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Annamaria Visco, Emmanuel Richaud, Cristina Sclaro. Ageing of UHMWPE in presence of simulated synovial fluid. *Polymer Degradation and Stability*, 2021, 189, pp.109605. 10.1016/j.polymdegradstab.2021.109605 . hal-03287392

**HAL Id: hal-03287392**

**<https://hal.science/hal-03287392>**

Submitted on 15 Jul 2021

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# Ageing of UHMWPE in presence of simulated synovial fluid

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## A B S T R A C T

In this paper, the biomedical grade Ultra High Molecular Weight Polyethylene (UHMWPE) has been soaked at different temperatures in Simulated Synovial Fluid (SSF); diffusion coefficient, hardness, roughness and wettability has been evaluated. Then, the 37°C (body temperature) soaked-polyethylene sample was aged at 80°C and monitored by InfraRed spectroscopy (oxidation trackers) and GPC (for molar mass changes) to observe the long-term degrading action of SSF. Finally, a kinetic model has been performed to simulate the ageing. Results highlighted as SSF smooths the material's surface, diffusing within the polymer helped by the temperature growth. The oxidation kinetic study stated that PE is more degraded by SSF with a significant decrease of molecular weight of a factor 2 if helped by the ageing temperature of 80°C. Simulation models are effective to theoretically reproduce pro-degradation mechanisms induced by SSF on UHMWPE at 80°C.

## Keywords:

UHMWPE  
Simulated synovial fluid  
Diffusion  
Thermal ageing  
Kinetic model

## 1. Introduction

Ultra High Molecular Weight-Polyethylene (UHMWPE) is usually used in high wear/abrasion resistance applications, such as in snowboards, pipes for dredging, vehicle armor, cut-resistant gloves, bow strings, climbing equipment, fishing line, spear lines, high performance sails, suspension lines on sport parachutes, etc.[1].

In addition, since 1961 to date UHMWPE has been considered the standard material For Joint Replacement (JR) in artificial knees and hips prosthesis for its high strength, chemical resistance, and bio-compatibility [2,3].

Identically to polymer – metal debonding at the interface in the case of bonded joints [4,5], adhesive debonding can be an issue induced either by the plasticization of the glue or SSF diffusion through the PE can also favor the debonding. After implantation in the human body, UHMWPE can also undergo bio-degradation (due to the physiological environment), mechanical degradation (for the sliding friction against the other metallic or ceramic harder components of the artificial mobile prostheses) [6–8]. Thus, the UHMWPE represents the weakest part of the artificial joint. During its use, plastic debris are the consequence of the degradation mechanisms; debris give rise to adverse reactions which lead to osteolysis and

aseptic loosening of the prosthesis causing the failure of the entire joint and, hence, the revision surgery.

Wear mechanism is accelerated by the chemical reactions which take place during the in vivo contact with the biological fluids [9]. UHMWPE undergoes ageing in which oxygen is the main cause of material's degradation. Thus, the contact of polyethylene in synovial fluid may causes an oxidative degradation of UHMWPE [10,11] with a progressive mechanical property falling, both in tensile as in wear resistance [12].

Degradation of UHMWPE bearings during implantation is well known and studied for several decades: Eyerer and Ke [13] highlighted property changes in UHMWPE hip joint cups with an evident density increase with implantation time, in dependence from the loading conditions. Jahan et al. [14] observed as the temperature rise forms high-wear areas and the high-free-radical regions in acetabular cups during the in vitro frictional wear stress test. This is due to a combined chemical and mechanical degradation effect.

Recently, literature studies addressed on research topics concerning the study of the degrading action of synovial and its effect on the mechanical and wear resistance of UHMWPE in vitro. For example, Costa et al. [15] analyzed some hip prosthesis retrieved after surgical revision of patients due to the aseptic failure. They found that apolar components of synovial liquid diffuse into UHMWPE during implant life decreasing the mechanical properties of UHMWPE. In particular, the ultimate tensile strength and ultimate elongation decrease depending on both the amount and

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chemical nature of the diffusant. Chandrasekaran et al. [16] studied the tribological behavior of UHMWPE in dry and wet condition (lubricated with bovine-globulin/bovine-albumin proteins). Their results highlighted as complex wear mechanisms occurs in UHMWPE (adhesion, fatigue, corrosive and abrasive wear) and that UHMWPE undergoes to a plastic macromolecular chains distortion (thus degrading faster) if sliding occurs in presence of proteins (such as albumin,  $\alpha$ -globulin,  $\gamma$ -globulin). James et al. [17] and Costa et al. [13] demonstrated that the implanted PE component uptake a certain amount of lipids in the human body. Subsequently, Oral et al. [18] and Sakoda et al. [19] suggested that the diffused lipid molecules accelerated the oxidative degradation of UHMWPE. Other factors, such as heat, cyclic vibration, shearing action, could improve even more the degrading reaction.

Human joints usually work immersed in Synovial Fluid (SF), the natural lubricating film mainly composed of lipids, proteins, polysaccharides, and hyaluronic acid (HA). HA has good tribological properties thanks to its high viscosity [20]. However, SF is not available because it cannot be extracted from the human body except in minimal doses.

Calf or Bovine Serum (BS) is rather used for in vitro experimentation because it has properties very similar to human ones according to the American Standard Testing Materials (ASTM) [21]. In the scientific panorama there is no full agreement regarding the use of BS for tribological tests because the concentration of proteins can be very different with a consequent different effect on the mechanical behavior of the artificial prosthetic joints [22]. Alternatively, researchers can also use the Simulated Body Fluid (SBF) or the Simulated Synovial Fluid (SSF) consisting mainly of HA without proteins [23, 24]. Once absorbed by the biomedical polyethylene, HA improves the lubrication of UHMWPE. Several Authors studied the bulk changes induced in the polymeric structure by biodegradation.

