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## The Formation and Destruction of Inversion Layers within a Deep Valley

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### ABSTRACT

The technique of large-eddy simulations has been used to investigate thermally driven local circulations in deep valleys for a complete diurnal cycle. A soil model simulates the thermal forcing at the ground, which depends on the season, the soil characteristics, the valley orientation, and the atmospheric variables.

The scales of interest are characteristic of an urban site located in a mountainous area, and the research focuses on low wind conditions without the influence of large-scale pressure gradients. This study highlights the influence of the season on the mechanisms responsible for the formation and the destruction of the thermal inversion layer. The spatial distribution of the convective boundary layer (CBL) within the valley is directly influenced by the season because of the variation of the solar warming. In summer, the altitude of the top of the CBL remains approximately constant across the valley, whereas in winter, this altitude varies with its location within the valley.

### 1. Introduction

Valleys produce their own local wind systems as a result of thermal differences. The most developed and most symmetric wind system might be anticipated in a deep, straight valley with a north–south axis. But even then, some asymmetry may develop with time due to the diurnal variation of the solar radiation input to west- and east-facing slopes. In the case of light geostrophic winds, upvalley and upslope winds usually form during the day due to surface heating. At night, surface cooling commonly leads to drainage flows in the reverse direction. In addition, with differential solar heating of the valley slopes, a cross-valley wind component may also develop.

While there are numerous studies dealing with the meteorology in wide valleys, there are relatively few studies of the dynamics of the atmosphere within narrow valleys. Furthermore, very little has been done toward understanding and/or modeling strong inversion conditions within these small valleys, despite the fact that the atmospheric dynamics within such a valley is often marked by a thermal inversion layer that reduces vertical exchanges between the bottom of the valley and larger atmospheric scales. For this atmospheric situation, the coldest (and densest) air settles to the lowest level and therefore potential temperature increases with height above the valley floor. Above this stably stratified part

of the atmosphere, the so-called valley inversion, the normal adiabatic decrease of temperature with height, usually prevails (Oke 1987).

The formation and destruction of inversion layers are well described in the literature (Stull 1988), in which the time evolution of the inversion layer within a perfectly symmetric valley is usually presented. The physical mechanisms are qualitatively described without taking into account either the season that influences the solar radiation distribution or the valley orientation. From these descriptions, it is therefore difficult to obtain the characteristic timescale for the destruction–formation of an inversion layer.

A detailed paper from Whiteman (1982) introduces the timescale required for the destruction of the observed nocturnal inversion layer in deep mountain valleys in western Colorado. The observed vertical potential temperature profiles, at the center of the valley, evolve following one of three idealized patterns. The first pattern of inversion destruction is characterized by the upward growth from the ground of a convective boundary layer (CBL) and describes atmospheric boundary layer behavior over flat terrain. This occurred once on a summer day in the widest valley studied by Whiteman. The second pattern is an inversion destruction caused by the descent of the top of the inversion into the valley, which is accompanied by a warming of the valley atmosphere. This pattern was observed twice during field experiments in winter when the valley had extensive snow cover. The third pattern is a combination of the two previous ones and has been observed in all seasons. Inversion breakup is generally completed in 3–5 h after sunrise unless the valley is snow covered or the ground is wet.

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base state and  $\Delta\Psi$  is the deviation from this state. Furthermore,  $\Psi$  can also be expressed as

$$\Psi(x, y, z, t) = \langle \Psi(x, y, z, t) \rangle + \psi(x, y, z, t), \quad (2)$$

where  $\langle \Psi \rangle$  denotes the large-scale field of the variable  $\Psi$  after the filtering operation using the grid mesh  $\Delta_c$  as the characteristic length of the filter. The variable  $\psi$  represents the turbulent contribution that cannot be resolved explicitly. Nevertheless, it is involved in the mechanisms determining the evolution of large-scale fields through turbulent subgrid fluxes.

Momentum, continuity, and thermodynamic energy equations are used to compute the velocity  $U_i$ , the static pressure  $P$ , and the potential temperature  $\Theta$ . In the following equations,  $c$  is the speed of sound,  $\nu$  is the kinematic molecular viscosity, and  $R$  and  $C_p$  are, respectively, the universal gas constant and the specific heat at constant pressure. Here,  $f_i$  ( $i = 1, 2, 3$ ) represents the Coriolis terms, and  $B_i$  stands for the buoyancy force.

