

Phenological and elevational shifts of plants, animals and fungi under climate change in the European Alps

Yann Vitasse, Sylvain Ursenbacher, Geoffrey Klein, Thierry Bohnenstengel, Yannick Chittaro, Anne Delestrade, Christian Monnerat, Martine Rebetez, Christian Rixen, Nicolas Strebel, et al.

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1	Phenological and elevational shifts of plants, animals and fungi	
2	under climate change in the European Alps	
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¹⁰Swiss National Park, Chastè Planta-Wildenberg, Runatsch 124, 7530 Zernez, Switzerland ¹¹Department of Arctic and Marine Biology, Faculty of Biosciences, Fisheries and Economics, UiT The Arctic University of Norway, PO Box 6050 Langnes, N-9037 Tromsø, Norway ¹²UMR CNRS 7058 « Ecologie et Dynamique des Systèmes Anthropisés » (EDYSAN), Université de Picardie Jules Verne, 1 Rue des Louvels, 80037 Amiens Cedex 1, France *Author for correspondence (E-mail: yann.vitasse@wsl.ch; Tel.: ++41 (0)44 739 2073). ABSTRACT Mountain areas are biodiversity hotspots and provide a multitude of ecosystem services of irreplaceable socio-economic value. In the European Alps, air temperature has increased at a rate of about 0.36 °C decade⁻¹ since 1970, leading to glacier retreat and significant snowpack reduction. Due to these rapid environmental changes, this mountainous region is undergoing marked changes in spring phenology and elevational distribution of animals, plants and fungi. Long-term monitoring in the European Alps offers an excellent natural laboratory to synthetize climate-related changes in spring phenology and elevational distribution for a large array of taxonomic groups. This review assesses the climatic changes that have occurred across the European Alps during recent decades, spring phenological changes and upslope shifts of plants, animals and fungi from evidence in published papers and previously unpublished data. Our review provides evidence that spring phenology has been shifting earlier during the past four decades and distribution ranges show an upwards trend for most of the taxonomic groups for which there are sufficient data. The first observed activity of reptiles and terrestrial insects (e.g. butterflies) in spring has shifted significantly earlier, at an average

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49	rate of -5.7 and -6.0 days decade ⁻¹ , respectively. By contrast, the first observed spring activity
50	of semi-aquatic insects (e.g. dragonflies and damselflies) and amphibians, as well as the
51	singing activity or laying dates of resident birds, show smaller non-significant trends ranging
52	from -1.0 to $+1.3$ days decade ⁻¹ . Leaf-out and flowering of woody and herbaceous plants
53	showed intermediate trends with mean values of -2.4 and -2.8 days decade ⁻¹ , respectively.
54	Regarding species distribution, plants, animals and fungi ($N = 2,133$ species) shifted the
55	elevation of maximum abundance (optimum elevation) upslope at a similar pace (on average
56	between +18 and +25 m decade ⁻¹) but with substantial differences among taxa. For example,
57	the optimum elevation shifted upward by $+36.2$ m decade ⁻¹ for terrestrial insects and $+32.7$ m
58	decade ⁻¹ for woody plants, whereas it was estimated to range between -1.0 and +11 m decade ⁻
59	¹ for semi-aquatic insects, ferns, birds and wood-decaying fungi. The upper range limit
60	(leading edge) of most species also shifted upslope with a rate clearly higher for animals (from
61	+47 to +91 m decade ⁻¹) than for plants (from +17 to +40 m decade ⁻¹), except for semi-aquatic
62	insects (-4.7 m decade ⁻¹). Although regional land-use changes could partly explain some
63	trends, the consistent upward shift found in almost all taxa all over the Alps is likely reflecting
64	the strong warming and the receding of snow cover that has taken place across the European
65	Alps over recent decades. However, with the possible exception of terrestrial insects, the
66	upward shift of organisms seems currently too slow to track the pace of isotherm shifts
67	induced by climate warming, estimated at about +62 to +71 m decade ⁻¹ since 1970. In the light
68	of these results, species interactions are likely to change over multiple trophic levels through
69	phenological and spatial mismatches. This nascent research field deserves greater attention to
70	allow us to anticipate structural and functional changes better at the ecosystem level.
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Key words: biodiversity redistribution, climate change velocity, disequilibrium dynamics,
global warming, migration, mountain ecosystems, phenological mismatches, upslope shift.

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56 57	97	Biodiversity loss and redistribution as well as extreme climatic events are probably the two
58 59	98	most prominent environmental challenges with strong socio-economic consequences that

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humans have to face in the 21st century. Biodiversity loss, mainly caused by human alteration of the landscape, has been occurring at an unprecedented rate since humans expanded across the globe (Butchart et al., 2010). The distributions of many terrestrial organisms are currently shifting in response to global warming and land-use changes in most parts of the world, poleward and upslope to cooler latitudes and elevations, respectively, thus generating spatially structured patterns in species redistribution (Chen et al., 2011; Lenoir & Svenning, 2015; Lenoir *et al.*, 2020). However, the rate of ongoing global warming is such that numerous plant and animal species may not be able to adapt genetically, or to track their climatic niche requirements closely, ultimately leading to local extinctions (reviewed in Jump & Penuelas, 2005; Aitken et al., 2008; Corlett & Westcott, 2013; Cang, Wilson & Wiens, 2016; Jezkova & Wiens, 2016), particularly in terrestrial ecosystems as opposed to marine ecosystems (Lenoir et al., 2020).

Ongoing global warming is changing the geographic distribution of organisms on Earth but their physiology and seasonal activities are also dramatically altered by climate change. In fact, phenological changes, such as flowering or leaf senescence for plants, as well as mating, breeding, spawning or hibernating for animals, are one of the most visible and sensitive responses to ongoing global warming in natural ecosystems (Parmesan & Yohe, 2003; Cleland et al., 2007). For instance, in Europe, leaf-out of temperate trees has advanced by 8-15 days since the 1950s (Fu et al., 2019) and the migration dates of birds have advanced by about a week since 1959 in Canada and northern Europe (Lehikoinen et al., 2019). In a temperate climate, spring phenology reflects how the physiology and behaviour of organisms interacts with the progressive return of favourable conditions for growth, i.e. mainly the increase in air temperature and day length. Because animals are dependent on the development of plants, any change in plant phenology may elicit a cascade of consequences in plant-animal interactions (Beard et al., 2019; Visser & Gienapp, 2019; Kharouba & Wolkovich, 2020; Rehnus, Peláez

& Bollmann, 2020). How the synchrony between animals and plant phenology will change under global warming is a challenging and timely question, but the consequences of such synchrony are still poorly understood at the population, community and ecosystem levels due to a lack of long-term ecosystem-based monitoring time series (but see Kharouba et al., 2018; Visser & Gienapp, 2019; Peláez et al., 2020).

The European Alps form an arc approximately 800 km in length from east to west and around 200 km in width in western Europe with numerous peaks rising over 4,000 m. This mountain system has been a prominent obstacle for plants and animals during the glacial and post-glacial periods of the Pleistocene, thus being at the crossroads of several biogeographical units (Taberlet *et al.*, 1998; Hewitt, 2000). The European Alps are a hotspot of biodiversity offering myriad habitats and climates over very short distances (Körner, 2003; Zimmermann et al., 2013). About 13,000 vascular plant species (> 500 endemics) and about 30,000 animal species inhabit the European Alps (Agrawala, 2007). Plants, animals and fungi living in this region include generalist species found across all of Europe but also numerous specialist species that have developed adaptations to harsh environments at high elevations, and are found only in restricted areas such as mountain summits (i.e. sky islands). Angiosperm plant species are found up to 4,507 m (Saxifraga oppositifolia L.) together with fungi and arthropods (Körner, 2011), while bryophytes are found up to 4,559 m (Vaccari, 1914). In the European Alps, as in all mountainous areas, the distance that an organism has to move in order to cross isotherms is quite short compared to flatter regions, because of the sharp temperature adiabatic gradient induced by elevational gradients (Rolland, 2003). This may, to a certain extent, help species to track isotherm shifts better in mountainous regions (Brito-Morales et al., 2018). However, species living close to mountain summits will progressively be limited in habitat availability because moving upward generally means less land surface available, higher habitat fragmentation, and an inevitable increase in competition among co-existing species,

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eventually leading to local extinction events (Wiens, 2016; Giezendanner et al., 2019). The shift of species ranges in response to climate change is also increasingly hampered by human activities such as tourism, urbanization or agriculture, all of which alter species' habitat suitability and distribution by fragmenting natural habitats (Elsen, Monahan & Merenlender, 2020). A warmer climate associated with changes in rain regime and snow cover will alter the physiology and phenology of many species, which in turn will affect their fitness and biotic interactions. Numerous studies have shown tight relationships between snow conditions and population dynamics of alpine and arctic plants (e.g. Inouye, 2000, 2008; Körner, 2003; Wipf & Rixen, 2010; Berteaux et al., 2016) as well as population dynamics of animal species (e.g. Korslund & Steen, 2006; Helle & Kojola, 2008; Robinson & Merrill, 2012). Hence, any changes in air temperature, precipitation and snow cover patterns in high mountain areas are expected to elicit substantial modifications in plant-animal interactions. In the European Alps, the pace of climate warming is occurring at a faster rate than the average warming in the northern hemisphere (Rebetez & Reinhard, 2008), generating faster velocities of isotherm shifts. As a result, substantial shifts in spring phenology as well as numerous elevational range shifts have already been observed for plants, animals and even fungi over recent decades (see studies in Tables 1 and 2). Long-term monitoring in the European Alps offers an excellent natural laboratory to synthetize climate-related changes in spring phenology and elevational distribution for a large array of taxonomic groups.