S.Ge et al. found that wear volume increases up to 6 times for one-year degraded UHMWPE in Simulated Body Fluid (SBF) [6]. They checked the crystalline degree decreasing and hypotized as biodegradation results from oxidative degradation, hydrolysis, biodegradation, and tribo-fatigue. Cornwall et al. [25] studied the micro-structural changes of UHMWPE after a wear mechanism in vivo. Authors highlighted as the post processing microstructure of UHMWPE may influence its surface degradation mechanism and the overall wear performance. Thus, biodegradation highly influences wear performance of UHMWPE determining the artificial joint reliability.

In our previous papers we studied the wear performance of UHMWPE in simulated synovial fluid (SSF) [24,26]. In this paper we:

- studied the diffusion of SSF inside UHMWPE with the consequent surface changes (by means of surface roughness, Shore-D Hardness, SSF wettability evaluation).
- studied the oxidation kinetics of SSF on UHMWPE, before and after the aging at 80°C, by means of FTIR and GPC technique for investigating long-term molecular and macromolecular changes.
- proposed a first kinetic modelling to describe the accelerating of SSF on PE thermal oxidation to help the more general prediction of all the UHMWPE based materials degradation behavior.

At the best of our knowledge, these aspects have not already investigated so far.

## 2. Materials and methods

Medical grade GUR1020-UHMWPE powder (average molecular weight of  $2-4 \times 10^6$  g/mol,  $d = 0.93$  g/cm<sup>3</sup> without calcium

stearate, supplied by Celanese, code "PE") was molded in films. No stabilizing additives have been added to UHMWPE (i.e. Vitamin E, .etc.). Rectangular shape has been used for GPC and FTIR tests ("type A" sample, 4 cm x 1 cm x 0.16 mm thick, Figure 1 (a)). Square shape has been used for absorption test according to ASTM D570 standard (60 mm x 60 mm, 1 mm thick, or "type B" sample) (Figure 1 (b)).

Sheets were prepared by compression molding (T=200°C, Time = 20 minutes, Pressure= 20 MPa) and Teflon® sheets (0.1 mm thick) for sample releasing.

Both A and B samples have been soaked in Simulated Synovial Fluid (SSF) which contains 0.3 wt % HA in phosphate buffered saline solution (pH 7.4). Inorganic electrolyte concentration was: 153.1 mM of Na<sup>+</sup>, 4.2 mM of K<sup>+</sup>, 139.6 mM of Cl<sup>-</sup>, and 9.6 mM of phosphate buffer.

Diffusion tests have been carried out according to ASTM D570 standard on type B sample soaked in 100 ml of SSF at T=20°C, 37°C and 100°C, in a bath of paraffin oil to ensure the constant temperature, magnetic stirring = 200 rpm (rate per minute), and relative humidity RH =54% (Figure 1 (c)). Although the boiling temperature of SSF is of about 146°C, it evaporates under stirring at much lower temperatures. Thus, adsorption test at temperature higher than 100°C had no scientific relevance. Furthermore, since the melting temperature of UHMWPE is of about 135°C, at the temperature of higher than 100°C it starts to soften. Before each test, samples were dried in an oven for 24h at 50°C (ASTM D570) and then placed in a desiccator until it was cooled. Afterward, samples were weighed by a OHAUS Explorer Pro balance with sensibility of 0.1 mg in the temporal range 0÷420 min. A constant water level was always maintained by adding SSF. Samples were removed from bath periodically within the range 1 hour-400 hours, dried with a lint free cloth, and weighed. Water absorption percentage was expressed as:

$$\text{Water Absorption} = \left( \frac{P_w - P_d}{P_d} \right) \times 100 \quad (1)$$

where  $P_w$  is the wet weight,  $P_d$  is the dry weight of the sample. Water diffusion coefficient or diffusivity ( $D$ ) was calculated by the equation [27]:

$$D = \pi \left( \frac{h}{4M_\infty} \right)^2 \left( \frac{M_\infty - M_1}{\sqrt{t_\infty} - \sqrt{t_1}} \right)^2 \left( 1 + \frac{h}{L} + \frac{h}{w} \right)^{-2} \quad (2)$$

where  $h$ ,  $L$  and  $w$  are thickness, length and width of the sample,  $M_1$  and  $M_\infty$  are moisture contents at time  $t_1$  and  $t_\infty$ , respectively.  $t_1$  is the initial time (0 hours) and  $t_\infty$  is the time at which the moisture content became constant or maximum ( $M_\infty$ ).

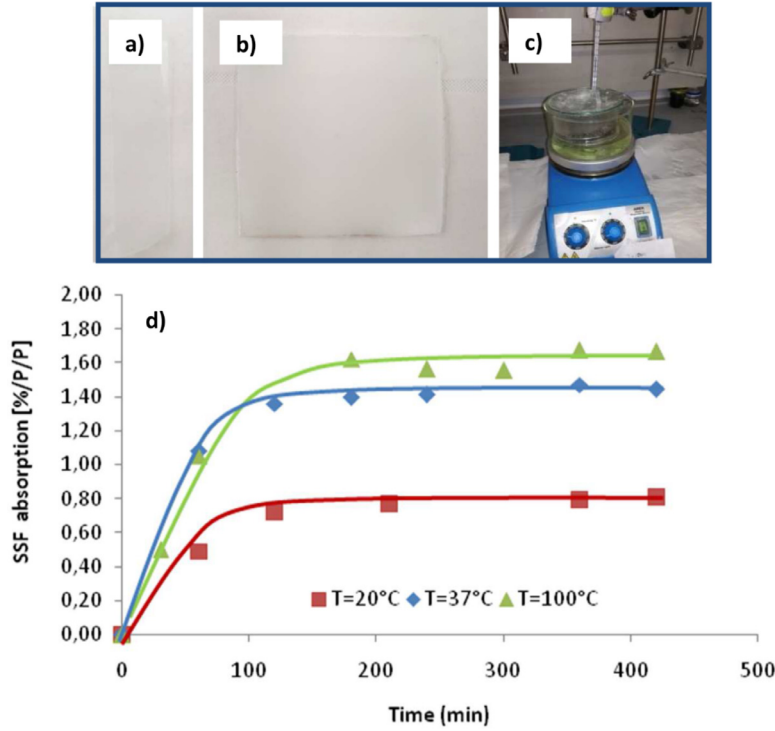
The mean differences and standard deviations of diffusivity ( $D$ ) the three different temperatures (20°C, 37°C and 100°C) were calculated.

