

On the gestural origins of language: What baboons' gestures and brain have told us after 15 years of research Adrien Meguerditchian

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1	ARTICLE FOR THE SPECIAL ISSUE ON PRIMATE COMMUNICATION
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12	Abstract:
12	Nonhuman primates mostly communicate not only with a rich vocal repertoire but also
15 14	with manual and body gestures. In contrast to great apes, this latter communicative gestural
14 15	system has been poorly investigated in monkeys. In the last 15 years, the gestural research we
15 16	conducted in the baboons <i>Papio anubis</i> , an Old World monkey species, have shown potential
10	direct evolutionary continuities with some key properties of language such as intentionality,
18	referentiality, learning flexibility as well as its underlying lateralization and hemispheric
18 19	specialization of the brain. According to these collective findings, which are congruent with
20	the ones reported in great apes, it is thus not excluded that features of gestural communication
20	shared between humans, great apes and baboons, may have played a critical role in the
22	phylogenetic roots of language and dated back, not to the Hominidae evolution, but rather to
23	their much older Catarrhine common ancestor 25-40 million years ago.
24	then much older Catarinine common alleestor 25-40 minion years ago.
25	KEY WORDS: gestural communication, hemispheric specialization, handedness, primates,
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29	adrien.meguerditchian@univ-amu.fr).
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31	Running head: Gestural communication & brain in monkeys
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35	INTRODUCTION
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38	Our research line on gestural communication, just started in the summer 2004 thanks
39	to a baboon display. I observed a social group of baboons Papio anubis at the CNRS
40	primatology station in South-East of France while I was under the supervision of Pr. Jacques
41	Vauclair (Meguerditchian & Vauclair, 2006). A young male walked towards me, then stopped
42	dead. He fixed his gaze on mine and began to repeatedly slam his right hand against the
43	ground (Figure 1). I remained impassive, but he started again, then changed his strategy: he
44	opened his mouth, raised his eyebrows when widening his eyes, hit the ground again several
45	times with one hand, snaped his teeth, shook his head, suddenly stood up, then fell back on his
46	feet, rubbed forward its both hands on the ground. I took a step forward and here he was
47	running away, before coming back and repeating his display. In fact, as described initially in
48	baboons by Kummer (1968), the young baboon sought to intimidate me with this silent parade
49	of gestures, between play and threat. It turned out that these behaviors seem to meet all the
50	criteria of what is called "intentional" communication (Leavens 2004). In fact, in absence of

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the expected response from me, the young baboon seemed to keep trying to reach its 51 communication goal by repeating his hand slapping gesture and even by changing strategy 52 and reformulating with other body gestures, much like a teacher varies his explanations to 53 make himself understood from the audience. In other words, this gestural "persistence", which 54 is a typical property of intentional communication described in the development of language 55 56 in infants (Bates 1976), might reflect the ability of the baboon to voluntarily produce and 57 control communication signals (i.e. "hand slap gesture") to inform the partner (i.e., "me") of their goal (i.e., "get away"). 58

In the present paper, before reviewing our research on the gestural system of baboons, I will start by reminding the need for a large comparative approach across animal species, and particularly nonhuman primates, on communication and cognition in order to investigate the multiple phylogenetic roots of language. Then I will review the research we conducted in baboons on some cognitive aspects of their communicative gestural system, as well as, in a last part, their manual lateralization and brain hemispheric specialization in comparison with language organization.

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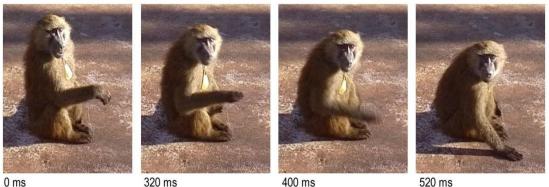


Fig. 1. A young male intimidates a human observer by quickly slapping his right-hand on the ground.

