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Is the southern crab Halicarcinus planatus (Fabricius, 1775) the next invader of Antarctica?

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Keywords:	Niche modelling, Southern Ocean, Climate Change, Thermotolerance, Survival, Establishment, Reptant Crab, Non-native Species		
Abstract:	The potential for biological colonisation of Antarctic shores is an increasingly important topic in the context of anthropogenic warming. Until now, successful Antarctic invasions have been recorded exclusively from terrestrial habitats. While exotic marine species such as crabs, mussels and tunicates have already been reported from Antarctic coasts, none have as yet established there. Among the potential marine invaders of Antarctic shallow waters is Halicarcinus planatus (Fabricius, 1775), a crab with a circum-Subantarctic distribution and substantial larval dispersal capacity. In 2010, an ovigerous female of this species was found in shallow waters of Deception Island, South Shetland islands. In the present study, a combination of physiological experiments and ecological modelling was used to assess the potential niche of H. planatus and estimate its future southward boundaries under IPCC predictions. We show that H. planatus has a minimum thermal limit of		

1°C, and that its current distribution (assessed by sampling and niche modelling) is physiologically restricted to the Subantarctic region. While this species is thus presently unable to survive in Antarctica, future warming under both best-case and worst-case IPCC scenarios will favour its niche expansion to the Western Antarctic Peninsula by 2100. Future human activity also has potential to increase the probability of anthropogenic translocation of this species into Antarctic ecosystems.



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2	invader of Antarctica?			
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5				
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53

54 Abstract

55 The potential for biological colonisation of Antarctic shores is an increasingly important topic in the context of 56 anthropogenic warming. Successful Antarctic invasions until now have been recorded exclusively from 57 terrestrial habitats. While exotic marine species such as crabs, mussels and tunicates have already been 58 reported from Antarctic coasts, none have as yet established there. Among the potential marine invaders of 59 Antarctic shallow waters is *Halicarcinus planatus* (Fabricius, 1775), a crab with a circum-Subantarctic 60 distribution and substantial larval dispersal capacity. An ovigerous female of this species was found in shallow 61 waters of Deception Island, South Shetland Islands in 2010. A combination of physiological experiments and 62 ecological modelling was used to assess the potential niche of *H. planatus* and estimate its future southward 63 boundaries under IPCC predictions. We show that *H. planatus* has a minimum thermal limit of 1° C, and that 64 its current distribution (assessed by sampling and niche modelling) is physiologically restricted to the 65 Subantarctic region. While this species is thus presently unable to survive in Antarctica, future warming under 66 both best case and worst case IPCC scenarios will favour its niche expansion to the Western Antarctic 67 Peninsula (WAP) by 2100. Future human activity also has potential to increase the probability of 68 anthropogenic translocation of this species into Antarctic ecosystem. 69

70 Keywords (6-10): Niche modelling, Southern Ocean, climate change, thermotolerance, survival,

- 71 establishment, reptant crab, non-native species.
- 72

73 Introduction

74 Biological invasions are an important component of global change, and one of the most critical global threats 75 to native biodiversity (Sax et al., 2005). According to Richardson et al. (2000), an alien species becomes an 76 invasive species when a set of individuals is able to traverse natural barriers (whether geographical, 77 environmental, or ecological) and subsequently establish in new habitats. While numerous anthropogenic 78 activities can promote invasions, climate change may represent a particularly potent threat to natural 79 ecosystems (Malcolm et al., 2006). Both the rate and dimension of biological invasions are likely to be 80 influenced by global warming (Walther et al., 2009). Understanding the mechanisms and routes of such range 81 shifts may help facilitate the design of strategies for controlling or preventing invasion (Estoup & Guillemaud, 82 2010).

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84 Notwithstanding the wide expanse of Southern Ocean waters isolating the South American continent from 85 other land masses, several exotic species have been reported in the Antarctic over recent decades (Smith & 86 Richardson, 2011). These examples include the invasive grass *Poa annua* (Chwedorzewska et al., 2015; 87 Molina-Montenegro et al., 2012), seeds of the toad rush Juncus bufonius (Cuba-Díaz et al., 2013), the invasive 88 mosquito Trichocera maculipennis (Potocka & Krzemińska, 2018), and several South American invertebrates 89 (e.g. insects, worms, freshwater crustaceans; Hughes & Worland, 2010), Alien species have also been 90 reported in marine habitats and in the shallow subtidal zone, in particular in the South Shetland Islands (e.g. 91 decapods and bivalves) and East Antarctica (i.e. bryozoans, hydrozoans, and tunicates) (Avila et al., 2020; 92 Cárdenas et al., 2020; McCarthy et al., 2019). However, there is as yet no evidence for any exotic marine 93 species having established in Antarctica.

94

Reaching Antarctic coasts requires dispersal across vast and deep biogeographic barriers that have isolated the
continent for millions of years, including traversal of the westward flowing Antarctic Circumpolar Current
(ACC) that apparently impedes latitudinal dispersal (Clarke et al., 2005; Rintoul, 2009). The extreme cold
temperatures of Antarctic waters (< C) also imply a strong ecophysiological constraint to the survival and

development of exotic marine species that have not adapted to near-zero and subzero temperatures (Fraser et