Diffusion data verification analysis has been performed by D'Agostino & Pearson test for the normality of the distribution and the Levene test for the homogeneity of variances.

Data were normally distributed and homogenous; therefore, they were statistically analyzed by using one-way analysis of variance (ANOVA) and Bonferroni post hoc test for multiple comparisons at a level of significance set at  $P < 0.05$  (Prism 8.4.1; Graph-Pad Software, Inc, La Jolla, CA).

The wet ability of SSF on both samples was evaluated by measuring ten times the height  $h$  (mm) and the base diameter  $d$  (mm) of the 1  $\mu$ L drops at room temperature and pressure, relative humidity RH of 35%. Contact angle  $\theta$  have been evaluated by the sessile drops method (ASTM D7334) [28,29]:

$$\theta_w = 2 \arctg \left( \frac{2h}{d} \right) \quad (3)$$



**Fig. 1.** UHMWPE samples 0.1 mm thick film for GPC/FTIR test (a) and 1 mm thick for adsorption test (b); experimental set-up for absorption test (c); SSF absorption in UHMWPE samples at different temperatures, ASTM D570 (d).

$$\theta_Y = \arccos\left(\frac{\cos\theta_w}{r}\right) \quad (4)$$

Where  $d$  and  $h$  are the diameter and height (both in mm), respectively of the drop,  $\theta_w$  is the Wenzel angle of effective contact of a rough surface real,  $r$  is the surface roughness, and  $\theta_Y$  is the Young contact angle of equilibrium on perfectly smooth surface.

The surface roughness ( $R_z$ ) was calculated by a Bruker Dektak XT profilometer:

$$R_z = \frac{1}{5} \left( \sum_{i=1}^n y_{pi} + \sum_{i=1}^n y_{vi} \right) \quad (5)$$

Where  $y_{pi}$  are the ordinates of the  $n$  number five highest peaks ( $n=5$ ) and  $y_{vi}$  are the ordinates of the five deepest valleys ( $n=5$ ) in reference to the middle line. The system has a vertical resolution of 0.1 nm, a vertical range of 1 mm and a scan surface speed of 1 mm s<sup>-1</sup>.

**SHORE D** Hardness mechanical tests measurements were performed by means of a PCE-HT 210, according to the ASTM D 2240 international protocol.

**GPC analyses** were performed using an Agilent HT 220 system equipped with a refractive index (RI) detector in 1,2,4-trichlorobenzene (TCB) stabilized with 0.0125% BHT at 135°C as mobile phase with two PLgelOlexis columns (Agilent Technology) as stationary phase. PE films were dissolved in about 3 g L<sup>-1</sup> of 1,2,4-Trichlorobenzene (TCB). The elution method consisted in an isocratic step at 1 mL min<sup>-1</sup> flow of TCB for 30 min at 135°C. The calibration was done with polystyrene (PS) standards. Molar masses were then estimated using the universal calibration with  $K=12.1 \times 10^5$  dg L<sup>-1</sup> and  $\alpha=0.707$  for PS, and  $K=40.6 \times 10^5$  and  $\alpha=0.725$  for PE [30].

**FTIR in transmission mode** were performed on free standing 100 µm films using a Frontier 100 apparatus (PerkinElmer) by averaging 16 scans with a 4 cm<sup>-1</sup> resolution.

*Kinetic modeling* has been performed by a ODE23s function of Matlab software.

### 3. Results and Discussion

As foreseen in the introduction section, this paper has been developed in three steps (soaking of UHMWPE in SSF, ageing at 80°C, and kinetic modeling) described in the following.

#### 3.1. Study of UHMWPE soaked in SSF at 20-37-100°C

In **Figure 1 (d)** the SSF absorption curves for 420 minutes and at three different temperatures have been plotted. As expected, the diffusion coefficient  $D$  improves with increasing the temperature, from  $9.4 \cdot 10^{-3} \pm 1.32 \cdot 10^{-4}$  mm<sup>2</sup>/sec (at room temperature,  $p < 0.0001$ ), to  $16.4 \cdot 10^{-3} \pm 1.80 \cdot 10^{-4}$  mm<sup>2</sup>/sec, at 37°C,  $p < 0.0001$ , and to  $19.3 \cdot 10^{-3} \pm 1.79 \cdot 10^{-4}$  mm<sup>2</sup>/sec, at 100°C,  $p < 0.0001$ . The differences between all groups are statistically significant ( $p < 0.0001$ ). As known, liquids or gases can diffuse through the polyethylene bulk after their starting absorption upon the surface; they move towards the polymeric inner part until equilibrium is reached. The diffusion values are those of semi-crystalline synthetic high polymers, although typical diffusion values can vary strongly with fluid concentration [31]. Fukuda in his study evidenced as the polyethylene retained water molecules in the amorphous structure and the water molecules came together forming water clusters of various sizes. The amount of water clustering depended on the water concentration [32].

Klein and Brisco studied the diffusion of linear hydrocarbon or deuterium-carbon molecule through the polyethylene [33]. They highlighted as the amorphous phase of polyethylene is easily accessible for molecules diffusion due to the voids spaces while the crystalline portion is closely packed.