THE COMPARATIVE APPROACH ON THE ORIGINS OF LANGUAGE

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76 Searching first for the speech origins77

Human language is an extraordinary and unique mean of communication in the animal 78 79 kingdom involving complex cognitive functions. To investigate the question of its origins, the study of the communication systems of our primate cousins, especially the great apes, has 80 gained unprecedented interest. At the beginning of this quest, the initial scientific debates 81 focused mostly on the origin of speech-specific features (Boë et al. 2019), such as the 82 anatomical modification of the vocal apparatus, the larynx and the vocal tract, the articulatory 83 capacities, the innervation of the tongue, the development of cerebral control for the 84 production of sounds (e.g., Ploog, 2002) as well as the "syntactic" capacity to generate a 85 grammar (Chomsky, 1966). However, most of these changes having appeared with the 86 emergence of *Homo sapiens* approximately 350,000 years ago, the origin of the language was 87 quite clear: reduced to its verbal and syntactic faculty, language would have emerged as a 88 single holistic feature with the bifurcation of our species (Chomsky, 1966; Crow, 2002). This 89

turn of the debate thus excluded for a long time the point of view of primatologists on this 90 question, if it was not to confirm that the apes had indeed neither speech (Hayes, 1952), nor 91 92 syntax (Terrace, 1979). Since then, recent studies on monkey vocalizations have challenged the idea that monkeys cannot speak due to the anatomical constraints of their vocal tract. It 93 turned out that these constraints, although accurate, are not sufficient to prevent monkeys 94 95 from producing vocalizations comparable to human vowels (Boë et al. 2017). The inability to speak was therefore to be sought elsewhere, likely in the organization of their cognitive and 96 cerebral systems. 97

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100 Dislocating the holistic approach on language origins

102 What if we looked at language other than through its only verbal and syntactic manifestation? In other words, let's see language, not as an indivisible holistic feature 103 centered on speech and syntax, but rather as a unique combination of many domain-general 104 cognitive properties essential to its functioning; a multimodal linguistic system extending to 105 communicative hand and body gestures as well as facial expressions. Within such a cleverly 106 orchestrated cognitive assembly, which is unique to human species, are certainly included 107 generative and grammatical properties but also other fundamental domain-general cognitive 108 properties such as intentionality, shared attention, learning flexibility, imitation, empathy, 109 theory of mind, categorization, conceptual mental representation, manipulation of shared 110 symbolic conventions, referential properties, as well as their underlying hemispheric 111 specializations of the brain, etc. The list can go on and on (Fitch, 2010). 112

In making such efforts to dislocate the "language" holistic block, it turns out that each 113 114 of its cognitive components, taken individually one by one, can potentially be shared with animals and be evolutionary inherited from a much older common ancestor than Homo 115 sapiens. Seeking for the origins of language is therefore not seeking for the origin of this 116 human "language holistic block" but rather for the origins of each of its many different 117 cognitive components, thus taking its sources from as many different roots in the history of 118 evolution. Without "categorization" faculty for instance, it would be difficult for us to speak 119 given its critical role in the organization of our lexicon. However, this property is not 120 language-specific and is also useful for organizing our perception of the world. It helps us to 121 sort the information that we perceive and put it into categories (tools, food, predators ...) to 122 understand the world, just like most animals such as mice or pigeons (Soto & Wasserman, 123 124 2014). This property, which is essential for the organization of language, would thus take root directly in more general capacities of perceptual categorization, capacities widely shared in 125 the animal kingdom. In another example, intentional property is characteristic not only of 126 communication in human language, but also of instrumental actions organized to reach a goal 127 (e.g., "I'm going to grab this object"), an behavior which is also widely spread in animals (de 128 Wit & Dickinson 2009). Associative learning is another example of a simple domain-general 129 property essential to language acquisition: it turned out that even bees are able to learn by 130 association (e.g., Menzel, 1993)... In evolution of animal cognition, it seems that "nothing is 131 lost, everything is recycled" and the origins of language certainly benefit from it. 132

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5 For a large comparative primates approach including monkeys

