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# Influence of the polyacrylic acid binder neutralization degree on the initial electrochemical behavior of a silicon/graphite electrode

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### **KEYWORDS**

Lithium-ion batteries, poly(acrylic acid) binder, silicon/graphite negative electrode, solidelectrolyte interphase, current collector, corrosion, slurry, rheological properties

### **ABSTRACT**

The role of the physico-chemical properties of the water soluble PAA binder on the lithium electrochemical performance of highly loaded silicon/graphite 50/50 wt% negative electrodes has been examined as a function of the neutralization degree x in PAAH<sub>1-x</sub>Li<sub>x</sub> at initial cycle in an electrolyte not-containing ethylene carbonate. Electrode processing in acidic PAAH binder at pH 2.5 leads to a deep copper corrosion resulting in a significant electrode cohesion and adhesion to the current collector surface, but the strong binder rigidity may explain the big cracks occurring at the electrode surface at first cycle. The non-uniform binder coating on the materials surface leads to an important degradation of the electrolyte explaining the lowest initial coulombic efficiency and the lowest reversible capacity among the studied electrodes. When processed in neutral pH, the PAAH<sub>0.22</sub>Li<sub>0.78</sub> binder forms a conformal artificial SEI layer on the materials surface, which minimizes the electrolyte reduction at first cycle and then maximizes the initial coulombic efficiency. However, the low mechanical resistance of the electrode and its strong cracking explain its low reversible capacity. Electrodes prepared at intermediate pH 4 combine the positive assets of electrodes prepared at acidic and neutral pH. They lead to the best initial performance with a notable areal capacity of 7.2 mAh cm<sup>-2</sup> and the highest initial coulombic efficiency at around 90%, a value much larger than the usual range reported for silicon/graphite anodes. All data obtained with complementary characterization techniques were discussed as a function of the PAA polymeric chain molecular conformation, microstructure, and surface

adsorption or grafting, emphasizing the tremendous role of the binder on the electrode initial performance.

### INTRODUCTION

In response to the demand of higher-energy LIB and subsequent EVs with higher autonomy, silicon has attracted a lot of attentions as one of the most promising candidates for anode material application.<sup>1-4</sup> Theoretically, silicon offers a gravimetric capacity 10 times higher than a conventional graphite anode (Li<sub>15</sub>Si<sub>4</sub> 3579 mAh g<sup>-1</sup> vs. LiC<sub>6</sub> 372 mAh g<sup>-1</sup>) and 3 times over the graphite in volumetric capacity (2154 mAh cm<sup>-3</sup> vs. 738 mAh cm<sup>-3</sup>). However, the application of silicon-based electrode will remain hampered if the following difficulties are not overcome: a) Dramatic volumetric expansion up to 280 % results in particles pulverization due to high mechanical stress, but it can be resolved when a critical nanoparticle size is respected.<sup>3,5</sup> Nonetheless, nanosizing the materials leads to more electrolyte degradation due to a higher specific surface developed and requires more binder and eventually more conducting additive in the electrode formulation;<sup>6</sup> b) Repeated volumetric changes during silicon (de-)alloying process deteriorate the electrode integrity, causing microcracks formation and electrical insulation of active materials islands;<sup>7,8</sup> c) The instability of solid electrolyte interphase (SEI) layer as a result of repetitive expansion and contraction leads to continuously electrolyte decomposition on fresh silicon surface which consumes irreversibly the limited lithium inventory.<sup>2</sup> This also hampers the electrochemical reaction, and once the SEI on the particles becomes too thick, the electrode capacity decline occurs; this problem could be overcome via the optimization of the electrolyte composition. For instance, fluoroethylene carbonate (FEC) is an additive which is recommended

to be added into the electrolyte for the improvement of Si-based electrodes' cyclability. <sup>9,10</sup> However, it consumes during cycling and the end of life of Li-ion cells coincides with its total consumption. <sup>11</sup>

One of the remedies is to combine silicon with graphite (Gr) regarding the issue of the large swelling of the silicon-based electrode. Since graphite only expands by 12% at full lithiation, blending Si with Gr can thus attenuate the severe volumetric variations and helps maintaining electronic conduction through the electrode. One shall distinguish the blending of silicon with graphite, from the synthesis of silicon-graphite composites. The first approach has the advantage of being simple, inexpensive and easily scalable. 13

The formulation of the electrodes must also be adapted to the problem of variation in volume of the Si or Si/Gr electrodes. <sup>14–16</sup> Numerous studies have shown that the selection of the binder is crucial and should be particularly adressed. <sup>11,14,17–19</sup> This one appears not only essential to constrain the volume expansion of the electrode or maintain contact with the current collector, but also to minimize the degradation of the liquid electrolyte by pre-forming an "artificial" protective SEI layer. <sup>11,14,20,21</sup> The preparation and processing of the electrode slurry (e.g. type of grinding, casting speed, drying temperature) and electrode parameters (porosity<sup>22–24</sup> and thickness<sup>25</sup>) are also critical to the electrochemical behavior of the electrode.

The sodium salt of carboxymethylcellulose (CMC) and polyacrylic acid (PAA) are the most studied polymer binders for Si- or Si/Gr-based electrodes thanks to the following advantages:

a) absence of swelling in the electrolyte, b) interactions or strong bonds with the silicon surface thanks to the carboxylate/carboxylic acid (and hydroxyl in CMC) groups, which make them superior to PVdF for the mechanical strength of the electrode, and also, c) eco-friendly and low cost, as these water soluble polymers enable to manufacture the electrode in aqueous media. 19,26-

<sup>28</sup> CMC in combination with an elastomer latex (styrene-butadiene rubber, SBR) is the standard binder for Gr electrodes. For Si or Si/Gr based electrodes, PAA is increasingly preferred over CMC because of its better electrochemical performance, <sup>26,29</sup> as well as the facility to modulate the neutralization degree x in PAAH<sub>1-x</sub>Li<sub>x</sub>, which makes it possible to develop several formulation possibilities. <sup>29–32</sup> Indeed, this neutralization degree considerably affects the viscosity and the pH of the binder solution, and consequently it modifies the characteristics of the electrode slurry such as its rheological properties and its homogeneity. <sup>33–35</sup> Moreover, modulating the pH of aqueous Si-based electrode slurries is very important to avoid the hydrolysis of elemental silicon that produces flammable hydrogen gas (Si + 2 H<sub>2</sub>O  $\rightarrow$  SiO<sub>2</sub> + H<sub>2</sub>), which raises safety and quality concerns on large-scale electrode fabrication lines. This detrimental reaction is much less pronounced at acidic pH. <sup>36,37</sup>

In the case of Si/Gr blend electrodes with higher content of Gr than Si (from Si:Gr 17:83 to 37.5:62.5 wt%), lots of works reported better electrochemical performance was obtained for PAAH<sub>0.2</sub>Na<sub>0.8</sub><sup>21,30,35,38,39</sup> or PAALi<sup>31,32</sup> compared to PAAH, i.e. for electrode slurries prepared in neutral pH condition. It was nevertheless not clear whether the performance enhancement was due to a more homogeneous dispersion of active materials in the composite electrode and a more uniform electrode coating, thanks to more adapted rheological properties, or due to the superior ability of the binder to perform as an artificial SEI. It is, indeed, worth to mention the superior ability of PAANa or PAALi performing as a preformed SEI over PAAH.<sup>21,29,31,39–41</sup> In the former case, after the electrode manufacturing, a thin layer of these binders is uniformly covering at the surface of the Si and Gr particles as an artificial SEI, which minimizes the direct contact with the electrolyte and its subsequent reduction at least for the first cycle.<sup>21</sup> In addition, the drawback of the acidic form of a binder, such as PAAH, is that carboxylic acid groups can be electrochemically

reduced and converted to lithium carboxylates.<sup>29,41</sup> The protons that are released are prone to accelerate the decomposition of LiPF<sub>6</sub> compared to CMC.<sup>41</sup>

In opposite with above studies, Hu *et al.* found for both Si/Gr (17:83 wt%)-based half- and full-cells consistently decreasing electrochemical performance with increasing the neutralization degree, from PAAH to PAALi.<sup>34</sup> Hays *et al.* also reported a much higher adhesion for PAAH-based Si/Gr electrodes compared to PAALi-based ones.<sup>31</sup> Contradictions between different studies may result from differences in the electrode manufacturing process (mixing and drying conditions),<sup>42</sup> the characteristics of the active material (particle size, silicon surface chemistry, silicon/graphite ratio),<sup>33,43</sup> or the molar mass of the binder,<sup>44</sup> as well as the cycling conditions and in particular the choice of electrolyte. This great diversity makes the rationalization difficult and highlights the need to understand and rationalize the underlying phenomena.

The peculiarities of the present work compared to previous ones (see **Table S1** and **Figure S1**) are devoted to the Si/Gr electrodes richer in silicon (Si/Gr 50:50) and much more loaded to meet the energy density target of the automotive industry (practical areal capacity about 6 mAh cm<sup>-2</sup>), as well as using a low cost silicon with straightforward and easy-to-scale-up synthesis, and performing the cycling in an electrolyte without ethylene carbonate (EC). Indeed, EC, which enables operation of both the negative and positive commercial electrodes, is generally considered as an essential co-solvent. Its high permittivity is essential to solubilize the LiPF<sub>6</sub> salt. However, for silicon-based electrodes, the continuous reduction of EC at low potential results in massive accumulation of degradation products at the active mass surface and in the pores of the composite electrode, inhibiting the lithium transport and transfer.<sup>45,46</sup> Recent studies show it is possible to cycle full Li-ion cells by totally removing EC from typical organic carbonate-based electrolyte and achieve satisfactory or even better cyclability.<sup>47,48</sup> Here, we have substituted EC

with fluoroethylene carbonate (FEC), a high permittivity solvent the addition of which as an additive is mandatory for the cycling of negative silicon-based electrodes. The electrodes are prepared in neutralized PAA solution fixed at pH 2.5, 4 and 6, corresponding to PAAH, PAAH<sub>0.85</sub>Li<sub>0.15</sub> and PAAH<sub>0.22</sub>Li<sub>0.78</sub> binder compositions. Noteworthy, the quantity of water used to manufacture the slurry is adjusted with respect to the neutralization degree of the binder, in order to cancel out the differences in rheological properties and to obtain as much as possible identical electrode morphologies in terms of the distribution of the silicon and graphite particles. At micrometric scale, this adjustment is expected to enable more direct links between the electrochemical performance and the binder conformation at the molecular scale. Prior to cycling, the critical interfacial interaction between the electrode and the current collector are scrutinized by SEM imaging, SEM-EDX and XPS analysis. The mechanical properties of electrodes are evaluated thanks to the scratch test. Then, the electrodes are characterized before and after one full cycle by SEM imaging, EIS and MAS NMR spectroscopies in order to offer a deep understanding of the morphological and electrochemical mechanisms at initial cycling. Lastly, the electrochemical cycling of pH-adjusted electrodes is carried out until 40 cycles. As a result, this work revealed the best cyclability when the Si/Gr electrode is obtained with the PAAH<sub>0.85</sub>Li<sub>0.15</sub>, i.e. prepared in slightly acidic conditions.

### EXPERIMENTAL SECTION

**Materials.** - A blend of silicon and graphite (Si/Gr) was used as active material. The silicon powder used in the present study was obtained by milling a millimetric powder (99.96 % Si, 325 mesh, Materion), with a high-energy miller (Union Process attritor HD-01) with a ball-to-powder mass ratio of 10:1 at 600 rmp for 20 hours under argon atmosphere. The as-milled Si

powder is nanostructured with micrometric agglomerates ( $D_{v50} = 9.4 \,\mu\text{m}$ ,  $S_{BET} = 21 \pm 1 \,\text{m}^2 \,\text{g}^{-1}$ ). The graphite here is SFG6 from Timcal Timrex. GM15 (xGnP Graphene Nanoplatelets Grade M, thickness ~ 6-8 nm,  $D_{avg} = 15 \,\mu\text{m}$ , XG Sciences) was used as a conductive additive. The selected binder was the polyacrylic acid (PAA) from Sigma-Aldrich (Mw ~ 450 kg mol<sup>-1</sup>). It was used in non or partially neutralized state, by adding LiOH.H<sub>2</sub>O salt (Sigma-Aldrich, white crystal), which is specified in the next section. The water used was ultrapure (< 0.05  $\mu$ S cm<sup>-1</sup>).