Consequently,  $M_\infty$  grows from about 0.8% to about 1.6%, at 20°C and at 100°C, respectively. The amount of SSF absorbed grows with temperature either because macromolecular chains improve their

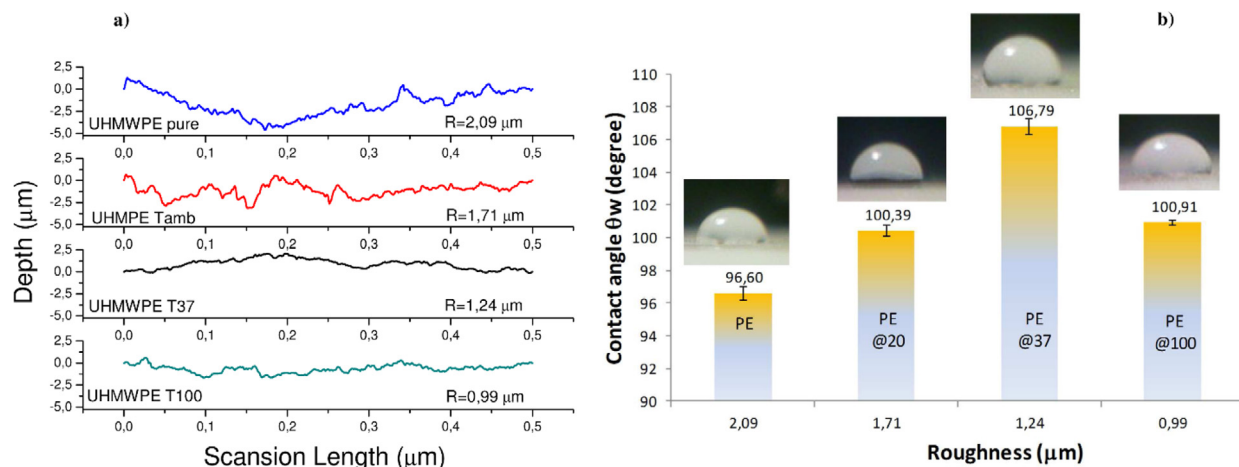


Fig. 2. Roughness (a) and Wenzel contact angle (b) of UH before and after soaking in SSF at 20-37-100°C.

**Table 1**  
Sample's code, Shore D-Hardness, Young's modulus, of UH before and after the soaking in SSF at 20°C, 37°C and 100°C.

code	Soaking temperature (°C)	Shore D (HD)	$\theta_Y$ (degree/μm)
UH	-	60.88±0.08	93±3
UH@20	20	60.46±0.08	96±3
UH@37	37	60.18±0.05	104±5
UH@100	100	59.02±0.08	101±4

mobility, especially in the amorphous part of UHMWPE in which SSF can penetrate, or for thermodynamical reasons as illustrated in the case of biodiesel migration into PE [34]. Anyway, SSF reaches its boiling point when test temperature is at about 100°C under stirring, so that it partially evaporates from the UHMWPE surface. Thus, the degrading effect of SSF at 100°C is lower than expected.

Other authors performed similar weight gain studies on UHMWPE, but the sample's shapes were different since they performed the tests on acetabular cups whose thickness is much higher than that of our samples. For this reason, their test lasted for a bigger period compared to ours test. Affatato et al. studied the weight gain due to fluid absorption (Bovine Calf Serum and water) in different kind sterilized ( $\gamma$ -ray, Ethylene Oxide (ETO) gas, and unsterilized) UHMWPE acetabular cups, during a period of 60 days. They highlighted as the weight gain changed with the sterilization method and that, it was higher in Bovine Calf serum compared with that in water (in unsterilized samples) [35].

Also L.C. Clarke et al. studied the fluid absorption (water and bovine serum) in conventional UHMWPE and in UHMWPE carbon-fiber-reinforced, before and after the sterilization process, and during a period of 150 days [36]. They observed a two phases behavior of weight gain trend, with a little difference in absorption rates between sterilized and unsterilized samples. Their soak rates resulted higher in water compared to serum, contrary to the results of Affatato et al. [35]. In our experimentation, the soaking in SSF for about 7 hours (420 minutes) progressively smooths the polymeric surface with increasing the temperature: as we can see in Figure 2 (a),  $R_z$  value decreases 2.09 μm to 0.99 μm at 100°C. Because of the fluid absorption, polymer starts a slow plasticizing process: Shore D hardness decreases from a value of 60.88 HD in the un-soaked UH, to 59.02 HD in the UH@100 (Table 1).

SSF wettability test has been performed on the un-soaked and soaked samples. The Wenzel contact angle of UHMWPE is higher than 90°, as typical for hydro-phobic material (Figure 2 (b)). Af-

ter the soaking at 20°C and 37°C, the hydrophobic character grows even more, arriving to a value of 106.79°. Then  $\theta_w$  decreases to 100.91° at 100°C, probably due to the destabilizing action of the high temperature which plasticize the polymeric matrix favoring the droplet insertion within it. For completeness, Young contact angle values are given in details in Table 1, and they follow a similar trend of Wenzel contact angle.

All the analyses above discussed suggested us that at 37°C (body temperature) SSF diffuses inside UHMWPE with a rate that is of about 43% higher than at room temperature (20°C) and that UHMWPE is still hydrophobic (its wettability is the lowest one among the soaking temperature investigated). Besides, surface hardness at 37°C is quite constant, thus UHMWPE still retains its structural integrity after 420 minutes of investigation.