99

100 al., 2007; Marsh et al., 2001; Peck, 2016) that can reach down to -1. 55°C in winter. Consequently, Antarctic 101 marine communities have been considered among the most isolated and endemic on Earth and invasion by 102 exotic species unlikely (Clarke et al., 2005; Griffiths et al., 2009). 103 104 Human activities such as fisheries, tourism and scientific operations rely on direct maritime traffic between 105 Antarctica and lower latitude coasts, including potential transport of alien organisms through ship hull fouling 106 and larval propagules via ballast water (Lewis et al., 2003; Lewis et al., 2005). With more than 50,000 tourists 107 visiting the same west Antarctic spots each southern summer (McCarthy et al., 2019), and 4,000 scientists 108 working in Antarctica during the summer and 1,000 in winter (Hughes & Convey, 2014), tourism and science 109 represent the main vector of Subantarctic propagule pressure on Antarctic communities (Avila et al., 2020; 110 Diez & Lovrich, 2010; Galera et al., 2018; Hellmann et al., 2008; Lee & Chown, 2007; Meredith & King, 111 2005; Tavares & De Melo, 2004). Consequently, records of exotic species in Antarctica are increasing in 112 number, with potential for establishment now primarily constrained by ecological and physiological 113 limitations. As the climate continues to warm, the potential for successful marine invasions into Antarctica is 114 predicted to increase substantially (Galera et al., 2018; Hellmann et al., 2008; Richardson et al., 2000). 115 116 The West Antarctic Peninsula (WAP) is the Antarctic region where the strongest climate warming has been 117 recorded in the continent over the last 50 years (Convey et al., 2009; Gutt et al., 2015; Turner et al., 2014). 118 Seawater and air temperatures have increased by +1 °C and +7 °C, respectively, in the past half-century 119 (Meredith & King, 2005; Schram et al., 2015), with particularly pronounced increases in winter air 120 temperatures (King et al., 2003; Vaughan et al., 2003) and corresponding reductions in sea ice cover (Ducklow 121 et al., 2013; Schofield et al., 2017; Stammerjohn et al., 2012; Turner et al., 2016). Global climate change may 122 cause typically sub-zero Antarctic waters to warm up to (and beyond) zero, potentially providing suitable 123 conditions for the survival of alien species along Antarctic coasts (Galera et al., 2018; Hellmann et al., 2008). 124

125 In February, 2010 an ovigerous female of *Halicarcinus planatus* (Fabricius, 1775) (Brachyura, 126 Hymenosomatidae) was found alive in shallow subtidal waters of Deception Island (WAP; Aronson et al., 127 2015). H. planatus is the only hymenosomatid crab that inhabits shallow waters (Garth, 1958; Varisco et al., 128 2016) of southern South America and the Subantarctic Falkland/Malvinas, Marion, Crozet, Kerguelen and 129 Macquarie islands (Aronson et al., 2015; Boschi et al., 1969; m fiths et al., 2013; Melrose, 1975; Richer De 130 Forges, 1977). This small crab (carapace width up to 15 mm and 20 mm for female and male, respectively, in 131 Punta Arenas; Fig. 1) is an opportunistic feeder (Boschi et al., 1969), commonly found sheltered under rocks 132 in the intertidal and subtidal zones, in between holdfasts of the giant kelp Macrocystis pyrifera or sheltered in 133 hydrozoans and mussel colonies (Chuang & Ng, 1994; Richer De Forges, 1977; Vinuesa & Ferrari, 2008). 134 135 The potential of marine taxa to invade Antarctic waters is likely heavily constrained by ecological and 136 physiological adaptations. *H. planatus* has a strong dispersal potential mediated by an extended planktonic 137 larval stage (Diez & Lovrich, 2010; Ferrari et al., 2011; Richer De Forges, 1977) lasting between 45 and 60 138 days (at temperatures of 11-13 °C and 8 °C, respectively, in the laboratory) prior to benthic settlement (Boschi 139 et al., 1969; Diez & Lovrich, 2010). This species has the physiological capacity to withstand low temperatures. 140 Indeed, while most decaped taxa exposed to cold waters experience increased magnesium ion concentration in 141 the hemolymph ($[Mg^{2+}]_{HI}$), reducing metabolic rate and aerobic activity, potentially leading to death (Aronson 142 et al., 2007; Diez & Lovrich, 2010; Frederich et al., 2001; Thatje et al., 2005), H. planatus has the capacity to 143 overcome these issues by reducing $[Mg^{2+}]_{HL}$ (Frederich et al., 2001), providing capacity for survival in cold 144 waters like the Kerguelen Islands, where winter seawater temperatures range between +1.1 and +3.0 °C (Féral 145 et al., 2019). A broad analysis Diez & Lovrich (2010) considering its broad Subantarctic distribution, high 146 dispersal potential and ability to live at low temperatures concluded that *H. planatus* is the most likely future

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decapod invalue of Antarctic shallow waters.

149 Following the recent discovery of a living specimen of *H. planatus* in Deception Island, we evaluate in this

150 study the capacity of the species to settle and invade the WAP and adjacent islands by combining experimental

151 design and a niche modelling approach. Correlative niche modelling approaches have long proved useful to 152 predict the distribution range of species for conservation purposes under stable environmental conditions 153 (Richardson & Whittaker, 2010). However, in the context of climate change, ecophysiological data are 154 required to assess the capacity of organisms to survive under changing environmental conditions. In this study 155 we assessed experimentally the physiological capacity of *H. planatus* to tolerate extreme cold conditions in 156 laboratory, and we evaluated the probability of the species to expand its distribution range southward using a 157 Species Distribution Model (SDM). The modelled distribution of *H. planatus* was first projected under current 158 climatic conditions to evaluate its distribution range in Subantarctic and Antarctic regions. Then the species 159 distribution was modelled under IPCC scenarios RCP 2.6 and RCP 8.5 for 2050 and 2100 to determine the 160 probability that *H. planatus* will colonize Antarctic shallow water habitats in the future. Pelie

161

162 Material and methods

163 Experimental design

164 Ethical Protocol

- 165 All experiments were performed in compliance with bioethics guidelines established by the Comisión
- 166 Nacional de Ciencia y Tecnología de Chile (CONICYT) and the CICUA of the Universidad de Chile (Comité
- 167 Institucional de Cuidado v Uso de Animales).
- 168
- 169 *Thermotolerance experiments*
- 170 One hundred and twenty adult individuals of *H. planatus* were collected alive in the subtidal zone by SCUBA
- 171 diving at *Rinc rus da Bulnes* (RB) (53°35'49.91"S, 70°56'5.19"W, south of Punta Arenas, Chile) on April 9,
- 172 2018. Individuals were transported to the IDEAL-CENTER laboratory (Punta Arenas) and distributed into 6
- 173 containers for the experiment. In each container (Appendix 1) 15 females and 5 males were isolated
- 174 individually in 1 dm³ glass jars of seawater containing a 2 cm-long PVC tube (2.5 cm diameter). A plastic
- 175 container of seawater was used for water replacement. Each jar and container were aerated and temperature
- 176 was controlled by a cooler exchanger (Alpha RA12 and RA8, Lauda-Koenigshofen®, Germany). Individuals