Electrode preparation. - The PAA binder is very hygroscopic and its use requires a predrying process for 3 h at 95 °C under vacuum to remove the adsorbed water. Before preparing the electrode slurries, the binder solution (PAA + (LiOH) + water) was stirred for at least 5 hours to reach the pH stabilization since the acid-base reaction PAAH + LiOH → PAALi + H<sub>2</sub>O is a slow process. The pH of PAA solution after the dissolution was stabilized at 2.5, or 4, or 6 depending on the amount of LiOH added, corresponding to a neutralization degree x of 0, 0.15 and 0.78 in PAAH<sub>1-x</sub>Li<sub>x</sub>. The electrode slurries were obtained by a total solid content of 400 mg comprising Si, Gr, GM15, and PAA (or partially neutralized PAA) pre-dissolved solution with additional deionized water (see **Figure 1b**), which is milled through a Fritsch Pulverisette 7 mixer with 3 silicon nitride balls (9.5 mm diameter) at 500 rpm for 1 h. The slurry was afterward tape cast onto a 25 µm thick copper foil (99.99%, MTI) at a blade speed of 5 mm/s. The electrode film was firstly dried at room temperature with limited airflow for one night before being punched out into disks of 10 mm diameter. A further drying step for 2 h at 120 °C under vacuum was done before battery assembly. The mass ratio of the electrode corresponds to Si/Gr/GM15/PAAH<sub>1-x</sub>Li<sub>x</sub> 43:43:4:10. The Si/Gr loading varies between 3.0-3.5 mg cm<sup>-2</sup>. Their thickness and porosity are comprised between 45-55 µm and 55-65%, respectively. Theoretical specific capacity of as-made electrode is 1975 mAhg<sup>-1</sup> (5.9-6.9 mAh cm<sup>-2</sup>).

Slurry characterization. - The rheological studies of electrode slurries were performed on a controlled-stress rotating rheometer (Anton Paar, MCR 101). Measurements were taken at 25 °C with a 50 mm diameter plane-and-plane geometry, and the gap between the sample and the geometry was set to 0.5 mm. Two main rheological tests were carried out in order to simulate (i) the tape casting and (ii) the beginning of the electrode drying occurring upon electrode preparation. In the former case, the viscosity under continuous shear was measured at a rate sweep from 0.1 to 1000 rad/s then during a reverse sweep. For the latter case the G'(storage) and G'' (loss) moduli were recorded every 5 seconds at an oscillation frequency of 1 Hz with an amplitude variation of 0.4 % for 30 minutes. Note that before step (i) a continuous constant shear during 3 minutes followed by a rest of 5 minutes was carried out, to ensure that the slurries, which are viscoelastic materials and thus owning a rheological property depending on time, are in the same state.

Electrode mechanical characterization. - A scratch test was performed by a balanced beam scrape adhesion and mar tester (BYK-GARDNER) to evaluate the quality of the adhesion of the electrode on the copper current collector. Spit stylus was selected to scrape the electrode film at a speed of 5 mm/s approximatively. The practical scrape load was considered to be the lowest load for which the underlying copper foil becomes visible. Each test was repeated at least three times.

Electrode microstructure characterization. - To visualize the microstructure at the surface of the electrodes as well as their interface with the current collector, SEM images were acquired using a high resolution scanning electron microscope JEOL JSM 7600F equipped with a field-emission gun operating at 2 kV. EDX quantifications were performed on a JEOL JSM 5800LV coupled with an energy-dispersive spectrometer SDD SAMx. To access the electrode interface we developed a simple method: the electrode and the current collector were separated by

quickly tearing off adhesive tape after applying it on the electrode. The as-obtained electrode interface was later analyzed by SEM imaging, EDX spectroscopy and XPS spectroscopy.

XPS measurements were carried out with a Kratos Axis Nova spectrometer using a monochromatic Al Kα source (1486.6 eV) operating at 300 W. The instrument base pressure was  $5 \times 10^{-10}$  Torr. Sample analysis area was fixed to  $700 \times 300$  µm. Pass energy (PE) of 80 eV corresponding to an all-over Fermi edge instrumental resolution of  $0.89 \pm 0.02$  eV was used to acquire wide range survey spectra. A lower PE of 40 eV was applied to acquire narrow spectra of the C 1s, O 1s, Cu 2pand Si 2p levels, corresponding to an all-over Fermi edge instrumental resolution of 0.55 eV. The charge compensation, operating with a low-energy electron gun, was used in the case of insulating samples. Acquired XPS spectra were fitted with CasaXPS software, using Gaussian/Lorentzian and LA (mostly for conductive materials) line shapes and Tougaard U3 background correction. Due to the high content of graphite in analyzed electrodes, C 1s spectra were calibrated with the C 1s main peak found at a binding energy of 284.4 eV which is attributed to the C=C bonding in graphite. Thus, the corresponding C 1s peak for adventitious carbon is located at 285 eV. Si 2p spectra were normalized with respect to the height of the elementary silicon peak at 99.5 eV. Furthermore, X-ray beam damage was observed for the samples containing copper ions. The Cu 2p spectrum showed a shift of the Cu<sup>2+</sup> peak to lower binding energy after each spectrum acquisition, indicating a reduction of oxidized Cu<sup>2+</sup> to metallic copper. The Cu 2p XPS data interpreted here correspond to the first survey acquisition, with a short acquisition time (180 s) to consider the sample degradation as negligible and leading to a constant amount of Cu<sup>2+</sup> being reduced to Cu under the X-ray beam. Cu 2p spectra were normalized with respect to the height of the metallic copper peak at 932.3 eV when possible, or with respect to that of the main  $Cu^{2+}$  peak at 934.3 eV when the metallic copper peak is too low because of its strong overlapping with the main  $Cu^{2+}$  peak.

**Electrochemical measurements.** – For cycling tests, half cells were assembled in a glove box under argon atmosphere using CR 2032 coin cell configuration. The oxygen and moisture in glovebox were maintained lower than 0.2 ppm. The Si/Gr electrode was set as working electrode and a lithium metal foil (0.7 mm thick, Sigma-Aldrich) as reference and counter electrode. A borosilicate glass-fiber (Whatman GF/D) and a polyolefin based (Celgard 2500) discs were used as separators and were soaked with a 200 µL electrolyte consisting of 1 M LiPF<sub>6</sub> in dimethyl carbonate(DMC)/fluoroethylene carbonate (FEC) 70/30 v/v. The crimping pressure applied to the cell was 0.6 ton (using a MSK-160D electric coin cell crimping machine). Electrodes were cycled with a VMP multichannel electrochemical potentiostat (Bio-Logic) in galvanostatic mode (GCPL) at a rate of C/16.5 during discharge and C/8.3 during charge at 25 °C, corresponding to current densities of 120 mA g<sup>-1</sup> and 240 mA g<sup>-1</sup> respectively. The potential window was 0.005 – 1 V versus Li<sup>+</sup>/Li allowing for a full capacity cycling. The specific capacity values are reported with respect to the total mass of active material Si and Gr. The acquired data were analyzed by EC-Lab software. The given values in result section correspond to averaged data obtained from three different cells for each pH.

To perform electrochemical impedance spectroscopy (EIS), a three-electrode configuration Swagelok® cell was used with lithium metal as reference and counter electrodes. The applied perturbation amplitude was 5 mV and a 200 kHz to 10 mHz frequency range was scanned. The measurement was taken at various desired potentials after stabilization while a very low current I < C/200 was reached. Between two successive acquisitions, the cell was cycled in the same conditions as described above. EIS results were fitted with Zfit software, included in EC-Lab.

### Magic Angle Spinning Nuclear Magnetic Resonance (MAS NMR) characterizations.

- Electrodes were stopped at the end of charge (delithiation) for MAS NMR analysis. They were collected from the disassembled CR 2032 cells inside the glove box, rinsed with two drops of dimethyl carbonate (DMC) and dried under vacuum at 50°C for one night before being removed from the current collector. The resulting powder was then filled into a cylindrical 2.5 mm diameter zirconia rotor. <sup>7</sup>Li and <sup>19</sup>F MAS NMR measurements were carried out on a Bruker advance-500 spectrometer (B<sub>0</sub> = 11.8 T, Larmor Frequency  $v_0(^7\text{Li}) = 194 \text{ MHz}, v_0(^{19}\text{F}) = 470 \text{ MHz}$ ). Spinning frequencies up to 25 kHz were used. Li NMR spectra were acquired with a single pulse sequence and a recycle time of 40 s. <sup>19</sup>F NMR spectra were acquired using a Hahn echo sequence to discard the significant contribution from the probe signal and a recycle time of 30 s. Long recycle times were used to ensure quantitative measurements. All the spectra were normalized taking into account the number of scans, the receiver gain and the mass of the sample. <sup>7</sup>Li and <sup>19</sup>F integrated intensities were determined by using spectral simulation Dmfit software, 49 taking the spinning sidebands into account. The absolute quantification of detected species was performed using i) a numerical fit and integration of each set of peaks corresponding to a chemical species, ii) a calibration curve obtained from reference LiF-Si or -Si/Gr mixtures.<sup>50</sup>

### **RESULTS AND DISCUSSIONS**

Rheological adjustment of the slurries. – The adjustment of the electrode slurry viscosity as a function of the PAA neutralization degree x in PAAH<sub>1-x</sub>Li<sub>x</sub> appears crucial to maintain a stable and homogenous dispersion during electrode coating and throughout the drying process, especially on a large scale.<sup>34</sup> Indeed, PAA carboxylic groups naturally associated through hydrogen bonds can be disassociated in solution due to the electrostatic repulsion between neighboring carboxylate

groups with increasing PAA neutralization degree x (i.e. with increasing the solution pH). This modification of PAA chains conformation results in a modification of the rheological properties and of the dispersion state of the electrode slurry.<sup>35,38</sup> In the following, we have thus chosen to prepare electrode slurries with similar rheological properties whatever the PAA neutralization degree in order to reach a reproducible coating quality.<sup>51</sup>

The rheological properties of the three Si/Gr based electrode slurries with pH 2.5, 4 and 6 are reported in **Figure 1a**. The relationship between x in PAAH<sub>1-x</sub>Li<sub>x</sub> and the pH of the binder solution is given in **Figure S2**. Using a similar silicon grade, Karkar *et al.* demonstrated that a high quality electrode film was obtained by tape casting when an optimized rheological behavior of the electrode slurry was respected, <sup>16</sup> reported here as the dotted line in **Figure 1a**. The viscosity adjustments consist in a number of attempts to vary the amount of solvent quantities in order to achieve the same rheological properties for the electrode slurries with different neutralization degrees. Figure 1b indicates that the required water volume to be added to reach the targeted viscosity increases drastically as a function of the pH, which corresponds to the reported decreasing solid content percentage. The results obtained for pH 3, 5 and 7 are added for comparison purpose and to give a sense to the observed trend. All the Si/Gr electrode slurries prepared here showed a shear-thinning behavior (pseudoplastic behavior) as viscosity decreases under shear strain. The viscosity of the slurry increases with the neutralization degree at equal solid mass loading. Due to hydrogen bonds between neighboring carboxylic groups at the natural pH (2.5) of a PAAH solution, folding of the PAAH chains occurs yielding a low viscosity. On the contrary, when the polymer is deprotonated, the electrostatic repulsion occurring between neighboring carboxylate groups promotes polymer-solvent interactions, which leads to an

unfolding mechanism of the chains, and a subsequent rise in viscosity.<sup>33,52</sup> Therefore, an increased amount of water is needed at higher pH to keep the same viscosity with increasing pH.

