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On the competitive exclusion principle for continuously distributed populations

Jean-Baptiste Burie, Arnaud Ducrot, Quentin Griette*

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Abstract

We investigate the competitive exclusion principle in the case of a continuously distributed initial population. We introduce an ordinary differential equations model of species competing for a single resource, whose efficiency is encoded by a continuous variable (the “trait variable”) living in a Euclidean space. The differential equation is solved in a measure space to allow the observation of the natural concentration of the species distribution on specific traits. We show the concentration of the distribution on the maximizing set of the fitness function in the sense of the Kantorovitch-Rubinstein metric. In the case when the initial weight of the maximizing set is positive, we give a precise description of the convergence of the orbit, including a formula for the asymptotic distribution. We also investigate precisely the case of a finite number of regular global maxima and show that the initial distribution may have an influence on the support of the eventual distribution. In particular, the natural process of competition is not always selecting a unique species, as it would be predicted by the competitive exclusion principle, but several species may coexist as long as they maximize the fitness function. In many cases it is possible to compute the eventual distribution of the surviving competitors. In some configurations, species that maximize the fitness may still get extinct depending on the shape of the initial distribution and on an other parameter of the model, and we provide a way to characterize when this unexpected extinction happens.

In this paper we investigate the large time behavior of the system

$$\begin{cases} S_t(t) = \Lambda - \theta S(t) - S(t) \int_{\mathbb{R}^N} \alpha(x) \gamma(x) I(t, dx), & x \in \mathbb{R}^N, \\ I_t(t, dx) = (\alpha(x) S(t) - 1) \gamma(x) I(t, dx), \end{cases} \quad (1)$$

equipped with suitable non-negative initial data. This system of equations intervenes in theoretical ecology, in epidemiology and in genetics. In ecology, it describes the evolution of a population of individuals with density I that compete for a single limited resource with density $S = S(t)$. In epidemiology, this system describes the evolution of the number of susceptible S and of the density of infected individuals I who have been contaminated by different pathogen variants of some disease. In both cases, the density of individuals I is represented by a measure on the space \mathbb{R}^N , for some integer $N > 0$, of phenotypic traits. Representing the density I by a measure is natural in this context as one expects concentration properties of the density on one or several optimal traits as time goes to infinity. Note also that this model does not take into account mutations but only pure competition (or selection).

In the context of ecology, phenotypical traits are related to the competitors and how they interact with the resource via the continuous functions α and γ , while the last two parameters $\Lambda > 0$ and $\theta > 0$ are positive constants which encode the renewal and disappearance rates of the resource. The “Competitive exclusion principle” states that “Complete competitors cannot coexist”, which in particular means that given a number of species competing for the same resource in the same place, only one can survive in the long run. This idea was already present to some extent in the book of Darwin, and is sometimes referred to as Gause’s law [19]. The aim of the present paper is to investigate this principle in the case of a continuously distributed initial population. We will show in particular that, while it is true that the species have to maximize the fitness function in order to survive, the natural process of competition is not selecting a unique species but several species may coexist as long as they maximize the fitness function. In many cases it is possible to compute the eventual repartition of the surviving competitors. In some cases, species that maximize the fitness may still get extinct if the initial population is not sufficient, and we provide a way to characterize when this unexpected extinction happens. Considering a situation where \mathcal{R}_0 has more than one maximum at the same exact level may appear artificial but is not without biological interest. Indeed, the long-time behavior that we observe in these borderline cases can

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persist in transient time upon perturbing the function R_0 . For example in the epidemiological context of [14], it has been observed that a strain 1 with a higher value of γ and a slightly lower \mathcal{R}_0 value than a strain 2 may nevertheless be dominant for some time, see Figure 8. These borderline cases shed light on our understanding of the transient dynamics, see also [9] where we explicit transient dynamics for a related evolutionary model depending on the local flatness of the fitness function.

This problem of survival of competitors has attracted the attention of mathematicians since the '70s and many studies have proved this property in many different contexts – let us mention the seminal works of Hsu, Hubbell and Waltman [22] and Hsu [21], followed by Armstrong and McGehee [2], Butler and Wolkowicz [11], Wolkowicz and Lu [45], Hsu, Smith and Waltman [20], Wolkowicz and Xia [46], Li [31], and more recently Rapaport and Veruete [41], to cite a few – and also disproved in other contexts, for instance in fluctuating environments, see Cushing [13] and Smith [42].

A common feature of the above-mentioned studies on the competitive exclusion principle is that they all focus on *finite* systems representing the different species competing for the resource. Yet quantitative traits such as the virulence or the transmission rate of a pathogen, the life expectancy of an individual and more generally any observable feature such as height, weight, muscular mass, speed, size of legs, etc. are naturally represented using continuous variables. Such a description of a population seems highly relevant and has been used mostly in modelling studies involving some kind of evolution [35, 34, 4, 3, 32, 33, 6, 39, 18]

A few studies actually focus on pure competition for a continuously structured population. Let us mention the work of Desvillettes, Mischler, Jabin and Raoul [15], and later Jabin and Raoul [25] and Raoul [40], who studied the logistic equation

$$\partial_t f(t, x) = \left(a(x) - \int b(x, y) f(y) \right) f(t, x),$$

and studied more precisely the asymptotic behavior of the solutions $f = f(t, x)$ when the initial condition is a Radon measure. Cañizo, Carrillo and Cuadrado [12] proved the well-posedness of several evolution equations in the sense of measures. Ackleh, Cleveland and Thieme devoted a part of their study [1] to purely competitive dynamics. Here the focus are equations of the type

$$\mu'(t)(E) = \int_E [B(\bar{\mu}(t), q) - D(\bar{\mu}(t), q)] \mu(t)(dq),$$

where μ is a Radon measure on a space of strategies Q and $\bar{\mu}(t) = \mu(t)(Q)$. They show a persistence property for such a model and give more precise statements on the asymptotic behavior of the total mass; under the assumption that a unique strategy maximizing the carrying capacity, they show the convergence in the sense of measures to a Dirac mass concentrated on this strategy.

An important example of a biological system which allows the experimenter to observe the competitive exclusion principle is the so-called *chemostat*, a device in which living organisms (like cells, yeasts, bacteria...) are cultivated in a controlled environment where the in- and outflow of nutrients are monitored. Systems of the same type as (1) (as most systems in the literature cited above) are particularly well-adapted to the modeling of a population in a chemostat. We refer to the monograph of Smith and Waltman [44] for a detailed work on mathematical models for the chemostat. Recently, a lot of interest has been given to chemostat models as a mean to study the Darwinian evolution of a population, that is, the changes in frequency of common characteristics due to the processes of selection and mutation. An important difference of chemostat models is that they usually assume Michaelis-Menten functional response, contrary to our system where the functional response is linear.

The connections between chemostat and epidemic models has been remarked in [43], where an extensive review of the literature is conducted. A competitive exclusion principle for epidemic models has been established by Bremermann and Thieme [8]. The asymptotic behavior of epidemic models of SIR type has been investigated by Korobeinikov and Wake [30], Korobeinikov and Maini [29], Korobeinikov [26, 27, 28], McCluskey [37, 38], in the context of systems of ordinary differential equations. Our motivation for the study of System (1) comes from a model of Darwinian evolution of spore-producing plant pathogens [16, 9, 10]. The present work represents a first step (without mutation) towards a generalization of this previous model to cases where the mortality rate of the infected population, which is represented by function γ in (1), varies from one strain to another.

We add to the existing literature on systems related to (1) by considering the case when the initial condition $I_0(dx)$ is a Radon measure. Considering measures as initial data is not a gratuitous generality. Indeed as we will show in Theorem 1.2, initial conditions in the usual space $L^1(\mathbb{R}^N)$ will converge, in many cases, towards a singular Radon measure. Therefore it is necessary to study the equation in a space of measures in order to investigate the long-time behavior of the solutions to (1). By doing so we were able to include the case of finite systems of ODE into our framework, see Section 1.2 below. Those results are extended to the case of countably many equations under the structural requirement that the coefficients converge to a limit. The system behaves as predicted and the density I converges towards a Dirac measure at some x^* when the basic reproduction number (fitness) associated to the phenotypic value x defined as $\mathcal{R}_0(x) := \frac{\Lambda}{\theta} \alpha(x)$ has a unique maximum on

the support of I_0 and the initial data has a positive mass on the maximizing value x^* , see Section 1.3. The situation is more complex when this assumption is removed. In particular, the asymptotic behaviour of the solution strongly depends on the initial condition I_0 , which may be related to the absence of mutations in the model. When the density of the initial condition vanished near the maximizing set of $\mathcal{R}_0(I_0)$, we uncovered a subtle dependency on the initial data and on the function γ of (1) (Section 1.3). It may happen, if the initial density decreases sufficiently fast near the maximizing set of the basic reproduction number, that the equilibrium reached by the system is different from the one predicted by heuristic arguments on the fitness function. This shows that the dynamics of the system with continuous phenotypic traits $x \in \mathbb{R}^N$ is far more rich than the one of discrete models.

1 Main results

In this section we state and discuss the main results we shall prove in this note related to the large time behavior of (1). Before going to our results, we introduce some notations that will be used along this work. For a Borel set $K \subset \mathbb{R}^N$, we denote by $\mathcal{M}(K)$ the set of the signed Radon measures on K of finite mass. Recall that $\mathcal{M}(K)$ is a Banach space when endowed with the *absolute variation norm* given by:

$$\|\mu\|_{AV} = |\mu|(K), \quad \forall \mu \in \mathcal{M}(K).$$

We also denote by $\mathcal{M}_+(K)$ the set of the finite nonnegative measures on K . Observe that one has $\mathcal{M}_+(K) \subset \mathcal{M}(K)$ and $\mathcal{M}_+(K)$ is a closed subset of $\mathcal{M}(K)$ for the norm topology of $\|\cdot\|_{AV}$. An alternate topology on $\mathcal{M}(K)$ can be defined by the so-called Kantorovitch-Rubinstein norm (see [5, Vol. II, Chap. 8.3 p. 191]),

$$\|\mu\|_0 := \sup \left\{ \int f d\mu : f \in \text{Lip}_1(K), \sup_{x \in K} |f(x)| \leq 1 \right\},$$

wherein we have set

$$\text{Lip}_1(K) := \{f \in BC(K) : |f(x) - f(y)| \leq \|x - y\|, \forall (x, y) \in K^2\}.$$

Here (and below) $BC(K)$ denotes the set of the continuous and bounded functions from K into \mathbb{R} . Let us recall (see for instance [5, Theorem 8.3.2]) that the metric generated by $\|\cdot\|_0$ on $\mathcal{M}_+(K)$ is equivalent on this set to the weak topology obtained by identifying $\mathcal{M}(K)$ to the dual space of $BC(K)$. Note however that this equivalence is true only for $\mathcal{M}_+(K)$ and cannot be extended to $\mathcal{M}(K)$ since the latter space is not (in general) complete for the metric generated by $\|\cdot\|_0$. We denote by d_0 this metric on $\mathcal{M}_+(K)$, that is

$$d_0(\mu, \nu) := \|\mu - \nu\|_0 \text{ for all } \mu, \nu \in \mathcal{M}_+(K). \quad (2)$$

Now along this note we fix $I_0 = I_0(dx) \in \mathcal{M}_+(\mathbb{R}^N)$.

About the parameters arising in (1) our main assumption reads as follows.

Assumption 1.1. The constants $\Lambda > 0$ and $\theta > 0$ are given. The functions $\alpha(x)$ and $\gamma(x)$ are continuous from \mathbb{R}^N into \mathbb{R} and there exist positive constants α^∞ and $\gamma_0 < \gamma^\infty$ such that

$$\alpha(x) \leq \alpha^\infty, \quad 0 < \gamma_0 \leq \gamma(x) \leq \gamma^\infty \quad \text{for all } x \in \mathbb{R}^N.$$

Finally, define $\alpha^* := \sup_{x \in \text{supp } I_0} \alpha(x)$. We assume that the set

$$L_\varepsilon(I_0) := \{\alpha \geq \alpha^* - \varepsilon\} \cap \text{supp } I_0 = \{x \in \text{supp } I_0 : \alpha(x) \geq \alpha^* - \varepsilon\}$$

is bounded when $\varepsilon > 0$ is sufficiently small.

Let us observe that if $S_0 \geq 0$ then (1) equipped with the initial data $S(0) = S_0$ and $I(0, dx) = I_0(dx)$ has a unique globally defined solution $S(t) \geq 0$ and $I(t, dx) \in \mathcal{M}_+(\mathbb{R}^N)$ for all $t \geq 0$. In addition I is given by

$$I(t, dx) = \exp \left(\gamma(x) \left(\alpha(x) \int_0^t S(s) ds - t \right) \right) I_0(dx).$$

The above formula ensures that $\text{supp } I(t, \cdot) = \text{supp } I_0$ for all $t \geq 0$. And to describe the large time behavior of I , we will use the values of α and γ on the support of I_0 . Due to the above remark and since I_0 is given and fixed, along this paper, for any $y \in \mathbb{R}$ we write

$$\alpha^{-1}(y) = \{x \in \text{supp } (I_0) : \alpha(x) = y\} \subset \text{supp } (I_0). \quad (3)$$

We also define the two quantities $\alpha^* \geq 0$ and $\mathcal{R}_0(I_0)$ by

$$\alpha^* =: \sup_{x \in \text{supp } I_0} \alpha(x) \text{ and } \mathcal{R}_0(I_0) := \frac{\Lambda}{\theta} \alpha^*. \quad (4)$$

We now split our main results into several parts. We first derive very general results about the large time behavior of the solution (S, I) of (1) when I_0 is an arbitrary Radon measure. We roughly show that $I(t, dx)$ concentrates on the points that maximize both α and γ . We then apply this result to consider the case where $I_0(dx)$ is a finite or countable sum of Dirac masses. We continue our investigations with an absolutely continuous (with respect to Lebesgue measure) initial measure and a finite set $\alpha^{-1}(\alpha^*)$. In that setting we are able to fully characterize the points where the measure $I(t, dx)$ concentrates as $t \rightarrow \infty$.

1.1 General results for measure-valued initial data

As mentioned above this subsection is concerned with the large time behavior of the solution (S, I) of (1) where the initial measure $I_0(dx)$ is an arbitrary Radon measure. Using the above notations our first result reads as follows.

Theorem 1.2 (Asymptotic behavior of measure-valued initial data). *Let Assumption 1.1 be satisfied. Let $S_0 \geq 0$ be given and denote by $(S(t), I(t, dx))$ the solution of (1) equipped with the initial data $S(0) = S_0$ and $I(0, dx) = I_0(dx)$. Recalling (4), suppose that $\mathcal{R}_0(I_0) > 1$. We distinguish two cases depending on the measure of this set w.r.t. I_0 :*

i) *If $I_0(\alpha^{-1}(\alpha^*)) > 0$, then one has*

$$S(t) \xrightarrow[t \rightarrow +\infty]{} \frac{1}{\alpha^*} \text{ and } I(t, dx) \xrightarrow[t \rightarrow +\infty]{} I_\infty^*(dx) := \mathbb{1}_{\alpha^{-1}(\alpha^*)}(x) e^{\tau\gamma(x)} I_0(dx),$$

where $\tau \in \mathbb{R}$ denotes the unique solution of the equation

$$\int_{\mathbb{R}^N} \gamma(x) \mathbb{1}_{\alpha^{-1}(\alpha^*)}(x) e^{\tau\gamma(x)} I_0(dx) = \frac{\theta}{\alpha^*} (\mathcal{R}_0 - 1).$$

The convergence of $I(t, dx)$ to $I_\infty^*(dx)$ holds in the absolute variation norm $\|\cdot\|_{AV}$.

ii) *If $I_0(\alpha^{-1}(\alpha^*)) = 0$, then one has $S(t) \rightarrow \frac{1}{\alpha^*}$ and $I(t, dx)$ is uniformly persistent, namely*

$$\liminf_{t \rightarrow +\infty} \int_{\mathbb{R}^N} I(t, dx) > 0.$$

Moreover $I(t, dx)$ is asymptotically concentrated as $t \rightarrow \infty$ on the set $\alpha^{-1}(\alpha^*)$, in the sense that

$$d_0(I(t, dx), \mathcal{M}_+(\alpha^{-1}(\alpha^*))) \xrightarrow[t \rightarrow +\infty]{} 0,$$

where d_0 is the Kantorovitch-Rubinstein distance.

We continue our general result by showing that under additional properties for the initial measure I_0 , the function $I(t, dx)$ concentrates in the large times on the set of the points in $\alpha^{-1}(\alpha^*)$ that maximize the function $\gamma = \gamma(x)$.

The additional hypothesis for the initial measure $I_0(dx)$ are expressed in term of some properties of its disintegration measure with respect to the function α . We refer to the book of Bourbaki [7, VI, §3, Theorem 1 p. 418] for a proof of the disintegration Theorem B.4 which is recalled in Appendix B.

Let $A(dy)$ be the image of $I_0(dx)$ under the continuous mapping $\alpha : \mathbb{R}^N \rightarrow \mathbb{R}$, then there exists a family of nonnegative measures $I_0(y, dx)$ (the disintegration of I_0 with respect to α) such that for almost every $y \in \alpha(\text{supp } I_0)$ with respect to A we have:

$$\text{supp } I_0(y, dx) \subset \alpha^{-1}(y), \quad \int_{\alpha^{-1}(y)} I_0(y, dx) = 1 \text{ and } I_0(dx) = \int I_0(y, dx) A(dy) \quad (5)$$

wherein the last equality means that

$$\int_{\mathbb{R}^N} f(x) I_0(dx) = \int_{y \in \mathbb{R}} \int_{\alpha^{-1}(y)} f(x) I_0(y, dx) A(dy) \text{ for all } f \in BC(\mathbb{R}^N).$$

Note that, by definition, the measure A is supported on the set $\alpha(\text{supp } I_0)$.

Remark 1.3 (Important example). Suppose that $I_0 \in L^1(\mathbb{R}^n)$. Since we restrict to measures which are absolutely continuous with respect to the Lebesgue measure here, with a small abuse of notation we will omit the element dx when the context is clear. Assume that α is Lipschitz continuous on \mathbb{R}^N and that

$$\frac{I_0(x)}{|\nabla\alpha(x)|} \in L^1(\mathbb{R}^N). \quad (6)$$

The coarea formula implies that, for all $g \in L^1(\mathbb{R}^N)$, we have

$$\int_{\mathbb{R}^N} g(x)|\nabla\alpha(x)|dx = \int_{\mathbb{R}} \int_{\alpha^{-1}(y)} g(x)\mathcal{H}_{N-1}(dx)dy,$$

where $\mathcal{H}_{N-1}(dx)$ is the $(N-1)$ -dimensional Hausdorff measure (see Federer [17, §3.2]).

Therefore if $g(x) = f(x)\frac{I_0(x)}{|\nabla\alpha(x)|}$ we get

$$\int_{\mathbb{R}^N} f(x)I_0(x)dx = \int_{\mathbb{R}} \int_{\alpha^{-1}(y)} f(x)\frac{I_0(x)}{|\nabla\alpha(x)|}\mathcal{H}_{N-1}(dx)dy, \quad (7)$$

and if moreover $f(x) = \varphi(\alpha(x))$ we get

$$\int_{\mathbb{R}} \varphi(y)A(dy) = \int_{\mathbb{R}^N} \varphi(\alpha(x))I_0(x)dx = \int_{\mathbb{R}} \varphi(y) \int_{\alpha^{-1}(y)} \frac{I_0(x)}{|\nabla\alpha(x)|}\mathcal{H}_{N-1}(dx)dy,$$

where we recall that $A(dy)$ is the image measure of $I_0(dx)$ through α . Therefore we have an explicit expression for $A(dy)$:

$$A(dy) = \int_{\alpha^{-1}(y)} \frac{I_0(x)}{|\nabla\alpha(x)|}\mathcal{H}_{N-1}(dx)dy \quad (8)$$

and (recalling (7)) we deduce the following explicit disintegration of I_0 :

$$I_0(y, dx) = \frac{\mathbb{1}_{x \in \alpha^{-1}(y)} \frac{I_0(x)}{|\nabla\alpha(x)|}\mathcal{H}_{N-1}(dx)}{\int_{\alpha^{-1}(y)} \frac{I_0(z)}{|\nabla\alpha(z)|}\mathcal{H}_{N-1}(dz)}. \quad (9)$$

Equations (8) and (9) give an explicit formula for the disintegration introduced in (5).

Remark 1.4. Suppose that α is a C^2 function with no critical point in $\text{supp } I_0$ except for a finite number of regular maxima (in the sense that the bilinear form $D^2\alpha(x)$ is non-degenerate at each maximum). This is a typical situation. Then assumption (6) in Remark 1.3 is automatically satisfied if $N \geq 3$ and $I_0 \in L^\infty(\mathbb{R}^N)$. If $N = 2$ then a sufficient condition to satisfy (6) with $I_0 \in L^\infty(\mathbb{R}^N)$ should involve I_0 vanishing sufficiently fast in the neighborhood of each maximum of α .

We shall also make use, for all y A -almost everywhere, of the disintegration measure of $I_0(y, dx)$ with respect to the function γ , as follows

$$I_0(y, dx) = \int_{z \in \gamma(\alpha^{-1}(y))} I_0^{\alpha, \gamma}(y, z, dx) I_0^\alpha(y, dz),$$

that allows to the following reformulation of $I_0(dx)$:

$$I_0(dx) = \int_{y \in \mathbb{R}} \int_{z \in \gamma(\alpha^{-1}(y))} I_0^{\alpha, \gamma}(y, z, dx) I_0^\alpha(y, dz) A(dy).$$

Now equipped with this disintegration of I_0 with respect to α we are now able to state our regularity assumption to derive more refine concentration information in the case where $I_0(\alpha^{-1}(\alpha^*)) = 0$.

Assumption 1.5 (Regularity with respect to α, γ). Recalling (3), assume that $\alpha^{-1}(\alpha^*) \neq \emptyset$ and define $\gamma^* > 0$ by

$$\gamma^* := \sup_{x \in \alpha^{-1}(\alpha^*)} \gamma(x). \quad (10)$$

We assume that, for each value $\bar{\gamma} < \gamma^*$ there exist constants $\delta > 0$ and $m > 0$ such that

$$m \leq \int_{\gamma^{-1}([\bar{\gamma}, \gamma^*]) \cap \alpha^{-1}(y)} I_0(y, dx) \text{ for } A\text{-almost every } y \in (\alpha^* - \delta, \alpha^*].$$

Remark 1.6. The above assumption means that the initial measure $I_0(dx)$ is uniformly positive in a small neighborhood of $\alpha^{-1}(\alpha^*)$. For instance, if the assumptions of Remark 1.3 are satisfied and if there exists an open set U containing $\alpha^{-1}(\alpha^*) \cap \gamma^{-1}(\gamma^*)$ on which $I_0(x)$ is almost everywhere uniformly positive, then Assumption 1.5 is automatically satisfied.

The next proposition ensures that, when the initial measure I_0 satisfies Assumption 1.5, then the function $I(t, dx)$ concentrates on $\alpha^{-1}(\alpha^*) \cap \gamma^{-1}(\gamma^*)$.

Proposition 1.7. *Under the same assumptions as in Theorem 1.2, assume that $I_0(\alpha^{-1}(\alpha^*)) = 0$ and let us furthermore assume that Assumption 1.5 holds, then recalling that γ^* is defined in (10) one has*

$$d_0(I(t, dx), \mathcal{M}_+(\alpha^{-1}(\alpha^*) \cap \gamma^{-1}(\gamma^*))) \xrightarrow{t \rightarrow +\infty} 0,$$

as well as the following asymptotic mass

$$\int_{\mathbb{R}^N} I(t, dx) \xrightarrow{t \rightarrow +\infty} \frac{\theta}{\alpha^* \gamma^*} (\mathcal{R}_0(I_0) - 1).$$

Let $U \subset \mathbb{R}^N$ be a Borel set such that $U \cap \alpha^{-1}(\alpha^*) \cap \gamma^{-1}(\gamma^*) \neq \emptyset$ and

$$\liminf_{\varepsilon \rightarrow 0} \operatorname{ess\,inf}_{\alpha^* - \varepsilon \leq y \leq \alpha^* \gamma^* - \varepsilon \leq z \leq \gamma^*} \int_U I_0^{\alpha, \gamma}(y, z, dx) > 0,$$

then the following persistence occurs

$$\liminf_{t \rightarrow \infty} \int_U I(t, dx) > 0.$$

We now explore some numerical computations of (1) with various configurations.

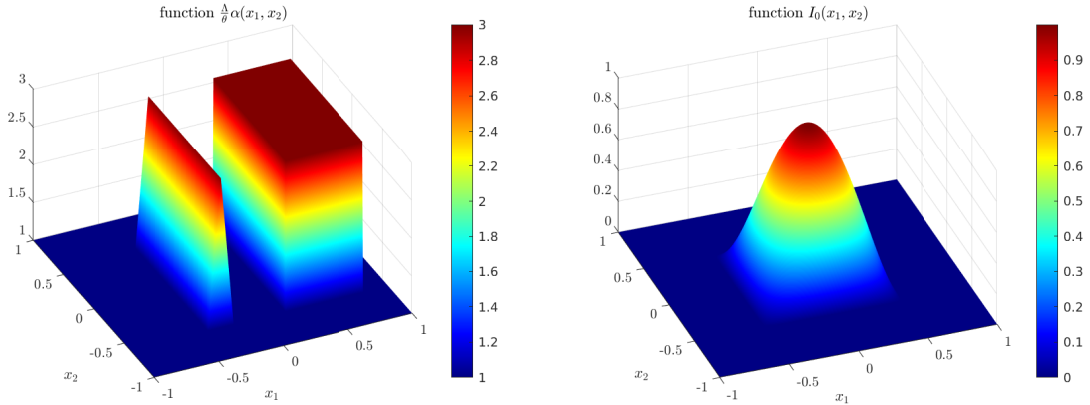


Figure 1: Illustration of Theorem 1.2 in the case i), *i.e.*, when $I_0(\alpha^{-1}(\alpha^*)) > 0$. Parameters of this simulation are: $\Lambda = 2$, $\theta = 1$, $\alpha(x) = 0.5 + (\mathbb{T}_{[-0.4, -0.2]}(x_1) + \mathbb{1}_{[0.2, 0.8]}(x_1)) \mathbb{1}_{[-0.6, 0.6]}(x_2)$ where $x = (x_1, x_2) \in \mathbb{R}^2$ and $\mathbb{T}_{[-0.4, -0.2]}$ is the triangular function of height one and support $[-0.4, -0.2]$, and $\gamma = \frac{1}{2\alpha}$. Initial condition is given by $I_0(dx) = I_0(x_1, x_2) dx$ where $I_0(x_1, x_2) = \mathbb{1}_{[-0.5, 0.5]}(x_1) \cos(\pi x_1) \mathbb{1}_{[-0.5, 0.5]}(x_2) \cos(\pi x_2)$. In particular, we have $\alpha^* = 3/2$ and $\alpha^{-1}(\alpha^*) = (\{-0.3\} \cup [0.2, 0.8]) \times [-0.6, 0.6]$.

1.2 The case of discrete systems

In this subsection we propose an application of the general result, namely Theorem 1.2, to the case of discrete systems. We start with the case of *finite* systems, *i.e.*, the case when system (1) can be written as follows:

$$\begin{cases} \frac{d}{dt} S(t) = \Lambda - \theta S(t) - S(t)(\alpha_1 \gamma_1 I^1(t) + \alpha_2 \gamma_2 I^2(t) + \dots + \alpha_n \gamma_n I^n(t)) \\ \frac{d}{dt} I^1(t) = (\alpha_1 S(t) - 1) \gamma_1 I^1(t) \\ \frac{d}{dt} I^2(t) = (\alpha_2 S(t) - 1) \gamma_2 I^2(t) \\ \vdots \\ \frac{d}{dt} I^n(t) = (\alpha_n S(t) - 1) \gamma_n I^n(t). \end{cases} \quad (11)$$

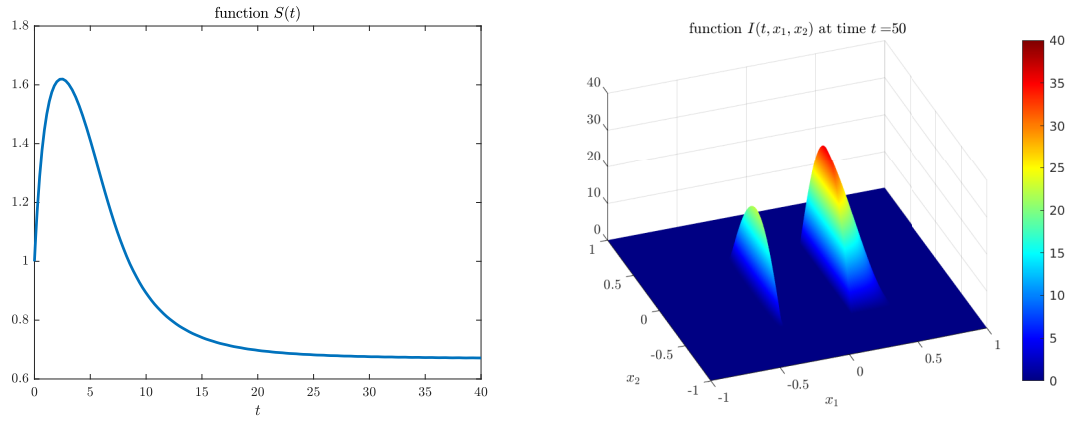


Figure 2: (continued from Fig. 1) Illustration of Theorem 1.2 in the case i), *i.e.*, when $I_0(\alpha^{-1}(\alpha^*)) > 0$. Function $t \rightarrow S(t)$ converges towards $1/\alpha^* = 2/3$ and function $x \rightarrow I(t, x)$ at time $t = 50$ is asymptotically concentrated on $\alpha^{-1}(\alpha^*) = (\{-0.3\} \cup [0.2, 0.8]) \times [-0.6, 0.6]$.

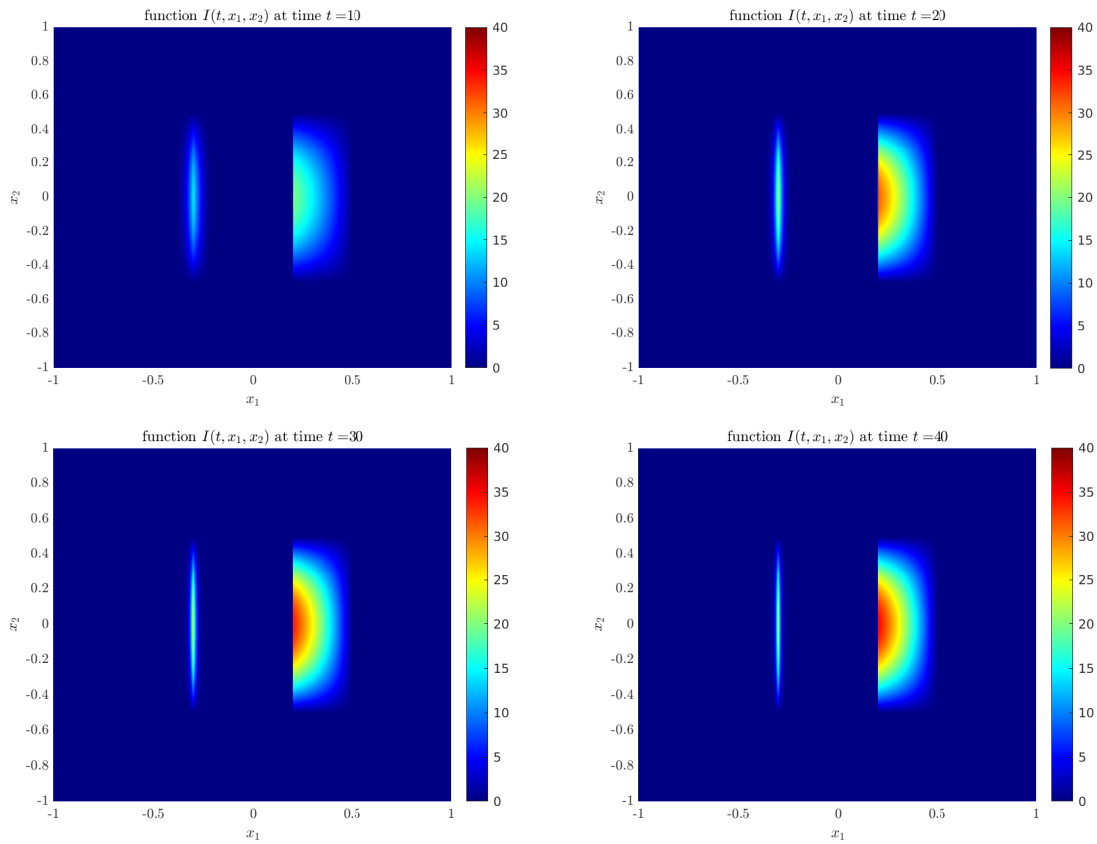


Figure 3: Illustration of Theorem 1.2 in the case i), *i.e.*, when $I_0(\alpha^{-1}(\alpha^*)) > 0$. Function $x \rightarrow I(t, x)$ at time $t = 10, 20, 30$ and 40 . The function I remains bounded in this case.

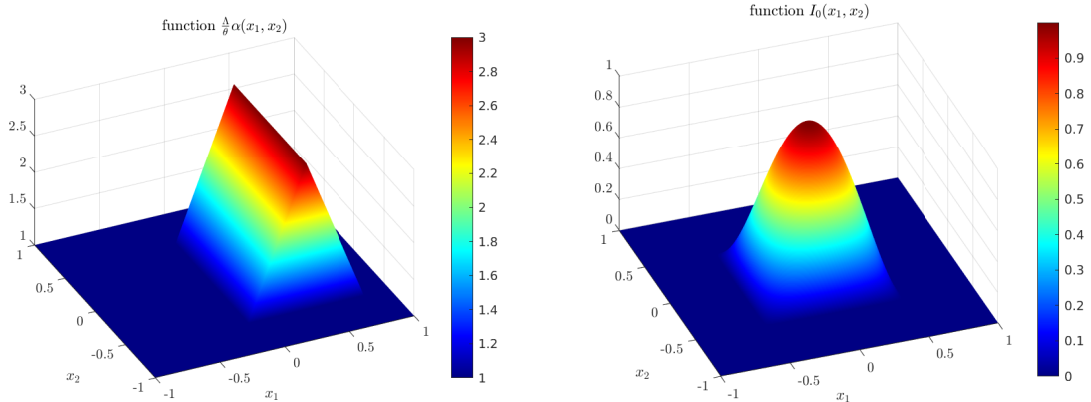


Figure 4: Illustration of Theorem 1.2 in the case ii), *i.e.*, when $I_0(\alpha^{-1}(\alpha^*)) = 0$. Parameters of this simulation are: $\Lambda = 2$, $\theta = 1$, $\alpha(x) = 0.5 + \mathbb{T}_{[-0.1, 0.8]}(x_1) \mathbb{1}_{[-0.6, 0.6]}(x_2)$ where $x = (x_1, x_2) \in \mathbb{R}^2$ and $\mathbb{T}_{[-0.1, 0.8]}$ is the triangular function of height one and support $[-0.1, 0.8]$, $\gamma = \frac{1}{2\alpha}$. Initial condition is given by $I_0(dx) = I_0(x_1, x_2) dx$ where $I_0(x) = \mathbb{1}_{[-0.5, 0.5]}(x_1) \cos(\pi x_1) \mathbb{1}_{[-0.5, 0.5]}(x_2) \cos(\pi x_2)$. In particular, $\alpha^* = 3/2$ and $\alpha^{-1}(\alpha^*) = \{0.35\} \times [-0.6, 0.6]$.

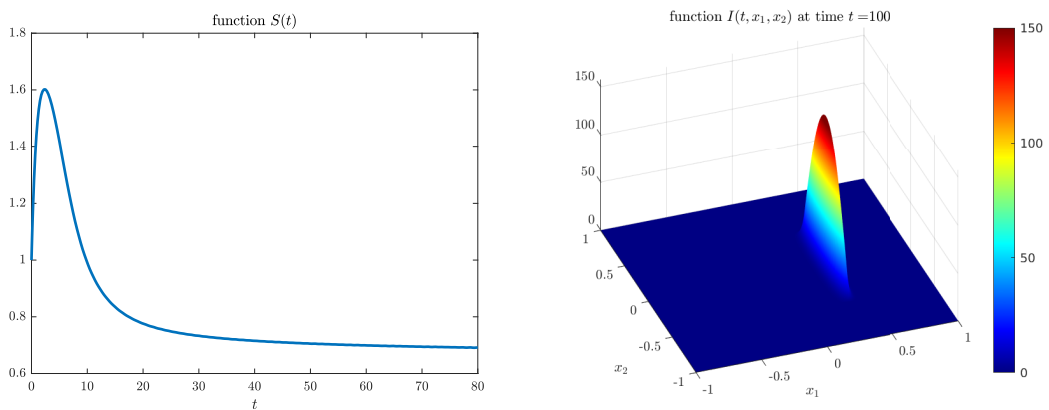


Figure 5: (continued from Fig. 4) Illustration of Theorem 1.2 in the case ii), *i.e.* when $I_0(\alpha^{-1}(\alpha^*)) = 0$. Function $t \rightarrow S(t)$ converges towards $1/\alpha^* = 2/3$. Function $x \rightarrow I(t, x)$ at time $t = 100$ is asymptotically concentrated on $\alpha^{-1}(\alpha^*) = \{0.35\} \times [-0.6, 0.6]$.

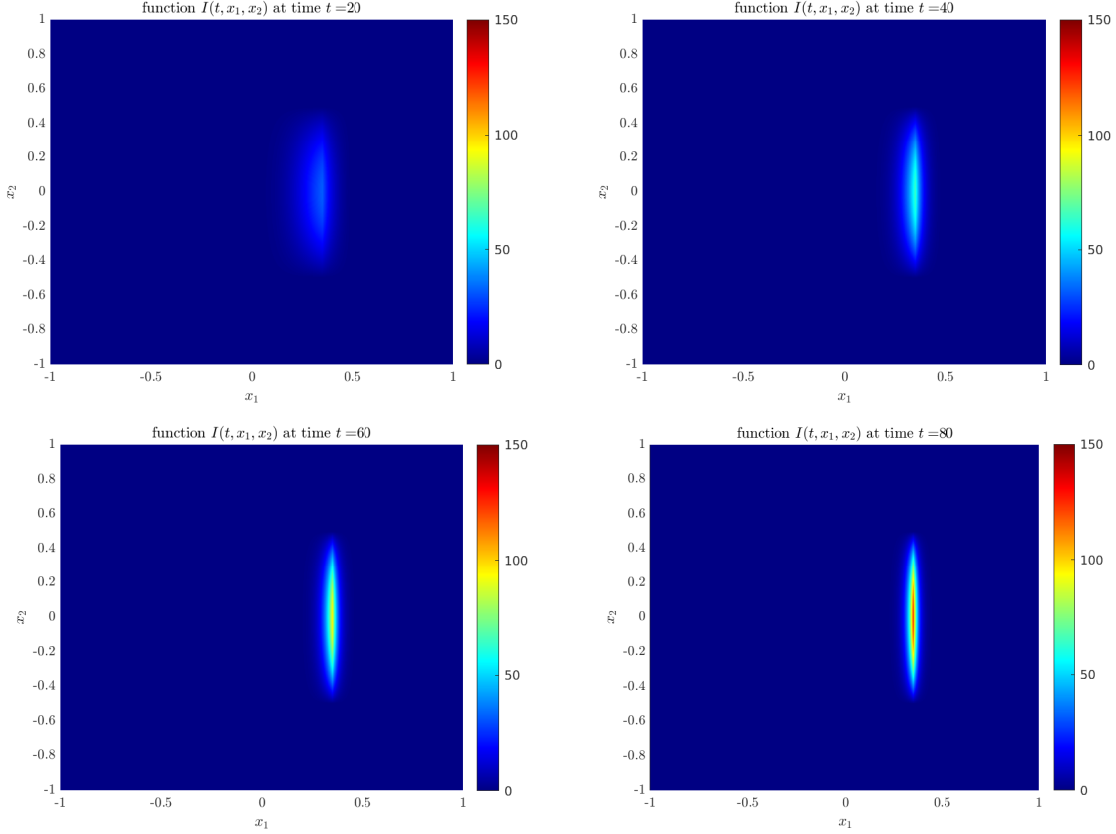


Figure 6: Illustration of Theorem 1.2 in the case ii), *i.e.*, when $I_0(\alpha^{-1}(\alpha^*)) = 0$. Function $x \rightarrow I(t, x)$ at time $t = 20, 40, 60$ and 80 . The function I asymptotically converges towards a singular measure.

For the above system, we can completely characterize the asymptotic behavior of the population. To that aim we define the basic reproductive number (in the ecological or epidemiological sense) for species i as follows:

$$\mathcal{R}_0^i := \frac{\Lambda}{\theta} \alpha_i, \quad i = 1, \dots, n.$$

Then we can show that the only species that do not get extinct are the ones for which \mathcal{R}_0^i , $i = 1, \dots, n$, is maximal and strictly greater than one.

In the case when several species have the same basic maximal reproductive number, then these species all survive and the asymptotic distribution can be computed explicitly as a function of the initial data I_0^i and of the values γ_i .

Theorem 1.8 (Asymptotic behavior of finite systems). *Let $n \geq 1$ and $\alpha_1, \dots, \alpha_n$ and $\gamma_1 > 0, \dots, \gamma_n > 0$ be given. Set*

$$\alpha^* := \max\{\alpha_1, \dots, \alpha_n\},$$

and assume that

$$\mathcal{R}_0^* := \frac{\Lambda}{\theta} \alpha^* = \max\{\mathcal{R}_0^1, \dots, \mathcal{R}_0^n\} > 1.$$

Then, for any initial data $S_0 \geq 0, I_0^1 > 0, \dots, I_0^n > 0$, the corresponding solution to (11) converges in the large times to $(S_\infty, (I_\infty^1, \dots, I_\infty^n))$ given by

$$S_\infty = \frac{1}{\alpha^*} \text{ and } I_\infty^i = \begin{cases} 0 & \text{if } \mathcal{R}_0^i < \mathcal{R}_0^*, \\ e^{\tau \gamma_i} I_0^i & \text{if } \mathcal{R}_0^i = \mathcal{R}_0^*, \end{cases} \text{ for all } i = 1, \dots, n,$$

wherein the constant $\tau \in \mathbb{R}$ is defined as the unique solution of the equation:

$$\sum_{\{i: \mathcal{R}_0^i = \mathcal{R}_0^*\}} \gamma_i I_0^i e^{\tau \gamma_i} = \frac{\theta}{\alpha^*} (\mathcal{R}_0 - 1).$$

Note that in the case when the interaction of the species with the resource is described by the Michaelis-Menten kinetics instead of the mass action law, or when the growth of the resource obeys a logistic law (Hsu [21]), a similar result was already present in Hsu, Hubbell and Waltman [22] and Hsu [21], including the case when several species have the exact same reproduction number (or fitness) \mathcal{R}_0^i .

In the case of a *countable* system we can still provide a complete description when both α and γ converge to a limit near $+\infty$. We now investigate the following system

$$\begin{cases} \frac{d}{dt}S(t) = \Lambda - \theta S(t) - S(t) \sum_{i \in \mathbb{N}} \alpha_i \gamma_i I_i(t), \\ \frac{d}{dt}I^i(t) = (\alpha_i S(t) - 1) \gamma_i I^i(t), \end{cases} \quad \text{for } i \in \mathbb{N}, \quad (12)$$

supplemented with some initial data

$$S(0) = S_0 > 0 \text{ and } I^i(0) = I_0^i > 0, \forall i \in \mathbb{N} \text{ with } \sum_{i \in \mathbb{N}} I_0^i < \infty. \quad (13)$$

Since components of (12) starting from a zero initial data will stay equal to zero in positive time, they can be removed from the system without impacting the dynamics and we may without loss of generality assume that $I_0^i > 0$ for all $i \in \mathbb{N}$ (as we did in (13)).

In the sequel we denote by $(S(t), I^i(t))$ be the corresponding solution to (12) with initial data $S(0) = S_0$ and $I^i(0) = I_0^i$ for all $i \in \mathbb{N}$.

Theorem 1.9 (Asymptotic behavior of discrete systems). *Let $(\alpha_i)_{i \in \mathbb{N}}$ and $(\gamma_i)_{i \in \mathbb{N}}$ be bounded sequences with $\gamma_i > 0$ for all $i \in \mathbb{N}$. Set*

$$\alpha^* := \sup\{\alpha_i, i \in \mathbb{N}\},$$

and assume that

$$\mathcal{R}_0^* := \frac{\Lambda}{\theta} \alpha^* > 1.$$

We distinguish two cases.

- i) *If the set $\{i \in \mathbb{N} : \alpha_i = \alpha^*\}$ is not empty, then $(S(t), I^i(t))$ converges to the following asymptotic stationary state*

$$S_\infty = \frac{1}{\alpha^*}, \text{ and } I_\infty^i = \begin{cases} 0 & \text{if } \mathcal{R}_0^i < \mathcal{R}_0^*, \\ e^{\tau \gamma_i} I_0^i & \text{if } \mathcal{R}_0^i = \mathcal{R}_0^*, \end{cases} \text{ for all } i \in \mathbb{N} \cup \{\infty\}.$$

where the constant $\tau \in \mathbb{R}$ is the unique solution of the equation:

$$\sum_{\{i \in \mathbb{N} : \mathcal{R}_0^i = \mathcal{R}_0^*\}} \gamma_i I_0^i e^{\tau \gamma_i} = \frac{\theta}{\alpha^*} (\mathcal{R}_0^* - 1).$$

- ii) *If the set $\{i \in \mathbb{N} : \alpha_i = \alpha^*\}$ is empty, then one has $S(t) \rightarrow \frac{1}{\alpha^*}$ and $I^i(t) \rightarrow 0$ for all $i \in \mathbb{N}$ as $t \rightarrow \infty$, while*

$$\liminf_{t \rightarrow +\infty} \sum_{i \in \mathbb{N}} I^i(t) > 0.$$

If moreover one has $\alpha_n \rightarrow \alpha^$ and $\gamma_n \rightarrow \gamma_\infty > 0$ as $n \rightarrow \infty$ with $n \in \mathbb{N}$ then the total mass converges to a positive limit*

$$\lim_{t \rightarrow +\infty} \sum_{i \in \mathbb{N}} I^i(t) = \frac{\theta}{\alpha^* \gamma_\infty} (\mathcal{R}_0^* - 1). \quad (14)$$

Note that for more complex countable systems, such as if the ω -limit set of α_n or γ_n contains two or more distinct values, then it is no longer possible in general to state a result independent of the initial data. We will discuss a similar phenomenon for measures with a density with respect to the Lebesgue measure in Section 1.3

1.3 The case when $\alpha(x)$ has a finite number of regular maxima

We now go back to our analysis of (1) set on \mathbb{R}^N . If the function $\alpha(x)$ has a unique global maximum which is accessible to the initial data, then our analysis leads to a complete description of the asymptotic state of the population. This may be the unique case when the behavior of the orbit is completely known, independently on the positivity of the initial mass of the fitness maximizing set $\{\alpha(x) = \alpha^*\}$.

Theorem 1.10 (The case of a unique global maximum). *Let Assumption 1.1 be satisfied. Let $S_0 \geq 0$ and $I_0(dx) \in \mathcal{M}_+(\mathbb{R}^N)$ be a given initial data. Suppose that the function $\alpha = \alpha(x)$ has a unique maximum α^* on the support of I_0 attained at $x^* \in \text{supp } I_0$, and that*

$$\mathcal{R}_0(I_0) := \frac{\Lambda}{\theta} \alpha^* > 1.$$

Then it holds that

$$S(t) \xrightarrow[t \rightarrow +\infty]{} \frac{1}{\alpha^*}, \quad d_0(I(t, dx), I^\infty \delta_{x^*}(dx)) \xrightarrow[t \rightarrow +\infty]{} 0,$$

where $\delta_{x^*}(dx)$ denotes the Dirac measure at x^* and

$$I^\infty := \frac{\theta}{\alpha^* \gamma(x^*)} (\mathcal{R}_0(I_0) - 1).$$

Next we describe the large time behavior of the solutions when the function α has a finite number of maxima on the support of $I_0(dx)$. We consider an initial data $(S_0, I_0) \in [0, \infty) \times \mathcal{M}_+(\mathbb{R}^N)$ with I_0 absolutely continuous with respect to the Lebesgue measure dx in \mathbb{R}^N (in other words and with a small abuse of notation, $I_0 \in L^1(\mathbb{R}^N)$) in a neighborhood of the maxima of the fitness function. Recalling the definition of α^* in (4), throughout this section, we shall make use of the following set of assumptions.

By a small abuse of notation, we will identify in this section the function $I_0 \in L^1(\mathbb{R}^N)$ and the associated measure $I_0(x)dx \in \mathcal{M}_+(\mathbb{R}^N)$ when the context is clear.

Assumption 1.11. We assume that:

- (i) the set $\alpha^{-1}(\alpha^*)$ (given in (3)) is a finite set, namely there exist x_1, \dots, x_p in the interior of $\text{supp}(I_0)$ such that $x_i \neq x_j$ for all $i \neq j$ and

$$\alpha^{-1}(\alpha^*) = \{x_1, \dots, x_p\} \text{ and } \mathcal{R}_0 := \frac{\Lambda \alpha^*}{\theta} > 1.$$

- (ii) There exist $\varepsilon_0 > 0$, $M > 1$ and $\kappa_1 \geq 0, \dots, \kappa_p \geq 0$ such that for all $i = 1, \dots, p$ and for almost all $x \in B(x_i, \varepsilon_0) \subset \text{supp}(I_0)$ one has

$$M^{-1}|x - x_i|^{\kappa_i} \leq I_0(x) \leq M|x - x_i|^{\kappa_i}.$$

Here and along this note we use $|\cdot|$ to denote the Euclidean norm of \mathbb{R}^N .

- (iii) The functions α and γ are of class C^2 and there exists $\ell > 0$ such that for each $i = 1, \dots, p$ one has

$$D^2\alpha(x_i)\xi^2 \leq -\ell|\xi|^2, \quad \forall \xi \in \mathbb{R}^N.$$

Remark 1.12. Let us observe that since x_i belongs to the interior of $\text{supp}(I_0)$ then $D\alpha(x_i) = 0$.

In order to state our next result, we introduce the following notation: we write $f(t) \asymp g(t)$ as $t \rightarrow \infty$ if there exists $C > 1$ and $T > 0$ such that

$$C^{-1}|g(t)| \leq |f(t)| \leq C|g(t)|, \quad \forall t \geq T.$$

According to Theorem 1.2 (ii), one has $\alpha^* S(t) \rightarrow 1$ as $t \rightarrow \infty$, and as a special case we conclude that

$$\bar{S}(t) = \frac{1}{t} \int_0^t S(l) dl \rightarrow \frac{1}{\alpha^*} \text{ as } t \rightarrow \infty.$$

As a consequence the function $\eta(t) := \alpha^* \bar{S}(t) - 1$ satisfies $\eta(t) = o(1)$ as $t \rightarrow \infty$. To describe the asymptotic behavior of the solution $(S(t), I(t, dx))$ with initial data S_0 and I_0 as above, we shall derive a precise behavior of η for $t \gg 1$. This refined analysis will allow us to characterize the points of concentration of $I(t, dx)$. Our result reads as follows.

Theorem 1.13. *Let Assumption 1.11 be satisfied. Then the function $\eta = \eta(t)$ satisfies the following asymptotic expansion*

$$\eta(t) = \varrho \frac{\ln t}{t} + O\left(\frac{1}{t}\right), \quad \text{as } t \rightarrow \infty. \quad (15)$$

wherein we have set

$$\varrho := \min_{i=1, \dots, p} \frac{N + \kappa_i}{2\gamma(x_i)}. \quad (16)$$

Moreover there exists $\varepsilon_1 \in (0, \varepsilon_0)$ such that for all $0 < \varepsilon < \varepsilon_1$ and all $i = 1, \dots, p$ one has

$$\int_{|x-x_i| \leq \varepsilon} I(t, dx) \asymp t^{\gamma(x_i)} e^{-\frac{N+\kappa_i}{2}} \text{ as } t \rightarrow \infty. \quad (17)$$

As a special case, for all $\varepsilon > 0$ small enough and all $i = 1, \dots, p$ one has

$$\int_{|x-x_i| \leq \varepsilon} I(t, dx) \begin{cases} \asymp 1 & \text{if } i \in J \\ \rightarrow 0 & \text{if } i \notin J \end{cases} \text{ as } t \rightarrow \infty,$$

where J is the set defined as

$$J := \left\{ i = 1, \dots, p : \frac{N + \kappa_i}{2\gamma(x_i)} = \varrho \right\}. \quad (18)$$

The above theorem states that the function $I(t, dx)$ concentrates on the set of points $\{x_i, i \in J\}$ (see Corollary 1.15 below). Here Assumption 1.5 on the uniform positiveness of the measure $I_0(dx)$ around the points x_i is not satisfied in general, and the measure I concentrates on $\alpha^{-1}(\alpha^*)$ as predicted by Theorem 1.2, but not necessarily on $\alpha^{-1}(\alpha^*) \cap \gamma^{-1}(\gamma^*)$ as would have been given by Proposition 1.7.

In Figure 7 we provide a precise example of this non-standard behavior. The function $\alpha(x)$ is chosen to have two maxima $x_1 = -0.5$ and $x_2 = 0.5$; the precise definition of $\alpha(x)$ is

$$\alpha(x) = \mathbb{P}_{[x_1-\delta, x_1+\delta]}(x) + \mathbb{P}_{[x_2-\delta, x_2+\delta]}(x), \quad (19)$$

where

$$\mathbb{P}_{[a,b]}(x) := \max \left(1 - \frac{(a+b-2x)^2}{(a-b)^2}, 0 \right)$$

is the downward parabolic function of height one and support $[a, b]$ and $\delta = 0.2$. The function $\alpha(x)$ has the exact same local behavior in the neighborhood of x_1 and x_2 . The function $I_0(x)$ is chosen as

$$I_0(x) = \min \left(1, 1024 (x - x_1)^8 \right) \min \left(1, 4 (x - x_2)^2 \right) \mathbb{1}_{[-1,1]}(x), \quad (20)$$

so that $\kappa_1 = 8$ and $\kappa_2 = 2$. Finally we take

$$\gamma(x) = \frac{1}{1 + \mathbb{P}_{[x_1-\delta, x_1+\delta]}(x) + 3\mathbb{P}_{[x_2-\delta, x_2+\delta]}(x)} \quad (21)$$

so that $\gamma(x_1) = \frac{1}{2}$ and $\gamma(x_2) = \frac{1}{4}$. Summarizing, we have

$$\frac{N + \kappa_1}{2\gamma(x_1)} = 9 > 6 = \frac{N + \kappa_2}{2\gamma(x_2)},$$

so that Theorem 1.13 predicts that the mass $I(t, dx)$ will vanish near $x_1 = \alpha^{-1}(\alpha^*) \cap \gamma^{-1}(\gamma^*)$ and concentrate on x_2 .

In addition, the precise expansion of $\eta = \eta(t)$ provided in the above theorem allows us obtain the self-similar behavior of the solution $I(t, dx)$ around the maxima of the fitness function. This asymptotic directly follows from (27).

Corollary 1.14. *For each $i = 1, \dots, p$ and $f \in C_c(\mathbb{R}^N)$, the set of the continuous and compactly supported functions, one has as $t \rightarrow \infty$:*

$$t^{\frac{N}{2}} \int_{\mathbb{R}^N} f \left((x - x_i) \sqrt{t} \right) I(t, dx) \asymp t^{\gamma(x_i)} e^{-\frac{N+\kappa_i}{2}} \int_{\mathbb{R}^N} f(x) |x|^{\kappa_i} \exp \left(\frac{\gamma(x_i)}{2\alpha^*} D^2 \alpha(x_i) x^2 \right) dx. \quad (22)$$

Our next corollary relies on some properties of the ω -limit set of the solution $I(t, dx)$. Using the estimates of the mass around x_i given in (17), it readily follows that any limit measures of $I(t, dx)$ belongs to a linear combination of δ_{x_i} with $i \in J$ and strictly positive coefficients of each of these Dirac masses. This reads as follows.

Corollary 1.15. *Under the same assumptions as in Theorem 1.13, the ω -limit set $\overline{\mathcal{O}}(I_0)$ as defined in Lemma 2.4 satisfies that there exist $0 < A < B$ such that*

$$\overline{\mathcal{O}}(I_0) \subset \left\{ \sum_{i \in J} c_i \delta_{x_i} : (c_i)_{i \in J} \in [A, B]^J \right\}.$$

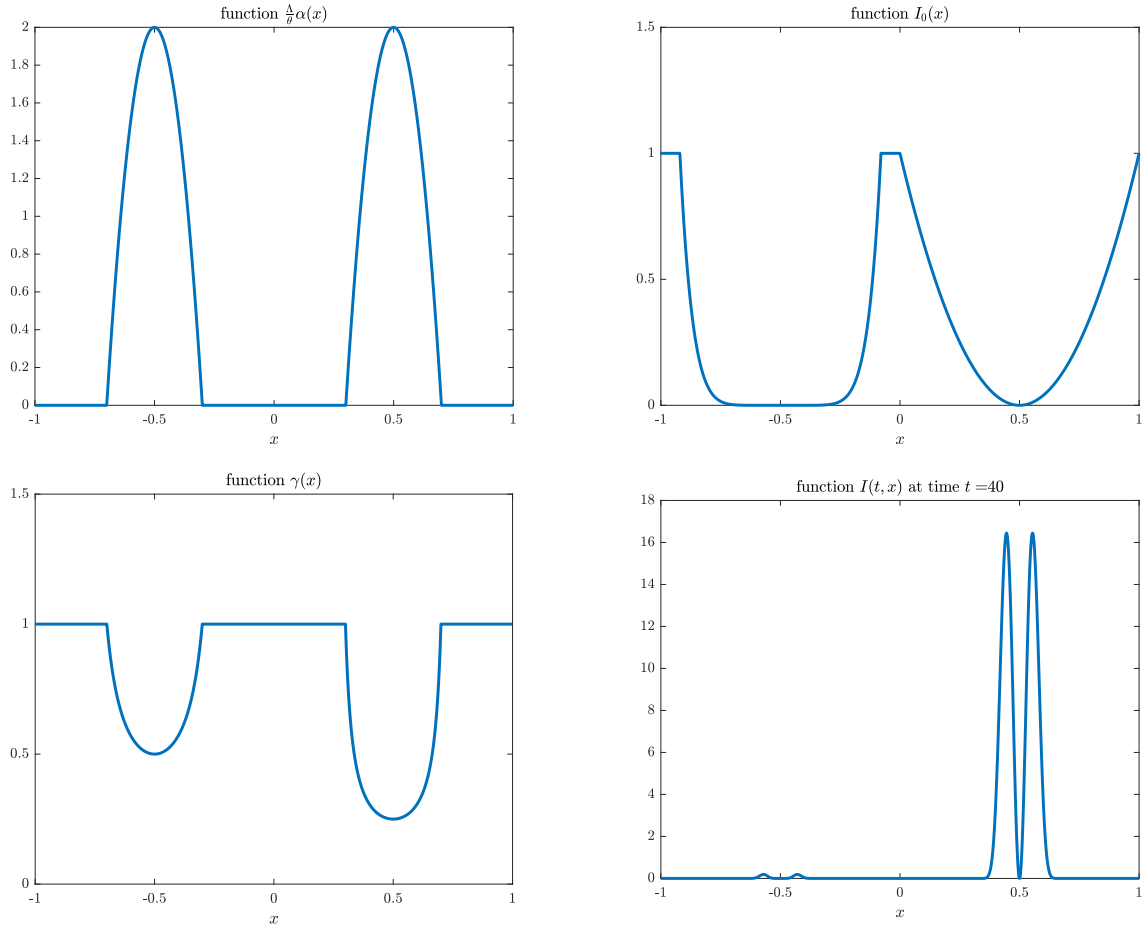


Figure 7: Illustration of Theorem 1.13 and Corollary 1.14. Parameters of this simulation are: $\Lambda = 2$, $\theta = 1$, $\alpha(x)$ is given by (19), $I_0(x)$ by (20) and $\gamma(x)$ by (21). In particular, $\alpha^* = 1$, $\alpha^{-1}(\alpha^*) = \{x_1, x_2\}$ with $x_1 = -0.5$, $x_2 = 0.5$, $\kappa_1 = 8$, $\kappa_2 = 2$, $\gamma(x_1) = 1/2$, $\gamma(x_2) = 1/4$, $\rho = 6$ and $J = \{2\}$. The initial condition I_0 vanishes more rapidly around x_1 than x_2 so that the solution $I(t, x)$ vanishes around x_1 as t goes to ∞ , even though $\gamma(x_1) > \gamma(x_2)$, while around x_2 it takes the shape given by expression (1.14).

