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# Ultrasonic assessment of osseointegration phenomena at the bone-implant interface using convolutional neural network

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## Abstract

Although endosseous implants are widely used in the clinic, failures still occur and their clinical performance depends on the quality of osseointegration phenomena at the bone-implant interface (BII), which are given by bone ingrowth around the BII. The difficulties to ensure clinical reliability come from the complex nature of this interphase related to the implant surface roughness and the presence of a soft tissue layer (non-mineralized bone tissue) at the BII. The aim of the present study is to develop a method to assess the soft tissue thickness at the BII based on the analysis of its ultrasonic response using simulation based-convolution neural network (CNN). A large-annotated dataset was constructed using a 2-D finite element model in the frequency domain considering a sinusoidal description of the BII. The proposed network was trained by the synthesized ultrasound responses and was validated by a separate dataset from the training process. The linear correlation between actual and estimated soft tissue thickness shows excellent  $R^2$  values equal to 99.52% and 99.65%, and narrow limit of agreement corresponded to  $[-2.56, 4.32 \mu\text{m}]$  and  $[-15.75, 30.35 \mu\text{m}]$  for the microscopic and macroscopic roughness respectively, supporting the reliability of the proposed assessment for the osseointegration phenomena.

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**Keywords:** Bone-implant interface, osseointegration, quantitative ultrasound, Finite element method, Convolutional neural network.

**Running title:** Implant ultrasound assessment with neural network.

35 **PACS numbers:** 43.80.Ev, 43.80.Vj, 43.20.Gp, 43.20.El

## I. Introduction

Although implants are now widely used in dental and orthopedic surgery, there remain risks of failure which may have dramatic consequences and are difficult to anticipate. The surgical success depends on the quality of osseointegration phenomena, which corresponds to the formation of bone tissue in contact with the implant (Haiat *et al.*, 2014). During osseointegration phenomena, collagen-rich tissue forms around the implant and is then mineralized, which corresponds to a transformation from soft tissue into a solid material (Moerman *et al.*, 2016). Aseptic loosening, which depends on osseointegration phenomena, is the most common cause of surgical failure of endosseous implant surgery (Pilliar *et al.*, 1986). Therefore, the non-invasive assessment of osseointegration phenomena is important in order to maximize the clinical success of such intervention (Khan *et al.*, 2012). However, it remains difficult to assess the evolution of the implant stability, which is given by the biomechanical properties of the bone-implant interface (BII) (Mathieu *et al.*, 2014).

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Different biomechanical techniques have been proposed to investigate the BII properties because techniques using X-rays and Magnetic Resonance Imaging have strong limitations due to the presence of metal (Shalabi *et al.*, 2007; Gill and Shellock, 2012). To investigate the BII properties, impact methods (Van and Wilson, 1991; Schulte and Lukas, 1992; Michel *et al.*, 2016; Goossens *et al.*, 2017; Dubory *et al.*, 2020) and resonance frequency analysis (Meredith *et al.*, 1996; Georgiou and Cunningham, 2001; Pastrav *et al.*, 2009) have been employed but remain limited in terms of resolution and of sensitivity to investigate the biomechanical properties of the BII (Aparicio *et al.*, 2006; Seong *et al.*, 2009). Approaches using quantitative ultrasound (QUS) seem promising to retrieve information on the BII because it is non-invasive, easy to use and non-ionizing. Preliminary studies using coin-shaped implant models have evidenced a significant decrease of the reflection coefficient of the BII as a function of healing time (Mathieu *et al.*, 2012; Fraulob *et al.*, 2020), which can be explained by increases of the bone-implant contact (BIC) ratio, of the bone Young's modulus (Vayron *et al.*, 2012) and of bone mass density (Mathieu *et al.*, 2011; Vayron *et al.*, 2014b) as a function of healing time. The sensitivity of the reflection coefficient of the BII to compressive stresses was also investigated (Hériveaux *et al.*, 2019b). Moreover, *in vitro* (Vayron *et al.*, 2018b) and *in vivo* (Vayron *et al.*, 2014c; Vayron *et al.*, 2018a) studies have proven the potentiality of QUS techniques to evaluate dental implant stability and have evidenced a better

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70 resolution compared to the resonance frequency analysis technique, which is currently employed in the clinics. Despite the interesting performances of QUS techniques to retrieve information on the BII properties, experimental approaches are limited when it comes to understand the determinant of the QUS response of the BII because of the various parameters such as surface roughness, bone quality and bone quantity that are impossible to control and that evolve in parallel.

75 Acoustical modeling is the only way to gain a further understanding of the interaction between ultrasonic waves and the BII. Therefore, numerical studies considering 2-D and 3-D finite element modeling (Vayron *et al.*, 2015; Vayron *et al.*, 2016; Dorogoy *et al.*, 2020; Kwak *et al.*, 2020) have been used to understand wave propagation in a dental implant but considered the BII as fully bonded, neglecting the effect of partial contact and of surface roughness. More recently, a finite element model was developed in the time domain to investigate the sensitivity of the  
80 ultrasonic response to surface roughness properties of the BII and to osseointegration processes (Hériveaux *et al.*, 2018; Hériveaux *et al.*, 2019a; Hériveaux *et al.*, 2020). The implant roughness was first modeled with an idealized sinusoidal profile (Hériveaux *et al.*, 2018) and actual implant roughness profiles (Hériveaux *et al.*, 2019a), and the 3D case was eventually considered (Hériveaux *et al.*, 2020). However, it still remains difficult to perform the inversion of the  
85 ultrasound signal in order to determine the biomechanical properties of the BII. Moreover, the computation cost of the time-domain finite element simulation is still high, thus preventing constructing a sufficiently large database to run data-driven approaches.

90 Data-driven methods are powerful approaches to solve the inverse problem and have significant advantages because they can be adapted to various conditions by using annotated large-scale dataset, which requires detailed understanding of the parametric sensitivity. Artificial neural networks with supervised learning have been used successfully in many engineering domains (Khan *et al.*, 2001; Silver *et al.*, 2016). In particular, the convolutional neural network (CNN) method showed an excellent performance in terms of image recognition and classification  
95 (Krizhevsky *et al.*, 2012; Simonyan and Zisserman, 2014). Moreover, various applications of the CNN method have been presented including structural health monitoring and source localization (Kwak, 2018; Toh and Park, 2020). However, collecting large-scale dataset in the implant research field is not straightforward unlike measuring visual data such as images. CNNs with artificial

100 imaging processes such as coloring, distorting and noising were presented to augmented training dataset numerically (Antoniou *et al.*, 2017; Perez and Wang, 2017; Salamon and Bello, 2017; Kwak *et al.*, 2021). Inspired by researches for the image recognitions, the spectrogram and space-time matrices were employed to convert input acoustic data into relevant two dimensional color figures (Costa *et al.*, 2017; Vesperini *et al.*, 2018).

105 The aim of this numerical study is to assess osseointegration phenomena by inverting ultrasonic signals using a 2-D convolutional neural network (CNN). Osseointegration phenomena are determined based on the estimation of the soft tissue thickness present at the BII that is obtained by analyzing the interaction of the ultrasonic waves with the BII. To do so, the forward model was modified compared to (Hériveaux *et al.*, 2018; Hériveaux *et al.*, 2019a; Hériveaux *et al.*, 2020) since it was derived in the frequency domain and then translated into the time domain, which has 110 the advantage of significantly reducing the computation cost, allowing to construct an annotated large dataset. The CNN based-assessment method was then applied to the aforementioned dataset to assess the osseointegration at the microscopic and macroscopic roughness scales. Our algorithm was verified by separating the validation dataset from the training dataset.

