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Special issue: Mixed species forests. Risks, resilience and management

Title: Mixing beech with fir or pubescent oak does not help mitigate drought exposure at the limit of its climatic range

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Keywords

mixed forests; species interactions; drought; carbon isotope composition; hydrogen isotope composition; *Fagus sylvatica*; *Abies alba*; *Quercus pubescens*; southeastern France

Abstract:

In the context of climate change, it remains unclear whether mixed-species forests will help mitigate the impacts of future droughts and, if so, through which processes. As European beech (*Fagus sylvatica*) is one of the major European species, it is crucial to evaluate its response to drought when mixed with species with contrasted functional traits and in contrasted climatic conditions, particularly at the limit of its climatic range. This study aimed to (i) characterize the effects of tree species interactions on the drought exposure of beech in south-eastern France, and (ii) determine whether belowground water uptake complementarity underlies these effects. We focused on beech-silver fir (*Abies alba*) and beech-pubescent oak (*Quercus pubescens*) forests across six sites in the French pre-Alps, a region at the limit of the climatic range for beech. We used a triplet approach to compare the tree-ring carbon isotope composition ($\delta^{13}\text{C}$) of these species in pure and two-species mixed stands during a period of very dry years, and used water hydrogen isotope composition ($\delta^2\text{H}$) in the xylem to identify water uptake sources. Overall, we found no clear mixture effect pattern on beech physiological functioning among sites and triplets. In beech-fir sites, mixing beech with fir had no effect on beech $\delta^{13}\text{C}$ values during dry years. In beech-oak sites, mixture effects on beech were mostly neutral, although sometimes beech suffered from a stronger exposure to drought in mixed stands. Our study emphasizes the impact of the tree sampling design on the outcome of studies on forest biodiversity-ecosystem functioning relationships. Limiting tree sampling to dominant trees when analyzing stand-level relationships may bias these outcomes. We evidenced differences

46 in water uptake sources between beech and fir, but not between beech and oak during a dry
47 summer. However, these patterns did not help explain the lack of species mixture effects, or
48 existence thereof, at the triplet scale. Our study demonstrates that managing beech in mixed
49 stands with silver fir or pubescent oak at the limit of beech climatic range does not buffer
50 drought impacts on beech during dry years. In the long term, with more frequent extreme
51 droughts, promoting beech-fir mixtures will not be detrimental to beech drought response, while
52 beech may suffer in mixtures with pubescent oak.

1. Introduction

European forest ecosystems are expected to be highly impacted by ongoing climate change (Hanewinkel et al., 2013) through an increase in temperatures and more frequent and intense droughts (IPCC, 2014; Lehner et al., 2017). Drought-induced stress can lead to a loss of vitality and to mortality for trees, caused by hydraulic failure and carbon starvation (McDowell et al., 2008; Hartmann et al., 2018). This will be especially true in southern parts of the European temperate and Mediterranean regions (Lindner et al., 2010). There is therefore a strong need for new management strategies to help forest owners mitigate current and future drought impacts (Bolte et al., 2009).

European beech (*Fagus sylvatica* L.) is an essential species in European forests: its natural distribution covers most of continental Europe and it is the most abundant broad-leaved forest tree in Central Europe (Dittmar et al., 2003). Beech is a drought-sensitive species and is especially vulnerable when the soil water deficit is severe and prolonged (Gessler et al., 2007). Model-based projections predict that climate change will likely induce a reduction in beech-dominated forests in southern Europe (Cheaib et al., 2012; Hanewinkel et al., 2013). Growth declines for beech have already been reported in recent decades (Charru et al., 2017), especially at its southern range limits (Jump et al., 2006).

Managing temperate forests with higher tree species diversity has been identified as one management strategy that can improve forest productivity (e.g. Toïgo et al., 2015; Liang et al., 2016; Ammer, 2019) and other ecosystem functions (Brockerhoff et al., 2017), such as resistance to disturbances (Jactel et al., 2017). In particular, it has been shown that beech productivity improves in mixed forests compared to pure ones, particularly when beech is admixed with silver fir (*Abies alba* Mill.) or other coniferous species (e.g. Toïgo et al., 2015; Pretzsch and Forrester, 2017). However, it is still not clear whether mixed-species forests also

77 contribute to mitigating drought impacts (Grossiord, 2019), which in turn would have an effect
78 on forest vitality and productivity. In the case of beech, contrasted effects of species interactions
79 have been found under drought conditions. Some studies demonstrate lower drought exposure
80 of beech in mixed stands (e.g. Pretzsch et al., 2013; Metz et al., 2016), but others conclude that
81 the mixture effect is neutral (e.g. Schwarz and Bauhus, 2019). Furthermore, contrasted results
82 were found when comparing the effects of the same species mixture, including beech, among
83 different sites (Schäfer et al., 2017; González de Andrés et al., 2018; Jourdan et al., 2019b).

84 Two factors have been identified as major drivers for the variability in the outcome of mixture
85 effects on the mitigation of drought impacts: the importance of functional variability among
86 species and the effect of site climatic conditions (Forrester, 2014; Forrester and Bauhus, 2016).

87 First, the outcomes are species-dependent: species with more contrasted functional traits in
88 terms of physiology, phenology or morphology (e.g. root depth, stomatal control, physical traits
89 involved in xylem resistance to embolism), are more likely to show complementarity effects,
90 especially for drought responses (Grossiord, 2019). Secondly, even if the given species mixture
91 potentially mitigates drought impacts, the degree of the effect may vary with site-level
92 environmental conditions (Forrester and Bauhus, 2016). Forrester and Bauhus (2016) suggested
93 a theoretical framework, as a generalization of the stress gradient hypothesis (Bertness and
94 Callaway, 1994), in which positive mixture effects are expected to be stronger when resources
95 are scarce, for example along a declining precipitation gradient or during severe drought events.
96 In accordance with this framework, Grossiord et al. (2014c, 2014b) found negative or neutral
97 effects in more humid sites and positive effects in drier ones (Grossiord et al., 2014b, 2014c).
98 However, the relationship between mixture effects and a resource gradient may not be linear
99 but may rather emerge from threshold points of resource availability (de Streel et al., 2020).
100 Such patterns could be explained by the fact that positive mixture effects may not be strong
101 enough to compensate for the increasing environmental constraints in extremely dry sites and

that positive mixture effects on drought response could disappear with strong water stress (e.g. de Streel et al., 2020).

The outcome of studies on the effects of species mixing may also depend on how the trees were sampled. To date the typical approach has been to limit the sampling design to dominant trees when examining mixture effects on the response of trees to drought, particularly as measured by carbon isotope composition ($\delta^{13}\text{C}$) (Grossiord et al., 2014c; Metz et al., 2016; Schwarz and Bauhus, 2019). However, not including light-suppressed trees in these analyses could bias the overall patterns of mixture effects, as the drought sensitivity of suppressed and dominant trees may differ (Mérian and Lebourgeois, 2011). Including all trees for a more complete representation of the forest stand could help in understanding the variability of mixture effects on drought responses.

The processes behind mixture effects on drought exposure are linked to spatial or temporal resource partitioning among species due to competition, facilitation, or niche differentiation for resource acquisition and use (Ammer, 2019; Grossiord, 2019). At aboveground level, this may result in, for example, differences in canopy packing and shading effects (Ishii and Asano, 2010; Jucker et al., 2015; Grossiord 2019). At belowground level, complementarity for water uptake and niche differentiation among species could result in a lesser exposure to decreased soil water content during extreme drought events (Forrester, 2014), but these processes have rarely been experimentally tested in the field (Grossiord et al., 2014a; Goisser et al., 2016).

Further studies for species diversity effects on beech drought exposure are therefore necessary to identify whether or not tree-species mixing might mitigate drought impacts and maintain beech productivity, particularly along the southern margins of beech distribution in Europe where climate change is occurring the fastest. To address these questions, we studied the effect of species mixture on beech drought exposure during a period with severe summer droughts, in

forest plots of different species compositions distributed across six sites in south-eastern France with contrasting climate conditions. We studied two widespread species mixtures with beech in mountainous areas of south-eastern France: beech associated with silver fir (*Abies alba* Mill.) in the northern part of the region, and beech associated with pubescent oak (*Quercus pubescens* Willd.) in the southern part. These two accompanying species are likely to show complementarity effects with beech as they have contrasted physiological functioning, i.e. contrasted water transport systems and phenology between beech (deciduous species) and fir (evergreen conifer), and contrasted drought and shade tolerance between beech and pubescent oak (Niinemets and Valladares, 2006). We sampled all trees in the stands, including both dominant and light suppressed trees. We used stable carbon isotope composition ($\delta^{13}\text{C}$) in tree rings from dry years to examine the effect of species composition on tree exposition to drought (Grossiord et al., 2014c; Metz et al., 2016). Tree ring analysis provided us with an integrative measurement of past drought effects on tree functioning (McCarroll and Loader, 2004). Tree-ring $\delta^{13}\text{C}$ is expressed as a negative value that increases (less negative values) when a tree is exposed to increased water stress (Saurer et al., 1995). It indirectly reflects the compromise between carbon and water acquisition and use at leaf and plant level (Farquhar et al., 1982). Finally, to assess potential water-use complementarity mechanisms, we looked at differences in water uptake sources between species. To do so, we measured the stable hydrogen isotope composition ($\delta^2\text{H}$) in branch xylem water (Dawson, 1993; West et al., 2006).

We specifically addressed the following questions:

1. Is beech physiological functioning ($\delta^{13}\text{C}$) during dry years influenced by site-specific environmental conditions?
2. Is beech $\delta^{13}\text{C}$ during dry years different between pure and mixed stands?
3. Can potential mixture effects be explained by complementarity in species water uptake sources?

2. Material and Methods

2.1. Field design

We selected six sites in the French pre-Alps on limestone bedrock (Fig. 1a, see also Jourdan et al., 2019b) spanning a wide range of climatic conditions (Fig. 2). At each site, we used a triplet approach, i.e. an ensemble of three plots: one plot in pure stands of each studied species and one plot in a mixed stand of the same two species (Fig. 1b). All the triplets were located on north-facing slopes. The three plots within each triplet were as close to each other as possible and with a similar slope (Table A1). The soil textures and gravel contents were similar within a triplet. At each site, two sets of such triplets were selected in order to cover local variability in environmental conditions and stand structure, resulting in six plots per site (Fig. 1b). Plot elevations ranged from 700 m to 1400 m (Table A1).

Figure 1 HERE; size: 2 columns; B&W

European beech (*Fagus sylvatica* L.) was present at all six sites and was mixed with either silver fir (*Abies alba* Mill.) in the three northern sites (S1 to S3) or with pubescent oak (*Quercus pubescens* Willd.) in the three southern ones (S4 to S6) (Fig. 1a). In pure plots, the focal species accounted for at least 80% of the total basal area and in the mixed plots each focal species accounted for at least 40% of the total basal area (Table A2). Other tree species present in the plots represented less than 20% of the total basal area and were mostly in the understory of the canopy (Table 1, Table A2). The trees from both focal species in the mixed stands were mixed on a stemwise intermingling pattern (del Río et al., 2018). Sites S1 to S4 were located in forests with continuous-cover management whereas sites S5 and S6 had limited management. To limit direct management effects, we only worked in stands where no thinning operations had been conducted for at least the last two decades. All the stands were located in mature forests with an uneven-aged high forest structure, except for S5, which was in a coppice forest. Individual

plots were circular with a radius of 17.5 m (area = 962 m²) and consisted of a central area (10 m radius, area = 314 m²) where the measurements were conducted, plus a buffer zone (7.5 m radius) to ensure the plot was surrounded by homogeneous conditions (Fig. 1c).

Table 1 HERE; size: 1.5 columns

2.2. Climate data

Climatic variables were extracted from the mesoscale SAFRAN reanalysis of the Météo France national observation network data (Quintana-Seguí et al., 2008). As SAFRAN was initially designed for mountainous areas, it was the best-estimated source of daily climatic data for our study region. The precision of the climate data was relevant at the site scale, but not at the triplet scale, as the SAFRAN analysis covers France with an 8 km × 8 km grid and is calculated for the average elevation of the corresponding grid. We used the SAFRAN long-term climate data to estimate the average cumulative annual precipitation and the mean annual temperature per site over a 20-year period (1994-2013) (Fig. 2; Table A3).

Figure 2 HERE; size: 1 column; B&W

2.3. Choice and characterization of dry years

Jourdan et al. (2019b) calculated the standardized precipitation evapotranspiration index (SPEI, Vicente-Serrano et al., 2010) for each of the six sites for the months of February to July, i.e. the growth period, of each year from 1994 to 2013 (see Fig. 2 in Jourdan et al., 2019b). SPEI is a standardized index that makes it possible to identify dry years for a given site, but not to compare drought intensity among sites. This enabled us to choose the period of dry years relative to each site within the 1994-2013 period for tree-ring carbon isotope composition ($\delta^{13}\text{C}$) analyses. We targeted the 2003-2007 period because it was representative of a series of severe dry years for all sites. Indeed, this period was on average much drier in terms of precipitation

across sites than the 20-year average for 1994-2013 (Fig. 2), but was also hotter during the summer months (Table A3).

To characterize the water stress during these dry years, we used the daily forest water balance model BILJOU© (Granier et al., 1999). We estimated the daily relative extractable water (REW, unitless) for each site based on daily climate data over the 1994-2013 period. REW is the ratio between available soil water and the maximum extractable water (water holding capacity) and varies from field capacity (REW=1) to permanent wilting point (REW=0). Water stress is assumed to occur when REW drops below a threshold of 0.4 under which stomatal conductance, and therefore leaf gas exchange, is gradually reduced (Granier et al., 1999). The BILJOU© model has a daily time step and requires daily climatic input variables (mean air temperature, precipitation, wind speed, global radiation, and humidity) as well as soil water holding capacity and leaf area index (LAI). We used SAFRAN daily climatic variables at the site scale. We fixed soil water holding capacity at 100 mm since we were not able to characterize the plot soil profiles precisely. Nevertheless, we tested the BILJOU© model with different soil water holding capacity values but this did not change the ranking of the sites in terms of water stress (data not shown). Similarly, we used a single LAI value (i.e. 7.0 m² m⁻²) for all the sites as a standard value for mature forests. Adapting this value to the different sites did not change the ranking of the sites. For each year at each site, an annual number of days when REW is below 0.4 was calculated, which allowed to quantify water stress levels (Table A3).

2.4. Tree measurements

2.4.1. Dendrometry

In 2014, in the central area of each of the 36 plots, Jourdan et al. (2019b) surveyed all of the trees with a diameter at breast height (DBH, cm) greater than 7.5 cm and recorded their DBH

and total height. They also cored the trunk of all trees with a DBH greater than 7.5 cm in the plots at a height of 1.3 m with a Pressler increment borer (Haglöf, Sweden) in 2014-2015. In coppice stands, they only cored the largest stem of each clump of coppice shoots (individual tree). Some cores were too difficult to date accurately and were discarded from further analyses, leaving a total of 792 trees sampled (368 beech, 222 fir and 202 pubescent oak trees), representing all the diameter classes and different strata in the canopy. On these cores, Jourdan et al. (2019b) measured tree-ring width for the 1994-2013 period and performed cross-dating for each species according to specific pointer years.

2.4.2. Carbon isotope composition ($\delta^{13}\text{C}$)

We used these tree cores to analyse the stable carbon isotope composition ($\delta^{13}\text{C}$) of each tree in the tree-rings of the selected period (2003-2007). Using a scalpel under a stereomicroscope, we extracted the tree-rings (including earlywood and latewood) that corresponded to the target period (2003-2007) as one pooled block for all five years. We used whole wood tissue for our analyses as it shows the same trends as cellulose-only isotopic measurements and allowed us to analyze a larger number of trees (McCarroll and Loader, 2004). For each tree, the tree-rings of the 2003-2007 period were kept as one sample. We ground them into a fine powder, then weighed around 1 mg of powder for each sample in tin capsules for the isotopic analysis. We used a different technique when the dry mass of the 2003-2007 tree-ring sample was less than 6 mg (15 samples out of 792) to avoid any loss of matter in the grinding process. These 15 samples were cut into four quarters perpendicularly to the tree-ring axis with a scalpel instead of being ground. For each of these samples, we then analyzed separately three out of four subsamples for isotopic composition and used the average of these three $\delta^{13}\text{C}$ values. The fourth subsample was kept as a spare in case further analyses should be needed on these samples.

The $^{13}\text{C}/^{12}\text{C}$ ratios of each wood sample were analyzed with an isotope-ratio mass spectrometer (IRMS, Isoprime 100, Isoprime Ltd., Cheadle Hulme, UK) coupled with an elemental analyzer (EA, Elementar vario, ISOTOPE cube, Elementar Analysen Systeme GmbH, Hanau, Germany) at the SILVATECH platform (Silvatech, INRAE, 2018. Structural and functional analysis of tree and wood Facility, Nancy, France). The results were expressed as carbon isotope composition $\delta^{13}\text{C}$ (‰) relative to the international standard Vienna Pee Dee Bee Belemnite (V-PDB) (IAEA, 1995) following Eq. 1:

$$\delta^{13}\text{C} (\text{‰}) = \left(\frac{R_{\text{sample}}}{R_{\text{standard}}} - 1 \right) * 1000 \quad (1)$$

where R_{sample} and R_{standard} represent the $^{13}\text{C}/^{12}\text{C}$ ratios of the sample and the V-PDB standard, respectively (Farquhar et al., 1982). The mass spectrometer had a precision of ± 0.2 ‰ for $\delta^{13}\text{C}$ values.

In this study, we used two sets of $\delta^{13}\text{C}$ data for our statistical analyses. First, we used all the $\delta^{13}\text{C}$ values to characterize the response of all the trees, individually or at the stand level, hereafter called “all trees”. Second, we used a subsample of pre-selected dominant trees in each plot, hereafter called “dominant-tree subsample”, to analyze the effect of mixture on the $\delta^{13}\text{C}$ of the trees without any confounding factor related to light availability in the canopy (McCarroll and Loader, 2004). These dominant trees were selected according to the following criteria: (i) they were in the biggest diameter class in each plot, (ii) they had a crown illumination index (Dawkins index) of 4 or 5, meaning that they received direct vertical light (Clark and Clark, 1992), (iii) they were well mixed with the other species on a stem-to-stem basis in the mixed stands, and (iv) they were suitable for branch sampling by climbers (see $\delta^2\text{H}$ section). We then randomly chose between four and seven of these dominant trees per species in each plot, leading to a total of 240 dominant trees (beech = 121, fir = 57, pubescent oak = 62) out of a total of 792 trees measured for $\delta^{13}\text{C}$.

One caveat should be noted concerning our $\delta^{13}\text{C}$ measurements: we were able to compare $\delta^{13}\text{C}$ functioning during dry years between pure and mixed plots, but we were not able to use the difference in $\delta^{13}\text{C}$ between a reference wet year and a dry year to estimate tree drought resistance, as some studies have done to detect mixture effects (e.g., Grossiord et al., 2014c; Schwarz and Bauhus, 2019). Indeed, during the period from 1994 to 2013, we were not able to find any years that were wet enough to serve as a reference across all sites. Moreover, as growth is very slow at some of the sites with a Mediterranean influence, we would not have been able to cut out single yearly tree-rings precisely enough. Other studies have also used absolute values of $\delta^{13}\text{C}$, as we did, albeit usually over a longer-term chronology (González de Andrés et al., 2018; de Streel et al., 2020). Moreover, in a study looking at tree diversity effects on drought responses using $\delta^{13}\text{C}$, the same trends were found with differences in $\delta^{13}\text{C}$ between a wet and a dry year (Grossiord et al., 2014c) than with absolute $\delta^{13}\text{C}$ values for the dry years only (Grossiord, 2014, p.48). We therefore focused our analyses on mixture effects on tree functioning during dry years by comparing values between pure and mixed stands, expecting more negative $\delta^{13}\text{C}$ values for beech in mixed stands as compared to pure ones if the presence of oak or fir in the mixture induced less competition for water resources.

2.4.3. Xylem water $\delta^2\text{H}$

To estimate among-tree differences in water uptake sources, we used a method that relies on the natural abundance of stable hydrogen isotopes in the xylem water from branches (Dawson, 1993). During dry spells, an isotopic gradient for hydrogen is established in the top layers of the soil (Clark and Fritz, 1997). The hydrogen isotope composition ($\delta^2\text{H}$, ‰) of water flowing in the xylem, which is the raw sap coming up from the roots, represents the mean signature of all the water sources for the tree's rooting system. Due to very rocky shallow soils, we were not able to obtain a full soil profile at all of our sites. To address niche partitioning in water uptake

sources, we therefore analyzed differences in $\delta^2\text{H}$ values within triplets, but we were not able to quantitatively estimate a depth of water uptake by trees.

We sampled the same trees selected for the dominant-tree subsample of $\delta^{13}\text{C}$ analyses. To determine the $\delta^2\text{H}$ of the xylem water, we sampled a 30 to 40-cm-long branch from each dominant tree in the summer of 2018 during a dry spell at five sites, for a total of 200 samples. We could not visit S4 that year for technical reasons, and therefore we do not have $\delta^2\text{H}$ values for that site. Professional climbers cut the branches out of the upper third of the tree crown. We removed bark tissue immediately with a knife to prevent phloem sap from mixing with xylem water. Five-centimeter-long samples were then cut from the branches and placed into closed airtight glass vials and stored in cool conditions.

Once in the lab, we stored the branch samples in cool chambers at 4°C to reduce the risk of evaporation. We then extracted water from the branch samples through cold trapping with a custom-made static vacuum cryogenic distillation system (West et al., 2006). The water from the branch samples was evaporated by heating the sampling tube in a water bath (65°C), then condensed with liquid nitrogen for 90 minutes in a collection tube. The extracted water was analyzed for $^2\text{H}/^1\text{H}$ ratios with an elemental analyzer (EA, EuroPyrOH; EuroVector, Milano, Italy) coupled to an isotope ratio mass spectrometer (Isoprime IRMS; Elementar, Manchester, UK) at the SILVATECH platform (Silvatech, INRAE, 2018. Structural and functional analysis of tree and wood Facility, Nancy, France). The $^2\text{H}/^1\text{H}$ ratio of each water sample was determined from six subsamples of 0.2 μL of extracted water and only the last three measurements were kept, then averaged for each sample. The first three measurements were discarded to avoid any bias related to a potential “memory effect”, meaning a contamination from the previous sample analyzed. The results were expressed as $\delta^2\text{H}$ relatively to the international Vienna-standard mean ocean water standard (V-SMOW) following Eq. 2:

$$\delta^2\text{H} (\text{‰}) = \left(\frac{R_{\text{sample}}}{R_{\text{standard}}} - 1 \right) * 1000 \quad (2)$$

where R_{sample} and R_{standard} represent the $^2\text{H}/^1\text{H}$ ratios of the sample and of the V-SMOW standard (IAEA, 1995). The mass spectrometer used in this study had a precision of $\pm 2.0 \text{ ‰}$ for $\delta^2\text{H}$ values.

We weighed the branch samples after cryo-distillation and placed them in a drying oven for 48 h at 65°C to check if all the water had been extracted. If part of the water had not been completely extracted, we applied a correction to the $\delta^2\text{H}$ values since the extracted water had less of the heavier isotope (^2H) than the water remaining in the branch. We calculated the theoretical fractionation of the hydrogen isotopes with Eq. 3 to obtain a corrected $\delta^2\text{H}$ value (Araguás-Araguás et al., 1995):

$$\delta^2H_{\text{corr}} = \delta^2H_{\text{measured}} - \left(\frac{F^{(1/\alpha)} - F}{F - 1} * 1000 \right) \quad (3)$$

where $\delta^2H_{\text{measured}}$ is the known measured value, δ^2H_{corr} the expected real value if there had been no fractionation during extraction, F the fraction of water remaining in the branch, and α a fixed factor specific to the isotope measured (^2H) and to the extraction temperature (65°C). Factor α is calculated from Eq. 4 (Majoube, 1971):

$$10^3 * \ln(\alpha) = a * \left(\frac{10^6}{T_K^2} \right) + b * \left(\frac{10^3}{T_K} \right) + c \quad (4)$$

where T_K is the extraction temperature in degrees Kelvin, and a , b , and c are fixed factors for ^2H defined in Majoube (1971) with $a = 24.844$, $b = -76.248$, and $c = 52.612$ (Clark and Fritz, 1997). We applied this correction to all the samples with a water-extraction percentage less than 100%.

2.5. Data analysis

338 All data analyses were conducted including either all trees or those in the dominant-tree
339 subsample.

340 2.5.1. Variability of $\delta^{13}\text{C}$ values in pure stands

341 To assess the variability of $\delta^{13}\text{C}$ values among sites and species, we compared $\delta^{13}\text{C}$ values in
342 the pure stands only. First, for site effects, we studied the differences in $\delta^{13}\text{C}$ values among the
343 six pure beech stands, and among the three stands of pure fir and pure pubescent oak, following
344 the model:

$$345 \quad \delta^{13}\text{C} \sim \text{Site} + \text{Triplet} + \text{Site: Triplet} \quad (5)$$

346 We used a linear model for each species with *Site* (S1 to S6) and *Triplet* (1 or 2) as factor
347 variables. We further tested the interaction between *Site* and *Triplet* to reveal the differences
348 between the two triplets at each site.

349 Second, we tested for differences in $\delta^{13}\text{C}$ values between the two species (beech and fir, or
350 beech and oak) in pure stands, following the model:

$$351 \quad \delta^{13}\text{C} \sim \log(\text{DBH}) + \text{Species} + \log(\text{DBH}) : \text{Species} + 1|\text{Triplet ID} \quad (6)$$

352 We used a linear mixed model with *Species* as a two-level factor (beech vs. fir, or beech vs.
353 oak) and $\log(\text{DBH})$ of the individual tree as a continuous variable. The interaction between
354 $\log(\text{DBH})$ and *Species* was also included in the model. *Triplet ID* was used as a random factor
355 (*Triplet ID* is a six-level factor for each type of mixture, identified by site and triplet number,
356 e.g. S1:2 for site 1, triplet 2). The introduction of individual tree DBH as a covariate was used
357 as a proxy for light availability, as the biggest trees within triplets are the ones with the most
358 access to light while smaller trees represent the understory trees. This approach allowed us to
359 take into account the confounding factor related to light availability in the canopy and its effect

on $\delta^{13}\text{C}$ (McCarroll and Loader, 2004). We used the log transformation of DBH to obtain a linear relationship between $\log(\text{DBH})$ and $\delta^{13}\text{C}$ values .