1.4 Transient dynamics on local maxima: a numerical example

In many biologically relevant situations it may be more usual to observe situations involving a fitness function with one global maximum and several (possibly many) local maxima, whose values are not exactly equal to the global maximum but very close. In such a situation, while the long-term distribution will be concentrated on the global maximum, one may observe a transient behavior in which the orbits stay close to the equilibrium of the several local maxima situation (corresponding to Theorem 1.13), before it concentrates on the eventual distribution. We leave the analytical treatment of such a situation open for future studies, however, we present a numerical experiment in Figure 8 which shows such a transient behavior.

In this simulation, we took a fitness function presenting one global maximum at $x_2 = +0.5$ and a local maximum at $x_1 = -0.5$, whose value is close to the global maximum. The precise definition of $\alpha(x)$ is

$$\alpha(x) = 0.95 \times \mathbb{P}_{[x_1-\delta, x_1+\delta]}(x) + \mathbb{P}_{[x_2-\delta, x_2+\delta]}(x) \text{ with } \delta = 0.2. \quad (23)$$

The function $I_0(x)$ is chosen as

$$I_0(x) = \min\left(1, 4(x - x_1)^2\right) \min\left(1, 4(x - x_2)^2\right) \mathbb{1}_{[-1,1]}(x), \quad (24)$$

so that $\kappa_1 = 2$ and $\kappa_2 = 2$. Finally,

$$\gamma(x) = \frac{1}{1 + \mathbb{P}_{[x_1-\delta, x_1+\delta]}(x) + 3\mathbb{P}_{[x_2-\delta, x_2+\delta]}(x)} \quad (25)$$

so that $\gamma(x_1) = \frac{1}{2}$ and $\gamma(x_2) = \frac{1}{4}$. Summarizing, we have

$$\frac{N + \kappa_1}{2\gamma(x_1)} = 3 < 6 = \frac{N + \kappa_2}{2\gamma(x_2)}.$$

If $\alpha(x)$ had two global maximum at the same level, Theorem 1.13 would predict that the mass $I(t, dx)$ vanishes near x_2 and concentrates on x_1 . Since the value of $\alpha(x_2)$ is slightly higher than the value of $\alpha(x_1)$, however, it is clear that the eventual distribution will be concentrated on x_2 . We observe numerically (see Figure 8) that the distribution first concentrates on x_1 on a transient time scale, before the dynamics on x_2 takes precedence. We refer to [9] for a related model with mutations where these transient behaviors are analytically characterized.

2 Measure-valued solutions and proof of Theorem 1.2

In this section we derive general properties of the solution of (1) equipped with the given and fixed initial data $S(0) = S_0 \in [0, \infty)$ and $I_0(dx) \in \mathcal{M}_+(\mathbb{R}^N)$. Recall that α^* and $\mathcal{R}_0(I_0)$ are both defined in (4). Next for $\varepsilon > 0$ let us denote by $L_\varepsilon(I_0)$ the following superlevel set:

$$L_\varepsilon(I_0) := \{x \in \text{supp } I_0 : \alpha(x) \geq \alpha^* - \varepsilon\} = \bigcup_{\alpha^* - \varepsilon \leq y \leq \alpha^*} \alpha^{-1}(y). \quad (26)$$

Then the following lemma holds true.

Lemma 2.1. *Let Assumption 1.1 hold and let $(S_0, I_0(dx)) \in \mathbb{R}^+ \times \mathcal{M}_+(\mathbb{R}^N)$ be a given initial condition. Denote $(S(t), I(t, dx))$ the corresponding solution of (1). Then $(S(t), I(t, dx))$ is defined for all $t \geq 0$ and*

$$0 < \frac{\min(\theta, \gamma_*)}{\theta \Lambda \min(\theta, \gamma_*) + \alpha^* \gamma^*} \leq \liminf_{t \rightarrow +\infty} S(t) \leq \limsup_{t \rightarrow +\infty} S(t) \leq \frac{\Lambda}{\theta} < +\infty,$$

$$\limsup_{t \rightarrow +\infty} \int_{\mathbb{R}^N} I(t, dx) \leq \frac{\Lambda}{\min(\theta, \gamma_*)} < +\infty,$$

where $\gamma_* := \inf_{x \in \text{supp } I_0} \gamma(x)$, $\gamma^* := \sup_{x \in \text{supp } I_0} \gamma(x)$ and $\alpha^* := \sup_{x \in \text{supp } I_0} \alpha(x)$.

Proof. We remark that

$$\frac{d}{dt} \left(S(t) + \int_{\mathbb{R}^N} I(t, dx) \right) \leq \Lambda - \theta S(t) - \gamma_* \int_{\mathbb{R}^N} I(t, dx),$$

therefore

$$S(t) + \int_{\mathbb{R}^N} I(t, dx) \leq \frac{\Lambda}{\min(\theta, \gamma_*)} + \left(S_0 + \int_{\mathbb{R}^N} I_0(dx) - \frac{\Lambda}{\min(\theta, \gamma_*)} \right) e^{-\min(\theta, \gamma_*)t}.$$

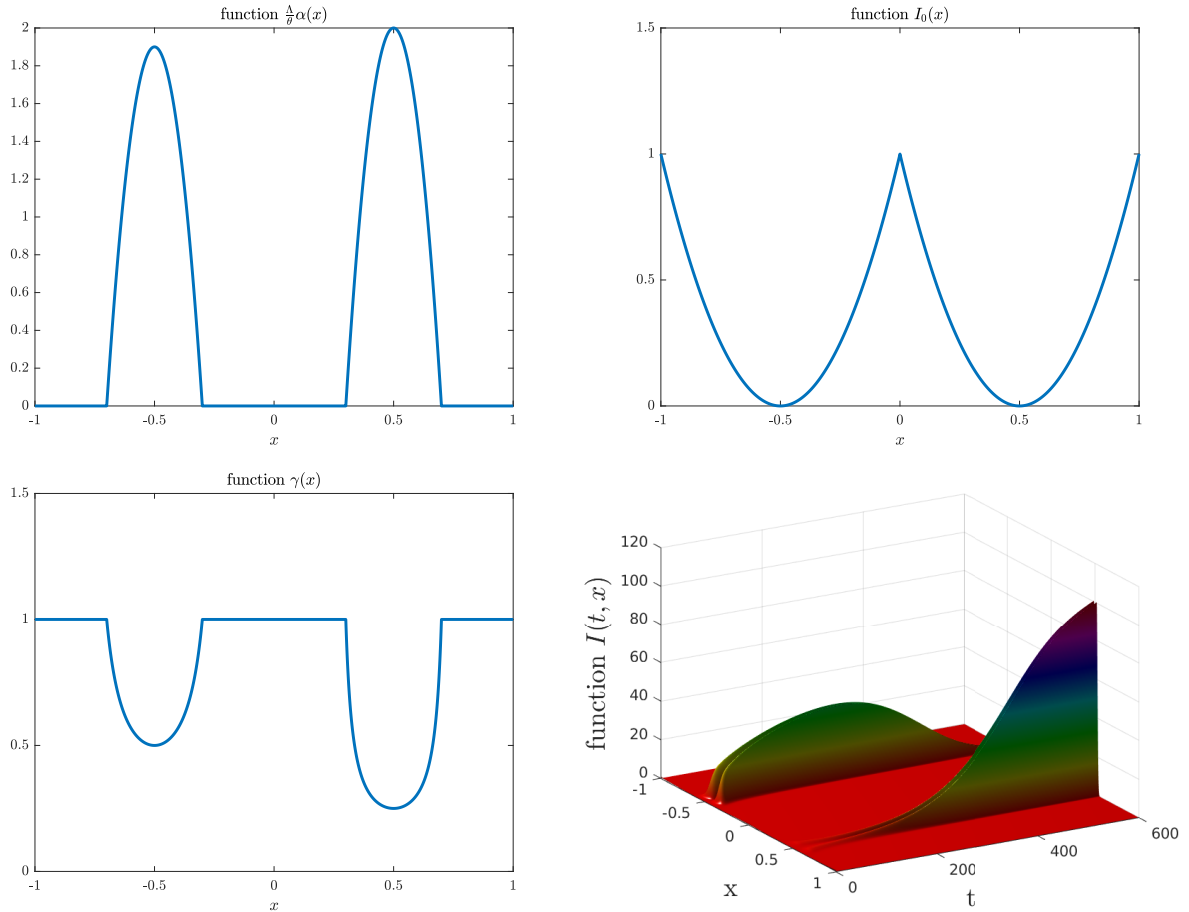


Figure 8: Illustration of a transient behavior for (1). Parameters of this simulation are: $\Lambda = 2$, $\theta = 1$, $\alpha(x)$ is given by (23), $I_0(x)$ by (24) and $\gamma(x)$ by (25). In particular, $\alpha^* = 1$, $\alpha^{-1}(\alpha^*) = \{x_2\}$ with $x_1 = -0.5$, $x_2 = 0.5$. Other parameters are $\kappa_1 = 2$, $\kappa_2 = 2$, $\gamma(x_1) = 1/2$, $\gamma(x_2) = 1/4$. The value of the local maximum at x_1 , $\alpha(x_1) = 0.95$, being very close to α^* , observe that the distribution $I(t, x)$ first concentrates around x_1 before the global maximum x_2 becomes dominant (bottom right plot).

In particular $I(t, dx)$ is uniformly bounded in $\mathcal{M}(\mathbb{R}^N)$ and therefore we have the global existence of the solution as well as

$$\limsup_{t \rightarrow +\infty} \int_{\mathbb{R}^N} I(t, dx) \leq \frac{\Lambda}{\min(\theta, \gamma_*)} \quad \text{and} \quad \limsup_{t \rightarrow +\infty} S(t) \leq \frac{\Lambda}{\min(\theta, \gamma_*)}.$$

Next we return to the S -component of equation (1) and let $\varepsilon > 0$ be given. We have, for t_0 sufficiently large and $t \geq t_0$,

$$S_t = \Lambda - \left(\theta + \int_{\mathbb{R}^N} \alpha(x) \gamma(x) I(t, dx) \right) S(t) \geq \Lambda - \left(\theta + \alpha^* \gamma^* \frac{\Lambda}{\min(\theta, \gamma_*)} + \varepsilon \right) S(t),$$

therefore

$$S(t) \geq e^{-\left(\theta + \frac{\Lambda \alpha^* \gamma^*}{\min(\theta, \gamma_*)} + \varepsilon\right)(t-t_0)} S(t_0) + \frac{\Lambda \min(\theta, \gamma_*)}{(\theta + \varepsilon) \min(\theta, \gamma_*) + \Lambda \alpha^* \gamma^*} \left(1 - e^{-\left(\theta + \frac{\Lambda \alpha^* \gamma^*}{\min(\theta, \gamma_*)} + \varepsilon\right)(t-t_0)} \right),$$

so that finally by letting $t \rightarrow +\infty$ we get

$$\liminf_{t \rightarrow +\infty} S(t) \geq \frac{\min(\theta, \gamma_*) \Lambda}{(\theta + \varepsilon) \min(\theta, \gamma_*) + \Lambda \alpha^* \gamma^*}.$$

Since $\varepsilon > 0$ is arbitrary we have shown

$$\liminf_{t \rightarrow +\infty} S(t) \geq \frac{\min(\theta, \gamma_*) \Lambda}{\theta \min(\theta, \gamma_*) + \Lambda \alpha^* \gamma^*}.$$

The Lemma is proved. \square

Lemma 2.2. *Let Assumption 1.1 hold and let $(S_0, I_0(dx)) \in \mathbb{R}^+ \times \mathcal{M}_+(\mathbb{R}^N)$ be a given nonnegative initial condition. Let $(S(t), I(t, dx))$ be the corresponding solution of (1). Then*

$$\limsup_{T \rightarrow +\infty} \frac{1}{T} \int_0^T S(t) dt \leq \frac{1}{\alpha^*},$$

where α^* is given in (4).

Proof. Let us remark that the second component of (1) can be written as

$$\begin{aligned} I(t, dx) &= I_0(dx) e^{\gamma(x)(\alpha(x) \int_0^t S(s) ds - t)}, \\ &= I_0(dx) \exp \left(\gamma(x) \int_0^t S(s) ds \left[\alpha(x) - \frac{t}{\int_0^t S(s) ds} \right] \right). \end{aligned} \quad (27)$$

Assume by contradiction that the conclusion of the Lemma does not hold, *i.e.* there exists $\varepsilon > 0$ and a sequence $T_n \rightarrow +\infty$ such that

$$\frac{1}{T_n} \int_0^{T_n} S(t) dt \geq \frac{1}{\alpha^*} + \varepsilon.$$

Then

$$\frac{T_n}{\int_0^{T_n} S(t) dt} \leq \frac{1}{\frac{1}{\alpha^*} + \varepsilon} \leq \alpha^* - \varepsilon',$$

where $\varepsilon' = (\alpha^*)^2 \varepsilon + o(\varepsilon)$. Since the mapping $x \mapsto \alpha(x)$ is continuous, the set $L_\nu(I_0) = \{x \in \text{supp } I_0 : \alpha(x) \geq \alpha^* - \nu\}$ has positive mass with respect to the measure $I_0(dx)$ for all $\nu > 0$, *i.e.* $\int_{L_\nu(I_0)} I_0(dx) > 0$. This is true, in particular, for $\nu = \frac{\varepsilon'}{2}$, therefore

$$\begin{aligned} \int_{L_{\varepsilon'/2}(I_0)} I(T_n, dx) &= \int_{L_{\varepsilon'/2}(I_0)} \exp \left(\gamma(x) \int_0^{T_n} S(s) ds \left[\alpha(x) - \frac{T_n}{\int_0^{T_n} S(s) ds} \right] \right) I_0(dx) \\ &\geq \int_{L_{\varepsilon'/2}(I_0)} \exp \left(\gamma_* \int_0^{T_n} S(s) ds \cdot \frac{\varepsilon'}{2} \right) I_0(dx) \\ &= \int_{L_{\varepsilon'/2}(I_0)} I_0(dx) \exp \left(\frac{\varepsilon' \gamma_*}{2} \int_0^{T_n} S(s) ds \right), \end{aligned}$$

where $\gamma_* = \inf_{x \in \text{supp } I_0} \gamma(x)$. Since $\int_{L_{\varepsilon'/2}(I_0)} I_0(dx) > 0$ and $\int_0^{T_n} S(t)dt \rightarrow +\infty$ when $n \rightarrow +\infty$, we have therefore

$$\limsup_{t \rightarrow +\infty} \int_{\mathbb{R}^N} I(t, dx) \geq \limsup_{n \rightarrow +\infty} \int_{L_{\varepsilon'/2}(I_0)} I(T_n, dx) = +\infty,$$

which is a contradiction since $I(t, dx)$ is bounded in $\mathcal{M}(\mathbb{R}^N)$ by Lemma 2.1. This completes the proof of the Lemma. \square

The following kind of weak persistence property holds.

Lemma 2.3. *Let Assumption 1.1 hold and let $(S_0, I_0(dx)) \in \mathbb{R}^+ \times \mathcal{M}_+(\mathbb{R}^N)$ be a given nonnegative initial condition. Let $(S(t), I(t, dx))$ be the corresponding solution of (1). Recalling the definition of α^* in (4), assume that*

$$\mathcal{R}_0(I_0) = \frac{\Lambda}{\theta} \alpha^* > 1.$$

Then

$$\limsup_{t \rightarrow +\infty} \int_{\mathbb{R}^N} I(t, dx) \geq \frac{\theta}{\alpha^* \gamma^*} (\mathcal{R}_0(I_0) - 1) > 0,$$

where $\gamma^* := \sup_{x \in \text{supp } I_0} \gamma(x)$.

Proof. Assume by contradiction that for t_0 sufficiently large we have

$$\int_{\mathbb{R}^N} I(t, dx) \leq \eta' < \eta =: \frac{\theta}{\alpha^* \gamma^*} (\mathcal{R}_0(I_0) - 1) \text{ for all } t \geq t_0,$$

with $\eta' > 0$.

As a consequence of Lemma 2.2 we have

$$\liminf_{t \rightarrow +\infty} S(t) \leq \limsup_{T \rightarrow +\infty} \frac{1}{T} \int_0^T S(t)dt \leq \frac{1}{\alpha^*}. \quad (28)$$

Let $\underline{S} := \liminf_{t \rightarrow +\infty} S(t)$. Let $(t_n)_{n \geq 0}$ be a sequence that tends to ∞ as $n \rightarrow \infty$ and such that $\lim_{n \rightarrow +\infty} S'(t_n) = 0$ and $\lim_{n \rightarrow +\infty} S(t_n) = \underline{S}$. As $\int_{\mathbb{R}^N} I(t_n, dx) \leq \eta'$ for n large enough we deduce from the equality

$$S'(t_n) = \Lambda - \theta S(t_n) - S(t_n) \int_{\mathbb{R}^N} \alpha(x) \gamma(x) I(t_n, dx),$$

that

$$0 \geq \Lambda - \theta \underline{S} - \underline{S} \alpha^* \gamma^* \eta'$$

so that

$$\underline{S} \geq \frac{\Lambda}{\theta + \alpha^* \gamma^* \eta'} > \frac{\Lambda}{\theta + \alpha^* \gamma^* \eta}$$

and by definition of η

$$\underline{S} > \frac{\Lambda}{\theta \mathcal{R}_0} = \frac{1}{\alpha^*},$$

which contradicts (28). \square

Let us remind that $\mathcal{M}_+(\mathbb{R}^N)$, equipped with the Kantorovitch-Rubinstein metric d_0 defined in (2), is a complete metric space.

Lemma 2.4 (Compactness of the orbit and uniform persistence). *Let Assumption 1.1 hold and let $(S_0, I_0(dx)) \in \mathbb{R}^+ \times \mathcal{M}_+(\mathbb{R}^N)$ be a given nonnegative initial condition. Let $(S(t), I(t, dx))$ be the corresponding solution of (1). Assume that there exists $\varepsilon > 0$ such that the superlevel set $L_\varepsilon(I_0)$ (defined in (26)) is bounded. Then, the closure of the orbit of I_0 ,*

$$\overline{\mathcal{O}}(I_0) := \left\{ \mu \in \mathcal{M}_+(\mathbb{R}^N) : \text{there exists a sequence } t_n \geq 0 \text{ such that } d_0(I(t_n, dx), \mu) \xrightarrow[n \rightarrow +\infty]{} 0 \right\},$$

is compact for the topology induced by d_0 (i.e. the weak topology of measures).

If moreover $\mathcal{R}_0(I_0) > 1$, then it holds

$$\liminf_{t \rightarrow +\infty} \int_{\mathbb{R}^N} I(t, dx) > 0.$$

Proof. First of all let us remark that

$$I(t, dx) = e^{\left(\int_0^t S(s) ds \alpha(x) - t\right) \gamma(x)} I_0(dx),$$

and therefore the orbit $t \mapsto I(t, dx)$ is continuous for the metric d_0 .

By Lemma 2.2 we have

$$\limsup_{T \rightarrow +\infty} \frac{1}{T} \int_0^T S(s) ds \leq \frac{1}{\alpha^*},$$

where α^* defined in (4). Let $\varepsilon > 0$ be sufficiently small, so that the set $L_\varepsilon(I_0)$ is bounded and let $R := \sup_{x \in L_\varepsilon(I_0)} \|x\|$. Then there exists $T_0 = T_0(\varepsilon)$ such that

$$\frac{T}{\int_0^T S(t) dt} \geq \alpha^* - \frac{\varepsilon}{2} \text{ for all } T \geq T_0.$$

Therefore if $T \geq T_0$, we have

$$\begin{aligned} \int_{\|x\| \geq R} I(T, dx) &= \int_{\|x\| \geq R} e^{\gamma(x) \int_0^T S(t) dt} \left(\alpha(x) - \frac{T}{\int_0^T S(t) dt} \right) I_0(dx) \\ &\leq \int_{\|x\| \geq R} e^{\gamma(x) \int_0^T S(t) dt} (\alpha(x) - \alpha^* + \frac{\varepsilon}{2}) I_0(dx) \\ &\leq \int_{\|x\| \geq R} e^{-\frac{\varepsilon}{2} \gamma(x) \int_0^T S(t) dt} I_0(dx) \xrightarrow{T \rightarrow +\infty} 0. \end{aligned}$$

In particular, the set $\{I(t, dx) : t \geq 0\}$ is tight and bounded in the absolute variation norm (see Lemma 2.1), therefore precompact for the weak topology by Prokhorov's Theorem [5, Theorem 8.6.2, Vol. II p. 202].

Next we show the weak uniform persistence property if $\mathcal{R}_0(I_0) > 1$. Let $t_n \rightarrow +\infty$ be such that $I(t_n, dx) \xrightarrow[n \rightarrow +\infty]{d_0} I^\infty(dx)$. Then, for $\varepsilon > 0$ sufficiently small we will be fixed in the rest of the proof, we have

$$\inf_{x \in L_\varepsilon(I_0)} \frac{\Lambda}{\theta} \alpha(x) > 1. \quad (29)$$

By Lemma 2.2 we have

$$\frac{t_n}{\int_0^{t_n} S(t) dt} \geq \alpha^* - \frac{\varepsilon}{2} \text{ for all } T \geq T_0,$$

for some $T_0 = T_0(\varepsilon)$. Therefore we get

$$\begin{aligned} \int_{\mathbb{R}^N \setminus L_\varepsilon(I_0)} I(t_n, dx) &= \int_{\mathbb{R}^N \setminus L_\varepsilon(I_0)} e^{\gamma(x) \int_0^{t_n} S(t) dt} \left(\alpha(x) - \frac{t_n}{\int_0^{t_n} S(t) dt} \right) I_0(dx) \\ &\leq \int_{\mathbb{R}^N \setminus L_\varepsilon(I_0)} e^{\gamma(x) \int_0^{t_n} S(t) dt} (\alpha(x) - \alpha^* + \frac{\varepsilon}{2}) I_0(dx) \\ &\leq \int_{\mathbb{R}^N \setminus L_\varepsilon(I_0)} e^{-\frac{\varepsilon}{2} \gamma(x) \int_0^{t_n} S(t) dt} I_0(dx) \xrightarrow{t_n \rightarrow +\infty} 0. \end{aligned}$$

In particular we have $\int_{\mathbb{R}^N \setminus L_\varepsilon(I_0)} I^\infty(dx) = 0$. Recall that, as a consequence of (29), we have $\mathcal{R}_0(I^\infty) := \sup_{x \in \text{supp}(I^\infty)} \frac{\Lambda}{\theta} \alpha(x) \geq \frac{\Lambda}{\theta} \inf_{x \in L_\varepsilon(I_0)} \alpha(x) > 1$. By Lemma 2.3 we have the alternative:

$$\text{either } I(dx) \equiv 0 \text{ or } \int_{\mathbb{R}^N} I^\infty(dx) \geq \frac{\theta}{\alpha^* \gamma^*} (\mathcal{R}_0(I^\infty) - 1) \geq \frac{\theta}{\alpha^* \gamma^*} \left(\frac{\Lambda}{\theta} \inf_{x \in L_\varepsilon(I_0)} \alpha(x) - 1 \right) > 0.$$

This is precisely the weak uniform persistence in the metric space $(\overline{\mathcal{O}}(I_0), d_0)$, which is complete. As a consequence of [36, Proposition 3.2] in the complete (and compact) metric space $M = \overline{\mathcal{O}}(I_0) \cup \{0\}$ equipped with the metric d_0 , with $M_0 = \mathcal{O}(I_0) \setminus \{0\}$, $\partial M_0 = \{0\}$ and

$$\rho(\mathcal{I}) = \int_{\mathbb{R}^N} \mathcal{I}(dx) = \langle \mathcal{I}, 1 \rangle_{\mathcal{M}(\mathbb{R}^N), BC(\mathbb{R}^N)},$$

the Poincaré map is uniformly persistent, where the chevron $\langle \cdot, \cdot \rangle_{\mathcal{M}(\mathbb{R}^N), BC(\mathbb{R}^N)}$ denotes the canonical bilinear mapping on $\mathcal{M}(\mathbb{R}^N) = BC(\mathbb{R}^N)^* \times BC(\mathbb{R}^N)$. Hence this yields

$$\liminf_{t \rightarrow +\infty} \int_{\mathbb{R}^N} I(t, dx) > 0,$$

and the Lemma is proved. \square

Lemma 2.5. *Let Assumption 1.1 hold and let $(S_0, I_0(dx)) \in \mathbb{R}^+ \times \mathcal{M}_+(\mathbb{R}^N)$ be a given nonnegative initial condition. Let $(S(t), I(t, dx))$ be the corresponding solution of (1). Assume that $\mathcal{R}_0(I_0) > 1$ and that $L_\varepsilon(I_0)$ (defined in (26)) is bounded for $\varepsilon > 0$ sufficiently small. Then*

$$\liminf_{T \rightarrow +\infty} \frac{1}{T} \int_0^T S(t) dt \geq \frac{1}{\alpha^*},$$

with α^* given in (4).

Proof. As in the proof of Lemma 2.5, we write

$$\begin{aligned} I(t, dx) &= I_0(dx) e^{(\alpha(x) \int_0^t S(s) ds - t) \gamma(x)}, \\ &= I_0(dx) \exp \left(\gamma(x) \int_0^t S(s) ds \left[\alpha(x) - \frac{t}{\int_0^t S(s) ds} \right] \right). \end{aligned}$$

Assume by contradiction that the conclusion of the Lemma does not hold, i.e. there exists $\varepsilon > 0$ and a sequence $T_n \rightarrow +\infty$ such that

$$\frac{1}{T_n} \int_0^{T_n} S(t) dt \leq \frac{1}{\alpha^*} - \varepsilon.$$

Then

$$\frac{T_n}{\int_0^{T_n} S(t) dt} \geq \frac{1}{\frac{1}{\alpha^*} - \varepsilon} \geq \alpha^* + \varepsilon',$$

where $\varepsilon' = (\alpha^*)^2 \varepsilon + o(\varepsilon)$, provided ε is sufficiently small. Therefore

$$\begin{aligned} \int_{\mathbb{R}^N} I(T_n, dx) dx &= \int_{\mathbb{R}^N} \exp \left(\gamma(x) \int_0^{T_n} S(s) ds \left[\alpha(x) - \frac{T_n}{\int_0^{T_n} S(s) ds} \right] \right) I_0(dx) \\ &\leq \int_{\mathbb{R}^N} \exp \left(-\gamma_0 \int_0^{T_n} S(s) ds \cdot \varepsilon' \right) I_0(dx) \\ &= \exp \left(-\varepsilon' \gamma_0 \int_0^{T_n} S(s) ds \right) \int_{\mathbb{R}^N} I_0(dx), \end{aligned}$$

We have therefore

$$\liminf_{t \rightarrow +\infty} \int_{\mathbb{R}^N} I(t, dx) \leq \liminf_{n \rightarrow +\infty} \int_{\mathbb{R}^N} I(T_n, dx) = 0,$$

which is in contradiction with Lemma 2.4. This completes the proof of the Lemma. \square

Remark 2.6. By combining Lemma 2.2 and Lemma 2.5 we obtain that $\frac{1}{T} \int_0^T S(t) dt$ admits a limit when $T \rightarrow +\infty$ and

$$\lim_{T \rightarrow +\infty} \frac{1}{T} \int_0^T S(t) dt = \frac{1}{\alpha^*}.$$

Lemma 2.7. *Let Assumption 1.1 hold and let $(S_0, I_0(dx)) \in \mathbb{R}^+ \times \mathcal{M}_+(\mathbb{R}^N)$ be a given nonnegative initial condition. Let $(S(t), I(t, dx))$ be the corresponding solution of (1). Assume that $L_\varepsilon(I_0)$ (defined in (26)) is bounded for $\varepsilon > 0$ sufficiently small. Then one has*

$$d_0(I(t, dx), \mathcal{M}_+(\alpha^{-1}(\alpha^*))) \xrightarrow{t \rightarrow +\infty} 0.$$

Proof. Let $\varepsilon > 0$ be as in the statement of Lemma 2.7. By Lemma 2.2, there exists $T \geq 0$ such that for all $t \geq T$ we have

$$\frac{t}{\int_0^t S(s) ds} \geq \alpha^* - \frac{\varepsilon}{2},$$

where $\alpha^* := \sup_{x \in \text{supp } I_0} \alpha(x)$. Hence for $t \geq T$ we have

$$\begin{aligned} \int_{\mathbb{R}^N \setminus L_\varepsilon(I_0)} I(t, dx) &= \int_{\mathbb{R}^N \setminus L_\varepsilon(I_0)} \exp \left(\gamma(x) \cdot \frac{1}{t} \int_0^t S(s) ds \left(\alpha(x) - \frac{t}{\int_0^t S(s) ds} \right) \right) I_0(dx) \\ &\leq \int_{\mathbb{R}^N \setminus L_\varepsilon(I_0)} \exp \left(\gamma(x) \cdot \frac{1}{t} \int_0^t S(s) ds \left(\alpha^* - \varepsilon - \frac{t}{\int_0^t S(s) ds} \right) \right) I_0(dx) \\ &\leq e^{-\frac{\varepsilon}{2} \gamma(x) \int_0^t S(s) ds} \int_{\mathbb{R}^N \setminus L_\varepsilon(I_0)} I_0(dx) \xrightarrow{t \rightarrow +\infty} 0. \end{aligned}$$

In particular, if $I(t, dx)|_{L_\varepsilon(I_0)}$ denotes the restriction of $I(t, dx)$ to $L_\varepsilon(I_0)$, we have $\|(I(t, dx) - I_0)|_{L_\varepsilon(I_0)}\|_{AV} \xrightarrow[t \rightarrow +\infty]{} 0$ and hence

$$d_0(I_0, \mathcal{M}_+(L_\varepsilon(I_0))) \leq d_{AV}(I_0, \mathcal{M}_+(L_\varepsilon(I_0))) \xrightarrow[t \rightarrow +\infty]{} 0.$$

Here $\varepsilon > 0$ can be chosen arbitrarily small. By Lemma 2.1 we know moreover that

$$\limsup_{t \rightarrow +\infty} \int_{\mathbb{R}^N} I(t, dx) \leq \frac{\Lambda}{\min(\theta, \gamma_*)},$$

so that for t sufficiently large, we have

$$\int_{\mathbb{R}^N} I(t, dx) \leq 2 \frac{\Lambda}{\min(\theta, \gamma_*)}.$$

Finally by using Proposition A.5, we have

$$\begin{aligned} d_0(I(t, dx), \mathcal{M}_+(\alpha^{-1}(\alpha^*))) &\leq d_0(I(t, dx), I(t, dx)|_{L_\varepsilon(I_0)}) + d_0(I(t, dx)|_{L_\varepsilon(I_0)}, \mathcal{M}_+(\alpha^{-1}(\alpha^*))) \\ &\leq d_0(I(t, dx), I(t, dx)|_{L_\varepsilon(I_0)}) + 2 \frac{\Lambda}{\min(\theta, \gamma_*)} \sup_{x \in L_\varepsilon(I_0)} d(x, \alpha^{-1}(\alpha^*)). \end{aligned}$$

Since

$$\sup_{x \in L_\varepsilon(I_0)} d(x, \alpha^{-1}(\alpha^*)) \xrightarrow[\varepsilon \rightarrow 0]{} 0,$$

the Kantorovitch-Rubinstein distance between $I(t, dx)$ and $\mathcal{M}(\alpha^{-1}(\alpha^*))$ can indeed be made arbitrarily small as $t \rightarrow +\infty$. This proves the Lemma. \square

Lemma 2.8. *Let Assumption 1.1 hold and let $(S_0, I_0(dx)) \in \mathbb{R}^+ \times \mathcal{M}_+(\mathbb{R}^N)$ be a given nonnegative initial condition. Let $(S(t), I(t, dx))$ be the corresponding solution of (1). Assume that $\alpha(x) \equiv \alpha^*$ is a constant function on $\text{supp } I_0$ such that $\mathcal{R}_0(I_0) > 1$. There exists a stationary solution $(S^*, i^*) \in \mathbb{R}^+ \times L^1(I_0)$ such that*

$$\begin{aligned} S(t) &\xrightarrow[t \rightarrow +\infty]{} S^* = \frac{1}{\alpha^*}, \\ I(t, dx) &\xrightarrow[t \rightarrow +\infty]{AV} i^*(x) I_0(dx). \end{aligned}$$

i^* is a Borel-measurable function on \mathbb{R}^N , which is unique up to a negligible set with respect to $I_0(dx)$. Moreover it satisfies $i^*(x) = e^{\tau\gamma(x)}$, where τ is the unique solution to the equation

$$\int_{\mathbb{R}^N} \gamma(x) e^{\tau\gamma(x)} I_0(dx) = \frac{\theta}{\alpha^*} (\mathcal{R}_0 - 1). \quad (30)$$

Proof. First we check that the proposed stationary solution is indeed unique and a stationary solution. By Lemma 2.2–2.5, $S^* = \frac{1}{\alpha^*}$ is the only possible choice for S^* . Next, we remark that the map

$$\tau \mapsto \int_{\mathbb{R}^N} \gamma(x) e^{\tau\gamma(x)} I_0(dx)$$

is strictly increasing and maps \mathbb{R} onto $(0, +\infty)$, therefore (30) has a unique solution τ and the corresponding function $i^*(x) I_0(dx) := e^{\tau\gamma(x)} I_0(dx)$ satisfies

$$\int_{\mathbb{R}^N} \gamma(x) i^*(x) I_0(dx) = \frac{\theta}{\alpha^*} (\mathcal{R}_0 - 1).$$

Therefore it is not difficult to check that $(S^*, i^*(x) I_0(dx))$ is a stationary solution to the system of differential equations

$$\begin{cases} S'(t) = \Lambda - \theta S(t) - \int_{\mathbb{R}^N} \alpha^* \gamma(x) I(t, dx) \\ I'(t, dx) = \gamma(x) (\alpha^* S(t) - 1) I(t, dx), \end{cases}$$

which is equivalent to (1) on $\text{supp } I_0$.

Next we show the convergence of an initial condition to (S^*, I^*) where $I^*(dx) = i^*(x) I_0(dx)$. To that aim we introduce the Lyapunov functional

$$V(S, I) := S^* g\left(\frac{S}{S^*}\right) + \int_{\mathbb{R}^N} i^*(x) g\left(\frac{I(x)}{i^*(x)}\right) I_0(dx),$$

where $g(s) = s - \ln(s)$. $V(S, I)$ is well-defined when $\ln(I(x)) \in L^1(I_0)$. Let us denote $I(t, dx) = i(t, x)I_0(dx)$ and remark that $V(S(t), i(t, x))$ is always well-defined since $i(t, x) = e^{\alpha(x)\bar{S}(t) - \gamma(x)t}$. We claim that $V'(S(t), i(t, \cdot)) \leq 0$. Indeed, writing $V_1(S) = S^*g\left(\frac{S(t)}{S^*}\right)$ and $V_2(t) = \int_{\mathbb{R}^N} i^*(x)g\left(\frac{i(t, x)}{i^*(x)}\right)I_0(dx)$, we have

$$\begin{aligned} V_1'(t) &= S^* \frac{S'(t)}{S^*} g' \left(\frac{S(t)}{S^*} \right) = \left(\Lambda - \theta S(t) - S(t) \int_{\mathbb{R}^N} \alpha^* \gamma(x) i(t, x) I_0(dx) \right) \left(1 - \frac{S^*}{S(t)} \right) \\ &= \left(\Lambda - \theta S(t) - S(t) \int_{\mathbb{R}^N} \alpha^* \gamma(x) i(t, x) I_0(dx) - \Lambda + \theta S^* + S^* \int_{\mathbb{R}^N} \alpha^* \gamma(x) i^*(x) I_0(dx) \right) \left(1 - \frac{S^*}{S(t)} \right) \\ &= -\theta \frac{(S(t) - S^*)^2}{S(t)} + \left(S^* \int_{\mathbb{R}^N} \alpha^* \gamma(x) i^*(x) I_0(dx) - S(t) \int_{\mathbb{R}^N} \alpha^* \gamma(x) i(t, x) I_0(dx) \right) \left(1 - \frac{S^*}{S(t)} \right), \\ &= -\theta \frac{(S(t) - S^*)^2}{S(t)} + S^* \int_{\mathbb{R}^N} \alpha^* \gamma(x) i^*(x) I_0(dx) - \frac{(S^*)^2}{S(t)} \int_{\mathbb{R}^N} \alpha^* \gamma(x) i^*(x) I_0(dx) \\ &\quad - S(t) \int_{\mathbb{R}^N} \alpha^* \gamma(x) i(t, x) I_0(dx) + S^* \int_{\mathbb{R}^N} \alpha^* \gamma(x) i(t, x) I_0(dx), \end{aligned}$$

and

$$\begin{aligned} V_2'(t) &= \int_{\mathbb{R}^N} i^*(x) \frac{i_t(t, x)}{i^*(x)} g' \left(\frac{i(t, x)}{i^*(x)} \right) I_0(dx) = \int_{\mathbb{R}^N} \gamma(x) (\alpha^* S(t) - 1) i(t, x) \left(1 - \frac{i^*(x)}{i(t, x)} \right) I_0(dx) \\ &= \int_{\mathbb{R}^N} \gamma(x) (\alpha^* S(t) - 1) (i(t, x) - i^*(x)) I_0(dx) \\ &= \int_{\mathbb{R}^N} \gamma(x) \alpha^* S(t) i(t, x) I_0(dx) - \int_{\mathbb{R}^N} \gamma(x) i(t, x) I_0(dx) - \int_{\mathbb{R}^N} \gamma(x) \alpha^* S(t) i^*(x) I_0(dx) \\ &\quad + \int_{\mathbb{R}^N} \gamma(x) i^*(x) I_0(dx). \end{aligned}$$

Recalling $S^* = \frac{1}{\alpha^*}$, we have therefore

$$\begin{aligned} \frac{d}{dt} V(S(t), i(t, \cdot)) &= \frac{d}{dt} V_1(t) + \frac{d}{dt} V_2(t) \\ &= -\theta \frac{(S(t) - S^*)^2}{S(t)} + 2 \int_{\mathbb{R}^N} \gamma(x) i^*(x) dx - \frac{(S^*)^2}{S(t)} \int_{\mathbb{R}^N} \alpha^* \gamma(x) i^*(x) I_0(dx) \\ &\quad - \int_{\mathbb{R}^N} \alpha^* \gamma(x) S(t) i^*(x) I_0(dx). \end{aligned}$$

Since

$$\int_{\mathbb{R}^N} \alpha^* \gamma(x) i^*(x) \left(S(t) + \frac{(S^*)^2}{S(t)} \right) I_0(dx) \geq \int_{\mathbb{R}^N} \alpha^* \gamma(x) i^*(x) \times 2S^* I_0(dx),$$

which stems from the inequality $a + b \geq 2\sqrt{ab}$, we have proved that

$$\frac{d}{dt} V(S(t), i(t, \cdot)) \leq 0.$$

It then follows from classical arguments that $S(t) \rightarrow S^*$ and $i(t, \cdot) \rightarrow i^*(\cdot)$ as $t \rightarrow +\infty$ (where the last limit holds in $L^1(I_0)$). The Lemma is proved. \square

Next we can determine the long-time behavior when the initial measure I_0 puts a positive mass on the set of maximal fitness. Recall that $\alpha^{-1}(\alpha^*)$ (see (3) and (4)) is the set of points in the support of I_0 that have maximal fitness, *i.e.*

$$\alpha^{-1}(\alpha^*) = \bigcap_{\varepsilon > 0} L_\varepsilon(I_0) = \{x \in \text{supp } I_0 : \alpha(x) \geq \alpha(y) \text{ for all } y \in \text{supp } I_0\}.$$

Lemma 2.9. *Assume that $L_\varepsilon(I_0)$ is bounded for $\varepsilon > 0$ sufficiently small and that $\mathcal{R}_0(I_0) > 1$. Suppose that $I_0(\alpha^{-1}(\alpha^*)) > 0$, or in other words,*

$$\int_{\alpha^{-1}(\alpha^*)} I_0(dx) > 0.$$

Then the limit of $I(t, dx)$ is completely determined by the part of I_0 in $\alpha^{-1}(\alpha^)$, that is to say,*

$$d_0(I(t, dx), I_\infty^*(dx)) \xrightarrow{t \rightarrow +\infty} 0,$$

where $I_\infty^(dx)$ is the stationary measure given by Lemma 2.8 associated with the initial condition $I_0^*(dx) := I_0|_{\alpha^{-1}(\alpha^*)}(dx)$, the restriction of $I_0(dx)$ to the set $\alpha^{-1}(\alpha^*)$.*

Proof. First, let us define $\alpha^* := \sup_{x \in \text{supp } I_0} \alpha(x)$ (so that $\alpha(x)$ is a constant equal to α^* on $\alpha^{-1}(\alpha^*)$) and

$$\eta(t) := \alpha^* \bar{S}(t) - 1, \text{ with } \bar{S}(t) = \frac{1}{t} \int_0^t S(s) ds.$$

Then $I(t, dx)$ can be written as

$$I(t, dx) = \exp \left(\gamma(x)t \left[\eta(t) + (\alpha(x) - \alpha^*) \frac{1}{t} \int_0^t S(s) ds \right] \right) I_0(dx).$$

We remark that the function $t \mapsto t\eta(t)$ is bounded. Indeed, by Jensen's inequality we have

$$\exp \left(\int_{\alpha^{-1}(\alpha^*)} \gamma(x)t\eta(t) \frac{I_0(dx)}{\int_{\alpha^{-1}(\alpha^*)} I_0} \right) \leq \int_{\alpha^{-1}(\alpha^*)} e^{\gamma(x)t\eta(t)} \frac{I_0(dx)}{\int_{\alpha^{-1}(\alpha^*)} I_0(dx)},$$

so that

$$t\eta(t) \leq \frac{\int_{\alpha^{-1}(\alpha^*)} I_0}{\int_{\alpha^{-1}(\alpha^*)} \gamma(x)I_0(dx)} \ln \left(\int_{\mathbb{R}^N} e^{\gamma(x)t\eta(t)} \frac{I_0(dx)}{\int_{\mathbb{R}^N} I_0} \right) = \frac{\int_{\alpha^{-1}(\alpha^*)} I_0}{\int_{\alpha^{-1}(\alpha^*)} \gamma(x)I_0(dx)} \ln \left(\frac{1}{\int_{\alpha^{-1}(\alpha^*)} I_0} \int_{\alpha^{-1}(\alpha^*)} I(t, dx) \right).$$

Applying Lemma 2.1, $I(t, dx)$ is bounded and we have indeed an upper bound for $t\eta(t)$. Next, writing

$$I(t, dx) = \exp \left(\gamma(x)t\eta(t) + (\alpha(x) - \alpha^*) \int_0^t S(s) ds \right) I_0(dx)$$

and recalling that $\int_0^t S(s) ds \rightarrow +\infty$ as $t \rightarrow +\infty$, the function $\exp \left(\gamma(x)t\eta(t) + (\alpha(x) - \alpha^*) \int_0^t S(s) ds \right)$ converges almost everywhere (with respect to I_0) to 0 on $\mathbb{R}^N \setminus \alpha^{-1}(\alpha^*)$, so that by Lebesgue's dominated convergence theorem, we have

$$\lim_{t \rightarrow +\infty} \int_{\mathbb{R}^N \setminus \alpha^{-1}(\alpha^*)} I(t, dx) = \int_{\mathbb{R}^N \setminus \alpha^{-1}(\alpha^*)} \lim_{t \rightarrow +\infty} \exp \left(\gamma(x)t\eta(t) + (\alpha(x) - \alpha^*) \int_0^t S(s) ds \right) I_0(dx) = 0.$$

Next it follows from Lemma 2.5 that $\liminf_{t \rightarrow +\infty} I(t, dx) > 0$, so that

$$\liminf_{t \rightarrow +\infty} \int_{\alpha^{-1}(\alpha^*)} I(t, dx) = \liminf_{t \rightarrow +\infty} \int_{\mathbb{R}^N} I(t, dx) > 0.$$

Assume by contradiction that there is a sequence (t_n) such that $t_n\eta(t_n) \rightarrow -\infty$, then

$$\int_{\alpha^{-1}(\alpha^*)} I(t, dx) = \int_{\alpha^{-1}(\alpha^*)} e^{\gamma(x)t_n\eta(t_n)} I_0(dx) \leq \int_{\alpha^{-1}(\alpha^*)} e^{\gamma_* t_n\eta(t_n)} I_0(dx) = e^{\gamma_* t_n\eta(t_n)} \int_{\alpha^{-1}(\alpha^*)} I_0(dx) \xrightarrow[t \rightarrow +\infty]{} 0,$$

where $\gamma_* := \inf_{x \in \text{supp } I_0} \gamma(x) > 0$. This is a contradiction. Therefore there is a constant $\underline{\eta} > 0$ such that

$$t\eta(t) \geq -\underline{\eta} > -\infty.$$

In particular, the function $t \mapsto t\eta(t)$ is bounded by two constants,

$$-\infty < -\underline{\eta} \leq t\eta(t) \leq \bar{\eta} < +\infty.$$

Suppose that there exists a sequence $t_n \rightarrow +\infty$ and $\eta^* \in [-\underline{\eta}, \bar{\eta}]$ such that

$$\lim_{n \rightarrow +\infty} t_n\eta(t_n) = \eta^*.$$

Upon replacing t_n by a subsequence, the function $S(t_n)$ converges to a limit S_0^* and therefore the shifted orbits satisfy

$$(S(t + t_n), I(t + t_n, dx)) \xrightarrow[n \rightarrow +\infty]{} (S^*(t), I^*(t, dx))$$

locally uniformly in time. The resulting orbit $(S^*(t), I^*(t, dx))$ is a solution to (1), defined for all times $t \in \mathbb{R}$, and satisfying

$$\begin{aligned} S^*(0) &= S_0, \\ I^*(0, dx) &= e^{\gamma(x)\eta^*} I_0^*(dx), \end{aligned}$$

where we recall that $I_0^*(dx)$ is the restriction of $I_0(dx)$ to $\alpha^{-1}(\alpha^*)$. By Lemma 2.8, this implies that $S_0^* = \frac{1}{\alpha^*}$ and $I^*(0, dx) = e^{\tau\gamma(x)}I_0^*(dx)$, where τ is uniquely defined by (30) (and independent of the sequence t_n). Therefore $\eta^* = \tau$. We conclude that

$$\lim_{t \rightarrow +\infty} t\eta(t) = \tau,$$

where τ is the constant uniquely defined by (30) with the initial measure $I_0^*(dx)$. This ends the proof of the Lemma. \square

When the set of maximal fitness $\alpha^{-1}(\alpha^*)$ is negligible for I_0 , it is more difficult to obtain a general result for the long-time behavior of $I(t, dx)$. We start with a short but useful estimate on the rate $\eta(t)$

Lemma 2.10. *Assume that $L_\varepsilon(I_0)$ is bounded for $\varepsilon > 0$ sufficiently small and that $\mathcal{R}_0(I_0) > 1$. Suppose that $I_0(\alpha^{-1}(\alpha^*)) = 0$ and set*

$$\eta(t) := \alpha^* \bar{S}(t) - 1, \quad \text{with } \bar{S}(t) = \frac{1}{t} \int_0^t S(s) ds,$$

where $\alpha^* := \sup_{x \in \text{supp } I_0} \alpha(x)$. Then it holds

$$t\eta(t) \xrightarrow{t \rightarrow \infty} +\infty.$$

Proof. Assume by contradiction that there exists a sequence $t_n \rightarrow +\infty$ such that $t_n\eta(t_n)$ has a uniform upper bound as $t_n \rightarrow +\infty$, then observe that the quantity

$$e^{\gamma(x)(\alpha(x)\bar{S}(t_n)-1)t_n} = e^{\gamma(x)(\alpha(x)-\alpha^*)\bar{S}(t_n)t_n + \gamma(x)\eta(t_n)t_n}$$

is uniformly bounded in t_n and vanishes as $t_n \rightarrow +\infty$ almost everywhere with respect to $I_0(dx)$. By a direct application of Lebesgue's dominated convergence Theorem, we have therefore

$$\int_{\mathbb{R}^N} I(t_n, dx) = \int_{\mathbb{R}^N} e^{\gamma(x)(\alpha(x)\bar{S}(t_n)-1)t_n} I_0(dx) \xrightarrow{t_n \rightarrow +\infty} 0,$$

which is in contradiction with Lemma 2.4. We conclude that $t\eta(t) \rightarrow +\infty$ as $t \rightarrow +\infty$. \square

We can now state our convergence result for measures which vanish on $\alpha^{-1}(\alpha^*)$, provided the behavior of I_0 at the boundary is not too pathological. Basically, it says that the selection filters the low values of $\gamma(x)$ near boundary points $x \in \alpha^{-1}(\alpha^*)$.

We are now in the position to prove Theorem 1.2.

Proof of Theorem 1.2. To show the convergence of $S(t)$ to $\frac{1}{\alpha^*}$ (which is present in both i) and ii)), we first remark that

$$\bar{S}(t) = \frac{1}{t} \int_0^t S(s) ds \xrightarrow{t \rightarrow +\infty} \frac{1}{\alpha^*}, \quad (31)$$

as a consequence of Lemma 2.2 and 2.5. Next, let $t_n \rightarrow +\infty$ be an arbitrary sequence, then by the compactness of the orbit proved in Lemma 2.4 we can extract from $S(t_n)$ a subsequence which converges to a number S^* . It follows from (31) that $S^* = \frac{1}{\alpha^*}$.

The convergence of $I(t, dx)$ in case i) was proved in Lemma 2.9.

The uniform persistence of $I(t, dx)$ in case ii) is a consequence of 2.4. The concentration on the maximal fitness was proved in Lemma 2.7. The Theorem is proved. \square

We now turn to the proof of Proposition 1.7 and we first prove that $I(t, dx)$ concentrates on the set of points maximizing both α and γ . This property is summarized in the next lemma.

Lemma 2.11. *Assume that $L_\varepsilon(I_0)$ is bounded for $\varepsilon > 0$ sufficiently small and that $\mathcal{R}_0(I_0) > 1$. Suppose that $I_0(\alpha^{-1}(\alpha^*)) = 0$ and that Assumption 1.5 holds. Recalling the definition of α^* in (4) and γ^* in Assumption 1.5, set $\Gamma_0(I_0)$ be the set of maximal points of γ on $\alpha^{-1}(\alpha^*)$, defined by*

$$\Gamma_0(I_0) := \{x \in \alpha^{-1}(\alpha^*) : \gamma(x) \geq \gamma(y) \text{ for all } y \in \alpha^{-1}(\alpha^*)\} = \gamma^{-1}(\{\gamma^*\}) \cap \alpha^{-1}(\alpha^*).$$

Then one has

$$d_0(I(t, dx), \mathcal{M}_+(\Gamma_0(I_0))) \xrightarrow{t \rightarrow +\infty} 0.$$

Proof. We decompose the proof in several steps.

Step 1: We show that $I(t, dx)$ and $\mathbb{1}_{\alpha(x)\bar{S}(t) \geq 1} I(t, dx)$ are asymptotically close in $\|\cdot\|_{AV}$. That is to say,

$$\|I(t, dx) - \mathbb{1}_{\alpha(\cdot)\bar{S}(t) \geq 1} I(t, dx)\|_{AV} \xrightarrow{t \rightarrow +\infty} 0.$$

Indeed we have

$$I(t, dx) - \mathbb{1}_{\alpha(x)\bar{S}(t) \geq 1} I(t, dx) = \mathbb{1}_{\alpha(x)\bar{S}(t) < 1} I(t, dx) = \mathbb{1}_{\alpha(x)\bar{S}(t) < 1} e^{\gamma(x)(\alpha(x)\bar{S}(t)-1)t} I_0(dx).$$

First note that the function $\mathbb{1}_{\alpha(x)\bar{S}(t) < 1} I(t, dx) = \mathbb{1}_{\alpha(x)\bar{S}(t) < 1} e^{\gamma(x)(\alpha(x)\bar{S}(t)-1)t}$ is uniformly bounded. On the other hand, since $I_0(\alpha^{-1}(\alpha^*)) = 0$ recall that $\bar{S}(t) \rightarrow \frac{1}{\alpha^*}$ for $t \rightarrow \infty$, so that $\mathbb{1}_{\alpha(x)\bar{S}(t) < 1} \rightarrow 0$ as $t \rightarrow \infty$ almost everywhere with respect to I_0 . It follows from Lebesgue's dominated convergence Theorem that

$$\int_{\mathbb{R}^N} \mathbb{1}_{\alpha(x)\bar{S}(t) < 1} e^{\gamma(x)(\alpha(x)\bar{S}(t)-1)t} I_0(dx) \xrightarrow{t \rightarrow +\infty} 0.$$

Step 2: We show that the measure $\mathbb{1}_{\bar{S}(t)y \geq 1} e^{\bar{\gamma}(y\bar{S}(t)-1)t} A(dy)$ is bounded when $t \rightarrow \infty$ for all $\bar{\gamma} < \gamma^*$. Note that $I_0(\alpha^{-1}(\alpha^*)) = 0$ implies that $A(\{\alpha^*\}) = 0$ and remark that one has

$$\int_{\mathbb{R}^N} \mathbb{1}_{\alpha(x)\bar{S}(t) \geq 1} I(t, dx) = \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{\{x \in \alpha^{-1}(y)\}} e^{\gamma(x)(y\bar{S}(t)-1)t} I_0(y, dx) A(dy),$$

so, according to Step 1, for t sufficiently large one has

$$\begin{aligned} \int_{\gamma^{-1}([\bar{\gamma}, \gamma^*])} I(t, dx) &= \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{x \in \alpha^{-1}(y) \cap \gamma^{-1}([\bar{\gamma}, \gamma^*])} e^{\gamma(x)(y\bar{S}(t)-1)t} I_0(y, dx) A(dy) + o(1) \\ &\geq \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{\{\alpha(x)=y\} \cap \gamma^{-1}([\bar{\gamma}, \gamma^*])} e^{\bar{\gamma}(y\bar{S}(t)-1)t} I_0(y, dx) A(dy) + o(1) \\ &= \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{\{\alpha(x)=y\} \cap \gamma^{-1}([\bar{\gamma}, \gamma^*])} I_0(y, dx) e^{\bar{\gamma}(y\bar{S}(t)-1)t} A(dy) + o(1) \\ &\geq m \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} e^{\bar{\gamma}(y\bar{S}(t)-1)t} A(dy) + o(1), \end{aligned}$$

wherein $m > 0$ is the constant associated with $\bar{\gamma}$ in Assumption 1.5. Recalling the upper bound for $I(t, dx)$ from Lemma 2.1, we have

$$\limsup_{t \rightarrow +\infty} \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} e^{\bar{\gamma}(y\bar{S}(t)-1)t} A(dy) \leq \limsup_{t \rightarrow +\infty} \frac{1}{m} \int_{\mathbb{R}^N} I(t, dx) \leq \frac{\Lambda}{m \min(\theta, \gamma_0)} < +\infty.$$

This implies that

$$\limsup_{t \rightarrow +\infty} \int_{\alpha(\text{supp}(I_0))} e^{\bar{\gamma}(y\bar{S}(t)-1)t} A(dy) < \infty.$$

Note that, if the constant m is independent of $\bar{\gamma}$, then the above estimate does not depend on $\bar{\gamma}$ either.

Step 3: We show that $\int \mathbb{1}_{\gamma(x) < \bar{\gamma}} \mathbb{1}_{\bar{S}(t)\alpha(x) \geq 1} I(t, dx)$ vanishes whenever $\bar{\gamma} < \gamma^*$.

Fix $\bar{\gamma} < \gamma^*$ and let $\varepsilon := \frac{\gamma^* - \bar{\gamma}}{2}$. Then we have

$$\begin{aligned} \int_{\gamma^{-1}((-\infty, \bar{\gamma}] \cap \alpha^{-1}([1/\bar{S}(t), \infty)))} I(t, dx) &= \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{x \in \gamma^{-1}((-\infty, \bar{\gamma}] \cap \alpha^{-1}(y))} e^{\gamma(x)(y\bar{S}(t)-1)t} I_0(y, dx) A(dy) \\ &\leq \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{x \in \gamma^{-1}((-\infty, \bar{\gamma}] \cap \alpha^{-1}(y))} I_0(y, dx) e^{(\gamma^* - 2\varepsilon)(y\bar{S}(t)-1)t} A(dy) \\ &\leq \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{x \in \alpha^{-1}(y)} I_0(y, dx) e^{-\varepsilon(y\bar{S}(t)-1)t} e^{\bar{\gamma}(y\bar{S}(t)-1)t} A(dy). \end{aligned}$$

Reducing ε if necessary we may assume that $\frac{\bar{\gamma}}{\varepsilon} > 1$. Therefore it follows from Hölder's inequality that

$$\begin{aligned}
\int_{\gamma^{-1}((-\infty, \bar{\gamma}] \cap \alpha^{-1}([1/\bar{S}(t), \infty))} I(t, dx) &\leq \left(\int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \left(e^{-\varepsilon(y\bar{S}(t)-1)t} \right)^{\frac{\bar{\gamma}}{\varepsilon}} e^{\bar{\gamma}(y\bar{S}(t)-1)t} A(dy) \right)^{\frac{\bar{\gamma}}{\bar{\gamma}-\varepsilon}} \\
&\times \left(\int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \left(\int_{\alpha(x)=y} I_0(y, dx) \right)^{\frac{\bar{\gamma}}{\bar{\gamma}-\varepsilon}} e^{\bar{\gamma}(y\bar{S}(t)-1)t} A(dy) \right)^{1-\frac{\bar{\gamma}}{\bar{\gamma}-\varepsilon}} \\
&\leq \left(\int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} A(dy) \right)^{\frac{\bar{\gamma}}{\bar{\gamma}}} \left(\int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} e^{\bar{\gamma}(y\bar{S}(t)-1)t} A(dy) \right)^{1-\frac{\bar{\gamma}}{\bar{\gamma}}} \\
&= I_0(L_{\alpha^* - \min(\alpha^*, 1/\bar{S}(t))}(I_0))^{\frac{\bar{\gamma}}{\bar{\gamma}}} \left(\int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} e^{\bar{\gamma}(y\bar{S}(t)-1)t} A(dy) \right)^{1-\frac{\bar{\gamma}}{\bar{\gamma}}}.
\end{aligned} \tag{32}$$

Since $\bar{S}(t) \rightarrow 1/\alpha^*$ as $t \rightarrow \infty$, $I_0(L_\varepsilon(I_0)) \xrightarrow{\varepsilon \rightarrow 0} 0$ and by the boundedness of $\int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} e^{\bar{\gamma}(y\bar{S}(t)-1)t} A(dy)$ shown in Step 2, we have indeed

$$\int_{\gamma^{-1}((-\infty, \bar{\gamma}] \cap \alpha^{-1}([1/\bar{S}(t), \infty))} I(t, dx) \xrightarrow{t \rightarrow +\infty} 0, \quad \square$$

and this completes proof of Lemma 2.11.

Proof of Proposition 1.7. The concentration of the distribution to $\mathcal{M}_+(\alpha^{-1}(\alpha^*) \cap \gamma^{-1}(\gamma^*))$ was shown in Lemma 2.11.

Next we prove the asymptotic mass. Pick a sentence $t_n \rightarrow +\infty$. By the compactness of the orbit (proved in Lemma 2.4) we can extract from t_n a subsequence t'_n such that there exists a Radon measure $I^\infty(dx)$ with

$$d_0(I(t, dx), I^\infty(dx)) \xrightarrow{t \rightarrow +\infty} 0,$$

and since $S(t) \rightarrow \frac{1}{\alpha^*}$ and upon further extraction, $S'(t'_n) \rightarrow 0$. Therefore,

$$\int_{\mathbb{R}^N} \alpha(x)\gamma(x)I(t'_n, dx) = \frac{\Lambda - S_t(t'_n)}{S(t'_n)} - \theta \xrightarrow{n \rightarrow +\infty} \alpha^*\Lambda - \theta = \theta(\mathcal{R}_0(I_0) - 1).$$

By the concentration result in Lemma 2.11, I^∞ is concentrated on $\alpha^{-1}(\alpha^*) \cap \gamma^{-1}(\gamma^*)$. Therefore

$$\alpha^*\gamma^* \int I^\infty(dx) = \int \alpha(x)\gamma(x)I^\infty(dx) = \lim_{n \rightarrow +\infty} \int \alpha(x)\gamma(x)I(t'_n, dx) = \theta(\mathcal{R}_0(I_0) - 1),$$

so that

$$\lim_{n \rightarrow +\infty} \int I(t'_n, dx) = \int I^\infty(dx) = \frac{\theta}{\alpha^*\gamma^*} (\mathcal{R}_0(I_0) - 1).$$

Since the limit is independent of the sequence t_n , we have indeed shown that

$$\lim_{t \rightarrow +\infty} \int_{\mathbb{R}^N} I(t, dx) = \frac{\theta}{\alpha^*\gamma^*} (\mathcal{R}_0(I_0) - 1).$$

To prove the last statement, set

$$f(t) := \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{\bar{\gamma}}^{\gamma^*} e^{z(y\bar{S}(t)-1)t} I_0^\alpha(y, dz) A(dy),$$

where $\bar{\gamma} < \gamma^*$. It follows from (32) that

$$\int_{\gamma^{-1}((-\infty, \bar{\gamma}] \cap \alpha^{-1}([1/\bar{S}(t), \infty))} I(t, dx) \xrightarrow{t \rightarrow +\infty} 0,$$

therefore

$$f(t) = \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{\bar{\gamma}}^{\gamma^*} \int_{\{\gamma(x)=z\}} e^{z(y\bar{S}(t)-1)t} I_0^{\alpha, \gamma}(y, z, dx) I_0^\alpha(z, dy) A(dy) = \int_{\gamma^{-1}([\bar{\gamma}, \gamma^*] \cap \alpha^{-1}([1/\bar{S}(t), \infty))} I(t, dx)$$

satisfies

$$0 < \liminf_{t \rightarrow +\infty} \int I(t, dx) = \liminf_{t \rightarrow +\infty} \int_{\gamma^{-1}((-\infty, \bar{\gamma}]) \cap \alpha^{-1}([1/\bar{S}(t), \infty))} I(t, dx) \leq \liminf_{t \rightarrow +\infty} f(t)$$

Remark that

$$\begin{aligned} \int \mathbb{1}_U(x) \mathbb{1}_{\gamma \geq \bar{\gamma}} \mathbb{1}_{S(t)y \geq 1} I(t, dx) &= \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{\bar{\gamma}}^{\gamma^*} \int_{\{\gamma(x)=z\}} \mathbb{1}_U(x) e^{z(yS(t)-1)t} I_0^{\alpha, \gamma}(y, z, dx) I_0^\alpha(y, dz) A(dy) \\ &= \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{\bar{\gamma}}^{\gamma^*} \int_{\{\gamma(x)=z\}} \mathbb{1}_U(x) I_0^{\alpha, \gamma}(y, z, dx) e^{z(yS(t)-1)t} I_0^\alpha(y, dz) A(dy) \\ &\geq \int_{\min(\alpha^*, 1/\bar{S}(t))}^{\alpha^*} \int_{\bar{\gamma}}^{\gamma^*} \frac{m}{2} e^{z(yS(t)-1)t} I_0^\alpha(y, dz) A(dy) \\ &\geq f(t) \frac{m}{2}, \end{aligned}$$

provided t is sufficiently large and $\bar{\gamma}$ is sufficiently close to γ^* , where

$$m := \liminf_{\varepsilon \rightarrow 0} \operatorname{ess\,inf}_{\alpha^* - \varepsilon \leq y \leq \alpha^*} \operatorname{ess\,inf}_{\gamma^* - \varepsilon \leq z \leq \gamma^*} \int \mathbb{1}_{x \in U} I_0^{\alpha, \gamma}(y, z, dx) > 0.$$

Therefore

$$\liminf_{t \rightarrow +\infty} \int_U I(t, dx) \geq \frac{m}{2} \liminf_{t \rightarrow +\infty} f(t) > 0.$$

This completes proof of Proposition 1.7. \square

3 The case of discrete systems. Proof of Theorem 1.8 and 1.9

In this section we show how the theory for discrete systems can be included in the theory for measure-valued solutions to (1) in \mathbb{R}^N . Rather than doing a direct proof of the results, we show how the general results from Section 2 can be applied to prove Theorem 1.8 and 1.9. In particular, we rely heavily on Theorem 1.2, which has been proven in Section 2 (independently of Theorem 1.8 and 1.9).

Proof of Theorem 1.8. Let us choose n distinct real numbers x_1, \dots, x_n . Then there exist continuous functions $\alpha(x)$ and $\gamma(x)$ such that

$$\alpha(x_i) = \alpha_i \text{ and } \gamma(x_i) = \gamma_i \text{ for all } i = 1, \dots, n.$$

There are many ways to construct $\alpha(x)$ and $\gamma(x)$; for instance one can work with Lagrange polynomials and interpolate with a constant value outside of a ball and when the values of $\gamma(x)$ become close to 0. In particular one can impose that $\alpha(x)$ and $\gamma(x)$ are bounded and that $\gamma(x) > 0$ for all $x \in \mathbb{R}^N$, thus meeting Assumption 1.1. Now define the initial data

$$I_0(dx) := \sum_{i=1}^n I_0^i \delta_{x_i}.$$

Clearly, the solution $(S(t), I(t, dx))$ to (1) can be identified with the solution $(S(t), I^i(t))$ to (11) by the formula

$$I(t, dx) = \sum_{i=1}^n I^i(t) \delta_{x_i}.$$

Since the set $\{x : \alpha(x) = \alpha^*\}$ has non-zero measure for I_0 , we are in the situation i) of Theorem 1.2 and Theorem 1.8 can therefore be deduced from Theorem 1.2. \square

Proof of Theorem 1.9. As in the proof of Theorem 1.8, we identify the solutions to (12) with the solutions $I(t, dx)$ to (1) through the formula

$$I_0(dx) := \sum_{i=1}^{+\infty} I_0^i \delta_{x_i}, \quad I(t, dx) = \sum_{i=1}^{+\infty} I^i(t) \delta_{x_i},$$

only this time we choose $x_i = (\alpha_i, \gamma_i) \in \mathbb{R}^2$. Because of this particular choice, it is fairly easy to construct $\alpha(x)$ and $\gamma(x)$ by the formula

$$\alpha(x_1, x_2) := \alpha^\infty f\left(\frac{x_1}{\alpha^\infty}\right), \quad \gamma(x_1, x_2) := \left| \gamma^\infty f\left(\frac{x_2 - \gamma_0}{\gamma^\infty}\right) \right| + \gamma_0,$$

where $\alpha^\infty := \sup |\alpha_i|$, $\gamma^\infty := \sup |\gamma_i|$, $\gamma_0 = \inf \gamma_i$ and $f(x) := \min(\max(x, -1), 1)$. Then the conclusions of Theorem 1.9 in case i) are given by a direct application of Theorem 1.2. Suppose that the set $\{i : \alpha_i = \alpha^*\}$ is empty, then we are in case ii) of Theorem 1.2, and we can readily conclude that

$$S(t) \xrightarrow[t \rightarrow +\infty]{} \frac{1}{\alpha^*} \text{ and } \liminf_{t \rightarrow +\infty} \sum_{i=1}^{+\infty} I^i(t) = \liminf_{t \rightarrow +\infty} \int_{\mathbb{R}^2} I(t, dx) > 0.$$

If we assume moreover that $\alpha_n \rightarrow \alpha^*$ and $\gamma_n \rightarrow \gamma^*$, then the maximum of $\alpha(x)$ on $\text{supp } I_0$ is attained at a single point (α^*, γ^*) and we can apply Theorem 1.10 to find that

$$I(t, dx) \xrightarrow[t \rightarrow +\infty]{} I^\infty \delta_{(\alpha^*, \gamma^*)},$$

where $I^\infty = \frac{\theta}{\gamma^*}(\mathcal{R}_0^* - 1)$. This finishes the proof of Theorem 1.9. \square

4 The case of a finite number of regular maxima

In this section we prove Theorem 1.13. To that aim, we shall make use of the following formula

$$I(t, dx) = \exp\left(\gamma(x) \left(\alpha(x) \int_0^t S(s) ds - t\right)\right) I_0(dx). \quad (33)$$

Recall also the definition of $\eta(t)$:

$$\eta(t) = \alpha^* \frac{1}{t} \int_0^t S(s) ds - 1 = \alpha^* \bar{S}(t) - 1.$$

Proof of Theorem 1.13. We split the proof of this result into three parts. We first derive a suitable upper bound. We then derive a lower bound in a second step and we conclude the proof of the theorem by estimating the large time asymptotic of the mass of I around each point of $\alpha^{-1}(\alpha^*)$.

Upper bound:

Let $i = 1, \dots, p$ be given. Recall that $\nabla \alpha(x_i) = 0$. Now due to (iii) in Assumption 1.11 there exist $m > 0$ and $T > \varepsilon_0^{-2}$ large enough such that for all $t \geq T$ and for all $y \in B\left(0, t^{-\frac{1}{2}}\right)$ we have

$$\alpha(x_i + y) - \alpha^* \leq -\alpha^* m \|y\|^2.$$

As a consequence, setting

$$\Gamma(x) = \gamma(x) \frac{\alpha(x)}{\alpha^*},$$

we infer from (33) and the lower estimate of I_0 around x_i given in Assumption 1.11 (ii), that for all $t > T$

$$\int_{\|x_i - x\| \leq t^{-\frac{1}{2}}} I(t, dx) \geq M^{-1} \int_{|y| \leq t^{-\frac{1}{2}}} |y|^{\kappa_i} \exp[t\eta(t)\Gamma(x_i + y) - t\gamma(x_i + y)m|y|^2] dy.$$

Next since the function $I = I(t, dx)$ has a bounded mass, there exists some constant $C > 0$ such that

$$\int_{\mathbb{R}^N} I(t, dx) \leq C, \quad \forall t \geq 0.$$

Coupling the two above estimates yields for all $t > T$

$$\int_{|y| \leq t^{-\frac{1}{2}}} |y|^{\kappa_i} \exp[t\eta(t)\Gamma(x_i + y) - t\gamma(x_i + y)m|y|^2] dy \leq MC.$$

Hence setting $z = y\sqrt{t}$ into the above integral rewrites as

$$\int_{|z| \leq 1} t^{-\frac{\kappa_i}{2}} |z|^{\kappa_i} \exp\left[t\eta(t)\Gamma(x_i + t^{-\frac{1}{2}}z) - \gamma(x_i + t^{-\frac{1}{2}}z)m|z|^2\right] \frac{dz}{t^{N/2}} \leq MC, \quad \forall t > T.$$

Now, since γ and α are both smooth functions, we have uniformly for $|z| \leq 1$ and $t \gg 1$:

$$\begin{aligned} \Gamma(x_i + t^{-\frac{1}{2}}z) &= \gamma(x_i) + O\left(t^{-\frac{1}{2}}\right), \\ \gamma(x_i + t^{-\frac{1}{2}}z) &= \gamma(x_i) + O\left(t^{-\frac{1}{2}}\right). \end{aligned}$$

This yields for all $t \gg 1$

$$\begin{aligned} \int_{|z| \leq 1} t^{-\frac{\kappa_i}{2}} |z|^{\kappa_i} \exp \left[t\eta(t) \left(\gamma(x_i) + O\left(t^{-\frac{1}{2}}\right) \right) - \gamma(x_i)m|z|^2 \right] \frac{dz}{t^{N/2}} &\leq CM, \\ t^{-\frac{\kappa_i}{2} - \frac{N}{2}} e^{t\eta(t)(\gamma(x_i) + O(t^{-\frac{1}{2}}))} \int_{|z| \leq 1} |z|^{\kappa_i} e^{-\gamma(x_i)m|z|^2} dz &\leq CM, \end{aligned}$$

that also ensures the existence of some constant $c_1 \in \mathbb{R}$ such that

$$t\eta(t) \left(\gamma(x_i) + O\left(t^{-\frac{1}{2}}\right) \right) - \frac{N + \kappa_i}{2} \ln t \leq c_1, \quad \forall t \gg 1,$$

or equivalently

$$\eta(t) \leq \frac{N + \kappa_i}{2\gamma(x_i)} \frac{\ln t}{t} + O\left(\frac{1}{t}\right) \text{ as } t \rightarrow \infty.$$

Since the above upper-bound holds for all $i = 1, \dots, p$, we obtain the following upper-bound

$$\eta(t) \leq \varrho \frac{\ln t}{t} + O\left(\frac{1}{t}\right) \text{ as } t \rightarrow \infty, \quad (34)$$

where ϱ is defined in (16).

Lower bound:

Let $\varepsilon_1 \in (0, \varepsilon_0)$ small enough be given such that for all $i = 1, \dots, p$ and $|y| \leq \varepsilon_1$ one has

$$\alpha(x_i + y) \leq \alpha^* - \frac{\ell}{2}|y|^2.$$

Herein $\ell > 0$ is defined in Assumption 1.11 (iii). Next define $m > 0$ by

$$m = \frac{\ell}{2} \min_{i=1, \dots, p} \min_{|y| \leq \varepsilon_1} \gamma(x_i + y) > 0.$$

Recall that $\Gamma(x) = \frac{\alpha(x)\gamma(x)}{\alpha^*}$ and $\nabla\Gamma(x) = \frac{1}{\alpha^*} (\alpha(x)\nabla\gamma(x) + \gamma(x)\nabla\alpha(x))$. Consider $M > 0$ such that for all $k = 1, \dots, p$ and all $|x - x_k| \leq \varepsilon_1$ one has

$$|\Gamma(x) - \gamma(x_k) - \nabla\gamma(x_k) \cdot (x - x_k)| \leq M|x - x_k|^2. \quad (35)$$

Next fix $i = 1, \dots, p$ and $\varepsilon \in (0, \varepsilon_1)$. Then one has for all $t > 0$

$$\begin{aligned} \int_{|x-x_i| \leq \varepsilon} I(t, dx) &\leq \int_{|x-x_i| \leq \varepsilon} \exp \left[t\eta(t)\Gamma(x) - tm|x - x_i|^2 \right] I_0(dx) \\ &\leq e^{t\eta(t)\gamma(x_i)} \int_{|x-x_i| \leq \varepsilon} \exp \left[t \left(\eta(t)\nabla\gamma(x_k) \cdot (x - x_i) - (m + O(\eta(t)))|x - x_i|^2 \right) \right] I_0(dx). \end{aligned}$$

Now observe that for all $t \gg 1$ one has

$$\eta(t)\nabla\gamma(x_k) \cdot (x - x_i) - (m + O(\eta(t)))|x - x_i|^2 = -(m + O(\eta(t))) \left| x - x_i - \frac{\eta(t)\nabla\gamma(x_i)}{2(m + O(\eta(t)))} \right|^2 + \frac{\eta(t)^2 \|\nabla\gamma(x_i)\|^2}{4(m + O(\eta(t)))},$$

so that we get, using Assumption 1.11 (ii), that

$$\begin{aligned} \int_{|x-x_i| \leq \varepsilon} I(t, dx) &\leq e^{t\eta(t)\gamma(x_i) + \frac{t\eta(t)^2 \|\nabla\gamma(x_i)\|^2}{4(m + O(\eta(t)))}} \int_{|x-x_i| \leq \varepsilon} \exp \left[-(m + O(\eta(t)))t \left| x - x_i - \frac{\eta(t)\nabla\gamma(x_i)}{2(m + O(\eta(t)))} \right|^2 \right] I_0(dx) \\ &\leq M e^{t\eta(t)\gamma(x_i) + \frac{t\eta(t)^2 \|\nabla\gamma(x_i)\|^2}{4(m + O(\eta(t)))}} \\ &\quad \times \int_{|x-x_i| \leq \varepsilon} |x - x_i|^{\kappa_i} \exp \left[-(m + O(\eta(t)))t \left| x - x_i - \frac{\eta(t)\nabla\gamma(x_i)}{2(m + O(\eta(t)))} \right|^2 \right] dx. \end{aligned}$$