The question of the origins of language should thus be reformulated as follows: how
these different cognitive properties, which seem to exist in a compartmentalized manner in
certain animals, have, over the course of evolution, started to speak to each other, to work

together? How did they end up coordinating to become, in the human species, this unique 140 constellation of interconnected properties involved specifically in language? In this 141 142 framework, the research on the faculties of animals, and of our primate cousins in particular, has thus become central to investigate the evolution of language and its multiple origins. 143 These researches should be thus not restricted to humans, nor great apes, but be extended to a 144 145 larger comparative approach including monkeys and other animals. For instance, if two cognitive properties involved in language turn out to work together in the chimpanzee 146 cognition for example, it is not excluded that this trait may date back to their common 147 ancestor around 5-9 million years ago. But if this homology extends to an even more 148 evolutionary distant species, such as the baboon, it may have been inherited from their 149 common ancestor 25-40 million years ago, and so on. Within such an approach, I will focus 150 on the research on gestural communicative system in a more distant species, the baboons, and 151 show how this modality seems to start aggregating, just like in great apes, several key 152 cognitive features of language. 153

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COGNITIVE PROPERTIES OF GESTURAL COMMUNICATION

160 Language and gesture

Language involves tight links with communicative gestures. First, we often gesticulate 162 simultaneously when we speak in signing notably the meaning of our words, such as bringing 163 closer the index and the thumb in saying "small" (McNeill, 1992). Regarding the interactions 164 reported between speech and co-speech gestures, it has been suggested that both modalities 165 might share the same integrated communication system (Gentilucci & Dalla Volta, 2007). 166 Second, it is well known that intentional communication in infants developed first with the 167 use of communicative gestures before their first spoken words. Deictic gestures specifically, 168 such as pointing, seem to play an active role in the development of linguistic abilities (e.g., 169 Iverson & Goldin-Meadow, 2005). These collective findings highlight the importance of 170 gestures in the language system and question their role in language evolution. A growing 171 number of researchers is supporting the "gestural origins" view that gestural communication 172 may be the first phylogenetic precursor of human language (e.g., Hewes, 1973; Arbib et al. 173 2008; Corballis, 2002; Vauclair, 2004), which rely mostly on investigation of the properties of 174 the gestural communicative system in great apes, particularly chimpanzees primates. 175 176

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- 178 Intentional and referential properties
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Interestingly, research in baboons have also reported that, just like in great apes (e.g. 180 Call & Tomasello, 2007), production of communicative gestures involves a combination of 181 critical cognitive domain-general properties of language. For instance, some gesture have 182 183 been reported to be, not only intentional as mentioned above in the introduction, but also simultaneously referential, which consist of indicating intentionally external object from the 184 environment (i.e., food) to a social partner (here a human experimenter). This behavior 185 requires to orient the attention of the recipient toward this object with imperative pointing 186 gestures (Meunier et al. 2012). Bourjade et al. (2014) also shown that, when the human social 187 partner is inattentive, the baboons are able to adjust voluntarily their gestural signals by 188 producing "noisy" gestures to preliminary get his attention before producing visual pointing 189

190 gesture. This ability to take into account the attentional state of the recipient when signaling is 191 typical from intentional and referential communication.

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194 *Flexibility*

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To these two domain-general properties shared with human language, could be also 196 added and combined the remarkable flexibility of the gestural system in baboons. A recent 197 ethological study conducted by Molesti et al. (2020) have reported that the gestural repertoire 198 199 could reach about 67 visual, tactile, and audible gestures in this species which, just as chimpanzees or other great apes (Hobaiter et al. 2011; Liebal et al. 2013), not only varied 200 across individuals and ages but also were used flexibly across different contexts, indicating 201 means-ends dissociation. This ethological approach also confirms their intentional properties 202 within intraspecific interactions since baboons produced gestures by looking at the recipient, 203 then waited for a response and adjusted their gestural signals to the conspecific's attentional 204 state (i.e., more visual gestures when the recipient was attending and more tactile gestures 205 when the recipient was not). 206

Although some of these gestures might be innate (see Byrne et al. 2017), Michael 207 Tomasello (e.g., Tomasello et al. 1985) explains such a variability by proposing that most of 208 the gestures in primates would be learned individually through "ontogenetic ritualization" and 209 that only rare gestures could be socially transmitted through a process of imitation. In other 210 words, the majority of gestures seems to be the result of an individual process starting initially 211 from manual actions which would have been gradually ritualized into communicative signals 212 over the course of the social interactions of the developing individual (Tomasello et al. 1985). 213 214 This process constitutes a first major difference from the process of language acquisition, the vast majority of shared linguistic conventions being rather transmitted by imitation. 215