The momentum equation is

$$\begin{aligned} \frac{\partial \langle U_i \rangle}{\partial t} + \langle U_j \rangle \frac{\partial \langle U_i \rangle}{\partial x_j} \\ = -\frac{1}{\langle \rho \rangle} \frac{\partial \langle P \rangle}{\partial x_i} + \langle B_i \rangle + \langle f_i \rangle \\ + \frac{\partial}{\partial x_j} \left[ \nu \left( \frac{\partial \langle U_i \rangle}{\partial x_j} + \frac{\partial \langle U_j \rangle}{\partial x_i} \right) - \langle u_i u_j \rangle \right], \quad (3) \end{aligned}$$

where  $U_i$  stands for the different velocity components: the west–east component  $U$ , the north–south component  $V$ , and the vertical component  $W$ . The pressure is chosen in preference to density as the prognostic variable, and density is derived from temperature and pressure. The pressure equation is obtained by taking the material derivative of the equation of state and replacing the time derivative of density by the velocity divergence using the mass continuity equation:

$$\frac{\partial \langle \Delta P \rangle}{\partial t} + \langle U_j \rangle \frac{\partial \langle P \rangle}{\partial x_j} = (\langle \rho \rangle c^2) \left( \frac{1}{\langle \Theta \rangle} \frac{\partial \langle \Theta \rangle}{\partial t} - \frac{\partial \langle U_i \rangle}{\partial x_i} \right). \quad (4)$$

The predictive form of the energy balance equation used here is

$$\frac{\partial \langle \Theta \rangle}{\partial t} + \langle U_j \rangle \frac{\partial \langle \Theta \rangle}{\partial x_j} = \frac{\partial}{\partial x_j} (-\langle \theta u_j \rangle). \quad (5)$$

The subgrid-scale turbulent fluxes (heat  $\langle \theta u_i \rangle$ , momentum  $\langle u_i u_j \rangle$ ) must be expressed in terms of resolved-scale variables.

## 2) SUBGRID-SCALE TURBULENCE CLOSURE

As in any large-eddy simulation, small scales have to be modeled to estimate subgrid fluxes  $\langle u_i u_j \rangle$  and  $\langle \theta u_i \rangle$ . These contributions are assumed to be proportional to the deformation and temperature gradient, respectively,

TABLE 1. Modelization of the TKE equation terms.

SGS buoyancy production: $B_e = K_h \langle \rho \rangle \frac{g}{\Theta_o} \frac{\partial \langle \Theta \rangle}{\partial z}$	
SGS shear production: $P_e = \langle \rho \rangle K_m S_{ij}^2$	$= \langle \rho \rangle K_m \left( \frac{\partial \langle U_i \rangle}{\partial x_j} + \frac{\partial \langle U_j \rangle}{\partial x_i} - \frac{2}{3} \frac{\partial \langle U_k \rangle}{\partial x_k} \delta_{ij} \right)^2$
Diffusion of $e$ : $D_e = \frac{\partial}{\partial x_j} \left( 2K_m \frac{\partial e}{\partial x_j} \right)$	
Dissipation rate of $e$ : $\varepsilon = C_e \frac{e^{3/2}}{l}$	

through the subgrid-scale (SGS) eddy coefficients  $K_m$  and  $K_h$  for momentum and heat:

$$\begin{aligned} \langle u_i u_j \rangle &= \frac{2}{3} e \delta_{ij} - K_m \left( \frac{\partial \langle U_i \rangle}{\partial x_j} + \frac{\partial \langle U_j \rangle}{\partial x_i} \right), \\ \langle \theta u_j \rangle &= -K_h \frac{\partial \langle \Theta \rangle}{\partial x_j}. \quad (6) \end{aligned}$$

In the atmosphere, even at the meso- $\gamma$  scales under consideration, the filter width  $\Delta_c$  is so wide (typically 100–200 m) that a large amount of turbulent fluctuations are associated with the unresolved scales. The unresolved SGS fluxes therefore have to be modeled carefully.

Another consideration, especially for the problem of inversions, is the effect of stratification that changes in space and time. It results in local and temporary reductions of turbulent fluxes along the vertical direction that has to be included in the SGS model. Also, the ground surface plays a major role in the dynamics under consideration. A significant amount of turbulence is produced in the vicinity of the ground, but it is not necessarily dissipated there.

For all these reasons, a transport equation based on the work of Moeng (1984) for SGS turbulent kinetic energy  $e$  is solved. For each type of stratification, a length scale  $l$  is determined. The equation for  $e$  is deduced from Deardorff (1980):

$$\frac{\partial e}{\partial t} + \langle U_j \rangle \frac{\partial e}{\partial x_j} = B_e - P_e + D_e - \varepsilon, \quad (7)$$

where the different terms of Eq. (7) are explicitly defined in Table 1.