177 were acclimated for 15 days with temperature, salinity and photoperiod adjusted to the sampling location (9 178 °C, 30 PSU, 11hrs light/13hrs dark on April 9, 2018). Individuals were fed every 4 days with thawed and 179 chopped mussels and polychaetes. The next day, 30% of seawater was removed from each jar, suching the 180 bottom to eliminate faeces and food debris. Recipients were then refilled with clean seawater at the exact same 181 temperature and salinity from the plastic seawater container. The latter was then refilled with new seawater, 182 which had time to reach the specific temperature before the next refill. After acclimatization, temperature was 183 reduced by 0.5 °C every day until it reached a threshold value set at 5°C (control; minimal seawater 184 temperature in Punta Arenas), 2 °C, 1 °C, 0 °C, -1 °C or -1.8 °C, depending on the experiment, which was 185 conducted for 90 days following (Vargas-Chacoff et al., 2009). The different temperature threshold values 186 used in the experiment correspond to subtidal temperatures recorded in Fildes Bay (62°12'11.95"S 187 58°56'37.00"W; King George Island, South Shetland Islands, WAP) which ranged between -1.9 °C and 2.1 °C; 188 summer average 1.2 °C (-0.2 °C to 2.1 °C) and winter average -1.6 °C (-1.9 °C to -1.1 °C) in 2017 (data from 189 IDEAL-CENTER, published by Cárdenas et al. (2020). The 90 days simulate the duration of winter. Survival 190 was checked each morning, and dead specimens were removed and preserved in 96° ethanol.

191

192 <u>Salinity and larval experiments</u>

193 To assess survival at different salinities, adult individuals of *H. planatus* were collected at the same location 194 (RB) on July 5, 2018, transported to the laboratory and separated in containers. Eighteen females and 4 males 195 were isolated in recipients of 10 dm³ filled with seawater. After a 15-day acclimation period at the same 196 temperature, salinity and photoperiod as the sampling location (5 °C, 30 PSU, 8:16 L:D), individuals were 197 submitted to different salinities of 30 PSU (control 1), 23 PSU, 18 PSU, 11 PSU and 5 PSU for 39 days at 5 198 °C. In parallel, some individuals submitted to natural 18-PSU seawater collected in Skyring Sound 199 (52°33'48.07"S, 71°34'15.54"W) were used as a second control. The previously detailed protocol for feeding 200 and cleaning was followed. Survival was checked every morning and dead specimens were removed and 201 preserved in 96° ethanol.

During the salinity experiment, at 30 PSU some individuals released larvae which were subsequently collected and placed in 1 dm³ glass jars (200 larvae in each) filled with seawater at 5 °C, 2 °C and 1 °C for 12 days. Crab larvae were fed daily with newly hatched nauplii. Their survival was checked on days 1, 3, 6, 8, 10 and 12 and on the cleaning day which consists in the complete seawater replacement. Dead individuals were removed and preserved in 96° ethanol.

207

208 <u>Species distribution modelling</u>

209 Species Distribution Models (SDM) are used to predict the distribution of organisms based on the statistical

analysis of spatial relationships between environmental conditions and species records (Elith et al., 2006;

211 Peterson, 2003; Peterson et al., 2011). SDMs have been widely used in past decades in various applications,

including assessing species potential distribution (Guillaumot et al., 2018; Nachtsheim et al., 2017; Reiss et

al., 2011) and evaluating potential changes in predicted suitable areas under environmental shifts (Berry et al.,

214 2002; Engler et al., 2009; Meier et al., 2011; Pearson & Dawson, 2003; Thomas et al., 2004).

215

216 *Occurrence dataset*

217 The study was limited in latitude and longitude to the minimum area where occurrence records have been 218 reported (Longitude: 70.5°E, 75.5°W, Latitude: 36°S, 70.5°S). Presence and absence data were collected 219 during different sampling expeditions carried out between 2015 and 2019 (PROTEKER 1, 4, 5 and 6, INACH 220 ECA 53, 54 and 55), obtained from collaborators and retrieved from IOBIS and GBIF databases and from the 221 scientific literature (Appendix 2). The georeferencing of each occurrence was verified and for this study 222 repeated geographical points were removed; the identification of collected specimens was checked following 223 current taxonomy (Boschi, 1964). Occurrences located north of 34°S in Chile were not considered, since these 224 points were outside the distribution range of the species and could not be corroborated.

225

A DarwinCore-compliant dataset was built using presence and absence data of *H. planatus* occurring on

227 Subantarctic islands and South America between 1948 and 2019. Four types of records were included:

individualized by specimen, by groups, records obtained from bibliographic reviews and absence records. The
dataset is published in GBIF (GBIF, 2018; Lopez et al. 2020).

230

Distribution models were built using 314 presence records of both adults and larvae, and 57 absence records
(Fig. 2, Appendix 2).

233

234 *Environmental datasets*

235 The distribution of *H. planatus* was modelled using 16 available environmental parameters as descriptors of 236 the crab habitat (Table 1). Depth and its derivatives (slope and roughness) were taken from GEBCO (Table 1). 237 Other descriptors were compiled from the Bio-Oracle Marine layers dataset and obtained from pre-processed 238 global ocean re-analyses, combining satellite and *in situ* observations in regular two- and three-dimensional 239 spatial grids (Assis et al., 2018). Minimal, maximal and mean values were used as descriptors and combined 240 as suggested in the literature (Bucklin et al., 2015; Franklin, 2010). Environmental layers provide average 241 monthly values for the present decade [2000-2014] at a spatial resolution of 5 arc-minutes (about 8 x 8 km) 242 and describe monthly averages for the period 2000-2014.