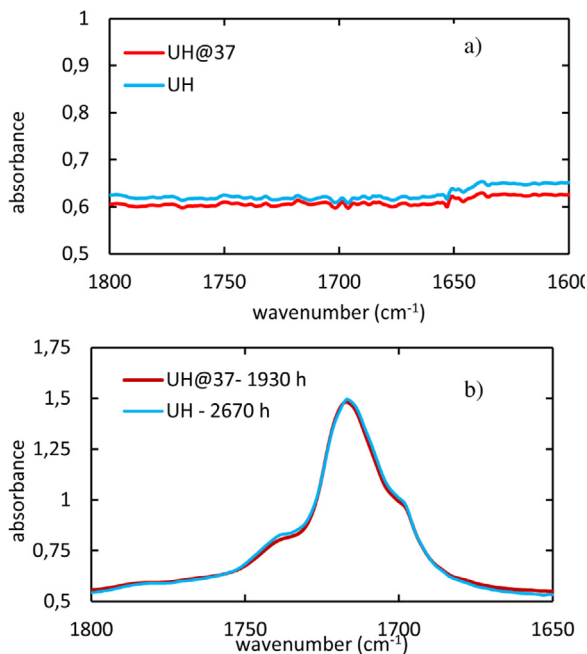
### 3.2. Study of oxidation kinetic of UHMWPE soaked in SSF at 37°C and aged at 80°C

Oxidation kinetic study has been performed on the sample soaked at the temperature of 37°C (or UH@37 sample). As it is known from the literature that the natural oxidation of polyethylene could take months or even years to reach appreciable levels of degradation at a body temperature, thermal aging techniques are performed to accelerate the oxidation of UHMWPE [12]. It is therefore assumed that the final properties of the material after accelerated aging are comparable to those that the same naturally aged material would have (long-term effects).

Due to all the considerations above highlighted, the UH@37 was aged in SSF at the temperature of 80°C, which is the optimal temperature to favor the diffusion of SSF between the polymer chains, avoiding its evaporation (at  $T > 100^\circ\text{C}$ , as already discussed in section 2). Our choice agrees with Eddidin et al. [12], who applied the same temperature of 80°C to verify the variation in mechanical strength and wear resistance in gamma-irradiated UHMWPE tibial inserts at this temperature.

To investigate the possible long-term effects related to the presence of SSF within the polymeric matrix, FTIR and GPC analysis have been performed. FTIR spectra of not aged polyethylene samples are given just after soaking in SSF at 37°C (UH@37 sample) and compared with control UH sample (pure or un-soaked) (Figure 4a). The absorption of SSF does not seem to modify the shape of FTIR spectra of UHMWPE and no oxidation process occurs. The same two samples of Figure 4a are also compared after their ageing at 80°C in Figure 3b). It must be stressed that ageing durations are not the same but chosen to have comparable maximal absorbances that has been reached after 1930 hours and after 2670





**Fig. 3.** FTIR spectra in the carbonyl region of pure UH and UH@37 before (a) and after ageing at 80°C (b).

hours for the UH@37 and UH sample, respectively. All the samples give two peaks: the maxima located at  $1717\text{ cm}^{-1}$  is characteristic of chain ketones and the other at about  $1740\text{ cm}^{-1}$ , due to carbonyl species. We must specify that the nature of the carbonyl band is discussed more in details in ref. [37]. The peak at  $1717\text{ cm}^{-1}$  is in fact the overall of these at  $1713\text{ cm}^{-1}$  and  $1720\text{ cm}^{-1}$  for carboxylic acids and ketones, respectively. The peak at about  $1717\text{ cm}^{-1}$  has a shoulder at about  $1700\text{ cm}^{-1}$ . This graph, compared with that of Figure 3a, suggests that the ageing at 80°C induces an oxidation/degradation process not still activated at room temperature. Here also, the presence of SSF does not induce the appearance of any shoulder ascribed to oxidation products of SSF.

Absorbances were thus converted into concentrations by Bert Lambert law using  $300\text{ l mol}^{-1}\text{ cm}^{-1}$  as molar absorptivity for carbonyls [38]. Results are given in Figure 4.

Curves are shifted to the short duration times for UH soaked with SSF (UH@37 sample).

This suggest two possible situations: SSF oxidize very fast or induce the oxidation of polyethylene chains (identically to a previous study of polyethylene in contact with biodiesels [39]).

In the first case (SSF oxidized), it means that SSF will generate oxidation products (also detectable in the carbonyl region). Those later are not necessarily the same than those of UH; thus, FTIR spectra would be the simple overlapping of UH and SSF oxidation products with a global shape depending of SSF concentration in polyethylene.

In the second case (polyethylene oxidation), SSF favors the oxidation of UH and the appearance of usual compounds due to polyethylene oxidation, but at a higher rate. Let us note that the matter that FTIR spectra of oxidized sample are the same for pure UH, and UH samples soaked with SSF (or UH@37 sample, see Figure 3 (b)), militates in favor of the second hypothesis.

To confirm this last scenario (that is what SSF favors the oxidation of UH), Gel Permeation Chromatography were performed on aged samples. It was first verified that chromatograms are the same for SSF soaked samples before and after an acetonitrile extraction. Figure 5 displays the changes in average weight molar mass, this later being linked to polyethylene embrittlement [40].

According to Figure 4, the molar mass decreases faster for PE soaked with SSF (UH@37 sample). In other words, the SSF oxidize some radicals can favor the oxidation of polyethylene chains.

Interestingly, one sees from Figure 4 and 5 that the impregnation of SSF in pure UH leads to a decrease of induction period by a factor 2. According to Colin et al. [41], the induction period would obey an Arrhenius law with an apparent activation energy close to  $116\text{ kJ mol}^{-1}$ . Using this value, it suggests that the immersion of 1.0 % of SSF would correspond to an ageing at a fictive equivalent temperature of 86°C instead of 80°C.

### 3.3. Kinetic modelling

Finally, to better describe the phenomena (depicted in Figure 4), we decided to run simulations based on kinetic modeling approach. It has actually been established for years that the oxidation of polyethylene grades (including biomedical grade UHMWPE) can be simulated using a mechanistic scheme derived from the Basic Autoxidation Scheme proposed by Bolland and co-workers [45], but with a supplementary assumption that at moderate temperature, the main radical's source is the decomposition of hydroperoxides (POOH). In the case of polyethylene [41,44], the kinetic model is given in Table 2 where:

- $P^\bullet$ ,  $POO^\bullet$ ,  $POOH$ ,  $PO^\bullet$  are respectively alkyl, peroxy, hydroperoxides, alkoxy radicals, PH represent the reactive C-H (methylene groups),  $P=O$  the carbonyls products.
- $k_i$  and the rate constants and  $g_1$  the yield of carbonyl formation from hydroperoxides decomposition.
- the initiation reaction 1u and 1b actually are the kinetically equivalent representation of an in-chain process where hydroperoxides first generate  $PO^\bullet$  by a slow process and  $PO^\bullet$  rapidly decompose into carbonyls or alcohols together with the generation of alkyl radicals.