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## II. Material and methods

### A. Description of the problem

120 We consider a two-dimensional model which consists of three domains, corresponding to the implant (Titanium alloy, Ti-6Al-4V), cortical bone tissue and soft tissue (see **Fig. 1a**). The incident wave is assumed to be as a pulsed plane wave propagating from up-to-down vertical direction. Following the *in vitro* experiment setup described in (Mathieu *et al.*, 2012), the objective is to determine the radiofrequency signal corresponding to the wave reflected by the BII.

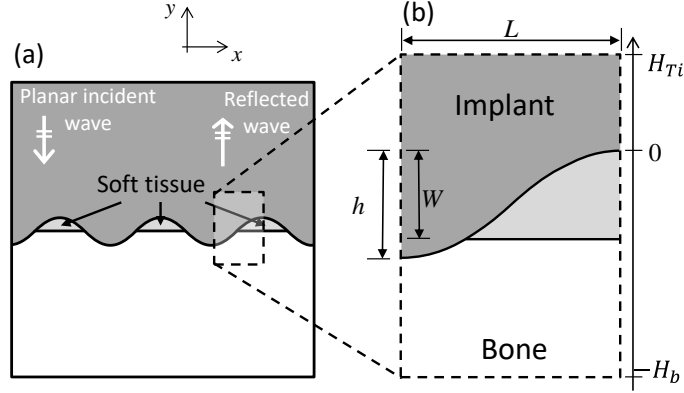
125 After the implant insertion, collagen-rich tissues form around the implant and are then mineralized, which corresponds to a transformation from soft tissue into a solid material during osseointegration phenomena (Moerman *et al.*, 2016). Based on this transformation, we assumed that the soft tissue thickness decreases when osseointegration phenomena progress, which is a similar model than that used in (Raffa *et al.*, 2019; Raffa *et al.*, 2020) to model osseointegration phenomena in the static regime. Moreover, we have shown experimentally that the ultrasound

130 reflection coefficient of the BII is very sensitive to the properties of bone tissue located around the BII (Mathieu et al., 2012; Hériveaux et al., 2019; Fraulob et al., 2020).

Due to the symmetry of the problem, the streamlined model described in Fig. 1 was introduced similarly as in our previous studies (Hériveaux *et al.*, 2018; Hériveaux *et al.*, 2019a; Hériveaux *et al.*, 2020). Only a single half-sine period of the interface was considered (**Figure 1b**),  
135 which is sufficient to simulate the propagation of the acoustic wave using symmetrical boundary conditions in the interfaces perpendicular to the direction  $x$ . The implant surface roughness was modeled by a sinusoidal function of amplitude  $h$  and half-period  $L$ .

The interface roughness was analyzed by considering two different scales: denoted  
140 microscopic and macroscopic roughness in what follows. The microscopic roughness corresponds to the situation obtained by sandblasting and acid etching (Strnad and Chirila, 2015) of the implant surface, while the implant geometries such as threading of dental implant and pedicle screws correspond to the macroscopic roughness. For the microscopic case, the reference values of the surface roughness amplitude  $h$  and half-period  $L$  are 5  $\mu\text{m}$  and 50  $\mu\text{m}$ , respectively (Hériveaux *et al.*, 2018). For the macroscopic case, the reference values of the surface roughness amplitude  $h$   
145 and half-period  $L$  are 360  $\mu\text{m}$  and 900  $\mu\text{m}$ , respectively (Hériveaux *et al.*, 2018).

Soft tissue was considered between the bone and the implant (see **Figure 1a**) in order to model non-mineralized fibrous tissue that may be present at the BII in the case of non-  
150 osseointegrated implants or just after surgery. The soft tissues thickness  $W$  was defined as the distance between the highest point of the implant profile and the bone layer (see **Figure 1b**). The progression of the osseointegration phenomena leads to a decrease of the soft tissue thickness  $W$ .



155 **FIG 1.** (a) Schematic illustration of the geometrical configuration of the BII considered in  
 160 the numerical simulation. (b) Description of the streamlined model.

The size of the implant and bone domains ( $H_b$  and  $H_{Ti}$ , respectively) were much larger than  
 the characteristic lengths of the interface roughness so that they may be considered as semi-infinite  
 160 domains. Lengths of the implant  $H_{Ti}$  and cortical bone  $H_b$  domains in vertical direction are 1 mm  
 in the macroscopic case and 50  $\mu\text{m}$  in the microscopic case, which corresponds to two times the  
 wavelength in the  $y$  direction. In this study, we assumed that all materials have linear isotropic  
 elastic behavior. The material properties of all media considered in the numerical simulation were  
 taken from (Njeh *et al.*, 1999; Pattijn *et al.*, 2006; Pattijn *et al.*, 2007; Haïat *et al.*, 2009) (**Table**  
 165 **I**).

Table I. Material properties used in the numerical simulations. Values taken from (Njeh *et al.*,  
 1999; Pattijn *et al.*, 2006; Pattijn *et al.*, 2007; Haïat *et al.*, 2009).

	$C_p$ ( $\text{m}\cdot\text{s}^{-1}$ )	$C_s$ ( $\text{m}\cdot\text{s}^{-1}$ )	$\rho$ ( $\text{kg}\cdot\text{m}^{-3}$ )
Soft tissue (liquid)	1500	10	1000
Titanium	5810	3115	4420
Cortical bone tissue	4000	1800	1850

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**B. Time-domain computation of the reflection coefficient of the bone-implant interface**

In this study, we are interested in the determination of the reflection coefficient of the BII  
 that is evaluated based on the amplitudes of the incident and reflected waves in the time-domain.

As it has been done experimentally, the average amplitude of the reflected waves was evaluated  
 175 over a horizontal surface located at a given distance from the BII.

To solve the aforementioned problem, the approach developed in our previous studies  
 (Hériveaux *et al.*, 2018; Hériveaux *et al.*, 2019a; Hériveaux *et al.*, 2020) consisted of i) considering  
 a finite element discretization used to derive the system of dynamic equations and ii) using direct  
 180 time integration schemes. The finite element simulation was performed by using the Comsol  
 Multiphysics software (COMSOL AB, Stockholm, Sweden) using the  $\alpha$ -generalized scheme.  
 However, such an approach involving a direct time-integration is computationally expensive,  
 hampering their implementation into deep learning-based analyses because of the significant  
 number of realizations required. To reduce computation time, another computational procedure is  
 185 proposed in what follows. The dynamic problem is firstly solved in the frequency domain, and the  
 solution of reflected wave is then reconstructed in the time domain using the inverse Fourier  
 transform.

### C. Resolution in the frequency domain

190 For each subdomain  $\#i$  ( $i = 1,2,3$  correspond to titanium implant, soft tissues and cortical  
 bone respectively) defined in the previous subsection, the dynamic equations in the frequency  
 domain read:

$$-\omega^2 \rho^{(i)} \mathbf{u}^{(i)} - \operatorname{div} \boldsymbol{\sigma}^{(i)} = \mathbf{0} \quad (1)$$

where  $\rho$  is the mass density,  $\mathbf{u}$  is the displacement vector and  $\boldsymbol{\sigma}$  is the stress tensor in the  
 195 subdomain  $\#i$ . The constitutive relation using Hooke's laws is given by:

$$\boldsymbol{\sigma}^{(i)} = \lambda^{(i)} \operatorname{tr}(\boldsymbol{\varepsilon}^{(i)}) \mathbf{I} + 2 \mu^{(i)} \boldsymbol{\varepsilon}^{(i)}, \quad (2)$$

where  $\boldsymbol{\varepsilon} = \frac{1}{2}(\operatorname{grad} \mathbf{u} + \operatorname{grad} \mathbf{u}^T)$  is the strain tensor in the subdomain  $\#i$ .