2.5.2. Mixture effects on $\delta^{13}\text{C}$

We analyzed the effect of species mixture on $\delta^{13}\text{C}$ values separately by type of mixture (beech-fir or beech-oak) with three different types of analyses that made it possible to test for a mixture effect at different levels. First, we tested the mixture effect on individual $\delta^{13}\text{C}$ values by species separately for the beech-fir and beech-oak sites with all six triplets together following the linear mixed-effect model:

$$\delta^{13}\text{C} \sim \log(\text{DBH}) + \text{Mixture} + \log(\text{DBH}) : \text{Mixture} + 1|\text{Triplet ID} \quad (7)$$

with *Mixture* (pure vs. mixed stands) as a fixed two-level factor, $\log(\text{DBH})$ of each tree as a continuous variable, and *Triplet ID* as a random factor (*Triplet ID* is a six-level factor for each type of mixture, identified by site and triplet number, e.g. S1:2 for site 1, triplet 2). The interaction between $\log(\text{DBH})$ and *Mixture* was also included in the model.

Second, we tested the same effect separately for each triplet with the following linear model for each species and triplet:

$$\delta^{13}\text{C} \sim \log(\text{DBH}) + \text{Mixture} + \log(\text{DBH}) : \text{Mixture} \quad (8)$$

with *Mixture* as a two-level factor (pure vs. mixed stands), $\log(\text{DBH})$ as a covariate. The interaction between $\log(\text{DBH})$ and *Mixture* was also included in the model.

Finally, to evaluate any effect of mixture on stand-level functioning, we used a metric called “Net Biodiversity Effect” (NBE, %), adapted for complex traits such as $\delta^{13}\text{C}$ by Grossiord et al. (2013) from Loreau and Hector (2001). We calculated NBE for the dominant-tree subsample only (NBE_{dom}) because with all trees we would not have been able to separate the effects of light availability vs. water availability.

NBE_{dom} on the average $\delta^{13}\text{C}$ of a given mixture of species is the difference between the observed averaged $\delta^{13}\text{C}$ in the mixture ($\delta^{13}\text{C}_O$, ‰) and the expected averaged $\delta^{13}\text{C}$ in the mixture ($\delta^{13}\text{C}_E$, ‰) calculated with species values in pure stands:

$$NBE_{dom} = \delta^{13}\text{C}_O - \delta^{13}\text{C}_E = \sum_{i=1}^2 (\delta^{13}\text{C}_{Oi} * P_{Oi}) + \sum_{i=1}^2 (\delta^{13}\text{C}_{Ei} * P_{Oi}) \quad (9)$$

where $\delta^{13}\text{C}_{Oi}$ is the observed average $\delta^{13}\text{C}$ value for dominant trees of species *i* in mixed stands, $\delta^{13}\text{C}_{Ei}$ is the average $\delta^{13}\text{C}$ value for dominant trees of species *i* in its respective pure stand and P_{Oi} is the proportion of species *i* in the mixed plot in terms of basal area.

We calculated NBE_{dom} values for each triplet. Based on the precision of the mass spectrometer used for the isotopic measurements in this study (± 0.2 ‰), NBE_{dom} values were considered different from 0.0 ‰ when the difference between observed and expected mixed stand values was greater than ± 0.4 ‰. A positive difference means that the observed mixed stand had a higher $\delta^{13}\text{C}$ value than expected based on values from the corresponding pure stands. A negative difference means that the observed mixed stand had a lower $\delta^{13}\text{C}$ value than expected from pure-stand values. Since there was only one value per triplet, no statistical analyses were possible for this variable, though we were able to compare results across triplets.

2.5.3. $\delta^2\text{H}$ analysis

To test for differences in water uptake sources between species and between pure and mixed stands, we analyzed $\delta^2\text{H}$ data separately by type of mixture (beech-fir or beech-oak). We used a linear mixed model including *Triplet ID* as a random factor to test for differences between species and pure or mixed stands. We used the following model:

$$\delta^2\text{H} \sim \text{Species} + \text{Mixture} + \text{Species:Mixture} + 1|\text{Triplet ID} \quad (10)$$

with *Species* a two-level factor (beech and fir, or beech and oak), *Mixture* a two-level factor (pure or mixed), and *Triplet ID* a random factor (*Triplet ID* is a six-level factor for each type of mixture, identified by site and triplet number, e.g. S1:2 for site 1, triplet 2).

2.5.4. *Statistical analyses*

All analyses were performed with R software version 3.6.2 (R Core Team, 2019). Linear mixed effect models were conducted with the `lme` function of the NLME package (Pinheiro et al., 2019). Analyses of variance following linear models or linear mixed models were conducted with the `Anova` function (Type II SS) from the CAR package (Fox and Weisberg, 2019) and post-hoc multiple comparisons were made with the `glht` function (Tukey contrasts) in the MULTCOMP package (Hothorn et al., 2008). We graphically assessed normality and homoscedasticity of all model residuals. For mixed effect models, we used a pseudo R-squared in the R package MUMIN (Barton, 2019) to determine the variance explained by both the fixed terms only (marginal coefficient of determination, R^2_m) and the entire model including the random terms (conditional coefficient of determination, R^2_c).

3. Results

3.1. Site and species patterns of $\delta^{13}\text{C}$ in pure stands

3.1.1. Site and triplet effects on $\delta^{13}\text{C}$ within species

In pure beech stands, mean $\delta^{13}\text{C}$ values for the selected dry years for all sampled trees ranged from $-27.9 \pm 0.8 \text{ ‰}$ at S1:1 to $-25.7 \pm 0.5 \text{ ‰}$ at S2:2 (Fig. 3a). There was a significant site effect on $\delta^{13}\text{C}$ values (Eq. 5, $P < 0.001$): the trees at S1 had significantly lower $\delta^{13}\text{C}$ values than at the other five sites (Fig. 3a). Mean $\delta^{13}\text{C}$ values differed significantly between the two triplets of a site at S6 only (Fig. 3a). The range for the dominant-tree subsample was similar to the range for all trees, but the $\delta^{13}\text{C}$ values were higher, with beech mean $\delta^{13}\text{C}$ values ranging from $-27.6 \pm 0.4 \text{ ‰}$ at S1:2 to $-25.4 \pm 0.4 \text{ ‰}$ at S4:2 (Fig. 4a). As for all trees, there was a significant site effect on $\delta^{13}\text{C}$ values (Eq. 5, $P < 0.001$): the trees at S1 had significantly lower $\delta^{13}\text{C}$ values than the trees at the other five sites (Fig. 4a).

In pure fir stands, mean $\delta^{13}\text{C}$ values for all sampled trees ranged from $-28.0 \pm 0.6 \text{ ‰}$ at S1:2 to $-25.2 \pm 0.3 \text{ ‰}$ at S3:1 (Fig. 3b). There was a significant site effect on $\delta^{13}\text{C}$ values (Eq. 5, $P < 0.001$): the trees at S1 had significantly lower $\delta^{13}\text{C}$ values than the trees at S2 and S3 (Fig. 3b). Mean $\delta^{13}\text{C}$ values differed significantly between the two triplets of a site at S3 only (Fig. 3b). For the dominant-tree subsample, the range was narrower and the $\delta^{13}\text{C}$ values were higher, with fir mean $\delta^{13}\text{C}$ values ranging from $-26.9 \pm 0.7 \text{ ‰}$ at S1:2 to $-24.8 \pm 0.3 \text{ ‰}$ at S3:2 (Fig. 4b). As for all trees, there was a significant site effect on $\delta^{13}\text{C}$ values (Eq. 5, $P < 0.001$): the trees at S1 had significantly lower $\delta^{13}\text{C}$ values than the trees at the other five sites (Fig. 4b).

In pure pubescent oak stands, mean $\delta^{13}\text{C}$ values for all sampled trees ranged from $-26.1 \pm 0.3 \text{ ‰}$ at S6:1 to $-24.8 \pm 0.4 \text{ ‰}$ at S4:2 (Fig. 3c). There was a significant site effect on $\delta^{13}\text{C}$ values (Eq. 6, $P < 0.001$): all three sites had significantly different $\delta^{13}\text{C}$ values (Fig. 3c). For the dominant-tree subsample, the range was narrower, but the mean $\delta^{13}\text{C}$ values were similar to all

trees: oak mean $\delta^{13}\text{C}$ values ranged from $-25.9 \pm 0.7 \text{ ‰}$ at S6:1 to $-24.5 \pm 0.4 \text{ ‰}$ at S4:2 (Fig. 4c). We observed no significant differences among the three sites or between triplets within sites (Fig. 4c).

Figure 3 HERE; size: 2 columns; Colour online, B&W in print

Figure 4 HERE; size: 2 columns; Colour online, B&W in print

3.1.2. Species differences in $\delta^{13}\text{C}$

For pure stands including data for all the trees, species effect was not significant between beech and fir in the more northern sites ($P = 0.38$), but was significant between beech and oak in the more southern sites ($P < 0.001$). When including $\log(\text{DBH})$ as a covariate in the model (Eq. 6), $\log(\text{DBH})$ was always significant. Beech had significantly lower $\delta^{13}\text{C}$ values than fir in the northern sites or than oak in the southern sites (Table B1). The interaction between $\log(\text{DBH})$ and $\delta^{13}\text{C}$ was significant with all trees (Table B1), meaning that the slope of the relationship between $\log(\text{DBH})$ and $\delta^{13}\text{C}$ values differed between species, although it was always positive. In the northern sites, fir had a stronger positive slope ($b=1.76$) than beech ($b=0.85$). In the southern sites, beech had a stronger positive slope ($b=2.23$) than oak ($b=0.85$).

For pure stands with only the dominant-tree subsample, species effect was significant for beech-fir sites ($P < 0.001$) and for beech-oak sites ($P < 0.01$) with or without $\log(\text{DBH})$ in the model. When including $\log(\text{DBH})$ in the models (Eq. 6), $\log(\text{DBH})$ was not significant, nor was the interaction between *Species* and $\log(\text{DBH})$ (Table B1). Beech had significantly lower $\delta^{13}\text{C}$ values than fir in the northern sites or than oak in the southern sites (Table B1). Mean species $\delta^{13}\text{C}$ values of the dominant-tree subsample was $-26.5 \pm 0.4 \text{ ‰}$ for beech and $-25.8 \pm 0.3 \text{ ‰}$ for fir at the northern sites, whereas it was $-25.8 \pm 0.2 \text{ ‰}$ for beech and $-25.3 \pm 0.3 \text{ ‰}$ for oak at the southern sites.

3.2. Mixture effects on $\delta^{13}\text{C}$

3.2.1. Effect of *dbh*

Before analyzing the mixture effects on $\delta^{13}\text{C}$ values, we assessed the effect of $\log(\text{DBH})$ in both the global (Eq. 7) and triplet-level (Eq. 8) models for each species. The effect of $\log(\text{DBH})$ was significant for all four global models when all the sampled trees were included (Table 2). At the triplet-level, $\log(\text{DBH})$ was significant for beech, except at S1:2 and S2:2. It was significant for all fir triplets and for half of the oak triplets (Table 3). The positive relationships between $\delta^{13}\text{C}$ values and $\log(\text{DBH})$ are consistent with expectations from the literature and with the impact of canopy light gradients on leaf or needle $\delta^{13}\text{C}$ (McCarroll and Loader, 2004). When only the dominant-tree subsample was used in the models, the effect of $\log(\text{DBH})$ on $\delta^{13}\text{C}$ was not significant in the global models nor in most triplets, except at S4:2 for beech and S2:2 for fir (Table 2, Table 3). The absence of significant effects for the dominant-tree subsample was probably the consequence of our sampling design: this subsample only included trees that received direct vertical light and for which the possible impact of canopy light gradients on $\delta^{13}\text{C}$ (McCarroll and Loader, 2004) was not expected.

Table 2 HERE; size: 2 columns

Table 3 HERE; size: 2 columns

3.2.2. Beech-fir sites

At the tree level for the global model, there were no significant mixture effects on $\delta^{13}\text{C}$ values during the selected dry years, either for all trees or the dominant-tree subsample (Table 2). This result indicates that beech and fir trees in the mixed stands had statistically similar $\delta^{13}\text{C}$ values to their values in pure stands for a given DBH (Table 2). The interaction between $\log(\text{DBH})$ and *Mixture* was significant for fir with all trees: both pure and mixed stands had a positive relationship between $\log(\text{DBH})$ and $\delta^{13}\text{C}$ values, but pure stands had a stronger slope ($b=1.69$)

than mixed stands ($b=1.35$). Despite this difference in the slopes of the relationship, it did not result in a statistical difference between pure and mixed stands. We also included the interaction term for the dominant-tree subsample alone, although we did not expect any interaction between $\log(DBH)$ and *Mixture* as (i) trees of this subsample should receive the same levels of light and (ii) because none of the triplets, except one for fir, had a significant $\log(DBH)$ effect. There was a small interaction effect for fir in the global model with dominant trees (Table 2).

At the triplet level (Table 3, Fig. 5a, 6a), there were no significant mixture effects for beech in any of the triplets, either for all trees or the dominant-tree subsample. For fir, at the triplet level for all trees, a significant mixture effect was found for triplets S1:1 and S2:1 only. In both these triplets, the models predicted higher $\delta^{13}C$ values in mixed stands than in pure stands (Table 3). For fir, only triplet S3:2 had a significant interaction between $\log(DBH)$ and *Mixture*: the pure stand had a steeper slope ($b=2.22$) than the mixed stand ($b=1.33$). There were no significant interactions between $\log(DBH)$ and *Mixture* for beech. There was no mixture effect for fir with the dominant-tree subsample except for triplet S2:2 (Table 3). Triplet S1:1 for fir had a significant interaction effect when including all trees (Table 3), but this was probably driven by the differences in DBH of the dominant trees between stands (Table 1).

Three triplets had NBE_{dom} values close to 0.0 ‰ and the three other triplets had values below -0.4 ‰ (Fig. 7).

3.2.3. Beech-oak sites

When including all trees in the global model, we found a significant mixture effect on beech $\delta^{13}C$, with higher $\delta^{13}C$ values in mixed stands compared to pure ones (Fig. 5b, Table 2). There was no significant effect for oak (Fig. 5b, Table 2). We no longer observed the significant effect for beech when we restricted the analysis to the dominant-tree subsample (Fig. 6b, Table 2). The interaction between $\log(DBH)$ and *Mixture* was not significant for either species (Table 2).

At the triplet level, we observed significant mixture effects for both beech and oak (Table 3). For beech, when including all the trees, $\delta^{13}\text{C}$ values were higher in mixed stands than in pure stands in triplets S4:2 and S5:2 (Table 3). This result held true for the beech dominant-tree subsample at S4:2, but not at S5:2 (Table 3). S4:2 was the only triplet with a significant interaction effects between $\log(\text{DBH})$ and *Mixture* for beech (Table 3), with a steeper slope for mixed stands ($b= 3.78$) than pure stands ($b=1.69$). However, this did not change the mixture effect for this triplet. For oak, when all the trees were included, $\delta^{13}\text{C}$ values were significantly lower in mixed stands at S4:2 and significantly higher at S5:1 (Table 3). We did not observe these effects for oak when testing the dominant-tree subsample only (Table 3). There were no interaction effects between $\log(\text{DBH})$ and *Mixture* for oak (Table 3).

In this region, there was a trend toward the lack of a net biodiversity effect: most NBE_{dom} values were close to 0.0 ‰ (Fig.7), except in triplet S6:1 where it was below -0.4 ‰.

Figure 5 HERE; size: 1 column; Colour online, B&W in print

Figure 6 HERE; size: 1 column; Colour online, B&W in print

Figure 7 HERE; size: 1.5 column; Colour online, B&W in print

3.3. Xylem-water $\delta^2\text{H}$

At the beech-fir sites, we observed a significant interaction effect between *Species* and *Mixture* ($P=0.002$) (Table B2). There was a significant mixture effect on the $\delta^2\text{H}$ values for beech, but not for fir. More specifically, beech $\delta^2\text{H}$ values were lower in mixed stands than in pure stands, except for triplet S3:2 (Fig. 8). There was also a significant difference between species for $\delta^2\text{H}$ values in both pure and mixed stands ($P<0.001$) (Table B2): beech $\delta^2\text{H}$ values were lower than those of fir, except in triplet S1:1 (Fig. 8). The difference between beech and fir was larger in mixed stands (estimate: 15.3 ± 1.4 ‰) than in pure stands (estimate: 9.1 ± 1.3 ‰).

537 In beech-oak sites, we observed no mixture effect on $\delta^2\text{H}$ values for either beech or oak ($P=0.33$)
538 (Fig. 8), no differences between species ($P=0.71$), and no interaction effect ($P=0.16$) (Table
539 B2).

540 Figure 8 HERE; size: 2 columns; Colour online, B&W in print

4. Discussion

4.1. Variability of $\delta^{13}\text{C}$ values in pure stands

4.1.1. Site effect for beech

Our range of mean $\delta^{13}\text{C}$ values in beech tree-rings for pure stands was close to those reported in the literature (Fig. 3a, 4a). For instance, in Germany, at the heart of beech's distribution range, mean beech $\delta^{13}\text{C}$ value was -26.7‰ during the very dry year of 2003 (Schwarz and Bauhus, 2019). In northern Spain, the southern-most area of beech distribution, mean $\delta^{13}\text{C}$ value was -26.5‰ at a drier lower-elevation site and -27.5‰ at a wetter higher-elevation site for the period 2000 to 2003 (Peñuelas et al., 2008). We therefore recorded one of the least negative mean $\delta^{13}\text{C}$ values for beech published to date, i.e. $-25.4 \pm 0.4\text{‰}$ at S4:2 for the dominant-tree subsample (Fig.4a). The origin of the high $\delta^{13}\text{C}$ values observed in our study can be explained (i) by our choice to select, by design, a range of years characterized by severe summer droughts (2003-2007), which undoubtedly triggered high tree water use efficiency (Saurer et al., 1995), and (ii) by the fact that some of our sites were at the range limit of precipitation and temperature conditions for beech in Europe (Fig. 2).

We found that the mean $\delta^{13}\text{C}$ values for beech in pure stands did not differ among sites except at S1, either for all trees or for the dominant-tree subsample (Fig. 3a, 4a), though we had expected stronger differences due to the wide range of climatic conditions across our sites (Fig. 2). Plant tissue $\delta^{13}\text{C}$ is a functional trait that is highly sensitive to differences in environmental conditions (Farquhar et al., 1989). However, different environmental conditions can have opposite effects on $\delta^{13}\text{C}$ values, and the lack of difference in $\delta^{13}\text{C}$ among the five sites (S2 to S6) could be explained by a combination of conditions acting in opposite directions. We explain the significantly lower mean $\delta^{13}\text{C}$ values for beech at S1 (Fig. 3a, 4b) by the fact that S1 has the wettest and coolest climate among the six sites (Fig.2). Site S1 also had relatively low water

stress and was the least affected by water deficit during the period 2003-2007 covered by our study (Table A3).

4.1.2. Species differences

The pure beech stands had significantly lower $\delta^{13}\text{C}$ values than did fir or oak in the respective sites (Table B1). This indicated lower mean water use efficiency for beech, even though the magnitude of the differences between species remained low. For beech and fir, lower $\delta^{13}\text{C}$ values for beech are consistent with the study by Schwarz and Bauhus (2019) during the 2003 drought in Germany and Croatia. For beech and pubescent oak, to our knowledge, no studies have compared $\delta^{13}\text{C}$ values of mature pubescent oak with other species. Differences in $\delta^{13}\text{C}$ values among species can be explained by physiological and morphological characteristics (McCarroll and Loader, 2004) or by differences in canopy structure in pure stands. Yet, we included a dendrometric variable ($\log(\text{DBH})$) in the statistical tests to take these characteristics into account when testing for species differences. Species effects remained significant in the models with the dominant-tree subsample while the effect of $\log(\text{DBH})$ did not (Table B1). We can therefore conclude that species differences in $\delta^{13}\text{C}$ were mainly related to intrinsic differences among species in water use efficiency, and not just to canopy structure factors.

As expected, a large part of the variability in $\delta^{13}\text{C}$ values was explained by the $\log(\text{DBH})$ variable when we included all trees (Table B1). The relationship between $\delta^{13}\text{C}$ values and $\log(\text{DBH})$ was always positive. This is consistent with the fact that gradients in light availability in the canopy strongly influence $\delta^{13}\text{C}$ values (McCarroll and Loader, 2004). The slopes of this relationship differed between species: fir always had a stronger slope than beech in northern sites, indicating that small fir trees were more suppressed for light than small beech trees in their respective pure stands. As both species have high shade tolerance (Niinemets and Valladares, 2006), this could simply be due to the canopy structure of fir trees leading to lower

light availability for dominated fir trees. Beech had a stronger slope than oak in the southern sites, indicating that small beech trees were more suppressed for light than small oak trees in pure stands. As beech is more shade tolerant than oak (Niinemets and Valladares, 2006), this could be explained by the fact that small beech trees are growing in light-suppressed environments whereas oak trees, even when small, seem to only grow in environments with a higher light availability leading to less variability in $\delta^{13}\text{C}$ values. These results show that in addition to intrinsic species differences in water use efficiency, canopy structure and light interception also influenced water and carbon functioning in pure stands .

4.2. Mixture effects on $\delta^{13}\text{C}$

4.2.1. Beech-fir sites

For beech, there was no mixture effect on $\delta^{13}\text{C}$ values during dry years when mixed with fir (Table 2 & 3, Fig. 5a & 6a), despite strong differences in functional traits between these two species. The lack of mixture effect is consistent with several studies on beech-fir mixtures. For instance, at the same sites, Jourdan et al. (2019a) reported no effect of the proportion of fir on the drought resistance of beech, estimated with growth data at the neighborhood tree-to-tree level. In another study in Romania, no mixture effect was observed for beech on $\delta^{13}\text{C}$ differences between a wet and a dry year, either at the species level (Forrester et al., 2016) or at the stand level (Grossiord et al., 2014c). Recently, Schwarz & Bauhus (2019) looked at the resistance to a drought event for four sites with beech-fir mixtures in Germany and Croatia and found no mixture effect on $\delta^{13}\text{C}$, even though they observed long-term positive effects of mixture on radial growth.

For fir, the influence of mixture on $\delta^{13}\text{C}$ values during dry years was more variable (Table 2 & 3, Fig. 5a & 6a). We observed that mixture effects for fir differed among sites, between triplets within a given site, or according to the type of dataset we used. This suggests that local

613 environmental conditions and stand structure strongly influenced the outcome of the tests for
 614 fir and that mixture effects may play a minor role in explaining observed differences.
 615 Consequently, we cannot conclude that including fir in mixtures with beech will mitigate fir
 616 exposure to drought. The existing literature has highlighted contrasted patterns for fir in
 617 mixtures with beech. Some studies observed that the sensitivity of fir to drought was reduced
 618 when mixed with beech in drier sites (Lebourgeois et al., 2013; Gazol and Camarero, 2016),
 619 while other studies found no effect (Forrester et al., 2016; Schwarz and Bauhus, 2019), or even
 620 a negative effect (Jourdan et al., 2019a). Differences in site conditions could explain
 621 discrepancies in the observed patterns between our results and previous studies, as mixture
 622 effects may change along resource gradients (Forrester and Bauhus, 2016). The interaction
 623 effects detected for fir, with all trees, between $\log(DBH)$ and stand composition suggest that
 624 there was less variability in $\delta^{13}C$ values due to light availability in mixed stands. In pure stands,
 625 small fir trees had lower light availability (more negative $\delta^{13}C$ values) than in mixed ones. This
 626 could be explained by the fact that fir trees in mixed stands received more light than in pure
 627 stands due to canopy opening with the presence of beech and to phenological differences
 628 between fir and beech. However, these interactions did not impact the detected mixture effects.

629 At the stand level with both species, there was no single mixture effect pattern among sites and
 630 triplets for beech-fir sites (Fig. 7). NBE_{dom} was either neutral or negative, meaning that mixtures
 631 had more negative $\delta^{13}C$ values, and potentially lower water stress, than expected from pure
 632 stands. Within each site, triplet NBE_{dom} values were often neutral for one triplet and negative
 633 for the other one. As a reminder, these triplets were not selected as replicates, but were designed
 634 to cover local variations in environmental conditions and stand structure; within-site variability
 635 was therefore not surprising. The neutral or negative NBE_{dom} values could be explained by
 636 differences in above-ground features, such as stand structure, proportion of shaded subcanopy
 637 or canopy packing levels, or in below-ground processes, such as complementarity or facilitation

for water acquisition (Grossiord, 2019). The species-interaction effects between beech and fir for some triplets may be attenuated or even masked by local differences in environmental conditions, which could not be controlled for in these stands (Metz et al., 2016). Furthermore, opposite processes that may strongly influence photosynthesis (e.g. light gradients) or water use (e.g. depth of water uptake) in mixed forests may actually cancel each other out and result in the absence of overall mixture effects (Forrester and Bauhus, 2016). However, the overall result of NBE_{dom} for mixed beech-fir stands is that $\delta^{13}C$ values were never less negative than expected, suggesting a potentially lower water stress in mixtures. These results differ from the mixture effects tested for each species separately. Differences between species-level and stand-level results have been observed before (Forrester et al., 2016) and can result from opposite trends of mixture effects between the species (Toïgo et al., 2015). For the overall stand $\delta^{13}C$ values, beech-fir mixtures were not detrimental in terms of functioning during dry years. We will discuss potential differences in water sources among species and stand composition below; however, we were not able to characterize above-ground influences in the current study.

4.2.2. Beech-oak sites

The presence of pubescent oak did not decrease beech exposure to drought. In fact, with all trees in the global model (Table 2) and for two individual triplets (Table 3), the interaction with pubescent oak even led to higher beech $\delta^{13}C$ values, indicating a higher drought exposure of beech in mixed stands compared to pure ones. In the global model (Table 2) and in one of these two triplets (S5:2, Table 3), the absence of any mixture effect for the dominant-tree subsample suggested that these effects might be related to the social status of the trees, even though we were not able to demonstrate this. The interaction effect between $\log(DBH)$ and $\delta^{13}C$ values in S4:2 for beech was opposite to what was observed for fir: there was less variability in $\delta^{13}C$ values due to light availability in the pure stand than in the mixed one. However, this effect was mostly driven by a few bigger trees in the pure stand (Table 1) and did not affect the observed

mixture effect. Comparing these results with previous work on beech-pubescent oak mixtures is impossible as this is the first study to look at beech $\delta^{13}\text{C}$ response in interaction with pubescent oak. Beech $\delta^{13}\text{C}$ responses when mixed with another oak species (sessile oak, *Quercus petraea*) in German forests indicated that it was less exposed to drought than in pure stands (Forrester et al., 2016). Compared to sessile oak, pubescent oak is more drought tolerant (Niinemets and Valladares, 2006) and could be more competitive than sessile oak when mixed with beech. Furthermore, when looking at growth response patterns to drought in mixture with different oak species, beech showed very inconsistent results so far, with positive (Pretzsch et al., 2013; *Quercus petraea*), negative (Vanhellemont et al., 2019; *Quercus robur*) or neutral (Jourdan et al., 2019a; *Quercus pubescens*) patterns. Our results underline the current uncertainty on the choice of silvicultural practices that forest managers must make today to mitigate drought impact on beech productivity along its southern margins under future climate conditions.

The presence of beech did not influence the physiological functioning of pubescent oak during dry summers in this region (Fig. 5b, 6b, Table 2, 3). As with beech, we were not able to reveal any general trend for beech-oak interactions under severe drought conditions. Our study suggests that, at least in this region, managing pubescent oak with beech does not lead to clear mitigation of climate change impacts on oak response to drought.

4.2.3. Impact of sampling design and use of absolute $\delta^{13}\text{C}$ values

Our results emphasize how the sampling design (all trees or dominant ones only) and level of analysis (tree or stand) of diversity-ecosystem functioning studies may influence the outcome of mixture effect tests. Limiting the sampling design to dominant trees when looking at mixture effects on the $\delta^{13}\text{C}$ response of trees to drought has been the typical approach to date (Grossiord et al., 2014c; Metz et al., 2016; Schwarz and Bauhus, 2019). However, we show that this choice

may bias the outcome of these mixture effect tests. Selecting dominant trees only is, indeed, pertinent with regards to $\delta^{13}\text{C}$, as dominant trees are those with the greatest sunlit leaf areas and therefore potential carbon (photosynthesis) and water (transpiration) exchange with the atmosphere. However, not including suppressed trees in these analyses may bias the stand-level patterns of mixture effects, as the sensitivity to drought of suppressed and dominant trees in mixed stands may differ (Mérian and Lebourgeois, 2011). This highlights the need for a standardization of protocols in mixed-species forest studies in accordance with the goal of the study (e.g. ecophysiological or forest management questions).

A limitation of this study, as mentioned in the methods, was the use of absolute $\delta^{13}\text{C}$ values for dry years instead of the difference in $\delta^{13}\text{C}$ between wet and dry years. Calculating a difference between the $\delta^{13}\text{C}$ of a wet and a dry year would allow analyzing a direct response of trees to drought and compare this response in pure and mixed stands independently of the micro-environmental variability among stands within a given triplet. However, in a study looking at diversity effects on drought responses of $\delta^{13}\text{C}$, the same trends were found with $\delta^{13}\text{C}$ differences between wet and dry years (Grossiord et al., 2014c) than with absolute $\delta^{13}\text{C}$ values for the dry years only (Grossiord, 2014, p.48). Then, this methodological caveat should not prevent us from interpreting mixture effects, especially since we took precautions to avoid over-interpreting these effects at the triplet scale.

4.3. Complementarity in water uptake sources

4.3.1. Beech-fir sites

At the more northern beech-fir sites, during the 2018 summer drought, we observed a plasticity in the depth of water uptake for beech at these sites between pure and mixed stands (Fig. 8), which is consistent with the known plasticity of the species in terms of rooting traits and depth of water uptake (Brinkmann et al., 2018). When competing with fir, beech seemed to have a

deeper mean depth of water uptake than when competing with beech only. These results are in accordance with previous studies which show a shift of beech fine roots down to deeper soil horizons when competing with coniferous tree species (Bolte and Villanueva, 2006; Grossiord et al., 2014a). Plasticity in functional traits can be the result of abiotic or biotic interactions (Valladares et al., 2007). Therefore, the shift in beech water uptake depth could be explained either by a response to the competition with fir roots in the same soil horizons, or by a response to a depletion in soil water availability in the topsoil (Brinkmann et al., 2018).

In the mixed stands, xylem $\delta^2\text{H}$ values showed that beech tended to uptake water from deeper soil layers than fir did (Table B2, Fig 8), suggesting niche complementarity for water uptake between the two species. This kind of complementarity between species in water use is assumed to partly explain positive biodiversity-ecosystem functioning relationships (Grossiord, 2019). However, it has rarely been linked to mixture effects on plant growth (Mueller et al., 2013; Bachmann et al., 2015) or functional traits under drought (Verheyen et al., 2008; Goisser et al., 2016). In our study, the absence of a clear mixture effect on $\delta^{13}\text{C}$ for both beech and fir, despite a complementarity in water uptake, may be explained by several factors. First, our results did not allow us to quantify the real gain in soil water availability during these dry periods for beech. Indeed, the shift in $\delta^2\text{H}$ between pure and mixed stands was slight (10 ‰ on average) and may indicate only a small difference in water uptake depth and soil water content. Second, below-ground processes may not be the main drivers of the mixture effects for these species in the study region. Above-ground processes could well be the major drivers, but they were not tested in this study. Third, as discussed by Goisser et al. (2016), the impact of species mixture on soil water availability may vary across seasons. The time scale of the processes associated with our $\delta^2\text{H}$ or $\delta^{13}\text{C}$ approaches were different: $\delta^2\text{H}$ gave a one-shot image of the mean signature of soil water extracted during the summer, whereas the $\delta^{13}\text{C}$ values gave an integrated measurement of carbon and water acquisition and use over five years with dry summers.

4.3.2. Beech-oak sites

For the more southern beech-oak sites, there were no signs of complementarity or niche differentiation in water acquisition for either species (Table B2, Fig. 8). This result is consistent with the absence of mixture effects on $\delta^{13}\text{C}$ for beech and pubescent oak in most triplets. The absence of plasticity in water uptake for beech when mixed with pubescent oak is interesting to note in comparison to the beech-fir sites. It suggests that the presence of pubescent oak did not induce any changes in beech water uptake compared to pure beech stands. The shallow soils in our sites (especially S5) might be one reason for the absence of differences in rooting depth between the species. We did not find data in the literature on rooting depth of pubescent oak. However, Lebourgeois and Jabiol (2002) have shown that beech rooting depth, compared to two other oak species (*Quercus petraea* and *Quercus robur*), is more sensitive to constraints but also that in the absence of strong constraints, beech and oak species rooting profiles are similar. In this part of the beech distribution area, during extreme dry summers, beech and pubescent oak displayed a functional redundancy in their below-ground water uptake. Mixing these two species to improve beech access to soil water in southern France may not be an appropriate forest management strategy.

5. Conclusion

Our study was designed to help decision-making for beech forest management strategies adapted to more frequent and extreme droughts in the future, particularly at the limits of the distribution area of beech. Overall, we found no major mixture effect on drought exposure for beech, although there was a high variability in the outcome of mixture effects at the triplet scale. In the northern part of our study, mixing beech with fir had no effect on beech $\delta^{13}\text{C}$ values during dry years. This result is in accordance with a growing body of literature showing the lack of a species-interaction effect on beech drought resistance. Managing mixed stands of beech and fir does not seem to help mitigate drought impacts for either of the two species, despite an observed complementarity in water uptake. In the southern part of our study, mixture effects on beech were mostly neutral, although beech seemed to be more exposed to drought in mixed stands with pubescent oak at some sites. In a region at the limits of the distribution area of beech, this study allowed us to conclude that managing beech in mixed stands with silver fir or pubescent oak does not buffer drought impacts during dry years. Yet, in the long term, as extreme droughts will become more frequent, beech-fir mixtures should not be detrimental to beech response to drought, while it might be in mixtures with pubescent oak. In the southernmost distribution regions of beech, an overall assessment of multi-criteria potential benefits for each type of mixture should then be conducted in order to take management decisions.

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CRedit authorship contribution statement

Soline Martin-Blangy: Conceptualization, Methodology, Investigation, Formal analysis, Visualization, Writing - original draft. **Marie Charru:** Conceptualization, Methodology, Investigation, Writing - original draft, Supervision. **Sylvain Gérard:** Investigation, Writing - review & editing. **Hervé Jactel:** Conceptualization, Resources, Project administration, Funding acquisition, Writing - review & editing. **Marion Jourdan:** Resources, Investigation, Writing - review & editing. **Xavier Morin:** Conceptualization, Investigation, Resources, Writing - review & editing. **Damien Bonal:** Conceptualization, Methodology, Investigation, Writing - original draft, Supervision.

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1062 **Tables**

1063 **Table 1** Plot characteristics: mean DBH (cm) and range by species for all trees in each site,
1064 triplet, and plot.

Site	Triplet	Plot	DBH (cm)			
			Beech	Fir	Oak	Other sp.
S1	1	Pure beech	41.9 (8-105)	–	–	19.1 (13.4-24.2)
S1	1	Pure fir	8.3 (8.3-8.3)	37 (8.6-92.9)	–	21.5 (10.5-49.3)
S1	1	Mixed	39 (28.3-49.7)	22.9 (7.6-38.2)	–	18.5 (9.2-34.4)
S1	2	Pure beech	47 (28.3-59.8)	14.1 (5.7-28.6)	–	–
S1	2	Pure fir	–	32.6 (8-79.9)	–	26.7 (13.1-50)
S1	2	Mixed	42.4 (9.5-76.4)	28.3 (7.3-91.7)	–	45.2 (45.2-45.2)
S2	1	Pure beech	16.5 (8.3-46.8)	–	–	19.4 (14.3-26.7)
S2	1	Pure fir	–	24.2 (8-42)	–	23.6 (23.6-23.6)
S2	1	Mixed	14.2 (7.3-40.1)	19.6 (7.6-57.3)	–	10.2 (8.6-14.3)
S2	2	Pure beech	47.4 (35.3-58.3)	17.3 (12.7-23.9)	–	–
S2	2	Pure fir	15.3 (15.3-15.3)	42.4 (15.6-57.3)	–	–
S2	2	Mixed	36.8 (30.2-44.2)	27.7 (12.7-57.6)	–	–
S3	1	Pure beech	25.3 (9.2-38.8)	–	–	–
S3	1	Pure fir	18.8 (18.1-19.4)	35.9 (15-47.7)	–	–
S3	1	Mixed	14.9 (7.6-23.9)	44.8 (9.5-59.8)	–	–
S3	2	Pure beech	29.9 (16.6-53.5)	20.4 (20.4-20.4)	–	–
S3	2	Pure fir	19.2 (8-30.2)	17.1 (7.3-41.7)	–	15 (10.8-19.1)
S3	2	Mixed	24 (16.2-32.1)	15.2 (7.3-60.5)	–	30.6 (28.6-32.5)
S4	1	Pure beech	24.9 (7.6-68.1)	–	–	13.3 (11.8-14.3)
S4	1	Pure oak	11.4 (7.6-15.3)	–	18.3 (7.6-42.7)	10.7 (7.6-15.9)
S4	1	Mixed	16.1 (8.6-24.5)	–	20.7 (11.1-27.4)	18.9 (8.3-39.8)
S4	2	Pure beech	22.6 (8.6-64.3)	–	11.1 (11.1-11.1)	–
S4	2	Pure oak	13.4 (13.4-13.4)	–	15.2 (8.9-24.8)	9.7 (9.2-10.2)
S4	2	Mixed	14.4 (7-27.7)	–	17.1 (12.1-22.9)	13.8 (12.4-15.3)
S5	1	Pure beech	17.1 (7.3-28.6)	–	12.9 (8.9-16.9)	13.7 (8-19.4)
S5	1	Pure oak	–	–	18.7 (10.5-32.5)	18.6 (9.2-29)
S5	1	Mixed	14.5 (8-22)	–	14.7 (7.6-21)	10.4 (7.3-16.2)
S5	2	Pure beech	16.6 (7-27.1)	–	–	13.8 (8.3-23.6)
S5	2	Pure oak	–	–	15.6 (10.8-22.9)	10.1 (7-13.4)
S5	2	Mixed	13.7 (7.3-23.6)	–	15.9 (7.6-20.7)	9.2 (7-15.3)
S6	1	Pure beech	40.4 (29.6-53.2)	–	–	16 (9.9-29)
S6	1	Pure oak	–	–	36.1 (28-45.5)	12.8 (7-22.3)
S6	1	Mixed	30.6 (9.5-45.2)	–	34.6 (20.4-42.3)	15.5 (7.3-34.4)
S6	2	Pure beech	19.6 (7.6-35.7)	–	17.2 (14-19.4)	13.3 (7.6-25.5)
S6	2	Pure oak	–	–	19 (9.9-38.2)	8.2 (7-9.9)
S6	2	Mixed	21.3 (8.6-31.8)	–	18 (8.3-26.7)	11.3 (7.6-15.9)

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1066 *Note:* Other tree species represent less than 20% of the total basal area of the plots (12% on average). Most
1067 common species are *Acer pseudoplatanus*, *Acer campestre*, *Ilex aquifolium*, *Sorbus torminalis* and *Taxus*
1068 *baccata*.

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Table 2 Linear mixed model output for *Mixture* effects and $\log(DBH)$ on $\delta^{13}C$ by type of mixture and species (Eq. 7).