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- 218 Declarative versus imperative gestures
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Another major difference is related to the nature of these gestures: these signals in 220 nonhuman primates do not seem to be "declarative" but rather "imperative"; that is to say that 221 their gestures are limited, most often, to express a self-centered request to a conspecific such 222 as "come play with me", "give me this object". In contrast, it seems difficult for them to 223 referentially communicate to external objects or events for the simple shake of sharing their 224 attention with others such as "look at this bird" (Tomasello et al. 1985). Given declarative 225 communication requires, as for learning by imitation, to take into account the mental states of 226 others (a skill labelled as "theory of mind"), the lack of this communicative skills might be 227 related to the limitation of their "theory of mind", an ability which remains controversial in 228 nonhuman primates. The existence of "imperative" gestures would rather reflect their ability 229 to learn to associate a gestural response with the goal of the request, via the process of 230 "ontogenetic ritualization", but not to attribute mental states to others. 231

Nevertheless, the doubt persists since our recent report of a rare and atypical gesture 232 233 described in only few baboons, suggesting not only that baboons can flexibly learn new gestures throughout their life but also that some gestures might be declarative. In fact, we 234 observed the emergence of a new intentional referential gesture in a female adult baboon, 235 which consists in searching for mutual gaze with her offspring when rolling between her 236 237 fingers a food in front of her baby's face. An atypical behavior we analyzed and interpreted as a way to draw the attention of the offspring toward this external object without direct 238 239 imperative self-centered purpose from the mother. Surprisingly, this behavior seems to fully

240 meet the criteria of joint attention and declarative communication which are both key

properties of language in humans (Meguerditchian et al. 2018; see figure 2).

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Fig. 2. An female adult baboon tries to draw the attention of her offspring toward the piece of fruit that
she waves between her fingers. This is the first time that such a "joint attention" gestural behavior has
been observed in this species. © *Laboratoire de Psychologie Cognitive, CNRS, Univ. Aix-Marseille.*

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Such a combination of domain-general cognitive properties shared between gestural
communication in baboons and language in humans suggest a shared phylogenetical heritage
and continuity between these two communicatory systems. To further investigate such a
hypothesis and exclude any independent evolutionary convergence, it remains critical to
determinate whether the underlying lateralized neural structures of both baboons' gesture and
human language are also homologue.

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HEMISPHERIC SPECIALIZATION & GESTURE LATERALIZATION

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262 Hemispheric specialization for language

264 As many cognitive processes, most language functions involve functional hemispheric specialization of the brain, which reflects the better aptitude of one hemisphere over the other 265 for a given function, and/or inter-hemispheric anatomical differences (Josse & Tzourio-266 267 Mazoyer 2004). In a large majority of humans, the left hemisphere is dominant for language functions such as phonology, semantics or sentence processing (Vigneau et al. 2006) and the 268 right-hemisphere is dominant for context processing and prosody (Vigneau et al. 2010). 269 Among such a complex asymmetric neural network, some perisylvian regions play a key-role. 270 These regions include Broca's area (Inferior Frontal Gyrus) within the left frontal lobe, as 271 well as the Superior Temporal Sulcus (STS) in the right temporal lobe and the Planum 272

Temporale in the left temporal lobe. Interestingly, some of these regions for language show

also striking structural inter-hemispheric asymmetry in size (i.e., surface, volume or depth of a
 region greater in a hemisphere in comparison to the other). Although their functional

implication remains unclear (e.g., Dorsaint-Pierre et al. 2006; Keller et al. 2011; Tzourio-

Mazoyer et al. 2018), some of these structural asymmetries were initially considered as

anatomical signatures of evolution of the human brain for language and social cognition (e.g.,

279 Crow, 2002; Leroy et al. 2015).

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2 Handedness is not links with language lateralization

This hemispheric specialization for language was initially considered as associated to handedness. Nearly 90% of humans are right-handed (Annett, 1985). From an evolutionary view point, there was a strong debate as to whether such population-level right-handedness is extended to nonhuman primates or is unique and exclusively related to language emergence and brain specialization for language (Bradshaw & Rogers, 1993; Crow, 2002; Corballis, 2003).