Here we aim at synthetizing the climatic changes that have occurred across the European
Alps during recent decades and gathering the observed biotic responses in terms of both spring
phenological changes (the best documented season in terms of phenological observations) and
species range shifts, reported for flora, fauna and fungi. We provide a comprehensive
synthesis of these changes and discuss the consequences of such phenological and spatial
shifts on species interactions across different trophic levels. Moreover, we point out

knowledge gaps that require more investigation in order to be able to predict future structuraland functional changes better at the ecosystem level under global warming.

177 II. METHODS

We searched the scientific literature for publications reporting either species elevational range shifts or spring phenological trends over recent decades. For phenological changes, we focused on the spring season because this is better documented in terms of phenological observations compared to the autumn season (Gallinat, Primack & Wagner, 2015), allowing for robust comparisons among taxa. We focused on any important phenological event such as leaf emergence or flowering of plants, peaks of detectability for birds or observations of the adult phase for amphibians and insects. For elevational range shifts, we focused on studies reporting either shifts of the core distribution of a given species (hereafter 'optimum') or shifts of the upper elevational limit (hereafter 'leading edge'). We restricted our review to studies relying on regular monitoring data covering at least 10 years across parts of the European Alps (i.e. Austria, France, Germany, Italy, Lichtenstein, Slovenia and Switzerland) within the period 1980–2020. For diachronic studies comparing historical and modern surveys, we used only studies that conducted their modern survey(s) within the period 1980–2020 and where the median year of the historical survey(s) was after 1950 [often called the 'great acceleration' period (Steffen et al., 2015)] to avoid including decades with no or significantly lower warming trends. Indeed, including studies using historical surveys prior to 1950 (e.g. Holzinger *et al.*, 2008; Rumpf *et al.*, 2018) would inevitably underestimate the rate at which biotic responses are taking place in response to ongoing climate change for recent decades. We focused on the European Alps because this region is both a hotspot of biodiversity and benefits from a long research history, allowing a long-term view and comprehensive synthesis on climate change-related processes. We extended the study area to lowland regions

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in direct contact with the mountain range of the European Alps or to neighbouring mountain
ranges (the Jura), provided that part of the European Alps was considered in the studied region
and assumed to play a significant role in the results (e.g. Kuhn *et al.*, 2016).

202 Raw data for phenological shift (per decade or per degree of warming) and migration 203 rate across elevations per unit time (per year or per decade) were extracted at the species level 204 from the publications reviewed herein, either from direct access via the journal webpage (appendix or data depository), or indirectly by requesting species-level data from the study 205 206 authors. Depending on the study, different methods were used to generate species-level data 207 on phenological shift or elevational range shift per decade. For instance, some studies directly 208 reported the observed rates in phenological shift or elevational range shift per decade while 209 others reported the magnitude of the observed changes in phenology or elevational distribution 210 over a given time period. In the latter case we extracted information on the duration of the 211 time period covered by that study and computed the rates per decade. Phenological studies 212 reporting the phenological change per degree of warming generally include a pre-season 213 temperature window in their calculations, which is best correlated with the studied 214 phenological event, i.e. a couple of weeks or months before the spring phenological event. For 215 more details, readers should refer to the publications listed in Table 1.

All publications used for our synthesis are summarised in Tables 1 and 2, and the full data are provided as online supporting information in Tables S1 and S2, respectively. For more robust comparisons, taxonomic groups containing observations of phenological or range shifts for less than five species were discarded from our quantitative analyses, but are still included in the tables for completeness.

In addition, we analysed two sources of previously unpublished data collected through a
citizen science program in Switzerland: the *info fauna* database for both phenology and

altitudinal migration of insects, reptiles and amphibians and the *PhenoForest* database for phenological trends of several tree species.

From the Swiss central database of info fauna, we extracted all observations for amphibians, reptiles, lepidopterans, orthopterans, and odonates available between 1991 and 2019. Although data collected before 1990 are available, we restricted our analyses to after 1990 because far fewer observers were active before 1990, which could influence trend analyses. Species with taxonomic uncertainty, with a limited number of observations per year (variable depending on taxonomic group, left to the experts' discretion), that are migrants or show other features that could impact the frequency of observations were also removed after careful examination by expert co-authors of this review. To avoid a distorting influence of extreme values when assessing elevational range shifts from the raw data, we computed, for every year, the median (50%) and 95th percentile of the elevation as surrogates for the optimum and leading edge, respectively, because these were less likely to be distorted than the mean and maximum elevation values. Similarly, for spring phenology, we used the 5th percentile of the distribution in the observations for a given species for every year. Linear regressions were then conducted for all species of these parameters against years, and we report the slope values expressed per decade. In total, for *info fauna*, 273 animal species were included in our analyses, comprising 1,558,862 observations (average: 5,710 observations/species; median: 2,807 observations/species; 5-95% quantile: 256-21,301) for the altitude analysis and 1,560,265 observations (average: 5,715 observations/species; median: 2,823 observations/species; 5–95% quantile: 196–20,380) for the phenology analysis. The info *fauna* database gathers observations mainly from citizen volunteers and does not use a systematic sampling design, rather representing haphazard sampling, which may introduce statistical issues (Callaghan et al., 2019). However, because we used only data from taxa with sufficient sample sizes and that were carefully checked by experts before they were entered

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into our database, we believe this data set should provide robust trends of how these species
are currently migrating along elevational gradients. Additionally, the data from *info fauna* do
not show identical trends among taxa; if a particular trend was due to observer bias, we would
expect to find similar patterns across various taxa, which was not the case.

The PhenoForest database consists of records of visual observations of the leaf-out timing of eight species during the period 1998-2020 from volunteers in different sites in Switzerland (one observer per site, 16 permanent sites in total, 1–5 species per site). At each site, 8–10 trees per species were identified and monitored every year by the same observer. To estimate trends in the leaf-out dates over this study period, we applied a linear mixed-effect model for each species with leaf-out dates as the response variable, year as the explanatory variable and sites as a random intercept term, and extracted the estimated slope for each species separately.

Following collection/calculation of all the species-level biotic responses in days per decade for shifts in spring phenology or meters per decade for elevational range shifts as described above, we compared the estimated rates among taxonomic groups. We ran linear mixed-effects models with species' phenological shift per year or species' elevational range shift per decade as response variables, study/database as a random intercept term and taxonomic group (with as many levels as taxonomic groups for which there were sufficient data, i.e. groups containing at least five species) as the main fixed effect. Based on the model estimates of phenological shift per year or elevational range shift per decade for each taxonomic group separately, we computed the associated 95% confidence intervals. *Post-hoc* Tukey tests were then applied to test for differences among the different taxonomic groups. In the results, we report the mean shift of the phenology or migration of a given taxonomic group across studies, using the estimated marginal mean value ±CI from the mixed-effect model. We also report the mean \pm SE phenological and elevational shifts per study/database and

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273 taxonomic group in Tables 1 and 2. 274 In order to visualize whether the rate of species migration lags behind the pace of 275 climate warming we computed the likely isotherm shifts that have occurred in the Alps since 276 1970. We used the annual warming trends shown in Fig. 1 for six stations across the Swiss Alps of 0.36 °C decade⁻¹ (similar values are reported by the national offices of Meteorology 277 278 and Climatology, e.g. MeteoSwiss). To calculate the corresponding theoretical altitudinal 279 distance required to maintain the same air temperature, we applied an altitudinal lapse rate of 280 0.51–0.58 °C 100 m⁻¹, as typically evaluated for annual mean temperature across different 281 regions of the Alps [France, Germany, Austria, Italy and Switzerland (Rolland, 2003; Kirchner 282 et al., 2013)]. The isotherm shift induced by climate warming was estimated in this way to 283 range between +62 and +71 m decade⁻¹ during the period 1970–2019. All calculations and statistics were conducted using R 3.5.1 (R Core Team, 2017). 284 285 286 **III. SYNTHESIS** 287 (1) Climate change in the European Alps 288 (a) Changes in air temperature, precipitation and snow cover 289 *(i) Temperature* 290 Since the beginning of the 20th century, mean annual air temperature has increased by more 291 than 1.5°C across the European Alps (Böhm et al., 2001; Begert, Schlegel & Kirchhofer, 292 2005; Rebetez & Reinhard, 2008), with this trend accelerating strongly during the second half 293 of the 20th century (Auer et al., 2007; Acquaotta, Fratianni & Garzena, 2015) with an average increase of about 0.36 °C decade-1 between 1970 and 2019 (Klein et al., 2016, Fig. 1). Air 294 295 temperature trends in the European Alps exceed the average trend observed over the Northern 296 Hemisphere by 1.6–2.5 times (Keiler, Knight & Harrison, 2010; Foster & Rahmstorf, 2011).

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The increase in air temperatures during the 20th century was most pronounced around the altitude of the 0 °C isotherm (Serguet, Marty & Rebetez, 2013), in connection with the snow-albedo feedback of snow-covered surfaces and glaciers (Pepin & Lundquist, 2008; Scherrer et al., 2012). However, these trends hide strong seasonal disparities. During the 20th century in Western Europe, air temperatures warmed more in winter compared to summer (Moberg et al., 2006), but this ratio reversed by the end of the 20th and early 21st century (Klein et al., 2018). Hence, several studies have shown that summer and spring have warmed more than autumn and winter since the 1970s (Rebetez & Reinhard, 2008; Klein et al., 2018; Vitasse et al., *a*). Since the end of the 1980s, maximum air temperatures have been increasing more than minimum temperatures in connection with the decrease in European air pollution and particulate matter, especially in spring and at mid and high elevations (Rebetez & Reinhard, V.CZ 2008; Vitasse et al., 2018a).

(ii) Precipitation and snow

Annual precipitation has not changed across the European Alps over recent decades (Diolaiuti et al., 2012). Regionally, only a few increasing seasonal trends have been observed since the beginning of the 20th century, mostly during autumn and winter (Schmidli & Frei, 2005; Scherrer et al., 2016). Winter precipitation sum has increased slightly, whereas trends towards more intense but less frequent precipitation were observed in summer with no change in overall precipitation sum (Moberg et al., 2006; Appenzeller et al., 2011; Fischer et al., 2019). Recently, European alpine countries have experienced several extreme drought events in summer, associated with heat waves, which have led to forest dieback, vegetation damage and insect outbreaks in the lowlands, particularly in 2003, 2012, 2015, 2016 and 2018 (Corona-Lozada, Morin & Choler, 2019; Schuldt et al., 2020). Intense hot drought events had also occurred during the 20th century (e.g. in 1911, 1921, 1947, 1949, 1976) but air temperature

was, on average, lower than today, so that the evapotranspiration in summertime was lower (Schär et al., 2004) and consequently the vegetation was much less impacted by these events. Because air temperature decreases steadily with elevation (and generally precipitation increases), the climatic water balance of sites >1,200 m still remains positive in the central part of the European Alps (Vitasse et al., 2019). However, severe droughts are predicted to become more frequent even at high elevations where organisms might be less adapted to cope with water limitation (Rosbakh et al., 2017).

Snow cover has an important impact in plant and animal phenology in mountain ecosystems. A significant reduction in the number of days with snow precipitation has been reported at all elevations during the 20th century in the Alps, particularly since the 1980s and in relation to warmer air temperatures (Laternser & Schneebeli, 2003; Pellicciotti, Bauder & Parola, 2010; Valt & Cianfarra, 2010; Serguet et al., 2011; Diolaiuti et al., 2012; Marty & Blanchet, 2012; Serquet et al., 2013). A similar reduction has been observed across the northern hemisphere since the 1970s (Hernández-Henríquez, Déry & Derksen, 2015), especially in spring (Brown & Mote, 2009; Brown & Robinson, 2011), although this trend varies widely at a global scale (Bormann et al., 2018; Pulliainen et al., 2020). Analyses of snow water equivalent have shown a clear decrease in snow mass all over the European Alps during the period 1973–2012, at all elevations and more pronounced in spring compared to winter (Marty, Tilg & Jonas, 2017b). Mean snow depth has also been significantly reduced during the same period in the European Alps at all elevations and for all seasons (Durand et al., 2009; Schöner, Auer & Böhm, 2009; Pellicciotti et al., 2010; Marty & Blanchet, 2012; Marty & Meister, 2012). Detailed analyses in the Swiss Alps have confirmed a general decrease in all snow parameters at all elevations, with a faster reduction of snow cover at the time of snowmelt compared to the time of snow onset (Klein et al., 2016). Spring (March to May) was the season with the highest air temperature increase over the last five decades, with

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a rate of 0.47 °C decade⁻¹ since 1970 in the Swiss Alps (Klein et al., 2018, Fig. 1). This strong warming has led to earlier snowmelt, for example -5.2 days decade⁻¹ across six locations in the Swiss Alps ranging from 1,298 to 2,540 m between 1970 and 2019 (Fig. 1). In autumn (September to November), the air temperature warming rate has been less than in spring. although still sufficient to delay time of snow onset at an average rate of +3.9 days decade⁻¹ since 1970 for these six stations, leading to a significant increase in the snow-free period at an average rate of +9.1 days decade⁻¹ in the Swiss Alps (Fig. 1). However, regional differences across the European Alps exist with on average stronger trends of snow depth reduction in the southern Alps over recent decades (Matiu et al., 2021). (b) Predicted climate change in the European Alps Air temperature will increase further in the coming decades if present emission rates of greenhouse gases are not substantially reduced (IPCC, 2013). The difference between predictions based on lower [representative concentration pathway (RCP) 2.6] or higher (RCP 8.5) greenhouse gas emission rates (IPCC, 2013) increases with time, particularly after 2050. Déqué et al. (2007) predicted that air temperature in the European Alps would increase by 0.30 to 0.45 °C decade⁻¹ until 2100, with a higher expected increase in summer and autumn and an increased frequency of summer heatwaves (Keiler et al., 2010). Precipitations in summer are expected to decrease over coming decades, although with a higher frequency of extreme rainfall events (Rajczak & Schär, 2017; Hodnebrog et al., 2019). Recent analyses nevertheless showed that regional climate models (RCM, from the EURO-Coordinated Regional Downscaling Experiment) could greatly underestimate the warming rate during the growing season (Schwingshackl et al., 2019; Boé et al., 2020). This was due to models

- omitting the plant physiological CO₂ response (Schwingshackl et al., 2019) and
- underestimating both the increase in shortwave radiation and changes in solar radiation

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3 4	372	connected with cloud cover and aerosols (Boé et al., 2020). For the same reasons, regional
5 6 7	373	models also tended to underestimate the decrease in summer precipitation (Boé et al., 2020) as
7 8 9	374	well as the increase in heavy rainfall events (Borodina, Fischer & Knutti, 2017).
10 11	375	A strong reduction of snow depth and snow cover is expected to continue at all
12 13	376	elevations across the European Alps during the 21st century (Jasper et al., 2004; Rousselot et
14 15	377	al., 2012; Schmucki et al., 2015; Marty et al., 2017a), particularly during the spring season
16 17 18 19 20	378	(Magnusson et al., 2010; Steger et al., 2013). Permanent snow, glaciers and permafrost are
	379	expected to decline strongly or disappear, with an overall melt of the snow cover in summer
21 22	380	(Magnusson et al., 2010). At the end of the 21st century, continuous snow cover in winter may
23 24 25	381	only be present above 2,000 m, while mid-elevations (1,000–1,700 m) should experience
26 27 28 29 30 31 32 33 34	382	random and/or discontinuous snow cover in about one in two winters (Schmucki et al., 2017).
	383	These future expected changes in snow cover duration and thickness correspond to an average
	384	upward elevation shift of 800 m of the snow cover by the end of the century, while snow cover
	385	seasons may be reduced by two or three months, depending on the scenario (Bavay,
35 36	386	Grünewald & Lehning, 2013; Marty et al., 2017a; Beniston et al., 2018).
37 38 39	387	Due to these rapid changes in air temperature and snowpack, the European Alps will
40 41	388	undergo marked changes in the phenology and distribution of plants, animals and fungi, which
42 43	389	are synthetized in the following sections.
44 45	390	
46 47 48	391	(2) Phenological shifts
49 50	392	Our review reveals an advance in spring phenological events for most of the studied taxa, i.e.
50 51 52	393	leaf-out and flowering for plants, singing activity, laying dates, arrival and hatching dates for
53 54	394	birds, and the first yearly observation for insects, amphibians and reptiles although these were
55 56 57	395	statistically significant only for reptiles and insects. There were also significant differences
58 59	396	among these taxonomic groups (Fig. 2A). Reptiles and terrestrial flying insects such as
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397	Lepidoptera and Orthoptera showed the largest advance in their first yearly observation, with a
398	significantly earlier -5.7 ± 3.8 days decade ⁻¹ for reptiles (estimated marginal mean±CI; linear
399	mixed-effects model) and -6.0 ± 1.0 days decade ⁻¹ for terrestrial insects, whereas a non-
400	significant trend towards a slight delay was found for the peak of singing activity, laying dates
401	or hatching dates of resident birds (+1.3±4.5 days decade ⁻¹) and first yearly observation of
402	amphibians (+0.2±3.6 days decade ⁻¹ ; Fig. 2A). Insects with aquatic life stages (Odonata)
403	showed non-significant trends for an earlier first yearly observation of the adult stage (-
404	1.0±2.2 days decade ⁻¹ , Fig. 2A). Plants also showed non-significant trends for an advance in
405	leaf-out or flowering of -2.8 ± 6.3 and -2.4 ± 2.7 days decade ⁻¹ for herbaceous and woody
406	plants, respectively (Fig. 2A). A significant difference was found between semi-aquatic and
407	terrestrial insects (Table 1; Fig. 2A). Whereas resident birds showed a tendency for a slight
408	delay in their singing activity or laying dates $(+1.3\pm4.5 \text{ days decade}^{-1})$, an advance was
409	detected for long- and short-distance migrant birds (-1.7 ± 3.1 and -3.2 ± 3.9 days decade ⁻¹ ,
410	respectively; Fig. 2A), although these differences did not reach statistical significance. When
411	grouped by habitat type, a non-significant trend for a delay in singing activity or laying dates
412	was found for birds inhabiting forest or open land ($+0.5\pm6.9$ and $+0.6\pm5.9$, respectively),
413	whereas a significantly different advance of about -7.5 ± 7.8 days decade ⁻¹ was found for birds
414	living in mixed habitats (Fig. S1).
415	Regarding phenological sensitivity to air temperature, fewer studies were available for
416	some taxa, potentially making it harder to detect statistically significant differences among

417 taxa (Table 1, Fig. 2B). However, we also found significant advances in spring phenology per
418 degree of warming of -5.1±3.9 days °C⁻¹ for woody plants and -4.9±2.8 days °C⁻¹ for
419 terrestrial insects, while non-significant trends for an advance were found in the other taxa.