The continuity of displacement and stress fields lead to the following boundary conditions at  
 200 the interface between subdomain  $\#i$  and  $\#k$ :

$$\begin{cases} \mathbf{u}^{(i)} = \mathbf{u}^{(k)} \\ \boldsymbol{\sigma}^{(i)} \mathbf{n} = \boldsymbol{\sigma}^{(k)} \mathbf{n} \end{cases} \quad \text{at interface } (i - k) \quad (3)$$

where  $\{i, k\} = \{1,2\}, \{1,3\}$  or  $\{2,3\}$  and  $\mathbf{n}$  is the unitary vector normal to the interface.



Here, we considered an incident wave propagating in the  $y$ -direction, perpendicularly to the BII (see **Figure 1**). The displacement field  $\mathbf{u}^{(1)}$  in the subdomain #1 can be decomposed into two parts representing the incident and reflected wave fields as follows:

$$\mathbf{u}^{(1)} = \mathbf{u}^I + \mathbf{u}^R, \quad (4)$$

where  $\mathbf{u}^I = \{0, U^I e^{jk_p^{(1)}y}\}$  in which  $k_p^{(1)}$  and  $U^I$  are the wavenumber and the amplitude of the incident P-wave, respectively;  $k_p^{(1)} = \omega/c_p^{(1)}$ . As  $\mathbf{u}^I$  is supposed to be known, we substituted Eq. (4) into Eqs. (1-2) to formulate a system of equations with respect to  $\mathbf{u}^R$  as:

$$-\omega^2 \rho^{(1)} \mathbf{u}^R - \text{div } \boldsymbol{\sigma}^R = \mathbf{0}, \quad (5)$$

$$\boldsymbol{\sigma}^R = \lambda^{(1)} \text{tr}(\boldsymbol{\varepsilon}^R) \mathbf{I} + 2 \mu^{(1)} \boldsymbol{\varepsilon}^R \quad (6)$$

In Eqs. (5-6), all the terms related to  $\mathbf{u}^I$  did not appear because  $\mathbf{u}^I$  is also a solution of the dynamic equation (1). However,  $\mathbf{u}^I$  cannot be neglected in the continuity conditions which are now expressed by:

$$\begin{aligned} \mathbf{u}^R + \mathbf{u}^I &= \mathbf{u}^{(k)} \\ (\boldsymbol{\sigma}^R + \boldsymbol{\sigma}^I) \mathbf{n} &= \boldsymbol{\sigma}^{(k)} \mathbf{n} \end{aligned} \quad \text{at interfaces } (1-k) \text{ with } k = \{2,3\}$$

where:

$$\boldsymbol{\sigma}^I = \begin{bmatrix} 2jk_p^{(1)} \mu^{(1)} U^I e^{jk_p^{(1)}y} & 0 \\ 0 & jk_p^{(1)} (\lambda^{(1)} + \mu^{(1)}) U^I e^{jk_p^{(1)}y} \end{bmatrix} \quad (7)$$

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The radiation conditions at the infinity read

$$\mathbf{u}^R \rightarrow \mathbf{0} \text{ when } y \rightarrow +\infty, \quad (8)$$

$$\mathbf{u}^{(3)} \rightarrow \mathbf{0} \text{ when } y \rightarrow -\infty, \quad (9)$$

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The system of equations ((**Eqs. (5-9)**)) was implemented using the finite element software COMSOL Multiphysics (Stockholm, Sweden). As the domain's size should be finite, to prevent the non-physical reflected waves from the upper and lower boundaries (**Eqs. 8&9**), two absorbing layers are introduced at  $y = H_{Ti}$  and at  $y = -H_b$ . By introducing absorbing layers, the radiation conditions can be represented artificially, resulting in smoothly attenuated radiative waves. With

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the presence of absorbing layers, at the upper boundary of the implant domain ( $\Omega^{(1)}$ ) and at the lower boundary of bone domain ( $\Omega^{(3)}$ ), fixed boundaries are imposed:

$$\mathbf{u}^R = \mathbf{0} \quad \text{at } y = H_{Ti}, \quad (10)$$

$$\mathbf{u}^{(3)} = \mathbf{0} \quad \text{at } y = -H_b, \quad (11)$$

235 In addition, the symmetry conditions also impose that  $u_x = 0$  at the lateral boundaries  $x = 0$  and  $x = L$ .

In each subdomain, the element size was chosen to be equal to  $\lambda_{min}/20$ , where  $\lambda_{min}$  denotes the shortest wavelength in the considered domain (i.e. the wavelength of shear wave). The implant and bone subdomains were meshed by structured quadrangular quadratic elements, and  
 240 the soft tissue subdomain was meshed with triangular quadratic elements. Solving the system (**Eqs. 5-9**) leads to the solutions of  $\mathbf{u}^R$ ,  $\mathbf{u}^{(2)}$ ,  $\mathbf{u}^{(3)}$  as a function of  $\mathbf{u}^I$  and  $\omega$ . The evaluation of the reflection coefficient requires calculating the average displacement over a horizontal section of the implant domain which is located at an ordinate  $y_m$  from the bone-implant interface. In the frequency domain, this average displacement is given by:

$$245 \quad \Phi^R(\omega) = \frac{1}{L} \int_0^L U_2^R(x, y_m, \omega) dx = H(\omega) U^I(\omega) \quad (12)$$

where  $H(\omega)$  denotes the transfer function and  $U^I$  is the amplitude of the incident wave.

#### D. Determination of the solution in the time-domain

The incident plane wave was given by a uniform vertical stress  $\sigma_{22}^I$  which has a broadband  
 250 ultrasonic pulse form defined by:

$$\sigma_{22}^I(t) = A e^{-4(f_c t - 1)^2} \sin(2\pi f_c t), \quad (13)$$

where  $A$  indicates an arbitrary constant and  $f_c = 10$  MHz indicates its central frequency chosen based on the QUS device developed by our group to assess dental implant stability (Mathieu *et al.*, 2011; Vayron *et al.*, 2013; Vayron *et al.*, 2014a; Vayron *et al.*, 2014c; Vayron *et al.*, 2018a; Vayron  
 255 *et al.*, 2018b). The frequency response corresponding to the average displacement  $\Phi^R(\omega)$  of the reflected wave at the surface located at  $y_m$  can be expressed as:

$$\Phi^R(\omega) = H(\omega) S(\omega) / (j\omega \rho^{(1)} C_p^{(1)}) \quad (14)$$

where  $C_p^{(1)}$  denotes the compressional velocity in the subdomain #1 and  $S(\omega)$  denotes the Fourier transform of  $\sigma_{22}^I(t)$  given by  $S(\omega) = \int \sigma_{22}^I(t) \cdot e^{-j\omega t} dt$ .