The turbulent eddy viscosity  $K_m$  and the eddy diffusivity  $K_h$  are then expressed by

$$K_m = C_k l e^{1/2}; \quad K_h = K_m (1 + 2l/\Delta_c). \quad (8)$$

For an unstable atmosphere, the mixing length is  $l = \Delta_c$ , where  $\Delta_c = (\Delta_x \Delta_y \Delta_z)^{1/3}$ , while in stable stratification, the mixing length is smaller than  $\Delta_c$  and is assumed to be

$$l = 0.76 e^{1/2} \left( \frac{g}{\Theta_o} \frac{\partial \Theta}{\partial z} \right)^{-1/2}. \quad (9)$$







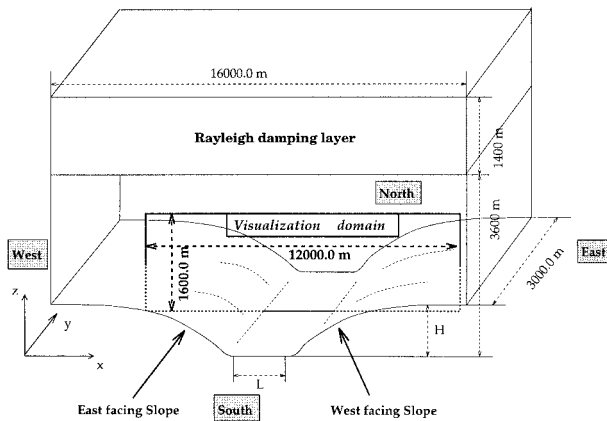


FIG. 3. Representation of the valley.

ordinate system does not allow slopes steeper than approximately  $40^\circ$ . The plateaus near the western and the eastern boundaries of the domain are used to restrict the effects of the lateral boundaries (radiative boundary type) in these directions. For northern and southern boundaries, periodic boundary conditions are prescribed to simulate a long valley. The ratio of the width of the bottom of the valley in the west–east direction to the depth of the valley ( $L/H \approx 2.4$ ) should allow several vertical structures to develop in the case of strong convective activity. At the top of the domain, we use a Rayleigh damping layer (Klemp and Lilly 1978).

Two simulations have been carried out to investigate the effects of the season on the mechanisms of the formation and destruction of the inversion layers. A typical day in winter (February) and a day in summer (August), at latitude  $45^\circ\text{N}$  and longitude  $00^\circ$ , have been considered. Due to the scales of interest, the Coriolis forcing ( $f_c$ ) has been neglected Eq. (3). The numerical parameters are summarized in the Table 2.

The simulations were started 1 h after sunrise in summer and 1.5 h in winter. For both simulations, the temperature field is initialized with a constant stable stratification over the whole domain, characterized by the Brunt–Väisälä frequency ( $N$ ) characteristic of the season. Therefore, no artificial vertical scale is introduced. The wind field is initially set to zero, which is consistent with the observed wind field early in the morning in a similar type of valley (Kuwagata and Kimura 1995). The air is assumed to remain dry.

The simulations are run for 48 h in both cases. This allows a balance of the heat exchange at the ground during the first 24 h and avoids any numerical dependency on the arbitrary initial conditions. Therefore, the results of the second day will be the only ones discussed below.

#### a. Solar heat forcing at the ground

The diurnal variation of the ground heat flux on the east- and west-facing slopes is plotted in Fig. 4 for the

TABLE 2. Numerical parameters of the simulations.

	Summer	Winter
Resolution ( $nx)(ny)(nz$ )	$83 \times 18 \times 53$	
$(\Delta n)(\Delta y)$ (m)	$200 \times 200$	
$\Delta z$ (m)	$75 \rightarrow 100$	
Time step $\Delta t$ (s)	2	
Initial simulation time (LT)	0600	0800
Sunrise (SR)	0500	0630
Sunset (SS)	1845	1700
Soil type	Sandy–clay–loam	
Initial soil temperature (K)	273	263
Initial Brunt–Väisälä frequency ( $s^{-1}$ )	$1.9 \times 10^{-2}$	$1.3 \times 10^{-2}$
Cray C98 CPU time	1680 s/simulated	

two seasons. This quantity is an output variable of the soil model SM2-Isba, and it has been averaged in the north–south direction. The east–west orientation of the slopes of the valley has been chosen to maximize the discrepancy of the solar radiation between the summer and winter (as already noted by Oke 1987).

We note that the nocturnal radiative cooling is almost the same for the two seasons due to the choice of the same type of soil. The absence of snow cover and any account of the water cycle causes the dry positive heat