420 For plants, phenological events are largely mediated by temperature and have the421 potential to change considerably with climate warming. In alpine environments, the few long-

term time series of phenological observations show the same consistent trends, for instance between the Rocky Mountains (USA), Greenland (Iler et al., 2013) and the Tibetan plateau (Chen et al., 2015). For the European Alps, we are not aware of any long-term studies of the phenology of alpine plants. However, indirect data for the beginning of vegetation growth detected by ultrasonic sensors (used to detect snow depth) are now available for about 20 years across 30 alpine sites in the Swiss Alps (Vitasse et al., 2017). These data reveal that vegetation onset in alpine plants occurred earlier at around -6.3 days $^{\circ}C^{-1}$, with no strong photoperiodic constraint, in agreement with findings from the Tibetan plateau (Chen et al., 2015). At lower elevations in the Swiss Alps, long-term phenological observations are available from a citizen network. These data show a significant advance of leaf-out or flowering dates during the period 1970–2012 by about -2.8 to -7.7 days °C⁻¹ for various tree and shrub species and by about -3.7 to -7.4 days °C⁻¹ for herbaceous species (Güsewell, 2014). It is known that spring phenological plasticity to temperature varies strongly among tree species, which could alter competition among species in response to global warming. For example, leaf-out of European beech (Fagus sylvatica) is one of the least sensitive to air temperature change, with an advance of less than -3 days °C⁻¹, whereas ash (*Fraxinus excelsior*), sessile oak (*Quercus petraea*) and larch (*Larix decidua*) are among the most sensitive at more than -6 days $^{\circ}C^{-1}$ (Migliavacca et al., 2008; Vitasse et al., 2013; Asse et al., 2018; Bison et al., 2019).

For animals, phenological changes are less documented and overall less pronounced for large herbivores and birds that can migrate over long distances to track food availability and quality (i.e. tracking the 'Green Wave', e.g. Bischof *et al.*, 2012; Rehnus *et al.*, 2020). In the lowland areas of the Swiss Alps (< 600 m), the date of highest singing activity of various birds (mostly passerines), a proxy for the start of breeding, shifted earlier for the majority of species, and higher spring temperatures generally led to an earlier singing activity peak of around –1.1 days decade⁻¹ for resident and short-distance migrant birds with slightly higher trends for Page 19 of 333

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trans-Saharan migrants (Strebel et al., 2014; Table 1). Not surprisingly, insects seem to respond strongly to warming with the emergence date occurring earlier, for example by -21days decade⁻¹ for bark beetles (*Ips typographus*) over the period 1996–2005 in the south-eastern Alps (Faccoli, 2009; Table 1). Similarly, butterflies seem to respond strongly to warming, with the purple emperor (*Apatura iris*) emerging earlier (-10.5 days decade⁻¹) during the period 1982–2002 in northern Switzerland (Dell, Sparks & Dennis, 2005; Table 1). Alternatt (2012) reported a temperature sensitivity of -4.9±2.0 days °C⁻¹ for 28 butterfly species studied in the Swiss lowlands over the period 1998–2010 (Table 1). Interestingly, our review shows that within insects, species from Orthoptera and Lepidoptera have advanced their spring phenology to a larger extent than species from Odonata (Fig. 2A). This might be explained by their habitat use, because species of Odonata generally spend their larval stages in ponds. The microclimate of ponds may buffer the effects of warming temperatures, especially in spring due to the provision of water from the melting snowpack higher up, although more data will be necessary to allow robust comparisons of the phenological sensitivity of aquatic species to air temperature.

463 (3) Elevational range shifts

Based on the current scientific literature focusing exclusively or partly on the European Alps, animals, fungi and plants have all shifted their optimum elevation upslope, reaching average rates of +18.4 \pm 12.1 (N = 535), +18.8 \pm 26.8 (N = 118) and +24.8 \pm 13.6 m decade⁻¹ (N = 1,480), respectively (mean±CI; Fig. 3A). All taxonomic groups, except semi-aquatic insects, showed trends for a positive shift in optimum elevation (Fig. 3A), although this was statistically significant only for terrestrial insects $(+36.2\pm20.7 \text{ m decade}^{-1})$, woody plants $(+32.7\pm17.9 \text{ m decade}^{-1})$ and herbaceous plants $(23.1\pm14.4 \text{ m decade}^{-1})$. The average upward shift obtained from *info fauna* data regarding the optimum elevation of butterflies (+39.9 m

decade⁻¹, Table 2) agrees well with the trend reported in a recent study in Switzerland (+38 m between 2003 and 2010, or 48 m decade⁻¹) that systematically monitored butterfly communities (Roth, Plattner & Amrhein, 2014), suggesting that no major bias was introduced by the haphazard sampling inherent in *info fauna* data. By contrast, ferns, birds and wood-decaying fungi showed small and non-significant changes in optimum elevation, ranging between +4 and +11 m decade⁻¹ (Fig. 3A). For the leading edge (upper elevational range limit), upward shifts were most pronounced for animals (on average $+72.3\pm17.8$ m decade⁻¹) than for plants ($+28.2\pm21.8$ m decade⁻¹; Fig. 3B), with the exception of semi-aquatic insect species that showed a non-significant trend for the leading edge to shift downward $(-4.7\pm33.8 \text{ m decade}^{-1}; \text{ Fig. 3B and})$ Table 2). Remarkably, only terrestrial insects ($+90.5\pm14.0$ m decade⁻¹) and reptiles (63.7 ± 57.2 m decade⁻¹) showed an upward shift of their leading edge within the range or higher than the pace of climate warming (Fig. 3B), estimated at ~62–71 m decade⁻¹ based on a warming rate of 0.36 °C decade⁻¹ (Fig. 1) and a temperature lapse rate of 0.51–0.58 m 100m⁻¹ (Rolland, 2003; Kirchner et al., 2013).

488 (a) Terrestrial plants and fungi

For plants, our review suggests that there may be substantial differences among the studied taxonomic or functional groups, although these did not reach statistical significance, with insignificant shifts in the optimal elevation of $+12.0\pm26.9$ and 4.2 ± 33.7 m decade⁻¹ for ferns and alpine plants and significant upward shifts of $+23.1\pm14.4$ and 32.7 ± 17.9 m decade⁻¹ for herbaceous and woody species growing below the treeline (Fig. 3A). Notably, this general pattern among the taxonomic groups is the same for changes at the leading edge (Fig. 3B). Lenoir et al. (2008) showed for different mountain areas throughout France, including the western Alps, that the optimum elevation of 171 forest plants and trees moved upward in

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elevation at a mean rate of +29 m decade⁻¹ when comparing vegetation surveys conducted between 1905 and 1985 to surveys conducted between 1986 and 2005. However, when splitting the data between short-lived herbaceous species (e.g. grasses and sedges) and long-lived woody species (trees and shrubs), Lenoir et al. (2008) found that only herbaceous plants from the forest understorey have significantly shifted their elevational optimum upslope (mean rate of +38 m decade⁻¹). Similarly, by revisiting historical relevés and species indicator values, Küchler et al. (2015) found an upward shift of forest plant species in the herbaceous layer of Swiss forests at +10 m decade⁻¹ since the 1950s, while the elevational range of species from the shrub and tree layers remained rather stable over the same time period. By contrast, our quantitative review across the European Alps suggests that the optimum range of long-lived woody plants is shifting upward at a significant rate, at least at the same rate as non-alpine herbaceous plants (Fig. 3A). This discrepancy may stem from the fact that we did not constrain our review only to forest systems, unlike the above-mentioned studies which specifically focused on range shifts of forest plants (Lenoir et al., 2008; Küchler et al., 2015). Thus, by including data from studies on forest systems with those reporting range shifts for woody plant encroachment beyond the treeline or following land abandonment below the treeline, we have a greater opportunity to detect elevational range shifts for woody plants. By missing the upper part of the elevational gradient beyond the forest limit, studies restricted to forest systems may have underestimated the true rate at which woody plant species are responding to temperature increases or abandonment of land in the Alps. The dynamics of recruitment of forest tree species at the upper elevational limits seems to be changing at a relatively fast rate, with seedlings and saplings currently occurring well beyond the upper elevational limit of adult trees (Vitasse et al., 2012). Similarly, across several mountain areas in western Europe, including the western Alps, Lenoir et al. (2009) reported differences in elevational distribution between seedlings and adult life stages of trees, suggesting an ongoing

upward shift for woody plants but with quite high heterogeneity among species which could
be due to species-specific variability in demographics and competitiveness (Scherrer *et al.*,
2020).

The average rate at which alpine plant species shifted upslope appears to be lower than for non-alpine plants or woody species below the treeline for both the optimum range and the upper elevational limits (Fig. 3), although note that these values did not differ statistically significantly. This slower rate at which alpine plants may be shifting upslope could be due to lower dispersal ability, biotic interactions or possibly to the methods employed to assess such trends. For alpine plants, resurveys are often compared with historical surveys conducted before 1950, i.e. during a period with lower warming rates than at present, but these studies were not included in our analysis, making this unlikely to explain the lower rate of upslope migration for alpine plants.

While climate warming plays an undeniable role in the recent redistribution of plants in mountain ecosystems (Steinbauer et al., 2018), it might not be the only driver behind the upslope shifts along elevational gradients: precipitation (Rapacciuolo et al., 2014), land use (Gehrig-Fasel, Guisan & Zimmermann, 2007; Guo, Lenoir & Bonebrake, 2018) or ongoing recolonization after the 'Little Ice Age' (Kammer, Schöb & Choler, 2007) might be additional drivers, either accelerating or slowing down the rate of elevational range shifts. For example, the treeline ecotone has been influenced by farming activities for several thousand years in the Alps (Tinner & Theurillat, 2003), and a large part of this recent upward shift has been attributed to substantial changes in land use, including: (i) land abandonment in the Swiss and Austrian Alps (Gehrig-Fasel et al., 2007; Gellrich et al., 2007; Tasser et al., 2007), which seems to explain the large upward shift of Abies alba observed since the 1950s in the west central Alps (Chauchard et al., 2010), and (ii) a reduction of cattle grazing, especially in the Italian Alps (Piotti et al., 2007). By excluding open habitats in their analyses, Bodin et al.