Zero-padding was performed in the frequency domain to obtain a high-resolution time response as follow:

$$\Phi^*(n\Delta\omega) = \begin{cases} \Phi^R(n\Delta\omega) & 0 < n < N - 1 \\ 0 & N < n < N^* - N + 1 \\ \overline{\Phi}^R((N^* - n)\Delta\omega) & N^* - N + 2 < n < N^* - 1 \end{cases}, \quad (15)$$

where  $\Delta\omega$  is the frequency step of the simulation,  $N$  is the number of discrete data obtained by the simulation,  $N^*$  is the extended number of data by the zero-padding. The time-domain response with higher resolution  $s(t)$  can be calculated by the inverse Fourier transform of  $\Phi^*$ . Notably, the frequency bandwidth analyzed herein was limited to 30 MHz and the frequency step of the numerical model was set to 0.5 MHz ( $N = 60$ ), resulting in 2  $\mu$ s for the time duration and 33.3 ns for the time step. Considering that a tight time step is necessary for a satisfactory numerical analysis for the BII (Hériveaux *et al.*, 2018), the extended number  $N^*$  was set to 840 to increase time resolution of the response by 14 times, resulting in 28  $\mu$ s for the time duration and 2.4 ns of the adjusted time step. Accordingly, we obtained the high-resolution time response efficiently from only 60 simulated data in the frequency domain, while the simulation based on the direct time-integration would be computationally more expensive.

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The reflection coefficient  $R$  was determined based on the ratio of the amplitudes of the reflected and incident waves in the time domain. The first signal corresponds to the uniform incident vertical displacement, noted  $s_i(t)$ . The second signal corresponds to  $s_r(t)$ . The maximum amplitude of the modulus of the Hilbert's transform (corresponding to the envelope) of  $s_i(t)$  and  $s_r(t)$  were noted  $A_i$  and  $A_r$ , respectively. The reflection coefficient  $R$  in amplitude then writes:

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$$R = \frac{A_r}{A_i} \quad (16)$$

### E. Composition of the training dataset

To synthesize the training dataset, the ultrasound simulations were performed with the range of variation of the BII roughness parameters, considering the results obtained from our previous studies (Hériveaux *et al.*, 2018; Hériveaux *et al.*, 2019a; Hériveaux *et al.*, 2020). In the case of the microscopic roughness, the training data set was constructed with various values of  $h$  comprised between 0 and 10  $\mu$ m with a step of 1  $\mu$ m and of  $W$  comprised between 0 and 100  $\mu$ m

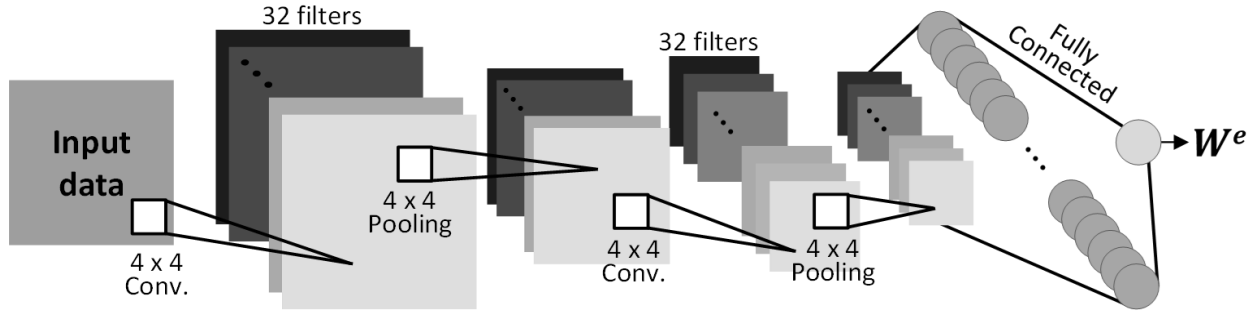
with a step of  $0.5 \mu\text{m}$ . In the case of the macroscopic roughness, the training data set was  
290 constructed with various values of  $h$  comprised between  $310$  and  $410 \mu\text{m}$  with a step of  $10 \mu\text{m}$  and  
of  $W$  comprised between  $0$  and  $1.5h$  with a step of  $0.01h$ . To employ separate training and  
validation dataset, all reference cases for both roughness scales ( $h = 5 \mu\text{m}$  for the microscopic scale  
and  $h = 360 \mu\text{m}$  for the macroscopic scale) were excluded from the training dataset. The excluded  
dataset was then employed only to validate our method, resulting in a ratio of the validation data  
295 to the training data equal to  $0.1$ .

The ultrasound radiofrequency signals obtained using the numerical model for each set of  
parameters  $(h, W)$  corresponding to a given roughness and state of osseointegration were  
transformed into time-frequency spectrograms using short-time Fourier transform (STFT). In this  
300 study, the spectrograms were used as the input data of the 2-D CNN. We employed the rectangular  
window function for calculation of the spectrograms, which are not post-processed to reflect the  
magnitude of the radio frequency signals as they are. Considering the resolution of the spectrogram  
in time and frequency domains, we chose the window size and overlap ratio to be  $2^7$  and  $7/8$ ,  
respectively, resulting in  $0.04 \mu\text{s}$  time step and  $3.125 \text{ MHz}$  frequency step. Moreover, since the  
305 emitted signal is a pulse wave with a center frequency of  $10 \text{ MHz}$  (see **Figure 3**), the spectrogram  
above  $1 \mu\text{s}$  and  $37.5 \text{ MHz}$  in time and frequency domain respectively were excluded from the  
analysis.

#### F. Layer configuration of the 2-D convolution neural network

310 The layer structure of the 2-D CNN employed herein is illustrated in **Figure 2**. The structure  
consists of two convolution layers. We used the Adam optimization algorithm for training our  
network because it requires little hyperparameter tuning effort for achieving adequate results  
(Kingma and Ba, 2014). A mini-batch size was  $120$ , and  $100$  epochs were conducted.  $32$   
convolution filters were used in the first and the second convolution layer. The size of the  
convolution kernel was  $4$  by  $4$  with strides of two. To prevent change of the size of images, zeros  
315 were added at edges(Chetlur *et al.*, 2014). The batch normalization was performed with each  
convolution layer(Ioffe and Szegedy, 2015). The rectified linear unit (ReLU) was used as the  
activation function(Nair and Hinton, 2010). The max pooling layer was performed with a  $4$  by  $4$   
matrix and strides of two. After the second max pooling layer,  $10 \%$  of neurons were dropped out

320 to prevent over-fitting(Hinton *et al.*, 2012). The flatten-layer was used before the first fully connected layer. The layer structure ends with two fully connected layers, which have 32 neurons and 1 neuron, to obtain the estimated soft tissue thickness  $W^e$ .



325 **FIG 2.** Layer structure of the proposed CNN to assess the soft tissue thickness  $W$ .  $W^e$  corresponds to the estimated soft tissue thickness.

### G. Examination of the proposed assessment network

330 The convolution network learns salient features to classify the input data during the training process. Each pixel of input images into the network is transformed based on the weights of neurons in the network layers determined by training of the large-annotated dataset. As implied by the “hidden” layer on neural networks, it may be difficult to know what features are trained exactly or how well the network will work. The feature map for a specific input can provide visualized information to understand what features in the input play a key role through the network (Yosinski *et al.*, 2015). In other words, we can examine the reliability of networks from the visualized information. To examine the training process with the dataset built by the BII forward model, the feature map of each convolution layer was analyzed for the reference roughness cases of both scales and compared between different soft tissue thickness cases.