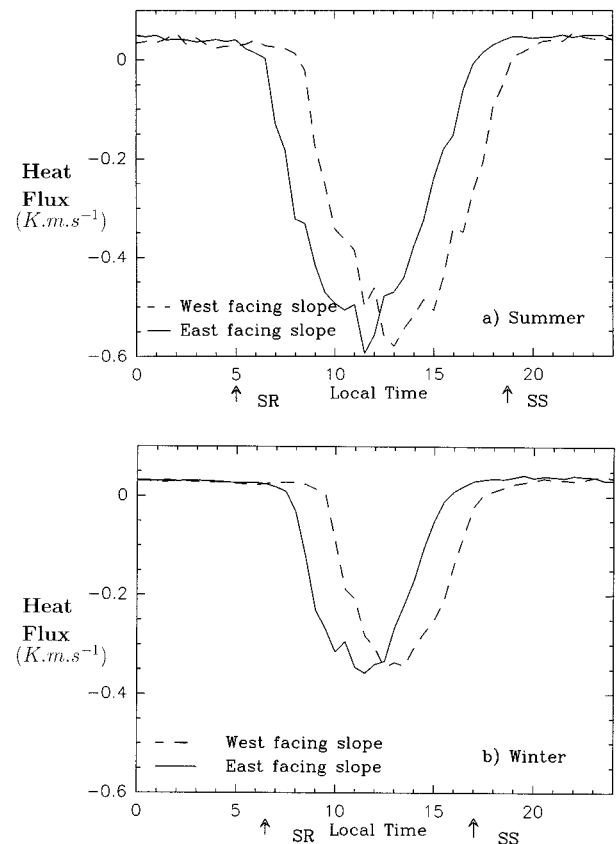


FIG. 4. Heat flux at the ground vs time. Here, SR refers to the sunrise and SS to the sunset.





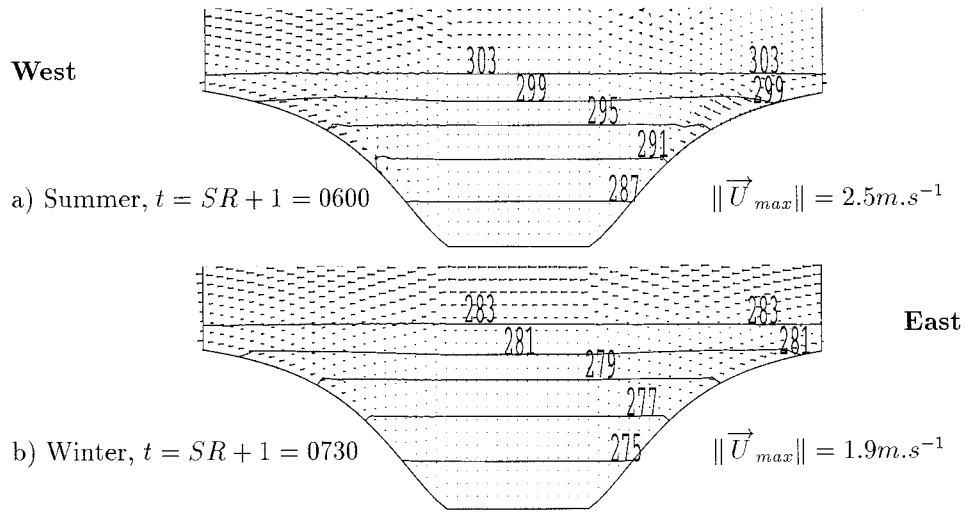


FIG. 7. Vertical cross section of the isovalue of the potential temperature  $\Theta$  and the velocity vectors.

figures have been selected to show every important stage of the process during the day.

1) DAWN

In Fig. 7 the NIL within the valley and above the plateaus is characterized by low wind speed (less than  $2.5 \text{ m s}^{-1}$  in summer and  $1.9 \text{ m s}^{-1}$  in winter). The temperature isolines are horizontal. The intensity of the stratification in the valley is stronger in summer ( $N = 2 \times 10^{-2} \text{ s}^{-1}$ ) than in winter ( $N = 1.5 \times 10^{-2} \text{ s}^{-1}$ ). The nocturnal flow during the night fills the valley with cold air, and the stratification gets stronger since soil and air temperature balance each other. Thus, the velocities are considerably reduced in the lower part of the valley. The flow in the upper part of the valley and along the plateaus remains unchanged from the previous day.

2) MORNING

The anabatic wind develops along the sunny east-facing slope and leads to the destruction of the inversion above this slope (see Fig. 8). This atmospheric motion impacts on the inversion lid, generating, therefore, two counterrotating vortices within the valley. Due to the valley orientation, this motion is asymmetric with a stronger vortex on the east-facing slope at this time of the day. Therefore, an intense vertical motion develops in the western part of the valley.

3) MIDDAY

In Fig. 9 the inversion above the plateaus has been completely destroyed by convective upward velocity due to the ground warming. The upward motion within

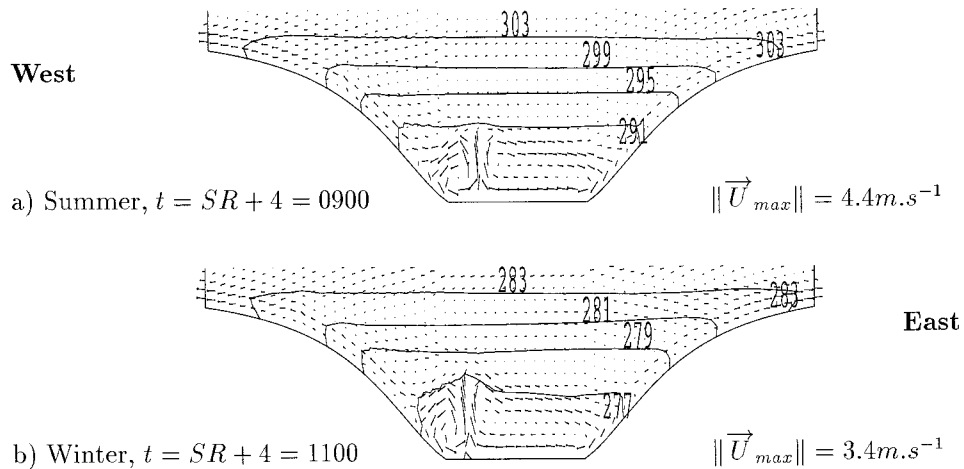


FIG. 8. Vertical cross section of the isovalue of the potential temperature  $\Theta$  and the velocity vectors.

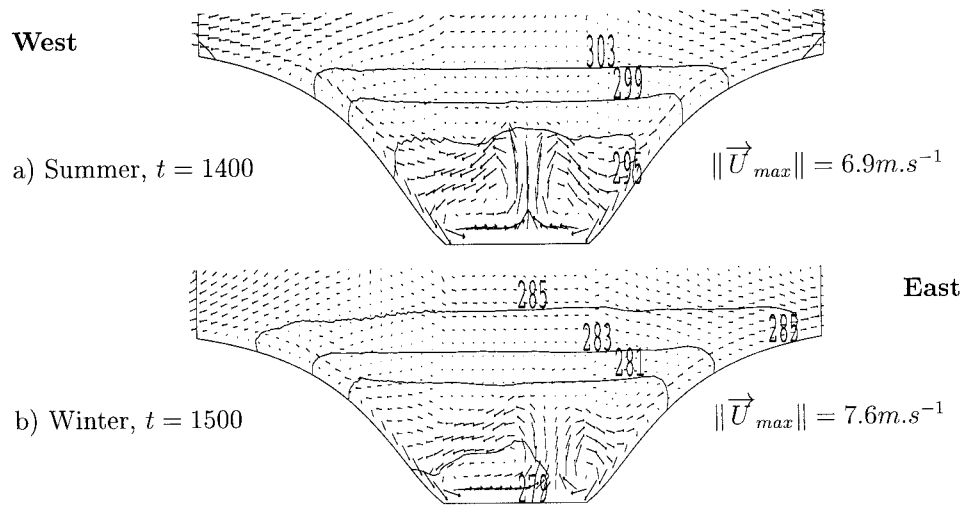


FIG. 9. Vertical cross section of the isovalue of the potential temperature  $\Theta$  and the velocity vectors.

the valley has moved from the west side toward the east side of the valley. For both seasons, a stably stratified layer persists in the upper part of the valley. Due to mixing in lower layers, the temperature is homogeneous close to the ground. The large-scale motion above the inversion is due to the warming of the plateaus. Nevertheless, in summer, the isolines of the potential temperature remain horizontal, leading to a well-mixed atmosphere under the inversion lid. In contrast, in winter, the structure of the temperature field is more complex. Therefore, the flow is accelerated within a thinner layer near the ground than in summer, leading to a higher maximum velocity.

#### 4) EVENING

There is an asymmetrical damping of the velocity within the valley due to the radiative effect along the

west-facing slope (see Fig. 10). The two counterrotating vortices are less energetic but still active in the bottom of the valley, creating a well-mixed atmospheric layer. In the summer case (Fig. 10a), the longwave radiative cooling of the ground starts to create a new inversion. The motion in and above the valley has decreased ( $\approx 5 \text{ m s}^{-1}$ ) and its vertical extent is reduced (cf. the one at 1400 LT). In winter, the vertical extent of the motion within the valley is larger and the velocity intensity is almost the same as in summer. A vertical motion develops along the west-facing slope (the last sunny slope).

#### 5) NIGHTFALL

The katabatic wind develops along both slopes (see Fig. 11). The central core of the valley is cooled down, and a new inversion is created. The intensity of the