	Beech-fir sites						Beech-oak sites					
	Beech			Fir			Beech			Oak		
	χ^2	<i>df</i>	<i>P</i>	χ^2	<i>df</i>	<i>P</i>	χ^2	<i>df</i>	<i>P</i>	χ^2	<i>df</i>	<i>P</i>
All trees		154			213			191			189	
$\log(DBH)$	27	1	<.001***	268	1	<.001***	185	1	<.001***	21	1	<.001***
<i>Mixture</i>	0	1	0.77	2	1	0.13	26	1	<.001*** $\delta^{13}C_m > \delta^{13}C_p$	1	1	0.35
$\log(DBH):Mixture$	0	1	0.52	4	1	0.04*	1	1	0.24	1	1	0.30
$R^2_m (R^2_c)$			0.16 (0.65)			0.40 (0.76)			0.41 (0.68)			0.10 (0.46)
Dominant-tree subsample		52			48			50			58	
$\log(DBH)$	0	1	0.58	3	1	0.07	0	1	0.90	1	1	0.27
<i>Mixture</i>	2	1	0.21	1	1	0.25	0	1	0.49	2	1	0.15
$\log(DBH):Mixture$	2	1	0.14	5	1	0.02*	4	1	0.05	1	1	0.35
$R^2_m (R^2_c)$			0.03 (0.52)			0.10 (0.62)			0.06 (0.26)			0.05 (0.32)

Note: Stars indicate significant $\log(DBH)$, *Mixture* and interaction effects. *Mixture* is a two-level factor (pure vs. mixed). For significant *Mixture* effects, the direction of the estimate is indicated by $\delta^{13}C_p > \delta^{13}C_m$ if pure stands have a higher $\delta^{13}C$ estimate than mixed stands (i.e. mixture improves response to water stress compared to pure stands), and $\delta^{13}C_m > \delta^{13}C_p$ if mixed stands have a higher $\delta^{13}C$ estimate than pure stands. χ^2 : chi square test results for type “II” ANOVA. *df*: degrees of freedom. *P*: p-value for fixed terms. *Triplet ID* is used as a random factor in all eight models. A pseudo R-squared for mixed effect models was used to determine the variance explained by the two fixed terms only (marginal coefficient of determination, R^2_m) and the entire model including the random terms (conditional coefficient of determination, R^2_c).

1083 **Table 3** Model p-values for *Mixture* effects, $\log(DBH)$ on $\delta^{13}C$ by triplet and species (Eq. 8).

Site+Triplet	Beech			Fir			Oak		
	<i>P</i> - $\log(DBH)$	<i>P</i> - <i>Mixture</i>	<i>P</i> - $\log(DBH)$: <i>Mixture</i>	<i>P</i> - $\log(DBH)$	<i>P</i> - <i>Mixture</i>	<i>P</i> - $\log(DBH)$: <i>Mixture</i>	<i>P</i> - $\log(DBH)$	<i>P</i> - <i>Mixture</i>	<i>P</i> - $\log(DBH)$: <i>Mixture</i>
All trees									
S1:1	0.03*	0.13	0.67	<0.001***	<0.01** $\delta^{13}C_m > \delta^{13}C_p$	0.7	—	—	—
S1:2	0.18	0.24	0.31	<0.001***	0.94	0.15	—	—	—
S2:1	<0.001***	0.62	0.45	<0.001***	<0.01** $\delta^{13}C_m > \delta^{13}C_p$	0.35	—	—	—
S2:2	0.42	0.85	0.61	<0.001***	0.66	0.09	—	—	—
S3:1	0.03*	0.44	0.62	<0.01**	0.09	0.77	—	—	—
S3:2	<0.01**	0.54	0.82	<0.001***	0.87	0.04*	—	—	—
S4:1	<0.001***	0.06	0.6	—	—	—	0.04*	0.47	0.49
S4:2	<0.001***	<0.001*** $\delta^{13}C_m > \delta^{13}C_p$	<0.01**	—	—	—	0.02*	0.02* $\delta^{13}C_p > \delta^{13}C_m$	0.75
S5:1	<0.001***	0.32	0.39	—	—	—	0.06	<0.01** $\delta^{13}C_m > \delta^{13}C_p$	0.83
S5:2	<0.001***	<0.001*** $\delta^{13}C_m > \delta^{13}C_p$	0.15	—	—	—	0.81	0.09	0.67
S6:1	<0.01**	0.12	0.19	—	—	—	0.21	0.53	0.56
S6:2	<0.001***	0.22	0.95	—	—	—	<0.01**	0.55	0.88
Dominant-tree subsample									
S1:1	0.88	0.97	0.53	0.48	0.93	0.02*	—	—	—
S1:2	0.17	0.09	0.17	0.09	0.68	0.46	—	—	—
S2:1	0.95	0.54	0.59	0.10	0.57	0.61	—	—	—
S2:2	0.51	0.52	0.51	<0.01**	0.04* $\delta^{13}C_p > \delta^{13}C_m$	0.05	—	—	—
S3:1	0.45	0.48	0.86	0.40	0.34	0.28	—	—	—
S3:2	0.30	0.98	0.07	0.87	0.06	0.24	—	—	—
S4:1	0.76	0.47	0.55	—	—	—	0.22	0.37	0.65
S4:2	<0.001***	<0.001*** $\delta^{13}C_m > \delta^{13}C_p$	<0.001***	—	—	—	0.63	0.18	0.47
S5:1	0.62	0.53	0.78	—	—	—	0.48	0.62	0.43
S5:2	0.51	0.06	0.7	—	—	—	0.36	0.21	0.43
S6:1	0.19	0.19	0.93	—	—	—	0.31	0.96	0.09
S6:2	0.98	0.18	0.42	—	—	—	0.81	0.44	0.44

1084 *Note:* Stars indicate significant $\log(DBH)$, *Mixture* or interaction effects. *Mixture* is a two-level factor (pure vs.

1085 mixed). For significant *Mixture* effects, the direction of the estimate is indicated by $\delta^{13}C_p > \delta^{13}C_m$ if pure stands

1086 have a higher $\delta^{13}C$ estimate than mixed stands (i.e. mixture improves response to water stress compared to pure

1087 stands), and $\delta^{13}C_m > \delta^{13}C_p$ if mixed stands have a higher $\delta^{13}C$ estimate than pure stands.

Figures

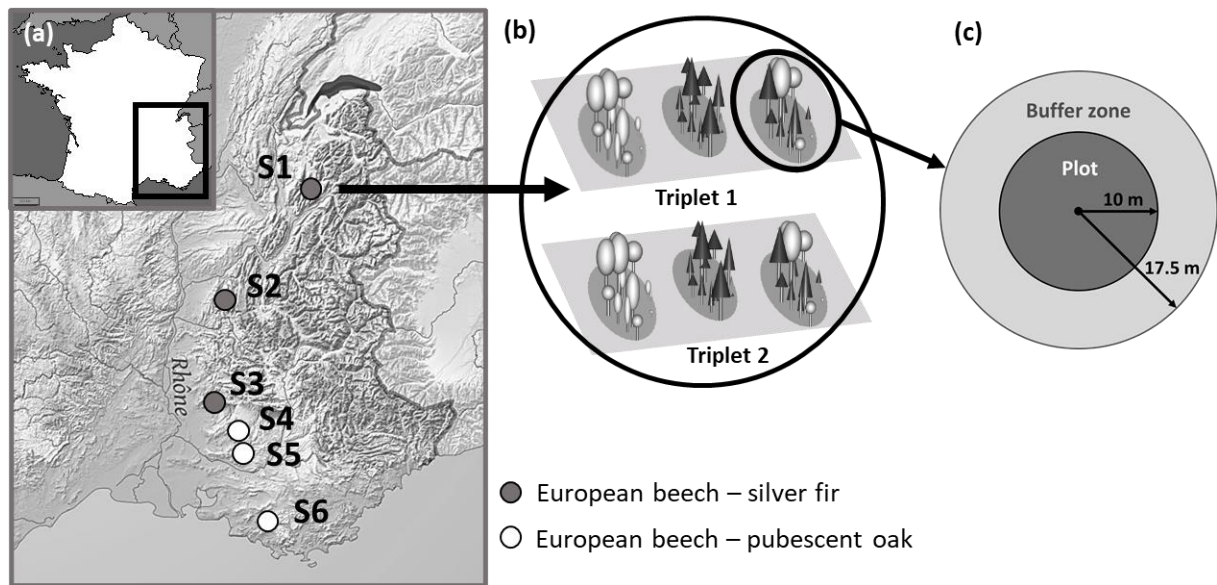
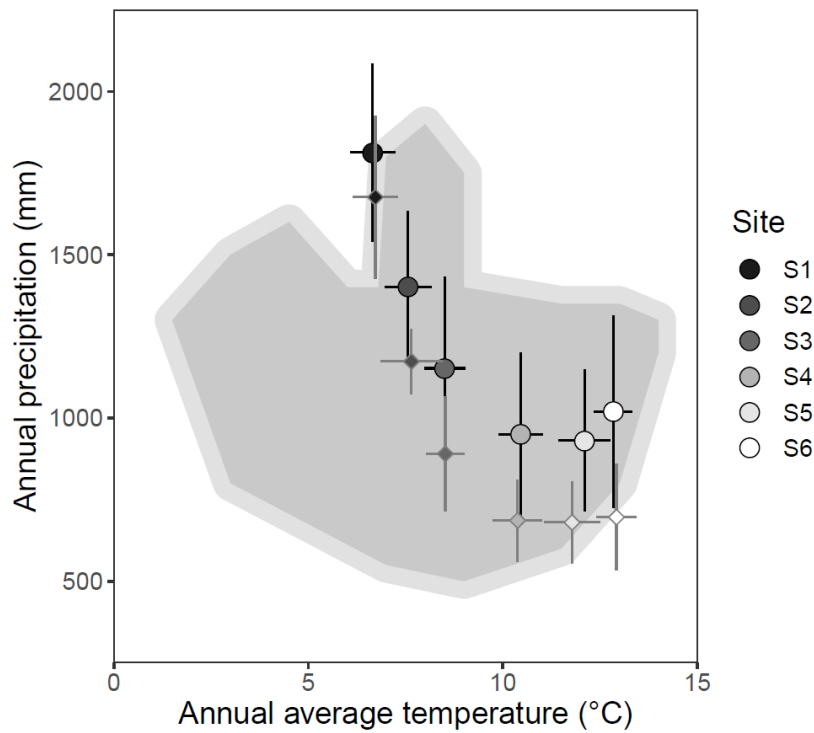


Fig. 1. Field design of the GMAP network (Mediterranean and Alpine Plot Gradient, set up by X. Morin in 2013). **(a)** Study area and location of the six sites in south-eastern France. Dark points (sites S1 to S3) represent northern sites with European beech (*Fagus sylvatica*) and silver fir (*Abies alba*) forests. White points (sites S4 to S6) represent southern sites with European beech and pubescent oak (*Quercus pubescens*) forests. The sites are located in the following mountain ranges of the French pre-Alps: Bauges (S1), Vercors (S2), Ventoux (S3), Lubéron Lagarde (S4), Grand Lubéron (S5), Sainte-Baume (S6). **(b)** Schematic representation of a site, with the two triplets per site. Each triplet is made up of two pure plots in monospecific stands and one mixed plot in a mixed-species stand. **(c)** Representation of an individual plot. Plots are circular with a central plot area (10 m radius) and a buffer zone (7.5 m radius). All the trees in the central plot with a DBH > 7.5 cm were sampled.

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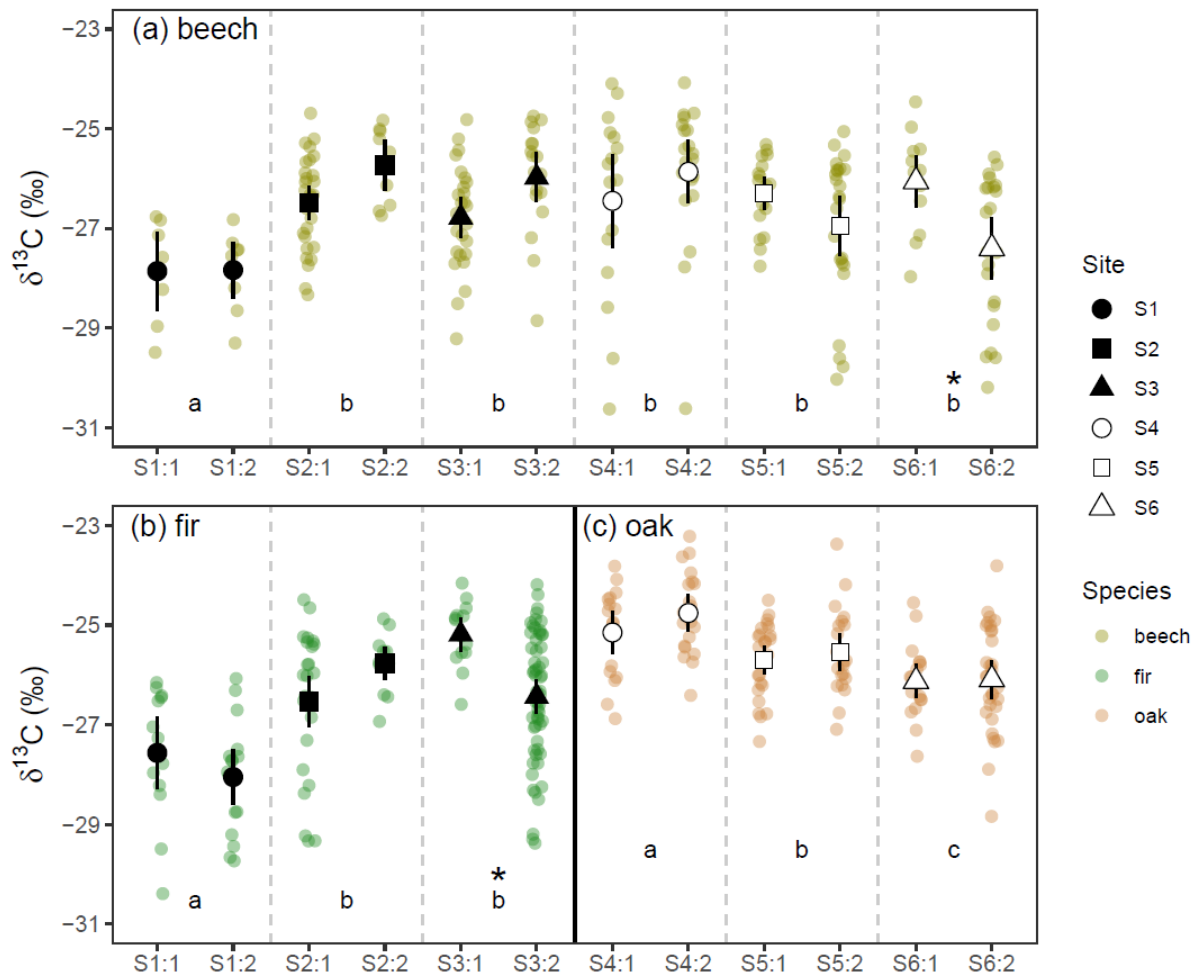
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Fig. 2. Climate-space diagram of presence for beech in Europe, study site annual average temperature and annual sum of precipitation 20-year averages. The shaded area represents observed beech presence in Europe in all national forest inventories in terms of annual climatic conditions for temperature and precipitation (adapted from the European Atlas of Forest Tree Species, Houston Durrant et al., 2016). Large circular points represent the 20-year averages (1994-2013) from the SAFRAN weather data for each of our study sites. Small diamond points represent the 5-year averages of the selected dry years (2003-2007) for each site. The x-axis is the annual average of daily average temperatures. The y-axis is the annual sum of daily precipitation. Error bars represent \pm one standard deviation around the mean.



