering the vari-
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36 347 vertical velocity shear, viscosity, and thermal and saline molecular diffusivity, described by their
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38 348 respective length and time scales, [Gregg et al. \(2018\)](#) estimated that the mixing efficiency could
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40 349 be characterised by 5 dimensionless parameters. Numerical simulations suggest that the mixing
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42 350 efficiency variability depends at least on a quantity describing the stratification and on one de-
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44 351 scribing the level of turbulence ([Gregg et al., 2018](#)). A caveat of numerical simulations as those
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46 352 performed by [Shih et al. \(2005\)](#) is that large Re_b concurs with weak stratification, which is not
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48 353 the case everywhere in the ocean, therefore N^2 should be considered as an independent factor
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50 354 ([Gregg et al., 2018](#)). A collapse of the dissipation flux coefficient was indeed observed for low N^2
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52 355 in the Western Mediterranean dataset. Moreover, numerical simulations generally only reproduce
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54 356 one idealised mixing mechanism (most often Kelvin-Helmholtz sheared turbulence), which is not
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56 357 necessarily representative of the complex assortment of interacting processes at different stages of
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58 358 development in the ocean. While $\Gamma_t = 0.2$ is a good approximation for steady state shear-driven
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60 359 mixing with no initial overturning (e.g. [Caulfield, 2020](#)), pre-existent turbulence strongly affects
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62 360 the mixing efficiency of a shear instability ([Kaminski and Smyth, 2019](#)). In DNS, the age of a
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64 361 turbulent mixing event induced by shear instability was shown to be equivalent to the ratio of
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3 362 the Ozmidov to the Thorpe scales (e.g. Smyth et al., 2001). Based on estimates inferred from
4 363 observations, Mashayek et al. (2017) found a behaviour of the mixing efficiency as a function of
5 364 the turbulence intensity that is similar to that exhibited by the Mediterranean quiescent regions
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7 365 for young turbulence, while the case of older turbulence rather resembles the behaviour observed
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9 366 in the energetic regions. Similarly, Cyr and Van Haren (2016) found higher mixing efficiency esti-
10 367 mated from finescale density (mean 0.36) during energetic periods associated with the collapse of
11 368 internal bores and younger turbulence, than during less energetic periods of decaying turbulence
12 369 (mean 0.2). As for ocean measurements, little consistency exists between observational datasets.
13
14 370 This is likely partly due to measurement and method related limitations and uncertainties (e.g.
15 371 Gregg et al., 2018). Consistent with Monismith et al. (2018), no clear dependence on Ri was
16 372 evident for the Western Mediterranean dataset, possibly due to the inadequate vertical resolution
17 373 of classical CTD/LADCP measurements used to infer Ri ; this question could be addressed by
18 374 employing more accurate current meters with a high vertical resolution of the order of 1 m.

23
24 375 Mixing efficiency is generally parameterised in order to estimate diapycnal diffusivity
25 376 from microstructure measurements and subsequently compute vertical turbulent diffusive fluxes.
26
27 377 The diapycnal diffusivity estimates based on the dissipation flux coefficient confirmed that the
28 378 localised Western Mediterranean energetic regions with a strong bathymetry constricted flow
29 379 are hotspots for vertical turbulent mixing of intermediate water mass properties. Advection in
30 380 particular helps sustain the strong stratification in the energetic regions and thus contributes
31
32 381 to maintain a high mixing efficiency, in contrast to the quiescent, less stratified regions. The
33 382 average diapycnal eddy diffusivity on the global oceanic scale was estimated from energy and
34 383 transport budgets at $10^{-4} \text{ m}^2 \text{ s}^{-1}$ (Munk, 1966; Munk and Wunsch, 1998). However, mixing is
35 384 strongly heterogeneous and local values differ by orders of magnitude (Wunsch and Ferrari, 2004;
36 385 Waterhouse et al., 2014). Ocean global circulation models are very sensitive to the way mixing
37 386 efficiency and diapycnal diffusivity are represented, and more accurate parameterisations need
38 387 to be developed and implemented (De Lavergne et al., 2016; Mashayek et al., 2017; Cimoli et al.,
39 388 2019). This study further suggests that models should employ a non-constant mixing efficiency
40 389 and should distinguish between quiescent regions where the mixing efficiency is significantly
41 390 below 0.2, and energetic regions with mixing efficiency well above 0.2. Considering that most of
42 391 the ocean volume has similar characteristics to the quiescent regions, this difference may cancel
43 392 out on a large scale but be locally important. The relative significance of the localised mixing
44 393 hot spots should therefore be more thoroughly addressed. To this end, the mixing efficiency

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3 394 variability depending on the mechanisms that drive mixing needs to be further investigated.
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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: