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Emmanuel Attal, Yorick Epine, Nicolas Dauchez, Bertrand Dubus. Experimental investigation of the effect of moisture on the acoustic properties of lightweight substrates used in green envelopes. *Applied Acoustics*, 2021, 180, pp.108108 (12). 10.1016/j.apacoust.2021.108108 . hal-03309599v1

**HAL Id: hal-03309599**

**<https://hal.science/hal-03309599v1>**

Submitted on 29 Nov 2021 (v1), last revised 30 Jul 2021 (v2)

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# Experimental investigation of the effect of moisture on the acoustic properties of lightweight substrates used in green envelopes

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## Abstract

Substrates are used in green walls and roofs to supply air and water to the roots of the growing plants. These substrates are porous with micropores which store water and macropores which facilitate drainage and air entry. Effect of moisture on acoustic absorption is studied for two lightweight substrates: coir dust and perlite. Measurement of dry and moistened substrates are conducted to evaluate their effective speed of sound, attenuation, characteristic impedance, compressibility and density between 100 Hz and 1000 Hz using an impedance tube and the three microphone-two load method. Effect of moisture on these quantities is found to depend strongly upon the interaction between water and substrate particles at the microscale. Performance of rigid-frame and limp frame porous models is evaluated when applied to the characterization of dry and moistened lightweight substrates. Finally, sound absorption of substrate layers and composite plant-substrate samples is analyzed for different moisture contents.

*Keywords:* sound absorption, lightweight substrate, acoustic porous medium, moisture, green envelope

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## 1. Introduction

For several decades, growing environmental concern in urban areas has stimulated the development of green building envelopes with significant benefits on stormwater management [1], wildlife habitat [1], energy conservation [1, 2], air quality [1, 3], mitigation of urban heat island effect [1], and noise reduction [4]. In the latter case, in-situ measurements demonstrated that the main improvement comes from the increased absorption of sound when highly reflecting building materials are covered by green roofs [5, 6] and green walls [4]. Numerical simulations were also conducted to evaluate how propagation of traffic noise was affected by building envelope greening for different urban configurations [7, 8]. Laboratory experiments have shown that the main contribution of this absorption comes from the substrate with a clear dependence to substrate composition [5, 9, 10, 11], geometry [5, 11, 12, 13] and moisture content [5, 9, 12].

Substrates are porous materials containing both gas and liquid in order to supply water and air to the roots and achieve growth of plants. Water availability depends upon substrate composition and volume but varies also with plant development and external environmental conditions [14]. The effect of moisture or water content on the acoustic properties of soils (sandstones, clay...) such as airflow resistivity [15], ground impedance [16, 17, 18] speed of sound [19] and attenuation [19] has been widely studied. Long-term in-situ experimentations were also conducted on green roofs incorporating relatively heavy substrates to evaluate the variation of acoustic signal attenuation with substrate water content. Van Renterghem and Botteldooren reported variations reaching 10 dB between dry substrate and moistened substrate close to saturation in the 250-1250 Hz frequency range [20]. Liu and Hornikx performed similar experiments for two roofs incorporating different substrates [21]. Correlation between change in acoustic wave attenuation and substrate water content was observed for one roof and not for the other one. The fact that the porosity of the substrate was weakly dependent of water content was suggested to explain the absence of change for

31 the latter case. Connelly and Hodgson [5] evaluated the absorption coefficient  
32 at normal incidence of nine different (heavy) substrates in an impedance tube  
33 at three levels of volumetric water content: oven-dry, wilting capacity and field  
34 capacity. They also observed a decrease of absorption coefficient with moisture  
35 content.

36 Some recent works have also addressed the effect of moisture on low density  
37 substrates which are preferred for green walls to reduce total weight. Horoshenkov  
38 *et al* [9] measured in an impedance tube, the absorption coefficient at normal  
39 incidence of two dry and moistened substrates: a clay soil and a light sub-  
40 stratum made of coir, perlite and polymer gel. In the 100-1500 Hz frequency  
41 range, absorption coefficient of clay soil dropped from 0.2-0.7 to 0.1-0.12 for  
42 a relatively small amount of added water. For the light substratum, a much  
43 smaller decrease was observed from 0.8-0.9 to 0.6-0.75 at a larger moisture con-  
44 tent and only above 300 Hz. Different porous models were used for clay soil  
45 and substratum in order to evaluate the variation of model parameters under  
46 moisture condition. Yang *et al* [12] measured the absorption coefficient of heavy  
47 (topsoil) and light (mixture of coir, perlite and water-retaining polymer) sub-  
48 strate layers for different moisture contents. Average absorption coefficient was  
49 obtained in reverberant room for topsoil while absorption coefficient at normal  
50 incidence was measured in impedance tube for the mixture. For all frequencies  
51 between 100 and 4000 Hz, absorption coefficient of topsoil was found to decrease  
52 significantly with increasing moisture while those of the light substrate was in-  
53 creasing slightly. The purpose of this work is to analyze how moisture affects  
54 the effective acoustic properties of two different lightweight substrates and to  
55 evaluate the consequences of these property changes on the acoustic absorption  
56 of substrate and plant-substrate samples. Measurement of substrate effective  
57 properties is carried out in an impedance tube using the three microphone-two  
58 loads method. The measurement method, which also provides sample absorp-  
59 tion coefficient and surface impedance, as well as the considered lightweight  
60 substrates, the preparation of the samples and their physical characterization  
61 are described in section 2. Measured physical and effective acoustic properties

62 of the three substrates, dry and moistened, are analyzed in section 3. Perfor-  
63 mance of rigid-frame and limp frame of porous models is considered in section  
64 4 when applied to the characterization of dry and moistened lightweight sub-  
65 strates. The variation of normal incidence absorption coefficient with moisture  
66 contents discussed in section 4 for substrate single layers and in section 5 for  
67 composite plant-substrate samples.

## 68 2. Experimental procedure

### 69 2.1. Measurement method

70 Acoustic characterization of substrate samples is performed in an impedance  
71 tube (Fig.1) using the three-microphone two-load method [22]. A specific tube  
72 of large diameter (192 mm) is used to have a sample diameter significantly larger  
73 than the size of sample constituents (substrate particles, leaves).

74 Four loudspeakers (type Visaton FRS) are mounted in the PVC front disk  
75 located at the front end of the tube. A movable Teflon piston is positioned at  
76 the other end. A plastic sample holder contains the substrate maintained by two  
77 pieces of textile net. Acoustic pressure measurements are performed with three  
78 microphones (Sennheiser MKE 2P with 3.8 mm diameter). Two microphones  
79 are located in front of the sample respectively at 80 and 90 cm from the front  
80 end of the tube. The third microphone is located at the center of the movable  
81 piston behind the sample. The sound card (RME-Fireface 802) control inputs  
82 and outputs of the system. The excitation signal is a step by step sine. More  
83 details on experimental set-up may be found in reference [11]

84 Sample transfer matrix parameters are measured in the 100-1000 Hz fre-  
85 quency range. Assuming  $e^{+j\omega t}$  time dependency where  $\omega$  is the circular fre-  
86 quency and  $t$  the time, sample transfer matrix  $T(\omega)$  relating acoustic pressures  
87  $P$  and velocities  $V$  at  $x = 0$  and  $x = d$  is written as

$$\begin{bmatrix} P(0) \\ V(0) \end{bmatrix} = \begin{bmatrix} T_{11}(\omega) & T_{12}(\omega) \\ T_{21}(\omega) & T_{22}(\omega) \end{bmatrix} \begin{bmatrix} P(d) \\ V(d) \end{bmatrix}, \quad (1)$$

88 In the three-microphone two-load method, the transfer matrix is evaluated  
 89 by using the three measured transfer functions between the microphones for  
 90 two different sizes of the backing cavity [22]. Assuming that the sample may be  
 91 described as an ideal homogeneous fluid medium, the transfer matrix is written  
 92 as

$$\begin{bmatrix} T_{11}(\omega) & T_{12}(\omega) \\ T_{21}(\omega) & T_{22}(\omega) \end{bmatrix} = \begin{bmatrix} \cos(k(\omega)d) & jZ_c(\omega)\sin(k(\omega)d) \\ \frac{j\sin(k(\omega)d)}{Z_c(\omega)} & \cos(k(\omega)d) \end{bmatrix}, \quad (2)$$

93 where  $k$  and  $Z_c$  are the effective wave number and the characteristic impedance  
 94 of the material constituting the sample.  $c$  is the effective speed of sound in the  
 95 sample.  $k$ ,  $Z_c$  and  $c$  are deduced from the transfer measured matrix using

$$k(\omega) = \frac{\arccos(T_{11}(\omega))}{d}, \quad (3)$$

$$c(\omega) = \frac{\omega}{k(\omega)}, \quad (4)$$

$$Z_c(\omega) = \sqrt{\frac{T_{12}(\omega)}{T_{21}(\omega)}}. \quad (5)$$

96 As homogeneous samples are symmetric,  $T_{11}$  and  $T_{22}$  are equal in an homo-  
 97 geneous sample. Therefore, measured values of  $T_{11}$  and  $T_{22}$  may be compared  
 98 to strengthen the hypothesis of homogeneity:

$$T_{11}(\omega) \approx T_{22}(\omega). \quad (6)$$

99 Effective compressibility  $\chi$  and density  $\rho$  are obtained using

$$\chi(\omega) = \frac{1}{c(\omega)Z_c(\omega)}, \quad (7)$$

$$\rho(\omega) = \frac{Z_c(\omega)}{c(\omega)}. \quad (8)$$

Finally, the absorption coefficient  $\alpha$  and the specific impedance  $Z_s$  of the sample in rigid backing condition are obtained using

$$\alpha(\omega) = 1 - \left| \frac{T_{11}(\omega) - Z_0 T_{21}(\omega)}{T_{11}(\omega) + Z_0 T_{21}(\omega)} \right|^2, \quad (9)$$

$$Z_s(\omega) = \frac{T_{11}(\omega)}{T_{21}(\omega)}, \quad (10)$$

100 where  $Z_0$  is the characteristic impedance of air. More details on experimental  
 101 set-up and measurement method may be found in reference [11]

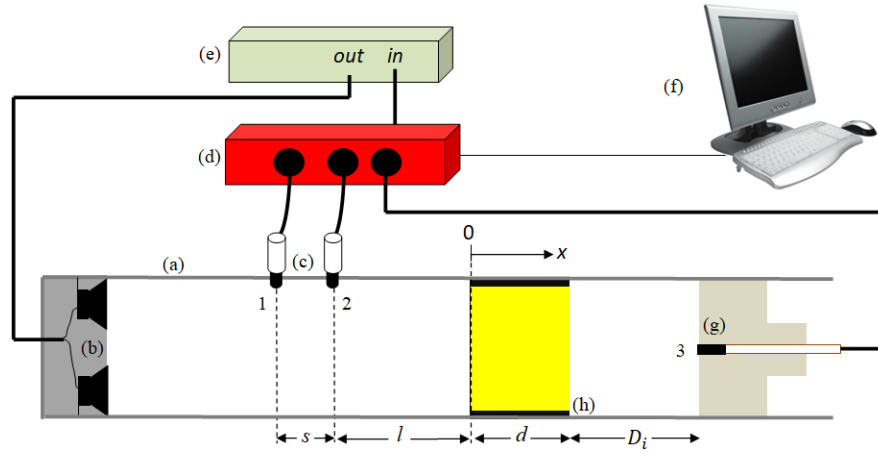


Figure 1: Schematic view of the experimental setup: (a) stainless steel tube; (b) cylindrical PVC disk integrating 4 loudspeakers; (c) microphones; (d) sound card; (e) amplifier; (f) computer; (g) flush mounted microphone on movable piston; (h) sample holder containing the sample.

## 102 2.2. Samples description, preparation and physical characterization

103 High content of available water and adequate air supply are considered as  
 104 the most important physical characteristics required for substrates in order to  
 105 achieve optimal growth of plants. All substrates are composed of three phases:  
 106 solid, aqueous and gaseous. The capacity of a substrate to store water and  
 107 air and its ability to provide them to the plant are determined by its porosity  
 108 characteristics [23, 24]. Water is mainly held by the micropore space of the

	Bulk density (dry) ( $kg.m^{-3}$ )	Effective porosity (%)	Total water holding capacity (%)	Reference
Coir dust	40-80	85-89	73-80	[25]
	25-89	98-94	14-78	[26]
	60	91	64	[27]
Perlite	140	70	25	[27]
	170	66	54	[28]

Table 1: Properties of coir dust and perlite substrates

109 substrate, while rapid drainage and air entry is facilitated by the macropores  
110 [25]. Therefore, an adequate distribution of large and small pores is essential  
111 for a good medium.

112 Two different substrates having low bulk density, high water holding capac-  
113 ity and low suction are considered in this work: coir dust and perlite. Their  
114 microporosity corresponds to the internal porosity of their constituent partic-  
115 ules and the macroporosity to the inter-particle porosity. Their main properties  
116 found in the **literature** are given in table 2. The bulk density of the substrate  
117 is defined as its dry mass per unit of volume. The effective porosity is the ratio  
118 of the total volume of open pores to the total volume of the substrate. It can be  
119 determined using a **porosimeter**. The storage of water in a growth substrate is  
120 described by the water retention curve which relates volumetric water content  
121 to water suction [23, 24]. Container capacity is reached in the **substrate** when  
122 water stops draining following saturation. It is usually defined at a suction of 1  
123 kPa. The total water holding capacity is the ratio of the total volume of water  
124 held in substrate at container capacity to the total volume of substrate. As  
125 Young-Lapace equation states that capillary pressure is inversely proportional  
126 to channel radius, it is usually admitted that water retention curve is essentially  
127 the pore-size distribution curve [23].

128 Coir dust (Fig.2 (a)) is a residual waste of coir processing. The main part  
129 of coir dust is constituted by pithy tissue particles sizing between 1 and 4 mm  
130 for more than 90% of the particles [29]. Pithy tissue particles have an internal  
131 porosity of approximately 40% and exhibit round-shaped external pores (average  
132 diameter of 40  $\mu$ m) accounting for a relative surface porosity of about 40%



133 (Fig.3.(a)). Internal and surface porosities are interconnected. The high water  
134 storage capacity of coir dust is associated to high surface porosity and larger  
135 openings in coarse pithy tissue which facilitate the water penetration into the  
136 cells [28]. Remaining particles of larger size are short coir fibers. Coir dust  
137 properties differ significantly between sources (table 1). This variation is closely  
138 related to the particle size distribution [30], substrates with smaller particles  
139 exhibiting higher bulk density, smaller effective porosity and a larger proportion  
140 of micropores.

141 Perlite is an amorphous aluminum silicate glassy volcanic rock which traps  
142 crystalline water into its mass. When heated above 900°C, entrapped water  
143 molecules turn to steam. Perlite expands up to 4-20 times of its original volume  
144 and become porous [31]. Expanded perlite (Fig.2(b)) is composed of millimeter-  
145 size particles having a crystal-like porous and glassy structure with countless  
146 number of pores sizing from 20 to 100  $\mu\text{m}$  (Fig. 3(b)) [28, 32]. Properties  
147 of expanded perlite substrates also vary with particle size distribution [27, 28,  
148 33]. Compared to coir dust substrates, expanded perlite substrates tend to  
149 have higher bulk density, lower effective porosity and lower total water holding  
150 capacity. Expanded perlite is used in hydroponics and green systems alone as a  
151 medium or as a soil amendment to retain moisture and lighten compact soils.

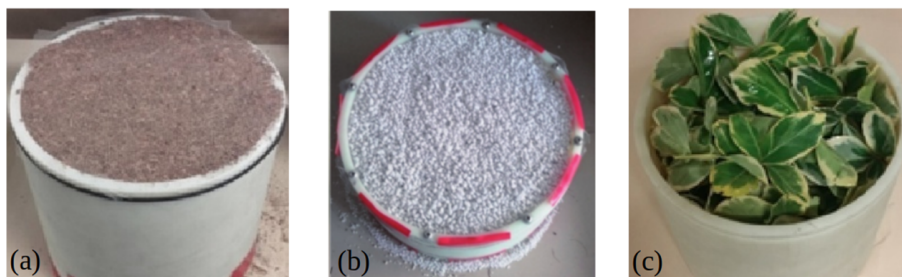


Figure 2: (a) Coir dust; (b) Perlite; (c) Japanese spindle.

152 Each substrate is dried in an oven at 130°C during 15 hours and then mixed  
153 with a controlled amount of water. Moisture content is defined as the ratio of  
154 the mass of added water to the mass of the dry substrate. Substrate and water

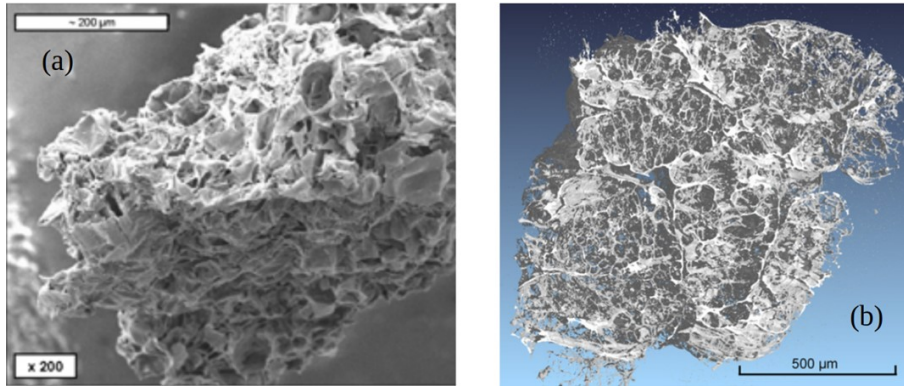


Figure 3: (a) Coir dust pithy tissue particle (image by scanning electron microscopy) from [29]; (b) microstructure of an expanded perlite particle (pseudo-image by computer micrography) from [32].

155 are mixed mechanically in order to homogenize the distribution of water.

156 The dry or wet substrate is then placed in the 8 cm thick sample holder as  
 157 evenly as possible and without compaction. Textile nets are stretched on the  
 158 top and bottom ends of the sample holder to maintain the soil-air interfaces per-  
 159 pendicular to tube axis [17]. Acoustic measurements are made on four different  
 160 substrate samples for each moisture content.

161 Additional characterizations of dry and wet substrates properties are also  
 162 conducted. Density, effective porosity and airflow resistivity are directly mea-  
 163 sured using an electronic balance, a porosimeter and a resistivimeter. An in-  
 164 verse method is also used to determine the parameters of the porous model  
 165 (porosity, airflow resistivity, tortuosity, viscous and thermal lengths) from ab-  
 166 sorption curves measured in an impedance tube. It relies on the minimization  
 167 of a least square cost function between measurements and simulation with a  
 168 Johnson Allard-Champoux [34, 35] or limp [36] porous models.

169 The plant considered in this work is Japanese spindle (*Euonymus japoni-*  
 170 *cus*), a small shrub with oval leaves which are about 5 cm long and 3 cm wide  
 171 (Fig. 2(c)). Plant samples have an air-filled porosity of 95% which is evaluated  
 172 by dividing the total volume of the plant by the internal volume of the sample

173 holder. The volume of the plant is obtained by submerging branches and leaves  
 174 in a graduated cylinder filled with water and measuring the water level varia-  
 175 tion. Plant samples are constituted by a set of small branches introduced in the  
 176 sample holder. The foliage is disposed in the whole volume of the sample holder  
 177 to obtain the most homogeneous distribution possible. Textile nets are added  
 178 on the top and bottom of the sample holder to keep the air/plants interface  
 179 perpendicular to tube axis and serve as reference surfaces.

### 180 **3. Measurement of dry and wet substrates**

#### 181 *3.1. Physical properties of substrates*

182 Measurement are performed on three different samples for each substrate and  
 183 each moisture content. Average value and standard deviation of measured poros-  
 184 ity  $\phi$ , density  $\rho$  and airflow resistivity  $\sigma$  are reported in table 2 for perlite and  
 185 coir dust at different moisture contents  $\tau$ . As airflow resistivity of coir dust with  
 186 15 % moisture content was found to be highly sensitive to the substrate-water  
 187 mixing process, results are reported for three different processes. Variations of  
 188 these quantities (normalized to their value at dry state) with respect moisture  
 189 content are displayed in Fig.4. Simulated variations of these quantities assum-  
 190 ing a constant volume of the substrate are also reported. If the decrease of  
 191 porosity is due to the added volume of water, the theoretical porosity of the wet  
 192 substrate is written as

$$\phi_{wet} = \phi_{dry} - \tau \frac{\rho_{dry}}{\rho_{water}}, \quad (11)$$

193 If the increase of density is due to the added mass of water, the theoretical  
 194 density of the wet substrate is

$$\rho_{wet} = \rho_{dry}(1 + \tau). \quad (12)$$

195 For perlite, the decrease of porosity is larger than expected from Eq.(11).  
 196 A possible mechanism would be the trapping of water at the surface of perlite

197 particles that could **obstruct** the micropores of the particule without occupying  
198 their entire volume. The increase of measured density is correctly described  
199 by Eq.(12) confirming that the volume of perlite substrate remains constant  
200 when it is moistened. Measured airflow resistivity of perlite substrate is found  
201 to decrease with moisture content contrary to previous measurements on sand  
202 showing an opposite effect [15, 17]

203 For coir dust, measured variations depend strongly upon considered mois-  
204 ture content range. For moisture content between 0 and 15 %, variations of  
205 porosity and density are correctly described by Eqs.(11) and (12). Airflow re-  
206 sistivity rises by 28 to 124 % with high sensitivity to substrate-water mixing  
207 process. For moisture contents between 15 and 65 %, porosity and density stay  
208 relatively constant despite the additional volume and mass of water brought  
209 to the substrate. This effect can be explained by the penetration of water in  
210 pithy tissue cells [29] which produces an increase of their volume and also of  
211 volume of the whole sample. This observation is also consistent with the con-  
212 tinuous decrease of airflow resistivity as the pore size is assumed to increase  
213 when particle size increases. It is possible that water also penetrates in pithy  
214 tissue cells at moisture between 0 and 15 % with a different macroscopic effect.  
215 Initially, expansion of pithy tissue particles could tend to diminish pore size be-  
216 fore reaching an equilibrium through contact forces with neighboring particles.  
217 When moisture content keeps increasing, particles tend to expand and contact  
218 forces **generate** an internal pressure and therefore an increase of sample vol-  
219 ume. For a 200 % moisture content close to saturation, direction of variations  
220 predicted by Eqs.(11) and (12) are recovered as porosity decreases and density  
221 increases. Further decrease of airflow resistivity is also observed.

222

### 223 *3.2. Effective acoustic properties of substrates*

224 Measurement of acoustic properties are performed on four different samples  
225 for each substrate and each moisture content. Average value and standard de-  
226 viation are reported on Figure 5 to 8. Symmetry of the sample is also verified

Substrate	Moisture content	Porosity average	Porosity standard deviation (%)	Density average (kg.m <sup>-3</sup> )	Density standard deviation (%)	Airflow resistivity average (Ns.m <sup>-4</sup> )	Airflow resistivity standard deviation (%)
Perlite	0	0,773	1,7	290,1	7,6	3562	11,1
	0,15	0,710	0,6	334,2	2,9	2663	8,1
	0,30	0,651	3,1	398,1	1,5	2038	4,5
Coir dust	0	0,920	0,2	133,6	2,3	39615	5,2
	0,15	0,899	0,7	152,5	4,1	50879	13,8
						67343	13,3
						88948	0,6
	0,30	0,900	0,2	148,2	0,6	46647	11,2
	0,65	0,886	1	147,6	0,6	25261	4,4
2,00	0,804	1,3	234,8	7	13209	8,6	

Table 2: Porosity, density and airflow resistivity of coir dust and perlite substrates measured at different moisture contents

227 by comparing measured values of  $T_{11}$  and  $T_{22}$ . As measured  $T_{11}$  and  $T_{22}$  are  
228 different above 500 Hz for coir dust sample at 15 % and 30 % moisture content  
229 effective properties are not evaluated at these frequencies and water contents.

230 Measured spectra of effective speed of sound, attenuation, characteristic  
231 impedance, compressibility and density are reported in **Figs. 5** to **8** for dry  
232 and moistened coir dust and perlite substrates between 100 and 1000 Hz. Fre-  
233 quency spectra of the real and imaginary parts of the effective speed of sound  
234 (Fig. 5) show that both substrates, wet or moistened, are dispersive. For dry  
235 substrates, effective speed of sound is lower than speed of sound of air and effec-  
236 tive attenuation (Fig. 6) is higher than attenuation in air, the lower the speed  
237 of sound, the higher the attenuation. For frequencies varying between 100 and  
238 1000 Hz, speed of sound and attenuation ranges measured on dry substrates  
239 are respectively 60-100 m.s<sup>-1</sup> and 4-30 m<sup>-1</sup> for coir dust, 70-135 m.s<sup>-1</sup> and 4-14  
240 m<sup>-1</sup> for perlite. Different changes of effective properties with moisture content  
241 are observed depending on the considered substrate. In coir dust with 15 and  
242 30% moisture contents, speed of sound drastically decreases to 40-60 m.s<sup>-1</sup> and  
243 attenuation strongly increases to 7-30 m<sup>-1</sup>. Only very small change of these  
244 properties are observed when moisture content is increased from 15% and 30%.  
245 For a moisture content of 200%, real part of speed of sound and attenuation  
246 spectra are very close to those of dry coir dust. Imaginary part undergoes large

247 oscillations with peaks at 270, 600 and 900 Hz.

248 Real part of effective characteristic impedance (Fig. 6) is also frequency-  
249 dependent and decreases with frequency for both substrates. Characteristic  
250 impedance of dry perlite, 1000-2000  $Pa s m^{-1}$ , and dry coir dust, 1000-2500  
251  $Pa s m^{-1}$  are much larger than air characteristic impedance. Different varia-  
252 tions with moisture content are observed according to the substrate. Compared  
253 to the dry case, characteristic impedance of perlite decreases for all frequencies  
254 with a 15% moisture content and then barely changes when increasing moisture  
255 content to 30%. For moistened coir dust, effective characteristic impedance  
256 increases continuously with moisture content from 15 to 200 %.

257 Real and imaginary parts of effective compressibility and effective density are  
258 displayed in Fig.7 and 8 respectively. For perlite, main changes with moisture  
259 are found for the real and imaginary parts of effective density which decreases  
260 by 25 to 50% in the whole frequency range. Real part of compressibility is  
261 barely modified for a 15% moisture content but decreases by 7 to 30% for a 30%  
262 moisture content. Coir dust exhibits a different behaviour under moisture condi-  
263 tions: for 15 or 30% moisture contents, the increase of real and imaginary parts  
264 of effective density reaches 100% between 200 and 400 Hz and progressively di-  
265 minishes at higher frequencies. For a 200% moisture content, a smaller increase  
266 of the real part of effective density is observed at low frequency. Changes of  
267 compressibility depend both on moisture level and frequency. The decrease of  
268 the real part of effective compressibility is more pronounced near complete satu-  
269 ration while a larger increase of the magnitude of the imaginary part is obtained  
270 in the case of lower moisture contents.

### 271 *3.3. Acoustic properties of substrate samples in rigid backing condition*

272 Two **independent** measurements of the acoustic absorption by dry and  
273 wet substrate samples are presented. The first one is based on transfer matrix  
274 measurements using the three microphone-two loads method and calculation of  
275 absorption coefficient and surface impedance using equations 9 and 10. The  
276 second one is carried out with a commercial impedance tube (diameter 100

277 mm) according to the International Standard ISO 10534-2 [37]. Measurements  
278 are performed on substrates of the same origin and with the same preparation  
279 process. However, they are made with different samples because experimental  
280 set-ups are **in different locations**.

281 Absorption coefficient (measured with both methods) and surface impedance  
282 (measured with first method) are displayed in Fig.9 for 8 cm thick substrate  
283 samples in rigid backing conditions.

284 For dry coir dust samples, absorption coefficient is found to be almost con-  
285 stant above 200 Hz, between 0.5 and 0.7, for both measurement methods (Figs.  
286 9(a) and 9(b)). In the presence of moisture, only small variations of absorption  
287 coefficient are observed with the first measurement method while the second  
288 one shows an absorption peak appearing progressively between 400 and 600 Hz  
289 with increasing moisture content. Attenuation remains high in the presence of  
290 moisture. Thickness resonances do not build up and a large mismatch is found  
291 between air characteristic impedance and the surface impedance of the sample  
292 that remain almost constant in the whole frequency range (Fig. 9(c)).

293 For dry perlite, a peak appears in the absorption spectrum near 450 Hz  
294 with an absorption coefficient approximately equal to 0.9 for the first method  
295 (Fig.9(d)) and near 650 Hz with an absorption coefficient close to 1 for the sec-  
296 ond method (Fig.9(e)). In moistened perlite, this peak shifts up in frequency  
297 due to the increase of speed of sound. It may be noted that acoustic absorp-  
298 tion remains close to 1 at resonance although attenuation drops in moistened  
299 perlite (Fig.6(c)). This result is explained by the improved matching of surface  
300 impedance with air characteristic impedance near resonance (Fig.9(f)) which is  
301 related to the decrease of the characteristic impedance in the presence of mois-  
302 ture (Fig.6(d)). It may noted that radial effects could appear in the samples for  
303 the considered frequency range due to the low speed of sound in perlite and coir  
304 dust. Absorption coefficients measured by both methods could therefore differ  
305 because samples of different diameters are used [38].

306 **4. Applicability of porous model**

307 In this section, the performance of two models of porous media, rigid-frame  
 308 Johnson-Champoux-Allard model [34, 35], and limp frame model [36], is con-  
 309 sidered when applied to the characterization of dry and moistened coir dust and  
 310 perlite substrates.

In **the** rigid-frame model, effective density and compressibility are written  
 as

$$\rho_{rigid}(\omega) = \frac{\alpha_{\infty}\rho_0}{\phi} \left[ 1 - j \frac{\sigma\phi}{\omega\alpha_{\infty}\rho_0} \sqrt{1 + j \frac{4\alpha_{\infty}^2\eta\rho_0\omega}{\sigma^2\Lambda^2\phi^2}} \right], \quad (13)$$

$$\chi(\omega) = \frac{\gamma - (\gamma - 1) \left[ 1 - j \frac{8\kappa}{\omega\rho_0 C_p \Lambda'^2} \sqrt{1 + j \frac{\omega\rho_0 C_p \Lambda'^2}{16\kappa}} \right]^{-1}}{\frac{\gamma P_0}{\phi}}, \quad (14)$$

311 where  $\gamma$  is the heat capacity ratio,  $\rho_0$ ,  $\eta$ ,  $C_p$  and  $\kappa$  are the density, the dynamic  
 312 viscosity, the heat capacity and the heat conductivity of air,  $P_0$  is the static  
 313 pressure,  $\phi$ ,  $\alpha_{\infty}$  and  $\sigma$  are the open porosity, the high frequency limit of the  
 314 tortuosity and the static air flow resistivity of the substrate,  $\Lambda$  and  $\Lambda'$  are the  
 315 viscous and thermal characteristic lengths of the substrate. In **the** limp frame  
 316 model, the effective density is modified to take into account the inertia added  
 317 by the limp solid phase:

$$\rho_{limp}(\omega) = \frac{(\rho_s + \phi\rho_0)\rho_{rigid}(\omega) - \rho_0^2}{(\rho_s + \phi\rho_0) + \rho_{rigid}(\omega) - 2\rho_0} \quad (15)$$

318 Model parameters are evaluated for each substrate and each moisture con-  
 319 tent using an iterative optimization process which minimizes for each measure-  
 320 ment the error between the measured absorption coefficient spectrum (Fig.9(b)  
 321 or 9(c)) and the calculated one with rigid-frame or limp frame model. A ge-  
 322 netic algorithm is used on ten different starting populations in order to verify  
 323 its convergence. For perlite, similar results are obtained with rigid-frame and  
 324 limp frame models. Best results for coir dust are obtained with limp frame  
 325 model. Final parameters are reported in table 3 for coir dust and table 4 for



326 perlite. Effective density and compressibility simulated with these parameters  
327 are displayed in Fig.9 and 10.

328 Variation of coir dust parameters obtained for different samples of the same  
329 substrate and same moisture content do not exceed 7 % excepted for thermal  
330 length at a moisture content of 30 %. Calculated porosities agree with mea-  
331 surements made with the porosimeter excepted for a 200 % moisture content.  
332 Calculated airflow resistivities are smaller than those measured with the **flow**  
333 **resistivity meter**. In particular, the increase of resistivity measured with  
334 the resistivimeter for a moisture content of 15 % (table 2 and Fig.4(c)) is not  
335 found. The monotoneous decrease of resistivity with moisture content above  
336 15 % is consistent with previous measurements. Calculated effective densities  
337 (Fig. 10(a) and 10(b)) exhibit variations with moisture content of the same  
338 order of magnitude than the measured ones (Figs. 8(a) and 8(b)). However,  
339 the fine evolution is not correctly described. Differences on real part of density  
340 for moisture contents of 15 and 30 % can originate from the high sensitivity of  
341 substrate resistivity to substrate-water mixing process mentionned previously.  
342 Calculated imaginary parts of density are also very different from measured ones  
343 at moisture contents of 0 % and 200 %. Real parts of calculated effective com-  
344 pressibility (Fig.10(c)) are slightly overestimated and their decrease between  
345 low (0 to 30%) and high (200%) moisture contents is correctly described.

346 Perlite parameters display larger variations between samples than that of coir  
347 dust in particular for airflow resistivity. Calculated porosities are much lower  
348 than measured ones with the porosimeter at any moisture content. Calculated  
349 airflow resistivities decrease with increasing moisture contents contrary to the  
350 values measured with the resistivimeter. Calculated effective densities (Fig.  
351 12(a) and 12(b)) are the same order of magnitude than the measured ones (Figs.  
352 8(c) and 8(d)). The largest calculated densities (real and imaginary parts) are  
353 obtained for a moisture content of 30 % whereas the largest measured densities  
354 correspond to dry perlite. Calculated real parts of effective compressibility (Fig.  
355 12(c)) display similar orders of magnitude and similar decrease with moisture  
356 contents. However, the model predicts constant **compressibilities** value in

	$\tau$	$\phi$	$\sigma$ ( $N.s.m^{-4}$ )	$\alpha_{\infty}$	$\Lambda$ ( $m^{-1}$ )	$\Lambda'$ ( $m^{-1}$ )
0	average	0.62	1371	1.79	$1.94.10^{-4}$	$3.35.10^{-4}$
	variation	< 0.01	531	< 0.01	$0.07.10^{-4}$	$0.15.10^{-4}$
0.15	average	0.54	1477	1.53	$2.10.10^{-4}$	$3.52.10^{-4}$
	variation	0.04	917	0.07	$0.30.10^{-4}$	$0.25.10^{-4}$
0.30	average	0.28	2402	1	$1.43.10^{-4}$	$3.15.10^{-4}$
	variation	< 0.01	649	< 0.01	$0.01.10^{-4}$	$0.03.10^{-4}$

Table 3: Parameters of rigid-frame Johnson-Champoux-Allard model of dry and moistened perlite substrates.

	$\tau$	$\phi$	$\sigma$	$\alpha_{\infty}$	$\Lambda$	$\Lambda'$
0	average	0.89	32316	1.02	$1.04.10^{-5}$	$4.98.10^{-5}$
	variation	0.01	65	0.01	$0.01.10^{-5}$	$0.1.10^{-5}$
0.15	average	0.82	22273	1.04	$7.98.10^{-6}$	$5.03.10^{-5}$
	variation	< 0.01	134	< 0.01	$0.15.10^{-5}$	$0.24.10^{-5}$
0.3	average	0.98	29551	1.03	$1.42.10^{-5}$	$2.26.10^{-4}$
	variation	0.02	148	< 0.01	$0.05.10^{-5}$	$0.75.10^{-4}$
2	average	0.6	5730	1.17	$9.26.10^{-5}$	$2.39.10^{-4}$
	variation	< 0.01	395	< 0.01	$0.19.10^{-5}$	$0.06.10^{-4}$

Table 4: Parameters of limp frame model of dry and moistened coir dust substrates.

357 the considered frequency range whereas measurements display an increase of  
358 compressibility with frequency.

## 359 5. Effect of moisture content on the acoustic properties of green en- 360 velopes

361 To evaluate the impact of moisture content on the acoustic performance of a  
362 green envelope, simulations are conducted on a composite sample composed of a  
363 8 cm thick layer of spindle (95% porosity) atop a 8 cm thick layer of substrate us-  
364 ing two methods: 1) transfer matrix method using effective properties obtained

365 experimentally for dry and moistened substrates and for plants [11]; 2) transfer  
366 matrix method using porous model for substrates and effective properties ob-  
367 tained experimentally for plants. Variation of absorption coefficient and surface  
368 impedance with moisture contents for plant-perlite and plant-coir dust compos-  
369 ite samples are displayed in Figs. 12 and 13 for both calculation methods. For a  
370 composite sample with dry perlite, an acoustic absorption coefficient above 0.95  
371 is obtained between 400 and 1000 Hz (Fig. 12(c)). High absorption coefficient  
372 and broad band of absorption may be attributed to both quarter-wavelength  
373 thickness resonance of the envelope and the impedance matching provided by  
374 the plant layer which acts as a quarter-wavelength transformer between the air  
375 and the substrate [11]. For moisture contents of 15 or 30%, a slight degradation  
376 of absorption band is found with model 1 between 300 and 900 Hz due to the  
377 lower attenuation and the higher characteristic impedance of moistened perlite  
378 that detunes the matching effect provided by the plant layer. Slight variations  
379 of absorption coefficient are also predicted when using rigid-frame porous model  
380 2 but with better absorption for 15 % moisture content than for dry perlite. The  
381 main differences between the models are observed on surface impedance below  
382 500 Hz.

383 For a composite sample with dry coir dust, an acoustic absorption coefficient  
384 above 0.8 is obtained between 200 and 1000 Hz (Fig. 12(a)) with both models.  
385 For moistened coir dust, the absorption coefficient calculated with model 1 is  
386 degraded between 150 and 700 Hz for any moisture contents. The lower speed of  
387 sound in moistened coir dust shifts down below 100 Hz the quarter-wavelength  
388 thickness resonance of the envelope. Therefore, an anti-resonance peak appears  
389 near 200 Hz and the impedance mismatch between air characteristic impedance  
390 and envelope surface impedance increases (Fig. 12(b)). A much more limited  
391 shift of thickness resonance is obtained with limp frame porous model 2 with  
392 smaller changes in acoustic absorption for moisture contents of 15 and 30 %.  
393 Again, the main differences between the models concern the surface impedance  
394 below 400 Hz.

## 395 **Summary and conclusion**

396 Different variations of effective density and compressibility with moisture  
397 content are measured for the two considered substrates. In perlite, a sharp drop  
398 of real and imaginary parts of density and a slight decrease of real part of com-  
399 pressibility with some oscillations are observed in the presence of moisture. Coir  
400 dust exhibits a much more complex behaviour. Real and imaginary parts of its  
401 effective density increase at low moisture contents. At high moisture content,  
402 real part of density decreases (at a value that remains higher than the value for  
403 dry coir dust) and the imaginary part of density remains stable. For effective  
404 compressibility, real part decreases monotonously with increasing moisture con-  
405 tent while imaginary part strongly increases for low moisture content and then  
406 decreases (at a value that remains higher than the value for dry coir dust) at  
407 high moisture content. In addition, large oscillations with frequency of real and  
408 imaginary parts of density and imaginary part of compressibility are observed  
409 in moistened coir dust. These different variations may be analyzed using com-  
410plementary measurements of dry and moistened substrate porosity, density and  
411the knowledge of perlite and coir dust particles microstructure. Perlite particles  
412are stiff and can only trap water in their micropores. On the contrary, pithy  
413tissue particles constituting most of coir dust substrate are soft and can store  
414water into their cells. Therefore, coir dust particles constitute a soft frame and  
415have a volume which tends to increase with moisture content.

416 Two different porous models are considered to predict properties of these lightweight  
417 substrates: rigid-frame Johnson-Champoux-Allard model for perlite and limp  
418 frame for coir dust. Estimation of model parameters is difficult in the case of  
419 perlite. Large differences are found between measured static values of porosity  
420 and airflow resistivity and values of these parameters issued from an optimiza-  
421 tion process using a measured acoustic absorption spectrum. Consequently,  
422 rigid-frame model fails to predict the variations of effective density with mois-  
423 ture content. For coir dust, variation of real parts of density and compressibility  
424 with moisture content is well captured by the limp frame model qualitatively.