243

244 Species distribution was also modelled according to IPCC (Intergovernmental Panel on Climate Change) RCP 245 2.6 (low greenhouse gas concentration levels with a mid-century peak and reduction by 2100) and RCP 8.5 246 (high greenhouse gas concentration levels with increasing emissions over time) scenarios for future decades 247 2040-2050 and 2090-2100 (IPCC, 2013). The RCP 2.6 scenario (Appendix 3) predicts an increase of mean seafloor temperatures of up to +0.7 °C along the Argentinian coast by 2100, +1.3 °C in the Weddell Sea 248 249 region and +1.3°C on the northern Kerguelen Plateau. Salinity is predicted to be less than RCP 8.5, along with 250 a decrease in ice thickness with maximal depletion values of -30 cm in the south of the WAP by 2100. The 251 RCP 8.5 scenario (Appendix 3) for decade 2040-2050 predicts that seafloor waters will warm up by +1 °C 252 along the southern South American coasts and in the Weddell Sea, and for decade 2090-2100 predicts an 253 increase of seafloor mean temperatures of up to +4 °C along the Argentinian coasts, +0.5 to +1 °C in the

Page 12 of 50

254	WAP, up to +3 °C on the northern Kerguelen Plateau and a predicted decrease of -0.5 to -1 °C in insular
255	regions such as South Georgia and the South Orkney Islands. Salinity is predicted to decrease in the
256	Subantarctic and Antarctic regions by -0.1 unit and sea ice thickness to thin up to 1.2 m in some points,
257	resulting in an expansion of ice-free areas (Fig. S3.1) in the Weddell Sea region.
258	
259	Primary production and oceanographic current speed for decades 2040-2050 and 2090-2100 were considered
260	unchanged and similar to present-day conditions as there were no predictions available for these parameters.
261	
262	In order to spot and remove extrapolation errors, the Multivariate Similarity Environmental Estimate (MESS;
263	Elith et al., 2010) was computed based on presence records (Guillaumot et al., 2019; Guillaumot et al., 2020).
264	The MESS provides an estimate of the range of environmental conditions under which species occurrences
265	were found and used to calibrate the model. It is then used to select areas where model projections will be
266	calculated, dismissing areas where environmental conditions are not met, and where the model extrapolates.
267	
268	Model calibration
269	Species distribution models were generated using the Boosted Regression Trees (BRT) algorithm with the
270	following settings; learning rate 0.005; bag fraction 0.9 and tree complexity 4. These settings minimise the
271	model predictive deviance according to the tests generated following Elith et al. (2008) (Appendix 4). The R
272	package 'gbm' was used to run the model (Elith et al., 2008; Ridgeway, 2006). Models were calibrated using
273	presence and absence data. Modelling performance was assessed using a spatial random cross-validation
274	procedure adapted from Guillaumot et al. (2019) using absence records for model calibration (instead of
275	background records). Also considering the limited number of occurrence records available and their patchy
276	distribution at a broad spatial scale, the occurrence dataset was randomly split into 5 spatial parts, with 80% of
277	the dataset used as a training subset and 20% used as a test subset (Elith et al., 2008). The procedure was
278	repeated 20 times to generate a set of 100 model replicates. The proportion of presence and absence data
279	falling into areas predicted as suitable and unsuitable for the species distribution was evaluated to assess model

280 performance. Model performance was also assessed using the Area Under the Curve (AUC; Fielding & Bell,

1997), the True Skill Statistics (TSS; Allouche et al., 2006) and the Biserial Correlation metrics (COR; Elith et
al., 2006).

283

284	Model	outputs

285 Model predictions were projected on the entire study area (Longitude -76°E, 178°W, Latitude -35°S, -68°S)

with a focus on areas where the species is mainly reported presently and where it may be expected in the

future, in southern South America, the Scotia Arc and the WAP, the WAP alone and the Kerguelen Plateau.

- 288
- 289 Results
- 290 <u>Survival rate in the temperature experiment</u>

291 The first specimen died on the next day after reaching the target temperature in the -1.8 °C temperature

experiment. Survival rate at -1.8 °C reached 0% on day 11. Survival reached 0% on day 15 at -1.0 °C. Survival

rate at 0 °C was 52% on day 27 and 0% on day 59. Survival rates were 60% at 1 °C, 75% at 2 °C and 95% at 5

- **294** °C on day 90. (Fig. 3).
- 295
- 296 <u>Survival rate of adults and larvae in the salinity experiment</u>

297 Survival rate in the salinity experiment at 5 PSU was 0% on day 2. Survival rate at 11 PSU was 0% on day 14.

Survival rate was 50% on day 36 and 36% on day 39 at 18 PSU. Interestingly, survival rate was over 50%

299 (67%) for the experiment at 18 PSU performed with seawater from Skyring Sound. Survival rates were 95%

- 300 on day 39 at 25 PSU and 30 PSU (Fig. 4).
- 301

302 Females collected on July 5 were ovigerous and released larvae at the end of August at 5 °C, 30 PSU and 25

303 PSU. The survival rate of larvae at 1 °C was 62.5% on day 12. Survival rates at 2 °C and 5 °C were 85% and

304 92.5%, respectively, on day 12 (Fig. 5).

306 SDM predictions under current environmental conditions [2000-2014]

307 SDMs showed very high AUC scores of 0.947 ± 0.059 , TSS of 0.795 ± 0.123 and biserial correlation COR of

308 0.873 ± 0.070 . Correctly classified test data also reached high scores (89.9 \pm 0.3% for presence test records

and $92.9 \pm 2.2\%$ for absence test records correctly classified). The proportion of areas where the model

extrapolates is very high (86.3%, Fig. 7) highlighting again the relevance of using the MESS method as

311 recommended by Guillaumot et al. (2019, 2020).

- 312 Mean sea ice thickness $(40.1 \pm 3.2\%)$ and seafloor temperatures are the two main drivers of the species
- distribution (mean, maximal, and minimal seafloor temperatures with 37.8 ± 3.7 , 7.6 ± 1.9 and $6.9 \pm 2.4\%$

314 contribution to the model, respectively, Table 2), with suitable areas corresponding to low sea ice cover

315 (<0.1%) and minimum temperatures over +2 °C (Fig. 6). These environmental values match perfectly with the

316 latitudinal partition in the distribution of *H. planatus*, with warmer temperatures (>+2 °C) and lower ice

317 coverage (<0.1%) at the lower latitudes associated with most presence records and few absences, and in

318 contrast, colder temperatures (<+2 °C) and thicker sea ice coverage (>0.1%) associated with the single

319 presence reported in the WAP and most absence records. Interestingly, primary production is not a good

320 predictor of the species' distribution (<1%).