340 The bias of the estimation and the 95% limits of agreement (LOA), which has been widely used for evaluating regression results (Park *et al.*, 2020), were computed to examine the estimated soft tissue thickness by the proposed assessment network. The bias  $\bar{m}$  corresponds to the averaged value of the differences between the estimated and actual soft tissue thicknesses. The 95% LOA is defined as:

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$$\text{LOA} = \bar{m} \pm 1.96 \sqrt{\sum_{i=1}^n (d_i - \bar{m})^2 / (n - 1)}$$

where  $d$  is the difference between the estimated and actual soft tissue thicknesses, and  $n$  is the total number of validation dataset. The linear correlation  $R$  between two thicknesses are evaluated such that:

$$R = E[(W_a - E(W_a))(W_e - E(W_e))] / \sqrt{E[(W_a - E(W_a))^2] \cdot E[(W_e - E(W_e))^2]}$$

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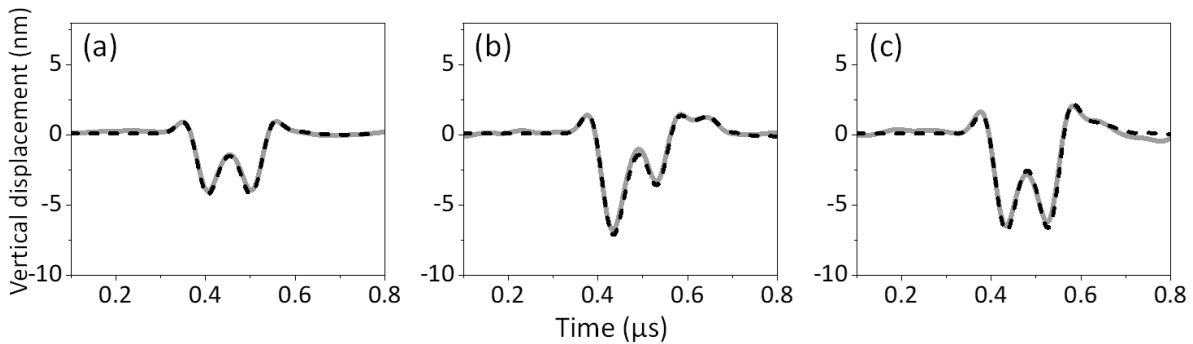
where  $E(\dots)$  indicates an averaged value,  $W_a$  and  $W_e$  are the actual and estimated values of the soft tissue thickness, respectively.

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### III. Results

#### A. Validation of the forward model

**Figure 3** shows the comparison between the radiofrequency signals corresponding to the ultrasound responses of the bone-implant interface for  $h = 360 \mu\text{m}$  and  $L = 900 \mu\text{m}$  (macroscopic case) and obtained using the proposed model (in the frequency domain) and using the method described in (Hériveaux *et al.*, 2018) (in the time domain). A good agreement is obtained between the two models, which constitutes a validation of the forward model.

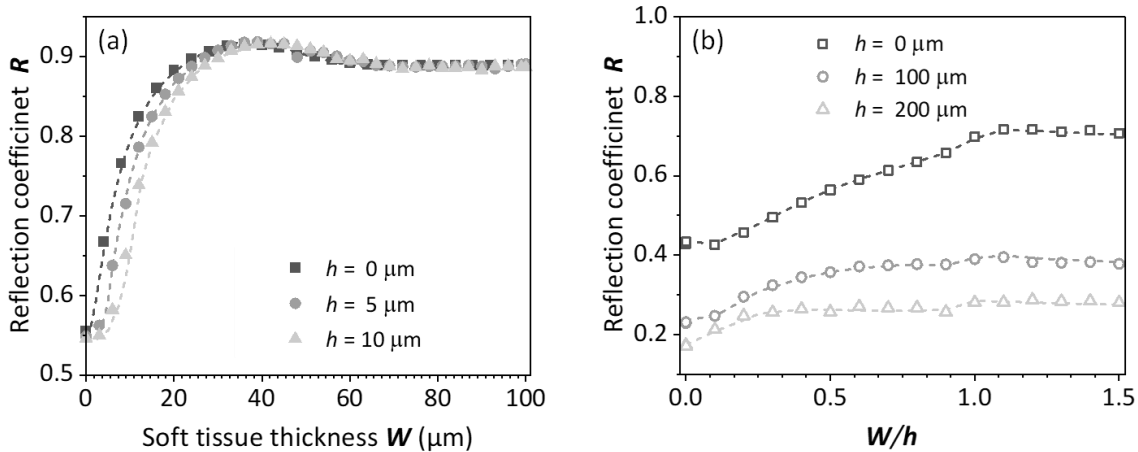


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**FIG. 3.** Radiofrequency signals corresponding to the ultrasound responses of the bone-implant interface for  $h = 360 \mu\text{m}$ ,  $L = 900 \mu\text{m}$  (macroscopic case) and  $W/h =$  (a) 0, (b) 0.5, (c) 1. The solid

grey lines indicate the results obtained using the proposed model (in the frequency domain) and the dashed black lines indicate the results obtained in (Hériveaux *et al.*, 2018) (in the time domain).

370 **Figure 4** shows the variation of the reflection coefficient  $R$  for different values of the roughness amplitude  $h$  as function of the soft tissue thickness  $W$  in the microscopic case ( $L = 50 \mu\text{m}$ ) and as a function of  $W/h$  in the macroscopic case ( $L = 900 \mu\text{m}$ ). The dashed lines correspond to the results obtained in (Hériveaux *et al.*, 2018) (in the time domain) and the symbols indicate the results obtained using the proposed model in the frequency domain. As shown in **Figure 4**, a  
 375 good agreement is obtained between the two models. The average relative error is equal to 1.8 % in the microscopic case and to 1.4 % in the macroscopic case, which may be explained by numerical errors. Again, **Figures 3&4** clearly support the validity of the numerical model developed herein.