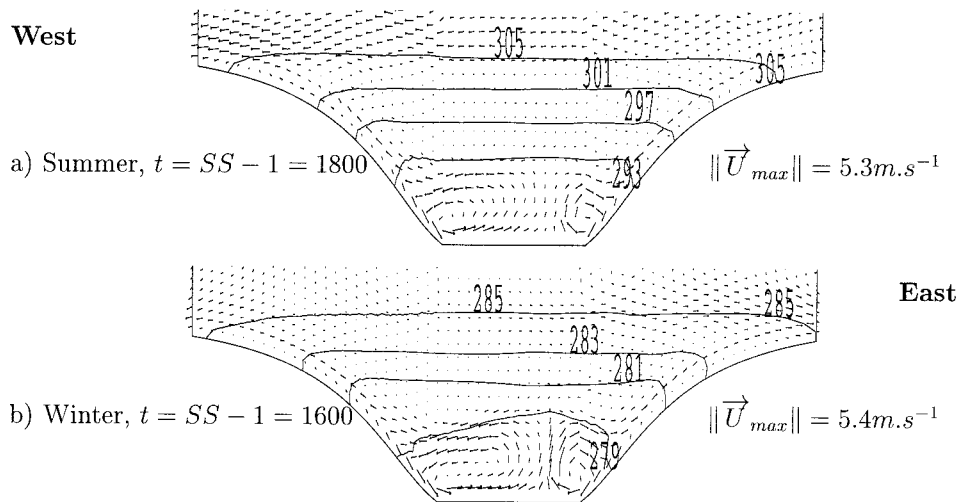


FIG. 10. Vertical cross section of the isovalue of the potential temperature  $\Theta$  and the velocity vectors.

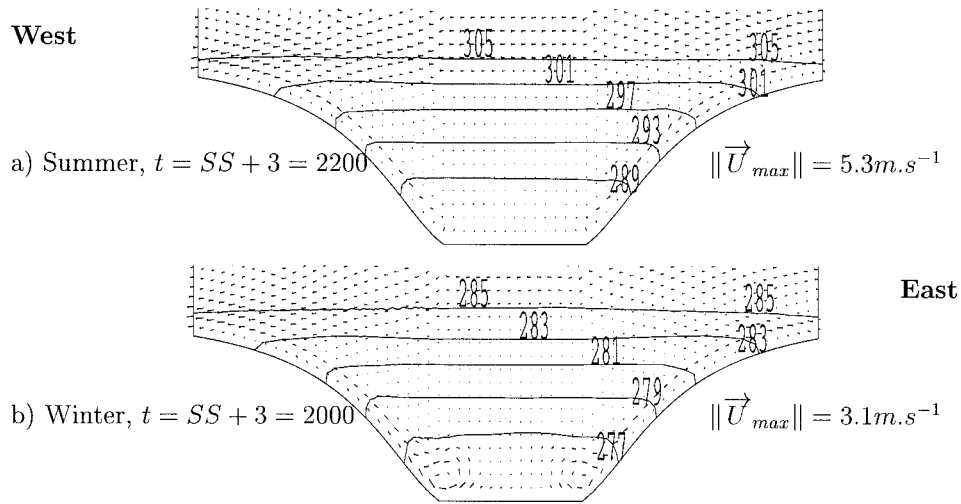


FIG. 11. Vertical cross section of the isovalue of the potential temperature  $\Theta$  and the velocity vectors.

velocities is therefore drastically reduced within the valley.

c. Breakup and formation of the temperature inversions

As can be seen from the previous results, the velocity field is directly linked to the thermal structure of the atmosphere. Therefore, we now focus on the analysis of the vertical distribution of temperature and its evolution with time.

1) DETERMINATION OF THE CHARACTERISTIC LENGTH SCALES OF THE INVERSION LAYER

The characteristic length scale of the inversion layer within the valley is derived from the vertical potential

temperature profile by calculating the altitudes of the base level  $z_i$  and the top level  $h_{st}$  of the inversion layer. A simple method has been developed to obtain these two altitudes from the second derivative of the potential temperature profile. Usually, the altitude of the top level  $z_i$  of the CBL, which also corresponds to the base level of the inversion layer, is defined to be the altitude where the heat flux  $\widetilde{W}\Theta$  is minimum (mark 1 in Fig. 12). The correlation  $\widetilde{W}\Theta$  is expressed with the resolved-scale variables  $W$  and  $\Theta$  by

$$\widetilde{W}\Theta = (W - \overline{W^y})(\Theta - \overline{\Theta^y}), \quad (10)$$

where the variable  $\overline{\Psi^y}$  corresponds to the average of  $\Psi$  within the transverse direction  $y$ . This definition will be later referenced as M1.

As seen in Fig. 12, the altitude referenced by mark 1 is very similar to the one referenced with mark 2,