“This scheme is converted into a differential system. This one is numerically solved using the kinetic parameters given in Table 2 and the following boundaries conditions, which were discussed in [44,45]:” At  $t=0$ ,  $[P^\bullet]_0 = [POO^\bullet]_0 = 0 = [PO^\bullet OP]_{cage\ 0} = 0$ ,  $[POOH]_0 = 10^{-4}\text{ mol l}^{-1}$  and  $[PH] = 60\text{ mol l}^{-1}$ , and  $[O_2] = s_{O_2} \times P_{O_2}$ ,  $P_{O_2}$  being the partial oxygen pressure and  $s_{O_2}$  the oxygen solubility in amorphous phase of PE:  $s_{O_2} = 1.8 \times 10^{-8}\text{ mol l}^{-1}\text{ MPa}^{-1}$  [43].

The solution of differential system gives the changes in reactive species ( $P^\bullet$ ,  $POO^\bullet$ ,  $POOH$ ,  $[PO^\bullet OP]_{cage}$ ...) from which the changes in carbonyls can be predicted by:

$$\frac{d[P=O]}{dt} = \gamma_1 k_{1u}[POOH] + \gamma_1 k_{1b}[POOH]^2 + k_{62}[PO^\bullet OP]_{cage} + 2\gamma_1 k_{63}[PO^\bullet OP]_{cage} \quad (6)$$

At first, for adjusting simulations for the “pure” UHMWPE,  $k_{1u}$  must have been lowered to  $5.10^{-10}\text{ s}^{-1}$  instead of  $1.6 \times 10^{-8}\text{ s}^{-1}$  presumably for describing the specificity of UHMWPE compared to HDPE studied in ref [43]. It can actually be assumed that UHMWPE differs from other PE's for general purposes by the choice of organometallic catalysts, those latter directly influencing the rate of unimolecular hydroperoxides decomposition [42]. It remains now to find how adapting this model to the case of PE impregnated with SSF i.e. with some HA molecules. For that purpose, several scenarii were tested and will be discussed here below.

**Hypothesis 1.** SSF is considered as an unstable impurity. This can be computed as an initial quantity of unstable defects (written as  $[POOH]_0$ ). Some simulations (for varying concentrations in SSF) are given in Figure 6 (blue curve is pure PE case) where  $[POOH]_0$  was increased up to  $0.1\text{ mol l}^{-1}$  i.e. the order of magnitude of concentration in “monomeric” units of SSF after 37°C impregnation.

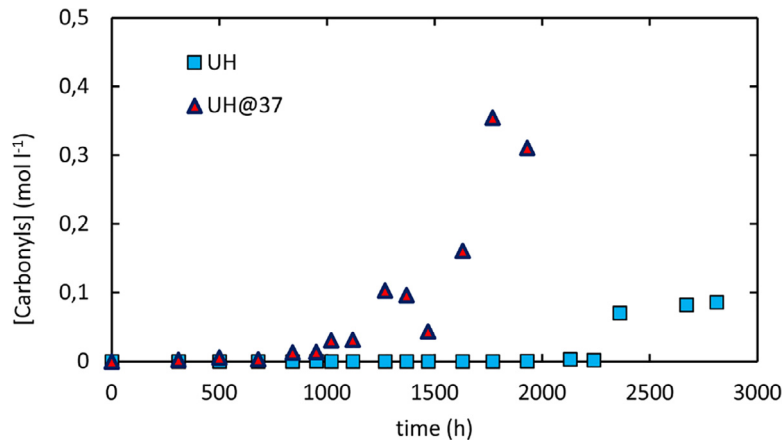


Fig. 4. Kinetic curves for carbonyl build-up of pure UH and UH@37 after ageing at 80°C.

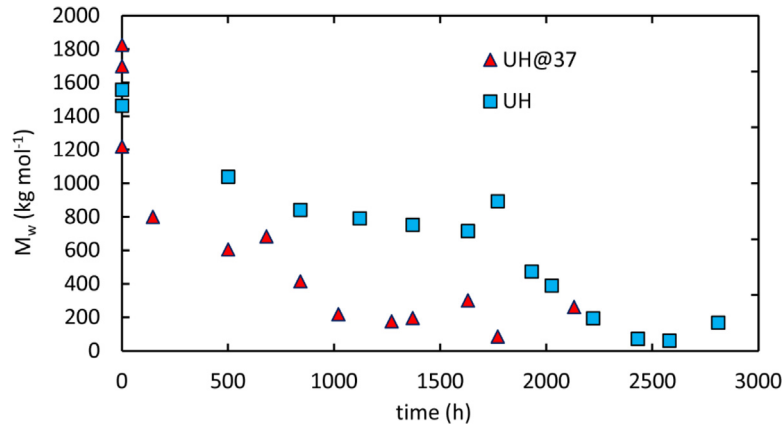


Fig. 5. Molar mass changes of pure UH and UH@37 after ageing at 80°C.

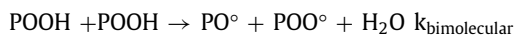
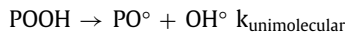
**Table 2**  
Reaction and Rate constant values used for simulations.

Reaction	Rate constant	Value at 80°C	
$\text{POOH} \rightarrow 2\text{P}^\circ + \gamma_{\text{CO}}\text{PC}=\text{O} + \gamma_{\text{S}}\text{S}$	$k_{1u}$	$1.6 \cdot 10^{-8}$ (*)	$\text{s}^{-1}$
$\text{POOH} + \text{POOH} \rightarrow \text{P}^\circ + \text{POO}^\circ + \gamma_{\text{CO}}\text{PC}=\text{O} + \gamma_{\text{S}}\text{S}$	$k_{1b}$	$7.9 \cdot 10^{-7}$	$\text{l mol}^{-1} \text{s}^{-1}$
$\text{P}^\circ + \text{O}_2 \rightarrow \text{POO}^\circ$	$k_2$	$1.0 \cdot 10^8$	$\text{l mol}^{-1} \text{s}^{-1}$
$\text{POO}^\circ + \text{PH} \rightarrow \text{POOH} + \text{P}^\circ$	$k_3$	0.243	$\text{l mol}^{-1} \text{s}^{-1}$
$\text{P}^\circ + \text{P}^\circ \rightarrow \text{inactive products}$	$k_4$	$8.0 \cdot 10^{11}$	$\text{l mol}^{-1} \text{s}^{-1}$
$\text{P}^\circ + \text{POO}^\circ \rightarrow \text{inactive products}$	$k_5$	$2.3 \cdot 10^{11}$	$\text{l mol}^{-1} \text{s}^{-1}$
$\text{POO}^\circ + \text{POO}^\circ \rightarrow [\text{PO}^\circ \text{ } ^\circ\text{OP}]_{\text{cage}} + \text{O}_2$	$k_{60}$	$6.1 \cdot 10^8$	$\text{l mol}^{-1} \text{s}^{-1}$
$[\text{PO}^\circ \text{ } ^\circ\text{OP}]_{\text{cage}} \rightarrow \text{POOP}$	$k_{61}$	$2.0 \cdot 10^6$	$\text{s}^{-1}$
$[\text{PO}^\circ \text{ } ^\circ\text{OP}]_{\text{cage}} \rightarrow \text{POH} + \text{PC}=\text{O}$	$k_{62}$	$2.4 \cdot 10^5$	$\text{s}^{-1}$
$[\text{PO}^\circ \text{ } ^\circ\text{OP}]_{\text{cage}} \rightarrow 2\text{P}^\circ + 2\gamma_{\text{CO}}\text{PC}=\text{O} + 2\gamma_{\text{S}}\text{S}$	$k_{63}$	$1.2 \cdot 10^6$	$\text{s}^{-1}$

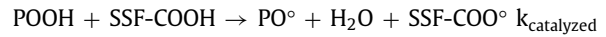
(\*) indicates the value reported in ref. [43] adjusted for better describing the ageing kinetics of UHMWPE (see text).

The model describes a severe drop in induction period, but the validation of such a scenario required to follow the ageing of SSF “alone” in the conditions under investigation (80°C) and determine if SSF is quickly converted into radical species.

**Hypothesis 2.** since SSF contains COOH groups, those latter can destabilize polyethylene hydroperoxides which could decompose either by an unimolecular or a bimolecular decomposition process [46–48]:



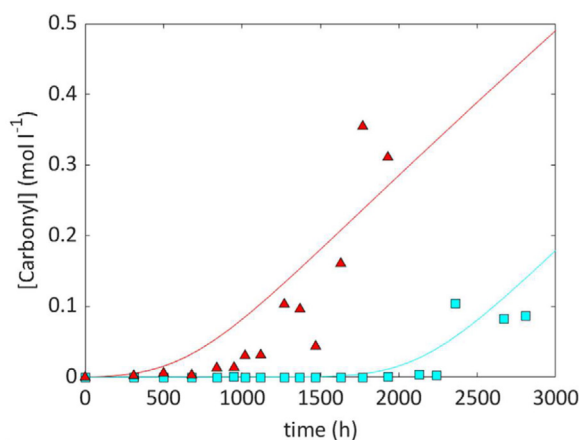
In presence of hydrogen bonding species (carboxylic acids, alcohols or water), the decomposition of POOH can be accelerated which can be described by a supplementary reaction [46,49]:



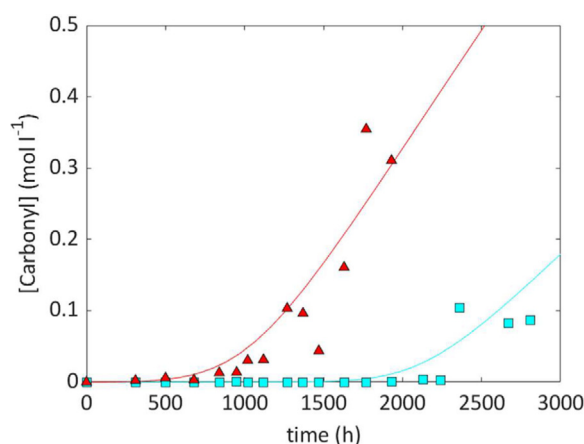
and SSF-COO<sup>°</sup> attacks another PE chain later.

The unimolecular decomposition and bimolecular decompositions correspond to 1u and 1b reactions in model (Table 2).

Figure 7 depicts the kinetic modeling of oxidation with a SSF concentration also derived from the concentration of hydroxyl carboxylic acids hold by SSF groups (0.15 mol l<sup>-1</sup>). The rate constant  $k_{\text{catalyzed}}$  was fixed to  $4.10^{-7} \text{ l mol}^{-1} \text{ s}^{-1}$ . The matter that the order of magnitude is comparable to those of  $k_{1b}$  is for us an encouraging argument. It is thus possible to consider this scenario as possible, but its validation requires to investigate the stability of



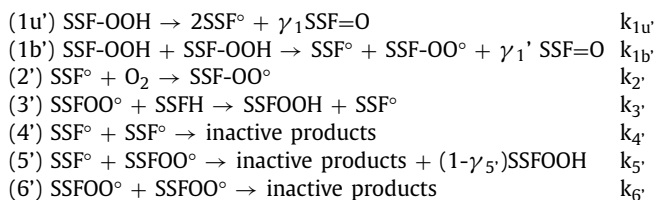
**Fig. 6.** Kinetic modeling of carbonyl build up at 80°C under air as for hypothesis 1: various initial concentration in unstable groups:  $[POOH]_0 = 0.0001$  and  $0.15 \text{ mol l}^{-1}$  for red and blue curve, respectively.