Rheological properties similar to those of a soft gel are targeted for the electrode slurry at zero shear rate (i.e. under rest), in order to avoid agglomeration and/or sedimentation after casting on the current collector. Such property has been examined by dynamic viscoelastic measurements at small shear deformation to avoid disturbing its microstructure. The evolution of storage (G') and loss modulus (G") as a function of time is shown for pH 2.5, 4 and 6 in **Figure S3**. All slurries exhibited a gel-like behavior (G'>G") over tens of minutes. This particular behavior signifies that the original dispersion state is frozen and retained once the electrode slurry has been pasted onto the current collector, whatever the pH. The G' and G'' moduli increase with the neutralization degree, indicating that the strength of the gel, and thus the stability of the slurry, increases with higher neutralization degree. This is because the silicon and graphite particles tend to agglomerate at lower pH.<sup>53,54</sup>

The porosity of the as-obtained dried electrodes increases slightly with increasing pH (**Table 1**). This characteristic of electrode is likely more related to the particles geometry and their arrangement in space than to the water content in the slurry. We assume that the higher porosity is due to the more agglomerated particles in the electrodes prepared at pH 2.5.<sup>55</sup>

To summarize, the viscosity of the electrode slurries was successfully adjusted to an optimal value with a gel-like behavior to achieve a stable dispersion and further reproducible coating quality. As the copper current collector was suspected to react with the electrode slurry during the drying process, the following section deals with the study of the current collector/electrode interface with complementary characterization techniques.

Current collector / electrode interface study by SEM, and EDX and XPS **spectroscopies.** – Metallic copper collector is naturally passivated, covered by surface species such as cupric (CuO) and/or cuprous (Cu<sub>2</sub>O) oxides.<sup>56</sup> The study conducted by Gil et al.<sup>57</sup> about the atmospheric corrosion of copper in the presence of organic acids suggests that copper current collector corrosion may take place within the typical time frame of the electrode drying process at the lab scale (several hours), due to the presence of the PAAH<sub>1-x</sub>Li<sub>x</sub> acidic groups in the electrode slurry. This reaction leads to the formation of cuprous oxide, copper carboxylates and other derivative components at the surface of copper current collector. <sup>57</sup> Furthermore, Hernandez *et al.* proposed a maturation procedure for performance improvement of Si electrodes which consists in storing the electrodes prepared in a buffer solution at pH 3 in a controlled humidity atmosphere at 25°C for a few days. The was understood that atmospheric-induced copper collector corrosion, on the one hand releases Cu<sup>2+</sup> species, which are able to migrate into the humidified electrode and to physically crosslink binder chains, substantially modifying its resiliency to the silicon volume variation; 8,58 and on the other hand favors the formation of copper carboxylate anchoring bonds at the interface between the electrode and the current collector for a stronger adhesion.<sup>7</sup> From the Pourbaix diagram of copper (Figure S4), we note that the corrosion of the current collector with the release of  $Cu^{2+}$  species is expected for pH  $\leq 4$  under atmospheric pressure. In the following paragraphs, the occurrence of current collector corrosion during the electrode drying with slurries prepared at pH 2.5, 4 and 6 is quantified through SEM-EDX (on the electrode interface) and XPS characterizations (on the current collector interface), while morphological differences within the corresponding electrodes are probed from SEM observations.

The current collector surface was observed by SEM after separation of the electrode from the current collector (see experimental section). Note that electrode residues sparsely remained at

the current collector surface depending on local adhesion strength. No washing process was applied here to remove them in order to avoid any further modification. Figure 2a-c displays the SEM images, taken over a clear view of the copper current collector, i.e. with no electrode residue, corresponding to electrode coatings prepared at pH 2.5, 4 and 6, respectively. A pristine copper foil surface (only cleaned by ethanol) is shown in Figure 2d for comparison purpose. The latter appears flawless apart from scratched lines, typically occurring during the foil fabrication process. An electrode slurry at pH 6 barely reacts with the copper surface, as shown in **Figure 2c** where scratched lines are still visible. On the contrary, with a slurry prepared at pH 4 corrosive stings are clearly visible (Figure 2b) in agreement with the Pourbaix diagram. The boundaries found at pH 4 (Figure S4) indicate that an equilibrium involving metallic copper, cuprite (Cu<sub>2</sub>O) oxide and Cu<sup>2+</sup> species is possible. These phases can then form or exist simultaneously at the electrode/collector interface. The micro-holes on the copper surface could be explained by the organic acid attacks on the current collector, as seen also in the study of Hernandez et al.. Furthermore, at pH 2.5, the copper surface appears deeply corroded (Figure 2a) and reveals an altered morphology with the presence of a glue-like layer, which composition remains unknown at this stage.

Contrarily, the corresponding SEM images on the electrode side facing the collector do not show any significant differences between the explored pHs, as seen in **Figure S5a-c**. EDX spectroscopy measurements were performed for element quantification. **Table 1** lists the Cu quantification for each pH. The amount of Cu close to the electrode surface facing the current collector at pH 2.5 is drastically higher than those at pH 4 and 6 (3.53, 0.27 and 0.15 at%, respectively). This result confirms that a much more intense corrosion was experienced at pH 2.5, in agreement with the SEM observations of the collectors, and indicates that copper has migrated in the electrode in significant amount at pH 2.5.

The sample preparation approach used for SEM imaging was also applied to XPS analysis. The acquisitions of C 1s, O 1s, Cu 2pand Si 2p core peaks were carried out for both electrode and current collector sides of the electrode/current collector interface (selected analyses are shown in **Figure 3** and full spectra are shown in **Figure S6 & S7**).

The presented spectra for the current collector side in **Figure 3a** are normalized with respect to the height of the metallic copper (green) peak. The Cu 2p core peaks exhibit a split in two spin-orbit components, Cu  $2p_{3/2}$  and Cu  $2p_{1/2}$ , with a binding energy (BE) difference  $\Delta_{BE}$  of 19.75 eV and an area intensity ratio of 0.5. Prior to interpreting the results, it is worth mentioning that an accurate quantification of Cu<sup>2+</sup> species is challenging. The short acquisition time, fixed at 180 s, allows considering constant the amount of Cu<sup>2+</sup> reduced into metallic copper under the Xray beam for all the samples. Taking into account the Cu 2p<sub>3/2</sub> component only, the peak for metallic copper (green) appears at 932.3 eV along with another peak shifted at 934.3 eV, assigned to oxidized Cu<sup>2+</sup> (blue). In addition, two broad peaks at 939.4 eV and 944 eV were identified as Cu<sup>2+</sup>satellite peaks. Graphically, the integrated intensity of the Cu<sup>2+</sup> contribution is clearly more significant at the electrode interface for a slurry prepared at pH 2.5 compared to those neutralized at pH 4 and pH 6. It is confirmed by XPS quantification. At pH 2.5, the Cu<sup>2+</sup>/Cu integrated intensity ratio is 5.5 but this value falls down to 2.2 and 2.1 for pH 4 and pH 6, respectively. These quantitative results reported in Table 1 confirm once again that the collector has been submitted to an intense corrosion during the electrode processing at pH 2.5, but this corrosion reaction was largely restrained in the case of pH 4 and 6.

**Figure 3b** shows the Cu 2p core peaks of the electrode surface initially facing the current collector. In this plot the Cu 2p core peak was normalized with the height of the main  $Cu^{2+}$  (blue) peak (see experimental part). It reveals that  $Cu^{2+}$  species have migrated in the electrode in the

vicinity of the current collector and are most likely coordinated with carboxylate ligands as reminded above.<sup>7</sup>

The Si 2p XPS spectra of the same electrode surface (in contact with the current collector) are displayed in Figure 3c. All the spectra were normalized with respect to the height of the elementary silicon (purple) peak, localized at 99.5 eV. The Si 2p core peaks have also two spinorbit components, Si  $2p_{1/2}$  and Si  $2p_{3/2}$ , but closely spaced in BE ( $\Delta_{BE} = 0.63 \text{ eV}$ ) making them undistinguishable. The two other Si 2p peaks at 102.3 eV (pink, labelled Si2) and 103.6 eV (green, labelled Si3) are assigned to Si-OC(O)-R<sup>58</sup> ester bonds or SiO<sub>x</sub> (x<2) on the silicon surface and other more oxidized surface components like SiO<sub>2</sub> or SiOH, respectively.<sup>59</sup> In addition, a shift of 0.2 eV to higher BE of Si2 and Si3 peaks is observed for pH 6 compared to pH 2.5. This shift could be explained by the favored silicon oxidation to SiO<sub>2</sub> for neutral pH, while such a reaction is hindered in acidic slurry.<sup>36,37</sup> Furthermore, the Si2/Si3 integrated intensity ratio for each electrode pH was calculated and given on Figure 3c. Indeed, this Si2/Si3 ratio can give information on the relative amount of silicon atoms at the surface that have been experiencing a grafting reaction with polyacrylic acid during electrode processing (SiOH + R-C(O)OH → R-C(O)OSi + H<sub>2</sub>O). A higher ratio is found for acidic pHs, in agreement with previous works.<sup>58</sup> However, the obtained non-linear trend suggests a complex behavior that cannot be simply explained by the above pH-dependent grafting mechanism only.

The C 1s core peaks on the same electrode surface are presented in **Figure 3d**. Six different carbon environments were identified for all the electrodes, independently of the pH of preparation. The asymmetric C1 peak (green) is assigned to graphite double bonding centered at 284.4 eV. All the spectra were normalized with respect to this peak height. This component goes together with the C6 peak (orange) localized at around 290.8 eV and assigned to the  $\pi$  to  $\pi$ \* transition. Similarly,

C2, C3 and C4 peaks are associated to adventitious carbon contamination and correspond to the contributions of C-C/C-H, C-OH, and C=O bonds, respectively.<sup>60</sup> The C5 peak corresponds to carboxylate groups (O-C=O) of the binder bonded to all types of cations, including protons, silicon, copper and lithium cations. However, owing to quite similar electronegativity values for H, Si and Cu, it is not possible to distinguish between these different possible contributions to the C5 peak. This is further illustrated in **Figure S8**, in which C5 peak contributions from PAAH and PAAH<sub>0.9</sub>Cu<sub>0.05</sub> rise at the same BE. The minor shift of C5 peak to lower BE that can be noticed at pH 6 (**Figure 3d**) is attributed to the addition of LiOH in the formulation for the pH adjustment.<sup>58</sup> It can also be noted that the C5 peak of the binder is a little more intense at pH 2.5. This observation could testify to an accumulation of reaction products between the binder and the copper current collector (copper polyacrylates) at this interface. The full spectra (C 1s, O 1s, Cu 2pand Si 2p core peaks) from both sides of the electrode/current collector interface are shown in **Figure S6 & S7**. Except for Cu 2p, which is discussed above, other spectra for each element are very similar.

In summary, the corrosion of the Cu current collector surface occurring after coating the electrode slurry onto the collector is highly dependent of the acidity of the electrode slurry. It is strong at pH 2.5, very mild at pH 4 and not detectable at pH 6. SEM-EDX and XPS quantitative measurements confirm these observations. The precise quantification of carboxylate groups bonded to protons, Cu<sup>2+</sup> or silicon surface was not possible. A higher extent of covalent grafting of the binder to the silicon surface when the electrode was prepared in acidic conditions (pH 2.5 and 4) is however suggested by the larger Si2/Si3 integrated intensity ratio. Moreover, the slightly higher intensity of the corresponding C 1s peak for the electrode prepared at pH 2.5 is consistent with the expected larger amount of byproducts due to an exacerbated corrosion. As Cu<sup>2+</sup> species may diffuse throughout the electrode thickness, the electrode top surface was examined as well.