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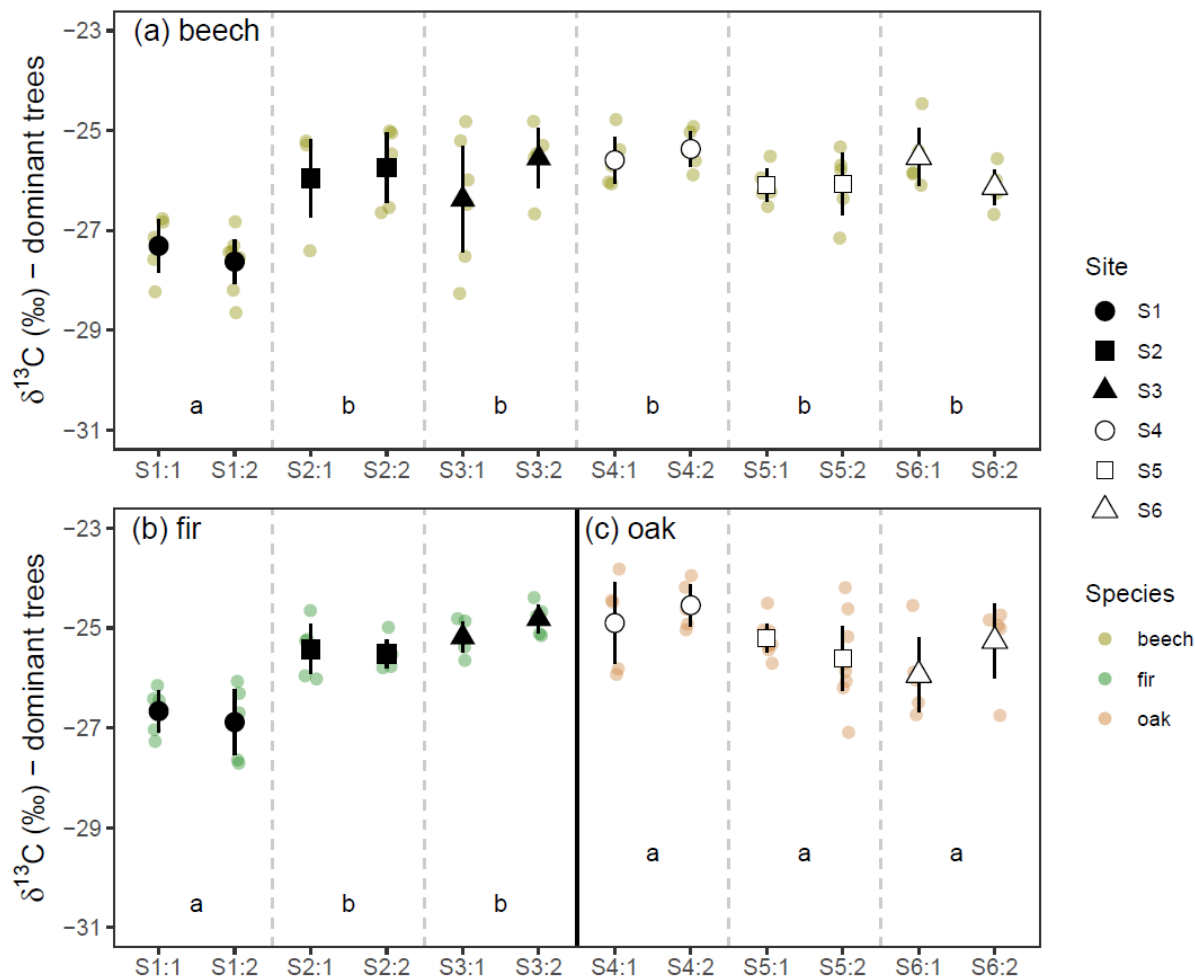
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Fig. 3. Tree-ring $\delta^{13}\text{C}$ values for all the trees sampled in pure stands, by site and triplet, for (a) beech, (b) fir, and (c) oak. Closed and open symbols with error bars (95% confidence intervals) show mean plot values. Letters at the bottom of each graph indicate results for differences among sites by species (Eq. 5). The pure plots of the two triplets within each site are shown separately (i.e. S1:1 is triplet 1 in site S1). Stars indicate significant differences between the triplets of a site in pure stands of the same species (Eq. 5). Dots in the background represent individual tree data. For individual points, a jitter function is used to add a small amount of random noise on the x-axis to show overlapping points.



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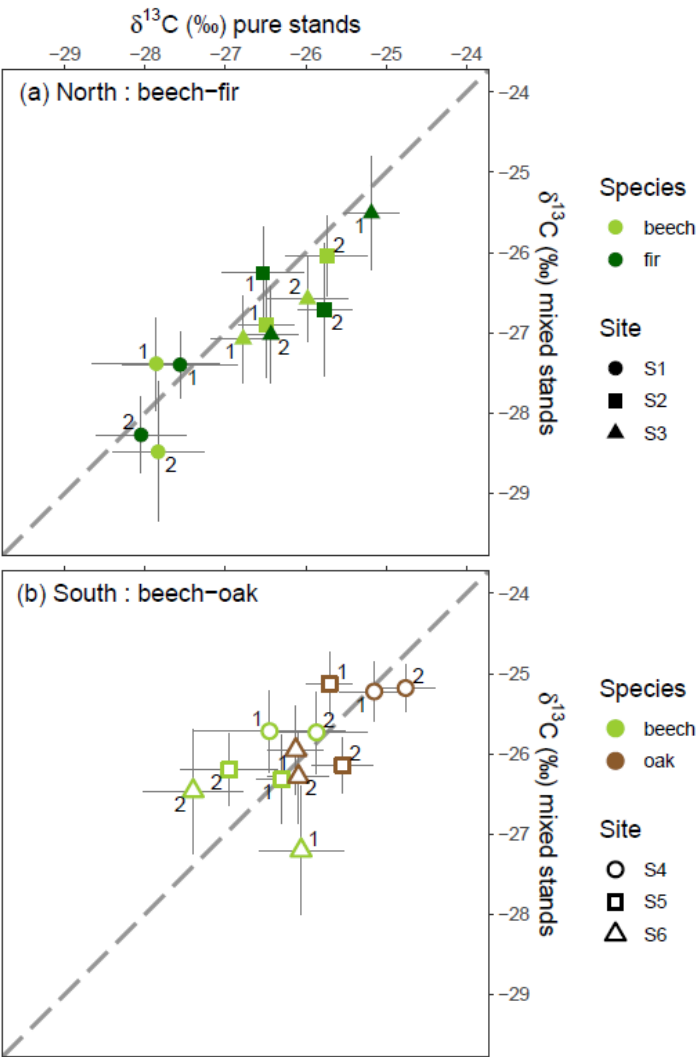
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Fig. 4. Tree-ring $\delta^{13}\text{C}$ values for all the trees in the dominant-tree subsample for pure stands, by site and triplet for (a) beech, (b) fir, and (c) oak. Closed and open symbols with error bars (95% confidence intervals) show mean plot values. Letters at the bottom of each graph indicate results for differences among sites by species (Eq. 5). The pure plots of the two triplets within each site are shown separately (i.e. S1:1 is triplet 1 in site S1). Stars indicate significant differences between the two triplets of a site in pure stands of the same species (none here) (Eq. 5). Dots in the background represent individual tree data. For individual points, a jitter function is used to add a small amount of random noise on the x-axis to show overlapping points.

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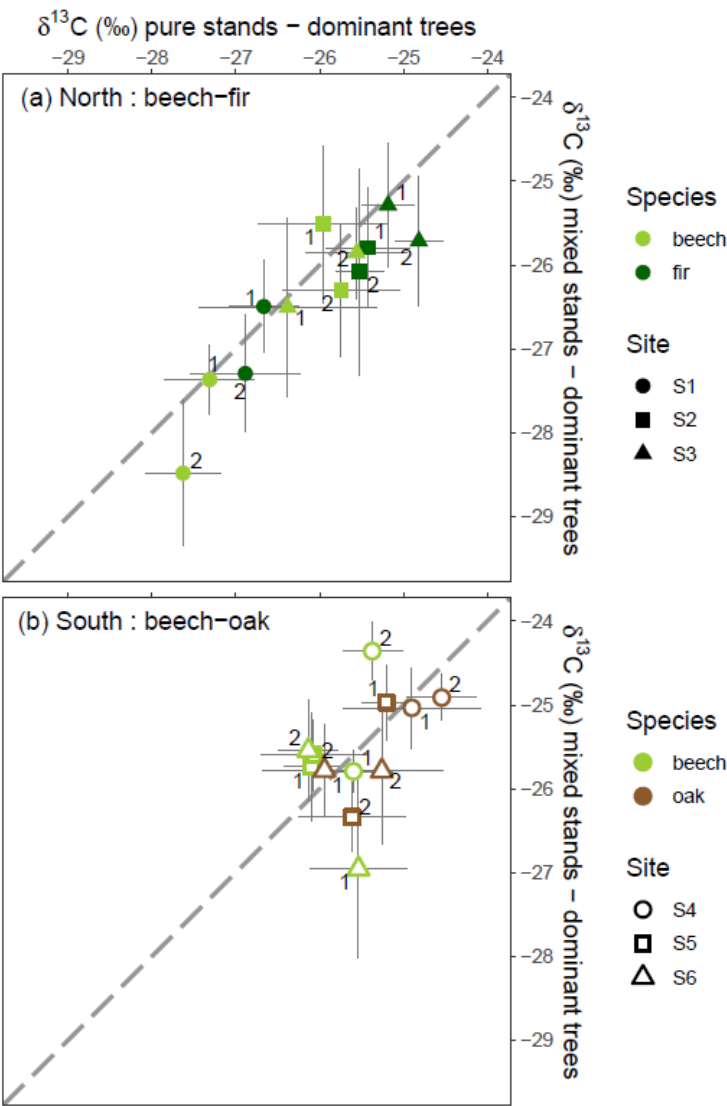
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Fig. 5. Mean tree-ring $\delta^{13}\text{C}$ values of all the sampled trees in pure versus mixed stands by species, site and triplet for (a) beech-fir sites (S1 to S3, closed symbols) and (b) beech-oak sites (S4 to S6, open symbols). Symbols with error bars (95% confidence intervals) show mean plot values for pure (x-axis) vs. mixed (y-axis) stands. Numbers 1 and 2 represent the triplet number within the site. The dashed lines are the identity lines of slope 1 and intercept 0.

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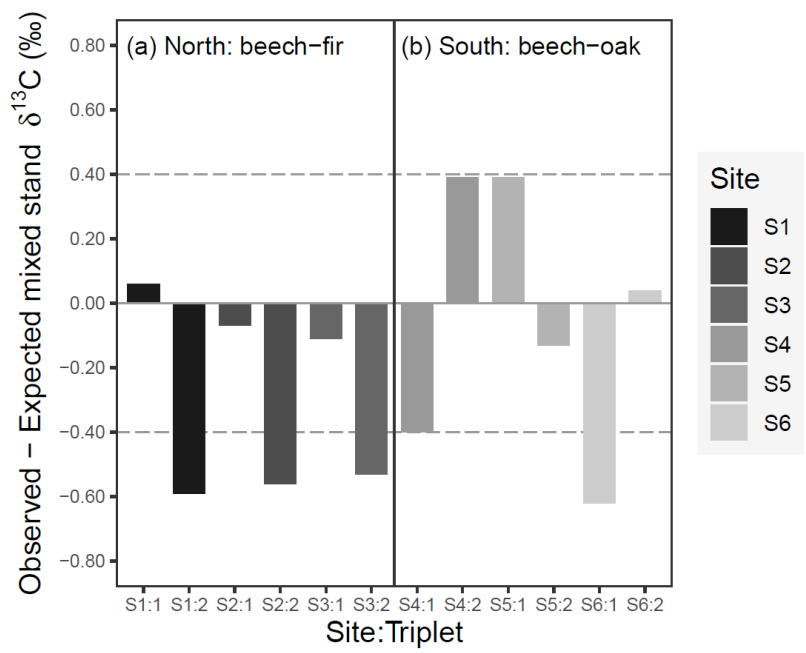
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Fig. 6. Mean tree-ring $\delta^{13}\text{C}$ values of the dominant-tree subsample for pure versus mixed stands by species, site and triplet for (a) beech-fir sites (S1 to S3, closed symbols) and (b) beech-oak sites (S4 to S6, open symbols). Symbols with error bars (95% confidence intervals) show mean plot values for pure (x-axis) vs. mixed (y-axis) stands. Numbers 1 and 2 represent the triplet number within the site. The dashed lines are the identity lines of slope 1 and intercept 0.