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(2013) showed that the upward shift they initially found for understorey forest plants became insignificant, a result that may be related to forest microclimate dynamics potentially buffering the effects of warming for understorey plants compared with plant communities from more open habitats (von Arx et al., 2013; De Frenne et al., 2019; Zellweger et al., 2020). In some studies, a considerable part of the analysed herbaceous species may also shift downward (Lenoir et al., 2010; Bodin et al., 2013), potentially explained by more intensive management (disturbance) at lower elevations compared to forests at higher elevations (Lenoir *et al.*, 2010). Interestingly, fungi may also be shifting their elevation. Although data in the Alps originated from a single study, that study assessed the shift in optimum elevation of 118 species (Diez et al., 2020). They found values ranging between +10.7 and +23.6 m decade⁻¹ for wood-decaying and soil-dwelling fungi with an intermediate value for ectomycorrhizal fungi (+21.0 m decade⁻¹) (Table 2, Fig. 3A). A slower response of wood-decaying fungi would be consistent with their dependence on wood resources and particularly dead wood, with the availability of woody plants likely delayed compared to the rate of climate change.

562 (b) Terrestrial animals

Except for birds, elevational range shifts of animal species in the Alps have been less documented than for plants, with direct evidence available only for some groups. Our review reveals that terrestrial insects have moved significantly upslope in terms of both the optimum and the leading edge (Fig. 3). In an analysis of four orders of insects, a significant upslope movement is seen for each of the groups Lepidoptera, Coleoptera and to a lesser extent Orthoptera in both measures of elevational range (Fig. 4). For semi-aquatic insects from the group Odonata, neither range measure has changed significantly (Fig. 4). Insects, like most ectotherms, are very sensitive to changes in air temperature and consequently are likely to track climate warming closely. Accordingly, numerous upward range shifts have been

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572	documented for terrestrial insects, especially for pests which receive much more attention. For
573	example, upward shifts in the elevational range of outbreaks of the European spruce bark
574	beetle (I. typographus) were related to the increased frequency of drier summers in the Italian
575	Alps (Marini et al., 2012). The pine processionary moth (Thaumetopoea pityocampa) shifted
576	its distribution upward between 1975 and 2004 at average rates of $+70$ m decade ⁻¹ and $+29$ m
577	decade ⁻¹ on the southern and northern slopes of the Italian Alps, respectively, with this shift
578	related to the increase in minimum winter air temperature (Battisti et al., 2005). As
579	ectotherms, insects do not regulate their body temperature, and thus climatic variation in
580	temperature will have a direct effect on their physiology. For example, warmer air
581	temperatures in summer generally reduce European bark beetle development time (i.e.
582	increased voltinism) (Jakoby, Lischke & Wermelinger, 2019), and therefore are likely to
583	increase infestation pressure (Marini et al., 2012; Jakoby et al., 2019). The reproductive cycle
584	of insects is expected to be faster under warmer air temperatures, potentially allowing an extra
585	generation per growing season at all elevations by the end of the century for European spruce
586	bark beetle (Jakoby et al., 2019). Even though molluscs have a relatively low capacity to move
587	over large distances, an upward shift of the land snail Arianta arbustorum of about 164 m has
588	been reported in a comparison of its distribution in 1916–1917 with its distribution in 2011–
589	2012 in the eastern Alps, and this upward shift was most pronounced (around 233 m) on
590	south-facing slopes (Baur & Baur, 2013). Both habitat and microclimate are important factors
591	for these organisms and the authors stressed that, on some mountain slopes, snails have
592	already reached natural barriers (vertical rock walls with no soil), that prevent further upward
593	shifts.
594	The mean elevation of reptiles has also changed considerably showing a non-significant

596 leading edge elevation of +63.7±22.3 m decade⁻¹; Fig. 3B). Nevertheless, more investigation

shift in optimum elevation of $+24.2\pm25.4$ m decade⁻¹ (Fig. 3A) and a significant shift in their

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should be conducted for this group as this result originates from a single network of citizen
observations in the Alps. An increase in air temperature will allow reptiles to colonise higher
elevations, although the extent to which this is possible may be limited by oxygen availability
(Jacobsen, 2020).

601 Interestingly, we found no significant differences in the upwards shift of optimum 602 elevation between plants, fungi and animals (Fig. 3A), whereas animals appear to be 603 responding fastest in terms of the leading edge, especially for terrestrial insects (Fig. 3B). The 604 distribution of mammals and birds is mainly driven by habitat and food availability, and a 605 change in temperature may not affect their distribution directly if features of their habitat 606 remain stable or depend more on the micro- than the macroclimate (Jähnig et al., 2020) and on 607 plant phenology (Peláez et al., 2020). For instance, two studies conducted on European roe 608 deer (Capreolus capreolus) identified a strong dependence on the quality of food resources, 609 with individuals performing long-distance seasonal movements upward and downward to 610 locate food resources within their home range and thus tracking plant phenology (Gaudry et 611 al., 2015; Peláez et al., 2020). Another study revealed an upward shift of about +37.5±10.3 m 612 decade⁻¹ of four mountain ungulate species in the Swiss Alps based on hunting records, 613 attributing this upward shift to the lengthening of the snow-free period (Büntgen et al., 2017, 614 Table 2).

615 The effects of climate warming on animal distribution are likely to be complex, and will
616 include indirect effects. A good example is the Alpine chough (*Pyrrhocorax graculus*) that
617 nests at high elevations in cavities and fissures on inaccessible rock faces and forages in open
618 alpine meadows (Delestrade & Stoyanov, 1995). Climate and land use are affecting the
619 distribution of plants and forests but obviously not the distribution of the cliffs it uses for
620 nesting. While upward shifts in distribution of birds have been detected in some areas in the
621 Alps such as the central Swiss Alps (Maggini *et al.*, 2011; Knaus, 2018), several other studies

documented only marginal changes or no change in spite of significant air temperature increases during the study period (Archaux, 2004; Popy, Bordignon & Prodon, 2010). Consistently, our results revealed rather low and insignificant rates of optimum elevational range shift for birds in general, on average $\pm 10.3 \pm 17.5$ m decade⁻¹, but Table 2 shows that there was much variation in the calculated values, with a trend for higher values in the Eastern and Southern Alps. This may be partly a result of human-induced land-use changes (Knaus, 2018) as in these regions a strong upward shift of the treeline and reforestation of formerly cut or unforested areas has taken place, moving up the habitat of specialized alpine birds such as the rock ptarmigan (Lagopus muta) (Pernollet, Korner-Nievergelt & Jenni, 2015). Given their ecological role as consumers, it is likely that responses of bird communities to climate change will lag behind that of some other taxonomic groups (Devictor et al., 2012). It is possible that most specific elevational range shifts in mobile species like birds are related to site-specific factors associated with food availability, while for forest plants (Bertrand, Gegout & Bontemps, 2011) biotic interactions and population dynamics are likely to be more important (Scherrer et al., 2020).

638 (c) Freshwater ecosystems

For freshwater ecosystems, only a few studies are available worldwide (Table 2), with several of these from the Alps. Comte & Grenouillet (2013) reported, across different mountain ranges in France including the western Alps, that the elevational range of stream fishes shifted upslope at a mean rate of about +13.1±40.8 m decade⁻¹ during the period 1986–2006 (Fig. 3A). For amphibians, dragonflies and damselflies, which depend directly on lakes, ponds, bogs, fens, streams and streamlets for their habitats, no significant upward shift was found in their optimum or leading edge elevation. The slower migration rates of semi-aquatic (e.g. dragonflies) and aquatic (e.g. fishes) animals compared to terrestrial animals or plants might

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be due to their strong dependence on freshwater habitats which are heavily fragmented by human activities (e.g. dams) and are also likely to exert strong microclimate buffering on warming rate, especially within mountain ranges where streams are fed by snow and glacier melt (McLaughlin et al., 2017). In addition, most of these organisms are dependent on the vegetation that structures their habitat. Typically, dragonflies inhabiting subalpine and alpine zones live in bogs, fens and their associated small water bodies and runoff. The vegetation of these habitats is very specific and species requirements in terms of vegetation structure are not met in the pioneer water bodies of the upper level. The slower migration rate of these species could therefore partially be explained by their requirement for colonization by vegetation to occur first to offer new potential habitats for them. The formation of new bogs, fens or swamps may take several decades or even centuries.

(4) Plant-animal interactions mediated by species-specific phenological and spatial shifts On the one hand, because plants are the first link of the food web, changes in plant phenology and composition will affect food quantity and quality for higher trophic levels, and may thus affect complex trophic interactions (Plard et al., 2014; Thackeray et al., 2016; Kharouba et al., 2018; Renner & Zohner, 2018; Kharouba & Wolkovich, 2020), animal performance (Sheridan & Bickford, 2011) and species migration (Büntgen et al., 2017; Peláez et al., 2020; Rehnus et al., 2020). Conversely, numerous seed plants are pollinated and dispersed by animals, and are affected by their interactions with herbivores, thus animal range shifts will be intimately involved with plant range shifts. Responses of herbivores to climatic changes are generally mediated through plant quality and quantity (Mysterud *et al.*, 2001, 2008; Peláez *et al.*, 2020; Rehnus et al., 2020). In temperate regions, vegetation quantity gradually increases in spring to reach a maximum in the middle of summer, while vegetation quality is generally highest shortly after the beginning of vegetation growth in spring. In mountain ecosystems, mobile

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672 organisms can track these changes by migrating upslope, following the 'green wave' induced 673 by the progress of the season (Bischof et al., 2012; Büntgen et al., 2017; Herfindal et al., 674 2019). Migratory bird species have also been observed to follow this peak in nutrient 675 availability during spring migration (Van der Graaf et al., 2006). Our review found that birds showed relatively small phenological and spatial changes in response to warming, perhaps 676 677 because birds are vagile and thus can adapt easily to changes in food availability. Similarly, 678 the parturition date of the European roe deer was shown to correlate better with spring plant 679 phenology than with elevation in Switzerland (Peláez et al., 2020). By contrast, species that 680 are less mobile may become desynchronized in relation to their food resources as these move 681 upwards in response to warming.

682 An example of in which such desynchronization has had a positive biotic effect for trees 683 is in the frequency of outbreaks of the larch budmoth (Zeiraphera griseana), which 684 historically occurred over 12 centuries every 8–9 years but disappeared after 1982 in the 685 Engadine (eastern Swiss Alps). This was suggested to be due to a mismatch between the insect 686 life cycle and larch budbreak (Esper *et al.*, 2007), which might have depended on climate 687 warming (Johnson et al., 2010). A recent outbreak did take place in 2017–2018, which was 688 correlated with cold winter temperatures that reduced energy consumption during diapause in 689 the moths, allowing them to emerge more in synchrony with the new foliage (Büntgen et al., 690 2020). Warmer winters may continue to decrease the fitness of this insect in the future and 691 upward elevational shifts of outbreak epicentres are expected.

On the contrary, many trees may experience more frequent severe defoliation due to pest
outbreaks because warmer temperatures are expected to accelerate the life cycles of insects,
potentially increasing the number of generations per season (Colombari *et al.*, 2012; Jakoby *et al.*, 2019). For example, the warmer and drier conditions that occurred in the late 1980s in the
Italian prealps reduced the mortality of the web-spinning sawfly (*Cephalcia arvensis*) and

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accelerated its development, causing severe defoliation of Norway spruce (*Picea abies*) needles on which the larvae feed (Marchisio, Cescatti & Battisti, 1994). Similarly, pine shoot beetles (Tomicus piniperda) and other bark beetles are likely to weaken Scots pine (Pinus sylvestris) and Norway spruce in the inner valleys of the European Alps, where they already suffer from drought, under warmer climates that will permit additional insect generations during the growth season (Rigling & Cherubini, 1999; Rebetez & Dobbertin, 2004; Jakoby et al., 2019; Schneider, Comte & Rebetez, 2021). Such differences in phenological sensitivity to temperature are therefore expected to modify food-web interactions under climate change (Vitasse et al., 2011; Roberts et al., 2015; Asse et al., 2018). Phenological differences between low- and high-elevation trees have reduced considerably over recent decades in the Swiss Alps due to a stronger phenological advance at higher elevations (Vitasse, Signarbieux & Fu, 2018b), putting these plants at higher risk of frost exposure (Vitasse *et al.*, 2018a) and reducing the total period of availability of high-quality food for herbivores that follow the 'green wave' (Mysterud et al., 2008). In alpine environments, the life cycles of many plant and animal species are reliant on

the date of snowmelt and the rapid greening that follows. For example, variation in the snowpack accounts for a substantial part of variation in survival (about 16%) and reproduction (29%) of alpine marmots (Marmota marmota) (Tafani et al., 2013). Snow cover phenology is the main driver of foraging habitat selection for the white-winged snowfinch (Montifringilla nivalis) during the breeding season (Resano-Mayor et al., 2019). Earlier snowmelt leads to earlier vegetation onset which in turn increases the reproductive success of the rock ptarmigan (Lagopus muta) (Novoa et al., 2008), but decreases the litter size of alpine marmots (Tafani et al., 2013). Similarly, earlier vegetation onset due to warming can increase survival of ibex (Capra ibex) through earlier access to food resources in spring (Pettorelli et al., 2007), but a reduction of the snowpack in winter can also reduce the quality of the vegetation available in

722 spring (Robinson & Merrill, 2012).

The above examples of species interactions that are affected by phenological synchrony represent direct effects on a few specific taxonomic groups; indirect and more complex species interactions involving several trophic levels (network analyses) still await detailed investigation. How the synchrony between winter climatic conditions, insect diapause, bird activity and spring vegetation is affected will determine the ability of many species to adapt to climate change. This nascent research field should receive more attention to allow us to anticipate structural changes across multiple levels of ecosystems (Kharouba et al., 2018; Visser & Gienapp, 2019).

732 (5) Research gaps and ecosystem-based monitoring

Alpine ecosystems and the factors influencing species distributions have a long research history in the European Alps (Braun-Blanquet, 1954; Offner & Le Brun, 1956), for example with common garden experiments at different altitudes being performed in the Mont-Blanc range in the late 19th century (Bonnier, 1888). We now have much data on elevational and phenological shifts for several taxa, and a better understanding of the factors influencing phenology and species distribution. This review provides evidence that recent climate change may be affecting a wide range of taxonomic groups. However, our review also emphasizes major gaps in our knowledge, especially regarding biotic responses to climate change, and in particular, to a lack of long-term and ecosystem-based monitoring that could allow us to disentangle the direct and indirect effects of climate and land-use changes on different trophic levels (Yoccoz, Delestrade & Loison, 2010; Ims & Yoccoz, 2017). Long-term ecosystem research (LTER) programs exist in the Alps, but mostly with a focus on vegetation and soil, often in connection to ecosystem services and landscape patterns

- ⁵⁸₅₉ 746 (Tappeiner, Borsdorf & Bahn, 2013; Lavorel *et al.*, 2017; Rogora *et al.*, 2018). They do not

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tend to integrate other ecosystem components such as herbivores, granivores and carnivores from groups as diverse as insects, birds and mammals. There is, however, evidence that changes in the abundance and distribution of species may be related to ecological interactions [e.g. the mismatch between larch leaf-out and budmoth emergence (Büntgen et al., 2009; Johnson et al., 2010)], or involve multiple trophic levels (Burgess et al., 2018). The data available for different taxonomic groups often come from different time periods or places. The absence of a common design makes it difficult to build causal models integrating direct and indirect effects [e.g. Grace & Irvine, 2020; but see Thuiller et al. (2018) for a species distribution modelling approach to plant-herbivore interactions]. Our analysis identified a large heterogeneity in species responses to climate change, making it difficult to understand and predict changes at the ecosystem level (Mouquet et al., 2015). Although citizen science has allowed the collection of a large amount of data from haphazard observations, there is a clear need for more systematic monitoring. Joint analysis of these two sources of data would allow unbiased inferences (Dorazio, 2014). Developing integrated monitoring programs focusing on different trophic levels, and combining these with underlying conceptual models of the effects of climate and land-use changes on ecosystem structure and functioning should be a priority.

² 764

765 IV. CONCLUSIONS

766 (1) In the European Alps, spring phenology has advanced over recent decades for all taxa,

767 except birds and amphibians, with these advances being statistically significant for

768 ectothermic animals such as terrestrial insects and reptiles.

769 (2) We found a trend for almost all studied taxa to shift their distribution range upslope, with
770 significant changes in optimum elevation found for terrestrial insects, herbaceous and woody

plants and in leading edge elevation for terrestrial insects, reptiles, birds and herbaceous plants.

(3) We suggest that the trend for upward shift likely reflects the strong warming and the receding of snow cover that has occurred across the European Alps over recent decades and reflects the tracking by these taxa of their climatic niche, although regional land-use changes may also provide an explanation in some cases.

(4) With the possible exception of some groups of terrestrial insects, such as butterflies, the trend for an upward shift in plants, fungi and animals appears currently too slow to track isotherm shifts induced by climate warming. Indeed, an upward shift of about 330 m would be necessary to match the air temperature rise of close to 1.8°C that has occurred since 1970 in the European Alps region (i.e. 0.36 °C per decade). This roughly corresponds to a mean shift of elevational range of about +62-71 m decade⁻¹, assuming an annual adiabatic lapse rate of 0.51–0.58 °C 100 m⁻¹ as measured across the Alps (Rolland, 2003; Kirchner et al., 2013). The mean upward shift of most of the species groups observed over the last decades clearly lags behind this value (between -2 and +34 m decade⁻¹ for the optimum elevation and between -5and +64 m decade⁻¹ for the upper elevational limit), except for terrestrial insects (+36.2 and +90.5 m decade⁻¹ for the optimum and upper elevational limits, respectively). (5) Species interactions mediated by phenological and spatial shifts are likely to be altered by climate warming but very few studies currently focus on biotic interactions across multiple trophic levels. Integrating monitoring programs at ecosystem levels should be fostered to allow better predictions of changes in plant and animal communities in response to climate change.

(6) This review provides a valuable synthesis for future research focusing on the responses of organisms to climate warming in the European Alps.

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4 5	797	AVAILABILITY
6 7		
8 9 10 11	798	We are grateful to all volunteers who provided observations for the following databases used
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17 18	802	S.U. collected the data. Y.V. and J.L. synthetized the data. S.U. analysed the data from the <i>info</i>
19 20	803	fauna database and Y.V. from the PhenoForest database. M.R. wrote most of Section III.1
21 22	804	with contributions from G.K., who also created Fig. 1. Y.V. and J.L. wrote most of the text
23 24 25	805	with substantial inputs from all co-authors.
25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40	806	Data availability: all raw data at species-level resolution used in the quantitative analyses are
	807	provided in the online supporting information.
	808	
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50 51 52	1356	VII. SUPPORTING INFORMATION
53 54 55	1357	Additional supporting information may be found online in the Supporting Information section
56 57 58 59 60	1358	at the end of the article.

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2 3 4	1359	Fig. S1. Mean phenological shift of the singing activity or laying dates per decade for birds
5 6	1360	classified according to habitat.
7 8 9	1361	Table S1. Spring phenological change per decade or/and per degree for each studied species.
9 10 11	1362	Table S2. Elevational range shifts of the optimum distribution (i.e. elevation of maximum
12 13	1363	abundance) or/and the leading edge (i.e. the upper range limit) for each studied species.
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1364 Figure legends

7 8 9	1366	Fig. 1. Long-term time series of snow parameters (snowmelt timing and length of the snow							
9 10 11	1367	free period) and mean air temperature (spring temperature from March to May and annual							
12 13	1368	temperature) at six sites spread across the Swiss Alps during the period 1970–2019.							
14 15	1369	Data updated from Klein et al. (2016) representing yearly average anomalies over the period							
16 17 18	1370	1970–2019 across six sites in Switzerland located between 1,298 and 2,540 m (Arosa, Davos,							
19 20	1371	Grächen, Grimsel Hospiz, Scuol and Weissfluhjoch). Estimated trends from the Theil-Sen							
21 22	1372	estimator method across the six sites are reported with the associated standard errors (grey							
23 24 25	1373	shading) for each snow and temperature parameter. Significance of the slopes was tested using							
25 26 27	1374	Mann-Kendell tests, see Klein et al. (2016) for more details.							
28 29	1375								
 30 31 32 33 34 35 36 37 38 39 	1376	Fig. 2. Spring phenological change per decade (A) or per degree (B) for different plant and							
	1377	animal groups studied in the European Alps region. Values correspond to the estimated							
	1378	marginal mean of the mixed-effect model with study as a random factor; error bars are 95%							
	1379	confidence intervals. The period of time varied among studies but was always more than 10							
39 40 41	1380	years within the period 1980–2020. Only groups with more than five species are shown (all							
42 43	1381	studies are reported in Table 1). Abbreviations: ldm, long-distance migrants; sdm, short-							
44 45	1382	distance migrants; res., resident; semi-aq., semi-aquatic. Different letters among groups							
46 47 48	1383	indicate significant differences (<i>post-hoc</i> Tukey tests at $\alpha = 0.05$).							
49 50	1384								
51 52	1385	Fig. 3. Empirical evidence for elevational range shifts of (A) the optimum distribution (i.e.							
53 54 55	1386	elevation of maximum abundance) and (B) the leading edge (i.e. the upper range limit)							
55 56 57	1387	reported for groups of taxa in the European Alps region. Values correspond to the estimate of							
58 59 60	1388	the mixed-effect model with study as a random factor; error bars are 95% confidence intervals.							

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1								
2 3 4	1389	The period of time investigated varied among studies but was always more than 10 years						
5 6	1390	within the period 1980–2020, or for diachronic studies comparing historical and modern						
7 8 9	1391	surveys, the modern survey was conducted within the period 1980-2020, and the median year						
9 10 11	1392	of the historical survey(s) was after 1950. Only groups with more than five species are shown						
12 13	1393	(all studies are reported in Table 2). Abbreviations: ecm, ectomycorrhizal; sapro., saprophytic;						
14 15 16	1394	semi-aq., semi-aquatic. Different letters among groups indicate significant differences (post-						
10 17 18	1395	<i>hoc</i> Tukey tests at $\alpha = 0.05$).						
19 20	1396							
21 22 23	1397	Fig. 4. Mean elevational shift per decade for four different orders of insects in terms of (A) the						
24 25	1398	optimum elevation (i.e. elevation of maximum abundance) and (B) the leading-edge elevation						
26 27	1399	(i.e. the upper range limit). Values correspond to the estimate of the mixed-effect model with						
28 29 30	1400	study as a random factor; error bars are 95% confidence intervals. The period of time						
31 32	1401	investigated varied among studies but was always higher than 10 years within the period						
33 34	1402	1980–2020. Different letters among groups indicate significant differences (post-hoc Tukey						
29 30 31 32 33	1403	tests at $\alpha = 0.05$).						

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1404 Table 1. Documented spring phenological changes in animals and plants in the European Alps over the recent decades. Mean ± standard errors 1405 are reported where data are available for more than one species. For information on the *info fauna* and *PhenoForest* databases, see Section II. 1406 The data set used for this table is provided as supporting online information in Table S1. Abbreviations: ldm, long-distance migrants; sdm, short-1407 distance migrants; res., resident. Temperature Study Number of Phenological Trends Taxa sensitivity References Area period species variable (days decade⁻¹) (days °C⁻¹) PLANTS Woody plants Alps and lowlands in Switzerland -5.1 ± 0.4 Güsewell (2014) 1970-2012 14 Leaf-out or flowering -3.4 ± 0.3 Alps and lowlands in Switzerland Güsewell (2014) Herbaceous 1970-2012 -2.8 ± 0.4 -5.6 ± 0.6 5 Flowering 1998-2020 Alps and lowlands in Switzerland 8 Leaf-out -2.2 ± 0.7 **PhenoForest** Trees NA Woody plants 2005-2016 French Alps Leaf-out -0.5 ± 2.3 NA Bison *et al.* (2019) 6 ANIMALS Birds Birds (res.) 1995-2019 Lowland areas in Switzerland 8 Singing activity $+2.5\pm3.6$ -2.1 ± 0.8 Strebel et al. (2014) Singing activity Birds (sdm) 1995-2019 Lowland areas in Switzerland 12 -3.5 ± 3.8 -1.0 ± 0.5 Strebel et al. (2014) Birds (ldm) 1995-2019 Lowland areas in Switzerland 14 Singing activity -1.7 ± 1.5 -1.0 ± 0.5 Strebel et al. (2014) 1982-2006 Laving dates -1.9 -1.7Rubolini et al. (2007) Passer italiae (res.) Northern Italy 1 1982-2006 Laying dates *Sturnus vulgaris* (sdm) Northern Italy -0.1-0.2Rubolini et al. (2007) 1 Arrival or laying dates 1982-2006 -1.0 ± 0.6 Birds (ldm) Northern Italy 6 -1.8 ± 0.8 Rubolini et al. (2007) Ficedula hypoleuca (ldm) South Germany Hölzinger (1997) 1960-1995 Arrival dates -0.70.06 Lagopus muta (res.) 2000-2009 Northern French Alps 1 Median hatching date -4.9-4.2Novoa et al. (2016) Insects 1996-2005 Ips typographus Southeastern Alps 1 First observation -21 NA Faccoli (2009) 28 Lepidoptera, butterflies 1998-2010 Swiss lowlands First observation NA -4.9 ± 2.0 Altermatt (2012) 1982-2002 Apatura iris Forest near Basel, Switzerland 1 First observation -10.5-6.4Dell et al. (2005) 1991-2019 Lepidoptera, butterflies Switzerland 138 First observation -6.6 ± 0.5 NA info fauna Odonata 1991-2019 Switzerland 40 First observation -1.0 ± 0.5 NA info fauna Orthoptera 1991-2019 Switzerland 64 First observation -4.3 ± 0.8 NA info fauna **Amphibians** 1991-2019 Switzerland 10 First observation -1.0 ± 2.2 info fauna Anura NA Urodela 1991-2019 Switzerland 5 $+2.5\pm3.0$ First observation NA info fauna

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Table 2. Elevational range shifts reported for diverse taxa in the European Alps region. Values are means \pm SE. In the 'Limit' column,

'optimum' is the elevation of maximum abundance and 'leading edge' is the upper elevational limit of a given species. These two metrics are

calculated in different ways depending on the studies (see article references and Section II). For Dainese et al. (2017) only native species were

included. For information on the info fauna database see Section II. The data set used for this table is provided as supporting online information

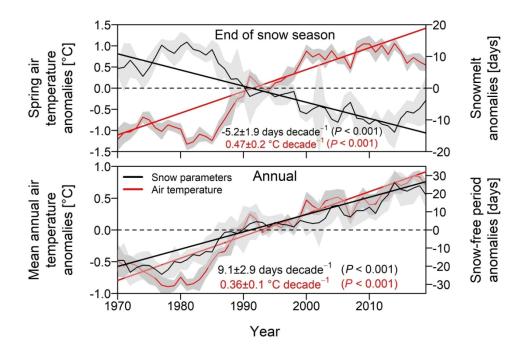
in Table S2. N, number of species. Abbreviations: ecm, ectomycorrhizal; sapro., saprophytic.

Taxa	Study period	Area	N	Mean shift (m decade ⁻¹)	Limit	References
PLANTS	-	R				
Alpine species	1956-20041	Central Italian Alps	93	$+18.1\pm2.5$	Leading edge	Parolo & Rossi (2008)
Alpine species	1989-2009	Northeast Italy	80	$+26.1\pm10.0$	Optimum	Dainese et al. (2017)
Forest plant species	1977–2005 ¹	Western French Alps, and other French mountains	97	+38.1±7.8	Leading edge	Kuhn et al. (2016)
Forest plant species	1977-20051	Western French Alps, and other French mountains	97	+25.7±4.6	Optimum	Kuhn et al. (2016)
Forest plant species	1985–1999	Southeast France and Corsica	175	$+13.8\pm6.9$	Optimum	Bodin <i>et al.</i> (2013)
Non-alpine plant species	1989-2009	Northeast Italy	1,128	$+32.8\pm3.2$	Optimum	Dainese et al. (2017)
BIRDS		-			-	
Various species	1982-2017	Italian Alps	29	$+31.5\pm8.4$	Optimum	Bani et al. (2019)
Various species	1982-2017	Italian Alps	29	+55.6±9.5	Leading edge	Bani et al. (2019)
Various species	1993–2016	Switzerland	71	$+12.0\pm3.5$	Optimum	Knaus (2018)
Various species	1993-2004 ¹	Italian Alps (Piedmont)	54	$+7.2\pm9.4$	Optimum	Popy <i>et al.</i> (2010)
Forest species	1978-2002	French northern Alps	24	-7.8 ± 6.5	Optimum	Archaux (2004)
Forest species	1973-2001	French southern Alps	17	-6.3 ± 6.3	Optimum	Archaux (2004)
Lagopus muta	1998–2011	Northern French Alps	1	-24.7	Optimum	Novoa <i>et al.</i> (2016)
Lagopus muta helvetica	1984–2012	Swiss Alps	1	+37.8	Optimum	Pernollet et al. (2015)
MAMMALS						
Ungulate species	1991–2013	Swiss Alps	4	$+37.5\pm10.3$	Optimum	Büntgen et al. (2017)
INSECTS						
Lepidoptera						