Electrode top surface study by SEM and XPS spectroscopy. – Despite an adjusted-viscosity slurry, the migration of binder to the top of the electrode during its drying is favored under humid conditions. <sup>61,62</sup> This migration, if it takes place, results in a depletion of binder within the electrode and at the interface with the current collector, which are detrimental for electrode integrity and adhesion, <sup>61,62</sup> as delamination issues are reported as the principal cause for Si-based electrode failure. <sup>7,8,63</sup> The SEM images taken at the top surface of electrode for pH 2.5, 4 and 6 do not show any significant binder enrichment, which would appear as an amorphous surface layer, whatever the pH of the preparation (**Figure S5d-f**), suggesting if a migration phenomenon has occurred, it is very slight. <sup>64</sup>

In complement, the measurements of XPS C 1s, O 1s, Cu 2pand Si 2p core peaks were also carried out on the electrode surface near separator side, and are shown in **Figure S9**. Noteworthy, some traces of copper are revealed on the Cu 2p core peaks XPS spectra, although only a low resolution could be achieved owing to the very low copper amount present. The copper detected corresponds most likely to Cu<sup>2+</sup> ions stemming from the corrosion reaction at the slurry/current collector interface that then migrated throughout the electrode slurry up to the top of the electrode coating. Nevertheless, the obtained Cu 2p spectra strongly suggest a larger amount of Cu<sup>2+</sup> in the case of the electrode prepared at pH 2.5. Indeed, Cu3 and Cu4 satellite peaks are clearly more resolved and the signal-to-noise ratio has a better quality for the pH 2.5 electrode for the same counting time. This results supports the more effective Cu<sup>2+</sup> migration across the electrode thickness for electrode prepared at low pH. Moreover, for all the spectra, a minimal metallic copper amount appears as a consequence of the aforementioned X-ray beam damage. For all the other elements, the spectra are very close to those obtained on the side of the current collector (fully shown in **Figure S6**) and on the electrode side facing the current collector (fully shown in **Figure** 

**S7**), suggesting that the electrodes have very similar compositions on their surfaces and close to the current collector, and therefore probably in their thicknesses, except for Cu<sup>2+</sup> that is present in larger quantity at pH 2.5. In the following the mechanical properties of the electrodes are further characterized and interpreted in terms of the nature of the functional groups carried by the binder and their coordination bonds with the Si surface and with the current collector surface.

Scratch test. – Scratch tests were performed to establish a relation between the cohesion and adhesion strengths of as-made Si/Gr electrodes and the pH of the corresponding slurry. Indeed, both properties are crucial for the cycle life of Si-based electrodes. **Table 1** shows the load necessary to scrape the electrode coating from the copper current collector. The appreciation of scratch is supported by SEM-BSE (Back-Scattered Electrons) images, as given in **Figure S10**. The critical load reaches 400-450 g for an electrode prepared at pH 2.5, slightly decreases at 350-400 g for a pH 4 electrode, and severely drops at 150-200 g for a pH 6 electrode. This trend is in agreement with the peel test results carried out by Hu *et al.* on Graphite-rich negative electrodes, although they found a much more regular decrease of the peel strength with increasing the pH.<sup>34</sup>

The critical load depends on both the intrinsic mechanical strength of the binder and on its adhesion properties toward the particles and the current collector surfaces. Several factors can play on the mechanical strength of the binder. Firstly, its intrinsic mechanical strength could be modified by the presence of Cu<sup>2+</sup> species throughout the thickness of the electrode. XPS and EDX results indeed indicated that the corrosion of the copper current collector surface increases dramatically by lowering the electrode slurry pH. As a consequence of the migration of Cu<sup>2+</sup> species across the wet electrode film, physical cross-linking of PAA chains can be expected through the formation of copper carboxylate coordination bonds, which could enhance the mechanical strength of the binder. Secondly, it was shown by Porcher *et al.* that silicon particles

are homogeneously coated by a thin layer of binder when fully lithiated PAALi was used, while for poly(acrylic acid) PAAH, a heterogeneous distribution of binder islands deposited on the silicon particles surface is observed. Nearly the same conclusion was inferred by Komaba *et al.* but with respect to graphite material. Furthermore, it is also possible that the conformation of the polymer chains at the surface of the particles differs as a function of the pH of the slurry. It is assumed that an orientation more parallel to the surface can be expected for less acidic pHs due to an elongated chains conformation in solution, which refers to the electrostatic repulsions between the charged carboxylate groups. On the contrary, a more folded conformation can be expected for more acidic pHs since the chains display a coiled conformation via intra-chain hydrogen bonding in solution. These different patterns of arrangement of the binder on the surface of the silicon and graphite particles could also influence the mechanical strength of the binder bridges at interparticle junctions and thus the electrode cohesion. These different patterns are tentatively schematized in Figure 4a, where only spherical particles are considered for the sake of clarity.

Moreover, under acidic processing conditions, the grafting of the PAA chains to the silicon surface through ester bonds (as suggested by XPS here) and the establishment of electrostatic interfacial interactions mediated by the Cu<sup>2+</sup> species are also expected to improve the overall electrode cohesion. The different types of bonds between the binder and the surface of the silicon particles are tentatively schematized in **Figure 4b**. Furthermore, the anchoring of the binder at the current collector surface, again through copper carboxylate coordination bonds should enhance the adhesion at this interface.

In short, the cohesion of the electrode and its adhesion onto the current collector determined from the scratch tests depend strongly on the preparation pH. They have been interpreted in terms of homogeneity of the binder coverage at the surface of the active materials particles, in relation

to the type of bonds between the binder and the surface, schematized in **Figure 4**. Several reasons may be at the origin of the higher scratch resistance after processing in acidic conditions, i.e. stronger adhesion at the current collector surface and at the silicon particles, and stronger cohesion of the binder phase. As a consequence of the contrasted mechanical properties and chemical compositions, different cycling behavior might be obtained for electrodes prepared at pH 2.5, 4 and 6.

**Electrochemical behavior at the first cycle.** – The electrochemical behavior for the 1<sup>st</sup> cycle is examined as a function of the electrode preparation conditions in **Figures 5a-d**. From the incremental capacity analysis (Figure 5a), contributions of the two active materials, silicon and graphite, are well defined and in accordance with individual silicon and graphite electrochemical behaviors.<sup>66</sup> During the first discharge, incremental capacity peaks at 0.26 V and 0.07 V are assigned to silicon electroactivity while graphite peaks are located at 0.18 V, 0.09 V and 0.06 V. The sharp peak at 0.26 V is assumed to be related to the lithiation of partially crystallized pristine silicon powder.<sup>67</sup> According to Key et al., it corresponds to the Si-Si bond breakage within the crystalline structure upon lithium incorporation at the silicon surface.<sup>68</sup> During the subsequent charging process, lithium is firstly extracted from graphite at 0.13 V, 0.17 V and 0.24 V. Lithium dealloying from silicon appears as a broad peak around 0.3 V followed by a sharp peak at 0.45 V, the latter is resulting from the transformation of the crystalline Li<sub>15</sub>Si<sub>4</sub> compound into an amorphous Li<sub>2</sub>Si phase through a two-phase reaction.<sup>67</sup> The lithiation/delithiation mechanism of the silicon used here is thus typical of a mixed amorphous/crystalline silicon.<sup>67</sup> A statistical analysis of the crystallinity of the silicon studied here was carried out by micro-Raman spectroscopy (Figure S11). The measurements taken randomly in different areas of the sample

indicated a strong inhomogeneity in terms of the distribution of amorphous or crystalline silicon, in agreement with the electrochemical behavior.

**Figure 5b** displays the discharge/charge potential curves as a function of the specific capacity for the first cycle of Si/Gr electrode for each pH of electrode preparation. The initial reversible capacity measured upon delithiation is close to 1800 mAh g<sup>-1</sup> at pH 2.5 or pH 6, and reaches 1900 mAh g<sup>-1</sup> at pH 4 (see **Table 1**), close to the theoretical capacity (1975 mAh g<sup>-1</sup>). The initial coulombic efficiency (ICE) remains in the range 89-91 %, larger than usual range of 60-85 % reported for silicon/graphite anode,<sup>69</sup> and nevertheless increases slightly with increasing preparation pH (**Table 1**). It is worth to highlight that the Si/Gr electrode prepared at pH 4 performs with a high areal reversible capacity of more than 6 mAh cm<sup>-2</sup> and with an ICE very close to that of commercial graphite anodes in a 90-94 % range.<sup>69</sup> Note that the electrode irreversibility during the first cycle is particularly studied for commercial battery applications and the highest possible ICE is obviously targeted in order to preserve the lithium inventory and to reach a satisfactory LIB lifespan.

The first cycle irreversible capacity loss finds its origin in several causes: (i) the formation of the solid electrolyte interphase (SEI) layer, constituted of electrolyte decomposition products;<sup>30,70,71</sup> (ii) electrical disconnection of active particles; and (iii) the PAA electrolithiation.<sup>29,31</sup> According to interrupted FIB/SEM experiments presented elsewhere,<sup>72</sup> the electrical disconnection of active particles is less likely at the first cycle for these electrodes. Concerning the electro-lithiation of PAA, Nguyen *et al.* reported that the reduction of the PAA carboxylic acid groups occurs at 1.6 V, thus prior to the electrolyte decomposition.<sup>41</sup> Inspired by the work of Porcher *et al.*,<sup>29</sup> an hypothesis was made by supposing that the non-neutralized PAA initially in the electrode slurry preparation will be fully lithiated upon the first discharge, thus the additional

irreversible capacity due to PAA electro-lithiation (PAAH + Li<sup>+</sup> + e<sup>-</sup>  $\rightarrow$  PAALi + ½ H<sub>2</sub>) has been calculated (in **SI**). Hence, the intrinsic ICE, i.e. the contribution to the ICE of other factors than PAA electro-lithiation (mainly SEI formation), can be obtained by simple subtraction. The results are shown in **Figure 5c**. The intrinsic ICE still shows an increasing trend as a function of electrode pH, even when considering the error bars.

To better understand the influence of the PAA neutralization degree on the intrinsic ICE at first cycle, we paid attention to the irreversible capacity peak around 1 - 0.6 V in the first discharge (Figure 5a inset), attributed to the FEC decomposition. 26,30,73,74 The variation of integrated intensity of this peak as a function of the pH of the binder solution is given in Table 2 and is plotted in **Figure 5d**. The capacity values obtained are extremely low (less than 0.5% of the total capacity loss, see **Table 2**). But the electrode at pH 6 exhibits the lowest irreversible capacity associated to FEC decomposition, followed by the electrode at pH 4 then finally the electrode at pH 2.5, in agreement with the intrinsic ICE trend. A second reduction peak can be distinguished between 0.40 and 0.30 V (Figure 5a inset), also assigned to electrolyte degradation. The corresponding irreversible reduction capacity remains very low as well (about 5% of the total capacity loss, **Table 2** and **Figure 5d**). However, it strongly decreases at increasing preparation pH. Both solvent decomposition capacities thus decrease with increasing neutralization degree of PAA binder, which is consistent with the artificial SEI properties of the binder, showing more homogeneous coverage of the Si surface at higher pH as illustrated in Figure 4a. The sum of PAA electro-lithiation, electrolyte reductions capacities only represent a small fraction of the total capacity loss. It can be assumed that the further electro-reduction of the electrolyte will occur at lower potential upon the silicon lithiation that will induce the volume expansion of the particles

and likely exposition of new electroactive surfaces and/or SEI thinning, which will account for the remaining capacity loss.

Moreover, it is worth to point out that the onset potential of FEC decomposition during the first discharge increases with the PAAH<sub>1-x</sub>Li<sub>x</sub> neutralization degree (**Figure 5a** inset). In the work of Shen et al., it has been shown that thickening a polymeric artificial SEI grafted to the silicon surface shifts the electrolyte reduction peak to lower potential.<sup>75</sup> They attributed this behavior to a hindered kinetic ability of lithium ions to penetrate through thicker binder layer hampering the electrolyte reduction on the silicon surface. 75 Karkar et al. also found a shift of the reduction peak to lower potential together with a decreasing integrated intensity of this peak with an increase of the binder content (PAA or CMC) in the electrode composition. <sup>26</sup> In the present case it is difficult to postulate the influence of the binder layer thickness as the binder content is the same in all electrodes. Furthermore, the binder presumably adopts different deposition patterns, i.e. from homogeneous at pH 6 to heterogeneous (roughened) at pH 2.5. Difference in the permeability of the binder layer to the electrolyte could also affect the onset potential of FEC decomposition.<sup>76</sup> Indeed, FEC has a large permittivity  $(Er = 110)^{77}$  and a highly charged binder layer such as for pH 6 could facilitate the passage of the electrolyte through this layer because of the dissociation of the lithium carboxylate groups. As a matter of fact, the ionization of the COO-Li<sup>+</sup> bonds must facilitate the separation of the polymer chains via the electrostatic repulsion between the neighboring carboxylates and the swelling of the polymer layer by the electrolyte. According to this hypothesis, the binder layer in the electrode prepared at pH 2.5 would be less permeable to the electrolyte initially because of the numerous intra- and inter-chains hydrogen bonds. Even if the carboxylic acid functions are reduced to lithium carboxylates during the first cycle, this reaction can only occur gradually. It probably begins at the extreme surface of the binder layer in contact

with the electrolyte. This would explain that the reduction in FEC occurs later (at lower potential) with decreasing neutralization degree x of the PAAH<sub>1-x</sub>Li<sub>x</sub> (from pH 6 to pH 2.5).