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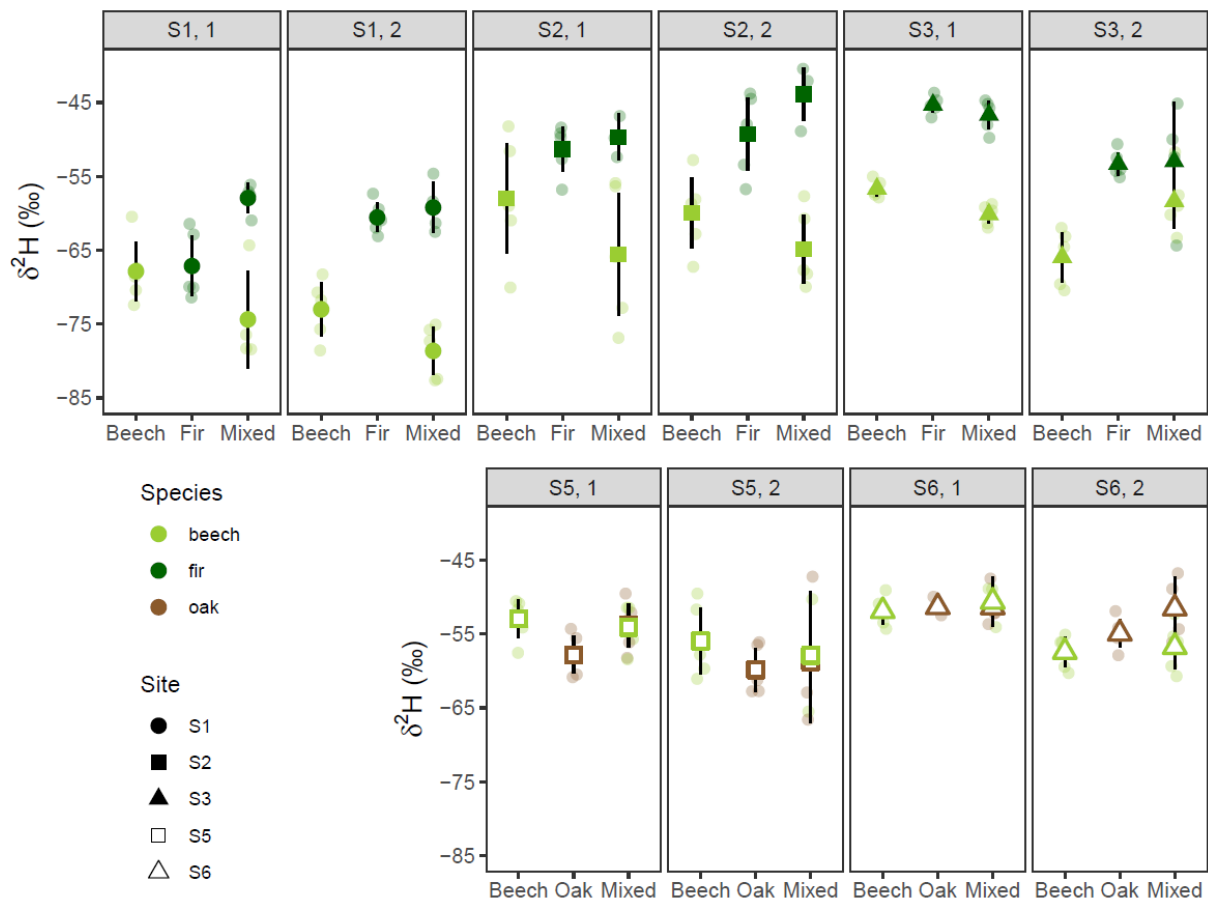
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1152 **Fig. 7.** Net Biodiversity Effect (NBE) for $\delta^{13}\text{C}$ values at the stand level (see Eq. 9) for the
1153 dominant-trees subsample. Differences under 0.4 ‰ are considered as not different from 0.0
1154 ‰. A positive NBE means that the observed mixed stand had a higher (less negative) $\delta^{13}\text{C}$ value
1155 than expected based on data from pure stands. A negative NBE means that the observed mixed
1156 stand had a lower (more negative) $\delta^{13}\text{C}$ value than expected based on data from pure stands.

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Fig. 8. Xylem-water $\delta^2\text{H}$ values of the dominant-tree subsample by triplet and species. Each box represents a triplet with values for both species (beech-fir in the top line and beech-oak in the bottom line). The x-axis represents the different plots within a triplet (two pure plots and one mixed plot). Closed and open symbols with error bars (95% confidence intervals) show mean plot values. Dots in the background represent individual tree data. For site S5:1 in the mixed plot, the average for beech and oak overlap. No data could be recorded at site S4.

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Appendices

Appendix A : Additional plot characteristics

See Tables A1-A3

Table A1 Environmental characteristics of the triplets.

Site	Triplet	Triplet ID	Lat. (°N)	Lon. (°E)	Mean Elevation (m)	Mean Slope (°)
S1	1	S1:1	45.70548	6.2192	1198	21
S1	2	S1:2	45.71188	6.21625	1025	18
S2	1	S2:1	44.90231	5.3513	1390	20
S2	2	S2:2	44.9411	5.32774	1137	11
S3	1	S3:1	44.17788	5.24168	1354	27
S3	2	S3:2	44.18707	5.25234	1328	27
S4	1	S4:1	43.97376	5.48	1094	13
S4	2	S4:2	43.97661	5.47961	1082	12
S5	1	S5:1	43.8203	5.53343	1005	26
S5	2	S5:2	43.82269	5.53423	889	34
S6	1	S6:1	43.33197	5.77038	736	8
S6	2	S6:2	43.33281	5.77328	746	12

Table A2 Stand structure for each plot, triplet and site. Plot dendrometric variables include: the dominant height of the plot (H_{dom} , in m) and the basal area of all stems by plot and species (in $\text{m}^2 \text{ha}^{-1}$).

Site	Triplet	Plot	H_{dom} (m)	Basal area ($\text{m}^2 \text{ha}^{-1}$)				
				Beech	Fir	Oak	Other sp.	Total
S1	1	Pure beech	37	80.3	–	–	4.5	84.8
S1	1	Pure fir	30	0.2	81.0	–	8.5	89.7
S1	1	Mixed	28	27.4	29.6	–	4.3	61.4
S1	2	Pure beech	34	46.0	5.5	–	–	51.5
S1	2	Pure fir	34	–	62.5	–	7.4	69.9
S1	2	Mixed	33	32.7	45.5	–	5.1	83.3
S2	1	Pure beech	16	24.9	–	–	3.0	27.9
S2	1	Pure fir	22	–	50.5	–	1.4	51.9
S2	1	Mixed	18	16.0	18.9	–	1.4	36.2
S2	2	Pure beech	33	63.0	2.4	–	–	65.5
S2	2	Pure fir	30	0.6	64.4	–	–	65.0
S2	2	Mixed	26	27.5	19.2	–	–	46.7
S3	1	Pure beech	22	62.0	0.2	–	–	62.2
S3	1	Pure fir	16	0.9	47.5	–	0.8	49.3
S3	1	Mixed	18	17.6	39.4	–	–	57.0
S3	2	Pure beech	20	58.5	1.0	–	–	59.6
S3	2	Pure fir	17	14.1	52.1	–	1.2	67.5
S3	2	Mixed	20	32.0	20.5	–	4.7	57.3
S4	1	Pure beech	20	42.5	–	–	1.3	43.8
S4	1	Pure oak	12	1.3	–	25.3	2.0	28.6
S4	1	Mixed	16	12.5	–	24.2	9.8	46.5
S4	2	Pure beech	19	41.9	–	0.3	–	42.2
S4	2	Pure oak	13	1.0	–	19.0	0.7	20.6
S4	2	Mixed	15	35.5	–	11.9	1.7	49.1
S5	1	Pure beech	13	24.7	–	0.9	11.9	37.5
S5	1	Pure oak	11	–	–	34.4	9.7	44.1
S5	1	Mixed	11	15.2	–	13.0	8.0	36.2
S5	2	Pure beech	16	30.5	–	–	8.0	38.5
S5	2	Pure oak	10	–	–	24.3	4.2	28.5
S5	2	Mixed	12	11.9	–	12.0	5.4	29.3
S6	1	Pure beech	30	54.8	–	–	13.3	68.1
S6	1	Pure oak	19	–	–	61.6	18.2	79.8
S6	1	Mixed	21	23.3	–	24.2	22.5	70.0
S6	2	Pure beech	20	32.5	–	3.0	7.7	43.2
S6	2	Pure oak	16	–	–	33.4	0.5	33.9
S6	2	Mixed	19	22.3	–	21.2	8.1	51.7

Table A3 Average summer rainfall and summer temperature for 4-month summer periods (June to September) at each site calculated over two time periods and mean number of days with water stress ($REW < 0.4$) over 2003-2007 from BILJOU© model.

Site	Name of region	Summer Rain (mm)	Summer Rain (mm)	Mean summer temp. (°C)	Mean summer temp. (°C)	Mean number of days with water stress
		1994-2013	2003-2007	1994-2013	2003-2007	2003-2007
S1	Bauges	619 (± 50)	581 (± 158)	13.7 (± 0.41)	14.3 (± 1.34)	1
S2	Vercors	429 (± 48)	332 (± 46)	14.5 (± 0.41)	15.1 (± 0.93)	52
S3	Ventoux	293 (± 39)	221 (± 35)	15.6 (± 0.37)	16.2 (± 1.01)	94
S4	Lubéron Lagarde	246 (± 38)	172 (± 36)	17.8 (± 0.37)	18.2 (± 1.08)	105
S5	Grand Lubéron	227 (± 30)	169 (± 40)	19.8 (± 0.35)	20.2 (± 1.16)	107
S6	Sainte-Baume	212 (± 39)	158 (± 56)	20.1 (± 0.37)	20.7 (± 0.93)	108

Appendix B : Additional model results

See Tables B1-B2

Table B1 Linear mixed model output for *Species* effect, $\log(DBH)$ and their interaction on $\delta^{13}C$ values in pure stands by type of mixture (Eq. 6).

	Beech-fir sites				Beech-oak sites			
	χ^2	<i>df</i>	<i>P</i>	post-hoc	χ^2	<i>df</i>	<i>P</i>	post-hoc
All trees		228				238		
$\log(DBH)$	183	1	<.001***		113	1	<.001***	
<i>Species</i>	7	1	<.01**	beech<fir	92	1	<.001***	beech<oak
$\log(DBH):Species$	17	1	<.001***		24	1	<.001***	
<i>R2m (R2c)</i>	0.30 (0.72)				0.40 (0.61)			
Dominant-tree subsample		54				56		
$\log(DBH)$	0	1	0.61		0	1	0.48	
<i>Species</i>	11	1	<.001***	beech<fir	7	1	<.01**	beech<oak
$\log(DBH):Species$	0	1	0.72		4	1	0.06	
<i>R2m (R2c)</i>	0.09 (0.63)				0.18 (0.28)			

Note: Stars indicate significant $\log(DBH)$, *Species* and interaction effects. *Species* is a two-level factor (beech-fir or beech-oak). For significant *Species* effects, the direction of the estimate is indicated by beech<fir (beech<oak) because fir (oak) trees have a higher $\delta^{13}C$ estimate than beech stands. χ^2 : chi square test results for type “II” ANOVA. *df*: degrees of freedom. *P*: p-value for fixed terms. *Triplet ID* is used as a random factor in all four models. A pseudo R-squared for mixed effect models was used to determine the variance explained by the two fixed terms only (marginal coefficient of determination, R^2_m) and the entire model including the random terms (conditional coefficient of determination, R^2_c).

Table B2 Linear mixed model output for *Species* effect, *Mixture* and their interaction on $\delta^2\text{H}$ of the dominant-tree subsample by type of mixture (Eq. 10).

	Beech-fir sites				Beech-oak sites		
	χ^2	<i>df</i>	<i>P</i>	post-hoc	χ^2	<i>df</i>	<i>P</i>
Dominant-tree subsample		104				65	
Species	149	1	<0.001***	beech<fir	0	1	0.71
Mixture	0	1	0.61		1	1	0.33
Species:Mixture	10	1	0.002**	beech: m<p fir: m=p pure: beech<fir mixed: beech<fir	2	1	0.16
<i>R</i> ² _m (<i>R</i> ² _c)	0.36 (0.75)				0.03 (0.32)		

Note: Stars indicate significant *Species*, *Mixture* and interaction effects. *Species* is a two-level factor (beech-fir or beech-oak); *Mixture* is also a two-level factor (pure vs. mixed). For significant *Species* effects, the direction of the estimate is indicated by beech<fir because fir trees have a higher $\delta^2\text{H}$ estimate than beech. Post-hoc results for the *Species:Mixture* interaction are similarly indicated. χ^2 : chi square test results for type “II” ANOVA. *df*: degrees of freedom. *P*: p-value for fixed terms. *Triplet ID* is used as a random factor in both models. A pseudo R-squared for mixed effect models was used to determine the variance explained by the two fixed terms only (marginal coefficient of determination, R^2_{m}) and the entire model including the random terms (conditional coefficient of determination, R^2_{c}).