Thaumetopoea	1975-2004	Italian Alps	1	+49.8	Leading edge	Battisti et al. (2005)
<i>pityocampa</i> Various butterfly species	1991–2019	Switzerland	138	+39.9±6.5	Optimum	info fauna
Various butterfly species	1991–2019	Switzerland	138	$+112.4\pm6.3$	Leading edge	info fauna
Coleoptera						
Dung beetle species	1992-2007	South western Alps	30	$+40.5\pm24.2$	Optimum	Menéndez et al. (2014)
Dung beetle species	1992-2007	South western Alps	30	$+80.6\pm39.8$	Leading edge	Menéndez et al. (2014)
O donata		1			0 0	× ,
Various species	1991–2019	Switzerland	40	-2.6 ± 5.9	Optimum	info fauna
Various species	1991–2019	Switzerland	40	-4.7±9.1	Leading edge	info fauna
Orthoptera						
Various species	1991–2019	Switzerland	64	$+20.6\pm5.4$	Optimum	info fauna
Various species	1991–2019	Switzerland	64	$+46.9\pm6.9$	Leading edge	info fauna
AMPHIBIANS						
Anura						
Various species	1991–2019	Switzerland	10	$+13.9\pm4.8$	Optimum	info fauna
Various species	1991–2019	Switzerland	10	$+42.8\pm17.1$	Leading edge	info fauna
Urodela						
Various species	1991–2019	Switzerland	7	$+19.7\pm18.7$	Optimum	info fauna
Various species	1991–2019	Switzerland	7	$+53.5\pm16.7$	Leading edge	info fauna
REPTILES						
Various species	1991–2019	Switzerland	14	$+22.6\pm10.8$	Optimum	info fauna
Various species	1991–2019	Switzerland	14	$+63.7\pm12.7$	Leading edge	info fauna
FISHES						
Stream fishes	1986–2006 ¹	Streams in France	32	$+13.1\pm3.8$	Optimum	Comte & Grenouillet (2013)
FUNGI						
ecm species	1960–2010	European Alps	56	$+21.0\pm3.0$	Optimum	Diez et al. (2020)
Litter/soil sapro. species	1960–2010	European Alps	29	$+23.6\pm4.0$	Optimum	Diez et al. (2020)
Wood sapro. species	1960-2010	European Alps	33	$+10.7\pm2.6$	Optimum	Diez et al. (2020)

¹Median years of the historical and modern survey.

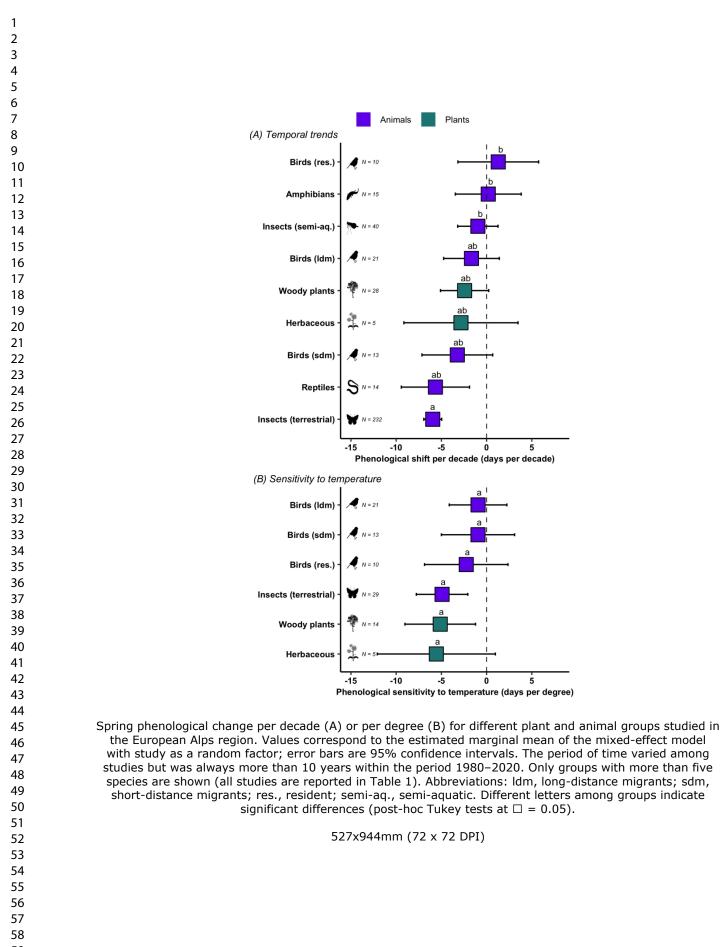
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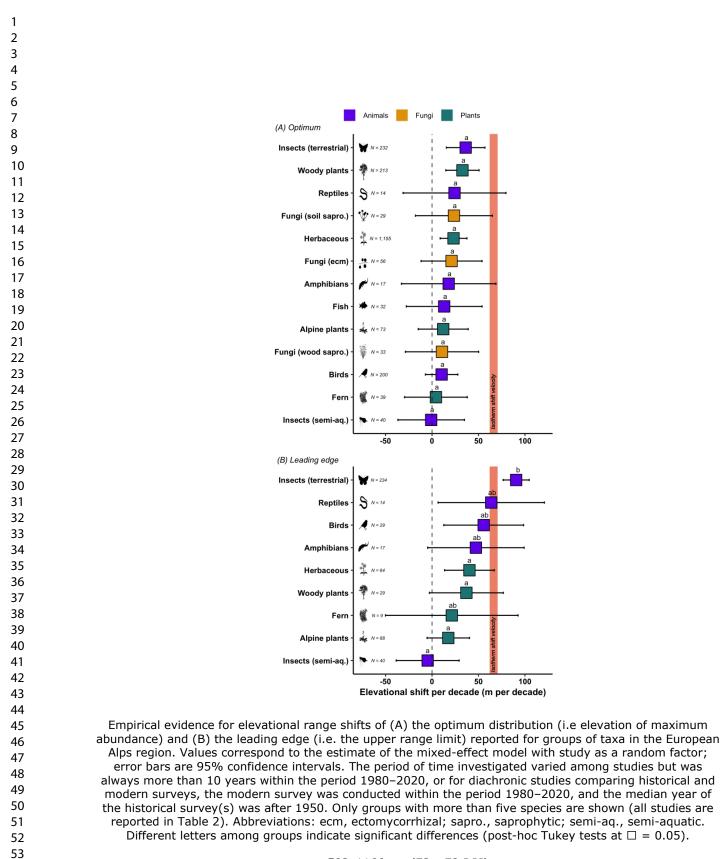


Long-term time series of snow parameters (snowmelt timing and length of the snow free period) and mean air temperature (spring temperature from March to May and annual temperature) at six sites spread across the Swiss Alps during the period 1970–2019.

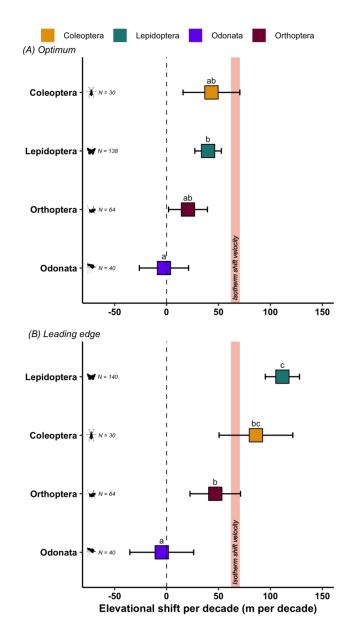
Data updated from Klein et al. (2016) representing yearly average anomalies over the period 1970–2019 across six sites in Switzerland located between 1,298 and 2,540 m (Arosa, Davos, Grächen, Grimsel Hospiz, Scuol and Weissfluhjoch). Estimated trends from the Theil-Sen estimator method across the six sites are reported with the associated standard errors (grey shading) for each snow and temperature parameter. Significance of the slopes was tested using Mann-Kendell tests, see Klein et al. (2016) for more details.

136x92mm (300 x 300 DPI)





583x1166mm (72 x 72 DPI)



Mean elevational shift per decade for four different orders of insects in terms of (A) the optimum elevation (i.e. elevation of maximum abundance) and (B) the leading-edge elevation (i.e. the upper range limit). Values correspond to the estimate of the mixed-effect model with study as a random factor; error bars are 95% confidence intervals. The period of time investigated varied among studies but was always higher than 10 years within the period 1980–2020. Different letters among groups indicate significant differences (posthoc Tukey tests at □ = 0.05).

555x999mm (72 x 72 DPI)

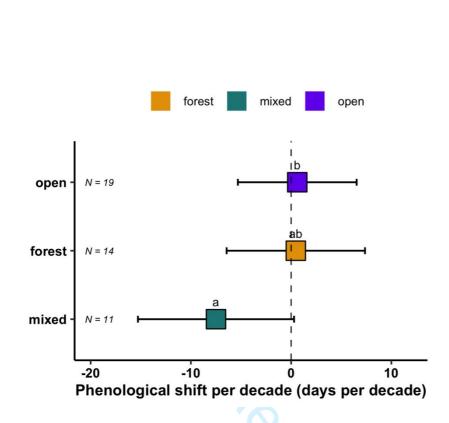


Fig. S1. Mean phenological shift of the singing activity or laying dates per decade for birds classified according to their habitat. Values correspond to the estimated marginal mean of the mixed-effect model with study as a random factor; error bars are 95% confidence intervals. The period of time investigated varied among studies but was always more than 10 years within the period 1980–2020. Different letters among groups indicate significant differences (*post-hoc* Tukey tests at $\alpha = 0.05$).