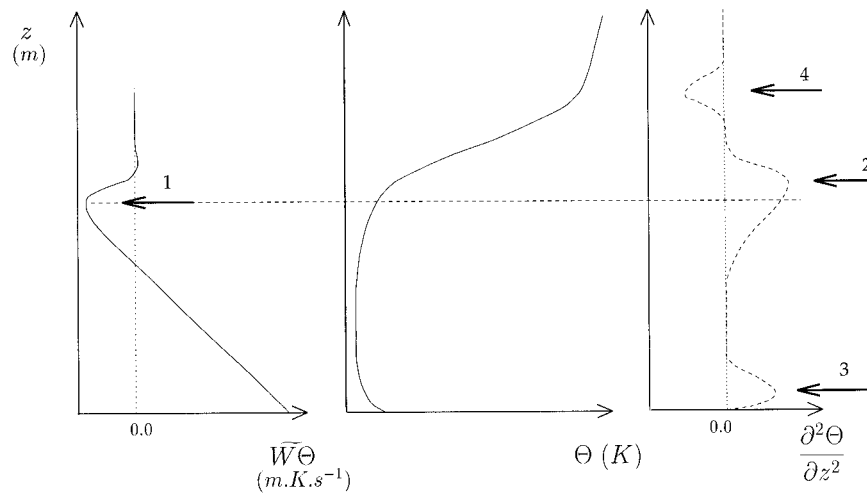


FIG. 12. Schematic representation of the vertical profile  $\Theta$  within the CBL and its associated heat flux profile.



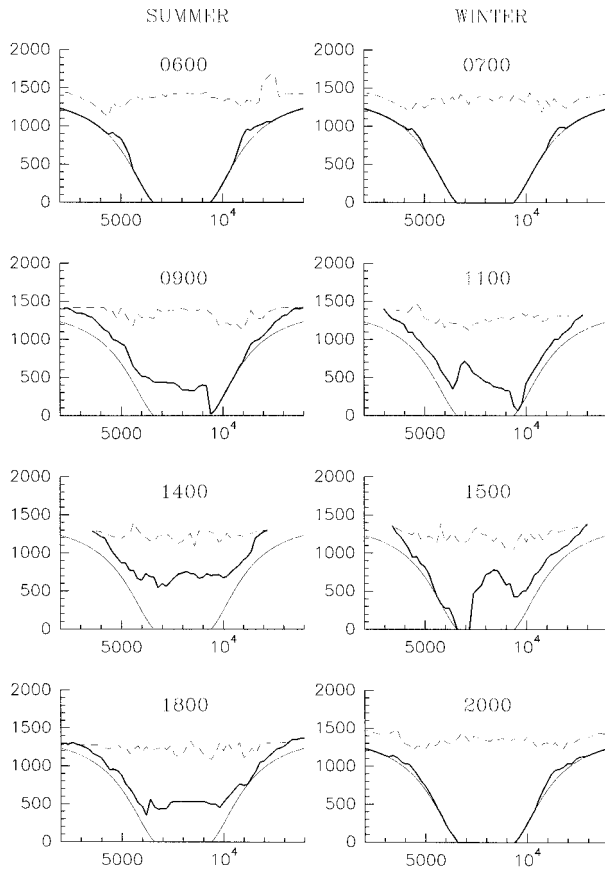


FIG. 15. Base level  $z_i$  and top level  $h_{st}$  of the inversion layer for both seasons:  $z_i$  (solid line) and  $h_{st}$  (dashed line).

observed over periods of several days. Therefore, a cold pool remains in the upper part of the valley, corresponding to the gap between the curves  $z_{i,mean}$  and  $h_{st,mean}$ , even at the warmest time of the day (1400 LT) when the convective layer at the ground is most effective.

To add some spatial variability to the representation of the structure of the inversion layer, the instantaneous altitudes  $z_i$  and  $h_{st}$  averaged in the north–south direction have been drawn in Fig. 15 at the same times as that of Figs. 7–11. This representation shows the complex structure of the thermal field and its time evolution. In the summer, the horizontal variation of  $z_i$  is small across the valley, both during its growth and its depletion, throughout the day, whereas, in the winter, the position of the maximum value of  $z_i$  is directly related to the maximum solar exposure of the soil. The structure of the atmosphere consist of a series of layers with different characteristics (length scale, duration, etc.), which are analyzed below.

### 2) GROWTH OF THE CONVECTIVE BOUNDARY LAYER

The main timescales of the growth of the CBL are given in Table 3. The maximum vertical extent of the

TABLE 3. Timescales (h) of the growth of the CBL.

	Summer	Winter
$T_{max}$	6	4
$T_{CBL,life}$	14	7.5
$T_{SR-SS}$	13.75	10.5
Spatial structure	Symmetric	Time dependent

CBL (i.e.,  $z_{i,mean} \approx 700$  m in summer and  $z_{i,mean} \approx 550$  m in winter) is obtained after  $T_{max} = 6$  h of growth in summer and  $T_{max} = 4$  h in winter. This parameter is considered instead of the destruction period of the inversion layer because of the nontotal destruction of the inversion layer. For practical use (i.e., pollutant dispersal within a deep valley), this parameter is more general since inversions may persist for several days, especially in winter. This time is in the range of the inversion breakup duration time observed by Whiteman (1982). The growth rate of the CBL ( $\delta z_i / \delta t$ ) is slightly lower in summer than in winter, whereas the sensible flux is roughly twice the one in winter. As mentioned by Bader and McKee (1985), high initial stratification leads to a lower growth rate of the CBL because most of the sensible heat flux acts to reduce the initially strong lapse rate. In this study, the stratification in summer at dawn is found higher than in winter due to the initially imposed Brunt–Väisälä frequency. The CBL,  $T_{CBL,life}$ , lasts for 14 h in summer but only 7.5 h in winter. The important difference between the two seasons is directly related to the heat flux from the ground generated by the soil effect, and, in particular, to the solar radiation, which is more effective in summer than in winter. The magnitude of the sensible heating is probably the essential factor for the determination of characteristic timescale of the valley inversion breakup, as already mentioned by Bader and McKee (1985). Therefore, the duration of the CBL is approximately equivalent to the sunshine period (i.e.,  $T_{SR-SS}$ ) in summer, whereas in winter, the duration of the CBL corresponds to only about 70% of the sunshine period.