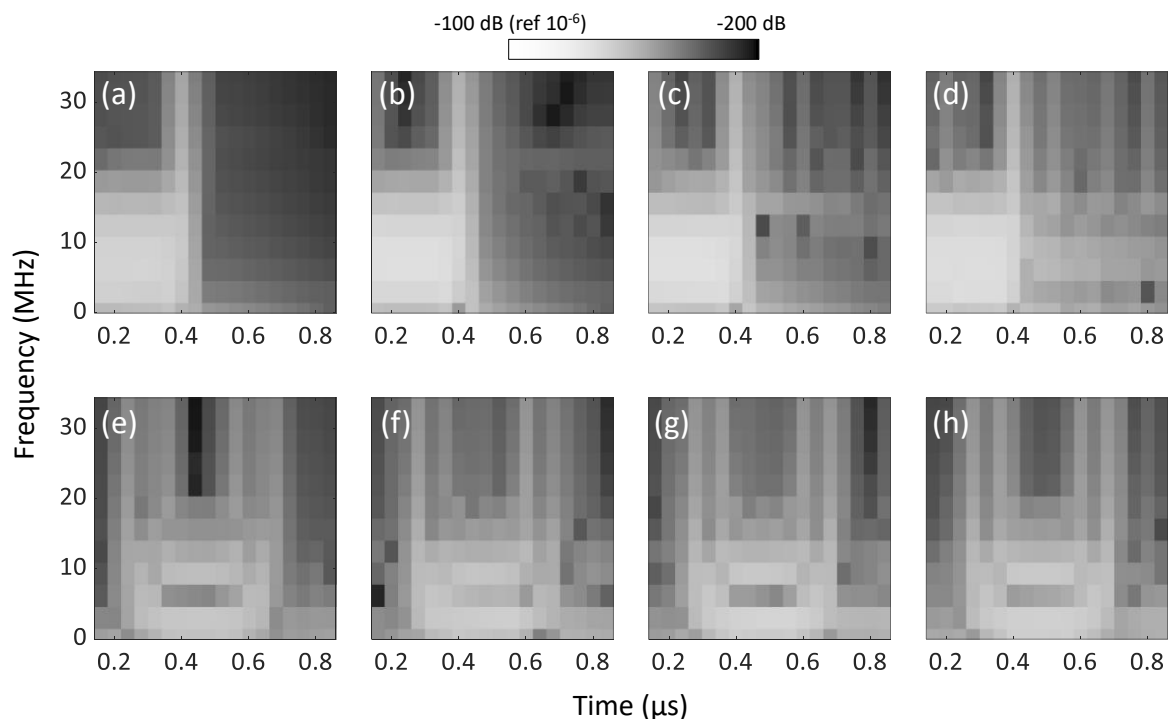


380 **FIG. 4.** Variation of the reflection coefficient  $R$  for different values of the roughness amplitude  $h$  as function of (a) the soft tissue thickness  $W$  in the microscopic case ( $L = 50 \mu\text{m}$ ) and (b) the ratio of the soft tissue thickness  $W$  and of the roughness amplitude  $h$  in the macroscopic case ( $L = 900 \mu\text{m}$ ). The symbols indicate the result obtained by the proposed model in the frequency domain for the different values of  $h$ , and the dashed lines indicate the corresponding result obtained using the  
 385 time-domain model in (Hériveaux *et al.*, 2018).

## B. Spectrogram of the rf signals

390 **Figure 5** shows the spectrograms of the signal corresponding to the reflection of the ultrasonic wave on the BII when the soft tissue thickness varies in the microscopic case (**Figures 5a-d**) and in the macroscopic case (**Figures 5e-h**). Each spectrogram exhibits a different pattern according to the value of the soft tissue thickness, which demonstrates that the spectrograms are sensitive to  $W$ . The sensitivity of the spectrogram pattern indicates that CNN is likely to learn features in the time and frequency domains simultaneously from the ultrasound reflections.

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**FIG. 5.** Spectrograms of the ultrasonic signals as a function of the soft tissue thickness. (a)-(d) For the microscopic case,  $h = 5 \mu\text{m}$ ,  $L = 50 \mu\text{m}$  and (a):  $W = 0 \mu\text{m}$ , (b):  $25 \mu\text{m}$ , (c):  $50 \mu\text{m}$ , (d):  $100 \mu\text{m}$ . (e)-(h) For the macroscopic case,  $h = 360 \mu\text{m}$ ,  $L = 900 \mu\text{m}$  and (e):  $W/h = 0$ , (f):  $0.5$ , (g):  $1.0$ , (h):  $1.5$ .

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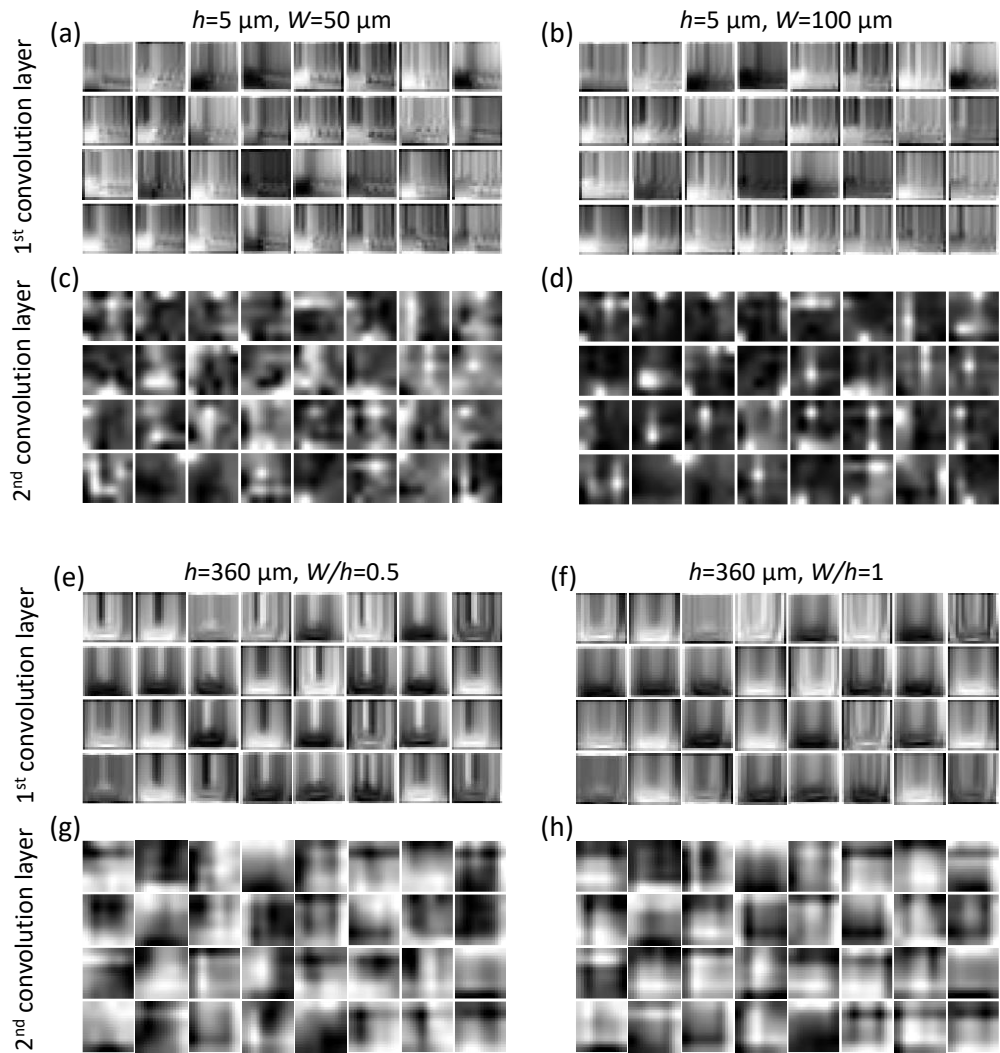
## C. Feature map of the proposed network

We looked over the feature map of the convolutional layer for the reference cases of the microscopic and macroscopic roughness cases, which was excluded from the training dataset. For the microscopic roughness, the two representative cases corresponding to  $W = 50, 100 \mu\text{m}$  were

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employed as the input data to derive the corresponding feature maps. Since the two convolutional layers have 32 filters, **Figures 6a-h** show the 32 output channels for each convolutional layer and each configuration arranged sequentially from left to right. The first convolutional layers corresponding to the microscopic case and to a change of the osseointegration phenomena ( $W=50$  and  $100 \mu\text{m}$ , **Figures 6a&b**) look similar, suggesting that the first layer is insufficient to classify the target in a satisfactory manner. However, based on the analysis of the second convolutional layers corresponding to the microscopic case (**Figures 6c&d**), although the outputs became more abstract, the feature maps between the two representative cases can be clearly distinguished, indicating that the proposed network successfully capture the inherent features from the BII reflections to classify osseointegration phenomena.