425 Some differences remains on the imaginary part of density for dry coir dust and  
426 moistened coir dust with a 200% content. In addition, the low speed of sound of  
427 the considered substrates, 40-100 ms-1 for coir dust and 80-220 ms-1 for perlite,  
428 questions the validity of plane-wave hypothesis used in the models. Differences  
429 between absorption coefficient of the same substrate and moisture content mea-  
430 sured with impedance tube of different diameters could be explained by radial  
431 effects.

432 Finally, simulations of plant-substrate envelopes designed to have a high and  
433 broadband acoustic absorption in dry condition show that moisture tends to  
434 reduce absorption coefficient as reported in previous works [3, 5, 7, 8, 10]. This  
435 degradation of absorption spectrum has different origins according to the con-  
436 sidered substrate: a lower attenuation and a higher characteristic impedance  
437 of the substate in the case of moistened perlite; a lower speed of sound of the  
438 substrate that shifts down the first absorption peak below 100 Hz in the case  
439 of moistened coir dust. The considered porous models evaluate correctly the  
440 measured absorption spectrum of plant-substrate envelopes in dry condition.  
441 However, they failed to estimate their surface impedance spectrum below 500  
442 Hz and the changes of their absorption coefficient due to moisture.

#### 443 *Acknowledgement*

444 This work was supported by ADEME, Yncréa Group and “Hauts-de-France”  
445 region. We also thank Geoffrey Pot and Nicolas Côté for their help in the exper-  
446 iments and the anonymous reviewers for their helpful and relevant comments.

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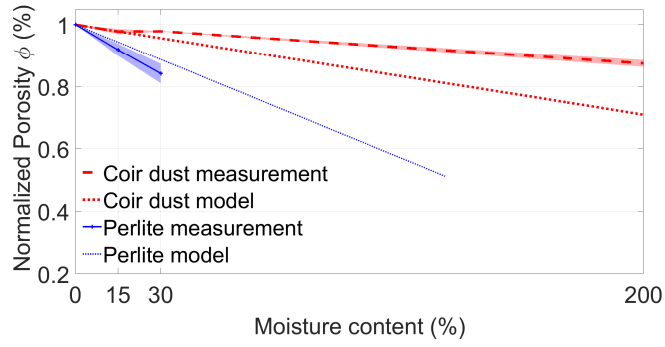
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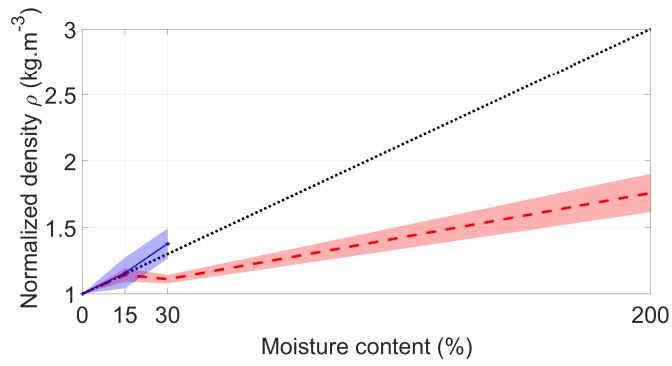
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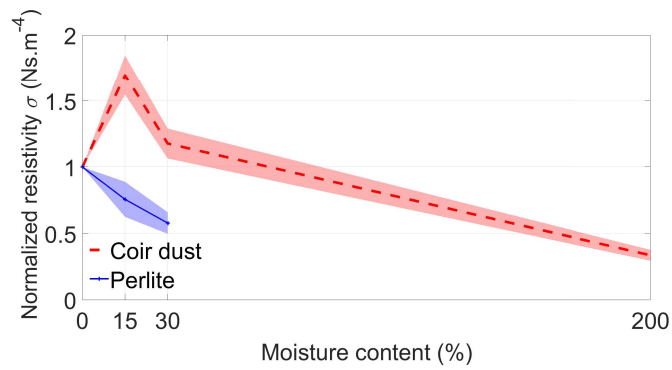
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(a)

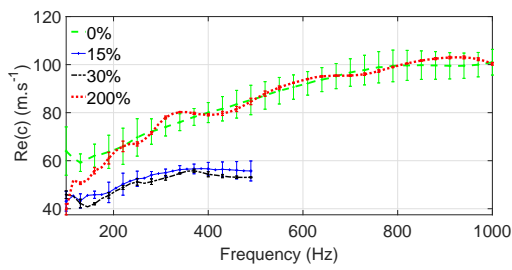


(b)

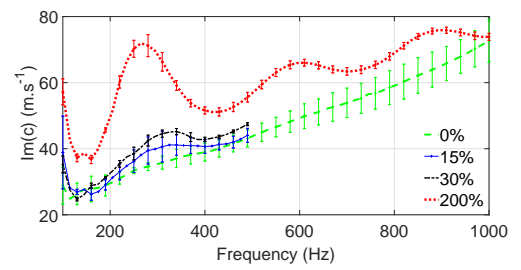


(c)

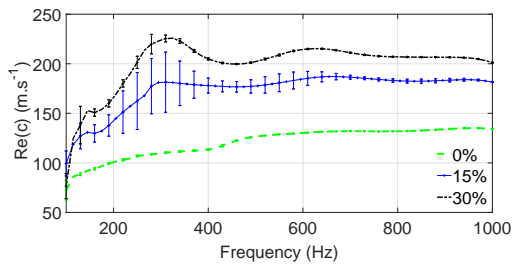
Figure 4: Variation of coir dust and perlite physical parameter with moisture content: (a) normalized porosity, (b) normalized density, (c) normalized airflow resistivity



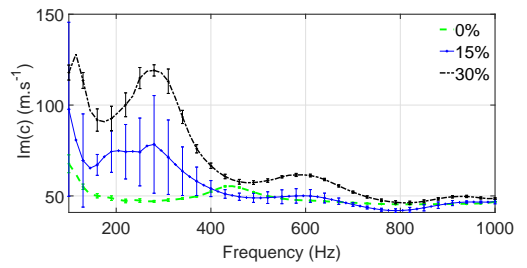
(a)



(b)

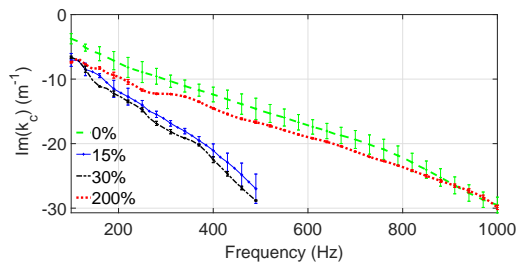


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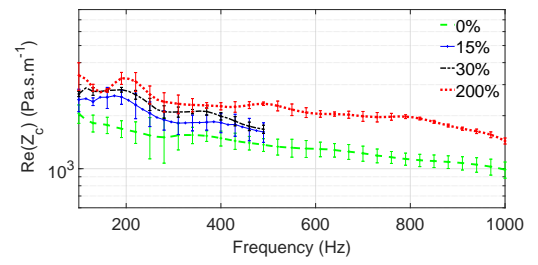


(d)

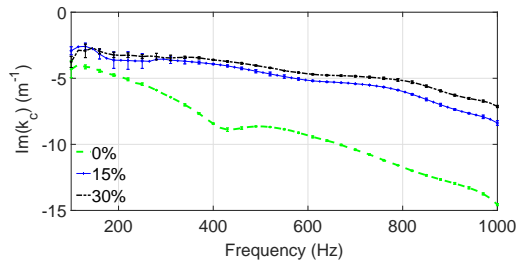
Figure 5: Measured real and imaginary parts of effective speed of sound of substrates for moisture contents varying between 0 and 30% or 200%. Coir dust: (a) and (b) and Perlite (c) and (d). Full line: average value; error bars: standard deviation.