### 3) THE NOCTURNAL INVERSION LAYER

The characteristics of the NIL for both seasons are deduced from the average vertical potential temperature profile in Fig. 6. The duration of the NIL  $T_{NIL,life}$  starts when the stratification becomes stable at the ground and stops when the first convective structure appears within the valley. The intensity of the inversion is given in terms of the Brunt–Väisälä frequency ( $N$ ). These two quantities ( $T_{NIL,life}$  and  $N$ ) are summarized in Table 4.

TABLE 4. Characteristic scales of the NIL.

	Summer	Winter
$T_{NIL,life}$ (h)	10	15
Intensity $N$ ( $s^{-1}$ )	$2.1 \times 10^{-2}$	$1.5 \times 10^{-2}$



TABLE 5. Vertical extent of the NIL.

	$H$ (m)	$h_{st}/H$	
		Summer	Winter
Present study	1260	1.11	1.09
Kuwagata and Kimura (1995)	1900	0.84	→ 1.26

The vertical extent of the NIL ( $h_{st}$ ) at the end of the night is on the order of the depth of the valley ( $H$ ), as shown in Table 5. The parameter  $h_{st}/H$  is similar for both seasons in our study. The observations made by Kuwagata and Kimura (1995) give the same tendency.

#### 4. Conclusions

The complete diurnal cycle of the formation and destruction of the valley inversion has been simulated with a 3D numerical model in an idealized quasi-2D valley for a dry atmosphere. The simulations have been run for two typical days—one in winter (February) and the other one in summer (August)—in order to show the influence of the season on the mechanism responsible for the valley inversion.

For this purpose, a 3D, nonhydrostatic atmospheric model (Submeso) has been developed on the basis of ARPS 3.1 (University of Oklahoma). This model includes several parameterizations that take into account all the physical processes playing a major role in our scale of interest (i.e.,  $L \approx$  few kilometers and  $T \approx$  the diurnal cycle). The soil exchanges, which are the only forcing (through the solar radiation), are calculated from a two-layer model developed specifically for use at this scale. Moreover, the subgrid-scale closure has been chosen in order to take account of the space and time variability of the atmospheric stratification, as well as transport of the kinetic energy produced close to the ground surface toward the upper part of the atmosphere.

A simple method based on the analysis of the vertical potential temperature profile has been proposed to determine the characteristic height scales of the inversion (i.e., the height of the top of the CBL  $z_i$ , which is also the base of the inversion layer, and the inversion layer top  $h_{st}$ ).

Due to the choice of the valley aspect ratio and the initial stratification, convective cells are able to develop at the ground. They reduce the direct effect of the slope winds on the dynamic structure within the valley.

The destruction of the NIL, for both seasons, corresponds to the third pattern of temperature structure evolution detailed by Whiteman (1982). Particularly noteworthy is the influence of the season on the structure of the CBL that develops from the ground due to solar warming. In summer, the altitude of the top of the CBL  $z_i$  remains approximately constant across the valley. The time evolution of the structure of the atmosphere is, therefore, close to the classic one described in textbooks (e.g., Stull 1988). On the other hand, in winter, this

altitude varies with location within the valley. The dissymmetry of the thermal structure across the valley is especially marked at the beginning and end of the day. At 1200 LT, due to the maximum solar radiative flux, the thermal structure is close to the one in summer. In Fig. 14, the three curves describing winter conditions collapse together at 1200 LT. The vertical extent of the NIL seems to be less dependent on the season than on the nature of the ground and the valley geometry and is found to be roughly equal to the depth of the valley. Nevertheless, the duration of the inversion layer depends on the season. Due to the more effective solar radiation in summer, the inversion layer is present for a shorter time, leading to a more mixed atmospheric layer within the valley.

The formation and destruction of the NIL that typically fills a mountain valley has important implications for air pollution. Indeed, the inversion layer acts as a lid reducing drastically any vertical exchange. Therefore, any emitted pollutant can be trapped close to its emission location and high concentrations may build up. Therefore, a better comprehension and improved description of the dynamics of the atmosphere at valley scales can be useful for future urban planning for cities located in a mountainous area.

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