**FIG. 6.** Representative feature maps of the convolution layers for the reference BII roughness cases, separated from the training dataset. (a)-(d) For  $h = 5 \mu\text{m}$ ,  $L = 50 \mu\text{m}$  for the microscopic roughness, the results from the first and the second convolution layers for the case of  $W = 50 \mu\text{m}$  ((a)&(c), respectively) and  $100 \mu\text{m}$  ((b)&(d), respectively). (e)-(h) For  $h = 360 \mu\text{m}$ ,  $L = 900 \mu\text{m}$  for the macroscopic roughness, the results from the first and the second convolution layers for the case of  $W/h = 0.5$  ((e)&(g), respectively) and  $1.0$  ((f)&(h), respectively).

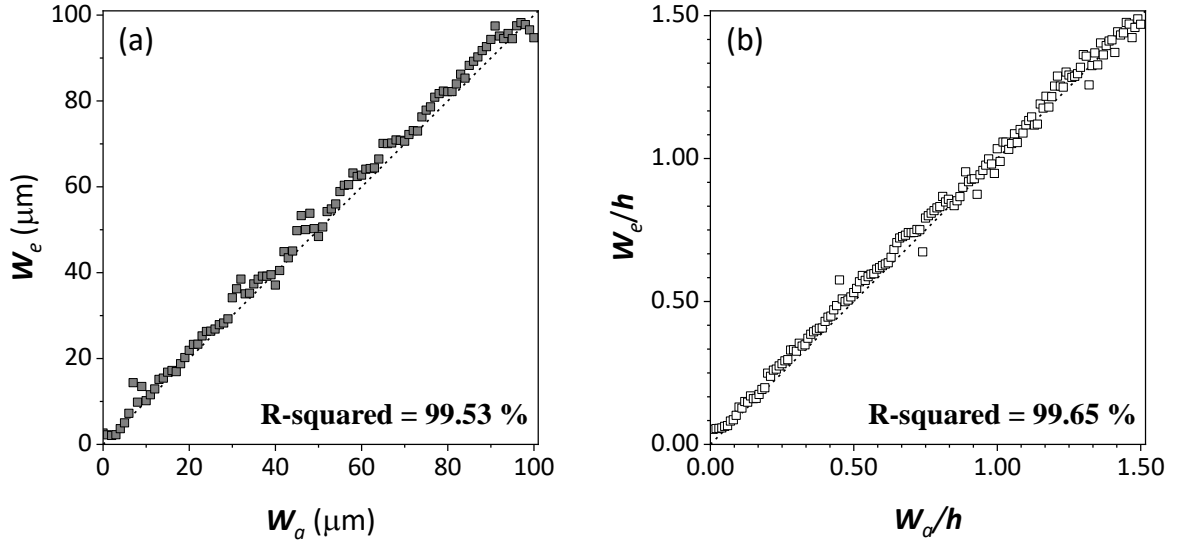
Likewise, two representative cases of the macroscopic roughness ( $W/h = 0.5$  and  $1.0$ ) were considered. As expected, the feature maps from the first convolutional layer provide relatively similar results to the inputs (**Figures 6e&f**), whereas clear differences are found between the two maps from the second convolutional layer (**Figures 6g&h**). The representative feature maps clearly demonstrate the reliability of our network for the microscopic and macroscopic roughness, trained with the signals from the proposed forward model.

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#### **D. Assessment of osseointegration phenomena status**

**Figure 7a** (respectively **7b**) shows the comparison between the actual value and the estimation of the soft tissue thickness for the microscopic (respectively macroscopic) roughness case. The linear correlation between actual and estimated values of the soft tissue thickness shows excellent  $R^2$  values equal to 99.53% for the microscopic roughness and 99.65% for the macroscopic roughness. For the case of the microscopic roughness, the bias computed with the estimated values was equal to  $0.88 \mu\text{m}$ , and the LOA corresponded to  $[-2.56, 4.32 \mu\text{m}]$ . For the case of the macroscopic roughness, the bias computed with the estimated values was equal to  $7.30 \mu\text{m}$ , and the LOA corresponded to  $[-15.75, 30.35 \mu\text{m}]$ . These results show the reliability of the proposed assessment of osseointegration phenomena.

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**FIG. 7.** Comparison between the actual and estimated values of the soft tissue thickness using the proposed convolutional neural network applied to the ultrasound reflections on the BII for (a)  $h = 5 \mu\text{m}$ ,  $L = 50 \mu\text{m}$  (the microscopic roughness), (b) and  $h = 360 \mu\text{m}$ ,  $L = 900 \mu\text{m}$  (the macroscopic roughness).

#### IV. Discussion

A large-scale annotated dataset with diverse conditions should be considered to employ deep learning methods. However, accumulating a large-scale dataset in biomechanical engineering may be difficult because i) of the difficulty to obtain an important number of samples due to ethical requirements and ii) it is impossible to control all parameters affecting the ultrasound response of the BII since they vary simultaneously (Fraulob *et al.*, 2020) as a function of healing time. Here, we present a method based on the deep convolutional neural network to assess osseointegration phenomena. The method is trained with a synthesized dataset corresponding to numerical results. The originality of the proposed approach lies on hybridizing CNN and numerical simulation in order to assess osseointegration phenomena. Our method relies on the estimation of soft tissue thickness in the microscopic and macroscopic roughness cases based on the analysis of the signal corresponding to the reflection of an ultrasonic wave on the BII. To do so, a numerical model of the interaction between an ultrasonic wave and the BII has been validated by comparison with a model developed previously in the time domain (Hériveaux *et al.*, 2018; Hériveaux *et al.*, 2019a; Hériveaux *et al.*, 2020). The advantage of the present numerical model is that i) only 60 simulated

data in the frequency domain are needed to obtain the signal in the time domain and ii) a single transfer function of the BII can be used to obtain simulated reflections for different acoustical sources by a simple convolution calculation instead of simulations for all cases. The computation time necessary to simulate the ultrasonic response of the BII in the microscopic (respectively  
465 macroscopic) case using the model developed herein is equal to 40 sec (respectively 3 min), which allows us to form the large-annotated training dataset with affordable time cost, whereas 12 min (respectively 52 min) were needed with our previous model (Hériveaux *et al.*, 2018) in the time domain.

470 Although the training dataset employed for the proposed data-driven approach is relatively small (3600 computations), the reliability of the method was examined by employing an independent validation dataset. The estimations (**Figure 7**) were implemented with the dataset of the reference surface roughness cases, which was excluded from the training process. Moreover, the results obtained for both scales exhibit excellent  $R^2$  values (99.53 and 99.65%) and narrow  
475 LOAs ([-2.56, 4.32  $\mu\text{m}$ ] and [-15.75, 30.35  $\mu\text{m}$ ]) supports the reliability of the proposed CNN method for assessing the effects of osseointegration phenomena. More importantly, the numerical approach under consideration can be used as a complementary tool to compose the data-driven approach for implant clinical studies, which remains difficult to access using experimental approaches only.

480 Experimental approaches have already shown that the reflection coefficient of the BII is sensitive to healing time (Mathieu *et al.*, 2012), to the implant surface roughness (Fraulob *et al.*, 2020) as well as to the mechanical loading applied to the BII (Hériveaux *et al.*, 2019b). Based on the aforementioned experimental results, an experimental set-up was developed to assess dental  
485 implant primary and secondary stability (Vayron *et al.*, 2014a; Vayron *et al.*, 2014c; Vayron *et al.*, 2018a; Vayron *et al.*, 2018b). However, although ultrasound techniques show great potentiality to evaluate dental implant stability, it remains difficult to control the parameters varying simultaneously during osseointegration phenomena, hampering its practical applications. Furthermore, no inversion approach had been carried out and the present study shows the  
490 feasibility of applying a model-based inversion technique by using CNN to retrieve quantitative information on the BII. Such an approach should be applied to experimental data in the future.