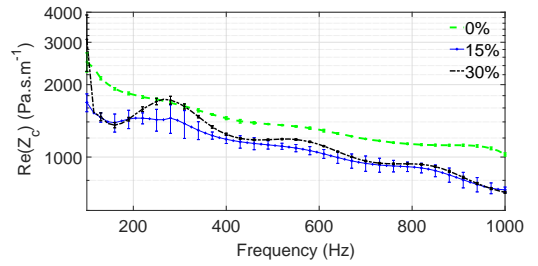
(a)



(b)

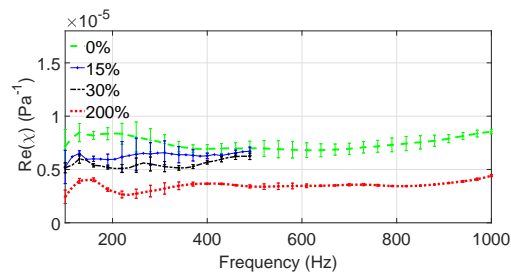


(c)

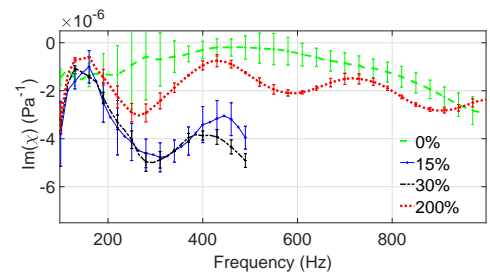


(d)

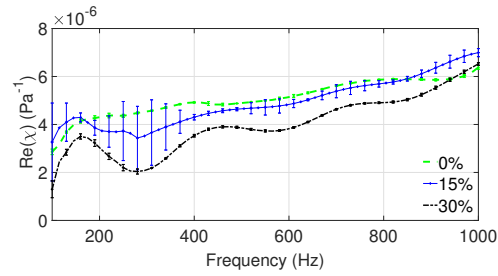
Figure 6: Measured effective attenuation and real part of characteristic impedance of substrates for moisture contents varying between 0 and 30% or 200%. Coir dust : (a) and (b). Perlite: (c) and (d). Full line: average value; error bars: standard deviation.



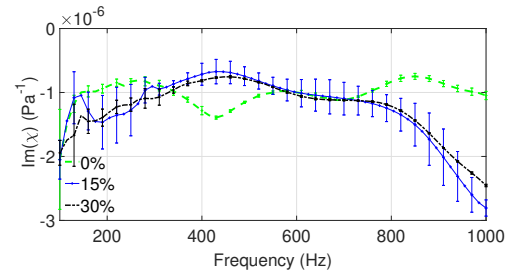
(a)



(b)

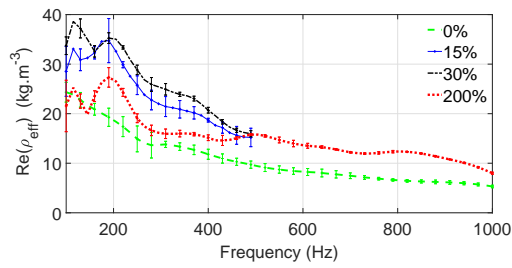


(c)

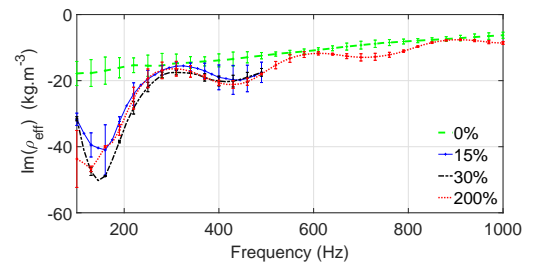


(d)

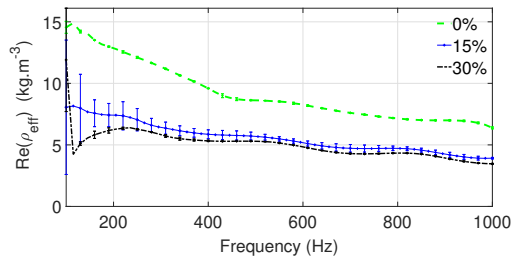
Figure 7: Measured effective real and imaginary parts of substrates compressibility for moisture contents varying between 0 and 30% or 200%. Coir dust: (a) and (b). Perlite: (c) and (d). Full line: average value; error bars: standard deviation.



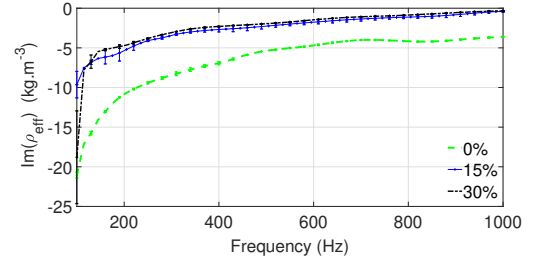
(a)



(b)



(c)



(d)

Figure 8: Measured effective real and imaginary parts of substrates density for moisture contents varying between 0 and 30% or 200%. Coir dust: (a) and (b). Perlite: (c) and (d). Full line: average value; error bars: standard deviation.

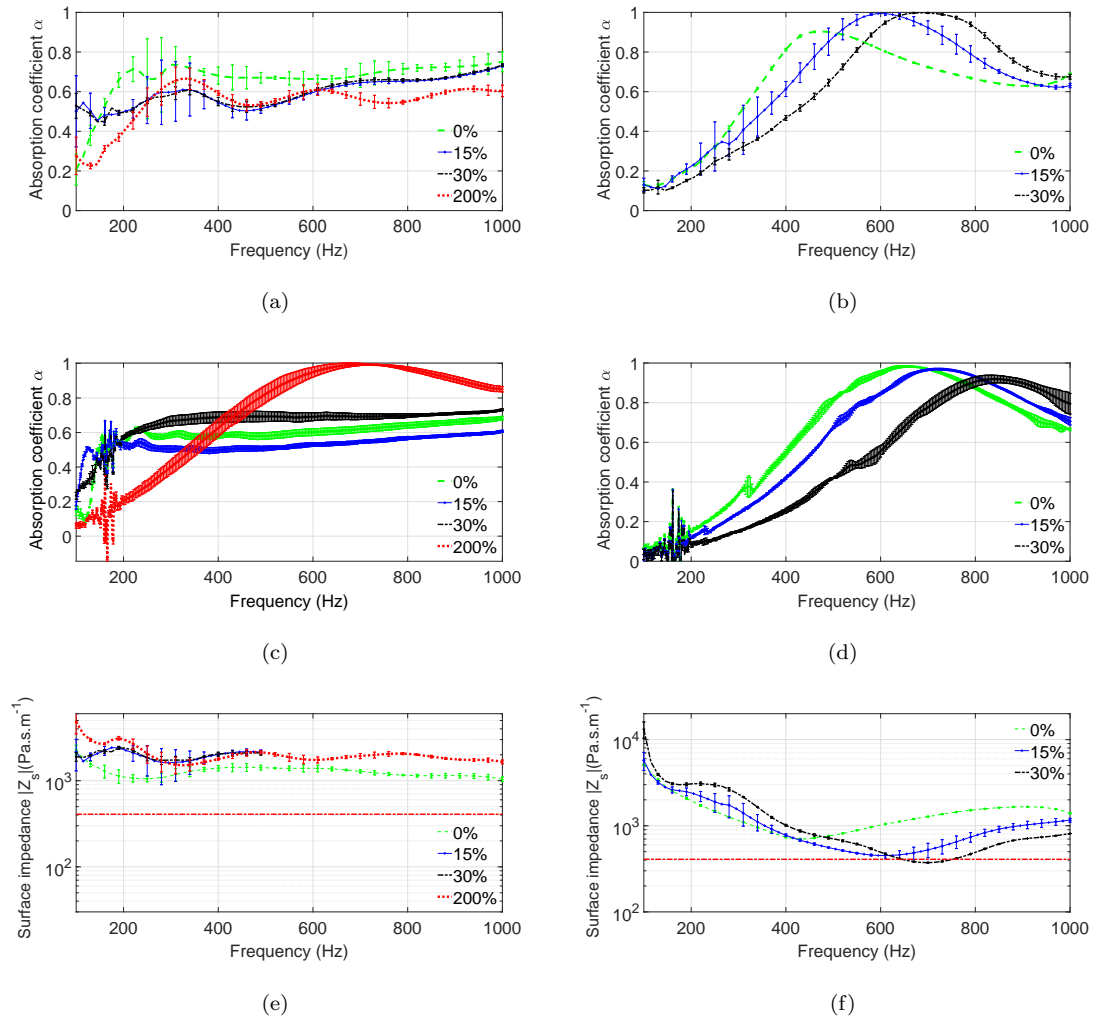
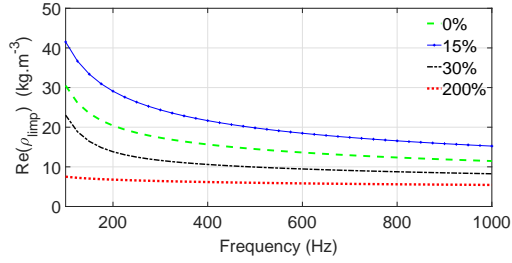
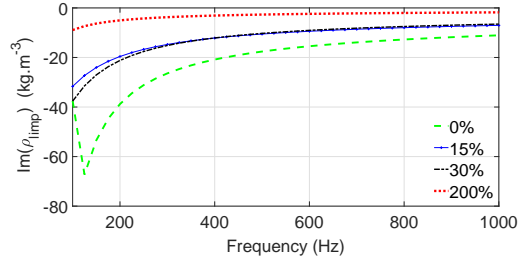