As occurrence records are mainly distributed in coastal shallow water areas, depth does not contribute much to the model as no contrast in bathymetry values are present in the dataset. Slope and roughness have probably more contrasting values in deep-sea habitats and consequently do not significantly contribute to the model (<0.2%).

325

The extrapolation mask importantly reduces the area projected to shallow habitats (Fig. 7). Distribution probabilities predicted by the model were highest in southern South America, New Zealand and Australia and most Subantarctic islands (Kerguelen, Heard, Marion, Bouvet and South Sandwich Islands; Fig. 7A). Interestingly, the model predicts an intermediate probability of distribution in South Georgia, for which a single absence was reported (Fig. 2), and a high probability on Heard Island, where no occurrence data has

been reported yet.

332	The WAP is predicted as unsuitable to the survival of <i>H. planatus</i> , as in the case of Deception Island (Fig. 7C).

333

- 334 <u>SDM predictions under future environmental conditions</u>
- 335 SDM future predictions under RCP 2.6 in decades 2040-2050 and 2090-2100 predict low and intermediate
- probability of *H. planatus* to settle in South Georgia, Elephant Island and the WAP, respectively (Fig. 8.I,
- **337** 8.II).
- 338 The RCP 8.5 scenario showed an increase in probability for *H. planatus* to survive in the WAP (Fig. 8.III,
- 8.1V). Models predict higher presence probabilities in South Georgia and the South Shetland Islands for both
- decades 2040-2050 and 2090-2100, with the highest values predicted in the northern tip of the South Shetland
- 341 Islands. The South Orkneys are not predicted as suitable by 2040-2050, but some patches of suitable areas

Perie

- **342** appear by 2090-2100.
- 343

344 Discussion

345

346 This study combines physiological and ecological modelling approaches to highlight the increased risk of 347 marine incursions into Antarctic coastal ecosystem over the coming century. Specifically, we reveal that the 348 widespread Subantarctic decapod H. planatus has significant potential to establish in Antarctic waters under 349 realistic climate change scenarios in the coming decades. More broadly, this prospect of future marine 350 invasions of Antarctic ecosystem potentially has crucial implications for the conservation of endemic Antarctic 351 coastal assemblages. Indeed, over recent decades an increasing number of alien marine taxa have been 352 reported from Antarctic ecosystem, including: Rochinia gracilipes in the South Shetland Islands (Griffiths et 353 al., 2013); Bugula neritina (Bryozoa) off Dronning Maud Land (East Antarctica) in the 1960s (McCarthy et 354 al., 2019); Hyas araneus (Decapoda) from Elephant Island in the 1980s (McCarthy et al., 2019); Ectopleura 355 crocea (Hydrozoa) off Dronning Maud Land and off Queen Mary Land (East Antarctica); and Ciona 356 intestinalis (Ascidiacea) off Dronning Maud Land (East Antarctica) in the 1990s (McCarthy et al., 2019). 357 Newer records since 2000 include *Emerita* sp. and *Pinnotheres* sp. (larval stage) in King George Islands in the

2000s (Thatje & Fuentes, 2003); *H. planatus* from Deception Island (Aronson et al., 2015); *Membranipora membranacea* (Bryozoa) and *Macrocystis pyrifera* (Brown algae) from Deception Island (Avila et al., 2020); *Durvillaea antarctica* (Brown Algae) from King George Island (Fraser et al., 2018) and Livingston Island
(Avila et al., 2020); and *Mytilus* cf. *platensis* (Bivalvia) in King George Island (Cárdenas et al., 2020) in the
2010s.

363

364 There are potentially several different modes of dispersal for species shifting towards Antarctica. Fraser et al. 365 (2018) and Avila et al. (2020) identified dispersal by rafting on buoyant kelps as a possible mechanism for the 366 arrival of exotic species to Antarctica. The former study also included a Lagrangian analysis to show that 367 particles released from South Georgia and the Kerguelen Islands were able to drift across the Polar Front and 368 reach Antarctic coasts following strong storm events. According to this model, storm conditions may enable 369 buoyant kelps to reach the WAP. Such conditions may not be rare, as remains of the kelp D. antarctica were 370 observed onshore in the WAP in 2019 and 2020 (López-Farrán personal observation). Direct observations 371 (from southern New Zealand) of Halicarcinus adult individuals associated with D. antarctica holdfasts, and 372 also in detached, drifting *D. antarctica* at sea (Waters unpublished data) imply rafting as a direct mechanism 373 for adults of this decapod taxon into Antarctic waters. Anthropogenic activities may also be potential dispersal 374 vectors for this decapod (Avila et al., 2020; Cárdenas et al., 2020) (e.g. via ship hulls, ballast waters, outdoor 375 and personal equipment of tourists or oceanographic equipment of scientists).

376

No established alien marine species have as yet been observed in Antarctica, suggesting that physiological
barriers may be key in preventing such invasions (Richardson et al., 2000). In this study we combined two
independent approaches to define the environmental and geographical boundaries of *H. planatus* distribution
under present and future environmental conditions. SDM provides an estimate of a species' 'realised niche'
(Hutchinson, 1957; Soberón, 2005, 2010). The thermal limit of *H. planatus* corresponds to the coldest
conditions of its Subantarctic distribution, located in the Kerguelen Islands, where subtidal temperature ranges
between +1.1 and +3.0 °C during the Austral winter (Féral et al., 2019; Lucas, 1980; Richer De Forges, 1977).

This species can therefore potentially endure summer conditions in WAP (1 °C and above) in a wide range of salinity (between 18 PSU and 30-33 PSU), but would not survive during the cold winter months. Our experimental results may indicate that Antarctic seawater temperatures may impede larval development even during the summer, suggesting that this species is not able to complete its development in Antarctica under present conditions.

389

390 Halicarcinus planatus has previously been highlighted as a potential invader of Antarctica (Diez & Lovrich, 391 2010), because of its potential to live in cold waters, through regulation of $[Mg^{2+}]_{HL}$. However, the present 392 results demonstrated that this physiological characteristic is not sufficient to survive the sub-zero temperatures 393 that typify current Antarctic winters (Fig. 3). The finding that brachyuran crabs cannot currently establish in 394 Antarctica may also help to explain their extinction from shallow Antarctic habitats from the mid-Miocene, 395 \sim 14 million years ago, when ACC intensification led to cooling and the establishment of a perennial sea-ice 396 cover in the region (Crampton et al., 2016; Hansen et al., 2013; Thatje et al., 2005; Zachos et al., 2008). 397 Numerous marine lineages including brachyurans, lobsters and sharks disappeared from Antarctic waters, 398 along with most teleosteans except for cold-adapted nototheniids and liparids (Aronson & Blake, 2001; 399 Aronson et al., 2007; Clarke et al., 2004). The simultaneous extinction of these diverse taxa was presumably 400 driven by their lack of physiological tolerance to cold conditions (Aronson et al., 2007; Clarke et al., 2004; 401 Frederich et al., 2001). Together, these data may highlight the crucial role of thermal barriers in preserving the 402 integrity of Antarctic coastal ecosystem.

403

404 Under future warming scenarios with increased seawater temperatures and shortened sea-ice seasons,

405 physiological barriers to Antarctic incursions are projected to weaken. For example, near Palmer Station the

406 ice season decreased by 92 days from 1979/80 to 2012/13 (Ducklow et al., 2013; Meredith & King, 2005).

- 407 According to IPCC RCP scenarios the WAP will continue to warm (Appendix 3), facilitating the
- 408 establishment of alien species already arriving. *H. planatus* is not able to establish in the WAP under present
- 409 conditions because it is not a suitable environment (Table 2, Fig. 6, 7), however this may change in the future.

410 In the South Shetland Islands, the worst scenario RCP 8.5 predicts a decrease in ice thickness, the expansion of ice-free areas (Appendix 3) and a 1 to 2 °C increase of seafloor temperature in 2100, leading to suitable 411 412 conditions for *H. planatus* establishment. SDM predictions indicate the highest suitability for *H. planatus* 413 presence in South Georgia and some places of the WAP (Fig. 8 IV B and C). The most optimistic climate 414 change scenario RCP 2.6 predicts in 2100 a rise of seafloor water temperature of 0.4 °C in the South Shetland 415 Islands, resulting in intermediate SDM predictions in the WAP and South Georgia (Fig. 8.II B and C). Thus 416 according to these future scenarios, it is just a matter of time before the WAP would reach suitable 417 environmental conditions for *H. planatus*.

418

419 Survival is not the only requirement for the establishment of a species in a new area. A successful invasion 420 also implies developing, reproducing and then dispersing to new places (Richardson, 2000 modified), and 421 active behaviour to escape, feed and mate (Frederich et al., 2001). According to SDM predictions and the 422 thermotolerance experiment, a successful invasion would be possible in an environment at +2 °C. Deception 423 Island is the most active volcanic island of the South Shetland Islands, where many subtidal hydrothermal 424 points and geothermal activity offer various temperatures that could favour the establishment of exotic species 425 (Agusto et al., 2004), converting Port Foster into a key location for alien species colonisation (Aronson et al., 426 2015; Avila et al., 2020). During 3 scuba diving campaigns between 2017 and 2019 we searched for H. 427 planatus in several places in the WAP, including where it was collected in 2010 - shallow waters off Baily 428 Head outside the caldera of Deception Island (Aronson et al., 2015), (Aronson et al., 2015)- and other sites, 429 active or not, within the caldera of Deception, Penguins, Paulet, Doumer, King George, Roberts islands, 430 Coppermine Peninsula, Chile Bay in Greenwich Island, among other places, and none were found. This 431 absence agrees with our results, but contradicts the presence of the ovigerous female in Deception Island 432 (Aronson et al., 2015), which would need at least two years to reach pubertal molt, the time required in the 433 Kerguelen Islands (Richer De Forges, 1977). This female certainly would not have grown up in situ; this place 434 being on the outer coast under full Antarctic conditions (without geothermal activity or hydrothermal influence 435 typical of the interior of Deception Island). Our results suggest its arrival at the mature stage or maybe the

ovigerous stage, implying that its development was completed elsewhere. An arrival through rafting is also
unlikely. Early stages of *H. planatus* have been observed in floating kelps (*Macrocystis pyrifera*) in the
Internal Sea of Chiloé (Hinojosa et al., 2010), and kelp by been reported in Deception Island (Avila et al.,
2020). However, the journey from the Subantarctic area to the WAP implies two years across the SO riding
kelps, which is highly improbable. This female was more probably brought through the Drake by ship during
the southern 2009-2010 summer.

442

443 The establishment of exotic marine species in Antarctica is an issue that is becoming more and more real. The 444 composition of the community may change dramatically according to which species establishes. Antarctica is 445 characterized by the absence of durophagous predators (bony and cartilaginous fishes and brachyurans) and 446 short food webs. Therefore, according to Aronson et al. (2007), the arrival of a reptant crab may affect 447 Antarctic ecology and the biodiversity of the shallow Antarctic. With the arrival of the invasive red king crab 448 Paralithodes camted atticus in the Barents Sea, reductions of diversity and benthic biomass were observed as a 449 result of the predation pressure (Falk-Petersen et al., 2011), as well as shifts in interspecific competition 450 (Britton et al., 2018; David et al., 2017) and infection of native species by parasites associated with invaders 451 (Bevins, 2019). Although the effects of invasive species are impossible to measure, the return of durophagous 452 predators such as decapods, chondrichthyans and teleosteans in Antarctic shallow waters is widely feared, 453 because they can cause shifts in benthic communities, modifying trophic relationships and homogenizing the 454 Antarctic ecosystem (Aronson et al., 2015, 2007). However, H. planatus, with its small size, opportunistic 455 feeding behaviour and soft exoskeleton, is definitely not a top predator (Boschi et al., 1969). It feeds on 456 phytoplankton remains accumulated at the bottom, such as carrion, detritus, mucopolysaccharides from algae 457 and small soft individuals, even of its own species (López-Farrán, personal lab observation). They are prey for 458 fishes (as Harpagifer bispinis, Patagonotothen tessellata and Austrolycus depressiceps; Diez et al., 2011), 459 birds, crabs and sea stars, among others, and look for refuge among rocks and kelp holdfasts to survive 460 (Chuang & Ng, 1994; Richer De Forges, 1977; Vinuesa & Ferrari, 2008). H. planatus is part of the 461 Subantarctic ecosystem, playing a fairly important role in food webs (Diez et al., 2011; Richer De Forges,