To summarize this part, the highly loaded electrodes prepared here deliver an initial reversible capacity in the range 1800-1900 mAh g<sup>-1</sup> (> 6 mAh cm<sup>-2</sup>) with a high ICE, close to 90%, much larger than the usual 60-85% range reported for silicon/graphite electrodes. The first cycle irreversible capacity loss was analyzed in terms of PAA electro-lithiation, and contribution of electrolyte reductive decomposition. It was shown that these contributions only account for a very small part of the total loss. However, they foreshadow the extent of electrolyte reduction that occurs at lower potential as the volume of silicon increases upon lithium insertion. The lower intensities of the peaks characterizing the electrolyte solvent reduction and the higher ICE obtained for the electrode prepared in neutral condition confirms the better artificial SEI properties of PAA at increasing neutralization degree, which is attributed to more homogeneous covering of the Si and Gr particle surface.

**Morphological evolution upon cycling.** – As it can be seen in **Table 1**, at the end of the first cycle, the electrode mass gain is much higher for the electrode prepared at pH 2.5 compared to the two other electrodes (15.7, 5.5 and 4.1% at pH 2.5, 4 and 6, respectively). This trend is in agreement with the formation of a drastically larger amount of SEI species coming from a stronger electrolyte degradation at pH 2.5. However, the ICE decreases linearly with the pH, suggesting that part of the degradation products at pH 2.5 may also relate to chemical decomposition rather than electrochemical reduction. As Hays *et al.*<sup>31</sup> reported, PAAH-based Si/Gr electrode is 3.5 times more hydroscopic than the one of PAALi-based, which provides a larger amount of water traces for developing the chemical degradation of LiPF<sub>6</sub> and FEC.

Figure 6a-c displays SEM images of electrode surface which were prepared at pH 2.5, 4 and 6 at the end of 1st cycle. Others images taken at the scale of particle size are given in Figure S13. The pristine electrode prepared at pH 4 is shown in Figure S12 as a reference. All pristine electrodes present a similar aspect at this magnification whatever the pH of preparation. These images were acquired with great care in the center of electrode to avoid regions near the possibly damaged edge of the electrode. They are given under a macroscopic view in order to get a visual glimpse at their mechanical integrity. At the end of 1<sup>st</sup> charge, visual aspects of the three electrodes differ strongly indicating different mechanical behaviors according to the pH of preparation. Both pH 2.5 and 6 electrodes show a cracking after the delithiation. This is in contrast with the pH 4 electrode, for which very few cracks are observed after 1 cycle, as also observed with complementary FIB/SEM interrupted experiments detailed elsewhere.<sup>78</sup> It should be noted that the resistance to cracking of the electrodes during the first electrochemical cycle does not follow the trend shown in the scratch test. The two mechanical tests are, however, not equivalent as they are not experienced under the same condition, i.e. in presence/absence of electrolyte and heterogeneous/homogeneous deformation.

Cracks formed mainly during the delithiation of the Si active mass necessarily results from a rupture of the binder bridges between the particles, by scission or disentanglement of the polymer chains, and/or from the detachment of the binder from the surface of the active mass. The significant cracking of the electrode prepared at pH 2.5 could result from too much rigidity, linked to a high density of chain entanglements and to intensive copper carboxylate crosslinking of the binder. This lack of flexibility or deformability could not allow the electrode to reversibly sustain the enormous expansion/contraction during cycling if the deformation imposed on the binder bridges exceeds their elastic deformation limit. The better strength of the electrode prepared at pH

4 may result from a lower rigidity and a greater limit of elastic deformation of the polymer bridges, due to lower density of chain entanglements and less physical crosslinking. For the electrode prepared at pH 6, the significant cracking is consistent with its lower macroscopic cohesion and adhesion strengths measured at the scratch test. It could be attributed to the lower adhesion of the binder to the surface of the Si active mass. The already elongated conformation of the polymer chains may also limit the possibility for this binder to assume significant stretching during the first lithiation. It should be noted that due to the (de)alloying reaction, the surface composition of the silicon particles changes during a cycle. Maintaining the cohesion of the electrode relies on the ability of the binder to maintain adhesion to this living surface. This aspect is very little described and remains misunderstood to this day. Furthermore, from **Figure S13 a-c**, at the end of first cycle, a deposit of SEI components on both silicon and graphite surfaces is observed for all pHs, but it seems visually thicker for the pH 6 electrode.

In summary, SEM images at the end of the first cycle reveal cracking at the electrode surface for pH 2.5 and 6, but not for pH 4. It was interpreted in terms of binder chain entanglements, physical crosslinking, and adhesion to the active material surface, that differ when pH varies.

NMR spectroscopy. – Although the chemical composition of SEI depends on the electrolyte components, it consists typically in a mix of inorganic products such as LiF and lithiated or non-lithiated organic species stemming from the degradation of the carbonates used as the electrolyte solvents. Therefore, to screen lithium and fluorine local chemical environments, their distribution at the surface of active materials and the chemical composition of the SEI, <sup>7</sup>Li and <sup>19</sup>F MAS NMR analysis were performed before cycling (after exposure to the electrolyte) and at the end of the first charge for each pH-adjusted electrode. Previous works in the group reported that

all the lithium is extracted from silicon at the end of charge. 45,46,79 Experiments have been therefore performed at the end of the delithiation process of the electrode in order to detect only Li nuclei involved in the SEI.

<sup>7</sup>Li and <sup>19</sup>F NMR spectra are presented in **Figure S14**. In the case of <sup>7</sup>Li NMR, the asymmetric peak suggests that at least two different local environments could be identified for the lithium nuclei in the electrode/electrolyte interphase. Nevertheless, the chemical shift range for diamagnetic lithium is quite narrow and all the resonances corresponding to lithiated species belonging to the SEI rise typically between -2 and 2 ppm and then it becomes challenging to discriminate their respective contributions. 45,80,81 The broader component of this asymmetric resonance seems to be characterized by a strong negative chemical shift, indicating an additional contribution to a purely chemical shift. Indeed, negative shifts of diamagnetic Li have been reported in the case of Li cation surrounded by the  $\pi$  electron clouds generated by graphite.<sup>82</sup> In order to verify the validity of such an hypothesis here, LiF powder has been intimately mixed by ball-milling with pure silicon and blended Si/Gr, in a 5 wt% ratio. The corresponding <sup>7</sup>Li MAS NMR spectra are shown in **Figure 7a**. While a sharp resonance at -1 ppm is observed for LiF mixed with pure silicon, a broad and strongly shifted resonance appearing at approx. -15 ppm is seen in the case of LiF mixed with Si/Gr sample. Therefore, as shown in Figure S14a concerning the Si/Gr electrodes studied in this work, we assign the sharp component at approx. -2 ppm to the diamagnetic lithiated species deposited on the silicon surface<sup>45,83</sup> whereas the broad component at approx. -15 ppm is linked to lithiated species deposited on the graphite surface.

For the <sup>19</sup>F NMR, the same peak identification protocol used for <sup>7</sup>Li NMR was applied. In **Figure 7b**, the <sup>19</sup>F NMR spectra displayed only the typical resonance of LiF at -205 ppm in the case of pure silicon. But this resonance is quite broadened and shifted to -215 ppm in the case of

the Si/Gr sample. No additional resonance could be observed apart from the spinning sidebands, confirming the chemical integrity of LiF and the absence of reaction that would be induced by the ball-milling process. Moving now to the <sup>19</sup>F NMR spectra of electrodes (**Figure S14b**), four resonance peaks were identified and considered for spectral fits. The major resonance visible at approx. -208 ppm is assigned to LiF. As discussed in the above paragraph, the presence of graphite seems also to influence the NMR signal acquired for LiF, broadening it and shifting it towards more negative NMR shifts. Unlike <sup>7</sup>Li NMR spectra, the strong overlap of the two relatively broad resonances attributed to LiF at the surface of silicon and LiF at the surface of graphite makes it impossible to clearly differentiate their respective contributions (Figure 7b). Thus, in the following, the fit of <sup>19</sup>F spectra will consider only one broad peak corresponding to the combined aforementioned contributions. As a result, in **Figure S14b**, this peak appears slightly shifted to negative at -208 ppm compared to the expected value at -205 ppm. 79,81 A possible SiO<sub>x</sub>F<sub>v</sub> contribution was detected at approx. -150 ppm, stemming from a reaction between the electrolyte and silicon surface oxides. 84-86 The resonance identified at approx. -75 ppm was attributed to residual PF<sub>6</sub> groups confined in SEI porosity remaining after the washing process. In some cases, a minor resonance peak could be detected at around -84 ppm and assigned to Li<sub>x</sub>PF<sub>z</sub> or Li<sub>x</sub>PO<sub>y</sub>F<sub>z</sub> fluorophosphates.<sup>79</sup>

The <sup>7</sup>Li and <sup>19</sup>F quantifications of chemical species for electrodes adjusted at pH 2.5, 4 and 6, before cycling and at the end of 1<sup>st</sup> charge, are displayed in **Figure 7c**. The quantification of surface diamagnetic Li takes into account the contributions corresponding to lithiated species deposited on silicon (green) and lithiated species in strong interaction with the graphite surface (orange). The results were obtained with the help of a calibration curve as described previously.<sup>50</sup> For the Li quantification, the number of moles of lithium used to neutralize the PAA in electrode

slurry preparation was deducted. The total amount of Li and F corresponding to the SEI quantitative measurements indicates clearly that the formation of the SEI appears in much larger amount when the electrode has been prepared at pH 2.5 compared to other pHs, in both cases before cycling and after one full cycle.

Comparing the total Li amounts obtained from <sup>7</sup>Li NMR and LiF amounts obtained from <sup>19</sup>F NMR, for all the electrodes before cycling or after 1 cycle, they appear quite close, as listed in **Table 3.** It suggests that the lithiated derivatives constituting the SEI correspond mostly to LiF. In other words, only a small amount of other lithiated species, including lithiated organic species seems to be formed. In the case of <sup>19</sup>F NMR spectra, and in particular for pH 2.5, due to extremely broad peaks, the background estimation and subtraction is challenging and seem to lead to a possible over-estimation of the amount of detected fluorine nuclei. For instance, the LiF quantification at pH 2.5 from the <sup>19</sup>F signal leads to an estimated amount of LiF larger than the total amount of lithium estimated from the corresponding <sup>7</sup>Li signal. Therefore, we tentatively presume that the SEI contains almost 100% LiF and very little lithiated organic species. This striking result would be a consequence of the EC-free and FEC-rich specific electrolyte composition used here. Indeed, previous work found increased LiF content in the negative electrode SEI when FEC is added as an additive to standard battery electrolyte (based on EC/DEC). 87 Otherwise, the comparison of 7Li and 19F quantification for the electrode prepared at pH 4 indicates that 68% and 81% of Li appears under the form of LiF before cycling and after 1 cycle, respectively, indicating also a major contribution of LiF in the SEI with respect to other lithiated species and a minor, as well as decreasing contribution of lithiated organic degradation products during the early stages of cycling. Overall, the same trend is observed for the electrode prepared at pH 6.

Very interestingly, for pH 4 and pH 6, one may note that approximately two-third of the lithiated species are found on graphite, which may be a consequence of an enhanced adsorption of PAA on silicon than on graphite, leading to a better pre-passivation of the silicon surface. Indeed, it is known that the surface of graphite better interacts with polymeric binders that contain hydrophobic sequences in their chain, like carboxymethyl cellulose (CMCNa).<sup>63,88</sup> Contrarily, PAA chain is purely hydrophilic. This suggests that a PAA/CMC blend might be a better binder for negative electrodes based on Si/Gr blends. For more acidic conditions at pH 2.5, the relative amount of lithiated species on graphite decreases down to one half. It can be seen as a sign of the less homogenous covering of the silicon surface by the PAAH binder or of an enhanced adsorption on graphite compared to the other pHs.

Two more comments are worthwhile. First, in the non-cycled electrodes, a significantly higher amount of  $SiO_xF_y$  is found at pH 2.5, which again underlines the less homogeneous covering of the silicon surface by the PAA binder for this pH, as  $SiO_xF_y$  is formed from the direct reaction between the electrolyte and silicon surface oxides. <sup>84–86</sup> Second, the higher amount of LiPF<sub>6</sub> is again found at pH 2.5. The analyzed electrodes were rinsed with DMC prior to NMR experiments and we assume then that the detected LiPF<sub>6</sub> corresponds to remaining non-degraded electrolyte confined in the porosity of the SEI, which is more important at pH 2.5.

To summarize this part, based on the observed NMR shift, it was possible to determine the distribution of lithiated species comprised in the SEI on the surface of graphite and/or silicon. This allows deducing on which surface, graphite and/or silicon, the adsorption of PAA is favored for each preparation pH. The quantitative NMR study performed here indicates that, for all preparation pH investigated, the lithiated species comprised in the SEI correspond mostly to LiF. It appears also that the amount of LiF is drastically larger in the case of an electrode prepared at pH 2.5

compared to the two other higher investigated pHs. This result combined with the more intense signal assigned to  $SiO_xF_y$  species supports a less homogenous and thus, less efficient, covering of the surface of silicon particles by PAAH binder.

Electrochemical impedance spectroscopy – Electrochemical impedance spectroscopy (EIS) measurements were carried out on Si/Gr electrodes prepared at pH 2.5, 4 and 6, prior to cycling and also along the 1<sup>st</sup> cycle, at potentials carefully selected with respect to the electroactivity of silicon and graphite.<sup>66</sup> Prior to cycling, a first EIS measurement was taken at open circuit voltage (OCV) (3 V vs. Li<sup>+</sup>/Li) as reference. Then, along the course of lithiation, EIS measurements were realized at 0.4 V, 0.15 V, and 0.005 V, followed by a final acquisition at the end of first delithiation at 1 V (Figure 8a). Measurements were performed after the system reached stabilization at the desired potential. In these conditions, processes related to the SEI formation at the corresponding potential are considered complete.<sup>89</sup> Selected Nyquist plots are given in Figure 9 and the full corresponding plots EIS study are shown in the Supporting Information (Figure S15).

In all cases, the spectra are constituted of the typical semicircular components in the middle-frequency region (~10 Hz – 100 kHz) and a Warburg element in the low-frequency region (below 10 Hz). At high frequency, beyond 200 kHz, the intercept of the semi-circle with the impedance real part axis is usually influenced by the experimental set-up (uncertain cable and connection resistance) and will not be explored for spectra fitting. This value gives also information on electrolyte resistance. In general, the semicircles in the middle-frequency region of the Nyquist plot are fitted with one or two resistance//pseudo-capacitance elements, to model the charge-transfer and the SEI layer contributions. <sup>90–92</sup> Complex circuit models, such as transmission line models, involving a much larger number of circuit components, are needed to take into account

the ionic and electronic paths resistances across composite electrodes, including the contact resistance at the electrode/current collector interface, in addition of the two aforementioned charge-transfer and SEI layer contributions.<sup>92</sup>

For all measurements taken at 0.4, 0.15 and 0.005 V, two apparent contributions are clearly observed and assigned to the SEI and charge transfer resistances. 93,94 The corresponding circuit model used to fit the experimental data is drawn in **Figure S15** and the comparison of these two contributions (the SEI and charge transfer resistances) at 0.4, 0.15 and 0.005 V for electrodes at pH 2.5, 4 and 6 is given in **Figure 8c**. For other potentials (OCV and 1 V), the interpretation will take into account the overall resistance of the apparent semi-circle in the middle-frequency region, defined by the difference between its two intersections with the real axis and referred to in the following as the total interfacial resistance. Lastly, the comparison of this total interfacial resistance as a function of measured potential during the first cycle is plotted in **Figure 8b** for the three electrodes.

Figure 8b gives an analysis of the overall resistance obtained with electrodes prepared at pH 2.5, 4 and 6 and after SEI stabilization. In its pristine state, from the OCV to 0.4 V, the pH 6 electrode shows an overall resistance (81 Ohm) more than twice higher than the value for pH 2.5 and pH 4 electrodes, ~36 Ohm and ~39 Ohm, respectively. We attribute the higher total resistance of pH 6 to the pre-formed artificial PAAH<sub>1-x</sub>Li<sub>x</sub>-based SEI layer that is more developed on the silicon surface, as discussed in the previous sections. This is in contrast with the pH 2.5 electrode, for which according to NMR, a high amount of SEI is present at the surface of the active material before cycling but seems less resistive than the PAAH<sub>1-x</sub>Li<sub>x</sub> based SEI. With respect to the initial state, the pH 4 electrode seems to present a behavior closer to that of the pH 2.5 electrode, with an intermediate amount of SEI, that has a limited impact on the interfacial resistance when compared

to the artificial PAAH<sub>1-x</sub>Li<sub>x</sub> based SEI. When the potential is lowered down to 0.4 V, all the electrodes see their overall resistance decrease significantly (by ~25% in all cases), which may be related to the permeability to the electrolyte of the binder layers. Deconvolution of the total interfacial resistance in R<sub>SEI</sub> and R<sub>CT</sub> contributions at 0.4, 0.15 and 0.005 V is given in **Figure 8c** and reported in **Figure 8b**. The first contribution, R<sub>SEI</sub>, is much higher for the electrode prepared at pH 6, which underlines again the role of pre-formed artificial SEI played by the binder phase as it more homogeneously covers the Si and Gr active mass surface.

For all the electrodes, the resistance decreases from 0.4~V with the lithiation of the silicon, as already observed. This is due to the progressive  $R_{CT}$  decrease for all electrodes along the lithiation process. On the one hand, it could be attributed to an improvement in electronic transfers between the particles in the electrode and with the current collector, following the increase in internal pressure inside the electrochemical cell, as a result of significant silicon expansion in a closed space which led to a decrease in contact resistance. On the other hand, the electronic conductivity of silicon increases with the extent of lithium alloying, resulting typically in a lower resistance. The lowest  $R_{CT}$  obtained constantly in the case of pH 6 could be explained assuming a larger amount of available lithium near the interface thanks to the polymer binder prelithiation that may help to promote the charge transfer process.

Interestingly, the total interfacial resistance difference between pH 6 and other pHs becomes negligible when the potential reaches down to 0.15 V and then to 0.005 V, where the SEI layer is expected to be well formed and the silicon particles are mostly lithiated. In the particular case of the pH 6 electrode, an efficiently covering artificial PAA-based SEI is present in its pristine state. Nevertheless, due to the existence of weak hydrogen bonds between the binder and the silicon surface, it can be expected that these weak bonds are not strong enough to maintain the

PAA-based layer well attached to the surface upon the drastic volume variation undergone by silicon particles during the lithiation process. Fresh silicon surface would then be exposed towards electrolyte, in a situation close to those encountered for electrodes prepared at other pHs. Equivalent interfacial resistances were also measured by Hays *et al.*<sup>31</sup> in a comparable situation, for partially lithiated Si-based electrodes prepared with PAAH (close to pH 2.5) or PAALi (close to pH 6). Here, the pH 6 electrode keeps a highest overall resistance that is mostly a consequence of its highest R<sub>SEI</sub>, due to the initial polymer conformation at pH 6. Although pH 6 electrode offers the lowest R<sub>CT</sub> it cannot balance the significant difference between R<sub>SEI</sub> for the pH 6 electrode and R<sub>SEI</sub> obtained in the case of electrodes prepared at pH 2.5 or 4. Finally, for pH 2.5 et 4 electrodes, similar resistive behaviors for R<sub>SEI</sub> and R<sub>ct</sub> are observed during the first lithiation, suggesting a negligible impact of the binder neutralization at lower pHs (below pH 4) on electrical properties of the electrode/electrolyte interface.

After charging to 1 V, lower total resistances are noted compared to the initial values obtained at OCV and at 0.4V for all electrodes. This is attributable on the one hand to the settlement of the electrode during the first lithiation and subsequent extraction. As the manufactured electrode is not calendared, the first expansion of the electrode likely introduces a reorganization of the arrangement of the particles submitted to internal compressive stress in a restricted volume. On the other hand, this first cycle probably abruptly modified the arrangement of the binder in contact with the Si and Gr active mass, which can also influence this overall interfacial resistance value. In the particular case of the electrode produced at pH 6, the state of homogeneous covering of the silicon surface by the binder layer has been probably lost, then resulting in a conformation of the binder closer to the other electrodes than in the initial state. In electrodes produced at acidic

pH, the electro-lithiation of the binder gives them a chemical composition closer to that of the electrode produced at pH 6.

Finally, at the end of this first cycle, the electrode prepared at pH 4 exhibits a lower overall interfacial resistance that could be due to its lower cracking and better preservation of the electronic wiring of the active mass. <sup>89,91,96</sup> It is however fairly striking to observe that the total interfacial electrode resistance is barely independent with the amount of LiF precipitated on the active mass. As NMR results assume that 94% of lithiated species in the SEI correspond to LiF at the end of first cycle, the overall impedance for each pH are quite similar although the LiF amount can reach up to 15.7% of the mass of the electrode for pH 2.5 and 4.1% for pH 6 (**Table 1**). This may suggest that LiF is not as a resistive compound toward Li<sup>+</sup> transport as presumed or claimed in other works. <sup>97</sup>

In summary, the initial interfacial resistance is much higher for the electrode prepared at pH 6, which is in agreement with the more uniform coverage of the active particles by the binder and its better SEI artificial properties. For all the electrodes, a clear lowering of the total interfacial resistance with lithiation is observed, which is not completely reversible with delithiation that is attributable in particular to the settlement of the electrode as well as to modifications of the binder (electro-lithiation reaction and evolution of the conformation at the surface of silicon particles).

**Cyclability** – The electrode cycling performance of PAAH (pH 2.5), PAAH<sub>0.85</sub>Li<sub>0.15</sub> (pH 4) and PAAH<sub>0.22</sub>Li<sub>0.78</sub> based (pH 6) electrodes are given in **Figure 5e**. The discharge capacity retention is recorded respectively at 81%, 85%, and 77% after 40 cycles. Thus, the hierarchy established in the first cycle continues during the following cycles, and we can even note that the electrode prepared at pH 4 stands out more clearly from the others after 40 cycles.

The electrode surfaces were observed at the end of the 30<sup>th</sup> cycle, and are shown in Figure 6d-f. Additional comparisons between 1st and 30th cycles at higher magnifications are given in **Figure S12**. Overall, the number of cracks compared to that recorded at the end of the 1<sup>st</sup> cycle is reduced for all the electrodes, probably due to the growth of the SEI layer during cycling which can participate in the closing of some cracks. It is however notable to find the same hierarchy as that observed at the end of the 1st cycle, the surface of the electrode prepared at pH 4 being very little cracked while those prepared at pH 2.5 and 6 are more cracked. This observation can be explained by the work of Hernandez et al.. Their operando observations established that cracks open essentially during the first delithiation in response to the deflation of the electrode (contraction during delithiation). Then these cracks close and reopen in exactly the same place. This work, like that of Vanpeene et al., 8 clearly established a dependence between the cracking of silicon-based electrodes and the mechanical properties of the polymer binder. Thus, the greater resilience of the electrode prepared with the PAAH<sub>0.85</sub>Li<sub>0.15</sub> (pH 4) binder to silicon volume variations undeniably attests that this binder has a combination of different and more optimal mechanical and adhesive properties than the of other binders, PAAH- (pH 2.5) and PAAH<sub>0.22</sub>Li<sub>0.78</sub> based (pH 6).

As a short summary, the capacity hierarchy observed at first cycle is maintained and amplified after cycling (30 cycles), as well as the presence of cracks at the electrode surface that are however less numerous, probably due to a growth of the SEI layer.