Bone (and newly formed bone) tissues are complex materials which may be modeled as heterogeneous, anisotropic viscoelastic and poroelastic media. In the present work, which is the first tentative use of CNNs method in the context of BII characterization using ultrasound, we chose to use the simplest model to describe the bone mechanical behavior because we aim at focusing on the BIC ratio, which is the main parameter of interest. A more detailed description of bone behavior (and in particular taking into account bone anisotropy) would require a much larger set of parameters in the model, and consequently, will increase significantly computational cost. Moreover, it is expected that bone anisotropy has a relatively weak effect of the ultrasound response of the BII because ultrasound at 10 MHz is highly attenuated in bone (Sasso *et al.*, 2007; 2008) and should not propagate over a large distance.

This study has several limitations. First, a 2-D sinusoidal function is used to describe the implant surface roughness, similarly to what was done in (Hériveaux *et al.*, 2018), which constitutes an important approximation allowing to reduce the number of parameters affecting the ultrasonic response of the BII. However, this sinusoidal description may be considered as realistic in the macroscopic case because it models implant threading present in dental implants specifically. For the microscopic case, it has been shown that an actual implant surface profile may be replaced by a sinusoidal profile without modifying significantly the dependence of its ultrasonic response as a function of the soft tissue thickness (Hériveaux *et al.*, 2019a). Although considering 3-D surface function would be more relevant to describe the ultrasound response of the BII, the computational cost required to perform the direct simulations using the 3-D model is significantly higher than for 2-D simulation. Moreover, we have shown that the 2-D approximation may also be considered as realistic compared to the 3-D case (Hériveaux *et al.*, 2020). The two aforementioned studies justify the use of a 2-D sinusoidal description of the implant surface roughness. Second, only normal incidence of the ultrasonic wave is reported, because it corresponds to the experimental situation of interest (Mathieu *et al.*, 2012; Fraulob *et al.*, 2020) and future studies should also consider the normal incidence. Third, this study only considered a center frequency of 10 MHz for the incident wave because it corresponds to the frequency used in the QUS device developed by our group (Vayron *et al.*, 2018a). Fourth, we only considered the

first reflection of the ultrasound waves on the BII. Analyzing the entire reflection signals (i.e. the coda) would require heavy computation cost and this aspect is left to future studies.

## 525 **V. Conclusion**

A simulation-based convolutional neural network method was derived in order to assess osseointegration phenomena at the BII. The large-annotated dataset was formed by taking advantage of an efficient ultrasound simulation of the BII. The proposed numerical model in the frequency domain allowed employing numerous simulations efficiently and was validated by a  
530 comparison with another model developed in the time domain. The synthesized ultrasound responses were converted into spectrograms and trained to the proposed network structure. The feature maps clearly demonstrated evident differences according to the soft tissue thickness, supporting the reliability of the training process on the proposed network. The reported assessment network for the osseointegration phenomena supports the feasibility of ultrasound analysis to be  
535 transformed to noninvasive applications for the bone-implant domain. Moreover, the present approach opens new paths in the development of model-based inversion methods to retrieve the properties of the BII.

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## **Competing interests**

All of the authors declare no competing interests.

## 550 **Author contributions**

Y.K. developed the analytical model and conducted the analyses. Y.H. and P.B. worked on the finite element analysis. J.P. helped to analyze the neural network. V.H.N. and G.H. supervised

the overall research. Y.K., V.H.N and G.H. mainly wrote the manuscript. All of the authors discussed the results and the manuscript.

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725

## Table

730

Table I. Material properties used in the numerical simulations. Values taken from (Njeh *et al.*, 1999; Pattijn *et al.*, 2006; Pattijn *et al.*, 2007; Haiat *et al.*, 2009).

	$C_p$ (m·s <sup>-1</sup> )	$C_s$ (m·s <sup>-1</sup> )	$\rho$ (kg·m <sup>-3</sup> )
Soft tissue (liquid)	1500	10	1000
Titanium	5810	3115	4420
Cortical bone tissue	4000	1800	1850

735

## Figure Legends

740 **FIG 1.** (a) Schematic illustration of the geometrical configuration of the BII considered in the numerical simulation. (b) Description of the streamlined model.

745 **FIG 2.** Layer structure of the proposed CNN to assess the soft tissue thickness  $W$ .  $W^e$  corresponds to the estimated soft tissue thickness.

750 **FIG. 3.** Radiofrequency signals corresponding to the ultrasound responses of the bone-implant interface for  $h = 360 \mu\text{m}$ ,  $L = 900 \mu\text{m}$  (macroscopic case) and  $W/h =$  (a) 0, (b) 0.5, (c) 1. The solid grey lines indicate the results obtained using the proposed model (in the frequency domain) and the dashed black lines indicate the results obtained in (Hériveaux *et al.*, 2018) (in the time domain).

755 **FIG. 4.** Variation of the reflection coefficient  $R$  for different values of the roughness amplitude  $h$  as function of (a) the soft tissue thickness  $W$  in the microscopic case ( $L = 50 \mu\text{m}$ ) and (b) the ratio of the soft tissue thickness  $W$  and of the roughness amplitude  $h$  in the macroscopic case ( $L = 900 \mu\text{m}$ ). The symbols indicate the result obtained by the proposed model in the frequency domain for the different values of  $h$ , and the dashed lines indicate the corresponding result obtained using the time-domain model in (Hériveaux *et al.*, 2018).

760 **FIG. 5.** Spectrograms of the ultrasonic signals as a function of the soft tissue thickness. (a)-(d) For  
the microscopic case,  $h = 5 \mu\text{m}$ ,  $L = 50 \mu\text{m}$  and (a):  $W = 0 \mu\text{m}$ , (b):  $25 \mu\text{m}$ , (c):  $50 \mu\text{m}$ , (d):  $100$   
765  $\mu\text{m}$ . (e)-(h) For the macroscopic case,  $h = 360 \mu\text{m}$ ,  $L = 900 \mu\text{m}$  and (e):  $W/h = 0$ , (f):  $0.5$ , (g):  $1.0$ ,  
(h):  $1.5$ .

**FIG. 6.** Representative feature maps of the convolution layers for the reference BII roughness  
770 cases, separated from the training dataset. (a)-(d) For  $h = 5 \mu\text{m}$ ,  $L = 50 \mu\text{m}$  for the microscopic  
roughness, the results from the first and the second convolution layers for the case of  $W = 50 \mu\text{m}$   
((a)&(c), respectively) and  $100 \mu\text{m}$  ((b)&(d), respectively). (e)-(h) For  $h = 360 \mu\text{m}$ ,  $L = 900 \mu\text{m}$   
for the macroscopic roughness, the results from the first and the second convolution layers for the  
case of  $W/h = 0.5$  ((e)&(g), respectively) and  $1.0$  ((f)&(h), respectively).

770

**FIG. 7.** Comparison between the actual and estimated values of the soft tissue thickness using the  
proposed convolutional neural network applied to the ultrasound reflections on the BII for (a)  $h =$   
775  $5 \mu\text{m}$ ,  $L = 50 \mu\text{m}$  (the microscopic roughness), (b) and  $h = 360 \mu\text{m}$ ,  $L = 900 \mu\text{m}$  (the macroscopic  
roughness).