462 1977) and may not be devastating for the Antarctic ecological community. Under warmer conditions ($2 \, ^{\circ}$ C), 463 the increase of seawater temperature would affect the WAP ecosystem more intensively than the arrival of a 464 small soft-shelled detritivorous Brachyura such as *H. planatus* (Ashton et al., 2017; Clark et al., 2019; 465 Griffiths et al., 2017; Turner et al., 2014). An example of a bioengineer species that would change the 466 intertidal and shallow subtidal in the WAP is *Mytilus* cf. *platensis*, an exotic species record in 2019 (Cárdenas 467 et al., 2020). Mussels have the capacity to provide dense three-dimensional matrices (Alvarado & Castilla, 468 1996) that persist for long periods, constituting a micro-habitat which reduces desiccation during low tides, 469 offering a stress-free space for small fish, invertebrate and alga species (Prado & Castilla, 2006). Therefore, 470 those species that have an engineering role in marine ecosystems would change the habitat of the shallow 471 waters of the WAP as we know them today. If this happens, shallow waters of Antarctic ecosystem would 472 begin to be similar to Subantarctic areas. However, even if this crab is not a top predator or a bioengineer that 473 could generate changes in the environment or the food web, H. planatus could compete with endemic species 474 in Antarctica for space, food, niche, among others, and thus affect the populations of local species.

475

476 Antarctic water temperature keeps on rising and stirs up the debate on the potential establishment of incoming 477 species through transport on ship hulls, in ballast waters or on floating kelp (Aronson et al., 2015; Avila et al., 478 2020). Maritime traffic and tourism have increased (Kruczek et al., 2018; Lewis et al., 2005), raising the 479 pressure of propagules in marine Antarctica, and probably this will continue to increase in next years (Kruczek 480 et al., 2018; Lewis et al., 2005). However, the involuntary introduction of non-native species to the Antarctic 481 Region and the movement of species and/or individuals within Antarctica from one zone to any other are 482 among the highest priority issues considered for the Committee for Environmental Protection (CEP) and the 483 Scientific Committee for Antarctic Research (SCAR). Therefore, a strong effort has been invested to improve 484 the ballast water management of ships in Antarctica and to develop a strategy for biofouling (MEPC, 2011). 485

486 Regardless of whether *H. planatus* individuals are able to reach the WAP by themselves or not, the SDM 487 projected under conditions of IPCC RCP 2.6 or 8.5 climate scenarios indicate that individuals could survive

488	and settle, either sooner (Fig. 8.I and 8.II) or later (Fig. 8.III and 8.IV) in the future depending on the warming
489	rapidity. H. planatus is highly abundant around Punta Arenas and Ushuaia, two mandatory harbours for the
490	ships with WAP destination (Cárdenas et al., 2020). Therefore, if the vectors of H. planatus, ship or rafting,
491	persist (Aronson et al., 2015; Avila et al., 2020; Hinojosa et al., 2010), some stage (larval, juvenile or adult)
492	will reach the WAP, survive and settle.
493	
494	Our results rely on models, which are simplifications of complex facts (Mateo et al., 2011). SDMs are tuned to
495	generate a simple spatial representation of the occurrence of a species based on environmental variables
496	(Guisan & Zimmermann, 2000; Mateo et al., 2011). Although niche models do not include eco-evolutionary
497	parameters such as adaptation, gene flow or dispersal capacity, they are widely used to provide an insight into
498	present and future species distribution (Thuiller et al., 2004; Titeux et al., 2017). Combining such results with
499	information on biological interactions, physiology, anthropic influence on individual introductions or a
500	complete evaluation of the dispersal capacities of <i>H. planatus</i> using a spatial and dynamic approach would fill
501	knowledge gaps about their real invasive capacities in future environmental conditions.
502	
503	In conclusion, our results suggest that <i>H. planatus</i> cannot presently establish in WAP waters, but this situation
504	has a very strong probability to change under standard IPCC warming projections. While the full
505	consequences of Antarctic warming are yet to be realised, some changes in the distribution and composition of
506	communities have already been observed (Ashton et al., 2017; Clark et al., 2019; Griffiths et al., 2017; Turner
507	et al., 2014). The key for future studies will be to track species distribution and demographic shifts directly as
508	warming continues, to help understand and mitigate marine biological impacts on Antarctic coastal ecosystem.
509	
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529 Conflict of Interest

- 530 The authors declare that they have no conflict of interest.
- 531

532 AUTHOR CONTRIBUTIONS

533 Zambra López-Farrán, first author of this paper, participated directly in experimental design, sampling,

assembly of the experiments, maintenance of experiments, obtaining data, results analyses, writing of themanuscript.

536 Charlène Guillaumot, co-first author of this manuscript participated in results analyses, writing, revision and537 correction of the manuscript.

e 23 of 50	Global Change Biology
538	Karin Gerard participated directly in sampling, assembly of the experiments, writing, revision and correction
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540 541	Luis Vargas-Chacoff participated directly in experimental design, assembly of the experiments, results
541	analyses, revision and correction of manuscript.
542	Kurt Paschke participated directly in experimental design, assembly of the experiments, results analyses,
543	revision and correction of manuscript.
544	Valerie Dulière participated in the analysis of the results, revision and correction of the manuscript.
545	Bruno Danis participated in the analysis of the results, revision and correction of the manuscript.
546	Thomas Saucède contributed to the occurrence dataset, participated in the analysis of results and manuscript
547	revision and correction.
519	Elia Daulin partiainated directly in the project and experimental design compling analysis of the regults
540	revision and correction of the monuscript
549	revision and correction of the manuscript.
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to Review Only

- Figure 1. Male (a) and female (b) specimens of *Halicarcinus planatus* (Fabricius, 1775) collected in the
- 865 Magellan Strait. Scale: 1 cm. Photo credit to C. Ceroni and K.Gérard.
- **Figure 2.** Presence (red dots) and absence (yellow dots) records of *Halicarcinus planatus* in the Southern

867 Ocean used in the present study.

Figure 3. Survival rates of adults of *H. planatus* at different temperatures over 90 days.