This latter view has been challenged by two sets of evidence. First, as many other 290 studies in terrestrial primates such as humans, chimpanzees and gorillas (Meguerditchian et 291 al. 2013), we reported that baboons showed population-level right-handedness for bimanual 292 coordination task, although in a much lower degree than in humans (Vauclair et al. 2005; 293 294 Molesti et al. 2016). Interestingly, just like in humans, we also founds that the direction of handedness was correlated with contralateral depth asymmetry within a section of the central 295 sulcus, which seems to correspond to the posterior border of the motor hand area 296 297 (Margiotoudi et al. 2019). These findings suggest that handedness in not specific to human evolution and not specific to language emergence. Second, it turned out that direction (left or 298 299 right) of handedness in humans is rather a poor marker of the direction of hemispheric 300 specialization for language, reflecting thus an independent lateralization phenomena (Groen et 301 al. 2013; Mazoyer et al. 2014; Ocklenburg et al. 2014). This hypothesis find support from evidence showing that about 70% of left-handed individuals showed also similar left-302 303 hemispheric lateralization for language than right-handed humans (e.g. Knecht et al. 2000). 304

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Lateralization of gestural communication: a better landmark?

Given its independence from language lateralization, handedness for manipulative action might be thus not a relevant model to investigate in nonhuman primates its evolutionary origin. Regarding the strong links reported in the literature between gestural communication and language in humans (see previous section of the paper) as well as the language-like combination of domain-general cognitive properties found in the gestural system of nonhuman primates, manual lateralization for communicative gestures specifically may constitute a better behavioral candidate.

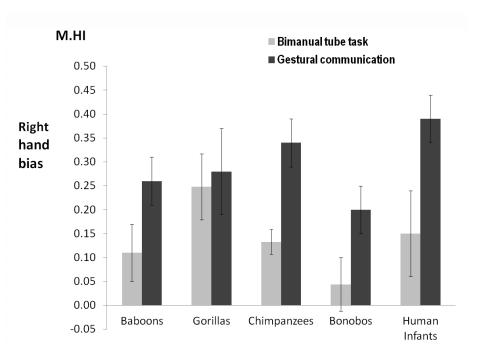
Our research on the lateralization of gestures in baboons provides support to this 315 316 hypothesis (Meguerditchian & Vauclair, 2006). As found in children and in chimpanzees (Meguerditchian et al. 2010, 2013), gestural communication in baboons showed specific 317 pattern of manual lateralization (see Figure 3). In fact, we found that communicative gestures, 318 such as the "hand slap" (see Figure 1), elicited not only a more pronounced degree of right-319 handedness predominance but also independent individual hand preferences in comparison to 320 handedness for non-communicative bimanual manipulation (Meguerditchian & Vauclair, 321 2006, 2009). These findings speak for a greater dominance of the left-hemisphere for 322

323 communicative gestures which might involve a different lateralized system from the one

related to handedness for manipulative functions. It is thus not excluded that lateralization of

communicative gestural system in nonhuman primates might share common prerequisites of
 brain specialization for human language inherited from a common ancestor (Meguerditchian

- 326 brain special327 et al. 2011).
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Fig.3. Degrees of population-level right-handedness (M.HI: Mean Handedness Index) for speciestypical communicative gestures in 162 baboons (Meguerditchian et al., 2011), in 18 gorillas, in 70 chimpanzees (Meguerditchian et al. 2010), in 51 bonobos and whole-hand pointing in 37 human infants (see the review of Meguerditchian et al. 2013). Here, the M.HI are all positive and reflects degree of predominance of right-handedness which are more pronounced for gestural communication in every species – except in gorillas - compared with the bimanual coordinated task. The error bar represents the S.E. around the M.HI score. *Adapted from Meguerditchian et al.* (2013)

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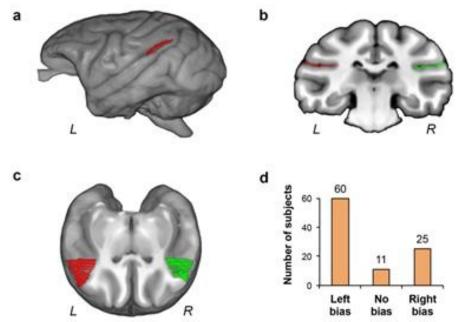
340 Brain structural asymmetry in baboons for language homologs