We now make use of the following change of variables in the above integral

$$z = \sqrt{t} \left(x - x_i - \frac{\eta(t)\nabla\gamma(x_i)}{2(m + O(\eta(t)))} \right),$$

so that we end up with

$$\int_{|x-x_i|\leq\varepsilon} I(t, dx) \leq t^{-\frac{N+\kappa_i}{2}} e^{t\eta(t)\gamma(x_i) + \frac{t\eta(t)^2\|\nabla\gamma(x_i)\|^2}{4(m+O(\eta(t)))}} C(t),$$

with $C(t)$ given by

$$C(t) := M \int_{|z|\leq\sqrt{t}(\varepsilon+O(\eta(t)))} |z + \sqrt{t}O(\eta(t))|^{\kappa_i} e^{-\frac{m+O(\eta(t))}{2}|z|^2} dz.$$

Now let us recall that Lemma 2.10 ensures that

$$\lim_{t\rightarrow\infty} t\eta(t) = \infty.$$

Hence one already knows that $\eta(t) \geq 0$ for all $t \gg 1$. Moreover (34) ensures that

$$\lim_{t\rightarrow\infty} \sqrt{t}\eta(t) = 0,$$

so that Lebesgue convergence theorem ensures that

$$C(t) \rightarrow C_\infty := M \int_{\mathbb{R}^N} |z|^{\kappa_i} e^{-\frac{m}{2}|z|^2} dz \in (0, \infty) \text{ as } t \rightarrow \infty.$$

As a conclusion of the above analysis, we have obtained that there exists some constant C' such that for all $\varepsilon \in (0, \varepsilon_1)$ and all $i = 1, \dots, p$ one has

$$\int_{|x-x_i|\leq\varepsilon} I(t, dx) \leq C' t^{-\frac{N+\kappa_i}{2}} e^{t\eta(t)\gamma(x_i)}, \quad \forall t \gg 1. \quad (36)$$

Since $I(t, dx)$ concentrates on $\alpha^{-1}(\alpha^*)$, then for all $\varepsilon \in (0, \varepsilon_1)$ one has

$$\int_{\mathbb{R}^N} I(t, dx) = \sum_{i=1}^p \int_{|x-x_i|\leq\varepsilon} I(t, dx) dx + o(1) \text{ as } t \rightarrow \infty.$$

Using the persistence of I stated in Theorem 1.2 (see Lemma 2.1), we end-up with

$$0 < \liminf_{t\rightarrow\infty} \int_{\mathbb{R}^N} I(t, dx) \leq \liminf_{t\rightarrow\infty} \sum_{i=1}^p \int_{|x-x_i|\leq\varepsilon} I(t, dx),$$

so that (36) ensures that there exists $c > 0$ and $T > 0$ such that

$$0 < c \leq \sum_{i=1}^p e^{\gamma(x_i)(t\eta(t) - \frac{N+\kappa_i}{2\gamma(x_i)} \ln t)}, \quad \forall t \geq T. \quad (37)$$

Now recalling the definition of ϱ and J in (16) and (18), the upper bound for $\eta(t)$ provided in (34) implies

$$\sum_{i \notin J} e^{\gamma(x_i)(t\eta(t) - \frac{N+\kappa_i}{2\gamma(x_i)} \ln t)} \rightarrow 0 \text{ as } t \rightarrow \infty,$$

and (37) rewrites as

$$0 < \frac{c}{2} \leq \sum_{i \in J} e^{\gamma(x_i)(t\eta(t) - \varrho \ln t)}, \quad \forall t \gg 1.$$

This yields

$$\liminf_{t\rightarrow\infty} (t\eta(t) - \varrho \ln t) > -\infty,$$

that is

$$\eta(t) \geq \varrho \frac{\ln t}{t} + O\left(\frac{1}{t}\right) \text{ as } t \rightarrow \infty. \quad (38)$$

Then (15) follows coupling (34) and (38).

Estimate of the masses: In this last step we turn to the proof of (17). Observe first that the upper estimate directly follows from the asymptotic expansion of $\eta(t)$ in (15) together with (36). Next, the proof for the lower estimate follows from similar inequalities as the one derived in the second step above. \square

APPENDIX

A Measure theory on metric spaces

In this Section we let (M, d) be a complete metric space. Let $\mathcal{K}(M)$ be the set of compact subsets in M and let $K \in \mathcal{K}(M)$. We first recall that we can define a kind of frame of reference, internal to K , which allows to identify each point in K .

Let us denote $\mathcal{K}(M)$ the set formed by all compact subsets of M . Recall that $(\mathcal{K}(M), d_H)$ is a complete metric space, where d_H is the Hausdorff distance

$$d_H(K_1, K_2) = \max \left(\sup_{x \in K_1} d(x, K_2), \sup_{x \in K_2} d(x, K_1) \right).$$

Proposition A.1 (Metric coordinates). *There exists a finite number of points $x_1, \dots, x_n \in K$ with the property that each $y \in K$ can be identified uniquely by the distance between y and x_1, \dots, x_n . In other words the map*

$$y \xrightarrow{c_K} \begin{pmatrix} d(y, x_1) \\ \vdots \\ d(y, x_n) \end{pmatrix} \in \mathbb{R}_+^n,$$

is one-to-one. Moreover c_K is continuous and its reciprocal function $c_K^{-1} : c_K(K) \rightarrow K$ is also continuous.

Proof. Let us choose $x_1 \in K$ and $x_2 \in K$ such that $x_1 \neq x_2$. We recursively construct a sequence x_n and a compact set K_n such that

$$K_n = \{y \in K : d(y, x_i) = d(y, x_1) \text{ for all } 1 \leq i \leq n\},$$

$$x_{n+1} \in K_n,$$

the choice of x_{n+1} being arbitrary. Clearly K_n is a compact set and $K_{n+1} \subsetneq K_n$. Suppose by contradiction that $K_n \neq \emptyset$ for all $n \in \mathbb{N}$, then (because K is compact) one can construct a sequence $x_{\varphi(n)}$, extracted from x_n , and which converges to a point

$$x = \lim_{n \rightarrow +\infty} x_{\varphi(n)} \in \bigcap_{n \in \mathbb{N}} K_n =: K_\infty.$$

In particular K_∞ is not empty. However we see that, by definition of K_∞ , we have $d(x, x_n) = d(x, x_1) > 0$ for all $n \in \mathbb{N}$, which contradicts the fact that

$$\lim_{n \rightarrow +\infty} d(x, x_{\varphi(n)}) = 0.$$

Hence we have shown by contradiction that there exists $n_0 \in \mathbb{N}$ such that $K_{n_0} = \emptyset$ and $K_{n_0-1} \neq \emptyset$. This is precisely the injectivity of the map $c_K : K \rightarrow \mathbb{R}^{n_0}$.

To show the continuity, we remark that c_K is continuous, and therefore for each closed set $F \subset K$, F is compact so that $c_K(F)$ is compact and therefore closed. Therefore $(c_K^{-1})^{-1}(F) = c_K(F)$ is closed in $c_K(K)$. The proposition is proved. \square

Recall that the Borel σ -algebra $\mathcal{B}(M)$ is the closure of the set of all open sets in $\mathfrak{P}(M) = 2^M$ under the operations of complement and countable union. A function $\varphi : M \rightarrow N$ is Borel measurable if the reciprocal image of any Borel set is Borel, *i.e.* $\varphi^{-1}(B) \in \mathcal{B}(M)$ for all $B \in \mathcal{B}(N)$.

Proposition A.2 (Borel function of choice). *There exists a Borel measurable map $c : (\mathcal{K}(K), d_H) \rightarrow (K, d)$ such that*

$$c(K') \in K' \text{ for all } K' \in \mathcal{K}(K).$$

Proof. Let $c_K : K \rightarrow \mathbb{R}^{n_0}$ be the map constructed in Proposition A.1. For a compact $K' \subset K$ we define

$$c(K') := c_K^{-1} \left(\min_{y \in c_K(K')} y \right),$$

where the minimum is taken with respect to the lexicographical order in \mathbb{R}^{n_0} (which is a total order and therefore identifies a unique minimum for each $K' \in \mathcal{K}(K)$). Since the map $\tilde{K} \subset \mathbb{R}^{n_0} \rightarrow \min_{y \in \tilde{K}} y$ is Borel for the topology on $\mathcal{K}(\mathbb{R}^{n_0})$ induced by the Hausdorff metric, so is c . The proposition is proved. \square

Proposition A.3 (Borel measurability of the metric projection). *Let $K \subset M$ be compact. The map $P_K : M \rightarrow \mathcal{K}(K)$ defined by*

$$P_K(x) = \{y \in K : d(x, y) = d(x, K)\},$$

is Borel measurable.

Proof. First we remark that the map

$$P_K(x) := \{y \in K : d(x, y) = d(x, K)\} \in \mathcal{K}(M),$$

is well-defined for each $x \in M$, and therefore forms a mapping from M into $\mathcal{K}(K) \subset \mathcal{K}(M)$. Indeed $P_K(x)$ is clearly closed in the compact space K , therefore is compact.

To show the Borel measurability of P_K , we first remark that, given a compact space $K' \subset K$, the set

$$\widetilde{P_K^{-1}}(K') := \{x \in M : P_K(x) \cap K' \neq \emptyset\}$$

is closed. Indeed let $x_n \rightarrow x$ be a sequence in $\widetilde{P_K^{-1}}(K')$, then by definition there exists $y_n \in K'$ such that $d(x_n, y_n) = d(x_n, K)$. By the compactness of K' , there exists $y \in K'$ and a subsequence $y_{\varphi(n)}$ extracted from y_n such that $y_{\varphi(n)} \rightarrow y$. Because of the continuity of $z \mapsto d(z, K)$, we have

$$d(x, y) = \lim_{n \rightarrow +\infty} d(x_{\varphi(n)}, y_{\varphi(n)}) = \lim_{n \rightarrow +\infty} d(x_{\varphi(n)}, K) = d(x, K),$$

therefore $y \in T(x) \cap K'$, which shows that $x \in \widetilde{P_K^{-1}}(K')$. Hence $\widetilde{P_K^{-1}}(K')$ is closed.

We are now in a position to show the Borel regularity of P_K . Let $C \in \mathcal{K}(K)$ and $R > 0$ be given. We defined $B_H(C, R)$ the ball of center C and radius R in the Hausdorff metric:

$$B_H(C, R) = \{C' \in \mathcal{K}(K) : d_H(C, C') \leq R\}.$$

Then

$$P_K^{-1}(B_H(C, R)) = \{x \in M : d_H(P_K(x), C) \leq R\} = B_1 \cap B_2,$$

where

$$\begin{aligned} B_1 &:= \{x \in M : d(y, C) \leq R \text{ for all } y \in P_K(x)\}, \text{ and} \\ B_2 &:= \{x \in M : d(z, P_K(x)) \leq R \text{ for all } z \in C\}. \end{aligned}$$

It can be readily seen that B_1 is a Borel set by writing

$$B_1 = \widetilde{P_K^{-1}}(V_R(C)) \bigcap_{n \geq 1} \left(M \setminus \left(\widetilde{P_K^{-1}}(K \setminus V_{R+\frac{1}{n}}(C)) \right) \right),$$

where $V_R(C) := \{y \in K : d(y, C) \leq R\}$. To see that B_2 is a Borel set, we choose a finite cover of C by N balls B_1, \dots, B_N (in M) of radius at most R and write

$$B_2 = \bigcap_{i=1}^N \widetilde{P_K^{-1}}(B_i).$$

We conclude that $P_K^{-1}(B_H(C, R))$ is a Borel set for all $C \in \mathcal{K}(K)$ and $R > 0$, and since those sets form a basis of the Borel σ -algebra, P_K is indeed Borel measurable. The Lemma is proved. \square

Theorem A.4 (Existence of a regular metric projection). *Let $K \subset M$ be compact. There exists a Borel measurable map $P_K : M \rightarrow K$ such that*

$$d(x, P_K(x)) = d(x, K).$$

Proof. The proof is immediate by combining Proposition A.3 Proposition A.2. \square

Proposition A.5 (Metric projection on measure spaces). *Let $K \in \mathcal{K}(\mathbb{R}^N)$ be a given compact set. Let $\mu \in \mathcal{M}_+(K)$ be a given nonnegative measure on K . Then the Kantorovitch-Rubinstein distance between μ and $\mathcal{M}_+(K)$ can be bounded by the distance between K and the furthest point in $\text{supp } \mu$:*

$$d_0(\mu, \mathcal{M}_+(K)) \leq \|\mu\|_{AV} \sup_{x \in \text{supp } \mu} d(x, K).$$

Proof. Indeed, let us choose a Borel measurable metric projection P_K on K as in Theorem A.4. Let μ^K be the image measure defined on $\mathcal{B}(K)$ by

$$\mu^K(B) := \mu(P_K^{-1}(B)), \text{ for all } B \in \mathcal{B}(K).$$

Then in particular for all $f \in BC(\mathbb{R}^N)$ we have

$$\int_{\mathbb{R}^N} f(P_K(x))d\mu(x) = \int_K f(x)d\mu^K(x).$$

Let $f \in \text{Lip}_1(\mathbb{R}^N)$, then we have

$$\begin{aligned} \int_{\mathbb{R}^N} f(x)d(\mu - \mu^K)(x) &= \int_{\mathbb{R}^N} f(x)d\mu(x) - \int_{\mathbb{R}^N} f(x)d\mu^K(x) \\ &= \int_{\mathbb{R}^N} f(x)d\mu(x) - \int_{\mathbb{R}^N} f(P_K(x))d\mu(x) \\ &= \int_{\mathbb{R}^N} f(x) - f(P_K(x))d\mu(x) \\ &\leq \int_{\text{supp } \mu} |x - P_K(x)|d\mu(x) \\ &\leq \sup_{y \in \text{supp } \mu} d(y, K) \int_{\text{supp } \mu} 1d\mu = \|\mu\|_{AV} \sup_{x \in \text{supp } K} d(x, K). \end{aligned}$$

Therefore $d_0(\mu, \mu^K) \leq \|\mu\|_{AV} \sup_{x \in \text{supp } K} d(x, K)$ and, since $\mu^K \in \mathcal{M}_+(K)$,

$$d_0(\mu, \mathcal{M}_+(K)) \leq d_0(\mu, \mu^K) \leq \|\mu\|_{AV} \sup_{x \in \text{supp } \mu} d(x, K).$$

The Proposition is proved. □

B Disintegration of measures

We recall the disintegration theorem as stated in [7, VI, §3, Theorem 1 p. 418]. We use Bourbaki's version, which is proved by functional analytic arguments, for convenience, although other approaches exist which are based on measure-theoretic arguments and may be deemed more intuitive. We refer to Ionescu Tulcea and Ionescu Tulcea for a disintegration theorem resulting from the theory of (strong) liftings [23, 24].

Let us first we recall some background on adequate families. This is adapted from [7, V.16 §3] to the context of finite measures of \mathbb{R}^N . We let T and X be locally compact topological spaces and $\mu \in \mathcal{M}_+(T)$ be a fixed Borel measure.

Definition B.1 (Scalarly essentially integrable family). Let $\Lambda : t \mapsto \lambda_t$ be a mapping from T into $\mathcal{M}_+(X)$. Λ is *scalarly essentially integrable* for the measure μ if for every compactly supported continuous function $f \in C_c(X)$, the function $t \mapsto \int_X f(x)\lambda_t(dx)$ is in $L^1(\mu)$. Setting $\nu(f) = \int_T \int_X f(x)\lambda_t(dx)\mu(dt)$ defines a linear form on $C_c(X)$, hence a measure ν , which is the *integral* of the family Λ , and we denote

$$\int_T \lambda_t \mu(dt) := \nu.$$

Recall that every positive Borel measure μ on a locally compact space X defines a positive bounded linear functional on $C_c(X)$ equipped with the inductive limit of the topologies on $C_c(K)$ when K runs over the compact subsets of X . Conversely if μ is a positive bounded linear functional on $C_c(X)$, there are two canonical ways to define a measure on the Borel σ -algebra.

1. Outer-regular construction. Let $U \subset X$ be an open set, then one can define

$$\mu^*(U) := \sup \{ \mu(f) : f \in C_c(X), 0 \leq f(x) \leq \mathbb{1}_U(x) \},$$

then for an arbitrary Borel set B ,

$$\mu^*(B) := \inf \{ \mu^*(U) : U \text{ open}, B \subset U \}.$$

This notion corresponds to that of the *upper integral* discussed in [7, IV.1 §1].

2. Inner-regular construction. If $U \subset X$ is open, we define $\mu^\bullet(U) := \mu^*(U)$ and similarly if $K \subset X$ is compact, then $\mu^\bullet(K) := \mu^*(K)$. Then for an arbitrary Borel set B which is contained in an open set of finite measure: $B \subset U$ with $\mu^\bullet(U) < +\infty$, we define

$$\mu^\bullet(B) := \sup \{ \mu^\bullet(K) : K \text{ compact}, K \subset B \}.$$

Else $\mu^\bullet(B) = +\infty$. This corresponds to the *essential upper integral* discussed in [7, V.1, §1].

It is always true that $\mu^\bullet \leq \mu^*$, however it may happen that $\mu^* \neq \mu^\bullet$ when μ^* is not finite, see e.g. [5, II§7.11 p.113] or [7, V.1, §1]. If μ is a Borel measure, then we define the corresponding notions of μ^\bullet and μ^* associated with the linear functional $f \mapsto \int_X f(x)\mu(dx)$. Note that if μ is Radon, then $\mu^* = \mu = \mu^\bullet$.

Definition B.2 (Pre-adequate and adequate families). We follow [7, Definition 1, V.17§3]. Let $\Lambda : t \mapsto \lambda_t$ be a scalarly essentially μ -integrable mapping from T into $\mathcal{M}_+(X)$, ν the integral of Λ .

We say that Λ is μ -pre-adequate if, for every lower semi-continuous function $f \geq 0$ defined on X , the function $t \mapsto \int f(x)\lambda_t^\bullet(dx)$ is μ -measurable on T and

$$\int_X f(x)\nu^\bullet(dx) = \int_T \int_X f(x)\lambda_t^\bullet(dx)\mu^\bullet(dt).$$

We say that Λ is μ -adequate if Λ is μ' -pre-adequate for every positive Borel measure $\mu' \leq \mu$.

The last notion we need to define is the one of μ -proper function.

Definition B.3 (μ -proper function). We say that a function $p : T \rightarrow X$ is μ -proper if it is μ -measurable and, for every compact set $K \subset X$, the set $p^{-1}(K)$ is μ^\bullet -measurable and $\mu^\bullet(p^{-1}(K)) < +\infty$.

If μ is Radon, in particular, then every μ -measurable mapping $p : T \rightarrow X$ (X being equipped with the Borel σ -algebra) is μ -proper. The following Theorem is taken from [7, Theorem 1, VI.41 No.1, §3].

Theorem B.4 (Disintegration of measures). *Let T and X be two locally compact spaces having countable bases, μ be a positive measure on T , p be a μ -proper mapping of T into X , and $\nu = p(\mu)$ the image of μ under p . There exists a ν -adequate family $x \mapsto \lambda_x$ ($x \in X$) of positive measures on T , having the following properties:*

- a) $\|\lambda_x\| = 1$ for all $x \in p(T)$;
- b) λ_x is concentrated on the set $p^{-1}(\{x\})$ for all $x \in p(T)$, and $\lambda_x = 0$ for $x \notin p(T)$;
- c) $\mu = \int \lambda_x \nu(dx)$.

Moreover, if $x \mapsto \lambda'_x$ ($x \in X$) is a second ν -adequate family of positive measures on T having the properties b) and c), then $\lambda'_x = \lambda_x$ almost everywhere in B with respect to the measure ν .

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