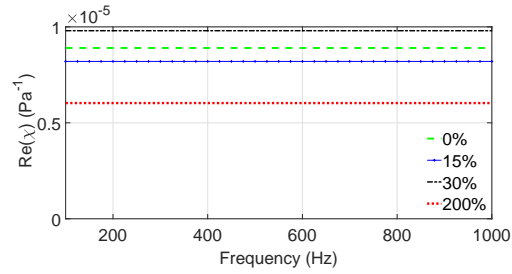
Figure 9: Measured absorption coefficients and surface impedance of 8 cm thick substrate samples for different moisture contents. Absorption coefficient measured with first method : (a) coir dust, (b) perlite. Absorption coefficient measured with second method : (c) coir dust, (d) perlite. Surface impedance measured with first method : (e) coir dust, (f) perlite. Full line: average value; error bars: standard deviation



(a)



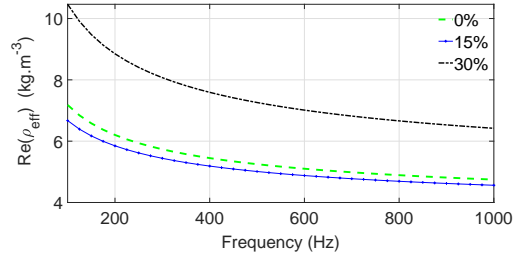
(b)



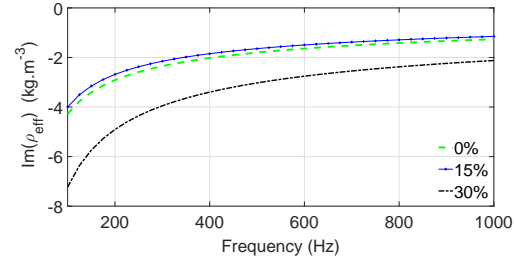
(c)

Figure 10: Effective density and compressibility of dry and moistened coir dust calculated using limp frame model. Density: real part (a); imaginary part (b). Compressibility: real part (c); imaginary part negligible (not drawn).

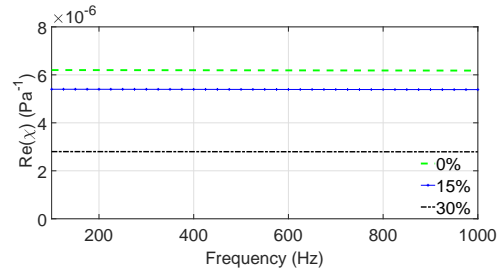




(a)

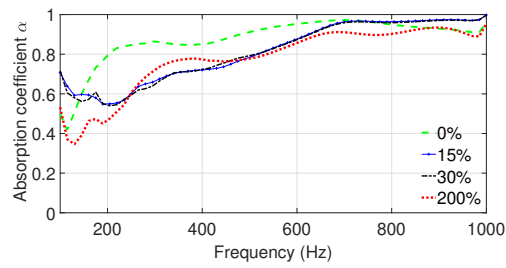


(b)

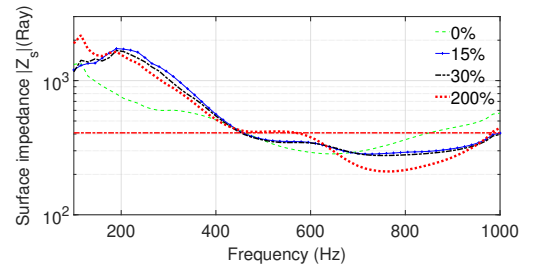


(c)

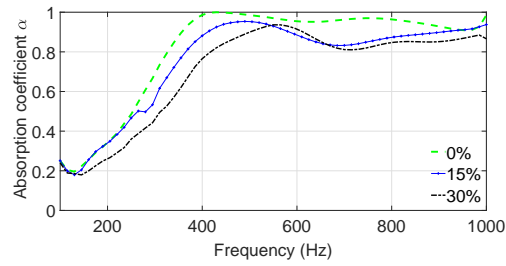
Figure 11: Effective density and compressibility of dry and moistened perlite calculated using rigid-frame model. Density: real part (a); imaginary part (b). Compressibility: real part (c); imaginary part negligible (not drawn).



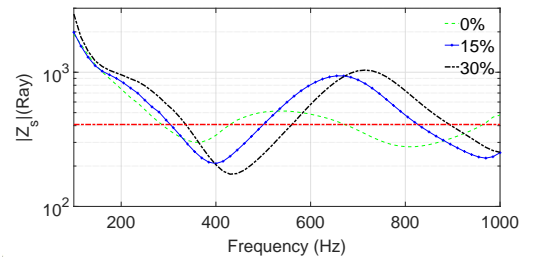
(a)



(b)

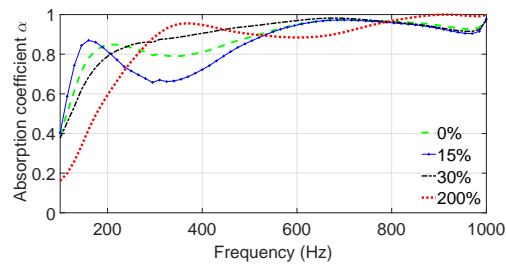


(c)

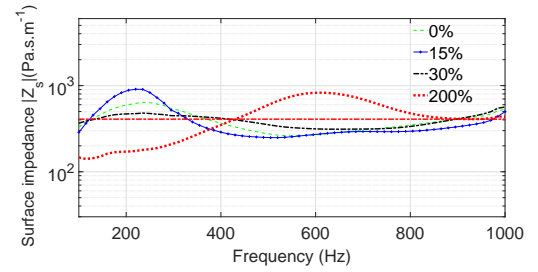


(d)

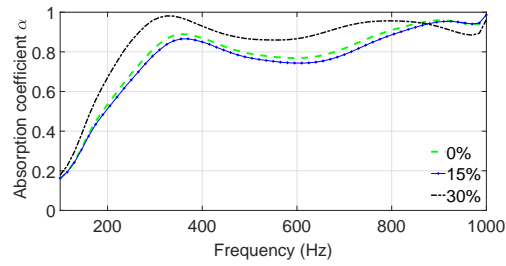
Figure 12: Absorption coefficient and surface impedance of composite samples (8 cm thick plant atop 8cm thick substrate) calculated with method 1 for different moisture contents . Coir dust: (a) and (b). Perlite: (c) and (d).



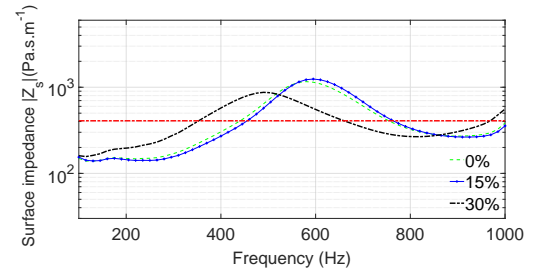
(a)



(b)



(c)



(d)

Figure 13: Absorption coefficient and surface impedance of composite samples (8 cm thick plant atop 8 cm thick substrate) calculated with method 2 for different moisture contents. Coir dust: (a) and (b). Perlite: (c) and (d).