### CONCLUSION

Negative electrodes of high surface capacity were prepared with an equal mixture of silicon and graphite as active material and polyacrylic acid as binder. The influence of the degree of

neutralization has been studied by comparing PAAH, PAAH<sub>0.85</sub>Li<sub>0.15</sub> and PAAH<sub>0.22</sub>Li<sub>0.78</sub>, which imposes different pHs in the electrode slurry, respectively equal to 2.5, 4 and 6. The amount of water added for the preparation of these slurries was adjusted so as to minimize the differences in rheological properties associated with the differences in conformation of the polymer chains (folded at acidic pH and deployed at neutral pH). As a result, it could be obtained electrodes of equal loadings, with a good distribution of the constituents and similar microstructures at the micrometric scale, except a slightly higher porosity at neutral pH than at acidic pH. However, very pronounced corrosion of the current collector at pH 2.5 was demonstrated, resulting in the dissemination of Cu<sup>2+</sup> ions throughout the volume of this electrode. Based on previous work and our characterizations, plausible differences in microstructure at the molecular scale have been proposed and linked to the different behaviors of the electrodes during their battery cycling as follows:

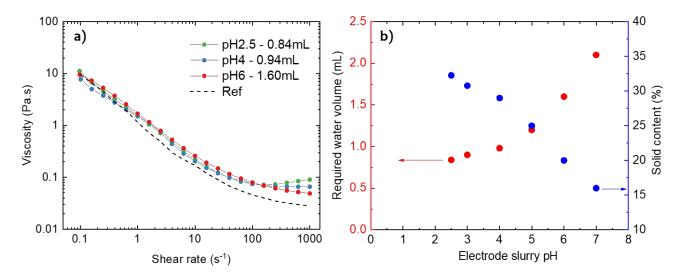
In the electrode prepared at pH 6, the PAAH<sub>0.22</sub>Li<sub>0.78</sub> binder would form a thin and uniform layer on the surface of the Si and Gr active mass, playing the role of an artificial SEI layer, which makes it possible to minimize the reduction of the electrolyte during the first cycle and to maximize the ICE. The permeability of the binder layer to the electrolyte before silicon lithiation (attributable to the dissociation of lithium carboxylates on contact with the electrolyte solvent), then its cracking during silicon lithiation, are suggested by EIS measurements and by the potential at which FEC reduction is initiated (higher than at other pH). The low mechanical resistance of the dry electrode and its strong cracking during the first electrochemical cycle could be due to the lower adhesion of the binder with the surface of the silicon particles and/or to the already elongated conformation of the polymer chains which would minimize the resistance to elongation during the first lithiation.

In the electrode prepared at pH 2.5, the binder forms a non-uniform layer on the surface of the Si and Gr active mass. The existence of uncovered zones is proven by the detection by NMR of SiO<sub>x</sub>F<sub>y</sub> compound. The most important degradation of the electrolyte is observed for this electrode. It already occurs significantly when the electrode is simply brought into contact with the electrolyte, which could result from the presence of traces of water in greater quantity. The ICE is the lowest of the 3 electrodes. During the first cycle, this binder is transformed. The electrolithiation of the carboxylic acid functions into lithium carboxylate gives it a molecular structure more similar to that of PAAH<sub>0.22</sub>Li<sub>0.78</sub>. This electrode has the highest mechanical strength in the dry state, which is attributable to a high adhesion of the binder phase with the silicon surface via the establishment of strong covalent bonds and with the current collector via copper carboxylates; and a pronounced physical crosslinking of the binder phase (high density of entanglement associated with the folded conformation of the polymer chains and intra- and inter-chain copper carboxylate bridges). However, this electrode strongly cracks during the first electrochemical cycle, which may refer to a too much rigidity of this binder.

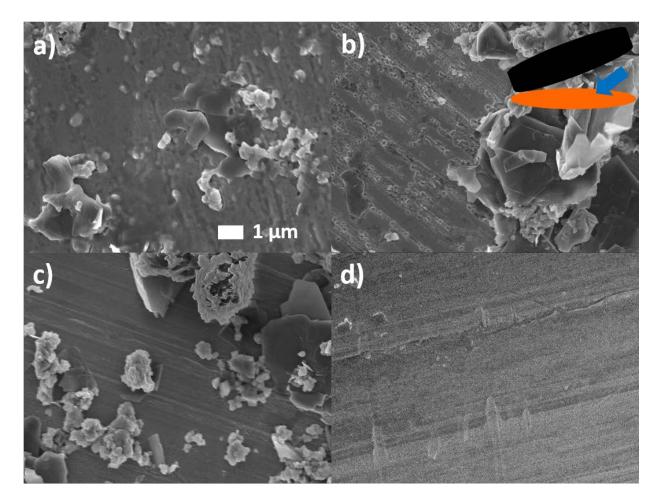
The electrode prepared at pH 4 with PAAH<sub>0.85</sub>Li<sub>0.15</sub> binder exhibits the best initial capacity and the best cyclability. Its mechanical properties in the dry state are close to those of the electrode prepared at pH 2.5, however, it cracks very little during the first electrochemical cycle, which indicates that this binder has original and optimal intrinsic mechanical properties. It may be explained by a less pronounced physical crosslinking than at pH 2.5 linked to the lower concentration of copper carboxylates and a lower entanglement density. In addition, the degradation of the electrolyte is moderate, identical to that observed by NMR for the electrode prepared at pH 6. As in the electrode prepared at pH 2.5, this binder is transformed also during the first cycle by electro-lithiation of its carboxylic acid functions.

Finally, our results show that for a silicon/graphite blend with a very high silicon content, the PAAH<sub>0.85</sub>Li<sub>0.15</sub> binder prepared at pH 4 provides the most efficient mix of mechanical and artificial SEI properties allowing for an initial reversible capacity of 1900 mAh g<sup>-1</sup> and an initial coulombic efficiency of 91%, very exceptional performance metrics never achieved before at high loading superior to 6 mAh cm<sup>-2</sup>. An important result worth to emphasize is that for the peculiar electrolyte used here based on a mixture of FEC and DMC (without EC), the main degradation product at the end of the 1<sup>st</sup> cycle, is highly concentrated LiF which appears very beneficial to the SEI conductivity. Lastly, this paper demonstrates there is a considerable stake in optimizing the silicon/graphite blend electrode formulation with the careful selection of the polymeric binders with optimal mechanical/SEI/conformational properties. We hope this work will open new avenues to developing efficient silicon/graphite anodes for the new generation of high energy Liion batteries.

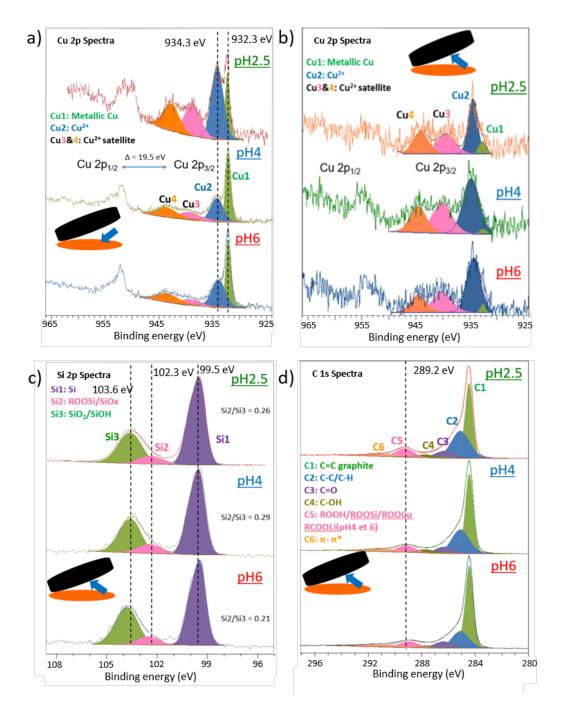
# **FIGURES**



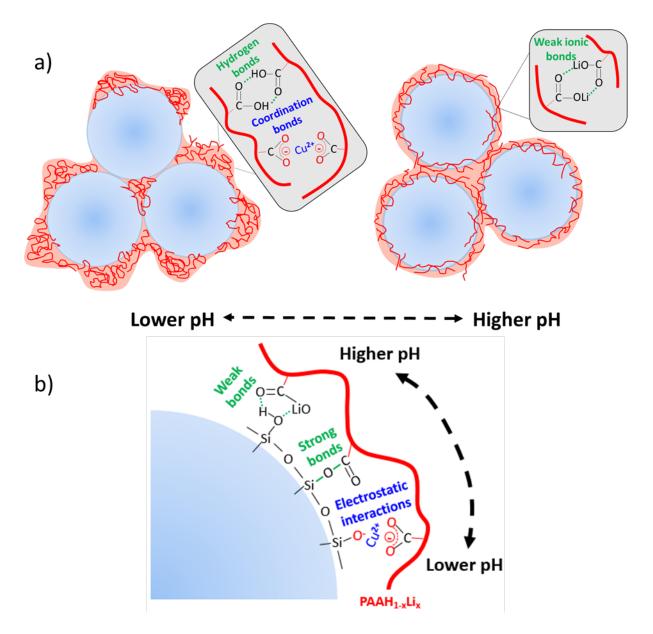
**Figure 1.** Rheological properties of Si/Gr electrode slurry related to their neutralization degree x in PAAH<sub>1-x</sub>Li<sub>x</sub> and pH. a) Change of viscosity as a function of the shear rate at pH 2.5, 4 and 6 by comparison to the values targeted for an ideal slurry behavior<sup>16</sup> (dotted line); b) required water volume (for 400 mg electrode materials) and solid content as a function of the pH of the electrode slurry.



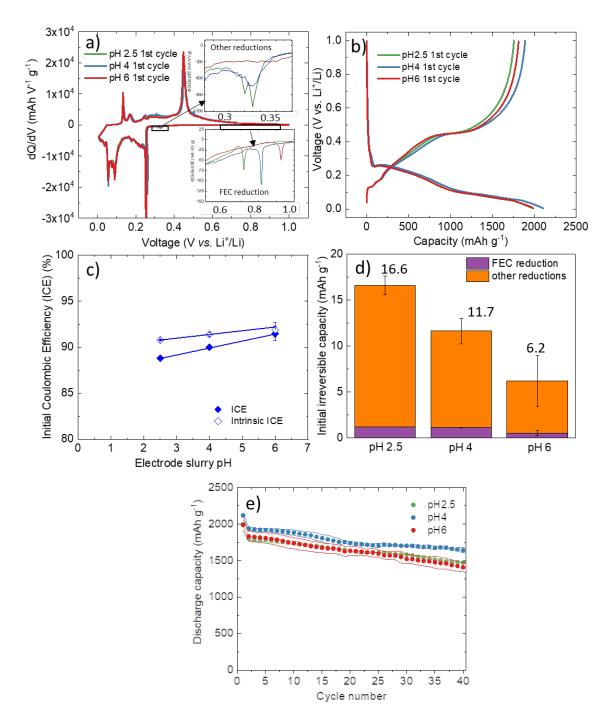
**Figure 2.** SEM images of the copper substrate after removing the electrode deposit at a) pH2.5; b) at pH4; c) at pH6; and d) pristine copper surface. The scheme represents the current collector (orange), the electrode (black), and the zone explored (blue arrow). Scale bar (shown in a) is the same for all images.



**Figure 3.** XPS spectra of Si/Gr electrode prepared at pH 2.5, 4 and 6. Cu 2p core peaks a) on the copper substrate after removing the electrode and b) on the corresponding electrode side; c) Si 2p core peaks on the same electrode side and d) C 1s core peaks on the same electrode side. The scheme represents the current collector (orange), the electrode (black), and the zone explored (blue arrow).

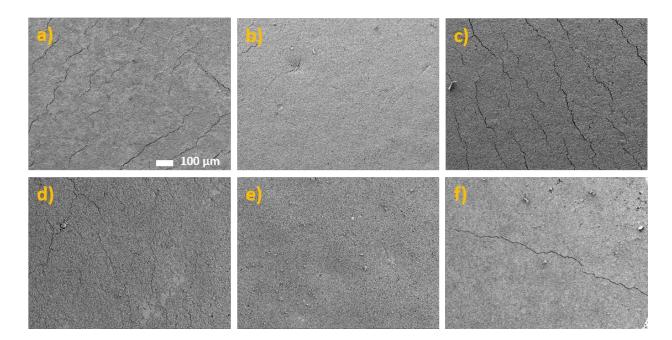


**Figure 4.** Schematization of a) the different patterns of arrangement of the binder on the surface of the silicon and graphite particles depending on pH condition in the slurry and b) the different types of bonds with the silicon particles surface. The dotted arrows indicate trends according to the pH value. For example, there are more coordination bonds and intra- and inter-chain hydrogen bonds at acidic pH and more bonds between Li carboxylates at neutral pH.