Figure 4. Survival rates of adults of *H. planatus* at different salinities over 39 days.

Figure 5. Survival rates of larvae of *H. planatus* for 12 days at different temperatures.

- 871 Figure 6. Partial dependence plots for the four environmental descriptors that contribute the most to the
- model. Scaled density distribution of the marginal effect of the descriptors of the model, data points (grey)
- 873 fitted with a generalized additive model (GAM, blue line).
- Figure 7. SDM predictions of presence probability (between 0 and 1) for *Halicarcinus planatus*, projected
- under current environmental conditions [2000-2014] for the entire Southern Ocean (A), and with a focus on
- 876 southern South America, the Scotia Arc and the West Antarctic Peninsula (WAP) (B), the WAP alone (C) and
- 877 the Kerguelen Plateau (D). Black pixels correspond to extrapolation areas for which predictions are not
- 878 reliable and were removed from projections (according to the Multivariate Environmental Similarity Surface

879 index, MESS).

- **Figure 8:** SDM predictions of presence probability (between 0 and 1) for *H. planatus*, projected under
- 881 environmental conditions for the entire Southern Ocean (A), with focus on southern South America, the Scotia
- Arc and the Western Antarctic Peninsula (WAP) (B), the WAP alone (C), and the Kerguelen Plateau (D).
- 883 Black pixels correspond to extrapolation areas for which predictions are not reliable and were removed from
- projection (according to the Multivariate Environmental Similarity Surface index, MESS). IPCC RCP 2.6
- climate scenario for 2050 (Fig. 8.I), IPCC RCP 2.6 climate scenario for 2100 (Fig. 8.II), IPCC RCP 8.5
- climate scenario for 2050 (Fig. 8.III) and IPCC RCP 8.5 climate scenario for 2100 (Fig. 8.IV).

- **Table 1.** Environmental descriptors used for modelling and sources. Spatial resolution set at 5 arc minutes
- **889** (around 8 km).
- 890

Descriptors	Present	Future	Source
Depth	-	-	GEBCO ¹
Roughness	-	-	Modified from Depth layer,
			raster R package function
			terrain
Slope		-	Modified from Depth layer,
	7		raster R package function
		4.	terrain
Seafloor mean	2000-2014	RCP 2.6 and 8.5	BioOracle ²
temperature		for 2050 and 2100	
Seafloor min	2000-2014	RCP 2.6 and 8.5	BioOracle ²
temperature		for 2050 and 2100	1
Seafloor max	2000-2014	RCP 2.6 and 8.5	BioOracle ²
temperature		for 2050 and 2100	
Seafloor mean salinity	2000-2014	RCP 2.6 and 8.5	BioOracle ²
		for 2050 and 2100	
Seafloor min salinity	2000-2014	RCP 2.6 and 8.5	BioOracle ²
		for 2050 and 2100	

Seafloor max salinity	2000-2014	RCP 2.6 and 8.5	BioOracle ²
		for 2050 and 2100	
Seafloor mean primary	2000-2014	Same as present	BioOracle ²
productivity		conditions	
Seafloor min primary	2000-2014	Same as present	BioOracle ²
productivity		conditions	
Seafloor max primary	2000-2014	Same as present	BioOracle ²
productivity	0	conditions	
Ice mean thickness	2000-2014	RCP 2.6 and 8.5	BioOracle ²
	6	for 2050 and 2100	
Ice min thickness	2000-2014	RCP 2.6 and 8.5	BioOracle ²
		for 2050 and 2100	
Ice max thickness	2000-2014	RCP 2.6 and 8.5	BioOracle ²
		for 2050 and 2100	2/
Seafloor mean current	2000-2014	Same as present	BioOracle ²
		conditions	

891 1. <u>https://download.gebco.net/</u>, accessed February 2020.

892 2. <u>https://www.bio-oracle.org/index.php</u>, accessed February 2020.

- **Table 2.** Average contribution values and standard deviation (SD) of the 16 environmental descriptors to
- 895 model predictions.

Descriptor	Mean \pm SD (%)	Descriptor	Mean \pm SD (%)
Mean ice thickness	40.1 ± 3.2	Mean seafloor primary production	0.8 ± 0.1
Mean seafloor temperature	37.8 ± 3.7	Max seafloor primary production	0.5 ± 0.02
Max seafloor temperature	7.6 ± 1.9	Depth	0.5 ± 0.05
Min seafloor temperature	6.9 ± 2.4	Slope	0.2 ± 0.06
Min seafloor salinity	1.4 ± 0.2	Roughness	0.1 ± 0.03
Mean seafloor salinity	1.4 ± 0.1	Max seafloor salinity	0.1 ± 0.03
Mean seafloor current speed	1.3 ± 0.2	Max seafloor primary production	0.001 ± 0.001
Max ice thickness	1.1 ± 0.1	Min ice thickness	0



Figure 1. Male (a) and female (b) specimens of Halicarcinus planatus (Fabricius, 1775) collected in the Magellan Strait. Scale: 1 cm. Photo credit to C. Ceroni and K.Gérard.



Figure 2. Presence (red dots) and absence (yellow dots) records of Halicarcinus planatus in the Southern Ocean used in the present study.

1833x1666mm (72 x 72 DPI)



Figure 3. Survival rates of adults of H. planatus at different temperatures over 90 days. $297 \times 209 mm (300 \times 300 DPI)$



Figure 4. Survival rates of adults of H. planatus at different salinities over 39 days. 297x209mm (300 x 300 DPI)



Figure 5. Survival rates of larvae of H. planatus for 12 days at different temperatures.



Figure 6. Partial dependence plots for the four environmental descriptors that contribute the most to the model. Scaled density distribution of the marginal effect of the descriptors of the model, data points (grey) fitted with a generalized additive model (GAM, blue line).



Figure 7. SDM predictions of presence probability (between 0 and 1) for Halicarcinus planatus, projected under current environmental conditions [2000-2014] for the entire Southern Ocean (A), and with a focus on southern South America, the Scotia Arc and the West Antarctic Peninsula (WAP) (B), the WAP alone (C) and the Kerguelen Plateau (D). Black pixels correspond to extrapolation areas for which predictions are not reliable and were removed from projections (according to the Multivariate Environmental Similarity Surface index, MESS).