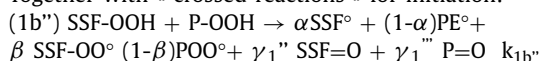
**Fig. 7.** Kinetic modeling of carbonyl build up at 80°C under air as for hypothesis (Hp) 1 and 2 together:  $[POOH]_0 = 0.0001 \text{ mol l}^{-1}$ , for red curve (Hp.1);  $[SSFCOOH] = 0.15 \text{ mol l}^{-1}$  (Hp.2), with added catalyzed POOH decomposition ( $k_{cat} = 4.10^{-7} \text{ l mol}^{-1} \text{ s}^{-1}$  at 80°C) for blue curve (Hp.2).

“polyethylene like” hydroperoxides in presence of SSF. Quantification of hydroperoxides (performed such as [50]) and investigation of their stability in presence of SSF would thus be important but it is for us a prospective work.

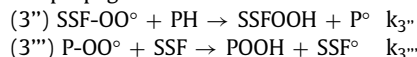
**Hypothesis 3.** A third scenario is possible and involves a true co-oxidation between SSF and polyethylene. SSF oxidizes since this compound displays at least two kinds of C-H being particularly oxidizable: C-H in  $\alpha$ -position of ether groups and C-H in  $\alpha$  position of NH functions held by amide groups. The oxidation of these latter creates radicals (denoted here by  $SSF^\bullet$ ,  $SSF-OO^\bullet$ ... meaning that they are held by SSF molecules), some of them can attack polyethylene chains which generates other radicals ( $P^\bullet$ ,  $POO^\bullet$ ...). The complete kinetic model is thus:



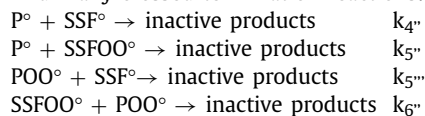
Together with « crossed reactions » for initiation:



For propagation:



And many crossed termination reactions:



Such models were already computed in the past [39]. They involve a great number of adjustable parameters. Some of the missing constants can be arbitrarily fixed for example using relations such as:  $k_{1b''} = (k_{1b} \times k_{1b'})^2$  or  $k_3 = k_{3''}$  and  $k_{3'} = k_{3''}$ . However, it remains to first understand the oxidation of pure SSF (to determine the rate constants  $k_{1u'}$ ,  $k_{1b'}$ , ...,  $k_{5'}$ ,  $k_{6'}$ ) which goes out of the scope of the present paper. In other words, scenario 1 and 2 allow, despite their apparent simplicity, to catch the main effect of SSF on polyethylene oxidation.

In principle, the estimation of rate constants at other temperatures than 80°C (for instance 100 and 120°C) and their extrapolation at 37°C would then make possible to predict the oxidation kinetics for in vivo conditions. It remains however first to fully validate the mechanistic interpretation of SSF effect proposed in this paper.

## 4. Conclusion

This study investigated the surface effects of the SSF diffusion (at different soaking temperatures) in biomedical grade Ultra High Molecular Weight Polyethylene (UHMWPE). The kinetic analysis of the oxidation mechanism has been performed on the UHMWPE soaked in SSF at 37°C (body temperature); its long-term thermal stability was investigated from ageing at 80°C. Finally, a kinetic model was shown to theoretically reproduce the degradation mechanisms induced by SSF on UHMWPE aged at 80°C.

Experimental results highlighted as:

- ✓ SSF smooths the polymer's surface, generally increasing its wettability (until 37°C) and that surface hardness changes slowly, so that at 37°C is quite close to that of unsoaked sample (or control sample).
- ✓ The presence of SSF accelerates the oxidation of polyethylene which produces oxidized groups and the consequent change in the average molecular weight. This means that SSF causes the creation of radical species within the polyethylene during the aging time at 80°C. Without the aging process, the degradation does not trigger because it is precisely the temperature of 80°C that facilitates the loosening of the macromolecular chains and the penetration of SSF into the polyethylene. SSF causes the destruction of carbon-hydrogen and/or carbon-carbon bonds with the consequent oxidation of the material. Thus, SSF degrades polyethylene by a factor of 2 at the aging temperature of 80°C, leading to a significant decrease in molecular weight.
- ✓ A kinetic model was established: it simulates the accelerating effect of SSF by considering that the polar groups in SSF, destabilize hydroperoxides formed in polyethylene after its oxidation.

Studies are in progress to repeat this same investigation on the nanocomposites made by UHMWPE and nanofillers capable to improve its wear resistance [22,24]. It will be conducted with the simulated synovial fluid at an aging temperature not only of 80°C but also below 80°C, to define the threshold temperature necessary for the synovial fluid to accelerate the normal degradation of UHMWPE. Furthermore, the investigation must be improved with the use of a bovine calf serum (also containing the protein molecules, absent in the simulated synovial fluid used here), to separate the specific effect of proteins action.



Many previous studies, also carried out by our research group [11,12, 51], have shown that the oxidative degradation of joint prostheses is linked to free radicals that are generated in the PE during the sterilization or cross-linking phase. The PE sample used in this study was not irradiated and may not contain free radicals. Therefore, in a forthcoming experiment, the accelerating effect of free radicals in the oxidation of PE and the consequent changes to be made in the modeling will also be evaluated.

## Author Contributions

Conceptualization: AV, ER; Data curation: AV, ER, CS; Formal analysis ER and CS; Investigation: AV, ER, CS; Methodology: AV, ER; Supervision: AV; Validation: AV, ER, CS; Visualization: AV, ER, CS; Roles/Writing - original draft AV, ER, CS; Writing - review & editing AV, ER, CS.

## Declaration of Competing Interest

There are no conflicts of interest to declare.

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