342 A key approach to address this latter hypothesis is to investigate in baboons the lateralization of brain structures related to language area's homologs. Most brain studies 343 conducted in non-human primates have focused on great apes, particularly chimpanzees, and 344 have reported human-like leftward structural asymmetries of a key language region, the 345 planum temporale (Gannon et al. 1998; Hopkins et al. 1998). In addition, it was found in 346 chimpanzees a contralateral association of asymmetries between (1) inter-hemispheric 347 surfaces of the homologs of Broca's and Wernicke's areas (Inferior Frontal Gyrus and Planum 348 Temporale, respectively) and (2) the direction of manual preferences (i.e. right- versus left-349 hand) for communicative gestures (Taglialatela et al. 2006; Hopkins & Nir 2010; 350 Meguerditchian et al. 2012). 351

In our cohort of baboons living in social groups, after analyzing 96 anatomical *in vivo* cerebral images collected non-invasively using magnetic resonance imaging (MRI), we found that a majority of baboons presented a planum temporale's surface larger in the left hemisphere (Marie et al. 2018, see Figure 4) in a quasi-identical distribution to the one

originally found in humans (Geschwind & Levitsky 1968). We recently replicate this finding 356 in a cohort of 35 newborn baboons, indicating that the leftward planum temporale asymmetry 357 358 is also, just like in prelinguistic infants or in preterm newborn (e.g., Dubois et al. 2010), a early feature of the brain organization across development (Becker et al. 2021b, 2021c). In 359 addition, according to our on-going studies in those baboons on another sulcus of interest, the 360 361 superior temporal sulcus (STS), a significant human-like neuroanatomical depth asymmetry in favor of the right hemisphere was found in a specific portion of this sulcus (Meguerditchian et 362 al. 2016), which was initially considered as a human-specific brain lateralization landmark of 363 social cognition (Leroy et al. 2015). 364

More importantly, in a recent preprint study focusing on an anatomical marker of the 365 Broca area homolog in baboons (i.e., the depth of the ventral portion of the inferior arcuate 366 sulcus in the frontal lobe), we found that communicative gesturing's lateralization – but not 367 handedness for manipulation - is related to contralateral depth hemispheric asymmetry of this 368 marker (Becker et al. 2021a). In other words, baboons communicating with their right hand 369 showed a larger Broca area's marker in the left hemisphere and vice et versa. Interestingly, in 370 contrast to handedness for object manipulation (see Margiotoudi et al. 2019), gestural 371 communication's lateralization is not associated to the central sulcus depth asymmetry, 372 suggesting a potential double dissociation of handedness' types between manipulative action 373 and gestural communication, as hypothesized in our previous manual lateralization studies. 374 This specific lateralized anatomical signature of communicative gesture within the baboons' 375 frontal cortex provides thus strong additional support for a phylogenetical gestural 376 377 continuities with language-related frontal hemispheric specialization. 378



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Fig. 4. Planum temporale's asymmetry quantification in the baboon brain. A, 3D render of a baboon 381 382 brain and its delineation of the left planum temporale surface area (red). B, Overview of the coronal section per coronal section tracing method, one individual coronal section with overlay of left (red) 383 and right planum tracing (green). C, Oblique section oriented along both planum temporale of a 384 baboon brain (left planum in red, right planum in green) with a clear leftward asymmetry of surface 385 386 area. D, Number of left-biased, non-biased and right-biased baboons for the planum temporale surface area according to classification of individual asymmetry quotients (AQ). Adapted from Marie et al. 387 388 (2018)

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392 CONCLUSION	
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395 Our collective research in baboons suggest that combination of cognitive dom	-
396 properties as well as the asymmetric organization of language areas are not sp	
397 language but extended to far more distant primate species such as the baboon.	U .
398 all those cognitive, behavioral and brain features seems associated with gestur	
399 communication in our primate cousins. It is therefore not excluded that some	
400 prerequisites of language organization may find some phylogenetical roots in	•
401 communicative system and be inherited, not from Homo Sapiens 350,000 year	
402 rather from the more distant common ancestor of baboons, chimpanzees and h	numans, 25 to 40
403 million years ago.	
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