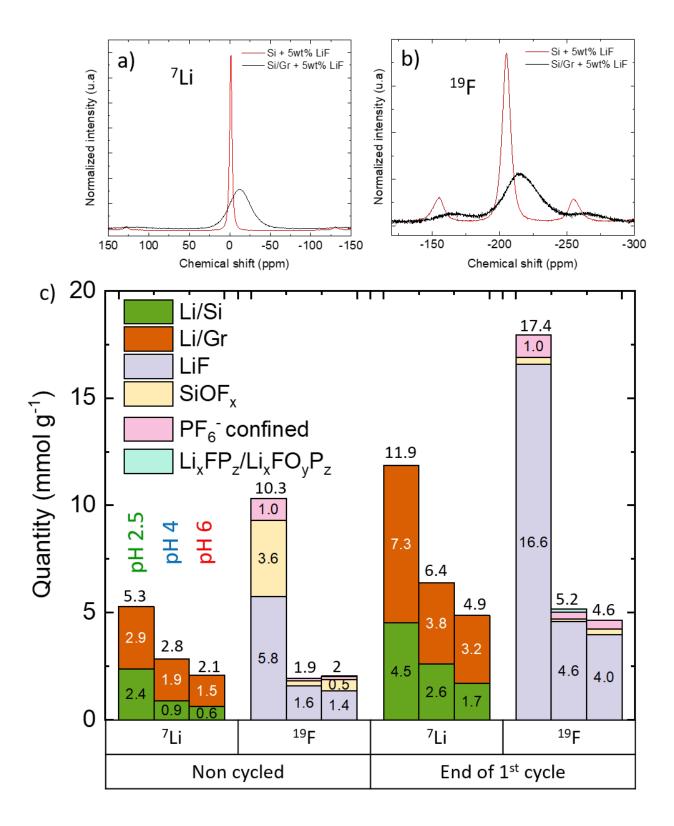


**Figure 5.** Electrochemical profiles at 1<sup>st</sup> cycle for electrodes prepared at pH 2.5, 4 and 6; a) Incremental capacity-voltage curves; b) Voltage-specific capacity curves; c) ICE and intrinsic ICE (see text); d) FEC electrolyte decomposition electrochemical analysis at first discharge, reduction potential peak (left scale) and resulting irreversible capacity (right scale). e) Electrochemical

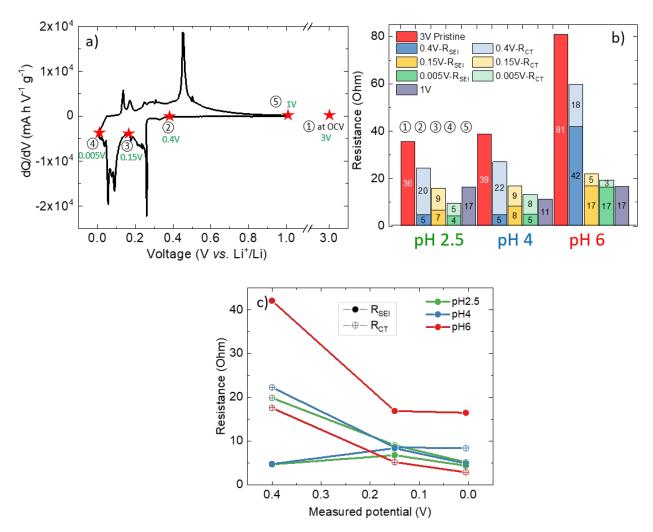
cyclability for electrodes adjusted at pH 2.5,4 and 6. The discs point to the mean values and the lines give the upper and lower limits of the results acquired on 3 or 4 cells.



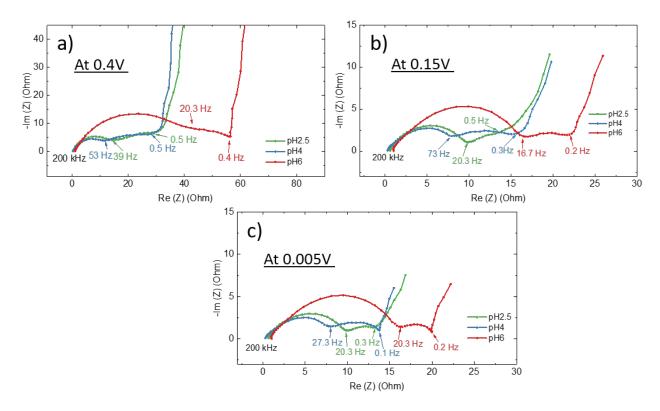
**Figure 6.** Comparison of morphological behavior of electrode surface after 1 and 30 cycles a,d) at pH 2.5; b,e) at pH 4; c,f) at pH 6. Larger views will be found in Figure S13. Scale bar (shown in a) is the same for all images.



**Figure 7.** MAS-NMR characterizations of reference materials of Si + 5wt% LiF and Si/Gr + 5wt% LiF, for a) <sup>7</sup>Li analysis and b) <sup>19</sup>F analysis. The NMR <sup>7</sup>Li and <sup>19</sup>F quantitative results of electrodes adjusted at pH 2.5, 4 and 6 non cycled and after first cycle are shown in c).



**Figure 8.** EIS results of electrode adjusted at pH 2.5, 4 and 6 at first cycling: a) Taken EIS measurements as a function of discharge/charge profile according to the electroactivities and EIS response at different potentials; b) total interfacial resistance at OCV, 0.4, 0.15, 0.005 V during 1<sup>st</sup> lithiation and 1 V during 1<sup>st</sup> delithiation; c) R<sub>SEI</sub> and R<sub>CT</sub> at 0.4, 0.15, 0.005 V.



**Figure 9.** Nyquist plots of EIS acquisition for electrode adjusted at pH2.5, 4 and 6. The measurements were taken during the first lithiation course at a) 0.4 V, b) 0.15 V and c) 0.005 V.

# **TABLES**

**Table 1.** Electrode parameters (loading and porosity); scratch resistance; SEM-EDX (atomic fraction of Cu) and XPS chemical quantification of the current collector corrosion; 1<sup>st</sup> cycle electrochemical data and mass gain. C-rate of 120/240 mA g<sup>-1</sup> (C/16.5 / C/8.3) was used for discharge/charge. Theoretical capacity of as-made Si/Gr electrode is 1975 mAh g<sup>-1</sup>.

|                                               |                                                               |                                                                                                                                                        | %Cu (%at) in                                                                                 |                                                                          |                                                       |                                              |
|-----------------------------------------------|---------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|-------------------------------------------------------|----------------------------------------------|
| Binder<br>-<br>pH of electrode<br>slurry      | Active material  (Si/Gr) mass loading  (mg cm <sup>-2</sup> ) | Scratch load (g)                                                                                                                                       | %Cu (%at) in electrode at interface with collector (SEM-EDX) - Ratio Cu <sup>2+</sup> /Cu at | Capacity at 1st cycle  (mAh g-1)  Discharge  Charge  -  Reversible areal | Initial Coulombic efficiency (%)  Total  -  Intrinsic | Mass gain after 1 <sup>st</sup><br>cycle (%) |
|                                               | Porosity (%)                                                  |                                                                                                                                                        | the interface of<br>collector side<br>(XPS)                                                  | capacity<br>(mAh cm <sup>-2</sup> )                                      | intrinsic                                             |                                              |
| PAAH<br>-<br>2.5                              | 3.3±0.2<br>-<br>57±3                                          | 400 <x<450< td=""><td>3.53%<br/>-<br/>5.5</td><td>2000±30<br/>1780±30<br/>-<br/>5.9±0.1</td><td>88.8±0.1<br/>-<br/>90.8±0.1</td><td>15.7</td></x<450<> | 3.53%<br>-<br>5.5                                                                            | 2000±30<br>1780±30<br>-<br>5.9±0.1                                       | 88.8±0.1<br>-<br>90.8±0.1                             | 15.7                                         |
| PAAH <sub>0.85</sub> Li <sub>0.15</sub> - 4.0 | 3.4±0.2<br>-<br>62±2                                          | 350 <x<400< td=""><td>0.27%</td><td>2120±20<br/>1910±20<br/>-<br/>6.5±0.1</td><td>90.0±0.2<br/>-<br/>91.4±0.2</td><td>5.5</td></x<400<>                | 0.27%                                                                                        | 2120±20<br>1910±20<br>-<br>6.5±0.1                                       | 90.0±0.2<br>-<br>91.4±0.2                             | 5.5                                          |
| PAAH <sub>0.22</sub> Li <sub>0.78</sub> - 6.0 | 3.3±0.2<br>-<br>63±1                                          | 150 <x<200< td=""><td>0.15%</td><td>1990±70<br/>1820±80<br/>-<br/>6.0±0.3</td><td>91.5±0.8<br/>-<br/>91.9±0.8</td><td>4.1</td></x<200<>                | 0.15%                                                                                        | 1990±70<br>1820±80<br>-<br>6.0±0.3                                       | 91.5±0.8<br>-<br>91.9±0.8                             | 4.1                                          |

**Table 2.** Total irreversible capacity at first cycle of electrodes prepared at pH 2.5, 4 and 6 and their partially respective contributions owing to PAA electro-lithiation, FEC and other reductions.

| Binder  pH of electrode slurry              | PAA electro-lithiation (mAh g <sup>-1</sup> ) | FEC reduction (mAh g <sup>-1</sup> ) | other reductions<br>(mAh g <sup>-1</sup> ) | Total irreversible capacity at lithiation (mAh g <sup>-1</sup> ) |
|---------------------------------------------|-----------------------------------------------|--------------------------------------|--------------------------------------------|------------------------------------------------------------------|
| PAAH<br>2.5                                 | 43.3                                          | 1.21±0.02                            | 15.4±1.0                                   | 222±2                                                            |
| PAAH <sub>0.85</sub> Li <sub>0.15</sub> 4.0 | 36.3                                          | 1.15±0.03                            | 10.5±1.4                                   | 213±4                                                            |
| PAAH <sub>0.22</sub> Li <sub>0.78</sub> 6.0 | 9.0                                           | 0.52±0.27                            | 5.7±2.8                                    | 170±9                                                            |

**Table 3.** NMR quantitative comparison in terms of LiF and other Li diamagnetic components. LiF quantification refers to the total electrode mass after cell disassembly.

|                     | Non cy                  | vcled                    | End of 1st charge       |                          |  |
|---------------------|-------------------------|--------------------------|-------------------------|--------------------------|--|
| Electrode slurry pH | Quantity of LiF         | Quantity of other Li dia | Quantity of LiF         | Quantity of other Li dia |  |
| control             | (mmol g <sup>-1</sup> ) | (mmol g <sup>-1</sup> )  | (mmol g <sup>-1</sup> ) | (mmol g <sup>-1</sup> )  |  |
|                     | %LiF in the whole SEI   | %Li dia in the whole SEI | %LiF in the whole SEI   | %Li dia in the whole SEI |  |
| 2.5                 | 5.3                     | 0                        | 11.9                    | 0                        |  |
|                     | 100%                    | 0%                       | 100%                    | 0%                       |  |
| 4                   | 1.9                     | 0.9                      | 5.2                     | 1.2                      |  |
|                     | 68%                     | 32%                      | 81%                     | 19%                      |  |
| 6                   | 2                       | 0.1                      | 4.6                     | 0.3                      |  |
|                     | 95%                     | 5%                       | 94%                     | 6%                       |  |

**Supporting Information.** 

Polymer solution pH titration; dynamic modulus of electrode slurry; copper species Pourbaix

Diagram; SEM images of interface at electrode side or on the top of electrode surface; XPS full

spectra; statistical micro-Raman analysis on silicon particles; electrochemical analysis of peak at

0.4-0.3V; SEM images after 1 and 30 cycles; NMR spectra; EIS Nyquist plots; calculations of the

intrinsic ICE.

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## Notes

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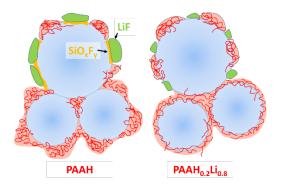
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